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Activity and Selectivity for O₂ Reduction to H₂O₂ on Transition Metal Surfaces

Samira Siahrostami^a, Arnau Verdaguer-Casdevall^b, Mohammadreza Karamad,
Ib Chorkendorff^b, Ifan Stephens,^b Jan Rossmeisl^a

^a Center for Atomic Scale Materials Design, Department of Physics, Technical University of Denmark, Fysikvej, Kongens Lyngby, Denmark 2800

^b Center for Individual Nanoparticle Functionality, Technical University of Denmark, Fysikvej, Kongens Lyngby, Denmark 2800

Industrially viable electrochemical production of H₂O₂ requires active, selective and stable electrocatalyst materials to catalyse the oxygen reduction reaction to H₂O₂. On the basis of density functional theory calculations, we explain why single site catalysts such as Pd/Au show improved selectivity over pure metals such as Au.

Introduction

Hydrogen peroxide is ranked by Myers as one of the most important 100 chemicals in the world.(1) Its total production exceeds 3 M tons per annum.(2) It is mainly used as a “green” oxidising agent, particularly for waste water treatment and in the paper industry. There is an increasing interest in the use of H₂O₂ in fuel cells, both as an oxidant and as a fuel.(3) Since it is a liquid, it is much more convenient to transport and store than gas phase reactants such as H₂ or O₂.

At present, H₂O₂ is produced via the anthraquinone process from hydrogen and oxygen. This is a batch process, involving the sequential hydrogenation and oxidation of hydroquinone molecules in an organic solvent.(2) In principle, the production of H₂O₂ should actually release energy; given its negative Gibbs Free Energy of formation, $\Delta G_f = -120 \text{ kJ mol}^{-1}$. However, in practice, the anthraquinone process actually *consumes* 150 kJ mol⁻¹, mainly to cool down the reactors.(4) The complexity of the anthraquinone process means that it is only feasible to conduct it in on a very large scale, with each plant typically yielding 50 ktons per annum.(2) This also means that the H₂O₂ needs to be transported from the centralised production plants, causing logistical challenges.

Over the past decade, the heterogeneous catalysis community has devoted a large body of research towards the development of an alternative to the anthraquinone process. The “direct catalytic” route is a simpler, continuous process, whereby O₂ is reduced directly by H₂ on solid catalysts.(5-9) It lends itself better to small scale production, so that H₂O₂ could be produced close to the point of consumption. The most active and selective catalysts for this process are based on Pd modified Au nanoparticles, denoted as Pd-Au.(6, 8, 9) Despite the relative simplicity of this method in comparison to the anthraquinone process, it would be preferable to avoid the explosive mixture of H₂ and O₂ altogether.

In comparison to the anthraquinone and the direct catalytic processes, the electrochemical reduction of O_2 to H_2O_2 , i.e. $O_2 + 2H^+ + 2e^- = H_2O_2$, seems to be an attractive option. When produced at the cathode of a fuel cell, with hydrogen oxidation at the anode, it should, in principle, be possible to release the $\Delta G_f = -120 \text{ kJ mol}^{-1}$ as electrical energy. Should one wish to avoid the use of H_2 , H_2O_2 could also be produced in an electrolyser, in which case $\sim 200 \text{ kJ mol}^{-1}$ input would be required (assuming 1 V potential difference). Despite the obvious appeal of the electrochemical production of H_2O_2 , it has largely been overlooked by the electrochemistry community.

Nonetheless, the viability of the electrochemical production of H_2O_2 depends on further improvements to the electrocatalysts at its cathode. Not only does the catalyst needs to be active, but also selective, i.e. favoring the 2-electron reduction of O_2 to H_2O_2 over the thermodynamically favored 4-electron pathway to H_2O , i.e. $O_2 + 4H^+ + 4e^- = H_2O$.

Figure 1. Polarisation plots showing H_2O_2 production current, j_k as a function of potential, U , for a number of different catalysts, derived from the ring current and corrected for mass transport limitations, in accordance to Paulus et al.(10) The data are collected from a number of different studies: Au(111) from Alvarez-Rizatti et al;(11) Au(pc) from Jirkovsky et al;(12) Ag(111) from Blizanac et al;(13) N-doped C from Feller et al;(14) Au/C and Pd-Au/C from Jirkovsky et al(15). In the case of Au(111), we assumed 100% selectivity to H_2O_2 , meaning that the plotted current provides an upper limit for the actual activity.

In order to establish the current state-of-the-art, the polarisation plots from a number of different studies is plotted on Figure 1. The activity increases roughly in the following order $Au(111) < Au(pc) < Ag(111) < Pd-Au/C < N-doped C < Au/C$. Evidently, some of the Au-based catalysts show reasonable activity.(15) However, it also seems that the activity of Au towards O_2 reduction is highly sensitive to the catalyst structure, with the carbon supported nanoparticles, Au/C being most active.(15) Even so, the selectivity, which is potential dependent, shows a maximum value that is only 80%. Curiously, the catalyst of choice for the direct gas phase catalytic production of H_2O_2 , Pd-Au/C also

shows similar activity to Au/C. However, it shows much greater selectivity than Au/C, at ~90%.(15)

It is also striking that N-doped carbon shows an apparent activity almost as high as Au/C. However, it should be borne in mind that the metal free N-doped C is a highly porous surface, suggesting that its specific activity is somewhat lower.(14) Moreover, its onset potential is more negative than the other catalysts; this suggests that it would not be possible to increase the current densities at low overpotential by increasing the surface area.

Despite the reasonable activity achieved thus far, it would be preferable if higher current densities were possible at lower overpotentials. Herein, in the current study, we use a density functional theory (DFT) based framework to understand the trends in activity and selectivity of metallic catalysts for the electrochemical production of H₂O₂.

We note that cobalt porphyrin-based catalysts also show high activity for H₂O₂ production.(16) However, they are not particularly stable under reaction conditions, as the N-ligands surrounding the active site tend to degrade in the presence of H₂O₂.(17, 18) Consequently, we focus our attention on catalysts based on noble metals, which should be sufficiently stable under reaction conditions.

Computational Methods

The total energies of different adsorbates on each surface were calculated with Density Functional Theory (DFT) using grid-based projector-augmented wave method (GPAW) code.(19) The calculations were performed using RPBE as exchange-correlation functional.(20) The lattice constants of all alloys were optimized for their respective crystal structures. Periodic model slabs were constructed for all structures considered in this study.

The Pd/Au(111) alloy, Au(111) and Ag(111) surfaces were constructed to face center cubic (FCC) structures with closed packed (111) surfaces. For the Pd/Au(111), the lattice constants were assumed to be the same as that of Au. The surfaces were modeled using a four-layer ($\sqrt{3} \times \sqrt{3}$) [R30]° slab corresponding to Pd coverage of 1/3 ML. Very similar adsorption energies were obtained with lower coverage of Pd atoms, suggesting that they are largely independent of coverage. The lower two layers were fixed to their bulk structure while the upper layers and adsorbates were allowed to relax. The Au(211) surface was modeled by (2 × 2) slab with four closed packed layers, where only the topmost layer and adsorbates were allowed to relax.

Adsorption was only allowed on one side of the slabs. Brillouin zones were sampled using 6×6×1 and (4×4×1) Monkhorst-Pack k-points for the Pd/Au(111), and Au(211) surfaces, respectively. All structures were allowed to relax in all directions until residual forces were less than 0.05 eV/Å. Moreover, in all cases, convergence of total energy with respect to grid spacing and k-point set were considered.

All adsorption sites (atop, bridge and hollow) were considered and only the most stable ones are used here. All free energies are calculated relative to H₂O(l) and H₂(g).

Zero point energies and entropies were included in energetics calculations.(21) No corrections were applied to the adsorption energies as a result of solvation, as we assume that water stabilizes HO* and HOO* similarly on all alloys.

The adsorption energies for Pt(111) and Pd(111) were based on the data from Nørskov et al(21) and Rossmeisl et al.(22)

We have used the computational hydrogen electrode approach to calculate the free energy levels of all intermediate states as a function of potential.(21)

Results and Discussion

In accordance to a previously published set of DFT calculations, the initial charge transfer step in the oxygen reduction reaction is (where * denotes a vacant active site and HOO* denotes an adsorbed HOO* intermediate):(21-23)

Should H₂O₂ be produced via the so-called two electron pathway, the reaction will be completed via the following step:(23)

Evidently both reaction steps only involve one intermediate, HOO*. The effect of changing the catalyst material is to change the binding of this intermediate, ΔG_{HOO^*} . It turns out that on any given surface, the binding energy of HOO* is offset by a constant 3.2 ± 0.2 eV from that of HO*, ΔG_{HO^*} .(24, 25) Consequently, both ΔG_{HO^*} and ΔG_{HOO^*} can be used as a “descriptor” to describe the trends in activity for H₂O₂ production. (23) This is demonstrated on Fig 2a, where the thermodynamic limiting potential for H₂O₂ production, U_T , is plotted, in blue, as a function of ΔG_{HO^*} ; the HOO* binding energy is also displayed on the upper horizontal axis for comparison. The *thermodynamic overpotential* for the 2-electron reaction, $\eta_{\text{O}_2/\text{H}_2\text{O}_2}$, is the distance from the Nernstian potential for H₂O₂ production, $U_{\text{O}_2/\text{H}_2\text{O}_2}^0 = 0.7$ V, to the U_T . At the U_T , the catalyst can sustain appreciable kinetic rates for H₂O₂ production, due to low charge transfer barriers.(25-27)

Figure 2. 2-electron (blue) and 4-electron (red) theoretical volcano plot for reduction of O_2 , with the limiting potential plotted as a function of ΔG_{HO^*} (lower horizontal axis) and ΔG_{HOO^*} (upper horizontal axis). In the case of Ag(111), Pt(111) and Pd(111), both the overpotential for the 4 electron pathway (upper points) and the 2 electron pathway are plotted (lower points).

Figure 3. Free energy diagram for a number of different catalysts for H_2O_2 production from O_2 , at the equilibrium potential.

Evidently, Fig. 2 shows that there is a volcano-type relationship between η_{O_2/H_2O_2} (and hence the catalytic activity), and ΔG_{HO^*} . On the right hand side of the volcano, the overpotential is due to HOO^* formation. For instance, the free energy diagram on Fig 2b shows that on Au(211), the active site of Au nanoparticles,(28) it is 0.41 eV uphill to form HOO^* at the equilibrium potential, 0.7 V. Therefore, an equivalent thermodynamic overpotential of 0.41 V is required to drive the reaction. The reaction free energy for [1] is slightly more positive on Pd/Au(111) than Au(211); this explains the experimental observation that Au nanoparticles are slightly more active than Pd-Au nanoparticles for H_2O_2 production.(15)

Catalysts on the left hand side, such as Pt or Pd, bind HOO^* too strongly, and the overpotential for the 2-electron pathway is due to the reduction of HOO^* to H_2O_2 . The most optimal catalyst would have a $\Delta G_{HOO^*} \sim 4.2 \pm 0.2$ eV and $\eta_{O_2/H_2O_2} = 0$, i.e. at the peak of the volcano its free energy diagram is flat at the equilibrium potential, as shown in Fig 2. This means that the “ideal catalyst” would exhibit high kinetic rates for H_2O_2 production with zero potential losses. It is also clear from Fig 2 that it should be possible to obtain catalysts much more active for H_2O_2 production than the current state of the art, based on Au or Pd-Au nanoparticles.

Should the reaction proceed via the 4-electron pathway, instead of [2], HOO^* will either dissociate via a chemical step:(26)

Or otherwise be reduced electrochemically:(22)

Followed by the further reduction of O^* and HO^* to produce water: (22, 26)

It turns out that on almost all metallic catalysts, the overpotential is either due to [1] or [6]. This is the basis for the 4-electron volcano on Fig 2, plotted in red, as a function of ΔG_{HO^*} and ΔG_{HOO^*} . It is important to note that even on the optimal catalyst, a minimum η_{O_2/H_2O} of ~0.4 V is required to drive H_2O formation.(29-34) This contrasts with the 2-electron volcano, whose peak coincides with the Nernstian potential for the reaction. The high value of η_{O_2/H_2O} is in order to overcome the large constant difference in adsorption energies between the intermediates, HO^* and HOO^* [Error! Bookmark not defined.]. This large thermodynamic overpotential is characteristic of reactions involving more than two intermediates.(24, 35, 36)

Whether or not a catalyst follows the 4-electron or 2-electron pathway depends on the rate of reactions [3] and [4], relative to [2]. The free energy diagram on Fig 4 plots the reaction free energies for Pt(111), Pd/Au(111) and Au(211), following the electrochemical pathway described by reaction [1-3] and [4-6]. Although only ground

states are included in this treatment, earlier studies suggest that additional barriers should scale with the reaction free energies via a Brønsted-Evans-Polanyi relationship.(26, 27)

Figure 4. Free energy diagram for oxygen reduction at 0.7 V for Pd/Au(111), Pt(111) and Au(211). The green line denotes the free energy of H_2O_2 in the liquid phase.

On a catalyst such as Pt, which is located on the left hand side of the volcano in Fig 2, HOO^* , O^* and HO^* bind quite strongly to the surface. This means that the 4 electron pathway on these catalysts is determined by the reduction of HO^* to H_2O , i.e. step [6].

Interestingly, Fig 4 also shows that on all three catalysts, at 0.7 V it is downhill in free energy to reduce HOO^* further to O^* and HO^* , whereas the formation of H_2O_2 in the liquid phase is thermoneutral. Nonetheless, the free energy diagram can be used to establish the driving force for HOO^* reduction, and hence the selectivity for the reaction. On Pt(111), it is clearly much more downhill to reduce HOO^* to O^* than on the other two surfaces under consideration.

Although the reaction free energy for HOO^* formation is similar on both Au(211) and Pd/Au(111), it is much more downhill in free energy to form O^* on Au(211). This explains the experimental observation that Au nanoparticles, with an abundance of undercoordinated (211) sites, show similar H_2O_2 production activity to and Pd-Au nanoparticles, but that the latter are much more selective.

The decreased driving force for O^* formation on Pd/Au(111) can be understood on the basis of the geometry of its active site, as depicted in Figure 5. Typically, although HO^* and HOO^* adsorb on the atop site of closely packed surfaces such as Pt(111) or Pd(111), O^* adsorbs on the hollow sites. However, on Pd-Au nanoparticle the Pd atoms

are isolated from each other and surrounded by unreactive Au atoms. This forces O^* to adsorb on the atop site, where adsorption is less favourable. Hence the effect of isolating individual reactive atoms is to destabilise O^* , relative to HOO^* . Interestingly, our earlier DFT calculations suggest that Co porphyrin catalysts function in a similar manner.(37)

We note, in passing, that in the above discussion we have only considered the electrochemical reduction of HOO^* , i.e. step [4], rather than its chemical dissociation, step [3]. Nonetheless, since both pathways involve the same intermediates, O^* and HO^* , the same trends would persist in either case. In general, the further a catalyst is situated towards the left of the volcano would imply a greater driving force for the formation of HO^* and O^* from HOO^* , and hence H_2O formation. This means that a high catalyst activity may come at the cost of catalyst selectivity.

Figure 5. Schematic figure of active site for Pd/Au(111) catalyst. Pd atoms are shown in yellow, Au in grey, O in red, and H in white. The 2-electron pathway is demarcated by the green arrows and the 4-electron pathway by the red arrows.

Conclusion

In this paper we have described a theoretical framework to explain trends in activity and selectivity for H_2O_2 production on transition metal surfaces. Using the example of Pd/Au(111), we explain why catalysts containing isolated reactive atoms at their active sites show increased selectivity for H_2O_2 production, relative to H_2O production. Future studies will focus on the identification of new catalysts for H_2O_2 production, on the basis of this isolated active site concept.

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