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Hasholt, Marianne Tange; Jensen, Ole Mejlhede; Laustsen, Sara

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## Superabsorbent Polymers as a Means of Improving Frost Resistance of Concrete

Marianne T. Hasholt, Ole M. Jensen & Sara Laustsen

### Abstract

Superabsorbent polymer (SAP) was introduced in cementitious materials about 15 years ago. Since then, several studies on the frost resistance of concrete with SAP have been published. However, an up-to-date review across the different studies is missing. The present paper presents a literature review on how SAP influences concrete frost resistance. Moreover, it also presents a larger experimental study on the topic. The conclusions that can be drawn from the experimental study are in line with the extract of the pool of results from the literature, first of all that SAP addition can improve frost resistance of concrete. The improvement can be attributed to voids created by SAP. As clearly demonstrated in the paper, it is crucial to document the void structure of the hardened concrete. Other factors than SAP can lead to void formation. For example, residue of surfactant on SAP particles, originating from the production of suspension polymerized SAP, can have an air entraining effect in concrete. Therefore, assuming that SAP generated voids are the only voids may lead to erroneous conclusions. When SAP is used, it is – in principle – possible to produce concrete with a pre-defined void structure as regards total void volume and void size. However, the optimum SAP void structure in relation to frost resistance is not known, and as long as the target is not clear, it is hard to use the design option of controlled void structure in a constructive way.

**Keywords:** Concrete; Internal curing by superabsorbent polymer (SAP); Frost resistance

## 1. Introduction

Superabsorbent polymer (SAP) is the generic term for a group of materials that can absorb a large quantity of specific substances from the surroundings. Most SAPs are designed to absorb water, and some types of SAP are capable of absorbing an amount of water that is more than 1000 times the mass of the dry SAP. [1].

When dry SAP particles are added to fresh concrete during mixing, they will absorb part of the mixing water. The absorption will cause swelling of the SAP particles, which then become finely distributed water reservoirs in the cement paste. For the majority of the water held by SAP is so loosely bound that even a slight lowering of the relative humidity (RH) in its vicinity will lead to water release and de-swelling of the SAP particles. In concrete, a reduction of RH normally occurs during hardening. A reduction of RH after setting, when the concrete is no longer plastic, will therefore generate small, air-filled cavities in the hardened cement paste. [2].

It was already discovered in the 1930s that air voids are beneficial for concrete frost resistance [3]. With SAP particles' ability to create cavities, it seems straightforward that SAP can be used to create air void systems in concrete, where there is a requirement for frost resistance. It is not only possible accurately to control the total volume of voids, it is also possible to control void size and void shape through the added SAP particles. As such, SAP may offer an attractive alternative to traditional air-entraining admixtures (AEA), since in concrete production it is often a challenge to control total air content and other air void parameters when using air-entraining admixtures.

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2  
3 In recent years, about a dozen publications have been published, where SAP has been used in  
4  
5 an attempt to improve the frost resistance of concrete [4-14]. The publications either aim  
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7 directly at using SAP to engineer concrete with specific properties in relation to freezing and  
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9 thawing, or SAP is used in concrete for other purposes such as internal curing to mitigate  
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11 autogenous shrinkage, and then a range of properties including frost resistance are tested for  
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13 the resulting concrete.  
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18 The scientific objective of the present paper is twofold:  
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23 1. The paper will review the cumulated knowledge on frost resistance of concrete with SAP.  
24  
25 This is presented in section 2.  
26  
27 2. Recently, our research group discovered that suspension polymerized SAP may contain a  
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29 surfactant originating from the SAP production [14, 15]. When SAP is added to concrete  
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31 this surfactant is released and acts as air-entraining admixture and creates extra air voids.  
32  
33 Therefore it is recommended that air void analysis of the hardened concrete is part of the  
34  
35 experimental program, when investigating the properties of concrete with SAP. Only in  
36  
37 this way it is possible to conclude if a change in the property is directly related to SAP  
38  
39 addition or if it is related to the air entrained as a secondary effect. The air void analysis is  
40  
41 especially important, when the investigated property is dependent on air content, air void  
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43 structure, or both. This is in particular true for frost resistance of concrete. In this paper,  
44  
45 we will take our own medicine and examine some of our previous results on frost  
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47 resistance of concrete with SAP, where air void analysis was not originally performed [7].  
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49 The test results including a newly conducted air void analysis are here (re-)evaluated, see  
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51 section 3.  
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## 2. Literature Review

A RILEM technical committee working with SAP (RILEM TC 225-SAP) published a state of the art report in 2012. However, the chapter on concrete durability [10] was prepared almost two years before publication of the full state of the art report, and therefore it only covers references up to 2010 (references [5-8] of this paper). Considering the considerable additional knowledge acquired in the period 2010-2015, it seems timely with a new literature review.

When comparing results from different references describing the frost resistance of concrete with SAP, there are especially three aspects, which have to be considered: the type of SAP, the mix design (especially w/c), and the actual test methods. These three aspects are explained in further detail below.

### 2.1 Type of SAP

SAP production can be grouped into two types [1]:

- In the bulk solution polymerization process a monomer mix is put into a reaction vessel where it forms a gel. The gel is dried either as a whole or in smaller pieces. After drying, the gel is grounded to obtain the desired SAP particle size.
- In the suspension polymerization process a monomer or a solution containing a monomer is by stirring held as suspended droplets within a continuous, inert liquid phase. Normally the droplets are stabilized against coalescence by addition of a suspension aid. The polymerization process takes place in each droplet. The size and shape of the droplets and consequently also the final SAP particles depend on both stirring intensity and the type and amount of suspension aid. Increasing amounts of suspension aid and increasing

stirring intensity result in smaller SAP particles. In the end, the created SAP particles are isolated from the fluid, e.g. by filtration followed by drying.

Bulk solution polymerization results in SAP particles with irregular shape, whereas suspension polymerization usually results in spherical particles. The shape of the cavities created by SAP in concrete are identical to the shape of the dry SAP particles, the only difference being the larger size of the cavities due to swelling of SAP by water absorption.

2.2 Mix Design for Concrete with SAP

When dry SAP particles are added to a concrete mix, they will absorb some of the mixing water. This happens within a few minutes after they get in contact with water. When a reference concrete mix without SAP is compared to a concrete mix with SAP, it is important to note if the SAP is added with or without extra water to compensate the absorption of mixing water, see example in Fig. 1.

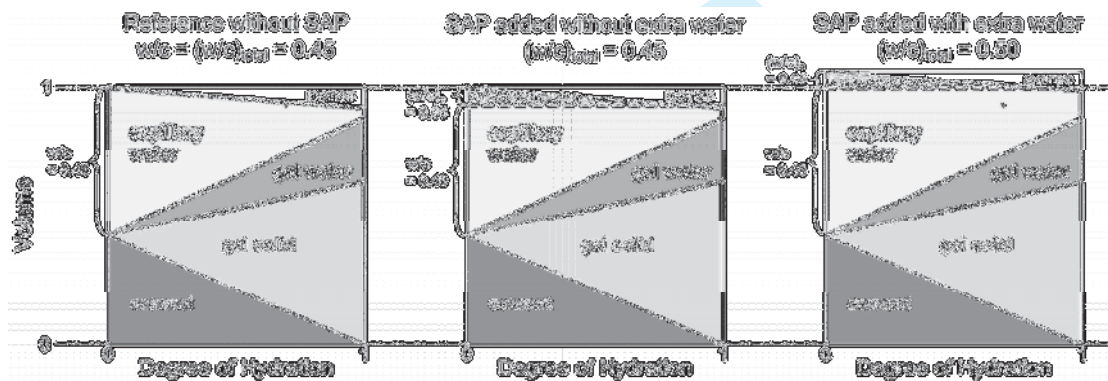


Fig. 1: Powers' diagram for sealed cement paste in three different situations, which demonstrates the difference between  $w/c$ ,  $(w/c)_e$ , and  $(w/c)_{total}$ . Left: Cement paste without SAP (reference),  $w/c=0.45$ . Center: Cement paste with SAP added, but without extra water.

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3 *Right: Cement paste with SAP and extra water added equivalent to the amount absorbed by*  
4 *SAP. In both cement pastes with SAP, SAP absorbs water equivalent to  $(w/c)_e=0.05$ .*  
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10 The theoretical background for water-entrained concrete with SAP is explained in [2]. The  
11 implications of adding SAP with or without extra water to counterbalance the SAP absorption  
12 is explained in the following, where the variables  $w/c$ ,  $(w/c)_e$ , and  $(w/c)_{\text{total}}$  all refer to the state  
13 of the fresh concrete after possible SAP particles have absorbed part of the mixing water:  
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- 18 •  $w/c$  is the water/cement ratio of the fresh cement paste surrounding possible SAP particles
  - 19 •  $(w/c)_e$  is the amount of water held by SAP relative to the cement mass of the system  
20 (index “e” refers to entrained water, using same nomenclature as for internal curing)
  - 21 •  $(w/c)_{\text{total}}$  is the total amount of water relative to cement mass;  $(w/c)_{\text{total}} = w/c + (w/c)_e$
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32 If SAP is added without extra water, the  $w/c$  of the cement paste is reduced. In the example in  
33 Fig. 1 (center), the  $w/c$  is reduced from 0.45 to 0.40. The total porosity of the two systems is  
34 identical. For the system with SAP, SAP created voids are part of the total porosity. In the  
35 beginning, they are liquid-filled, but they are gradually emptied during hydration. As regards  
36 e.g. transport phenomena, the total porosity is not governing, as very little transport takes  
37 place in relatively large, air-filled voids. It is the porosity of the cement paste surrounding the  
38 SAP voids (and the tortuosity of the pore system) that is governing. It can be seen that the  
39 porosity of the cement paste surrounding the SAP voids is less than the porosity of the  
40 reference paste. This is most pronounced at an advanced stage of hydration.  
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54 If SAP is added with extra water, the  $w/c$  of the cement paste excluding SAP voids is identical  
55 for the system with SAP (Fig. 1, right) and the reference system (Fig. 1, left). The total  
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3 porosity of the system with SAP is larger than the total porosity of the reference, but for equal  
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5 degree of hydration the porosity of the paste surrounding the SAP voids is identical to the  
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7 porosity of the paste of the reference. Therefore, the paste surrounding the SAP voids is  
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9 expected to have e.g. transport properties similar to the paste of the reference.  
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14 For  $w/c < 0.42$ , the availability of water may be a constraint for which reason full hydration  
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16 cannot be reached. If SAP is added without extra water, the maximum degree of hydration is  
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18 identical to the maximum degree of hydration of the reference, but if SAP is added with extra  
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20 water, the concrete may experience a higher degree of hydration due to the entrained water.  
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25 As documented by many authors, e.g. Powers [16], frost resistance of concrete can be  
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27 improved both by introducing an adequate air void system and by lowering the  $w/c$ . If  
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29 concrete with SAP and no extra water shows better frost resistance than a reference concrete  
30  
31 without SAP, it may be difficult to conclude if the positive effect of SAP is due to the  
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33 formation of voids or due to the lower  $w/c$  ratio.  
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### 38 *2.3 Test Methods*

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40 There are many national and international test methods to evaluate concrete frost resistance,  
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42 where the concrete specimens are exposed to consecutive freezing and thawing cycles to  
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44 accelerate the development of damage. Frost deterioration may appear as external damage in  
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46 the form of surface scaling and as internal damage in the form of cracking. Therefore, test  
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48 methods related to the ability to withstand freezing and thawing can be divided into two major  
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50 groups:  
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- Test methods, where the extent of external damage is measured. This can be done either by visual rating of a damaged surface or by collecting and quantifying the amount of material scaled off.
- Test methods, where the extent of internal damage is measured. When concrete cracks during frost action, the cracking is normally associated with expansion. The cracks also become an obstacle for sonic waves. Therefore, the development of internal damage can be observed through measurements of e.g. length change or ultrasonic pulse transition time, where the later can be related to the dynamic elastic modulus. Alternatively, internal cracking can be visually evaluated on epoxy impregnated plane sections, but here the cracking is difficult to quantify.

In Europe, testing is usually based on observation of external damage, and there is now a European technical specification for this, CEN/TS 12390-9 [17]. The reference method of this specification is very similar to the Swedish test standard SS 13 72 44 [18]. The so-called CDF-test (Capillary Suction, De-icing agent and Freeze-thaw-test [19]) developed by a RILEM technical committee is an alternative method of CEN/TS 12390-9.

Air void analysis of hardened concrete according to methods such as EN 480-11 [20] and ASTM C457 [21] can give information supplementary to the accelerated freeze/thaw test.

#### *2.4 Results Reported in the Literature*

Table 1 gives an overview of publications, which contain results from experimental investigation of the frost resistance of concrete with SAP.

Table 1: Overview of publications on frost resistance of concrete with SAP.

Ref.	Type of SAP <sup>a</sup>	Mix composition of tested concrete	Test method
[4] [5] <sup>b</sup>	Suspension polymerized Average size: 125 $\mu\text{m}$	<b>Reference:</b> w/c = 0.48 <b>SAP:</b> SAP added without extra water. w/c = 0.44; (w/c) <sub>e</sub> = 0.04	Salt frost scaling test: CDF test Internal damage: Ultrasonic velocity measurements
[6] [10] <sup>c</sup>	Suspension polymerized 2 SAP products: - B (2 size fractions ( $<63 \mu\text{m}$ ; $63\text{-}125\mu\text{m}$ )) - D (0-250 $\mu\text{m}$ )	<b>Reference:</b> 4 mixes, w/c = 0.42 (no AEA) and w/c = 0.48 (no AEA and 2 different dosages of AEA) <b>SAP:</b> 4 mixes, SAP added with extra water: w/c = 0.42 or 0.47 (only D); (w/c) <sub>e</sub> = 0.04	Salt frost scaling test: CDF test
[7]	Suspension polymerized 2 size fractions: 38-63 $\mu\text{m}$ 90-125 $\mu\text{m}$	<b>Reference:</b> w/c = 0.42 <b>SAP:</b> One test series for each size of SAP. Each series include 5 SAP dosages (see section 3). SAP added with extra water. (w/c) <sub>e</sub> up to 0.26	Salt frost scaling test: Reference method of CEN/TS 12390-9
[8]	Suspension polymerized Average size: 70 $\mu\text{m}$	<b>Reference:</b> Strain-hardening cement-based composite with PVA fibres. w/cm = 0.30; cm: 45% cement + 55% fly ash. <b>SAP:</b> SAP added both with and without extra water. In both cases (w/cm) <sub>e</sub> = 0.02.	Salt frost scaling test: CDF test
[9]	Suspension polymerized Size: 90-150 $\mu\text{m}$	<b>Reference:</b> 96% cement + 4% silica fume w/c <sub>eq</sub> = 0.40 <sup>d</sup> AEA, target air content 4.5% <b>SAP:</b> SAP added with extra water (w/c <sub>eq</sub> ) <sub>e</sub> = 0.07, no AEA	Salt frost scaling test: SS 13 72 44 Air void analysis: EN 480-11
[11]	Suspension polymerized 2 SAP products: - SAP1 (63-125 $\mu\text{m}$ ) - SAP2 (0-1.0 mm, 63% in range 250-500 $\mu\text{m}$ )	<b>Reference:</b> w/c = 0.44 <b>SAP:</b> SAP added without extra water SAP1: 3 dosages ((w/c) <sub>e</sub> : 0.01-0.04) SAP2: (w/c) <sub>e</sub> = 0.05	Salt frost scaling test: ISO/DIS 4846-2 Internal damage: Ultrasonic pulse velocity time (EN 12504-4)
[12]	3 SAP products: - A; bulk solution polymerized - D; suspension polymerized (100-125 $\mu\text{m}$ ) - SAF; SAP fibres, $\varnothing 21 \mu\text{m}$ , length 5.8 mm.	<b>Reference:</b> 4 mixes, w/c = 0.40 and w/c = 0.50, with and without AEA. <b>SAP:</b> 6 mixes (with SAF, D, or one of the following 4 size fractions of A: 45-63 $\mu\text{m}$ , 63-90 $\mu\text{m}$ , 100-125 $\mu\text{m}$ , 125-200 $\mu\text{m}$ ). SAP added with extra water. w/c = 0.42, (w/c) <sub>e</sub> = 0.08	Salt frost scaling test: CDF test Internal damage: Measurement of ultrasonic transit time Air void analysis: EN 480-11 and image analysis
[13]	Two types of SAP. No information regarding production process and size <sup>e</sup>	<b>Reference:</b> 80% cement and 20% fly ash, w/cm = 0.42, with AEA <b>SAP:</b> SAP added with extra water. For each type of SAP, 2 mixes are prepared: 1 with and 1 without AEA. w/cm = 0.42, (w/cm) <sub>e</sub> = 0.06	Internal damage: ASTM C666, procedure A
[14]	Suspension polymerized Size: 50-63 $\mu\text{m}$	<b>Reference:</b> w/c = 0.45 <b>SAP:</b> SAP added with extra water. 2 test series (SAP as received and SAP rinsed to remove surfactant). Each test series comprises 4 dosages of SAP ((w/c) <sub>e</sub> in range 0-0.06).	Salt frost scaling test: Reference method of CEN/TS 12390-9 Air void analysis: EN 480-11

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- 3 a. SAP size refers to diameter in the dry state.
- 4 b. Mönnig and Lura [5] do not make reference to Mönnig [4], but it seems to be the same results that are
- 5 presented. Here, the summary is mainly based on [5], as this paper describes the experiments in more details
- 6 than [4].
- 7 c. Results from salt frost scaling test are published in [6], but the paper does not specify the mix composition
- 8 of the tested concrete (reference is made to a publication in German). In [10] mix design, fresh concrete
- 9 properties as well as results from the salt frost scaling test are stated.
- 10 d.  $w/c_{eq} = w / (c + \frac{1}{2} \cdot fa + 2 \cdot sf)$ , w: water; c: cement; fa: fly ash; sf: silica fume (all constituents by mass).
- 11 e. It is mentioned that it is the same two types of SAP that are used for a RILEM round robin. If it is the same
- 12 two types as used in the round robin reported in [22], then SAP1 is a bulk solution polymerized SAP, size:
- 13 0-1000  $\mu\text{m}$  ( $d_{50}$ : 324  $\mu\text{m}$ ), and SAP2 is a suspension polymerized SAP, size 0-1500  $\mu\text{m}$  ( $d_{50}$ : 586  $\mu\text{m}$ ).
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For Review Only

### General observations

In most studies it is concluded that SAP improves concrete frost resistance. Concrete with SAP generally performs better than reference concrete without SAP and without air entrainment. The only exception is the highest dosage of SAP1 in [11], where the scaling after 30 freeze/thaw cycles is higher than the scaling of the reference concrete. However, scaling for the two mixes are of the same order of magnitude, and the test surface for each concrete mix is only 78.5 cm<sup>2</sup>, i.e. less than 10% of the required test area of the reference method of CEN/TS 12390-9, so the significance of the finding is questionable. When concrete with SAP and no air entrainment is compared to a reference concrete with air entrainment, the concrete with air entrainment typically has the better frost resistance.

The improvement of frost resistance due to SAP addition has been observed both in salt frost scaling tests and in tests, where it is possible to detect internal damage. It has been observed for a variety of concrete mix designs, i.e. w/cm in the range 0.30-0.45, and in mixes with cement as only powder as well as in mixes with supplementary cementitious materials such as fly ash or silica fume. The positive effect of SAP has also been observed for bulk solution polymerized (gel polymerized) SAP as well as for suspension polymerized SAP.

### The importance of SAP absorption capacity

It is an open question if SAP should be added with or without extra water. What makes up the true comparison for concrete with SAP: a reference concrete that has w/c equivalent to  $(w/c)_{total}$  of the concrete with SAP or a reference concrete, where w/c is identical to w/c of the SAP mix? In the study by Brüdern and Mechtcherine [8], SAP was added both with and without extra water. Both SAP mixes showed less scaling than the reference concrete. Of the two SAP mixes, concrete where SAP was added without extra water showed slightly lower

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3 scaling than the concrete where SAP was added with extra water to compensate SAP moisture  
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5 absorption in the fresh concrete. This is probably due to the effect of reduced w/c, when SAP  
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7 is added without extra water. In the study by Reinhardt, Assmann, and Mönning [6, 10], there  
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9 were 2 reference mixes. Reference mix with w/c = 0.42 showed scaling of approx. 1000 g/m<sup>2</sup>  
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11 after 28 freeze/thaw cycles, whereas reference concrete with w/c = 0.48 showed scaling of  
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13 approx. 2500 g/m<sup>2</sup>. The two mixes with SAP B (w/c = 0.42, (w/c)<sub>e</sub> = 0.06) showed scaling of  
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15 approx. 1000 and 2000 g/m<sup>2</sup>, respectively. When comparing with the reference with w/c =  
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17 0.48, SAP addition unequivocally reduced salt frost scaling. When comparing to reference  
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19 with w/c = 0.42, SAP addition had none or even a negative effect on concrete frost resistance.  
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21 Like this, the conclusion may depend on the choice of reference concrete.  
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28 In Table 1, the dosage of dry SAP is not mentioned. Instead the (w/c)<sub>e</sub> or (w/cm)<sub>e</sub> is stated for  
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30 the mixes in the cited publications. This is because it is assumed that it is the created SAP  
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32 voids that are important for the frost resistance. The dosage of dry SAP does not hold  
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34 information on the created SAP void system, but the volume of SAP voids correlates to  
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36 (w/c)<sub>e</sub>. The SAP absorption capacity (g/g dry SAP) is the link between dosage of dry SAP  
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38 (relative to cement mass) and (w/c)<sub>e</sub>. The SAP absorption capacity can be measured in several  
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40 ways:  
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- 45 • Measurement of SAP absorption in artificial or extracted pore fluid. (Note: For many SAP  
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47 types the absorption capacity is highly dependent on the ionic strength and ionic  
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49 composition of the liquid medium. The absorption capacity measured in pure water is  
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51 much higher than the absorption capacity measured in an ion solution. Therefore, it is  
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53 misleading to assume that the absorption measured in pure water is representative for SAP  
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55 placed in fresh concrete.) The measurement may be carried out by observing the swelling  
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3 of a single particle, by the so-called “tea bag method” (which is a gravimetric method that  
4 strictly measures water retention instead of water absorption), or by observing the  
5 volumetric change of a certain amount of SAP [23].  
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10 • Comparison of workability of concrete with SAP, where  $(w/c)_{total}$  and SAP dosage is  
11 known, and workability of concrete mixes without SAP with varying w/c ratios. It is  
12 assumed that concrete with SAP after absorption has the same w/c as the concrete with  
13 similar workability. From the difference between  $(w/c)_{total}$  and w/c, the apparent  
14 absorption is calculated. This method is described e.g. in [4].  
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20 • Deduction from void analysis of hardened concrete; i.e. comparison of dry SAP particle  
21 size and measured SAP void size in hardened concrete or comparison of SAP void volume  
22 in hardened concrete and SAP dosage known from mix design [23].  
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30 It is difficult to predict the accurate SAP swelling that will take place in concrete. In the  
31 studies presented in Table 1, measurements of absorption capacity in artificial pore fluid or  
32 derivation from workability measurements are the preferred methods; void analysis of  
33 hardened concrete is only performed in few occasions. If the absorption capacity has been  
34 measured in more than one way,  $(w/c)_e$  stated in Table 1 is based on measurements in  
35 artificial pore fluid. If SAP is added with extra water, it is necessary to know the SAP  
36 absorption capacity in advance to calculate the amount of extra water that should be added.  
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39 However, this is a difficulty, as different methods yield different results, and it is laborious  
40 after mixing to check that the assumed absorption has taken place.  
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51 The uncertainty associated with the absorption capacity is maybe most exposed, when SAP is  
52 added with extra water. In this case it assumed that concrete mixes have identical w/c, but  
53 both w/c and  $(w/c)_{total}$  are varying, if the estimated absorption capacity is wrong. If SAP is  
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3 added without extra water,  $(w/c)_{total}$  is independent of the absorption capacity. However,  
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5 inaccurate estimates of the absorption capacity is equally problematic, when SAP is added  
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7 with and without extra water, so in both cases the absorption capacity is an important point of  
8  
9 attention.  
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#### 11 12 13 Air entraining effect of suspension polymerized SAP

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16 As mentioned, most studies observe that SAP addition improves concrete frost resistance. In  
17  
18 continuation of such observations, it is interesting to focus on the explanation for such an  
19  
20 effect. All studies listed in Table 1 are fully or partly based on results for concrete with  
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22 suspension polymerized SAP, and as demonstrated by Laustsen et al. [14], suspension  
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24 polymerized SAP may contain an air entraining surfactant. Therefore, it is an obvious  
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26 question, if the effect of SAP addition on frost resistance is due to SAP generated voids or due  
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28 to an air entraining effect of suspension polymerized SAP (or a third effect, yet to be  
29  
30 discovered).  
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36 Only in three of the studies [9, 12, 14], air void analysis has been conducted. Only in these  
37  
38 studies it is possible to compare the expected SAP void structure and the actual void structure  
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40 of the concrete, thereby conjecturing about the amount and void structure of the  
41  
42 unintentionally entrained air. However, in several of the studies with suspension polymerized  
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44 SAP, there are actually indications of extra entrained air:  
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- 49 • In [5] it is mentioned that “*The mixing of the concrete containing SAP caused foaming of*  
50 *this mixture*”. The foaming was attributed to the increased dosage of superplasticizer in  
51  
52 the SAP mix, which was necessary to have comparable workability of the two mixes, as  
53  
54 SAP was added without addition of extra water. In the paper it is assumed that the air  
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3 bubbles collapsed during compaction and vibration of the moulds. However, some of the  
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5 air bubbles may have remained in the concrete. The air content measured in the fresh  
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7 concrete was 1.7% for the reference mix, and 5.2% for the SAP mix, respectively. The air  
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9 content in the fresh concrete does not include the SAP voids, as they are moisture-filled in  
10  
11 the fresh concrete. The densities of the hardened concrete were also measured, and the  
12  
13 difference in densities of the hardened concrete for the two mixes corresponds to a  
14  
15 difference in air content of 1.3% in the hardened concrete.  
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- 18 • In [6], two different suspension polymerized SAP products were tested, the products being  
19  
20 identified as SAP B and SAP D, respectively. The authors noticed that SAP D caused  
21  
22 extra air in the fresh concrete. According to [10], the air content in the fresh concrete with  
23  
24 SAP D was 4.0-5.4%, whereas it was only 0.5-1.0% for mixes with SAP B (same level as  
25  
26 reference mixes without an air-entraining admixture, i.e. the natural air content). This  
27  
28 indicates that SAP D entrained extra air, whereas SAP B did not.  
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- 31 • In [12] freeze/thaw testing was carried out for concrete with SAP of different origin (bulk  
32  
33 solution polymerized SAP, suspension polymerized SAP, and SAP fibres). It was  
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35 observed during mixing that the suspension polymerized SAP was associated with extra  
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37 air entrainment (measured air content in fresh concrete: 4.5%), and this was confirmed by  
38  
39 the air void analysis of the hardened concrete, where the total air content was 6.5% and  
40  
41 the spacing factor 0.22 mm. Based on the amount of SAP in the mix and the absorption  
42  
43 capacity, the volume of SAP voids in the hardened concrete was expected to be less than  
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45 3.5%.  
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51 The majority of the other studies do not mention that extra entrained air has been noted by  
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53 observation or by direct measurement, but they do not show measurements that can exclude  
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55 the presence of extra air either. The possible, but not documented, presence of extra entrained  
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3 air voids weakens the conclusions from studies where air void analysis on hardened concrete  
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5 has not been conducted, as it is not clear if the positive effect of SAP is due to voids created  
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7 by SAP or extra air voids possibly entrained by surfactant residue. Only in [14], where  
8  
9 suspension polymerized SAP has been through a cleaning process to remove the surfactant,  
10  
11 and air void analysis has proven that air voids other than SAP voids are negligible (and where  
12  
13 SAP is added with extra water, so the effect cannot be put down to reduction of w/c), it seems  
14  
15 evident that improved frost resistance can be attributed to voids created by suspension  
16  
17 polymerized SAP.  
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22 The positive effect of SAP addition on frost resistance is also noted in the study of Assmann  
23  
24 [12] for a bulk solution polymerized SAP. Here, the effect of SAP cannot be due to  
25  
26 unintended addition of a surfactant used in the SAP production process, as surfactant is not  
27  
28 used for production of this type of SAP. However, it is not possible completely to rule out that  
29  
30 also bulk solution polymerized SAP can carry components with air entraining effects. This  
31  
32 could e.g. be traces of monomer or extractable pieces of polymer, in particular when crushing  
33  
34 of the solid gel has led to cleavage of crosslinks. These substances could potentially also  
35  
36 influence the formation of air voids. However, in this study, air void analysis of hardened  
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38 concrete has confirmed that the majority of voids are SAP voids, so also here it seems evident  
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40 that the improved frost resistance is solely due to voids generated by the SAP particles.  
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#### 47 The effect of SAP size on frost resistance

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49 The relation between air void size and frost resistance has been subject to research for many  
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51 years. In one of the early studies of concrete frost resistance, Powers in 1945 formulated the  
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53 following corollary [25]:  
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3 *Given a total air space greater than the possible amount of expansion, the protection of*  
4 *concrete will be greater the smaller the average size of the individual air voids.*  
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10 The mechanism or mechanisms leading to frost deterioration are not yet fully understood.  
11 Therefore, it is not known if the relation between air void structure and development of  
12 internal frost damage is identical to the relation between air void structure and development of  
13 external frost damage. However, for both internal and external frost damage the effect of  
14 small, finely distributed voids is indisputable, and therefore the spacing factor concept [26] is  
15 often used in both cases.  
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25 In [14], it is concluded that SAP voids work as air voids of similar size, so the spacing factor,  
26 taking all voids into account (SAP voids and other voids), can be used as indicator for frost  
27 resistance. Thus, for equivalent SAP dosages, smaller SAP particles should provide better  
28 frost protection than larger SAP particles. This can explain why there in the publications  
29 listed in Table 1 seems to be a size effect, where concrete with large SAP particles does not  
30 become frost resistant. For example in [13], where a large proportion of the swelled SAP  
31 particles presumably are more than 1 mm in diameter, the concrete with SAP and without  
32 AEA degrades during the last 100 of the 300 freeze/thaw cycles of the test method, whereas  
33 the reference concrete with air entrainment sustain the test. The concrete with SAP may have  
34 a total void volume that is similar to air entrained concrete, but due to the large SAP voids,  
35 the spacing factor is much higher than for the air entrained concrete.  
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51 In the studies [6, 7, 10, 12] SAP size is one of the variables studied. Among these, the study  
52 by Assmann [12] presents the most thorough documentation. The study comprises four  
53 different SAP mixes with bulk solution polymerized SAP. The dosage of SAP is the same in  
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3 all four mixes, but the particle size varies. Bulk solution polymerized SAP has an irregular  
4 shape in the dry state, leading to SAP voids in the hardened concrete that are non-spherical.  
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6 As supplement to a traditional air void analysis according to EN 480-11, image analysis of  
7  
8 plane sections was performed. The image analysis identified the shape of void cross sections,  
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10 so it was possible to make separate analysis on SAP voids (voids with irregular shape) and air  
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12 voids with circular cross sections. In this way it was possible to quantify SAP void sizes and  
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14 SAP void volume. The image analysis could confirm similar SAP void volume in all four  
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16 mixes, i.e. differences in absorption capacity could be ruled out as explanation for differences  
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18 in frost resistance. Therefore, it was expected that mixes with larger SAP particles would  
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20 show more scaling, as the mixes with larger particles also have the larger spacing factors.  
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22 This pattern fits for the three of the four mixes with the largest SAP particles,  
23  
24 but not for the mix with the smallest SAP particles (45-63  $\mu\text{m}$  in the dry state). This mix  
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26 shows less scaling than a reference mix without air entrainment, but more scaling than  
27  
28 concrete mixes with larger SAP particles. There is no explanation for this observation. From  
29  
30 the same mixes, specimens were cast for other types of testing (compressive strength,  
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32 abrasion resistance), and none of these results indicated that something was wrong with the  
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34 45-63  $\mu\text{m}$  mix. Likewise, the image analysis confirms that the large number of small SAP  
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36 voids is present, so the result from the salt frost scaling test is hard to blame on an erroneous  
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38 test.  
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Results that could point in the same direction were presented earlier [6, 10]. This study is more uncertain, due to the lack of air void analysis of hardened concrete and therefore a potential risk that unintentionally entrained air can influence the result. It showed however that for SAP B, the concrete with SAP < 63  $\mu\text{m}$  developed approximately twice as much

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3 scaling as the concrete with same SAP dosage in the size range 63-125  $\mu\text{m}$  (approximately  
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5 2000  $\text{g}/\text{m}^2$  and 1000  $\text{g}/\text{m}^2$ , respectively, after 28 freeze/thaw cycles).  
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10 The results of the salt frost scaling tests in [6, 10, 12] challenges the commonly accepted  
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12 relation between void size and frost resistance, but it is in line with theories by e.g. Fagerlund  
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14 [27], which says that voids can become too small, as small air voids will become water-filled  
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16 and therefore not act as air voids during frost action.  
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20 Like this, the relation between SAP void size and frost resistance is still an unresolved  
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22 question. On one hand, there are indications in the literature that for a constant total SAP void  
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24 volume, smaller voids offer better frost protection than larger voids. This can explain why  
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26 there in some studies is observed none or very little effect of SAP addition, as they are based  
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28 on SAP with large particle size. This can also explain why the frost resistance of concrete  
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30 with SAP apparently is inferior to the frost resistance of concrete with air entraining agent, as  
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32 this may be because the SAP void size distribution is coarser than typical air voids in air  
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34 entrained concrete. On the other hand, there are also indications in the literature that concrete  
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36 with the smallest SAP voids that otherwise should be the most efficient in ensuring frost  
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38 protection shows signs of more severe frost damage than concrete with larger SAP voids.  
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45 With traditional air entraining agent, it is almost impossible precisely to control void size and  
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47 total void volume at the same time. SAP technology offers this opportunity, and this makes it  
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49 a strong future research tool. It seems to be an obvious topic for further research to use SAP  
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51 to investigate the relation between void size and frost resistance. In most cases, the swollen  
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53 SAP has the same shape as the dry SAP, it just becomes enlarged, so SAP can in principle be  
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55 used for research on the relation between void shape and frost resistance, too. If SAP voids  
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3 act as entrained air voids, as pointed out by some studies, this cannot only provide more  
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5 knowledge about the frost resistance of concrete with SAP, but also knowledge on the relation  
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7 between air void structure and frost resistance in general.  
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### 10 11 **3. Experimental Study of Concrete with SAP and Air-entraining Admixture** 12 13

14 At the time of the original experiment reported in [7], the air entraining effect of suspension  
15 polymerized SAP was not realized, and it was not considered necessary to conduct air void  
16 analysis on hardened concrete. However, when the air entraining effect was discovered in  
17 [14], it became clear that it could change the conclusions of [7]. The conclusions of [7] were  
18 controversial, as they stated that the frost resistance of concrete with SAP mainly depended  
19 on the SAP void volume, not the spacing factor, and as a consequence, for concrete with  
20 similar spacing factor, concrete with large SAP voids would show better frost resistance than  
21 concrete with smaller SAP voids.  
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34 The results reported in [7] originate from a master thesis [24]. The thesis also comprised five  
35 mixes with air entraining agent that were not reported in [7]. Small samples of 9 of 10 SAP  
36 mixes as well as samples from the reference mix and the air entrained mixes had meanwhile  
37 been stored in an archive. Therefore air void analysis according to EN 480-11 could be  
38 conducted several years after the original study. It was considered worthwhile to look into the  
39 old samples and data again. As can be seen from the literature review, this study with 10  
40 concrete mixes with SAP addition makes it one of the largest studies on frost resistance of  
41 concrete with SAP, and as regards the amount of entrained water  $(w/c)_e$ , it spans over a wider  
42 range than the other studies. Moreover, though five years have past, studies where the  
43 measurements of concrete frost resistance are backed-up with measurements of air void  
44 analysis on hardened concrete are still sparse.  
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The new data from analysis of hardened concrete is presented in the following. Though most of the experimental details are described in [7] (all except the air void analysis), the experimental procedure is also covered in the present paper, to enable that this paper can be read independently.

### 3.1 Materials and Methods

Table 2 shows the composition of the reference mix in the study:

Table 2: Composition of 1 m<sup>3</sup> reference concrete without SAP (w/c = 0.42).

Material	Type	Size [mm]	Density [kg/m <sup>3</sup> ]	Mass [kg]	Volume [m <sup>3</sup> ]
Cement	CEM I 52.5	-	3150	390	0.124
Water	Tap water	-	1000	164	0.164
Sand <sup>a</sup>	Sea dredged	0-4	2601	774	0.297
Coarse aggregate I <sup>a</sup>	Sea dredged	4-8	2642	333	0.126
Coarse aggregate II <sup>a</sup>	Sea dredged	8-16	2637	722	0.274
Entrapped air (estimate)	-	-	-	-	0.015

a. Aggregates are in saturated, surface dry condition.

The SAP is a covalently crosslinked acrylamide/acrylic acid copolymer, produced by suspension polymerization. The density of the dry SAP is approximately 1500 kg/m<sup>3</sup>, and the absorption capacity in synthetic pore fluid is 12.5 g/g. The dry SAP was by sieving divided into size fractions 38-63 μm and 90-125 μm. The absorption capacity corresponds to that the SAP particle diameter increases with a factor of 2.65, when the dry SAP gets in contact with water in the fresh concrete, and therefore the two size fractions were expected to result in SAP

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3 voids with diameters of approximately 150  $\mu\text{m}$  and 300  $\mu\text{m}$ , respectively. The swelled  
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5 diameter is used to identify mixes.  
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10 The mixes with SAP were identical to the reference concrete, except from addition of dry  
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12 SAP and extra water to compensate the absorption of SAP. For each SAP size, five different  
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14 dosages were tested. For the small SAP size, dosages corresponded to 1-10% SAP void  
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16 volume relative to the paste volume of the concrete. For the larger SAP size, dosages  
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18 corresponded to 5-35% SAP void volume relative to paste volume.  
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23 The five mixes with air entrainment were identical to the mix presented in Table 2, except  
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25 from the use of air entraining agent, which resulted in air contents in the fresh concrete in the  
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27 range 2.4-6.0%.  
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32 Slump and total air content were measured for the fresh concrete according to methods EN  
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34 12350-2 [28] and EN 12350-7 [29] (results were not published in [7]).  
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39 Salt frost scaling test was carried out according to the reference method of CEN/TS 12390-9  
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41 [17]. A rubber sleeve was mounted on all specimens ( $\text{\O}150 \times 50$  mm cylindrical specimens).  
42  
43 The sleeve made it possible to establish a water reservoir on top of the specimen. When the  
44  
45 specimen was 28 days old, it was first subject to 3 days of capillary suction, by placing  
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47 demineralized water in the reservoir. On the 31<sup>st</sup> day after casting, the demineralized water  
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49 was replaced by 3% NaCl solution, and freeze/thaw action started. The specimens were  
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51 placed in a freezing cupboard, which performed a freeze/thaw cycle going from +20°C  
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53 to -20°C and back to +20°C every 24 hours. The test was continued for 56 freeze/thaw cycles,  
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where scaled material was collected from the test surface after 7, 14, 28, 42, and 56 freeze/thaw cycles.

### 3.2 Results

Mixes with air entraining agent are labeled according to the air content in fresh concrete in % (for example: AEA-2.4). The SAP mixes are labeled according to SAP size and SAP dosage in % of cement mass (for example 150  $\mu\text{m}$  SAP-0.06).

The results of the measurements of fresh concrete properties are shown in Figs. 2 and 3:

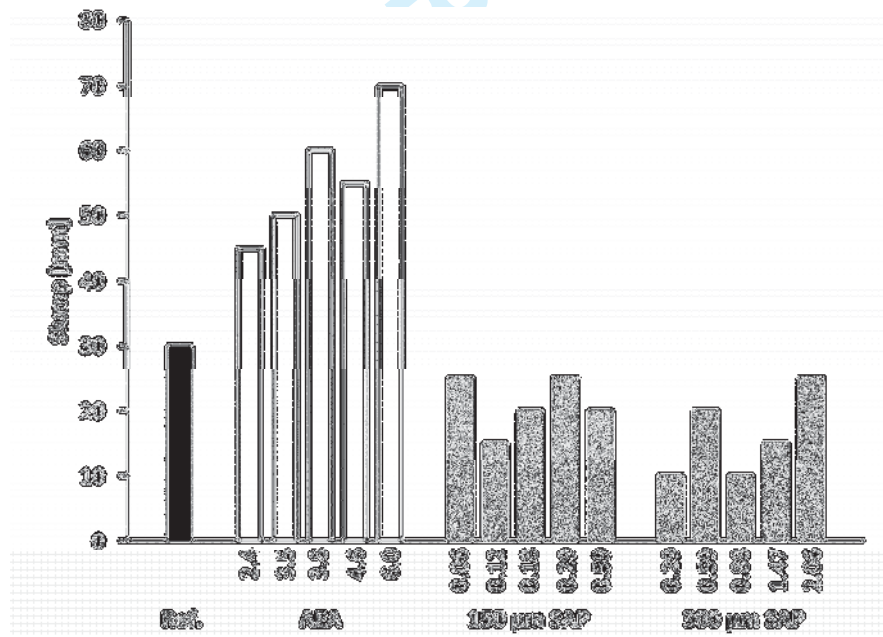


Fig. 2: Measured slump according to EN 12350-2.

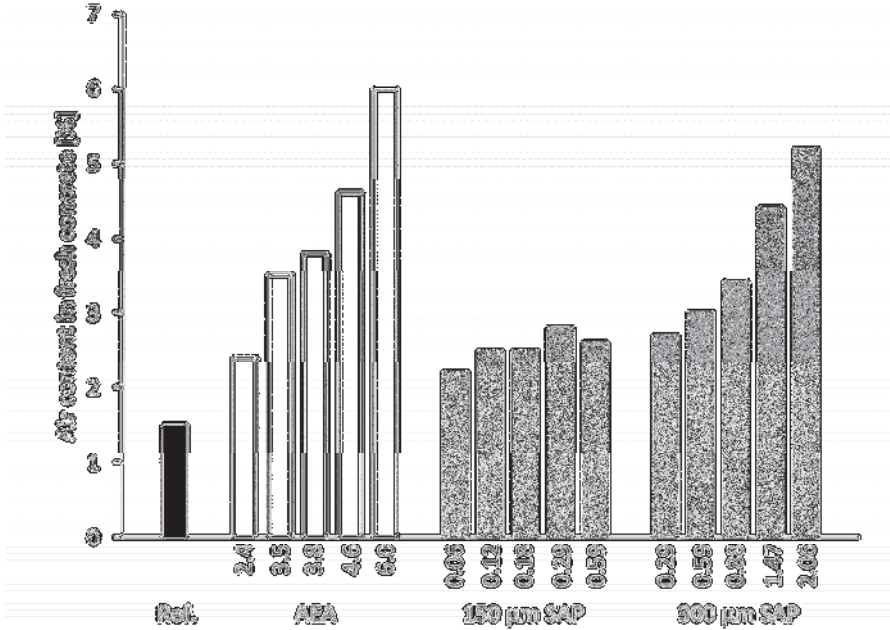


Fig. 3: Air content in fresh concrete measured according to EN 12350-6 (pressure method).

The results of tests on hardened concrete are shown in Table 3:

Table 3: Results from tests of hardened concrete (*A*: total air content, *S*: specific surface, *L*: spacing factor).

	Theoretical air void parameters, SAP voids only			Measured air void parameters			Salt frost scaling after 56 F/T cycles [kg/m <sup>2</sup> ]
	<i>A</i> [%]	<i>S</i> [mm <sup>-1</sup> ]	<i>L</i> [mm]	<i>A</i> [%]	<i>S</i> [mm <sup>-1</sup> ]	<i>L</i> [mm]	
Without SAP and AEA							
Ref.	-	-	-	1.6	12	0.72	2.97
AEA							
2.4	-	-	-	3.0	24	0.26	0.10
3.5	-	-	-	3.1	24	0.25	0.03
3.8	-	-	-	5.0	27	0.18	0.06
4.6	-	-	-	4.8	31	0.16	0.06
6.0	-	-	-	7.7	30	0.12	0.02
150 μm SAP							
0.06	0.29	24	0.36	missing	missing	missing	0.58
0.12	0.58	24	0.28	2.4	13	0.50	0.21
0.18	0.86	24	0.24	2.9	15	0.42	0.21
0.29	1.44	24	0.19	3.0	22	0.29	0.16
0.59	2.88	24	0.14	3.7	20	0.27	0.13
300 μm SAP							
0.29	1.44	12	0.40	3.2	20	0.30	0.19
0.59	2.88	12	0.30	5.5	17	0.28	0.14
0.88	4.31	12	0.25	7.3	19	0.20	0.10
1.47	7.19	12	0.20	9.8	22	0.12	0.11
2.06	10.06	12	0.15	12.5	22	0.09	0.10

### 3.3. Discussion

As expected, the air content in the fresh concrete with air entraining agent increases, as the dosage of air entraining agent is increased. Increase of dosage of air entraining agent also increases the measured slump. For the 150  $\mu\text{m}$  SAP mixes, the air content in the fresh concrete is almost identical (2.2-2.8%), the level being slightly higher than the air content measured in the reference mix (1.6%). For 300  $\mu\text{m}$  mixes, the air content in the fresh concrete increases with increasing SAP dosage (from 2.7% to 5.2%). Clearly there was an indication of extra entrained air in the SAP mixes. However, as the risk of extra entrained air was not realized at the time of testing, the increase in air content in the fresh concrete was interpreted as a result of poor workability. The SAP mixes were all stiffer than the reference mix. In the two mixes with the highest SAP dosages (300  $\mu\text{m}$  SAP-1.47 and SAP-2.06), extra water had to be added, so the w/c for these mixes became 0.45; without the extra water, the mixes were too stiff for casting.

Fig. 4 shows a comparison between air content measured in the fresh concrete and in the hardened concrete.

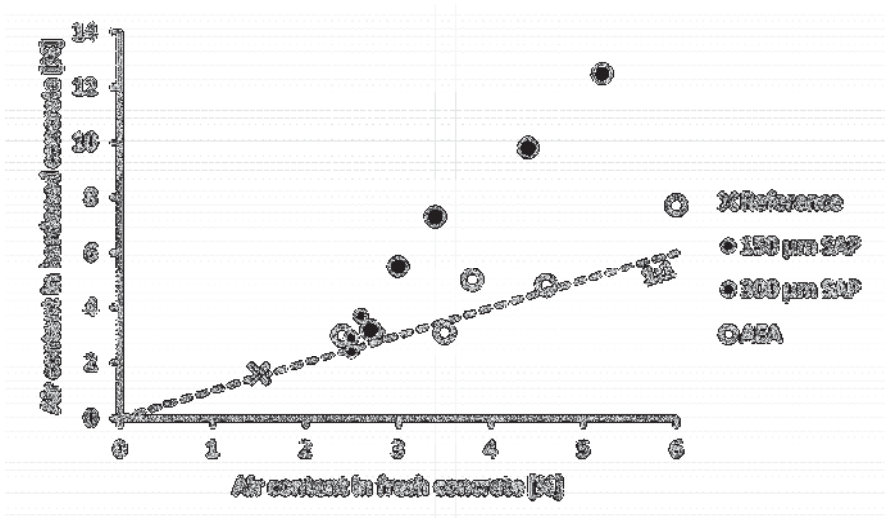


Fig. 4: Relation between air content in fresh and hardened concrete.

In Fig. 4, it seems like there is an almost 1-to-1 relation for air content in fresh concrete and in hardened concrete for the reference mix and the mixes with air entraining agent. For mixes with SAP, the air content measured in the hardened concrete is higher than what is measured in the fresh concrete. This is to be expected, as the SAP voids are liquid-filled in the fresh concrete and therefore their volume is not measured by the pressure-meter test.

If the volume of air voids, i.e. voids other than SAP voids, are measured correctly in the fresh concrete, then the difference between total air content of hardened concrete and total air content in the fresh concrete can be related to the absorption capacity of SAP, see Fig. 5.

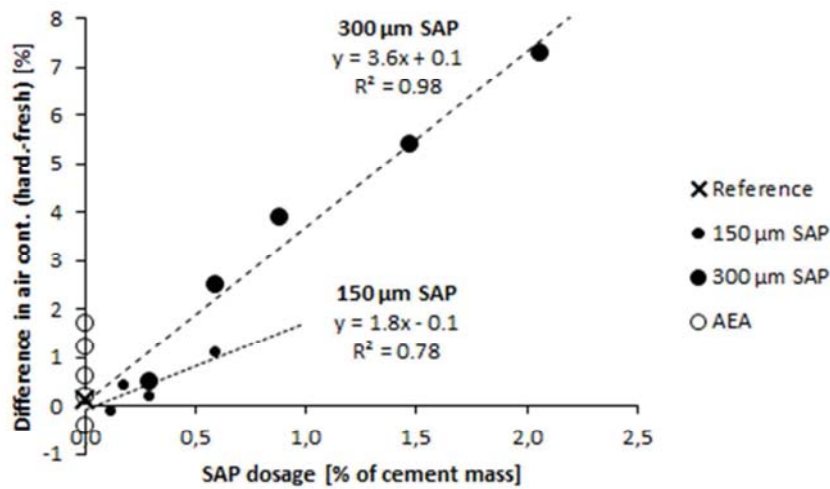


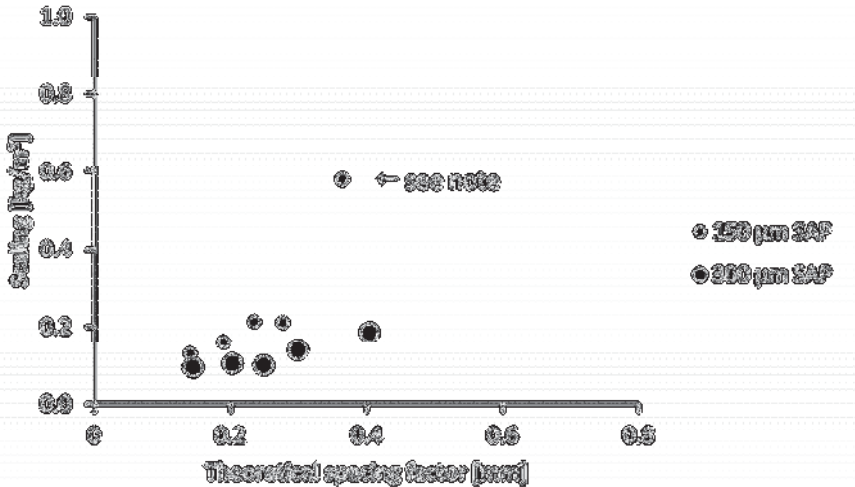
Fig. 5: Difference between air content measured in hardened concrete and in fresh concrete, respectively, mapped as a function of SAP dosage.

In Fig. 5 the point of the reference mix is included in calculation of the regression lines, corresponding to having a point where the SAP dosage is 0% in each SAP series. For 300 μm SAP the regression line shows a clear trend. For each % of SAP relative to cement mass, a SAP void volume is created equivalent to 3.6% of the concrete volume. This corresponds to a SAP absorption capacity of 8.6 g/g, i.e. significantly lower than the anticipated 12.5 g/g. For 150 μm SAP, the created SAP volume is lower; 1.8% of concrete volume for each % of SAP relative to cement mass. As indicated by the  $R^2$ - values, the result for 150 μm SAP is more uncertain than the result for 300 μm SAP. This is because the 150 μm SAP series spans a much smaller dosage interval, and therefore measuring uncertainties become more pronounced.

The SAP dosages of the present study are relatively high. A SAP dosage of 2.06% and an absorption capacity of 12.5 g/g corresponds to  $(w/c)_e = 0.26$ , i.e. much higher than in mixes in any other study presented in Table 1. Due to the high SAP dosages, the present study also

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3 becomes more sensitive to a faulty estimate of the absorption capacity. If the absorption  
4 capacity is 8.6 g/g instead of 12.5 g/g, this corresponds to a change in actual w/c of 0.08 for  
5 the 300 μm SAP-2.06 mix.  
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12 Figs. 6 and 7 show the cumulated scaling after 56 freeze/thaw cycles. In Fig. 6, the scaling is  
13 plotted as a function of the theoretical spacing factor. The theoretical spacing factor is  
14 calculated from the expected average size of the swollen SAP and the expected total volume  
15 of SAP voids, assuming an absorption capacity of 12.5 g/g. Hence it is only possible to  
16 calculate a theoretical spacing factor for concrete mixes with SAP. In Fig. 7, the scaling is  
17 plotted as a function of the measured spacing factor, and here, there are measurements for  
18 SAP mixes as well as the reference and concrete mixes with air entraining agent.  
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48 Fig. 6: Salt frost scaling after 56 freeze/thaw cycles vs. the theoretical spacing factor  
49 calculated from the expected SAP voids. Note: Sample from this mix was lost, so air void  
50 analysis on hardened concrete has not been carried out for this mix.  
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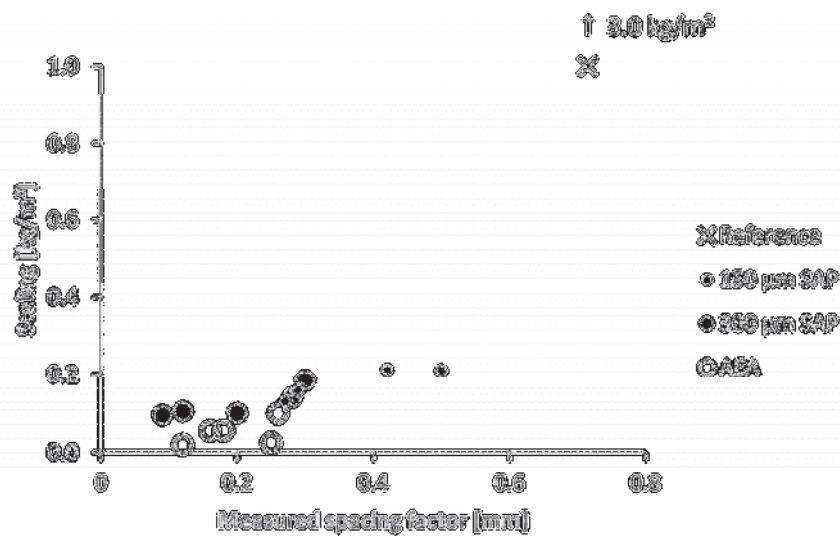


Fig. 7: Salt frost scaling after 56 freeze/thaw cycles vs. the spacing factor measured on polished, color impregnated plane sections of hardened concrete.

A figure similar to Fig. 6 was also published in [7]. The figure indicates that for a certain spacing factor, concrete with 300 µm SAP seems to have better frost resistance, i.e. less scaling, than concrete with 150 µm SAP. This indicates a size effect that the spacing factor does not account for. This was also the conclusion drawn in [7].

The difference between 150 µm SAP and 300 µm SAP has disappeared in Fig. 7. Here, it looks like the scaling results for all SAP mixes may belong to the same trend line. There is no longer basis for arguments in favor of a size effect.

At low spacing factors, the mixes with air entraining agent show less scaling than concrete with SAP. The three SAP mixes with spacing factors lower than 0.20 mm are all 300 µm SAP mixes with high SAP dosages, so here the difference between SAP mixes and air entrained mixes probably are due to differences in w/c, as explained earlier in this section. It seems



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3 likely that if w/c of all mixes had been comparable, then SAP mixes and air entrained mixes  
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5 would have followed the same trend line. As the measured spacing factor both takes SAP  
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7 voids and other voids into account, Fig. 7 seems to support the statement that SAP voids work  
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9 as air voids, which was the conclusion of [14].  
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14 In the swollen state, the 150  $\mu\text{m}$  SAP particles in the present study have a size comparable to  
15  
16 the smallest SAP size in the study by Assmann [12]. On one hand, the present study cannot  
17  
18 confirm the finding of Assmann, saying that a certain addition of very small SAP particles is  
19  
20 less effective in improving frost resistance than the same addition of slightly larger SAP  
21  
22 particles. On the other hand, the present study cannot disprove the finding of Assmann either.  
23  
24 In the present study, it is not possible to isolate the effect of SAP voids; the observed salt frost  
25  
26 scaling is the result of a combined effect of SAP voids and entrained air voids due to  
27  
28 surfactant on SAP, and the effect of entrained air voids may even be the dominating effect. To  
29  
30 examine a possible size effect of SAP voids, it is necessary to set up a study, where the effect  
31  
32 of SAP voids on frost resistance is not over-shadowed by the effect of other voids.  
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#### 39 **4. Conclusion**

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41 The literature review and the experimental study presented in this paper point in the same  
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43 direction:  
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- 48 • It is clearly demonstrated that SAP addition can improve the frost resistance of concrete,  
49  
50 compared to concrete without SAP and air entraining agent. This is both the case, when  
51  
52 SAP is added with and without extra water to counterbalance the SAP water absorption in  
53  
54 the fresh concrete. In the first case, the improved frost resistance depends on the voids  
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3 created by the SAP. In the latter case, the improvement of frost resistance is a result of  
4  
5 both the voids created by SAP and the lowering of w/c due to SAP absorption.  
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- 7  
8 • When studying the influence of SAP on concrete properties, it is vital to keep track of the  
9  
10 SAP voids, i.e. to document their existence (sizes and number) in the hardened concrete.  
11  
12 Not doing so, i.e. assuming a certain SAP void structure in the hardened concrete  
13  
14 extrapolated from SAP dosage, particle size of dry SAP and SAP absorption capacity,  
15  
16 entails large uncertainties for the conclusions. This is true for all concrete properties that  
17  
18 depend on concrete air content. However, it is especially true for frost resistance, as this  
19  
20 property is very closely linked to the void structure of the concrete. The importance of  
21  
22 documenting the voids in the hardened concrete is true for all types of SAP, but especially  
23  
24 for suspension polymerized SAP. This type of SAP may hold small amounts of surfactant  
25  
26 used in the production process and therefore the use of suspension polymerized SAP  
27  
28 involves a risk of getting extra entrained air. The extra entrained air may significantly  
29  
30 change the performance of the concrete.  
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- 33  
34 • The basic premise for the spacing factor concept, which states that for a certain total void  
35  
36 volume smaller voids are more effective than larger voids in providing frost protection,  
37  
38 seems to be true for SAP voids. However, some studies point to that there is a lower size  
39  
40 limit for this assumption; the smallest SAP voids do not contribute to frost protection or at  
41  
42 least they contribute to a less extent than larger voids. The experimental study of the  
43  
44 present paper could not confirm or deny this aspect, so more research is needed. Voids  
45  
46 created by SAP seem to work as entrained air voids of similar size. If this is true, research  
47  
48 carried out with SAP will not only improve our knowledge about frost resistance of  
49  
50 concrete with SAP, it will improve our knowledge on the relation between void structure  
51  
52 and frost resistance in general.  
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