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Abstract

Insights derived from life-cycle assessment of solid waste management strategies depend critically on assumptions, data, and modeling at the unit process level. Based on new primary data, a process model was developed to estimate the cost and energy use associated with material recovery facilities (MRFs), which are responsible for sorting recyclables into saleable streams and as such represent a key piece of recycling infrastructure. The model includes four modules, each with a different process flow, for separation of single-stream, dual-stream, pre-sorted recyclables, and mixed-waste. Each MRF type has a distinct combination of equipment and default input waste composition. Model results for total amortized costs from each MRF type ranged from \$19.8 to \$24.9 per Mg (1 Mg = 1 metric ton) of waste input. Electricity use ranged from 4.7 to 7.8 kWh per Mg of waste input. In a single-stream MRF, equipment required for glass separation consumes 28% of total facility electricity consumption, while all other pieces of material recovery equipment consume less than 10% of total electricity. The dual-stream and mixed-waste MRFs have similar electricity consumption to a single-stream MRF. Glass separation contributes a much larger fraction of electricity consumption in a pre-sorted MRF, due to lower overall facility electricity consumption. Parametric analysis revealed that reducing separation efficiency for each piece of equipment by 25% altered total facility electricity consumption by less than 4% in each case. When model results were compared with actual data for an existing single-stream MRF, the model estimated the facility's electricity consumption within 2%. The results from this study can be integrated into LCAs of solid waste management with system boundaries that extend from the curb through final disposal.

Keywords: recycling; material recovery facility; life-cycle assessment; municipal solid waste

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1 Introduction

Life-cycle assessment (LCA) of solid waste management (SWM) alternatives requires a modeling framework that links detailed process-level operations within a broader system that can quantify impacts from waste generation through final disposal and resource recovery. The model described here has been used to develop material recovery facility (MRF) cost and energy consumption estimates for use in the Solid Waste Optimization Life-cycle Framework (SWOLF), which can be used to conduct LCA that optimizes the flow of different waste fractions within a prescribed system boundary across a set of user-defined time stages (Levis et al., 2013). However, the utility of such a framework depends critically on the quality and representativeness of process-level data used to characterize the unit processes within the system boundary. For complex unit processes such as landfills, anaerobic digesters, or MRFs, a single set of fixed industry-average data estimates cannot accurately predict the performance of individual facilities that include numerous design and operational choices and vary with waste composition. Improved estimates require unit process models that can relate different facility configurations and input waste compositions to changes in the resultant cost, energy consumption, and product flows, and such process models should be designed in a flexible manner to enable scenario exploration within a given LCA (Laurent et al., 2014). While existing inventory databases such as EcoInvent (2010) can provide aggregated inventory estimates for such processes, more representative assessments require specific knowledge of constituent sub-processes informed by state-of-the-art industry data.

The purpose of this paper is to present a detailed and novel process model that characterizes state-of-the-art MRFs, which can be used for life-cycle modeling of SWM systems. MRFs are an integral part of the SWM system because they often determine the amount of collected recyclable material that can be recovered for recycling. Though their integration into the SWM system means that MRFs cannot be analyzed independently of the other SWM system components, detailed standalone MRF process models, like the one presented here, are essential to accurately model the life-cycle impacts of full SWM systems. Recyclable materials present in municipal solid waste (MSW) have increasingly gained the attention of SWM decision makers, as recycling of MSW can contribute to sustainability-related objectives including resource recovery, reduced energy consumption, and lower emissions. For example, the European waste framework directive created a 2020 recycling target of 50% of MSW by mass for a number of fractions (EU, 2008). In the U.S., many states and cities have instituted landfill diversion goals. California and Florida have both set a 75% diversion target for 2020 (California, 2012; FDEP 2010), while cities such as San Francisco, Oakland, and Seattle have set “zero waste” goals with the intent of eliminating landfill disposal (San Francisco Environment, 2013; Oakland, 2013; Seattle, 2013). In addition to increased waste

diversion, the environmental benefits of recycling include the avoided use of virgin resources and energy savings (Merrild et al., 2012).

Only limited work has been done to systematically characterize MRF operations and the resulting emissions. Fitzgerald et al. (2012) quantified greenhouse gas emissions at 3 MRFs to compare the impact of dual versus single-stream facilities. However, the study did not consider system costs and it was not clear whether the purity of recovered materials was considered, as the presence of residual materials was higher than expected. Franchetti (2009) modeled MRF economics, but did not consider energy requirements or environmental emissions. Chester et al. (2008) examined the total system energy requirement and greenhouse gas emissions from implementing recycling strategies but did not model MRFs in detail. Themelis and Todd (2004) investigated recycling systems used in New York City, but did not quantify environmental impacts. With respect to MRF process models, Nishtala (1995) developed a model that quantified MRF costs and emissions, but it is now outdated because modern MRFs include several pieces of automated separation equipment that were not in use 20 years ago. Velis et al. (2013) used material flow analysis to analyze a solid recovered fuel process that is similar to the mixed-waste MRF modeled here. However, the input waste stream is bio-dried and shredded, so the results are not directly comparable. None of the aforementioned models allocate energy use and costs using a mass balance approach. The configuration and layout of MRF-related separation equipment depends critically on the input stream to the facility. MRFs can be designed to accept all recyclables in a single-stream, recyclables mixed with non-recyclables (mixed waste), recyclables separated into a fiber and non-fiber stream (dual stream), or pre-sorted recyclables. As a result, the waste stream type accepted by the MRF determines the required separation equipment, which in turn determines recovery efficiencies and energy requirements to run the equipment within the facility, which can then be used to build a MRF life-cycle inventory.

This study builds on previous work by developing a comprehensive, bottom-up model of MRFs that process (1) a single comingled recyclables stream, (2) mixed waste, (3) dual-stream and (4) pre-sorted recyclables. The resultant model is used to estimate MRF energy consumption and total cost. While the development of the MRF process model described here does not itself constitute an LCA, it is designed to be used within an LCA framework, and therefore needs to be informed by LCA considerations such as function, functional unit, system boundary, and allocation. Cost and energy are tracked both because environmental performance and cost are of interest to the recycling community, and they are required by SWOLF, which can use the total system-wide cost of SWM as an objective function or constraint. More broadly, we believe that LCA should include life-cycle costing if it is to be used to inform real world decisions that are largely driven by economics. This paper is organized as follows. Section 2 describes the modeling approach, including a discussion of the assumed system boundary and functional unit, and the

data developed for this process model, which has been obtained largely through discussions with MRF operators and equipment vendors. Section 3 presents results from the different MRF types and draws insights from the analysis.

2 Materials and Methods

A spreadsheet-based LCA process model was developed to represent each of the four types of MRFs described above. Major inputs to the model include cost and energy consumption estimates for each piece of MRF equipment and the separation efficiencies for every modeled waste component associated with each piece of separation equipment, which are similar to the transfer coefficients used in Rotter et al. (2004) and Velis et al. (2010). MRF performance is directly related to the composition of the incoming waste stream, so a MRF process model should be capable of assessing performance associated with processing each waste component and accounting for changes to the incoming waste stream composition (e.g., waste with a higher ferrous fraction requires a larger magnet).

2.1 System Boundaries and Functional Units

The system boundary for each MRF process model begins at the tipping floor after waste is emptied from the collection vehicle. The boundary includes the production and combustion of all fuel used onsite, the production of all consumed electricity, and baling wire, which is a significant cost for MRFs (Combs, 2012). The system boundaries do not extend to the conversion of the recovered materials into new products or the offset from avoided virgin material production. The system boundaries are narrowly drawn around the MRFs to develop a detailed characterization of MRF life-cycle performance, which can be incorporated into solid waste LCAs with broader system boundaries (e.g., the entire solid waste system).

The function of all MRFs is to separate a waste stream into streams of saleable recyclables and a residual stream for final disposal that contains non-recyclable materials and non-recovered recyclables. The functional unit for each MRF type is 1 Mg (1 Mg = 1 metric ton) of waste as-delivered to the MRF. Because the composition and number of streams delivered to each MRF type varies, the functional unit must be defined for each MRF type. Because the functional unit differs across MRF types, direct comparisons of energy consumption are not meaningful. The composition of waste arriving at each MRF type is shown in Table 1. The mixed-waste stream composition represents a complete residential waste stream. While the single-stream, dual-stream, and pre-sorted MRF compositions are identical, the number of streams delivered to each MRF type differs. Across these three MRF types, we assume that

recycling program participation rates and source separation rates remain constant while only the number of waste streams changes. The assumed composition of the waste stream as-delivered to the MRF is based on the residential recycling composition of Seattle (Cascadia, 2011). The Seattle composition was selected because it includes glass recycling, unlike ODEQ (2011), and contaminants, unlike Beck (2005). The U.S. EPA Waste Characterization Report (2010), which reports a recyclable stream composition that includes all recovered materials, indicates that OCC (old corrugated containers) represents 40% of the recovered stream. Since most OCC is baled at commercial locations and is not mixed with the residential waste stream, this composition likely overestimates the significance of OCC at a MRF receiving residential recyclables. However, to capture the sensitivity of results to waste composition variation, the single-stream MRF model was run with the ODEQ (2011), Beck (2005), and U.S. EPA (2010) compositions to explore the sensitivity of the results to the inlet waste composition over a realistic range (Appendix A, Table A1). The resulting waste composition sensitivity analysis is discussed in Section 3.4.

Table 1 Input Waste Composition for Each MRF Type

Waste Fraction		Single-Stream ^a	Dual-Stream ^a	Pre-Sorted ^a	Mixed-Waste ^b
Organics	Yard Trimmings, Leaves		0.0		6.7
	Yard Trimmings, Grass		0.0		5.0
	Yard Trimmings, Branches		0.0		5.0
	Food Waste - Vegetable		0.0		13.8
	Food Waste - Non-Vegetable		0.0		3.5
	Wood		0.0		5.0
	Textiles		0.9		4.4
	Rubber/Leather		0.0		0.5
Fiber	Newsprint		19.5		4.9
	Corr. Cardboard		17.8		14.5
	Office Paper		0.0		2.6
	Magazines		0.6		0.8
	Third Class Mail		0.0		2.2
	Mixed Paper		29.7		0.0
	Non-Recyclable		2.7		10.5
Plastic	HDPE - Translucent Containers		1.1		0.4
	HDPE - Pigmented Containers		0.0		0.7
	PET – Containers		2.1		1.3
	Film		0.6		2.0
	Non-Recyclable		2.1		5.6
Metals	Ferrous Cans		1.2		1.1
	Ferrous Metal - Other		0.4		0.2
	Aluminum Cans		0.7		0.7
	Aluminum – Foil		0.2		0.2
	Aluminum – Other		0.0		0.0
	Ferrous - Non-Recyclable		0.4		0.0
	Aluminum - Non-Recyclable		0.0		0.1
Glass	Brown		5.0		2.7
	Green		7.1		1.2
	Clear		5.3		0.8
	Non-Recyclable		0.3		0.0
Miscellaneous	Organic		0.6		0.0
	Inorganic		1.5		3.6

^a Single-stream, dual-stream, and pre-sorted waste compositions are based on Seattle’s single-stream recyclable composition from Cascadia (2011).

^b Mixed-waste waste composition based on U.S. EPA (2012).

2.2 Process Descriptions

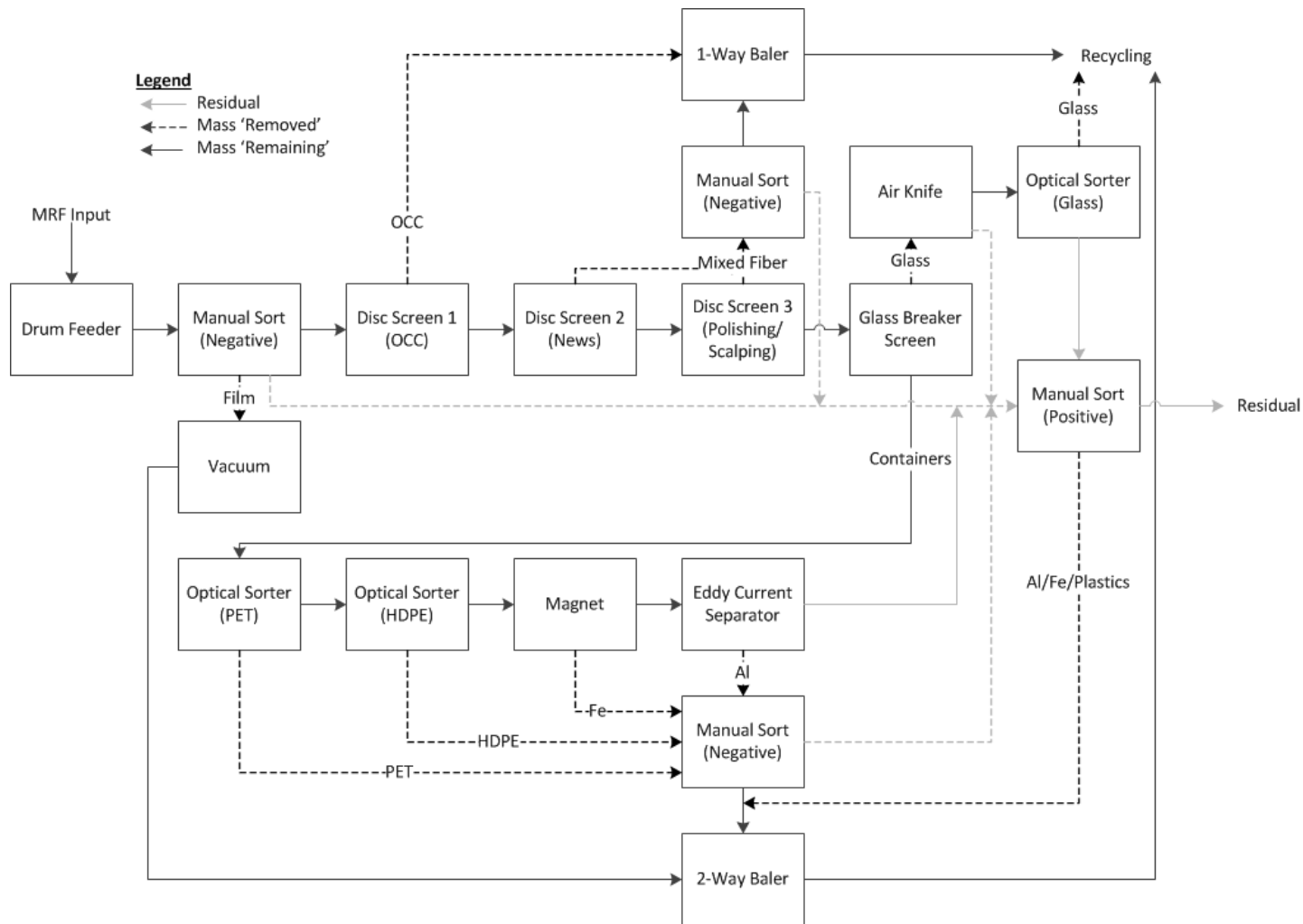
Each MRF is designed to recover plastic film, OCC, other fiber such as newsprint, copy paper and third class mail, aluminum cans, ferrous cans, plastic film, HDPE (high-density polyethylene) and PET (polyethylene terephthalate) containers, and container glass. Because similar equipment often has multiple names, common U.S. industry-specific names are used throughout the description of the process flows and all equipment is described in Table 2. Because single-stream MRFs are common in the U.S., the single-stream process is described first, in detail. Discussion of how other MRF processes differ from the single-stream processes follows.

Table 2 MRF Terminology Descriptions

Process	Description
1-Way Baler	Compresses material (typically fiber) in one direction
2-Way Baler	Compresses material (typically containers) in two directions
Air Knife	Separates light materials from heavy materials via high pressure air
Drum Feeder	Opens bags and puts material on initial conveyor at a nearly constant rate
Eddy Current Separator	Uses magnetic fields to remove aluminum and other non-ferrous metals
Negative Sort	Manually removes undesirable materials (contaminants); often used to purify streams of recovered materials
Optical Sorter	Identifies pre-determined material(s) using optical technology (e.g., cameras, lasers, sensors) and removes the identified material from the stream using bursts of compressed air
Pickers	Laborers performing manual (positive or negative) sorts in a MRF
Positive Sort	Pickers used to recover saleable material
Disc Screen	An inclined plane filled with a series of parallel rods with discs spread along each rod such that large materials travel over the top while smaller materials fall between the discs
Glass Breaker Screen	Placed several feet lower in elevation than preceding conveyor to allow gravity to break glass on screen; small pieces of glass fall through, while larger containers go over the screen
Rolling Stock	Non-stationary equipment typically used to move waste on the tipping floor and bales of recovered material (e.g., front-end loader, forklift)
Tipping Floor	Location where trucks dump incoming waste
Trommel Screen	Removes smaller materials via a rotating cylindrical screen

2.2.1 Single-Stream Process

The single-stream process flow, presented in Figure 1, is designed to recover fiber, glass, metals, and plastic from a commingled recyclables stream. The equipment layout represents a general configuration based on a review and synthesis of visits to several single-stream MRFs currently in operation in the U.S. The collected recyclables are unloaded from arriving trucks on the tipping floor, where rolling stock (e.g., a front end loader) pushes material to a drum feeder. The drum feeder distributes material to the first belt conveyor at a constant rate, helping prevent the overload of downstream equipment. The first belt conveyor leads to a manual sort where large items and materials harmful to downstream equipment (e.g., wire) are removed for disposal. Additionally plastic film (i.e., plastic bags) is recovered with a vacuum and sent to the 2-way baler. All other materials continue to inclined Disc Screen 1 where OCC is recovered as it flows over the screen and is sent to the 1-way baler. The unders (i.e., material that goes through the screen) from Disc Screen 1 travel to inclined Disc Screen 2, where newsprint is separated from containers. The unders from Disc Screen 2, which are enriched in containers, fall on to a belt conveyor that leads to Disc Screen 3, which separates the remaining fiber from the container stream. Smaller sheets of fiber flow over Disc Screen 3, while containers and other materials fall through. The fiber streams separated by Disc Screens 2 and 3 proceed to a manual sort to remove contaminants before the streams are combined and sent to the 1-way baler. The composition of this fiber stream includes newsprint as well as all other fiber types accepted by the MRF. The unders from Disc Screen 3 proceed to a glass-breaker screen, where glass is broken into cullet, which falls through the screen with fines. The glass and fines go to an air knife that separates the fines and other light materials from the glass. The glass is sent to an optical sorter, where it is sorted by color. The color-separated glass is then subjected to a manual sort, where ceramics and other contaminants are removed.



1

2 **Figure 1** Single-stream MRF process flow. This equipment configuration is used to recover aluminum, ferrous, glass, HDPE containers, mixed
 3 paper, OCC, PET containers, and plastic film from a commingled recyclable stream. All arrows represent belt conveyors except those ending at
 4 'Recycling' and 'Residual'.

1 The overs from the glass breaker screen are conveyed to an optical sorter that recovers PET. The
2 remaining stream is conveyed to a second optical sorter that removes all colors of HDPE. The remaining
3 stream proceeds to a magnet for ferrous recovery. The material remaining after the magnet proceeds to an
4 eddy current separator for aluminum recovery. The remaining residual stream goes to a manual sort,
5 where any recyclable materials missed by the separation equipment are recovered by pickers and sent to
6 the 2-way baler. All non-recovered material is transported offsite for final disposal.

7 The aluminum, ferrous, HDPE, and PET streams are separated and stored in cages prior to baling.
8 Each stream is inspected for contaminants prior to baling. Contaminants are combined into a residual
9 stream that is sent offsite for final disposal. Rolling stock is used throughout the facility to move
10 material. Individual pieces of rolling stock equipment are not modeled. Instead, all rolling stock fuel use
11 is modeled using a single coefficient in units of L fuel per incoming Mg. Equipment separation
12 efficiencies are presented in Table 3.

1 **Table 3** Single-Stream MRF Separation Efficiencies (%) ^{a b}

Waste Fraction	Manual Sort/ Vacuum	Disc Screen 1	Disc Screen 2	Disc Screen 3	Glass Breaker Screen	Optical Glass	Optical PET	Optical HDPE	Magnet	Eddy Current Separator
OCC		70	85	91						
Non-OCC										
Fiber			85	91						
Plastic Film	90									
HDPE								98		
PET							98			
Fe									98	
Al										97
Glass						97	98			

2

3 ^a Data represent the percentage of a material recovered by a given unit process. If manual separation is desired, the user can input separation
 4 efficiencies into the appropriate manual sort option, and select manual separation instead of automated separation for the affected material.
 5 However, glass may not be separated manually.

6 ^b Separation efficiency values were developed through expert judgment based on discussions with MRF operators and visual observation of MRF
 7 equipment during operation.

1 **2.2.2 Mixed-Waste Process**

2 The mixed-waste MRF process is identical to Figure 1 except a trommel screen is placed
3 immediately after the drum feeder to remove organics and fines, as shown in Appendix A, Figure A1.
4 Because the MSW stream contains more contaminants (i.e., non-recoverable materials such as food
5 waste), equipment separation efficiencies are lower for mixed-waste MRFs than single-stream MRFs.
6 Equipment separation efficiencies are presented in Appendix A, Table A2.

7 **2.2.3 Dual-Stream Process**

8 Dual-stream MRFs receive separate fiber and container streams from each collection vehicle.
9 The dual-stream process flow is shown in Appendix A, Figure A2. The fiber stream in the dual-stream
10 MRF is processed through the three disc screens as described for a single-stream MRF. However, the
11 unders from disc screen 3 in the dual-stream MRF are collected as residual and transported offsite for
12 disposal. Separation of the container stream begins with a drum feeder and is followed by a glass breaker
13 screen, optical sorters, a magnet and an eddy current separator as in Figure 1. Because the two streams in
14 the dual-stream MRF are treated separately, much of the equipment in a dual-stream MRF has a smaller
15 throughput and capacity than a single-stream MRF for a stream with identical mass and composition.
16 Equipment separation efficiencies are presented in Appendix A, Table A3.

17 **2.2.4 Pre-Sorted Process**

18 Pre-sorted MRFs accept source-separated streams of OCC, mixed paper, Al, Fe, HDPE, PET, and
19 mixed glass. All streams except glass go to a manual sort to remove contaminants prior to baling, as
20 presented in Appendix A, Figure A3. The glass stream is passed over a glass breaker screen to break
21 bottles into cullet, which is then passed through an air knife for fines removal. The purified mixed glass
22 stream continues to an optical sorter that separates glass by color. The glass then goes through a final
23 manual sort to remove ceramics or any other contaminants harmful to downstream recycling. Equipment
24 separation efficiencies are presented in Appendix A, Table A4.

25 **2.3 Recovered Material Specification and Separation Type**

26 The MRF process model has been developed to maximize flexibility. If a material is not
27 recovered, the equipment used to separate it is omitted in the cost and emission calculations. For
28 example, if aluminum is not recovered, the eddy current separator will be not be used. Additionally, users
29 can override the default configuration for a given set of recovered materials to include or exclude any
30 piece of modeled equipment. For example, a user could model a mixed-waste MRF without a trommel.

1 To capture varying degrees of MRF automation within the spreadsheet model, each material can
 2 be recovered manually via pickers or automatically via separation equipment. When a material is
 3 recovered manually, the corresponding separation equipment is replaced with a positive-sort picking
 4 station. For example, if OCC is recovered manually, Disc Screen 1, and the associated cost and
 5 electricity consumption, is replaced by a picking station where picker(s) recover cardboard. The only
 6 exception to this is glass, which is always separated using a glass breaker screen to minimize pickers'
 7 contact with sharp broken glass. Though the positive-sort picking station removes the same material(s) as
 8 the equipment it replaces, the corresponding input parameter values that describe the separation can be
 9 changed based on the presence of manual or mechanical separation. In this analysis, all material is
 10 recovered with automated equipment, but supplemented with negative manual sorts for stream
 11 purification.

12 **2.4 Mass Balance**

13 A mass balance is maintained throughout model calculations. The mass of each material fraction
 14 passing through each piece of equipment in each MRF type is tracked to estimate equipment throughputs
 15 (mass per hour), which determines equipment sizing. The separation efficiencies are organized in a
 16 matrix, like the one in Table 3. Data on separation efficiencies have not been published, so the values in
 17 Tables 3 and A3-A5 are based on expert judgment resulting from discussions with MRF operators and
 18 visual observation of MRF equipment during operation.

19 The mass of each waste fraction, i , removed by each piece of equipment, j , is calculated by
 20 multiplying the mass throughput (m^{TP}) of j by the separation efficiency of equipment j for waste fraction i ,
 21 as shown in Equation 1.

$$22 \quad m_{j,i}^{removed} = f_{j,i}^{separation} \cdot m_{j,i}^{TP} \quad (1)$$

23 where:

24 $m_{j,i}^{removed}$ mass of waste fraction i removed by equipment j (Mg)

25 $f_{j,i}^{separation}$ separation efficiency of equipment j for waste fraction i

26 $m_{j,i}^{TP}$ incoming mass to equipment j for waste fraction i (Mg)

27 When discussing mass throughput, we use units of mass (Mg) and assume that the time associated with
 28 the mass flow is considered implicitly. Similarly, the mass of waste fraction i remaining after equipment j

1 is calculated by subtracting the mass of waste fraction i removed by equipment j from the mass of waste
 2 fraction i input to equipment j , as shown in Equation 2:

$$3 \quad m_{j,i}^{remaining} = m_{j,i}^{TP} - m_{j,i}^{removed} \quad (2)$$

4 where:

$$5 \quad m_{j,i}^{remaining} \quad \text{mass of waste fraction } i \text{ unaffected by equipment } j \text{ (Mg)}$$

6 The “mass removed” and “mass remaining” after equipment j proceed to distinct downstream processes,
 7 as shown by the arrows leaving each box in Figure 1. The separation efficiency is based on the mass
 8 removed by equipment j .

9 **2.5 Diesel and Electricity Use**

10 Diesel and/or liquefied petroleum gas (LPG) are used by rolling stock and are input as L per
 11 incoming Mg. The diesel consumption values used in this analysis were derived from survey results in
 12 Combs (2012). Electricity consumption is calculated from the mass flow to each piece of equipment, j ,
 13 and the electricity demand for equipment j per unit mass of material processed. Designed maximum
 14 equipment throughput and motor data were first used to calculate electricity use per Mg throughput. To
 15 calculate the electricity use per Mg for equipment j , the motor size was multiplied by the fraction of
 16 motor capacity utilized and divided by the product of the maximum mass throughput and the fraction of
 17 equipment capacity utilized, which accounts for equipment processing less than maximum throughput, as
 18 shown in Equation 3. The fraction of motor capacity utilized accounts for motors operating at
 19 approximately 50% of their rated capacity when a piece of equipment is operating at maximum mass
 20 throughput, based on discussions with equipment manufacturers.

$$21 \quad E_j = (e_j^{MaxMotor} \cdot f_j^{MC}) / (m_j^{MTP} \cdot f_j^{MTP}) \quad (3)$$

22 where:

23 E_j electricity requirement of equipment j (kWh per Mg)

24 $e_j^{MaxMotor}$ motor size of equipment j

25 f_j^{MC} fraction of motor capacity utilized

26 m_j^{MTP} maximum throughput mass of equipment j (Mg)

1 f_j^{MTP} fraction of equipment capacity utilized

2 The MRF model assumes a linear relationship between the throughput of equipment j and its
3 electricity or fuel use and cost. For example, an eddy current separator processing two Mg of aluminum
4 per hour would use twice the electricity and have double the cost of an eddy current separator processing
5 one Mg of aluminum/hour. This assumption removes the need for specification of maximum facility
6 throughput a priori. Therefore, the total resource use is automatically scaled by the total Mg throughput.
7 In addition, the model uses the linearity assumption to scale equipment size as waste compositions or
8 separation efficiencies are varied. For example, if the aluminum fraction in the waste stream decreases
9 while the PET fraction increases, the effective size of the eddy current separator will decrease because its
10 total throughput will decrease, while the PET optical sorter size will increase because its total throughput
11 will increase.

12 The total equipment electricity use is the sum of electricity use for each individual piece of
13 equipment. Additional electricity is consumed by office use (e.g., lighting, air conditioning, computers)
14 and factory floor use (e.g., lighting, fans, automated doors). This additional electricity use is allocated
15 evenly to the material fractions on a kWh per Mg basis. An explanation of equipment resource use,
16 including office and factory floor electricity use values, is presented in Appendix B.

17 Some MRFs do not have automated equipment for the separation of glass by color and plastic by
18 type on site. Rather, they ship separate mixtures of glass and plastic to regional sorting facilities. The
19 separation process is assumed to be the same whether the sorting takes place onsite or at a centralized
20 regional facility, thus the electrical energy consumption is assumed to be the same. The model allows the
21 user to include the transportation of these materials to regional facilities, if needed.

22 **2.6 Labor Requirement Calculations**

23 MRFs employ people in several positions, including rolling stock drivers, laborers, and
24 supervisors. Because the hourly wage rates of different employees may differ, labor requirements are
25 tracked separately for each employee category. For example, the default hourly wage rate for drivers and
26 laborers, based on discussions with MRF operators, is \$10 and \$12, respectively. Drivers are only needed
27 to operate rolling stock. All other non-supervisory labor is performed by laborers. The number of
28 supervisors is not an explicit model input. Instead, salary for supervisors is accounted for in the
29 management rate, expressed as a fraction of the labor rate, and assumed to be 50% in this analysis. This
30 management rate is combined with a fringe benefit rate and the appropriate base wage to calculate
31 effective wages for laborers and drivers. The labor requirement (laborer hours per Mg throughput)

1 associated with equipment operation and negative sorting is calculated based on the total number of
 2 laborers required for operation, which is an input. The value for the total number of laborers per piece of
 3 equipment does not have to be an integer since laborers may have duties at multiple stations throughout
 4 the day. The number of laborers is divided by the equipment throughput to calculate the total number of
 5 laborer hours required per Mg throughput, as shown in Equation 4.

$$6 \quad L_j^{AE} = \frac{n_j^{laborers}}{m_j^{MTP} \cdot f_j^{MTP}} \quad (4)$$

7 where:

8	L_j^{AE}	labor requirement for equipment j in the set of automated separation
9		equipment and negative-sorts (laborer hours per Mg throughput)
10	$n_j^{laborers}$	number of laborers required to operate equipment j
11	m_j^{MTP}	maximum throughput (MTP) mass of equipment j (Mg)
12	f_j^{MTP}	fraction of equipment capacity utilized

13 When manual sorting is specified in place of automated separation, the labor requirement calculation is
 14 adjusted accordingly. The mass removed at a positive-sorting station, which is calculated in the mass
 15 balance, is divided by the mass of the material an individual picker can recover in an hour (i.e., the
 16 picking rate) to estimate the labor requirement (Equation 5). Default picking rates for all recovered
 17 materials are presented in Appendix A, Table A5.

$$18 \quad L_j^{MPS} = \frac{m_j^{removed,total}}{m_j^{TP} \cdot r_j^{picking}} \quad (5)$$

19 where:

20	L_j^{MPS}	labor requirement for picking station j in the set of manual positive sorts
21		(MPS) (laborer hours per Mg throughput)
22	$m_j^{removed,total}$	total mass removed at picking station j (Mg)
23	m_j^{TP}	throughput mass of picking station j (Mg)

1 $r_j^{picking}$ picking rate associated with equipment j (For example, a positive sort
2 targeting aluminum would have an aluminum picking rate.) (Mg material
3 per laborer hour)

4 The total facility laborer requirement is calculated in a similar manner to the total facility electricity use.
5 The laborer requirement per Mg of material processed by equipment j is multiplied by the throughput of
6 equipment j . The equipment-specific laborer requirements are then summed over all pieces of MRF
7 equipment. The facility driver requirement is calculated following the same procedure.

8 **2.7 Cost Estimation**

9 This model uses capital, material, and labor cost data along with the calculated mass balance,
10 labor requirements, and resource consumption to estimate the total cost per unit input mass using
11 Equation 6:

$$12 \quad C^{total} = C^{NEC} + C^{Eq} + C^L + C^R \quad (6)$$

13 where:

14 C^{total} total amortized cost (\$)

15 C^{NEC} non-equipment amortized capital cost (\$)

16 C^{Eq} amortized equipment cost (\$)

17 C^L labor cost (\$)

18 C^R resource cost (\$)

19

20 The amortized cost, in U.S. dollars (\$) per Mg summed over all waste fractions, is calculated from the
21 capital costs for building construction and equipment purchase as well as the annual costs for personnel,
22 diesel and electrical energy, and other operating costs (Equation 6). The capital costs associated with
23 equipment and building acquisition are amortized, or converted from a single lump sum cost in the first
24 year to an annual cost over the MRF lifetime, using a 5% discount rate and an estimated equipment
25 lifetime, shown in Table 4. The amortized building and land costs are summed to represent the non-
26 equipment capital cost, C^{NEC} . The amortized equipment capital cost and annual equipment maintenance
27 cost are summed to represent the total equipment cost, C^{Eq} , as shown in Appendix B, Equation B5. The

1 labor cost, C^L , includes the general labor cost and driver cost, with supervision and fringe multipliers, as
2 shown in Appendix B, Equation B8. The resource cost, C^R , includes the cost of electricity and diesel used
3 within the facility and the cost of wire to bale recovered materials. Appendix B.2 presents calculations
4 for each term in Equation 6.

1 **Table 4** Throughput, energy requirement, cost, and labor data for each piece of automated equipment (based on Combs (2012))

Equipment	Max Throughput (Mg/hr)	Fraction of Equipment Capacity Utilized	Fraction of Motor Rated Capacity Utilized	Rated Motor Capacity (kW)	Diesel Use (L/Mg)	Investment Cost (\$)	Fixed O&M Cost^a (\$/yr)	Number of Laborers Required for Max. Throughput	Number of Drivers Required	Lifetime (yr)
Conveyor	30	0.85	0.5	5.6	0	46,000	10,000	0	0	10
Drum Feeder	30	1	0.5	15	0	150,000	100	0	0	10
Vacuum	10	0.85	0.5	5	0	150,000	100	2	0	10
Disc Screen 1	45	0.85	0.5	8.5	0	175,000	10,000	0	0	10
Disc Screen 2	21	0.85	0.5	5.5	0	400,000	13,000	0	0	10
Disc Screen 3	7	0.85	0.5	10	0	280,000	10,000	0	0	10
1-Way Baler	51	1	0.5	63	0	550,000	5000	1	0	10
Glass Breaker Screen	9	0.85	0.5	30	0	220,000	10,000	0	0	10
Air Knife	36	0.85	0.5	164.2	0	62,500	10,000	0	0	10
Optical Glass	5	0.95	0.5	69.0	0	825,000	30,000	0	0	10
Optical PET	10	0.85	0.5	13	0	225,000	5000	0	0	10
Optical HDPE	10	0.85	0.5	40	0	450,000	10,000	0	0	10
Magnet	2	0.85	0.5	4	0	35,000	5000	0	0	10
Eddy Current Separator	12	0.85	0.5	9	0	128,000	5000	0	0	10
2-Way Baler	30	1	0.5	59	0	530,000	5000	1	0	10
Trommel	45	0.85	0.5	61.6	0	125,000	10,000	0	0	10
Rolling Stock	24	0.85	-	-	10	350,000	5000	0	1	10

2 a. O&M = operations and maintenance

1 **2.8 Allocation**

2 Total costs and energy consumption are allocated to individual waste fractions so that model
3 performance is responsive to waste composition. Furthermore, optimization of waste flows through a
4 solid waste system, as in SWOLF, requires energy and costs to be allocated to individual waste
5 components to determine the optimal technology choices and mass flows through an integrated solid
6 waste system. For example, given a specific model objective (e.g., minimize GHG emissions), SWOLF
7 calculates whether recycling paper to avoid virgin paper production is preferable to landfilling paper or
8 combustion with energy recovery. Thus, all energy and costs must be allocated to individual waste
9 fractions.

10 The allocation method is mass-based and varies based on the attributes of the equipment. For
11 equipment that is used to remove and recover one or more waste fractions, the resource use and cost for
12 the total throughput are allocated to only the removed materials. For example, the magnet and eddy
13 current separator energy consumption and costs are allocated only to ferrous and aluminum, respectively,
14 because those are the materials in the waste composition that necessitate the use of that equipment. In
15 contrast, some equipment separates two streams that contain recoverable materials (i.e., disc screens,
16 glass breaker screens). In this case, costs and resource use are allocated to the total throughput. For
17 equipment that does no separation (i.e., drum feeders, balers, rolling stock), the allocation is also based on
18 total throughput. Future analyses may require allocation of resource use and cost to streams different than
19 those presented here (e.g., combustibles to waste-to-energy), so the model allows the user to allocate
20 resource use and cost to the equipment's input stream, the residual output stream, or the product output
21 streams.

22 **2.9 Development of Model Input Data**

23 Information on the cost, capacity, and energy consumption for each piece of equipment was
24 obtained through contact with vendors and MRF operators as summarized in Combs (2012). Discussions
25 with MRF operators and equipment vendors were required to document current state-of-the-art
26 technology and facility configuration. A complete list of model input data is presented in Appendix C.

27 **3 Results and Discussion**

28 To examine MRF cost and performance, the model was used to calculate mass flows, energy
29 requirements, and cost per unit mass input for each MRF type. The mass flows were used to calculate
30 recovery rates for all recyclable materials, which are important in solid waste LCAs that consider
31 downstream processing of sorted waste. Electricity and rolling stock fuel requirements were calculated,

1 which can be used to estimate the associated emissions. Total facility costs were estimated for each MRF
2 type. Sensitivity analysis on equipment separation efficiencies and waste composition was performed for
3 the single-stream MRF. Though four MRF types are presented in this analysis, meaningful direct
4 comparison across MRF types is inappropriate because the mixed waste MRF has a different functional
5 unit, owing to the different waste composition it accepts relative to the others. Though not modeled in this
6 analysis, different waste collection schemes must be considered to account for differences in upstream
7 waste flow.

8 **3.1 Material Recovery Rates for Each MRF Type**

9 Using the mass balances from each MRF model and the assumed separation efficiencies, recovery
10 rates for all recycled materials were derived and are shown in Table 5. Metal recovery rates are the
11 highest, with recoveries ranging from 87% to 100% for aluminum and ferrous. OCC is recovered at a
12 higher rate than non-OCC fiber in all MRF types. Mixed-waste MRFs have a lower fiber recovery rate
13 because high contamination rates reduce separation efficiencies. HDPE and PET have similar recovery
14 rates, ranging from 83% to 100%. Glass recovery rates range from 93% to 95%, except in the mixed-
15 waste MRF, where a trommel removes some broken glass with the organic fraction, lowering the
16 recovery rate to 69%. The pre-sorted MRF removes fewer contaminants from input waste streams, which
17 reduces the percentage of input mass that is not recovered (i.e., residual rate) below those in the dual-
18 stream and single-stream MRFs. The dual-stream recovery rates are lower than the single-stream rates
19 because the fiber stream is assumed to contain 1% of the container stream and vice versa. This
20 contamination also increases the dual-stream MRF's residual rate.

21

1 **Table 5** Calculated recovery and residual rates by MRF type and material (%). Though the single-stream,
 2 dual-stream, and pre-sorted MRFs have similar recovery rates, the high contaminant rate in the mixed-
 3 waste MRF reduces recovery efficiency and increases the residual rate.

MRF Type	OCC^a	Non- OCC Fiber	Al	Fe	Film	HDPE	PET	Glass	Residual Rate^b
Single-Stream	100	99	97	98	90	98	98	95	10
Mixed-Waste	76	39	87	88	77	83	83	69	76
Dual-Stream	99	98	96	97	0	97	97	93	11
Pre-Sorted	100	100	100	100	0	100	100	95	2

4 ^aOCC recovery rate includes OCC removed by disc screens 2 and 3 that is baled as mixed paper.

5 ^b Residual rate represents the percentage of incoming waste that is not recovered and requires additional
 6 downstream treatment.

7

8 Because the mixed-waste MRF has a different input waste composition, its recovery rates are not
 9 directly comparable to the other MRF types. Since there is no source-separation of recyclables prior to
 10 arrival at the mixed-waste MRF, 76% of the input mass is residual. Recovery rates for all MRF types
 11 reflect the fraction of material recovered from the waste stream sent to the MRF based on the default
 12 compositions given in Table 1.

13 3.2 Resource Use for Each MRF Type

14 Electricity, diesel, and baling wire consumption were quantified for each MRF type. The model
 15 can accommodate LPG rolling stock, but only diesel rolling stock is included in this analysis. Though
 16 diesel use is shown in Table 6, the rolling stock diesel requirement per Mg is a model input, as noted in
 17 Section 2.5. The data used for each MRF type are based on single-stream MRF survey results (Combs,
 18 2012). Wire use is inversely correlated to the residual rates. Higher residual rates cause low wire
 19 consumption per Mg of waste input since the residuals are not baled. Thus, the pre-sorted MRF requires
 20 more wire per Mg, since only 2% of the each input Mg is residual. Note that if waste composition
 21 changes such that the fraction of lighter recyclable materials (i.e., plastics) increases, wire requirements
 22 per Mg would likely increase because the wire requirement per mass of baled material is relatively high
 23 for plastics given their lower density.

24

1 **Table 6** Resource use for each MRF type. More automation in the mixed-waste MRF causes higher
 2 electricity consumption. The low residual and limited automation of the pre-sorted MRF result in larger
 3 wire consumption and lower electricity consumption.

MRF Type	Electricity (kWh/Mg_{input})	Diesel (L/Mg_{input})	Wire Mass (kg/Mg_{input})
Single-Stream	6.2	0.7	0.6
Mixed-Waste	7.8	0.7	0.3
Dual-Stream	6.0	0.7	0.6
Pre-Sorted	4.7	0.7	0.7

4

5 Electricity use is highest in the mixed-waste MRF because a larger mass of contaminants is
 6 carried through the system, requiring larger equipment capacities to process the extra material. Since the
 7 fiber separation equipment does not have to accommodate the container stream in the dual-stream MRF, it
 8 uses less electricity than in the single-stream MRF. The pre-sorted MRF electricity use is much less than
 9 the other MRFs due to the limited amount of separation equipment. However, the input streams to the
 10 pre-sorted MRF are likely a result of curbside sorting, which consumes more fuel than other collection
 11 schemes. Thus, integrated analyses of SWM systems are required to quantify relative environmental
 12 performance of alternatives for recyclable recovery.

13 Examination of electricity consumption allocated to each recovered material reveals large
 14 variations in resource consumption by material. However, electricity use is a result of both separation
 15 technology and the fraction of the material in the final residual stream. Table 7 shows that per material
 16 electricity consumption generally follows total MRF electricity consumption, with the highest values for a
 17 mixed-waste MRF. HDPE recovery uses more electricity than all other materials, due to the high energy
 18 use per Mg of the HDPE optical sorter, in all MRFs except the pre-sorted MRF, which does not include
 19 an HDPE optical sorter. Ferrous recovery requires more electricity than aluminum recovery, except in the
 20 pre-sorted MRF where consumption is equal, due to separation using identical conveyors, manual sorts,
 21 and balers, that employs neither a magnet nor an eddy current separator. Because OCC, non-OCC fiber,
 22 and film are removed early in the process via equipment with relatively low electricity consumption, they
 23 use less electricity than other materials. Note all values in Table 7 have been normalized to the mass of
 24 material input. These values must be combined with a waste composition to calculate MRF resource use
 25 values.

26

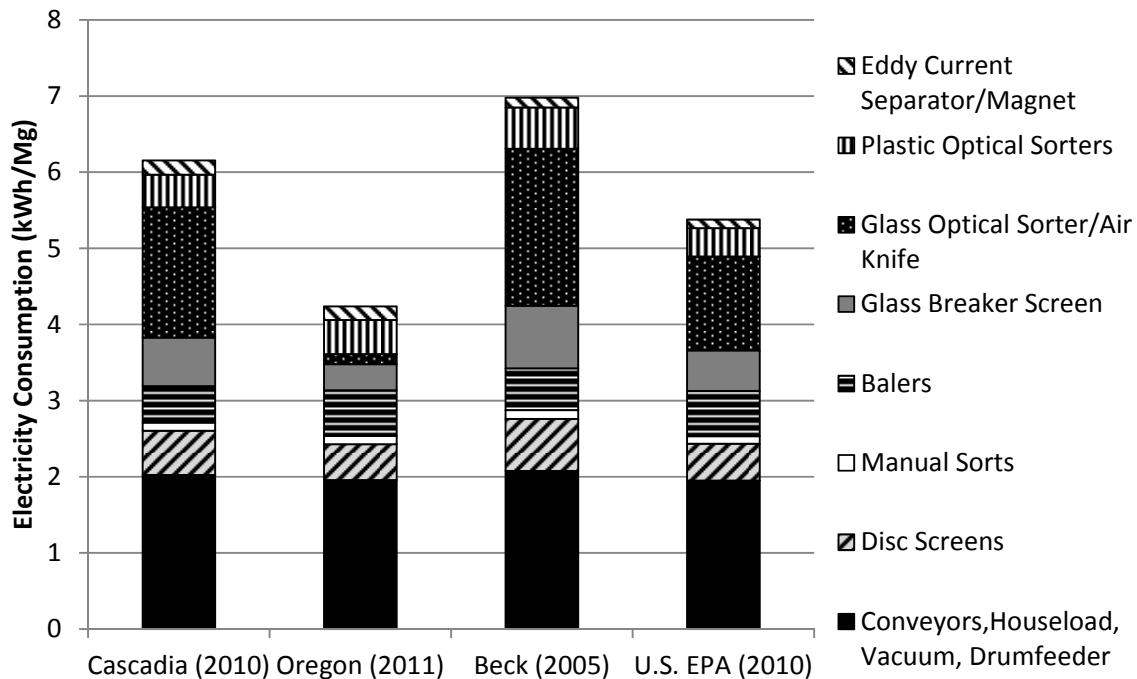
1 **Table 7** Electricity consumption (kWh per Mg material) by recovered material in each MRF type.
 2 Materials (i.e., glass, HDPE, PET) removed via equipment with high electricity demands (i.e., optical
 3 sorters) have higher electricity consumption, as do materials that travel farther through the process (i.e.,
 4 aluminum and ferrous).

Recovered Material	Single-Stream	Mixed-Waste	Dual-Stream	Pre-Sorted
OCC	2.6	4.7	3.4	2.7
Non-OCC Fiber	3.0	5.4	3.8	2.7
Aluminum	9.7	28.1	6.1	3.1
Ferrous	11.7	52.0	7.8	3.1
Film	3.0	4.7	2.1	3.1
HDPE	32.7	116.1	22.0	3.1
PET	9.9	36.9	6.9	3.1
Glass	16.8	36.4	14.8	14.0

5

6 Equipment electricity consumption varies based on throughput and motor size. The glass optical
 7 sorter and air knife, which are required for glass separation, consume 28% of the total single-stream MRF
 8 electricity for the default composition, as shown in Figure 2. Disc screens, which separate fiber, consume
 9 less than 10% of MRF electricity, as do the plastic optical sorters. The magnet and eddy current separator
 10 are responsible for only 3% of MRF electricity consumption. Thus, a decrease in the glass fraction will
 11 result in greater reductions to total single-stream MRF electricity consumption than comparable decreases
 12 in other waste fractions. Electricity consumption by equipment for all MRFs is in Appendix A Table A6.

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2 **Figure 2** Sensitivity of single-stream MRF electricity use to waste composition

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Several previous studies have reported MRF resource consumption and cost. Fitzgerald et al. (2012) found 0.8 and 0.7 L per Mg of diesel consumption in dual-stream and single-stream MRFs, respectively, which are comparable to the consumption values used in this analysis (Table 6). Fitzgerald et al. (2012) also reported electricity consumption of 11.5 and 13.8 kWh per Mg for the dual-stream and single-stream MRFs, respectively, which are approximately double the values calculated in this analysis. The discrepancy in electricity consumption may be the result of increasing economies of scale, since most of the MRFs surveyed for this study were larger than those in the Fitzgerald study. Furthermore, the level of automation and type of lighting used in the Fitzgerald study is unknown. Chester (2008) presents electricity consumption values comparable to the results of this study, but the Chester MRFs have less automation. However, Chester's MRFs have less than 25% of the mass throughput of the MRFs surveyed in Combs (2012), which were adapted for this analysis. The lighting estimate used for the operating floor in this analysis represents energy efficient T5 fluorescent bulbs, which consume 7% of total single-stream MRF electricity.

16 **3.3 Costs for Each MRF Type**

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19

The cost per Mg input for each MRF type includes costs for the purchase and maintenance of equipment, labor, wire, fuel, electricity, and the capital costs associated with land procurement and building construction. The largest fraction of the total cost is the capital cost of land procurement and

1 building construction, which ranges from 49% to 62% of the total cost. Of course, both of these factors
 2 will vary with location. Land procurement and building construction are the same between MRF types
 3 because the same land and construction data were used. The equipment costs range from 17%, for the
 4 pre-sorted MRF with less separation equipment, to 32% of total cost, for the mixed-waste MRF that must
 5 have larger equipment to handle the large residual fraction throughout. The labor costs for single-stream
 6 and dual-stream MRFs, shown in Table 8, are much larger than the \$1.7 and \$1.5 per Mg for mixed-waste
 7 and pre-sorted MRFs respectively. The mixed-waste MRF labor cost per unit mass is much lower
 8 because labor costs are distributed over a larger quantity of waste. The labor costs are smaller in a pre-
 9 sorted MRF because less separation is required relative to the other MRF types. The wire costs in Table 8
 10 are directly proportional to wire consumption presented in Table 6 and have been included here because
 11 they contribute up to 8% of the total cost.

12 **Table 8** Cost summary by MRF type. The single-stream MRF has the highest total cost because of its
 13 relatively high equipment and labor costs, while the low equipment and labor costs in a pre-sorted MRF
 14 contribute to its low total cost.

MRF Type	Total Equipment Cost (\$/Mg input)	Labor (\$/Mg input)	Wire Cost (\$/Mg input)	Fuel and Electricity Cost (\$/Mg input)	Building and Land Capital Costs (\$/Mg input per year)	Total Costs (\$/Mg input)^a
Single-Stream	5.8	4.3	1.3	1.3	12.3	24.9
Mixed-Waste	7.7	1.7	0.5	1.5	12.3	23.6
Dual-Stream	5.3	3.3	1.2	1.3	12.3	23.4
Pre-Sorted	3.3	1.5	1.5	1.2	12.3	19.8

15 ^a Individual values may differ slightly from the total due to rounding.

16

17 The single-stream MRF has the highest total cost of \$24.9 per Mg input. The dual-stream MRF is
 18 less expensive to operate, in part, because processing two streams allows the fiber separation equipment
 19 that is placed early in the single-stream process to be smaller in a dual-stream MRF. Though the mixed-
 20 waste MRF has much larger equipment costs, the smaller labor and wire costs result in a total unit cost
 21 that is less than the single-stream MRF. Of course, the total throughput and mass of residuals are
 22 considerably higher for a mixed waste MRF. The pre-sorted MRF is less complex, resulting in the lowest
 23 equipment, labor, fuel, and electricity costs.

1 MRF costs have been previously explored, but they focus on small MRFs with little automation
2 and high labor requirements. Thus, many of these costs are higher than the costs presented in this
3 analysis. Chester et al. (2008) reported capital and maintenance costs ranging from 10 to 30% greater
4 than this analysis but comparable electricity values. Franchetti (2009) has total dual-stream MRF costs
5 90% greater than the costs presented here, largely due to the representation of a more labor intensive
6 process.

7 **3.4 Parametric Analysis of Waste Composition and Equipment Separation Efficiencies in a Single-** 8 **Stream MRF**

9 To explore model response to different waste compositions, the single-stream model was run with
10 the default and three additional waste compositions as given in Appendix A, Table A1. The default waste
11 composition, from Cascadia (2011), quantifies the composition of the household-separated recyclable
12 stream in Seattle, Washington. Contaminants make up 5.9% of the incoming waste. Beck (2005) reported
13 the statewide commingled recycling stream composition for Pennsylvania. No contaminants were
14 included in the composition. ODEQ (2011) reported residential commingled recyclable composition,
15 which is influenced by the fact that container glass as well as plastic and aluminum containers have
16 deposits and are partially recovered outside of the residential recyclable stream. This explains the
17 relatively low (1.4%) glass content in the ODEQ recyclables stream. Because the purpose of this
18 sensitivity analysis is to explore model response to waste composition variation, the model recovered
19 glass and film for all waste compositions, though glass and film would probably not be recovered for
20 compositions like ODEQ (2011). Other contaminants in the ODEQ (2011) composition made up 5.7% of
21 the incoming mass, which is the sum of the non-recyclable paper, non-recyclable plastic, and
22 miscellaneous inorganics in Appendix A, Table A1. U.S. EPA (2010) was used to estimate a
23 commingled recyclables composition, by combining masses of recovered materials. This composition
24 does not isolate the residential stream, so 40% of the mass is OCC. Like Beck (2005), no contaminants
25 are included in this waste composition, so the resulting residual rate is much lower than residuals for the
26 ODEQ (2011) and Cascadia (2011) streams. Electricity consumption under all four waste compositions is
27 provided in Figure 2.

28 The effect of waste composition on electricity consumption and cost is presented in Table 9 and
29 Figure 2. The changes in cost are a result of changes to equipment as well as changes to electricity and
30 wire consumption. The costs for the different waste compositions are within 7% of the average, which is
31 likely well within the model uncertainty. Though the ODEQ (2011) composition has a large residual rate,
32 its electricity consumption and total cost are less than the other waste compositions. Much of the

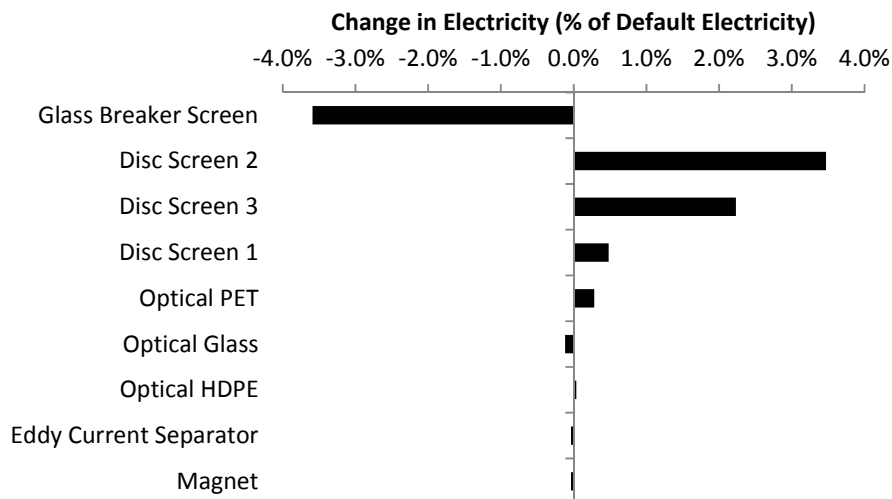
1 comparative savings can be attributed to decreased size and electricity consumption of the glass breaker
 2 screen and glass optical sorter, as shown in Figure 2. Beck (2005) has the highest electricity consumption
 3 due in part to its high glass content (21%), which increases the electricity consumption by the glass
 4 breaker screen and glass optical sorter. Baler electricity use per Mg is smaller because the Beck
 5 composition includes contaminants as well as glass, which results in more unbaled material than the other
 6 MRF types.

7 **Table 9** Model response to variations in waste composition in a single-stream MRF

Result	Cascadia (2011)	ODEQ (2011)	Beck (2005)	U.S. EPA (2010)
Residual Rate (%)	10	9	2	2
Electricity Consumed (kWh/Mg)	6.2	4.2	7.0	5.4
Total Cost (\$/Mg)	24.9	23.9	26.6	24.3

8

9 To explore the effects of separation efficiencies on electricity consumption within a single-stream
 10 MRF, the separation efficiencies for sorting equipment were altered one piece of equipment at a time, by
 11 subtracting 25% from all non-zero separation efficiencies, as presented in Appendix A Table A7. The
 12 percent change in electricity compared to the default electricity consumption was used as a metric to
 13 examine the relative impact of each piece of equipment’s separation efficiency values (Figure 3).



14

15 **Figure 3** Parametric sensitivity analysis for a 25% decrease in separation efficiencies for selected
 16 equipment within a single-stream MRF. MRF equipment is ordered from top (largest effect) to bottom
 17 (smallest effect).

1 When the separation efficiency of the glass breaker screen is reduced, more glass contaminates
2 the containers stream, which necessitates increasing the size of equipment meant to separate containers.
3 However, this increase in equipment size is offset by the reduction in downstream equipment size and
4 thus electricity demand due to the decreased throughput of the air knife and glass optical sorter. Reducing
5 the separation efficiencies of a glass breaker screen by 25% results in a 3.6% decrease in single-stream
6 MRF electricity consumption. As the separation efficiency of a disc screen decreases, additional paper
7 goes to downstream equipment, and the increased electricity demand of downstream equipment exceeds
8 the savings at the disc screen. Disc screen 2 produces the largest change among the disc screens because
9 it is the first screen to process the non-OCC fiber stream, and it processes the 30% of OCC not removed
10 by disc screen 1. Reducing the PET optical sorter separation efficiencies slightly increases the electricity
11 demand because of increased downstream equipment size. The effect of changing any other equipment's
12 separation efficiencies results in a change to total electricity consumption less than 0.1%, which is less
13 than the precision of the model. While changes in separation efficiency do not have a significant effect on
14 MRF performance, they may have a large impact on the performance of downstream processes, which are
15 not accounted for in this analysis. For example, higher levels of contaminants in the paper stream can
16 affect the paper recycling process by limiting the type and quality of recycled paper that can be produced
17 from it (Miranda et al., 2013).

18 **3.5 Comparison with operating MRF**

19 To evaluate the MRF model described here, electricity consumption from an operating MRF was
20 compared with estimates from the single-stream MRF model. Model inputs were adjusted to match the
21 equipment layout and facility size of the actual MRF described in Combs (2012) as closely as possible.
22 Additionally, the lighting electricity use from Combs (2012) was used because the model defaults are for
23 energy efficient fluorescent lighting, which was not installed at the surveyed MRF. Input waste
24 composition data was not available for the operating MRF, so the default composition from Table 1 was
25 used. The model estimates electricity consumption to be 24.3 kWh per Mg, while the actual MRF
26 consumption was 23.8 kWh per Mg. Thus, the model overestimated the MRF's electricity consumption
27 by only 2%.

28 As shown in Table 9, when the model is used with default values, MRF electricity consumption is
29 estimated to be 6.2 kWh per Mg, which is nearly a factor of 4 lower than the estimate for the actual MRF
30 discussed above. The default values assume modern lighting and small office space, which each
31 contribute 8% of total MRF electricity use, but the surveyed MRF was modeled with large office space
32 and older lighting, which contributed 17% and 69% of the total MRF electricity use, respectively. These

1 results serve to emphasize the importance of a MRF process model that is responsive to waste
2 composition, process flow, and facility design (e.g., lighting technology).

3 **3.6 Conclusions**

4 The model presented here quantifies MRF cost and energy consumption over a broad set of conditions.
5 The MRF model represents a significant improvement over fixed estimates of MRF electricity
6 consumption, since MRF performance can vary significantly depending on facility design and incoming
7 waste composition. The model can also allocate electricity use to each waste fraction, enhancing the
8 capability of SWM LCA models such as SWOLF. The model described here is the first to both represent
9 a modern automated MRF and respond to changes in MRF facility design.

10 The results show that resource demands and costs associated with MRF operation vary by both
11 MRF type and input waste composition. The electricity use associated with glass separation equipment is
12 greater than all other types of separation equipment. Thus, energy efficiency gains associated with the
13 glass separation technology will result in larger reductions in facility electricity consumption than any
14 other equipment. The floor area per Mg of facility throughput and the installed lighting technology in the
15 separation area have the potential to impact total facility electricity consumption. Because of the small
16 contribution of resource use to total cost, increased electrical and fuel efficiency will not significantly
17 affect MRF costs. Due to high capital costs, varying waste composition resulted in small changes to total
18 costs in the single-stream MRFs. However, the range in electricity consumption in response to variations
19 in waste composition was more than 40% of the baseline electricity consumption. Though there is
20 uncertainty in separation efficiencies, sensitivity analysis revealed large reductions to individual
21 equipment separation efficiencies resulted in only small changes in total electricity consumption within
22 the MRF, though potential downstream effects were not quantified.

23 Narrow system boundaries were purposely established in this analysis to isolate MRF cost and
24 performance. This model and associated results can be integrated into LCAs with broader system
25 boundaries to evaluate waste management from curbside collection through final disposal. Though pre-
26 sorted MRFs ostensibly appear to be cheaper, less energy-intensive, and less GHG intensive than other
27 MRF types, MRF performance must be considered in the context of the larger solid waste management
28 system. For example, pre-sorted MRFs typically receive the separated streams from systems with
29 curbside separation, which results in higher collection fuel consumption and cost compared to single-
30 stream collection. Consideration of waste collection options associated with each MRF type, disposal
31 options for residual waste, and avoided emissions associated with the recovered materials are important
32 considerations in any integrated systems analysis of solid waste management.

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