

## Neonates with reduced neonatal lung function have systemic low-grade inflammation

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## 1 Reduced Neonatal Lung Function Associates with Systemic Low-grade

## 2 Inflammation in Early Life

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32 <u>Contributions</u>: The guarantor of the study is HB who has been responsible for the integrity of the 33 work as a whole, from conception and design to conduct of the study and acquisition of data, 34 analysis and interpretation of data and writing of the manuscript. BC, SB, JS and KB were 35 responsible for data analysis, interpretation and writing the manuscript. SB was responsible for the 36 laboratory mediator assessments. All co-authors have contributed substantially to the analyses and 37 interpretation of the data, and have provided important intellectual input and approval of the final 38 version of the manuscript.

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- 44 design and conduct of the study; collection, management, and interpretation of the data; or
- 45 preparation, review, or approval of the manuscript.
- 46 <u>Abbreviations</u>: COPSAC<sub>2000</sub> = COpenhagen Prospective Study on Asthma in Childhood; CXCL8
- 47 (IL-8) = Chemokine (C-X-C motif) Ligand 8;  $FEV_{0.5}$  = Forced Expiratory Volume at 0.5 seconds;
- 48  $FEF_{50} =$  Forced Expiratory Flow at 50% of the forced vital capacity; hs-CRP = high-sensitivity C-
- 49 reactive protein; IL-1 $\beta$  = Interleukin-1 $\beta$ , IL-6 = Interleukin-6; MMEF = Maximal Mid-Expiratory
- 50 Flow;  $PtcO_2$  = transcutaneuos oxygen saturation;  $PD_{15}$  = Provocative Dose of methacholine causing
- 51 a 15% drop in  $PtcO_2$ ;  $PD_{20}$  = Provocative Dose of methacholine causing a 20% drop in FEV<sub>1</sub> from
- 52 baseline;  $TNF-\alpha$  = tumor necrosis factor- $\alpha$ ; TROLS = TROublesome Lung Symptoms.
- 53 <u>Online Repository</u>: This article has an online data supplement, which is accessible from this issue's
  54 table of content online at <u>www.atsjournals.org</u>.

### 55 At a Glance Commentary:

- 56 Scientific Knowledge on the Subject
- 57 Elevated hs-CRP as a proxy of systemic low-grade inflammation has been demonstrated in
- asthmatic children and adults with diminished pulmonary function. It is however unknown whether
- 59 asymptomatic reduced neonatal lung function is associated with systemic inflammation.
- 60 What this Study Adds to the Field
- 61 This study shows that children with impaired respiratory capacity as neonates are characterized by
- 62 elevated hs-CRP and an up-regulated blood inflammatory profile suggesting presence of systemic
- 63 low-grade inflammation in early life.

# 64 **Data from this manuscript has not been presented before in abstract or any other form.**

#### 65 ABSTRACT

#### 66 *Rationale*

67 Previous studies indicate presence of systemic inflammation in children and adults with asthma and

68 impaired lung function, but it is unknown whether asymptomatic reduced infant lung function is

69 associated with low-grade inflammation in early life.

70 *Objective* 

- 71 To investigate the possible association between infant lung function indices and biomarkers of
- 72 systemic inflammation in early life.
- 73 *Methods*

Serum levels of high-sensitivity C-reactive protein (hs-CRP), interleukin-1 $\beta$  (IL-1 $\beta$ ), IL-6, tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and CXCL8 (IL-8) were measured at age 6 months in 300 children of the Copenhagen Prospective Study on Asthma in Childhood<sub>2000</sub> (COPSAC<sub>2000</sub>) birth cohort, who completed infant lung function testing at age 1 month, spirometry at 7yrs, and fulfilled a respiratory day-to-day diary from 0-7yrs. Associations between lung function indices, asthmatic symptoms and inflammatory biomarkers were investigated by conventional statistics and unsupervised principle component analysis.

#### 81 Measurements and Main Results

82 Infant's forced expiratory volume at 0.5s (FEV<sub>0.5</sub>) was inversely associated with hs-CRP ( $\beta$ -

83 coefficient, -0.12; 95% CI, -0.21 to -0.04; p=0.004) and with a uniform up-regulated inflammatory

signature (p=0.02). hs-CRP at 6mo was elevated in children with asthmatic symptoms at 0-6mo

- compared to children without asthmatic symptoms (median, 1.79mg/L vs. 1.19mg/L; p=0.05), but
- 86 was not associated with asthma or lung function at age 7yrs. Adjusting for older children in the
- 87 home, infections 14d prior to blood sampling, birth BMI, and maternal smoking did not affect the

88 associations.

- 89 *Conclusion*
- 90 Diminished infant lung function associates with elevated hs-CRP and an up-regulated blood
- 91 inflammatory response suggesting linkage between lung function and systemic low-grade
- 92 inflammation in early life.
- 93 Abstract Word Count: 252 words
- 94 Key-words: Asthma, Children, high-sensitivity C-reactive protein, pro-inflammatory cytokines,
- 95 spirometry.

#### 96 INTRODUCTION

C-reactive protein (CRP) is an acute-phase reactant found in the blood in response to acute and 97 98 chronic inflammatory conditions and has a broad clinical application in the screening for infectious and immune-mediated diseases<sup>1</sup>. CRP harbors important innate immunity properties and is released 99 100 from the liver triggered by pro-inflammatory cytokines such as interleukin 6 (IL-6), IL-1β, and tumor necrosis factor  $\alpha$  (TNF- $\alpha$ )<sup>2</sup>. Newer CRP assays<sup>3</sup> has enabled assessment of previously 101 immeasurable low levels of CRP, termed high sensitivity CRP (hs-CRP), which is now increasingly 102 recognized as a marker of low-grade inflammation in e.g. cardiovascular disease<sup>4</sup>, obesity<sup>5</sup>, and 103 diabetes mellitus<sup>6</sup>. 104 Recently, elevated hs-CRP has also been demonstrated in manifest airway diseases such as asthma<sup>7</sup> 105 and chronic obstructive pulmonary disease<sup>8</sup>. In addition, previous studies indicate that impaired 106 107 lung function in asthmatic children and adults is associated with presence of systemic low-grade inflammation<sup>9,10</sup>. It is however unknown whether asymptomatic neonates with reduced pulmonary 108 109 function are characterized by systemic low-grade inflammation in early life. 110 We hypothesized that children with reduced neonatal lung function may have biochemical signs of systemic low-grade inflammation in infancy. The objective of the current study was therefore to 111 investigate the possible association between lung function indices measured in asymptomatic 112 113 neonates of the Copenhagen Prospective Study on Asthma in Childhood<sub>2000</sub> (COPSAC<sub>2000</sub>) birth cohort and serum levels of hs-CRP, IL-1β, IL-6, TNF-α, and CXCL8 (formerly IL-8) at age 6 114 115 months.

## 116 METHODS

# 117 Study Cohort

118	The study participants were 411 infants born of mothers with a history of asthma enrolled at 1
119	month of age in the COPSAC <sub>2000</sub> prospective birth cohort study <sup>11-13</sup> . Exclusion criteria were any
120	respiratory symptoms or respiratory support prior to inclusion, gestational age <36 weeks, and any
121	congenital abnormality or systemic illness. The children attended the COPSAC research clinic at
122	age 1 month for assessment of infant lung function and subsequently at 6-monthly intervals till age
123	7 years for scheduled clinical investigations, collection of medical history since last visit supported
124	by a day-to-day lung symptom diary, and for detailed exposure assessments. Additional acute visits
125	were arranged upon occurrence of any respiratory symptoms.
126	Ethics
127	The study was conducted in accordance with the guiding principles of the Declaration of Helsinki
128	and was approved by the Local Ethics Committee (KF 01-289/96), and the Danish Data Protection
129	Agency (2008-41-1754). Both parents gave written informed consent before enrolment.
130 131	<i>Inflammatory Biomarkers</i> At age 6 months blood was drawn from a cubital vein, centrifuged to separate serum and serum
132	cells, and immediately stored at -80° C until analyses. The samples were transported on dry ice to
133	the laboratory, where levels of the selected biomarkers were determined by high-sensitivity ELISA
134	assays based on electrochemiluminescence in a 4-plex setting for IL-1 $\beta$ , IL-6, CXCL8 and TNF- $\alpha$
135	and as a single assay for hs-CRP. Samples were read in duplicates using the Sector Imager 6000
136	(MesoScale Discovery®, Gaithersburg, MD, USA). The limit of detection (mean signal from blanks
137	+3SD) was 9.54 pg/mL for hs-CRP, 0.15 pg/mL for IL-1 $\beta$ , 0.17 pg/mL for IL-6, 0.09 pg/mL for
138	CXCL8 and 0.08 pg/mL for TNF-α.

139 Lung Function

140	Infant spirometry was measured at age 1 month applying the raised volume rapid thoraco-
141	abdominal "squeeze"-jacket compression technique <sup>14</sup> . Repeated ventilations to predefined mouth-
142	pressures assured expansion of the lung volume before an instant inflation of the jacket caused a full
143	exhalation during which the flow was measured by a pneumotachograph with an aircushion
144	facemask <sup>15,16</sup> . The software identified the Forced Vital Capacity (FVC) as the first plateau on the
145	volume-time curve and measurements with FVC appearing after 0.5s and with the Forced
146	Expiratory Volume at 0.5s (FEV $_{0.5}$ ) being smaller than or equal to FVC were accepted. Three to
147	five acceptable curves were obtained for each infant and the curve containing the median value of
148	$\text{FEV}_{0.5}$ was used for the analyses of $\text{FEV}_{0.5}$ and Forced Expiratory Flow at 50% of FVC (FEF <sub>50</sub> ).
149	Spirometry at age 7yrs was performed as previously detailed <sup>17</sup> using a pneumotachograph,
150	Masterscope Pneumoscreen, system 754,916 spirometer (Erich Jaeger, Wurtzburg, Germany) for
151	assessing FEV <sub>1</sub> and maximal mid-expiratory flow (MMEF).
152	Infant bronchial responsiveness: After an initial saline inhalation, methacholine was given in
153	quadrupling dose-steps via a dosimeter attached to a nebulizer (SPIRA 08 TSM 133; Respiratory
154	Care Center; Hämeenlinna, Finland) <sup>16</sup> . Bronchial responsiveness was determined by continuous
155	assessment of transcutaneuos oxygen saturation (PtcO2) (TCM3; Radiometer; Copenhagen,
156	Denmark). The provocative dose causing a 15% drop in $PtcO_2$ (PD <sub>15</sub> ) was estimated from the dose
157	response curves fitted with a logistic function.
158 159	<u>Bronchial responsiveness at age 7yrs</u> was defined as the provocative dose of methacholine causing a 20% drop in FEV <sub>1</sub> from baseline $(PD_{20})^{17}$ .

160 *Clinical Investigator-diagnosed End-points* 

161 <u>Troublesome lung symptoms (TROLS)</u> were defined as significant cough or wheeze or dyspnea

162 severely affecting the well-being of the child and recorded by the parents in a daily diary chart as a

dichotomized score (yes/no) from birth till age  $7yrs^{18}$ . *Recurrent TROLS* was defined from the diaries as five episodes within 6 months, each episode lasting at least three consecutive days, or daily symptoms for four consecutive weeks<sup>19,20</sup>.

166 <u>Asthma</u> at age 7yrs was diagnosed according to international guidelines and was based on recurrent 167 TROLS as defined above, symptoms judged by the COPSAC pediatricians to be typical of asthma, 168 in need of intermittent inhaled  $\beta_2$ -agonist, responding to a 3-month trial of inhaled corticosteroids 169 and relapsing when stopping treatment<sup>12,13</sup>.

170 Covariates

171 Covariates included *heredity* (father's history of asthma, eczema or allergy [yes/no]);

172 *anthropometrics* (birth BMI [7-12, 12-13, 13-14, 14-17m/kg<sup>2</sup>]); *demographics* (gender, older

173 children in the home at birth [yes/no], yearly household income [low (<53.000 €), medium (53.000-

174 80.000 €, high (>80.000 €]); pre- and antenatal exposures (maternal smoking during 3<sup>rd</sup>

175 pregnancy trimester [yes/no], caesarean section [yes/no]); *postnatal exposures* (solely breastfeeding

length [0-3, 3-6, >6mo], age at start in daycare [0-9, 9-12, >12mo], pets in the home in the 1<sup>st</sup> year

177 of life: cat [yes/no], dog [yes/no]); and *infections 14 days prior to biomarker assessment* (upper and

178 lower respiratory tract infections, gastroenteritis or fever with unknown cause [yes/no]).

179 *Statistics* 

180 Biomarker null values were set to half of the lowest detected value for the specific biomarker,

181 values were log-transformed, and the mean of the duplicate measurements were used for association

analyses. Z-scores were calculated for FEV<sub>0.5</sub>, FEV<sub>1</sub>, FEF<sub>50</sub> and MMEF, and PD<sub>15</sub> and PD<sub>20</sub> were

183 log-transformed to obtain normality. The associations between lung function, asthmatic symptoms,

and inflammatory biomarkers were tested by conventional statistics and by unsupervised pattern

185 recognition using principal component analysis (PCA).

The relation between continuous lung function indices and continuous levels of inflammatory 186 biomarkers at age 6 months was tested with general linear models. The association between 187 188 biomarker levels and time to recurrent TROLS was modeled using Cox-regression. Logistic regression was used to compute the odds ratio of asthma at age 7yrs. 189 190 For the pattern recognition analyses, we extracted underlying orthogonal components that described 191 the systematic part of the variation across the biomarkers using centered and scaled (equal variance) mediator levels. Scree plots of the Eigen values were used to select the number of components for 192 193 subsequent association analyses. 194 All results are presented as raw estimates with 95% CI and as estimates adjusted for covariates 195 associated with levels of hs-CRP using a cut-off at p≤0.10. Birth BMI and maternal smoking during 3<sup>rd</sup> trimester were retained in the multivariable models with infant lung function independently of 196 their association with hs-CRP as these are important determinants of infant lung function<sup>21</sup>. A p-197 198 value≤0.05 was considered significant. All analyses were done using SAS version 9.3 (SAS 199 Institute, Cary, NC).

#### 200 **RESULTS**

201 Inflammatory Biomarker Assessments

- 202 Measurements of IL-1 $\beta$ , IL-6, TNF- $\alpha$  and CXCL8 were performed on 309 and hs-CRP on 301
- serum samples collected at age 6 months. One sample was lost for technical reasons while
- 204 performing the 4-plex assay, resulting in 300 children (73% of the original 411 cohort children)
- with available measurements for all five biomarkers. We found no significant differences in
- 206 baseline characteristics between children with and without available biomarker assessments (Table

207 E1).

208 The median hs-CRP level was 1.39 mg/L (inter-quartile range [IQR], 0.46-4.61), IL-1β was 0.01

209 ng/L (0.001-0.04), IL-6 was 0.20 ng/L (0.11-0.31), TNF- $\alpha$  was 2.34 ng/L (1.92-2.88), and CXCL8

was 3.04 ng/L (2.19-4.37). The IL-6 and TNF- $\alpha$  levels were strongly positively correlated with hs-

- 211 CRP levels (p<0.001 for both) whereas IL-1β and CXCL8 levels were not correlated with hs-CRP
- 212 (p $\ge$ 0.62). The measured values of hs-CRP, IL-6, TNF- $\alpha$  and CXCL8 were within the expected
- 213 range<sup>22</sup> with very few null values, whilst IL-1 $\beta$  levels were much lower than expected<sup>22</sup> with null

values for 72 of 308 children (23%). Due to that and the fact that IL-1 $\beta$  has been shown to

- significantly degrade over time even at -80°  $C^{23}$ , IL-1 $\beta$  was not included in further analyses.
- 216 Determinants of hs-CRP

Children with older children in the home at birth had significantly higher hs-CRP level at age 6
months compared to children without older children in the home: median hs-CRP level 2.20 mg/L
(IQR, 0.63-5.05) vs. 1.16 mg/L (0.41-3.40), p=0.005. In addition, hs-CRP was elevated in children
who had suffered an infectious episode within 14 days prior to biomarker assessment compared to
children without apparent infections: 4.29 mg/L (1.71-5.34) vs. 0.84 mg/L (0.36-2.67), p<0.0001.</li>
We did not detect associations between hs-CRP level and paternal history of asthma, eczema or
allergy, child gender, birth BMI, household income, maternal smoking during 3<sup>rd</sup> pregnancy

trimester, birth by caesarean section, breastfeeding, daycare attendance or pets in the home (Table

225 1).

### 226 Lung Function and Systemic Low-grade Inflammation

- 227 The conventional statistical approach showed a strong linear inverse association between  $FEV_{0.5}$  at
- age 1 month and hs-CRP level at age 6 months ( $\beta$ -coefficient, -0.12; 95% CI, -0.21 to -0.04;
- 229 p=0.004) suggesting increasing grade of inflammation by diminished neonatal lung volume (Figure
- 1). The association was unaffected by adjustment for older children in the home, infections 14 days
- 231 prior to biomarker assessment, birth BMI and maternal smoking in  $3^{rd}$  trimester:  $\beta$ -coefficient, -
- 232 0.13; 95% CI, -0.22 to -0.04; p=0.005. FEF<sub>50</sub> also seemed inversely associated with hs-CRP, but
- 233 was not significant: β-coefficient, -0.06; 95% CI, -0.15 to 0.02; p=0.14.
- 234 Increasing FEV<sub>0.5</sub> was also significantly associated with decreasing levels of IL-6 ( $\beta$ -coefficient, -
- 235 0.10; 95% CI, -0.18 to -0.01; p=0.03) (Figure 2). Confounder adjustment did not modify the
- association: β-coefficient, -0.09; 95% CI, -0.18 to 0.00; p=0.04. We did not detect a significant

association between  $FEF_{50}$  and IL-6 levels.

- 238 FEV<sub>0.5</sub> and FEF<sub>50</sub> measurements were not associated with CXCL8 or TNF- $\alpha$  levels although the  $\beta$ -
- 239 coefficients suggested an inverse association between lung function indices and TNF- $\alpha$  (Table 2).
- 240 The unsupervised PCA showed that hs-CRP, IL-6, TNF-α and CXCL8 were positively correlated in
- the first principal component (PC<sub>1</sub>) which explained 41% of the total variation in the data. The PCA
- approach is illustrated in the biplot (Figure 3) showing scores for PC<sub>1</sub> and PC<sub>2</sub> and loadings for the
- biomarkers. Because of the univocal pattern in  $PC_1$ , we focused on  $PC_1$  in the further analyses.
- 244 Confirming the findings from the conventional statistics, we found that FEV<sub>0.5</sub> was inversely
- associated with  $PC_1$  (p=0.02) and remained significant after confounder adjustments (p=0.03). The

- 246  $\beta$ -coefficients also suggested an inverse association between FEF<sub>50</sub> and PC<sub>1</sub>, but the model was not
- 247 significant (Table 2).

248 We did not detect any association between inflammatory biomarkers at age 6 months and lung

- function at age 7 years neither by conventional statistics nor by PCA approach (Table E2).
- 250 Bronchial Responsiveness and Systemic Low-grade Inflammation
- 251 Bronchial responsiveness to methacholine in neonatal life and at age 7 years was not associated
- with biomarkers of low-grade inflammation at age 6 months (Tables 2 and E2).
- 253 Lung Symptoms, Asthma and Systemic Low-grade Inflammation
- 254 Children experiencing TROLS at any time-point from birth till biomarker assessment (0-6mo)
- compared to children without TROLS had significantly elevated levels of hs-CRP: median 1.79
- 256 mg/L (IQR,0.50-4.72) vs. 1.19 mg/L (0.46-4.14), p=0.05; IL-6: 0.21 ng/L (0.13-0-42) vs. 0.19 ng/L
- 257 (0.11-0.29), p=0.05; and CXCL8: 3.37 ng/L (2.18-5.31) vs. 2.90 ng/L (2.22-3.85), p=0.04. The
- 258 PCA approach confirmed an up-regulated blood inflammatory profile in children experiencing
- 259 TROLS at age 0-6mo (p=0.01). The findings were unaffected by adjustment for older children in
- 260 the home and infectious episodes within 14 days prior to biomarker assessment (Table 3).
- 261 Elevated hs-CRP showed a trend of a 1.5-fold increased risk of recurrent TROLS till age 1yr
- 262 (hazard ratio, 1.5; 95% CI, 0.9-2.5, p=0.10), but was not associated with recurrent TROLS after age
- 263 1yr or asthma at age 7yrs. Similar associations were detected with IL-6 and PC<sub>1</sub> (Table 3).

#### 264 **DISCUSSION**

#### 265 Key Findings

This study shows that children with reduced pulmonary capacity as neonates are characterized by elevated levels of hs-CRP and a generally up-regulated blood inflammatory response suggesting presence of systemic low-grade inflammation in early childhood. These findings indicate that reduced infant lung function reflects an ongoing asymptomatic airway inflammation with a measurable systemic component early in life.

#### 271 Strengths and Limitations of the Study

A major strength of the study is the unique assessment of neonatal lung function with the state-ofthe-art raised volume rapid thoraco-abdominal compression technique performed strictly in coherence with recognized guidelines<sup>14</sup>. The infant spirometry measurements were obtained in a large sample of asymptomatic children prior to presence of any respiratory symptoms and are thus unbiased from preexisting or concurrent airway disease. Another significant strength of the study is the availability of a range of environmental exposure assessments enabling robust confounder adjustment for factors with possible influence on infant lung function and low-grade inflammation.

279 There were strong linear correlations between IL-6 and TNF-α and hs-CRP levels. As IL-6 and TNF- $\alpha$  are main triggers of CRP release from the liver<sup>2</sup>, these expected correlations serve as a 280 281 biological validation of the data. The lack of correlation between CXCL8 and hs-CRP levels was 282 not surprising because CXCL8 primarily has a neutrophilic chemotactic function in the innate immune system and does not directly induce CRP release<sup>24</sup>. The finding of significantly elevated 283 284 hs-CRP levels in children experiencing an infectious episode within 14 days prior to biomarker assessment further assures a high signal-to-noise ratio as CRP is a reliable biomarker of ongoing 285 infection<sup>1</sup>. Even after adjusting for this potentially strong confounder, the association between 286 287 infant lung function and hs-CRP persisted with largely unchanged effect estimates. Furthermore,

both the standard statistical approach and the unsupervised data driven approach revealed identical
associations enhancing our confidence in the findings of the study.

It is a limitation of the study that we were unable to detect a biologically meaningful signal from IL-1 $\beta$  which is presumably partly due to the sample storage time of up to 13 years. It is well known that circulating IL-1 $\beta$  levels are approximately x5 lower than TNF- $\alpha$  in healthy adults<sup>22</sup>, but in our case the median IL-1 $\beta$  level was x200 lower than the median TNF- $\alpha$  level (0.01 vs. 2.34ng/L) and we were unable to detect association between IL-1 $\beta$  and hs-CRP. This was not unexpected as IL-1 $\beta$ is particularly sensitive to freeze-thaw cycles and degrades >50% over time, even when samples are stored at -80 degree C<sup>23</sup>.

Another limitation of the study is the at-risk nature of the cohort, as all children are born to mothers with a history of asthma. We recently demonstrated that the offspring of mothers with a history of asthma, allergy or eczema in an unselected mother-child cohort has a topical down-regulated immune signature in the airway mucosa compared to children of mothers without such disorders<sup>25</sup>. The at-risk nature of the studied cohort may have impacted the measured biomarker levels but should not hamper our ability to explore the association between infant spirometry incentives and evident markers of systemic low-grade inflammation within the cohort.

304 *Meaning of the Study* 

The strong linear inverse association between infant lung function and hs-CRP proposes that
neonates with diminished lung function are characterized by manifest systemic low-grade
inflammation very early in life. This suggests that airway inflammation accompanies reduced lung
function even in asymptomatic neonates and that such airway inflammation is not a local
phenomenon but has a measurable systemic component. To our knowledge, no other previous study
has investigated the relationship between infant lung function and low-grade inflammation in early
life.

Hitherto, only very few childhood studies have investigated hs-CRP level in relation to pulmonary 312 function outcomes<sup>9,26,27</sup>. In line with our findings, a study of 63 asthmatic children aged 2-12 years 313 with and without acute exacerbations<sup>27</sup> and a study of 60 school-aged children treated with inhaled 314 corticosteroids as well as steroid-naïve children<sup>9</sup> showed a reciprocal relationship between FEV<sub>1</sub> 315 316 and hs-CRP. In contrast, another similar study of 62 school-aged children with controlled and uncontrolled asthma<sup>26</sup> did not detect association between hs-CRP and FEV<sub>1</sub>, but found that hs-CRP 317 318 was higher in uncontrolled vs. controlled asthma which may reflect degree of airway inflammation. All these studies are significantly hampered by low numbers and wide age-ranges and solely 319 investigate children with manifest asthma. Our study extends the current knowledge by 320 321 demonstrating an association between hs-CRP and infant lung function measured at age 1 month in 322 asymptomatic neonates prior to onset of any respiratory symptoms. In support of our findings, a number of recent larger cross-sectional analyses in adult and adolescent 323 324 studies have shown that increased hs-CRP is associated with respiratory impairment in both population-based settings and in asthmatic and non-asthmatic strata<sup>10,28,29</sup>. Longitudinal lung 325

function follow-up performed 6-9 years after baseline in these studies and in another similar study showed no association between baseline hs-CRP and follow-up FEV<sub>1</sub><sup>28-30</sup>. In line with those findings, we found no association between hs-CRP in early life and lung function at age 7 years suggesting that low-grade systemic inflammation mainly reflects current airway inflammation and does not predict subsequent decline in lung function. This hypothesis aligns with our finding of elevated hs-CRP being associated with a recent history of asthma-like symptoms and an increased risk of developing recurrent asthma-like symptoms in the first year of life but not thereafter.

A possible explanation of the identified association between reduced infant lung function and
elevated hs-CRP is that diminished forced volume is accompanied by airway inflammation with a

systemic component. Thus, in vitro murine and human lung cell studies have established a possible 335 role of the pro-inflammatory cytokines stimulating CRP release such as IL-6, TNF- $\alpha$  and IL-1 $\beta$  in 336 the pathophysiology of obstructive airway inflammation<sup>31,32</sup>. Persistently elevated CRP may induce 337 an increased vulnerability to changes in the early life environment through its actions as a general 338 339 scavenger protein with important innate immune functions in the recognition and elimination of 340 bacteria and damaged human cells via opsonization, phagocytosis, and cell-mediated cytotoxicity<sup>1</sup>. 341 Alternatively, reduced neonatal lung function does not per se trigger systemic inflammation, but is 342 rather an independent characteristic of infants with a less efficient inflammatory regulation leading to a cycle of sustained low-grade inflammation in early life. Such inefficient immune-regulation 343 might be driven by the infant's genotype interacting with the intra uterine and early-in-life 344 345 environment, thereby affecting the plasticity of the developing immune system. In support of the latter theory, higher baseline CRP levels has been demonstrated in westernized populations where 346 obstructive airway disorders are more prevalent compared to rural societies<sup>33</sup>. 347

348 Conclusion

Children of the Danish COPSAC<sub>2000</sub> at-risk cohort with reduced infant lung function are
characterized by elevated hs-CRP level and an up-regulated blood inflammatory response
suggesting that reduced lung function reflects an ongoing asymptomatic airway inflammation with a
measurable systemic component early in life.

## 353 TABLES

- 354 **Table 1**: Heredity, anthropometrics, demographics, pre-, peri- and postnatal exposures, and
- 355 infectious episodes prior to assessment of low-grade inflammation in relation to hs-CRP level at age
- 356 6 months.

			hs-CRP (mg/L) at a	ge 6 months
Characteristic	Ν	Median (IQR)	P-value	
Paternal asthma, allergy or eczema	Yes	135	1.52 (0.46-4.61)	0.54
	No	153	1.31 (0.46-4.44)	
Gender	Male	155	1.37 (0.37-4.44)	0.62
	Female	146	1.51 (0.49-4.81)	-
Body mass index (BMI) at birth	7-12m/kg <sup>2</sup>	78	1.06 (0.45-4.46)	0.56
	12-13m/kg <sup>2</sup>	73	1.80 (0.56-4.69)	-
	13-14m/kg <sup>2</sup>	74	1.41 (0.48-4.98)	-
	14-17m/kg <sup>2</sup>	76	1.25 (0.39-3.75)	-
Older children in the home at birth	Yes	114	2.20 (0.63-5.05)	0.005
	No	177	1.16 (0.41-3.40)	-
Household income at birth (yearly)*	Low	77	0.83 (0.38-3.57)	0.17
	Average	144	1.31 (0.46-4.63)	-
	High	70	2.27 (0.67-4.92)	-
Maternal smoking during 3 <sup>rd</sup> trimester	Yes	51	1.40 (0.41-4.46)	0.33
	No	250	1.22 (0.64-5.02)	-
Cesarean section	Yes	60	1.81 (0.52-5.13)	0.20
	No	205	1.31 (0.46-3-93)	-
Solely breastfeeding period	0-3mo	64	2.16 (0.49-5.35)	0.43

	3-6mo	160	1.51 (0.46-4.31)	
	>6mo	40	1.02 (0.55-3.87)	-
Age at start in daycare	0-9mo	89	1.80 (0.50-5.13)	0.26
	9-12mo	77	1.13 (0.36-3.40)	
	>12mo	123	1.59 (0.50-4.82)	-
Cat in the home in 1 <sup>st</sup> year of life	Yes	46	1.74 (0.67-3.87)	0.42
	No	248	1.41 (0.44-4.67)	
Dog in the home in 1 <sup>st</sup> year of life	Yes	44	1.15 (0.50-3.65)	0.56
	No	249	1.52 (0.49-4.69)	
Infection 14 d before hs-CRP assessment <sup>**</sup>	Yes	95	4.29 (1.71-5.34)	<0.0001
	No	206	0.84 (0.36-2.67)	

357 \*Yearly household income at birth of infant: low (<53.000 €), medium (53.000-80.000 €), high

- 358 (>80.000 €).
- <sup>359</sup> <sup>\*\*</sup>Infections include any upper or lower respiratory tract infection, gastroenteritis or fever with

360 unknown cause within 14 days before the blood sampling for hs-CRP measurement.

361 **Table 2**: Association between infant lung function and inflammatory biomarkers at age 6 months: conventional and principal component

analysis approach.

	Log-hs-CRP		Log-IL-6		Log-TNF	Log-TNF-a		L <b>8</b>	PC1		
	β-coefficient	р	β-coefficient	р	β-coefficient	р	β-coefficient	р	β-coefficient	р	
	(95% CI)		(95% CI)		(95% CI)		(95% CI)		(95% CI)		
			·	UN	ADJUSTED A	NALY	SIS				
z-FEV <sub>0.5</sub>	-0.12	0.004	-0.10	0.0	-0.11	0.44	0.02	0.83	-0.10	0.02	
	(-0.21 to -		(-0.18 to -	3	(-0.38 to		(-0.15 to		(-0.19 to -		
	0.04)		0.01)		0.17)		0.19)		0.01)		
z-FEF <sub>50</sub>	-0.06	0.14	-0.02	0.6	-0.09	0.52	-0.06	0.49	-0.06	0.17	
	(-0.15 to		(-0.11 to 0.06)	1	(-0.37 to		(-0.22 to		(-0.14 to 0.03)		
	0.02)				0.18)		0.11)				
Log-PD <sub>15</sub>	0.04	0.60	-0.03	0.7	-0.02	0.94	0.15	0.36	0.03	0.76	
	(-0.12 to		(-0.21 to 0.15)	5	(-0.56 to		(-0.17 to		(-0.14 to 0.19)		
	0.21)				0.52)		0.46)				
			•	A	DJUSTED AN	ALYSI	$\mathbf{S}^*$				
z-FEV <sub>0.5</sub>	-0.13	0.005	-0.09	0.0	-0.13	0.41	0.02	0.79	-0.10	0.03	
	(-0.22 to -		(-0.18 to 0.00)	4	(-0.43 to		(-0.15 to		(-0.20 to -		
	0.04)				0.18)		0.20)		0.01)		
z-FEF <sub>50</sub>	-0.06	0.18	-0.03	0.4	-0.11	0.46	-0.06	0.50	-0.06	0.19	
	(-0.16 to		(-0.12 to 0.06)	9	(-0.42 to		(-0.23 to		(-0.15 to 0.03)		
	0.03)				0.19)		0.12)				
Log-PD <sub>15</sub>	0.02	0.83	-0.03	0.7	-0.06	0.84	0.15	0.35	-0.02	0.85	
	(-0.16 to		(-0.22 to 0.15)	2	(-0.61 to		(-0.18 to		(-0.19 to 0.16)		
	0.20)				0.50)		0.48)				

363 PC1 = Principal Component 1;  $FEV_{0.5}$  = Forced Expiratory Volume at 0.5 seconds;  $FEF_{50}$  = Forced Expiratory Flow at 50% of the forced

364 vital capacity;  $PD_{15}$  = Provocative Dose of methacholine causing a 15% drop in transcutaneuos oxygen saturation.

<sup>\*</sup>Adjusted for birth BMI, maternal smoking during 3<sup>rd</sup> pregnancy trimester, older children in the home at birth and infectious episodes

366 within 14days prior to blood sampling for inflammatory biomarkers assessment.

367 **Table 3**: Association between inflammatory biomarkers at age 6 months and asthma-related outcomes at 0-7 years: conventional and

368 principal component analysis approach.

	Log-hs-CRP		Log-IL-6		Log-TNF-α		Log-CXCL8		PC <sub>1</sub>	
	Estimate	р	Estimate	р	Estimate	р	Estimate	р	Estimate	р
	(95% CI)		(95% CI)		(95% CI)		(95% CI)		(95% CI)	
				UNA	DJUSTED AN	NALYS	SIS			
Any TROLS, 0-6mo <sup>1</sup>	0.04	0.05	0.04	0.05	0.09	0.18	0.09	0.0	0.06	0.01
	(0.00-		(0.00-0.09)		(-0.05-0.23)		(0.00-0.17)	4	(0.01-	
	0.08)								0.10)	
Recurrent TROLS, 0-	1.5	0.10	1.5	0.11	1.5	0.56	1.2	0.6	1.4	0.12
1yr <sup>2</sup>	(0.9-2.5)		(0.9-2.4)		(0.4-6.6)		(0.6-2.3)	3	(0.9-2.0)	
Recurrent TROLS, 0-	1.0	0.95	1.0	0.79	1.0	0.88	0.9	0.4	1.0	0.97
yrs <sup>2</sup>	(0.8-1.2)		(0.8-1.2)		(0.6-1.9)		(0.6-1.3)	3	(0.8-1.2)	
Asthma, 7yrs <sup>3</sup>	1.0	0.99	1.0	0.73	0.7	0.36	0.6	0.1	0.9	0.62
	(0.8-1.3)		(0.7-1.2)		(0.3-1.6)		(0.3-1.3)	7	(0.7-1.2)	
					JUSTED ANA	ALYSIS	5*			

Any TROLS, 0-6mo <sup>1</sup>	0.05	0.04	0.04	0.08	0.06	0.40	0.07	0.1	0.05	0.03
	(0.00-		(-0.01-0.08)		(-0.08-0.21)		(-0.01-	1	(0.01-	
	0.09)						0.15)		0.10)	
Recurrent TROLS, 0-	1.6	0.09	1.4	0.20	1.3	0.76	1.1	0.7	1.3	0.21
$1 \text{yr}^2$	(0.9-2.7)		(0.8-2.3)		(0.3-5.4)		(0.6-2.0)	9	(0.9-1.9)	
Recurrent TROLS, 0-	1.0	0.97	1.0	0.62	0.9	0.66	0.8	0.3	1.0	0.68
yrs <sup>2</sup>	(0.8-1.2)		(0.8-1.1)		(0.5-1.6)		(0.5-1.2)	0	(0.8-1.2)	
5										
Asthma, 7yrs <sup>3</sup>	1.0	0.97	0.9	0.66	0.6	0.26	0.6	0.1	0.9	0.43
	(0.8-1.3)		(0.7-1.2)		(0.3-1.4)		(0.3-1.2)	5	(0.7-1.2)	

369  $PC_1$  = Principal Component 1; TROLS = TROublesome Lung Symptoms.

<sup>\*</sup>Adjusted for older children in the home at birth and infectious episodes within 14days prior to blood sampling for inflammatory

- 371 biomarkers assessment.
- <sup>1</sup>Occurence of any TROLS from birth till age 6 months: general linear model (estimate= $\beta$ -coefficent).
- <sup>373</sup> <sup>2</sup>Time to onset of recurrent TROLS: Cox regression (estimate=hazard ratio).
- <sup>3</sup>Asthma at age 7 years (yes/no): logistic regression (estimate=odds ratio).

- 375 **Table E1 Online:** Comparison of baseline characteristics between children with and without
- 376 complete assessment of early-life low-grade inflammation.

Baseline characteristic	Children with biomarker assessment N=300	Children without biomarker assessment N=111	р
Paternal asthma, allergy or eczema, % (N)	47% (135)	46% (50)	0.84 <sup>c</sup>
Male gender, % (N)	51% (154)	44% (49)	0.20 <sup>c</sup>
BMI at birth, mean (SD)	12.79m/kg <sup>2</sup> (1.34)	12.84m/kg <sup>2</sup> (1.22)	0.63 <sup>t</sup>
Older children in the home at birth, % (N)	39% (114)	40% (38)	0.91 <sup>c</sup>
Household income at birth <sup>*</sup> , % (N)			0.12 <sup>c</sup>
Low	27% (77)	38% (35)	
Average	49% (143)	41% (39)	
High	24% (70)	21% (20)	
Maternal smoking during 3 <sup>rd</sup> trimester, % (N)	17% (51)	11% (12)	0.12 <sup>c</sup>
Cesarean section, % (N)	23% (60)	27% (25)	0.45 <sup>c</sup>
Solely breastfeeding length, median (IQR)	122days (90-155)	122days (74-164)	0.90 <sup>w</sup>
Age at start in daycare, median (IQR)	345days (240-415)	307days (216-412)	0.27 <sup>w</sup>
Cat in the home in 1 <sup>st</sup> year of life, % (N)	16% (46)	14% (14)	0.61 <sup>c</sup>

Dog in the home in 1 <sup>st</sup> year of life, %	15% (44)	10% (10)	0.16 <sup>c</sup>
(N)			

377 <sup>\*</sup>Yearly household income at birth of infant: low (<53.000 €), medium (53.000-80.000 €), high

378 (>80.000 €), <sup>c</sup>Chi-square test, <sup>t</sup>t-test, <sup>w</sup>Wilcoxon rank sum test

**Table E2**: Association between inflammatory biomarkers at age 6 months and lung function at age 7 years: conventional and principal

380 component analysis approach.

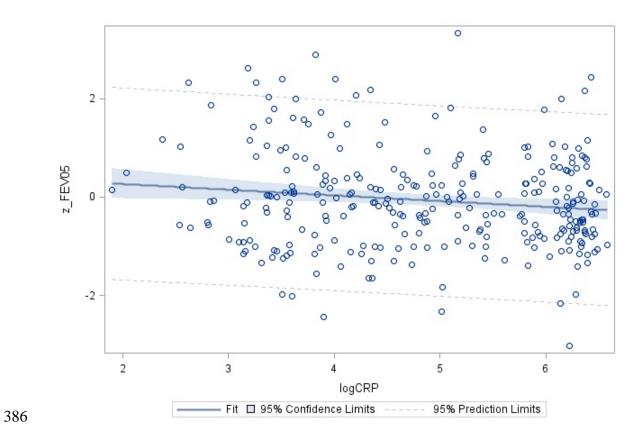
	Log-hs-CRP		Log-IL-6		Log-TNF-a		Log-CXCl	L <b>8</b>	PC1	
	β-coefficient	р	β-coefficient	р	β-coefficient	р	β-coefficient	р	β-coefficient	р
	(95% CI)		(95% CI)		(95% CI)		(95% CI)		(95% CI)	
z-FEV <sub>1</sub>	0.04	0.45	0.02	0.68	0.20	0.21	0.18	0.09	0.05	0.32
	(-0.06-0.15)		(-0.08-0.12)		(-0.12-0.52)		(-0.03-0.40)		(-0.05-0.15)	
z-MMEF	0.01	0.92	-0.01	0.91	0.11	0.53	0.14	0.23	0.03	0.57
	(-0.10-0.11)		(-0.11-0.10)		(-0.23-0.44)		(-0.09-0.36)		(-0.08-0.14)	
Log-PD <sub>20</sub>	0.13	0.08	0.09	0.24	0.30	0.19	0.02	0.90	0.07	0.34
	(-0.15-0.29)		(-0.06-0.25)		(-0.15-0.75)		(-0.30-0.34)		(-0.07-0.21)	

381  $PC1 = Principal Component 1; FEV_1 = Forced Expiratory Volume at 0.5 seconds; MMEF = Maximal Mid-Expiratory Flow; PD_{20} =$ 

382 Provocative Dose of methacholine causing a 20% drop in  $FEV_1$  from baseline.

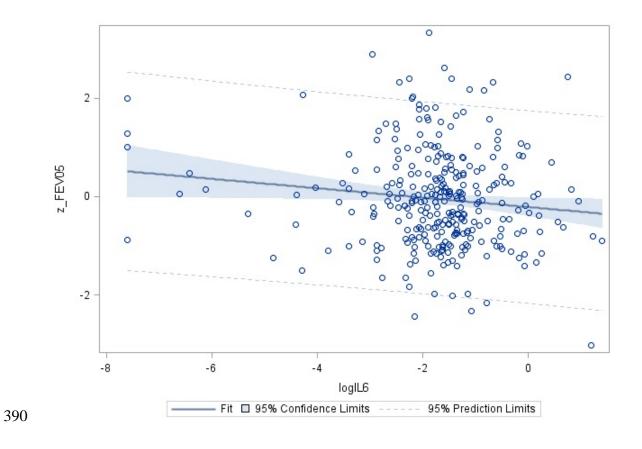
## 383 FIGURES

- **Figure 1:** Scatter plot illustrating the relationship between neonatal lung function (z-score of
- $385 \quad FEV_{0.5}$ ) and hs-CRP at age 6 months (log-transformed values).



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**Figure 2:** Scatter plot illustrating the relationship between neonatal lung function (z-score of



 $FEV_{0.5}$ ) and IL-6 at age 6 months (log-transformed values).

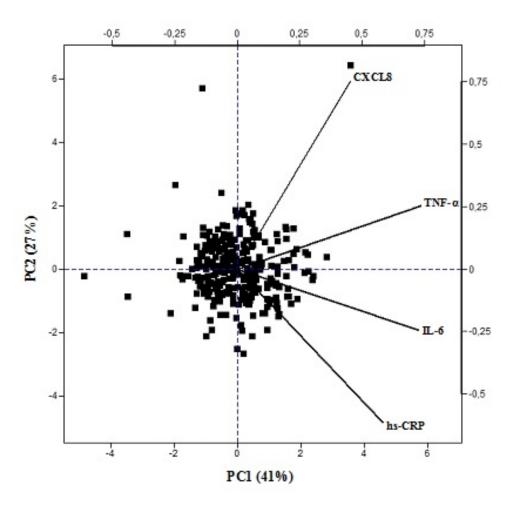
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392 Figure 3: Principal component analysis biplot showing scores and loadings for hs-CRP, IL-6, TNF-

 $\alpha$  and CXCL8 in the first principal component (PC1) and second principal component (PC2).

394 Percentages in parenthesis are the part of the total variation in the data set explained by the

395 components.



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