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6 **Evaluation of microalgae production coupled with wastewater**  
7 **treatment**

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# Evaluation of microalgae production coupled with wastewater treatment

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In the present study the feasibility of microalgae production coupled with wastewater treatment was assessed. Continuous cultivation of *Chlorella sorokiniana* with wastewater was tested in lab-scale flat panel photobioreactors. Biomass productivity was determined for four dilution rates (4.32 d<sup>-1</sup>, 3.6 d<sup>-1</sup>, 1.8 d<sup>-1</sup> and 0.72 d<sup>-1</sup>). The productivity peak was 1.524 g l<sup>-1</sup>d<sup>-1</sup> at the dilution rate of 2.41 d<sup>-1</sup>. Nitrogen and phosphorus removals were found to be inversely proportional to dilution rates, while COD removal was found to be 50% at all the tested conditions. The biomass obtained at the highest dilution rate was characterized for its content of lipids, proteins and pigments. The average yields of fatty acid methyl esters (FAME), protein, lutein, chlorophylls and  $\beta$ -carotene was 62.4 mg, 388.2 mg, 1.03 mg, 11.82 mg and 0.44 mg per gram dry biomass, respectively. Economic analysis revealed that potentially more than 70 % of revenue was from the production of pigments, i.e. chlorophyllin (59.6%), lutein (8.9%) and  $\beta$ -carotene (5.0%) while reduction in discharging costs of the treated wastewaters could account for 19.6% of the revenue. Due to the low yield of FAME and the low market price of biodiesel, the revenue from the above was found to be the least profitable (1.4%). Even when taking into account all these different revenues combined, this cultivation strategy was found with the current prices to be uneconomical. Power consumption for artificial light was responsible for the 94.5% of the production costs.

**Keywords:** *Chlorella sorokiniana*, biorefinery, wastewaters, photobioreactors, economic analysis

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## 52 **Introduction**

53 Increasing concerns about climate change and sustainability of fossil fuels based  
54 economies have brought interest to microalgae for potential to establish bio-based  
55 economy, mainly due to their higher areal productivity over traditional biomasses [1].  
56 Nevertheless, algal biomass production cost is still one major obstacle for  
57 commercialization of algae-derived products, especially for the low-value ones such as  
58 biofuels. As a consequence, current application of algal biomass is centered on high-  
59 value products (i.e. health, cosmetics, nutraceutical and food) [2]. In order to make the  
60 production of algal biomass profitable, efforts can be made on process integration, algal  
61 biology and cultivation system design [1, 3]. First, it is strongly recommended to  
62 produce biofuel simultaneously with value-added co-products, following a biorefinery  
63 strategy [4]. Furthermore, the combination of microalgae production with wastewater  
64 treatment for removal of nutrients and hazardous compounds can lead to a further step  
65 towards a cost-effective process, by saving the costs for N and P fertilizers when using  
66 nutrient rich streams [5, 6]. Moreover, revenue from wastewater treatment would help  
67 the overall process economy.

68 In this context, selection of appropriate algal species is pivotal: the ability of the  
69 species to grow in specific wastewaters and then generate biomass suitable for further  
70 transformation to high value products has a direct impact on the potential revenues.  
71 Furthermore, the use of wastewater as the culturing media adds stricter requirements for  
72 robustness of microalgae against adverse conditions, such as contamination with  
73 possible toxic compounds and competition with undesired microorganisms [7, 8]. Zhou  
74 et al. [9] isolated multiple species from natural environments and screened five potential  
75 high lipid producers in concentrated municipal wastewater by DNA sequencing:  
76 *Auxenochlorella protothecoides*, *Hindakia* sp., *Scenedesmus* sp. and two *Chlorella* sp.

77 A similar work found two *Chlorella* species, *C. protothecoides* and *C. kessleri* were  
78 growing better in wastewater compared to 14 other algal strains [10]. Additionally,  
79 several studies dealing with algal consortia suggested *Chlorella* sp. and *Scenedesmus*  
80 sp. as relatively robust species that can grow in wastewater [11-13].

81 Apart from the selected species, biomass production coupled with wastewater  
82 treatment depends on a variety of operation parameters such as type of wastewater, light  
83 intensity and cycle, pH, temperature, dilution rate, etc. [14]. Flow rate of medium, that  
84 determines the rate of nutrient supply, largely impacts the growth rates of the  
85 microorganisms. Biomass concentration at steady state depends on the equilibrium  
86 between specific growth rate and the imposed dilution rate [15]. Dilution rate is  
87 following the growth rate of algae up to maximum growth rate whereafter at higher  
88 dilution rates wash out would happen. As a consequence, the maximum biomass  
89 productivity would be reached at a specific dilution rate which is close (but lower) to  
90 the maximum growth rate of the algae at that specific condition. Previous studies  
91 investigated the effect of dilution rates on the overall productivity and observed that the  
92 optimal productivity corresponds to medium values of the dilution rates. This is  
93 probably due to less optimal growth conditions which not support maximum rates of the  
94 algae, such nutrients deficiency or content of potential inhibitors [16, 17].

95 Reducing production cost and/or increasing productivity are possible ways to  
96 improve the economics of algal biomass production. The present study aims to further  
97 investigate and assess the biomass productivity and the biomass composition of selected  
98 microalgae species grown in wastewater, instead of widely used synthetic media for  
99 supply of nutrients. Use of wastewater would reduce cost for nutrients (necessary for  
100 the cultivation) into revenue deriving from the removal of the same nutrients as  
101 environmental service. In this context, the algal biomass was used as a source for high

102 added value products and biofuels to offset the production costs. Additionally, attempts  
103 to improve the productivity via strain selection and optimization of cultivation-  
104 operation were made. Based on the data generated, the economics of algal biomass  
105 production was assessed in four scenarios considering an annual production of 330  
106 days.

107

## 108 **Materials and methods**

### 109 *Algal strains, medium and wastewater*

110 Microalgal species *Chlorella sorokiniana* and *Scenedesmus obliquus* were chosen for  
111 the initial screening because they are frequently found in different wastewaters [11-13]  
112 and thus are expected to show robust growth in such environments. The strains were  
113 obtained from SCAAP (Scandinavian Culture Collection of Algae & Protozoa,  
114 Denmark) and cultivated in sterilized Woods Hole medium (MWC) [18] containing  
115 selenium.

116 Mixed influent industrial/municipal wastewater from Kohtla-Järve, Estonia was  
117 selected for testing with algae based on the assumption that it represents typical  
118 conditions in larger municipalities where industrial and municipal wastewaters as well  
119 as storm water are mixed and then treated together. The mixed industrial/municipal  
120 probe represented time-adjusted average water sample collected over 24 hours. The  
121 water sample has been analysed by the Estonian Environment Research Centre and the  
122 list of substances for the analyses involved COD<sub>Cr</sub>, TOC, BOD<sub>7</sub>, NO<sub>2</sub>-N, NO<sub>3</sub>-N, NH<sub>4</sub>-  
123 N, N<sub>tot</sub>, PO<sub>4</sub>-P and P<sub>tot</sub>. A number of hazardous compounds were present in the  
124 wastewater and were analysed by Kohtla-Järve WWTP using standard procedures  
125 (Table S1 in Supplementary Material). Part of the collected water sample was frozen (-  
126 20°C) and transported to Danish Technical University for further tests with microalgae.

127 For all the cultivation experiments, wastewater underwent sedimentation to remove the  
128 majority of solid particles. Sedimentation is considered an economic method in large  
129 scale applications for gross separation of larger particles and therefore it was chosen as  
130 separation methodology. Analysis of nutrients and organic compounds of the  
131 supernatant after sedimentation was performed at the Technical University of Denmark.

132 Due to storage and sedimentation of the wastewater samples, some changes in  
133 the water quality occurred, resulting in lower COD,  $N_{\text{tot}}$ , and  $P_{\text{tot}}$  concentrations and  
134 higher  $\text{NH}_4\text{-N}$  content (Table 1).

135

### 136 ***Microplate screening***

137 Screening for the best performing algal strain in the wastewater was carried out in 24-  
138 well microplates (PE VISIPLATE, 24 well black-walled, clear bottomed). The  
139 microplates were incubated at room temperature, illuminated by LED at  $400 \pm 50 \mu\text{mol}$   
140  $\text{photons m}^{-2} \text{s}^{-1}$  and shaken at 140 rpm with a 50 mm throw. Growth was monitored by  
141 fluorescence (440 nm emission, 690 nm detection) using a Synergy Mx microplate  
142 reader (BioTek Instruments, Inc., USA).

143 Cultivation procedures, well-top membranes, growth rate calculations, and  
144 detection limits were as described in recent study [19]. Each of the strains was  
145 inoculated in triplicates in 100% wastewater or mixtures of wastewater and MWC + se  
146 medium with varying percentages of wastewater (75%, 50% and 25%). Culture volume  
147 in each well was 2 ml. The screening was repeated for two generations for both species.

148

### 149 ***Photobioreactor cultivation***

150 A flat-panel photobioreactor (Algaemist reactor, Wageningen University) was used to  
151 cultivate *C. sorokiniana* with the wastewater pretreated by sedimentation. Undiluted



152 wastewater was used for this set of cultivation experiments due to the positive results  
153 obtained from the microplate screening where cultivation in undiluted wastewater  
154 supported algal growth (see Results and discussion: Microplate screening).

155 The cultivation was initiated in batch mode. Parameter settings in this  
156 experiment are listed in Table 2, and were chosen according to the optimal growth  
157 condition for this species [20-22]. When the growth reached early stationary phase, the  
158 cultivation was switched to continuous mode. The dilution rate was set to  $4.32 \text{ d}^{-1}$ ,  
159 which was close to the maximum specific growth rate observed during the exponential  
160 phase in batch mode. Thereafter, the dilution rate was stepwise decreased to  $3.6 \text{ d}^{-1}$ ,  $1.8$   
161  $\text{d}^{-1}$  and  $0.72 \text{ d}^{-1}$ . Optical density ( $OD_{750}$ ) throughout the cultivation was monitored.  
162 Moreover, biomass was collected for each dilution rate when the OD value was stable.  
163 The temperature of the effluent was maintained at  $4^\circ\text{C}$  to inhibit algae metabolism and  
164 growth after harvest.

#### 166 *Analytical methods*

167 The samples obtained from the highest dilution rate was subject to lipid, protein and  
168 pigment quantification.

#### 170 *Cell growth and dry cell weight*

171 Cell growth of algae was monitored by measuring optical density at 680 and 750 nm  
172 using a Hach Lange DR2800 spectrophotometer. The correlation between optical  
173 density (OD) and dry weight (DW) concentration of samples ( $C_x$ ) was determined as  
174 described in Van Wagenen et al. [17]. The correlation curve between  $OD_{750}$  of cell  
175 suspensions and dry weight of the biomass resulted to be linear,  $C_x = 0.31OD_{750} - 0.04$   
176 with a  $R^2 > 0.95$ .

177

178 *Lipid determination*

179 The procedure for the quantification of fatty acid methyl esters (FAMES) was based on  
180 the modified Folch method [23]. 10 mg of freeze-dried and powdered biomass was  
181 mixed to a solvent mixture of chloroform: methanol (2 mL, 2:1, v/v) in duplicate. After  
182 vortexing for 20 minutes, FAMES were formed by addition 1 mL of methanol and 300  
183  $\mu\text{L}$  of  $\text{H}_2\text{SO}_4$  and incubation at  $100^\circ\text{C}$  for 20 minutes. After cooling down, 1 mL of  
184 distilled water was added to the sample, which was then vortexed for 5 minutes and  
185 centrifuged at 4,000 rpm for 10 minutes. The lower layer including the organic solvent  
186 was analysed with gas chromatography (HP 5890, Agilent, USA) with a flame ionized  
187 detector (FID) and INNOWAX capillary column (Agilent, USA). The GC column  
188 temperature was programmed as follows: (1) initial column temperature at  $50^\circ\text{C}$ , hold  
189 for 1 min, (2) increase to  $200^\circ\text{C}$  at a rate of  $15^\circ\text{C min}^{-1}$ , hold for 9 min, and (3)  
190 increase to  $250^\circ\text{C}$  at a rate of  $2^\circ\text{C min}^{-1}$ , maintain for 2 min. Individual FAME  
191 component was identified and quantified by comparing the retention times and peak  
192 areas with those of the FAMES standard solutions, respectively. The internal standard  
193 was Supelco 37 Component FAME Mix, item no. 47885- U, Sigma–Aldrich.

194

195 *Protein determination*

196 For protein hydrolysis, duplicates of 50 mg biomass were suspended in 6 ml of 6N HCl  
197 and transferred in close vessels. The vessels were flashed with nitrogen to prevent  
198 oxidative degradation of some oxygen/sensitive amino acids. The vessels were then  
199 microwaved for 30 min at 150 and 500W (Multiwave 3000, Anton Paar). Samples were  
200 then freeze-dried to remove HCl. The residues were resuspended in 400  $\mu\text{L}$  milliQ  $\text{H}_2\text{O}$   
201 and filtered through 0.22  $\mu\text{m}$  syringe filters before the protein quantification by in-needle

202 derivatization HPLC-FLD (Dionex UltiMate 3000, Thermo Scientific). Amino-acids  
203 were separated in a c18 reversed phase column (Eclipse Plus C18, Agilent  
204 Technologies, USA) with an in-line guard column (EC 4/2 Universal RP, Macherey-  
205 Nagel, Germany) and mobile phases A (10mM Na<sub>2</sub>HPO<sub>4</sub>, 10 mM Na<sub>2</sub>B<sub>4</sub>O<sub>7</sub>) and B  
206 (methanol: acetonitrile: water, 45:45:10). The flow rate was 0.420 mL min<sup>-1</sup>.  
207 Quantitative analyses were performed by means of calibration curves using a  
208 commercial amino-acid mix standard (AAS18 Fluka).

209

#### 210 *Pigments determination*

211 Two milligrams of freeze-dried biomass were mixed with 3 ml of 90% acetone in  
212 duplicates. Well mixed samples were sonicated in ice bath for 10 min (Branson  
213 3510MT). The supernatant was separated from the residual biomass by centrifugation at  
214 13,000 rpm for 10 min. A Zorbax Eclipse plus C8 RRHD 1.8 μm 3.0×150 mm column  
215 was used for UHPLC separation at 60 °C with a 75 min separation time. Detection  
216 utilized UV–VIS at 450 nm. Quantification was done relative to individual pigment  
217 standards obtained from DHI, Hørsholm, diluted from 15 to 1500 μg L<sup>-1</sup>.

218

#### 219 *Nutrient measurements*

220 Samples corresponding to each dilution rates were centrifuged in order to harvest  
221 biomass. The supernatants were collected for nutrient composition analysis. Contents of  
222 COD, total nitrogen (N<sub>tot</sub>), total phosphorus (P<sub>tot</sub>) and ammonium were determined for  
223 the supernatant using Hach Lange Cuvette Kits. (LCK314, LCK238 and LCK348, while  
224 Spectroquant® ammonium test (Merck Millipore) was used for the measurement of  
225 ammonium.

226

227 *Estimation of biomass market value*

228 Evaluation of economic potential of algae biomass was performed by calculating the  
229 gross profit, taking into account only the difference between revenue and the operating  
230 cost, without deducting costs for overhead, payroll, taxation and interest.

231 Specifically, a value of unit biomass was calculated as sum of revenues from all  
232 products of interest, including biodiesel, proteins and pigments (e.g. lutein, chlorophylls  
233 and  $\beta$ -carotene) as well as benefit for removing COD, N and P from the wastewater.  
234 Market value for each bioproduct obtained per unit biomass can be calculated from the  
235 experimentally obtained yields, i.e. FAME ( $C_f$ ), amino acid ( $C_{aa}$ ) and pigments ( $C_p$ ).  
236 Prices of desirable products (Table 3) were obtained from an e-commerce website:  
237 [www.alibaba.com](http://www.alibaba.com). Specifications of the benchmark products can be found on the  
238 company pages. The revenue from bio-products is the sum of production of each  
239 product ( $P_i$ ) multiplied with its price, shown in the following equation.

$$Revenue_p = \sum_i C_i \cdot Price_i$$

240 Estimation of production cost was based on data from literature. Aim with this  
241 preliminary economic assessment was to estimate which costs – revenues are more  
242 important for the operational cost balance. The estimation only includes operation costs  
243 and not initial investment costs. The rationale behind this was to generate a dataset that  
244 could serve as a preliminary assessment of the profitability of this specific concept. In  
245 case the process resulted to be not economically feasible based on operational costs and  
246 revenues, it would be logical to assume investments for facilities construction would  
247 make the economic prospects even more difficult. CO<sub>2</sub> supply was the only input  
248 needed cost, while nitrogen and phosphorus were considered free as present in the  
249 wastewater. Power consumptions for light, CO<sub>2</sub> sparging and harvesting were  
250 considered main items of production cost for algal biomass. Additionally, cationic

251 coagulant was chosen for the estimation of the harvesting costs due to its effectiveness  
252 and low cost compared to others [24]. Detailed calculation can be found in  
253 supplementary material.

254

#### 255 *Scenarios for potential cost reduction*

256 A basic economic analysis was conducted to evaluate potential cost reduction  
257 opportunities. In addition to the base case (where costs for CO<sub>2</sub> and LED were both  
258 taken into account), three alternative scenarios were proposed. Case (1) assumed  
259 industrial flue gas containing CO<sub>2</sub> was provided freely e.g. from a nearby power plant  
260 without significant influence on cell growth and composition. In case (2), the cost for  
261 power of lighting was eliminated by substituting artificial light with natural light source  
262 (i.e. sunlight). Because of the unstable supply as a consequence of day-night cycle and  
263 seasonal variation, specific growth rate and cell density was assumed to decrease by  
264 14% and 31%, respectively [25]. In the third scenario, assumptions in case (1) and (2)  
265 were combined.

266

#### 267 *Statistics analysis*

268 IBM SPSS Statistics (Version 22) was used for statistical analysis. Data comparison  
269 was performed using one way ANOVA test and unpaired t-test with 95% confidential  
270 intervals.

271

## 272 **Results and discussion**

### 273 *Microplate screening*

274 Based on specific growth rate (Figure 1), *C. sorokiniana* shows higher robustness in this  
275 wastewater over *S. obliquus* at all conditions. The highest specific growth rates are 2.40

276  $d^{-1}$  and  $2.04 d^{-1}$  for *C. sorokiniana* and *S. obliquus*, respectively, which are obtained in a  
277 mixture with 50% wastewater in the second generation. Acclimation in the second  
278 generation was observed for both species. Furthermore, when wastewater concentration  
279 was higher than 50%, growth rates were inversely proportional to wastewater  
280 concentration for both species, which suggests possible inhibitory effects of wastewater  
281 on the algal growth.

282 This could be due to presence of hazardous compounds from the oil-shale  
283 industry in the KJ wastewater, which can potentially be harmful to microalgae species.  
284 At the same time, undiluted wastewater contains the highest concentration of nutrients  
285 and therefore leads to the highest cell density of *C. sorokiniana* (Figure 2), even with a  
286 lower growth rate. The same tendency was observed in a previous study, where 100%  
287 wastewater resulted in initial inhibition to algae, but eventually it resulted in the highest  
288 algae density compared to diluted concentrate [26]. Based on these results and on  
289 considerations that dilution of wastewater would be more technical complex and costly,  
290 undiluted wastewater was used for the photobioreactor (PBR) experiments.

291

### 292 ***Algae productivity***

293 Average biomass productivities and biomass concentration measured at steady states of  
294 four dilution rates are shown in Figure 3. The cultivation was initiated with the dilution  
295 rate ( $4.32 d^{-1}$ ) close to the maximal specific growth rate ( $4.56 d^{-1}$ ) observed in a batch  
296 cultivation in the same wastewater. This dilution rate led to the lowest biomass  
297 concentration ( $0.18 g l^{-1}$ ) and, as a consequence, to the lowest productivity ( $0.8 g l^{-1}d^{-1}$ ).  
298 With the decrease of dilution rates, biomass concentration rose to  $1.44 g l^{-1}$ , (dilution  
299 rate of  $0.72 d^{-1}$ ) corresponding to low productivity ( $0.95 g l^{-1}d^{-1}$ ). The highest biomass  
300 productivity ( $1.46 g l^{-1}d^{-1}$ ) was exhibited at a dilution rate of  $1.8 d^{-1}$ . The curve

301 describing the correlation between dilution rate and biomass productivity was fitted to a  
302 binomial equation, and the highest productivity was estimated to be  $1.524 \text{ g l}^{-1}\text{d}^{-1}$  at a  
303 dilution rate of  $2.41 \text{ d}^{-1}$ , corresponding to a cell density of  $0.63 \text{ g l}^{-1}$ .

304 The trend seen with decrease of cell concentration with increasing dilution rates  
305 is contradictory to the theoretical expected. The expected trend would be that the cell  
306 concentration was stable with increasing dilution rate, until initiation of wash out which  
307 would correspond to a sharp decrease the cell concentration.

308 The explanation to the observed relationship could be due to the spontaneous  
309 flocculation and wall attachment occurred during the cultivation (Figure 4). The  
310 calibration curve (section Analytical methods) used to calculate cell concentration was  
311 generated using homogeneously suspended cells, and therefore OD measurements do  
312 not reflect cell concentrations of flocculant cell associations. High flow rates (high  
313 dilution rates) in upflow reactor systems are causing selection pressure to the cells. Only  
314 cells managing to create flocs are resisting wash out, by creating flocs presenting larger  
315 diameter than the single cells and thereby having a higher sedimentation rate, while the  
316 suspended cells are washed out of the reactor. Therefore high dilution rates are  
317 promoting flocculation and thereby OD measurements at these high rates are giving an  
318 underestimation of the cell concentration.

319 Previous studies employed the same photobioreactor system (flat plate) used in  
320 the current one [16, 17] and have found similar trends. The operation conditions and  
321 growth data achieved in these previous publications listed in Table 4 for comparison. In  
322 Van Wagenen et al. [17] parallel experiments were conducted with a high light intensity  
323 ( $2100 \mu\text{mol m}^{-2} \text{ s}^{-1}$ ) and a low light intensity ( $200 \mu\text{mol m}^{-2} \text{ s}^{-1}$ ). The operating  
324 conditions of the present study (wastewater instead of synthetic media and low light  
325 intensity) are very similar.

326            However, even if the light intensity in the present work was twice as much as the  
327 low light experiment in Van Wageningen et al. [17], lower biomass density and  
328 productivity were obtained. A reason for this difference could be the different nutrient  
329 supplements in the media used. The nutrient content, especially nitrogen in Kohtla-Järve  
330 influent wastewater was considerably lower compared to the aforementioned study  
331 (Table 5). It has been proven that biomass concentration and  $\text{NO}_3\text{-N}$  supply are  
332 positively correlated, up to a saturation level of about  $30 \text{ mg NO}_3\text{-N l}^{-1}$  (further increase  
333 of cell density was limited, which may be caused by the limitation of other nutrients)  
334 [27]. The positive effect of increasing nitrogen and phosphorus concentration on algal  
335 growth was also reported, demonstrating that the highest level of algal biomass  
336 corresponded to the highest initial  $\text{N}_{\text{tot}}$  of  $25 \text{ mg l}^{-1}$  [28].

337

### 338 ***Nutrient removal***

339 Nitrogen and phosphorus concentrations were determined for the treated wastewater  
340 and for the resulting biomass after harvesting. Nutrient contents of the treated  
341 wastewater were compared with the composition of untreated wastewater.

342            Removal efficiencies at different dilution rates are shown in Figure 5. Overall,  
343 the highest removal efficiencies ( $> 90\%$ ) were observed at the lowest dilution rate ( $0.72$   
344  $\text{d}^{-1}$ ). With the decrease of dilution rate, the removals of total nitrogen, total phosphorus  
345 and ammonium were steadily increased. However, the removal of COD for all dilution  
346 rates remained around  $50\%$ . Limited COD reduction was also previously reported [29,  
347 30]. This indicates that the residual  $\sim 50\%$  of COD consisted by organics not degradable  
348 by microalgae. This also shows that organic carbons were consumed very quickly in  
349 these experiments and therefore were the preferred carbon source by *C. sorokiniana*  
350 over  $\text{CO}_2$  (heterotrophy/mixotrophy). This is in agreement with a previous study, in



351 which batch cultivations of *C. sorokiniana* were conducted at increasing concentration  
352 of organic carbon, with the highest growth rate corresponding to the highest  
353 concentration [31].

354 Van Wagenen et al. [17] observed very high removal efficiencies for PO<sub>4</sub>-P in  
355 all the tested dilution rates. In the present work phosphorus removal rate was instead  
356 increased with dilution rate. An explanation for this could be the fact that phosphorus  
357 was in excess in the wastewater used in this previous study (N/P ratio was 36.5:1 in Van  
358 Wagenen et al. [17] while it was only 14.9:1 in the Kohtla-Järve influent wastewater  
359 which we used in this study).

360 Finally, average concentrations of mineral elements present in the algal biomass  
361 are 8.87 % N and 1.04 % P, which partly represent the nutrients transferred from  
362 wastewater to biomass. Similar N and P contents were also reported when microalgae  
363 were grown in dairy manure and obtained biomass consisting of 7 % N and 1% P [32].

364

### 365 ***Biomass characterization***

366 Compositional analysis of the algal biomass grown in wastewater is listed in Table 6.  
367 Palmitic acid (16:0), palmitoleic acid (16:1), oleic acid (18:1) and linolenic acid (18:3)  
368 were found to be the most abundant fatty acids present in the algal biomass (Table 7).  
369 This is in agreement with typical fatty acid composition of *C. sorokiniana* found in  
370 literature [33-36].

371 Fatty acid content in *C. sorokiniana* can vary from 0.6% to 47.51% depending  
372 mainly on the growth conditions (Table 8). FAME yield of current study is relatively  
373 low compared to fatty acid contents of *C. sorokiniana* reported in literature. Nitrogen  
374 starvation has been widely recognized as a stress condition which stimulates the  
375 accumulation of lipids. Li et al. [46] showed that the initial nitrogen concentration in the

376 medium was positively correlated with the growth of *C. sorokiniana*, but reversely  
377 correlated with the lipid content. Lipid accumulation is believed to be a consequence of  
378 the inhibition of proteins and starch biosynthesis which usually occurs in stationary  
379 phase [47].

380 Furthermore, composition of the lipid profile is in general correlated to culturing  
381 conditions, and this may be another reason for low fatty acid content in the algal  
382 biomass produced in the present work. In contrast to polar lipids (e.g. membrane  
383 components), neutral lipids are responsible for energy storage in cells and are precursors  
384 for FAME production. It has been shown that different nutritional conditions can affect  
385 the percentage of neutral lipids within the total lipid content varying from 2.9% to 60%  
386 [36]. In addition, low irradiation, as in the present study, induces the formation of polar  
387 lipids, whereas the formation of triacylglycerols is favoured at high light intensity  
388 conditions [48]. Also, although results show that available organic carbon source was  
389 consumed, nitrogen and phosphorus were still abundant in the effluent of culture  
390 (Figure 5). Therefore, microalgae in this condition were not stressed by nutrient  
391 limitation and thus tended to invest carbon and energy for cell growth. The high protein  
392 content 38.82% (w/w) in the algal biomass is an indicator for the active proliferation. In  
393 conclusion, in the present work the high growth rate (supported by sufficient nutrient  
394 supplement) was probably the reason for the relatively low fatty acid yield. Clearly,  
395 there is a tradeoff between biomass productivity and lipid content that cannot be  
396 achieved simultaneously. This is why two-phase cultivation strategies are a possible  
397 solution for the economics of algae cultivation [49, 50].

398

399 ***Estimation of biomass value and economic potential***

400 The revenue generated from cultivationg *C. sorokiniana* in this specific wastewater is  
401 estimated to be 3.27 € kg<sup>-1</sup> dry biomass, which includes 2.63 € kg<sup>-1</sup> (80.4%) from the  
402 production of valuable bioproducts and 0.64 € kg<sup>-1</sup> (19.6%) from removal of nutrients  
403 from wastewater as an environmental service (Table 9).

404 More specifically, chlorophyllin accounts for 59.7% of the total value, whereas  
405 the share of biodiesel is negligible (1.4%) as a consequence of the low FAME yield. As  
406 per kilo of microalgae produced, roughly 1580 L wastewater can be treated at a dilution  
407 rate of 2.41 d<sup>-1</sup>, which makes significant contribution (19.6%) to the overall revenue.  
408 However, the nutrient removal efficiencies in this condition are unsatisfactory for  
409 treating wastewater. Removal efficiencies of only 52.1% for COD, 57.5% for nitrogen  
410 and 68.8% for phosphorus were achieved. The cost for producing a kilo of microalgae  
411 was estimated to be 12.46 € kg<sup>-1</sup> comprising 94.5% for power for illumination, whereas  
412 the remaining 5.5% was for CO<sub>2</sub> supply (2.7%), cost of cationic flocculant (0.4%),  
413 power for harvest (2.1%) and aeration (0.3%).

414 As already mentioned, biodiesel is the least remunerative product. Despite the  
415 fast growth of *C. sorokiniana*, the parallel low FAME production largely affects the  
416 economics of the strategy presented in this study. Furthermore, coupling biomass  
417 production and wastewater treatment contributes to the total revenue. However, the  
418 COD and nutrients removal efficiencies at the dilution rate, 2.41 d<sup>-1</sup> were poor.  
419 Consequently, the resulted wastewater may not fulfill the quality for reuse and may  
420 require additional steps for further treatment.

421 Finally, the economic potential in the case of utilizing artificial light is -9.19 €  
422 kg<sup>-1</sup>-biomass, showing economically unsustainable production.

423

424 ***Scenarios for potential cost reduction***

425 Economics of algal biomass production was assessed in four scenarios considering an  
426 annual production of 330 days. The results indicate the economic potential can be  
427 positive only when the cost for artificial light is eliminated (Figure 6). Results show that  
428 the substitution of artificial light with sunlight can reduce production cost by 96.0%,  
429 whereas the reduction resulted from using free CO<sub>2</sub> is 2.7%. The elimination of CO<sub>2</sub>  
430 cost has relatively little effect (+3.6%) on the overall cost reductions. By contrast,  
431 economical potential can be increased by 116.1% and become positive as a result of  
432 considerable drop in cost for artificial light.

433 On the other hand, the substitution of artificial light by sunlight hypothetically  
434 causes 14% and 31% reduction in specific growth rate and cell density, respectively  
435 [25], resulting in 40.7% reduction in biomass productivity. As a consequence, annual  
436 revenue is reduced by 39.6%. In addition, because nitrogen removal is 56% less in a  
437 light-dark cycle condition in comparison with continuous illumination [51], the shorter  
438 illumination period leads to further decrease in nitrogen removal efficiency to 26.8%.

439 This analysis highlights that excluding use of artificial light is an imperative to  
440 enable sustainable production of algal biomass for any purpose. In the base case, at least  
441 76.5% of the cost for artificial light needs to be reduced to ensure breakeven for the  
442 necessary utilities for biomass production (e.g. electricity, flocculant and CO<sub>2</sub>). In the  
443 case that excludes the costs for CO<sub>2</sub> and light, biomass cost is reduced to 424 € t<sup>-1</sup>,  
444 which is substantially lower than 5,960 € t<sup>-1</sup> as reported in [52] and 2,340 \$ t<sup>-1</sup> reported  
445 in [53]. Exclusion of capital cost and operational cost such as labour and general plant  
446 overhead is one major reason for the underestimation in our estimation. Furthermore,  
447 some basic assumptions for the calculation are different. For example, aeration power  
448 accounted for the biggest fraction of cost in Norsker et al.'s calculation, which is  
449 relatively low in the present work.

450

451

452 **Conclusion**

453 This work demonstrated that microalga *C. sorokiniana* can well adapt to the wastewater  
454 chosen for this assessment and thus exhibits high biomass productivity. The cultivation  
455 led to a significant but not optimal removal of COD, N and P. Nitrogen and phosphorus  
456 removals were observed to be inversely proportional to dilution rates, while COD  
457 removal was found to be constant. Microalgae cultivation can therefore be considered a  
458 promising tool for partial nutrient recovery from wastewaters, but not yet an ideal tool  
459 to meet wastewater treatment plants requirements. In this context, the nutrient recovery  
460 translates in the production of valuable biomass that could make the entire process  
461 profitable. The composition of the resulting biomass was determined in respect to lipids,  
462 proteins and pigments content. The economic assessment performed on the entire  
463 process showed that pigments in particular could play a pivotal role in economics of  
464 algae production and should be the primary goal to pursue. It is noteworthy that the  
465 cultivation conditions in the present study were generally chosen to ensure optimal  
466 microalgae growth and optimal biomass productivity. However, the same conditions  
467 translate in poor content of high value products in the same biomass. For this reason it  
468 is advisable to develop two-phase cultivation strategies, in which microalgae are first  
469 kept in optimal growth conditions to generate high biomass yield, and then stressed to  
470 increase the high added value products content in the same biomass.

471 Finally the economic assessment performed on this specific species/wastewater  
472 combination proved this cultivation strategy to be uneconomical, mostly due to the  
473 energy consumption for artificial light, which accounts for 94.5% of the production  
474 costs.

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476 **Word count: 5,113**

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480 **References**

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660 Table 1 Composition of KJ wastewater.

Indicator	Before sedimentation	After sedimentation
COD	442 mg O <sub>2</sub> l <sup>-1</sup>	386.9 mg O <sub>2</sub> l <sup>-1</sup>
N <sub>tot</sub>	117 mg N l <sup>-1</sup>	48.6 mg N l <sup>-1</sup>
P <sub>tot</sub>	10.5 mg P l <sup>-1</sup>	7.2 mg P l <sup>-1</sup>
NH <sub>4</sub> -N	34.7 mg N l <sup>-1</sup>	46.7 mg N l <sup>-1</sup>

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685 Table 2. Parameter settings for PBR cultivation

Parameter	Setting
Temperature	37°C
pH	7.0
Light intensity	400 $\mu\text{mol m}^{-2} \text{s}^{-1}$
Air flow rate	160 $\text{ml min}^{-1}$
CO <sub>2</sub> flow rate	40 $\text{ml min}^{-1}$

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709 Table 3. Specifications and market prices of desirable products.

Product	Specification	Price	Reference
FAME	B100 biodiesel	734 € t <sup>-1</sup>	Keysun Bio-Tech Co.Ltd
Amino acids	AA content: 54.4%	426 € t <sup>-1</sup>	Seek Bio-Technology Co.Ltd
Lutein	80%	284 € kg <sup>-1</sup>	Xi'an Lyphar Biotech Co.Ltd
Chlorophyllin	95%	165 € kg <sup>-1</sup>	Xi'an Lyphar Biotech Co.Ltd
β-carotene	95%	411 € kg <sup>-1</sup>	Xi'an Lyphar Biotech Co.Ltd

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736 Table 4. Comparison of experimental conditions and growth performance of *C.*  
 737 *sorokiniana* in flat panel PBR. PFD = photon flux density, D= dilution rate, C<sub>X</sub> = biomass  
 738 concentration and P<sub>b</sub> = biomass productivity.

Medium	PFD	D	C <sub>X</sub>	P <sub>b</sub>	Reference
	( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	( $\text{d}^{-1}$ )	( $\text{g l}^{-1}$ )	( $\text{g l}^{-1} \text{d}^{-1}$ )	
M8a	2100	5.76	2.2	12.2	[16]
IC effluent	2100	3.6	1.56	5.87	[17]
IC effluent	200	1.44	1.09	1.67	
KJ influent	400	2.41	0.60	1.52	This study

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761 Table 5. Comparison of media used for continuous cultivation of *C. sorokiniana* in flat  
762 panel PBR.

Indicator	Unit	M8a	IC effluent	KJ influent
COD	mg O <sub>2</sub> l <sup>-1</sup>	-	590	386.9
N <sub>tot</sub>	mg N l <sup>-1</sup>	1680	190	48.6
P <sub>tot</sub>	mg P l <sup>-1</sup>	641	11-12	7.2
NH <sub>4</sub> -N	mg N l <sup>-1</sup>	-	-	60.1

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788 Table 6. Productivities of desired bioproducts.

Product	Yield (% w/w)	Productivity (mg l <sup>-1</sup> d <sup>-1</sup> )
Biomass		1524
FAME	6.24	95
Protein	38.82	592
Lutein	0.103	1.57
Chlorophylls	1.182	18.01
β-carotene	0.044	0.671

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812 Table 7. Fatty acids profile of *C. sorokiniana*

Type of fatty acid	Percentage
Total FAs (% dw.)	6.24
Palmitic acid (C16:0)	20.22
Fatty acid (% total FAs)	
Palmitoleic acid (C16:1)	9.51
Oleic acid (C18:1)	19.82
Linolenic acid (C18:3)	8.39

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813 Table 8. Characterization of *C. sorokiniana* biomass in literatures.

Research focus	Growth performance (d <sup>-1</sup> /g L <sup>-1</sup> d <sup>-1</sup> )	Lipid content (%, w/w)	FAME yield (%, w/w)	Protein content (%, w/w)	Reference
Effect of temperature	-	~ 10%	1.3 – 6.1%	-	[35]
Effect of C/N ratio	-	13 – 46%	2.1 – 7.3%	-	[33]
Pigment composition	5.76 d <sup>-1</sup>	10.0%	-	68.5%	[37]
Effect of biochemical stimulants	42 mg l <sup>-1</sup> d <sup>-1</sup>	5 – 7%	-	45 – 60%	[38]
Mixotrophic growth	0.44 d <sup>-1</sup>	20 – 50%	-	10 – 32%	[39]
Effect of inoculum size	0.89 d <sup>-1</sup>	-	-	-	[40]
Photoautotrophic/ heterotrophic growth	-	21 – 26% (P) 20 – 56% (H)	0.6 – 0.8% (P) 12 – 33.6% (H)	12 – 13% (P) 6.2 – 13% (H)	[36]
Cultivation with deep sea water	176.6 mg l <sup>-1</sup> d <sup>-1</sup>	51.7%	47.51%	-	[41]
Cultivation in cattle manure	12.77 mg l <sup>-1</sup> d <sup>-1</sup>	25 – 35%	12%	34%	[42]
Fed-batch cultivation	3.29 d <sup>-1</sup>	14.5 – 38.7%	12.8 – 34.1%	-	[43]
Photoautotrophic/ heterotrophic/ mixotrophic growth	0.68 d <sup>-1</sup> (P) 2.07 d <sup>-1</sup> (H) 3.40 d <sup>-1</sup> (M)	-	9.0% (P) 6.2 – 17.6% (H) 13.4 – 34.7% (M)	-	[34]
Cultivation in domestic wastewater	220 mg l <sup>-1</sup> d <sup>-1</sup>	48.31%	-	-	[44]
Mixotrophic growth	1.602 d <sup>-1</sup>	20 – 27%	-	-	[45]
Effect of nitrogen limitation	3.21 d <sup>-1</sup>	20 – 51%	-	-	[46]
Continuous cultivation	2.41 d <sup>-1</sup> , 1.52 g l <sup>-1</sup> d <sup>-1</sup>	-	6.24%	38.8%	This study

814 (P: photoautotrophic; H: heterotrophic; M: mixotrophic)

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818 Table 9. Estimation of biomass value.

Product	Yield	Productivity	Revenue
Biomass		1.524 g l <sup>-1</sup> d <sup>-1</sup>	
FAME (B100)	0.0624 g g <sup>-1</sup>	0.095 g l <sup>-1</sup> d <sup>-1</sup>	0.46 € kg <sup>-1</sup>
Amino acid fertilizer (54.4%)	0.3882 g g <sup>-1</sup>	0.592 g l <sup>-1</sup> d <sup>-1</sup>	0.162 € kg <sup>-1</sup>
Lutein (80%)	1.03 mg g <sup>-1</sup>	1.565 mg l <sup>-1</sup> d <sup>-1</sup>	0.292 € kg <sup>-1</sup>
Chlorophyllin (95%)	11.81 mg g <sup>-1</sup>	18.014 mg l <sup>-1</sup> d <sup>-1</sup>	1.950 € kg <sup>-1</sup>
β-carotene (95%)	0.44 mg g <sup>-1</sup>	0.671 mg l <sup>-1</sup> d <sup>-1</sup>	0.181 € kg <sup>-1</sup>
Sum			2.630 € kg <sup>-1</sup>
Wastewater treatment	Removal	Quantity	Revenue
Wastewater		1581.4 L <sup>-3</sup> kg <sup>-1</sup>	
COD	52.1%	0.319 kg kg <sup>-1</sup>	0.042 € kg <sup>-1</sup>
Nitrogen	57.5%	0.044 kg kg <sup>-1</sup>	0.356 € kg <sup>-1</sup>
Phosphorus	68.8%	0.008 kg kg <sup>-1</sup>	0.242 € kg <sup>-1</sup>
Sum			0.640 € kg <sup>-1</sup>
Total revenue			3.271 € kg <sup>-1</sup>

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829 **List of figures**

830

831 Figure 1. Specific growth rates in different dilutions of wastewater (Green: *C.*  
832 *sorokiniana*, Red: *S. obliquus*; striped columns correspond to the 1<sup>st</sup> generation, full  
833 columns to the 2<sup>nd</sup> generation).

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835 Figure 2. Growth curves: (a) *C. sorokiniana*, first generation, (b) *C. sorokiniana*, second  
836 generation, (c) *S. obliquus*, first generation, (d) *S. obliquus*, second generation  
837 (wastewater concentration: square-100%, diamond-75%, triangle-50%, circle-25%)

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839 Figure 3. Effect of dilution rates on cell concentration and volumetric productivity.

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841 Figure 4. Bioflocculation in PBR (left), microscopic image of bioflocs (right).

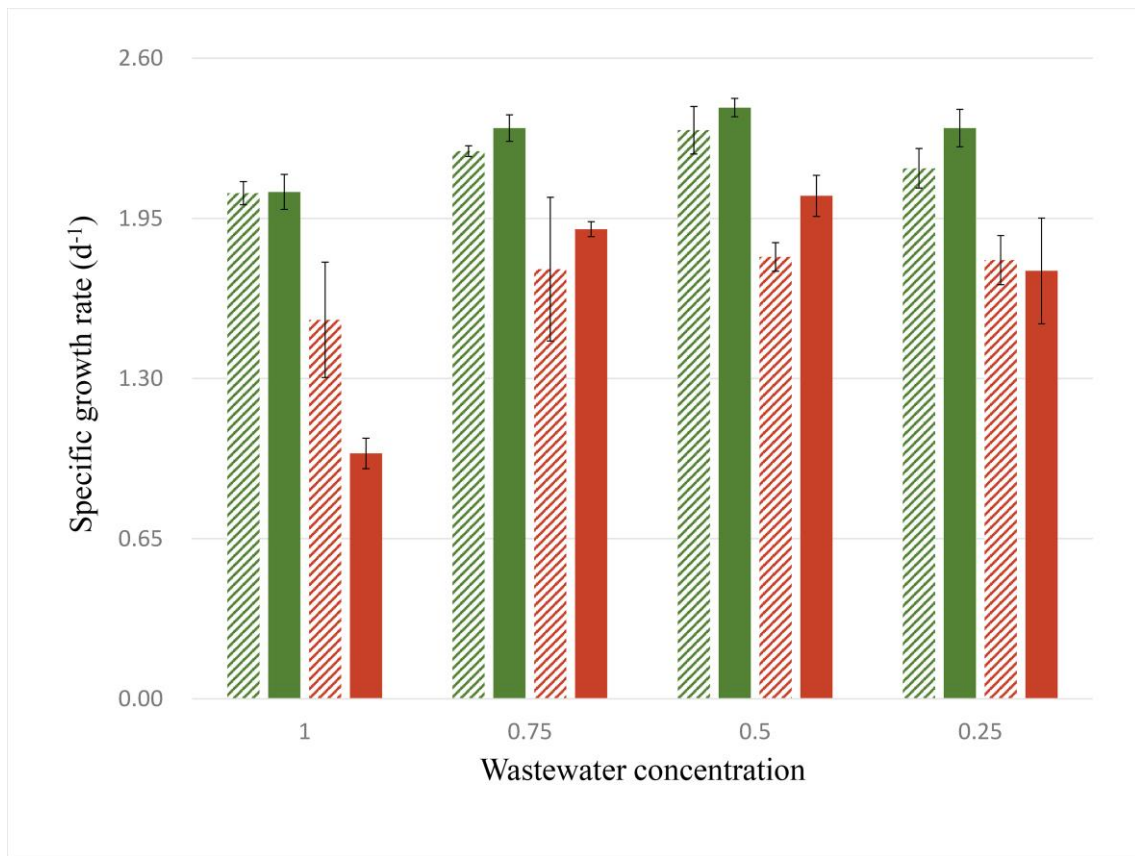
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843 Figure 5. Effect of dilution rates on nutrient removal efficiencies.

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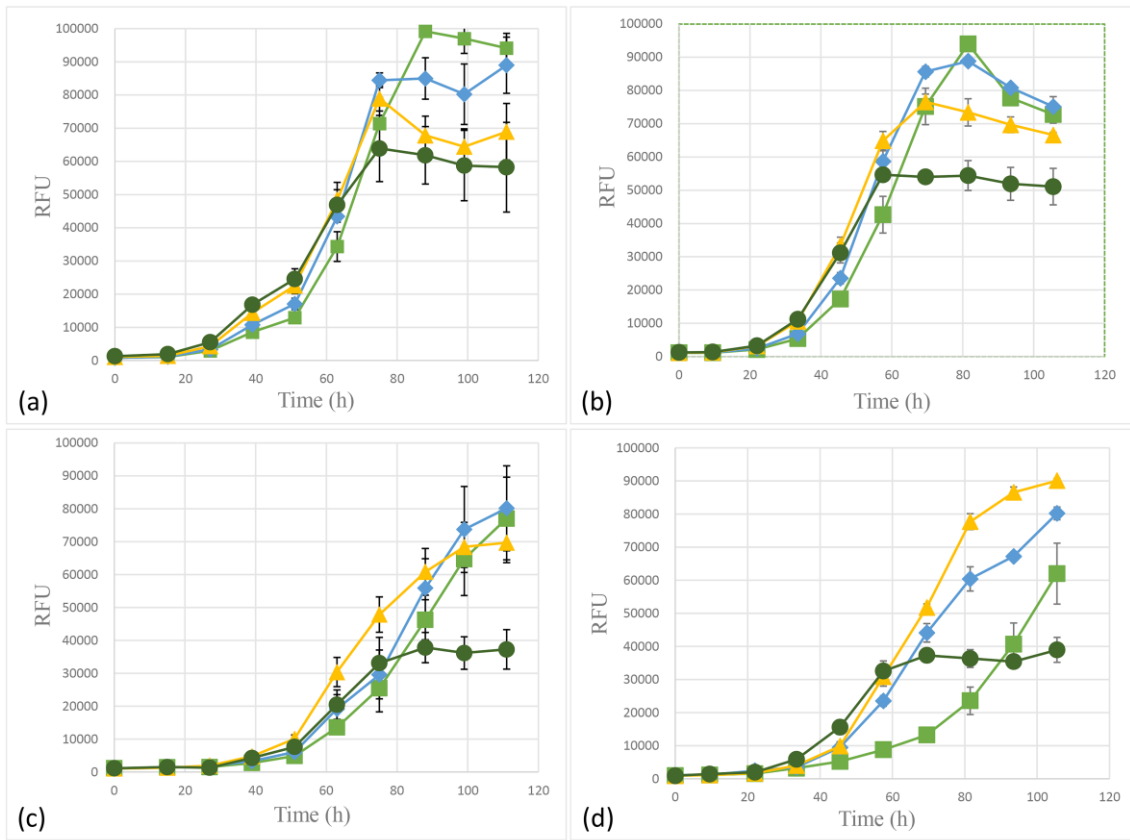
845 Figure 6. Scenarios for potential cost reduction.

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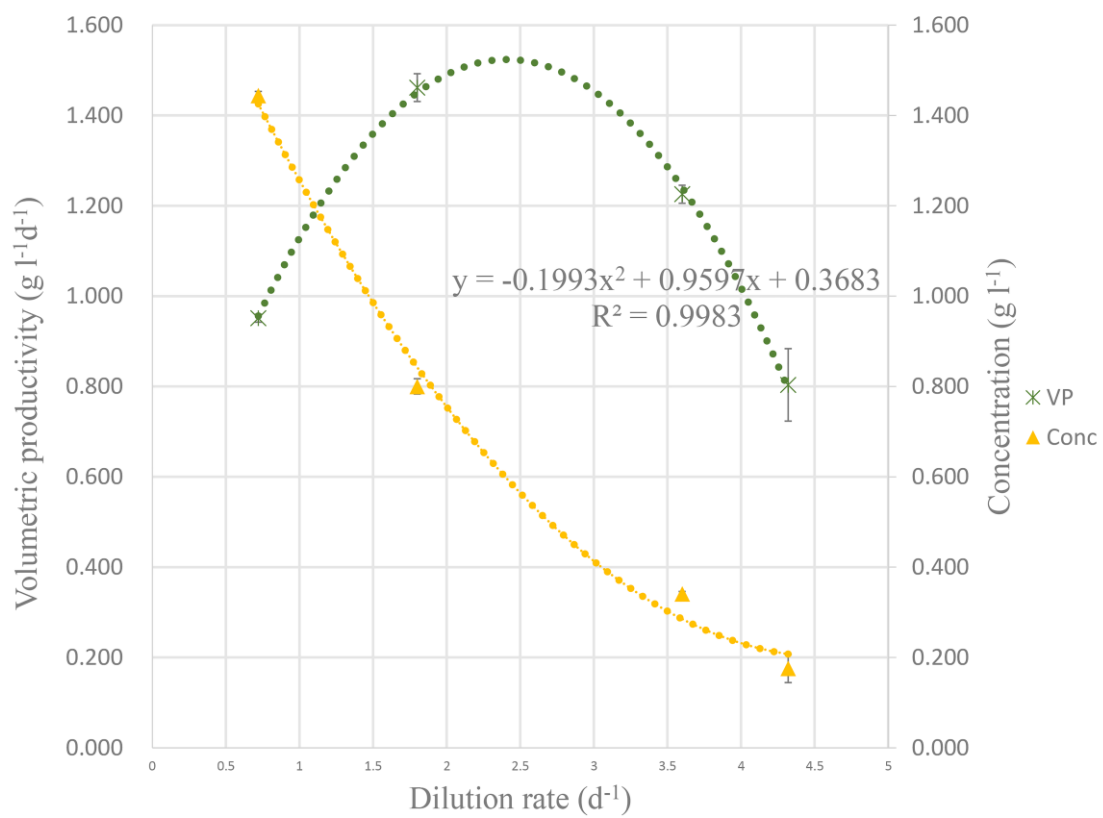


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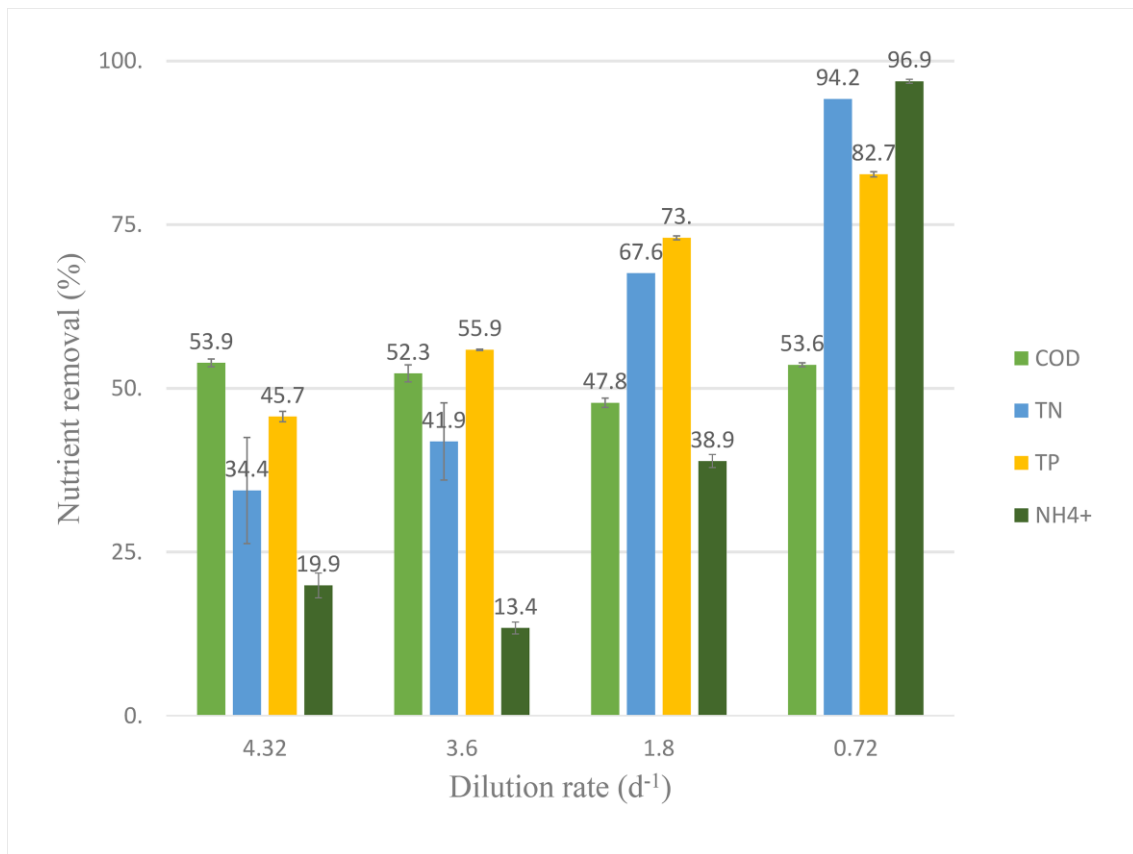
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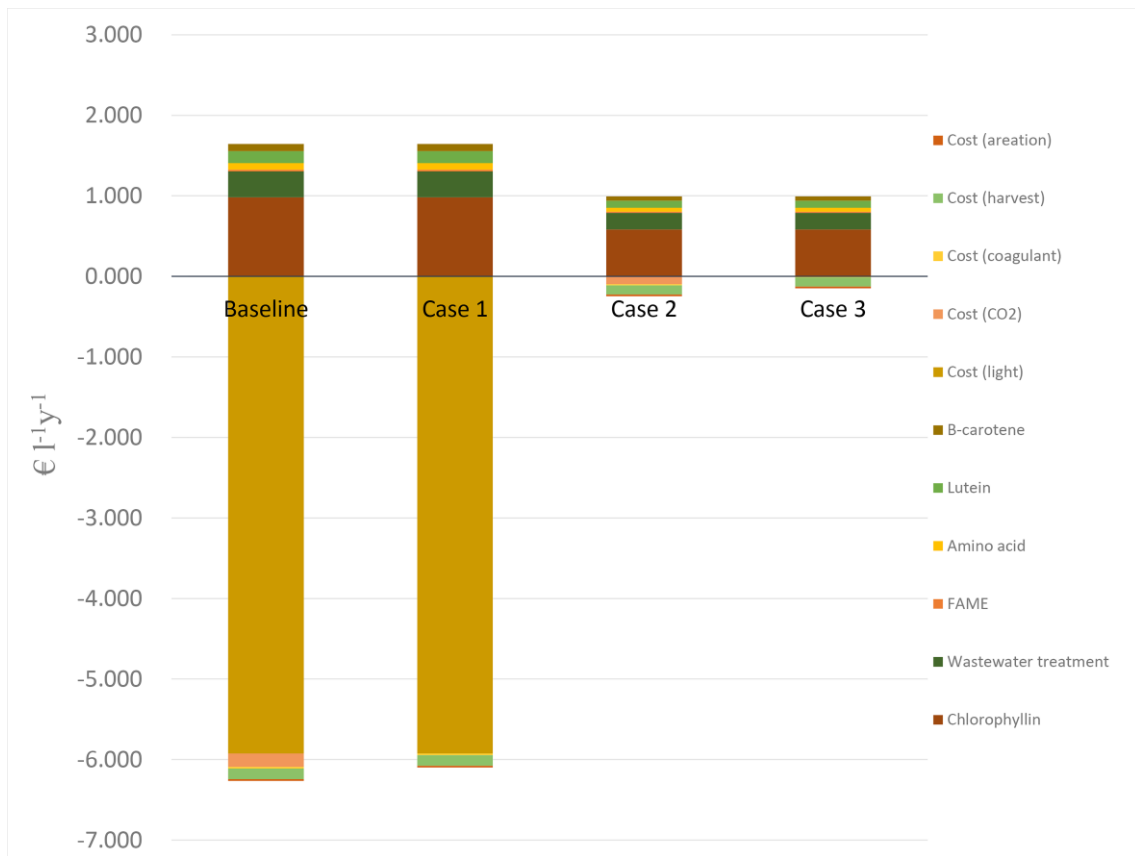
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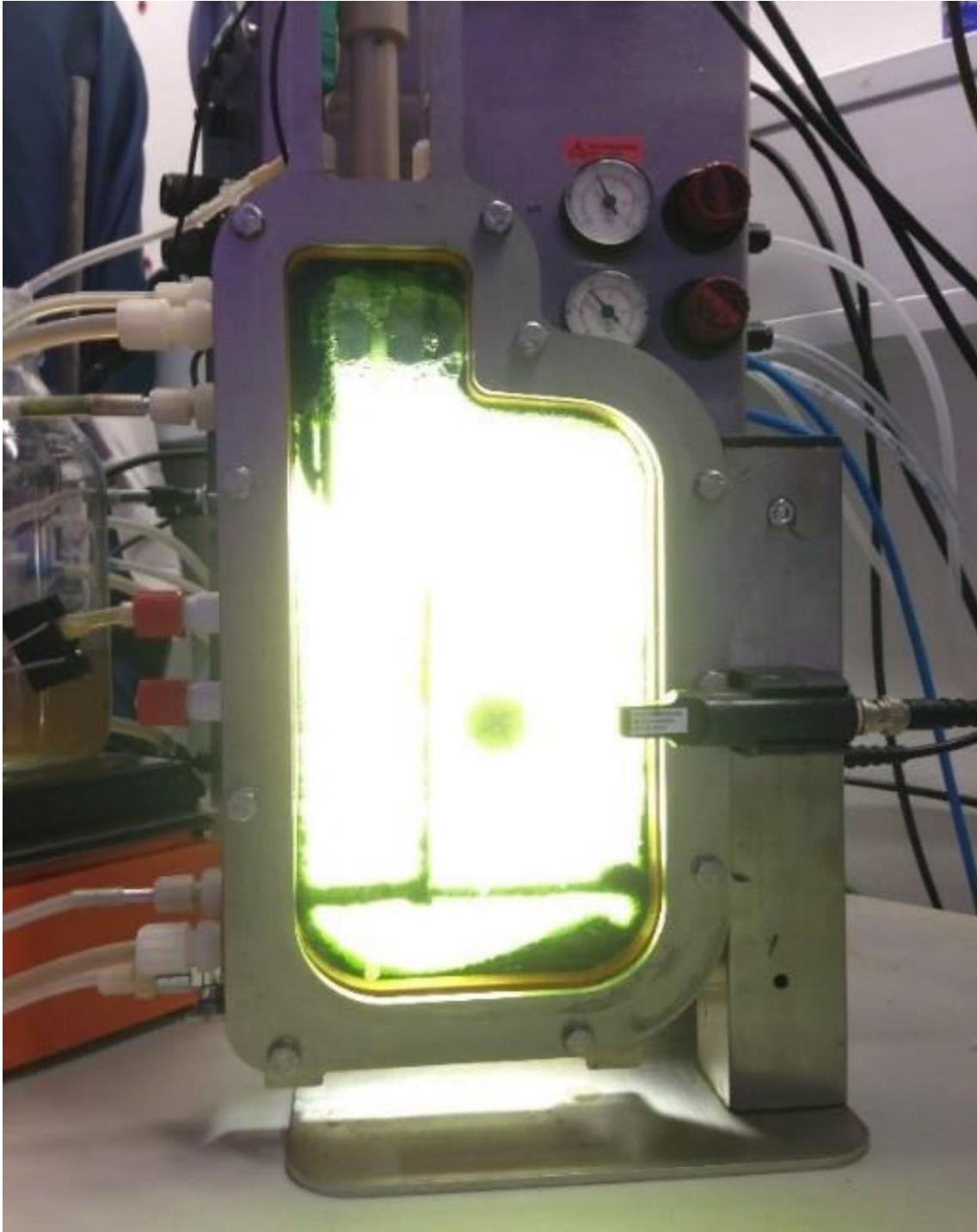


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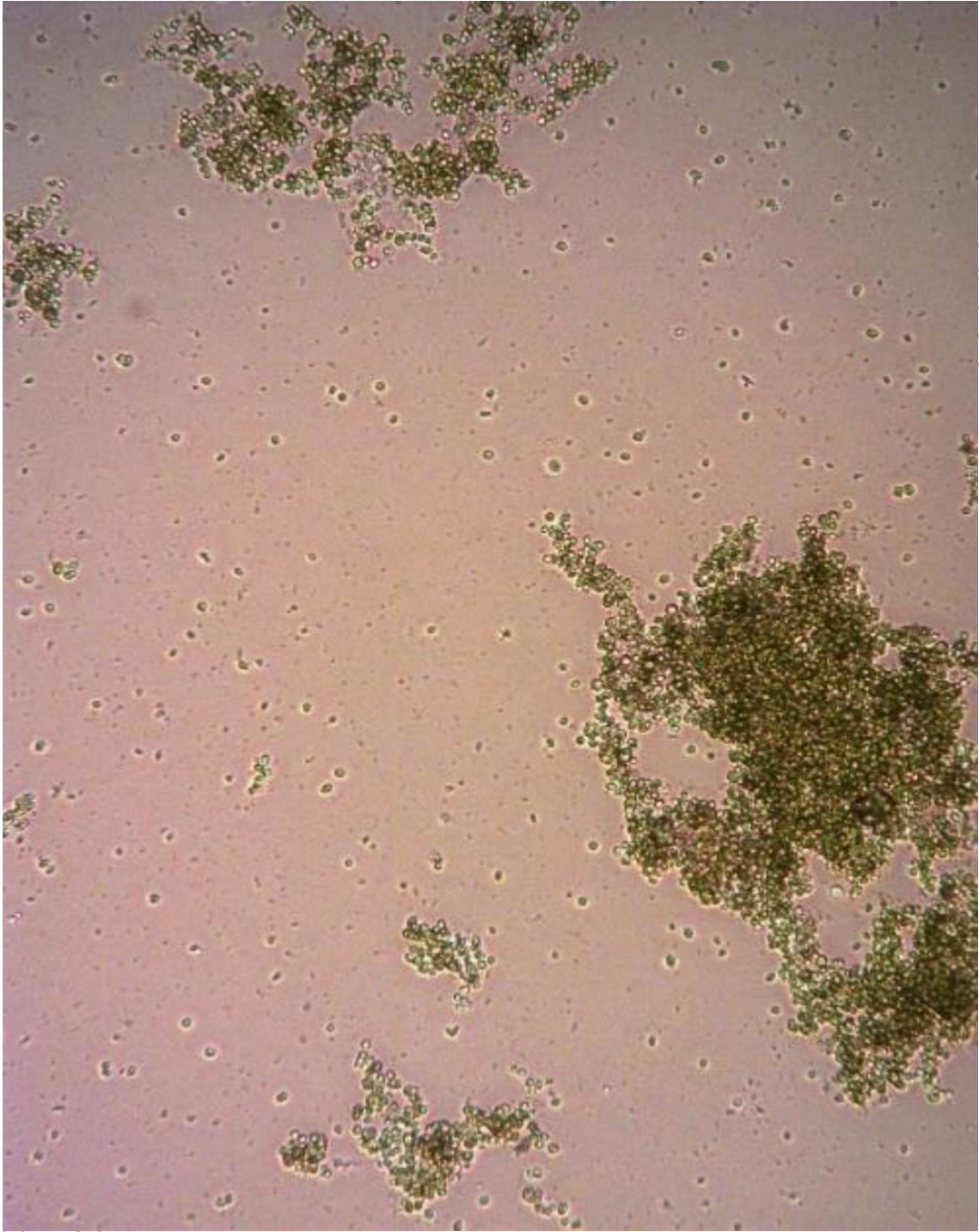
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