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### Accepted Manuscript

Biological caproate production by *Clostridium kluyveri* from ethanol and acetate as carbon sources

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### 1 **Biological caproate production by** *Clostridium kluyveri*

#### 2 from ethanol and acetate as carbon sources

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#### 11 Abstract

12	Caproate is a valuable industrial product and chemical precursor. In this study, batch
13	tests were conducted to investigate the fermentative caproate production through
14	chain elongation from acetate and ethanol. The effect of acetate/ethanol ratio and
15	initial ethanol concentration on caproate production was examined. When substrate
16	concentration was controlled at 100 mM total carbon, hydrogen was used as an
17	additional electron donor. The highest caproate concentration of 3.11 g/L was
18	obtained at an ethanol/acetate ratio of 7:3. No additional electron donor was needed
19	upon an ethanol/acetate ratio $\geq$ 7:3. Caproate production increased with the increase of
20	carbon source until ethanol concentration over 700 mM, which inhibited the
21	fermentation process. The highest caproate concentration of 8.42 g/L was achieved
22	from high ethanol strength wastewater with an ethanol/acetate ratio of 10:1 (550 mM
23	total carbon). Results obtained in this study can pave the way towards efficient chain
24	elongation from ethanol-rich wastewater.
25	Keywords: Chain elongation; n-caproate, Clostridium kluyveri; Fermentation;
26	Ethanol/acetate ratio

#### 27 **1. Introduction**

28	For a sustainable development, production of biochemicals and biofuels from biomass
29	by fermentation has become a priority. Examples of such products are ethanol,
30	biohydrogen and biomethane. Besides the final products, intermediate compounds are
31	also produced. Such compounds are volatile fatty acids (VFA) with 2 to 5 carbon atoms
32	which are formed during fermentation processes and often accumulate in the liquid
33	phase (Lee et al., 2014; Yang et al., 2015; Yin and Wang, 2016). Discharge of these
34	metabolites into environment will not only lead to pollution but also waste energy,
35	since they could be used as precursor for biofuels. However, the high solubility of
36	short-chain fatty acids makes them hard to be recovered and therefore the downstream
37	processing for retrieving these intermediates has been the main reason hampering their
38	further maturation as market products. Furthermore, they are inappropriate to be used
39	as fuel directly owing to the high oxygen-to-carbon ratio and low energy density
40	(Steinbusch, 2011). On the other hand , medium-chain fatty acids (carboxylic acids
41	with 6 to 8 carbon atoms), which own the advantage of both low solubility and high
42	energy content, are regarded as potential intermediates for further conversion to
43	valuable chemicals or fuels (Levy et al., 1981).
44	Caproic acid is a six-carbon acid with the general formula $C_5H_{11}COOH$ . It is a fatty acid
45	naturally present in various animal fats and oils. Caproic acid is slightly soluble in

46 water (10.19 g/L), thus, converting short-chain fatty acids to caproic acid can lead to

47 efficient downstream recovery of liquid metabolites from fermentation process (Agler

48 et al., 2014). It is not only a valuable industrial product but also a chemical precursor. It

49	can be used as a "green" antibiotic in agriculture at lower pH levels (pH 2.0-5.0)
50	(Butkus et al., 2010; Agler et al., 2011; P. Desbois, 2012). Caproic acid is also a
51	precursor for the production of flavors (Kenealy et al., 1995), liquid fuels (Harvey and
52	Meylemans, 2014) and corresponding alcohols (Perez et al., 2013; Isom et al., 2015).
53	Moreover it is an important flavor compound in distilled alcoholic beverage (Hu et al.,
54	2015).
55	Caproate has been found as a byproduct of fermentative hydrogen or methane
56	production from organic wastes (Steinbusch et al., 2009; Ding et al., 2010), which may
57	be produced from the elongation of VFA present in the system. Then, several studies
58	have followed up this assumption and explored chain elongation process by using
59	different substrates (e.g., syngas) or process configurations (Steinbusch et al., 2011;
60	Grootscholten et al., 2013; Kucek et al., 2016; Gildemyn et al., 2017). It has been
61	observed that a group of anaerobic bacteria that possess fatty acid synthase complex are
62	able to elongate ethanol and short chain fatty acids through a reaction known as the
63	reversed $\beta$ -oxidation. Several bacteria such as <i>Clostridium</i> species have been reported
64	to be able to produce caproic acid from short chain fatty acids (Thauer et al., 1968;
65	Seedorf et al., 2008; Weimer and Stevenson, 2012); Among all the species able to
66	produce caproate, spore forming Clostridium kluyveri has been ubiquitously identified
67	in anaerobic fermentation systems, and proved to be efficient in converting ethanol
68	and acetate to butyrate and caproate (Ding et al., 2010). Thus, C. kluyver was used in
69	this study to explore the caproate production from different concentrations of ethanol
70	and acetate. Equation 1-3.4 shows several metabolic pathways that have been

71	suggested for caproate formation in anaerobic fermentation (Ding et al. 2010; Mu and
72	Yu, 2006).
73	$2C_2H_5OH + CH_3COO^- \rightarrow C_5H_{11}COO^- + 2H_2O$ $\Delta G^0 = -79.0 \text{ kJ/mol}$ (1)
74	$3CH_3COO^- + 4H_2 + 2H^+ \rightarrow C_5H_{11}COO^- + 4H_2O  \Delta G^0 = -86.2 \text{ kJ/mol}$ (2)
75	$C_2 H_5 OH + CH_3 COO^- \rightarrow C_3 H_7 COO^- + H_2 O  \Delta G^0 = -38.7 \text{ kJ/mol} $ (3)
76	$2C_3H_7COO^- \to C_5H_{11}COO^- + CH_3COO^- \Delta G^0 = 0.1 \text{ kJ/mol}$ (3.1)
77	$C_3H_7C00^- + CH_3C00^- + 2H_2 + H^+ \rightarrow C_5H_{11}C00^- + 2H_20  \Delta G^0 = -48.0 \text{ kJ/mol} $ (3.2)
78	$C_3H_7COO^- + 2C_2H_5OH \rightarrow C_5H_{11}COO^- + +CH_3COO^- + H^+ + 2H_2  \Delta G^0 = -48.4 \text{ kJ/mol}  (3.3)$
79	$C_3H_7COO^- + 2CO_2 + 6H_2 \rightarrow C_5H_{11}COO^- + 4H_2O  \Delta G^0 = -143.3 \text{ kJ/mol}$ (3.4)
80	$\Delta G^0$ (kJ/mol) is the value of $\Delta G$ at pH 7.0 under standard conditions (i.e., all solutes
81	are at the concentration of 1 mol/L, and gases have partial pressure of 1 atm).
82	Bornstein and Barker (1948) found that the metabolic pathways of C. kluyver are
83	dependent upon concentration of acetate and ethanol, and the excess of ethanol can
84	lead to more caproate yield than butyrate. Similar conclusion was also obtained by
85	Kenealy and Waselefsky (1985). Weimer et al. (2012) examined the effect of different
86	acetate and ethanol concentrations on products formation, and highest caproate
87	production was obtained at ethanol 700 mM and acetate 120 mM. Besides, Kenealy
88	and Waselefsky (1985) observed the linear response of both products and microbial
89	growth with ethanol and acetate concentration up to 200 and 50 mM, and Weimer et al.
90	(2012) found that a further increase of ethanol or acetate concentration can lead to a
91	significant decrease on C. kluyver growth rate. These studies show the significant
92	influence of acetate/ethanol ratios on products, and the contradictory effect of substrate

93	concentration on caproate production and microbial growth. Thus, to achieve a high
94	caproate production, it is necessary to break through the substrate inhibition and supply
95	a suitable acetate/ethanol ratio.
96	In the past few years, most studies have reported production of caproate only from low
97	ethanol concentration (<300 mmol/L) because ethanol is inhibitory to microorganisms
98	(Kenealy et al., 1995; Jeon et al., 2010; Weimer and Stevenson, 2012; Vasudevan et
99	al., 2014; Weimer et al., 2015). As a consequence, with low substrate concentrations,
100	only low caproate production rates can be achieved resulting to low caproate titers,
101	making a production process uneconomic. Furthermore, in ethanol fermentation broths
102	the ethanol concentration ranges from 400 to 1800 mmol/L, which is much higher than
103	the initial ethanol level reported as substrate for caproate production (Kenealy et al.,
104	1995; Jeon et al., 2010; Weimer and Stevenson, 2012; Vasudevan et al., 2014;
105	Weimer et al., 2015). Thus, in order to use the ethanol fermentation broth as substrate, a
106	fermentation process for caproate production at higher ethanol concentrations needs to
107	be developed.
108	In this study, the characteristics of caproate production from the diluted fermentation
109	effluent with relatively high level of ethanol (up to 1000 mmol/L) by Clostridium
110	kluyveri were investigated. In addition, the effect of acetate and ethanol concentration
111	on caproate production was explored. The results provide important supplementary
112	information to the biosynthesis of caproate from industrial fermentation effluent.
113	2. Material and methods

**2.1 Preparation of inocula** 

115	Clostridium kluyveri DSM 555 was purchased from the DSMZ (The Leibniz Institute
116	DSMZ – German Collection of Microorganisms and Cell Cultures, Braunschweig,
117	Germany), and was cultivated in DSM-52 medium. The medium was boiled for 1 min
118	and cooled to room temperature under 80% $N_2$ and 20% $CO_2$ gas atmosphere, after
119	which 0.25 g/L L-Cysteine-HCl $\cdot$ H <sub>2</sub> O was added as reducing agent. The pH of the
120	medium was adjusted to 7.5 using 1 M NaOH and 1 M HCl. Then, the reduced medium
121	was dispensed in 300 mL glass bottles with 100 mL working volume. All bottles were
122	sealed with rubber stoppers and aluminum caps to avoid gas leakage. The sealed bottles
123	were flushed with 80% $N_2$ and 20% $CO_2$ for 30 min to provide the anaerobic
124	environment. Bottles were autoclaved and the medium was further supplemented with a
125	vitamin solution (DSM-503), 1 g/L yeast extract and 20 mL/L ethanol through a 0.2 um
126	membrane filter. Then, Clostridium kluyveri DSM 555 was inoculated into the bottles,
127	which were placed in a reciprocal shaker (37 °C, 150 rpm) and incubated for 3-4 days
128	until the bacteria entered the stationary phase. Subsequently, the bacteria was
129	transferred into fresh medium for another cultivation. Before being used in batch
130	experiments, pure culture of Clostridium kluyveri was transferred for over 3 times to
131	fully activate the bacteria and achieve a stable state.

### 132 2.2 Experimental setup

Batch experiments were conducted in 600 mL glass bottles with 100 mL working
volume, rubber stoppers and aluminum caps were used to avoid gas leakage from the
bottles. Acetate and ethanol was used as sole carbon sources, the composition of carbon
source and additional electron donor in different batch tests is shown in Table 1. For the

137	batch 1 with 100 mmol/L carbon source as substrate, acetate/ethanol ratio of 1:1 was
138	employed to examine the feasibility of hexonal production process, and then,
139	acetate/ethanol ratio of 8:2, 7:3, 5:5, 3:7, 2:8 were explored. Acetate/ethanol ratio of
140	1:1 was selected as starting point, as it is within the ratio ranges reported in the
141	previous study (Weimer and Stevenson, 2012).
142	10 mL of pre-cultured C. kluyveri was inoculated into the designed medium when the
143	culture was at exponential growth phase (OD 600=0.8±0.02). Initial pH of all batches
144	was set to 7.5 and the medium was flushed with 80% $N_2$ and 20% $CO_2$ for 30 min to
145	drive away the oxygen present in the liquid and headspace. For the tests with 50 mM
146	acetate and 50 mM ethanol as substrate, hydrogen was added in the batches as
147	additional electron donor. Except the last test group with sole ethanol as carbon
148	source, 480 mL hydrogen was injected in the rest 7 test groups. All the bottles were
149	incubated in a reciprocal shaker at a speed of 150 rpm at constant temperature of 37 °C.
150	All the batch tests were conducted in duplicate. During the batch fermentation process,
151	the pH of the media was not controlled. Every one or two days, 1 mL gas and 2 mL of
152	liquid were taken out using syringe with needles for the analysis of hydrogen
153	concentration, microbial growth, pH change, substrate degradation and VFA formation.
154	
155	Table 1 Experimental set up of the different batch tests.

156

#### 157 **2.3 Analytical methods**

158 Cell dry weight was measured according to Standard Methods (APHA, 1995). The pH

- 159 was measured by PHM99 LAB pH meter connected to the Gel pH electrode
- 160 (pHC3105-8, Radiometer analytical). Hydrogen was analyzed by GC-TCD (Mikrolab,
- 161 Aarhus A/S, Denmark) fitted with a 4.5 m  $\times$  3 mms-m stainless column packed with
- 162 Molsieve SA (10/80). The temperatures of the injector, detector and oven were 190, 110,
- 163 and 190 °C, respectively. N<sub>2</sub> was used as carrier gas. Concentration of alcohols and VFA
- 164 was analyzed by a gas-chromatograph (HP5890 series II) equipped with a FFAP fused
- silica capillary column (30m 0.53 mmi.d. film thickness 1.5 mm) and a flame
- 166 ionization detector. The carrier gas was  $N_2$ .
- 167

#### 3. Results and discussion

168 **3.1 Growth of** *Clostridium kluyveri* 

C. kluyveri (DSM 555) was cultivated in DSM-52 medium. Initially it showed a lag 169 phase of approx. 30 hours, and then entered the exponential growth phase which lasted 170 for approx. 40 hours before it entered the stationary phase (Fig.1). Maximum cell dry 171 weight of 0.62 g/L was obtained after 74 h of cultivation. Microbial growth rate of 172 13.16 mg/L/h was obtained. Similar, growth process was observed by Stadtman and 173 174 Barker (1949). Besides, shorter lag time of 16 h was obtained by Thauer et al. (1968), 175 with maximum cell dry weight of less than 0.025 g/L at 48 h. The short lag time and low 176 cell dry weight obtained by Thauer et al. (1968) may due to lower initial ethanol 177 concentration of 11.5 g/L, resulting in lower inhibition of growth, while supplying 178 lower amount of carbon source yielding in lower cell-biomass. Thus, the high cell concentration and regular growth period indicated strain C. kluyveri was fully activated 179

180 and functioned well in present lab condition.

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1	8	1
T	o	T

### 182 Fig. 1 Growth curve of *Clostridium kluyveri* cultivated in DSM-52 medium

183

#### 184 **3.2 Effect of substrate composition on caproate production**

185	In batch fermentation with 50 mmol/L (2.3 g/L) acetate and 50 mmol/L (3 g/L)
186	ethanol as initial substrate concentrations, caproate was produced by C. kluyveri at 37
187	°C and initial pH 7.5. Fig. 2 depicts the time course of microbial growth, pH change,
188	substrate utilization and formation of VFA during the fermentation process in 30 days.
189	As shown in Fig. 2A, there was a long lag phase of 6 days, before the bacteria entered
190	the exponential growth phase. Microbial growth rate of 0.80 mg/L/h and maximum
191	cell dry weight of 0.28 g/L was obtained at 20 d, and remained stable for the
192	remaining 10 days. The microbial growth rate and cell dry weight obtained in batch
193	fermentation were 94 % and 55 % lower in comparison with the bacteria cultivated in
194	DSM-52 medium. The slower growth rates observed may be due to the lower initial
195	substrate concentration used in batch fermentation than bacteria cultivation process,
196	indicating that the substrate concentration was below the saturation concentration to
197	achieve maximum growth rates according to Monod growth model. Lonkar et al.
198	(2016) also observed that the increase of ethanol concentration from 0 g/L to 20 g/L
199	resulted in increase of growth rates, and subsequently a decrease of the fermentation
200	duration, defined as the termination of both substrate consumption and VFA
201	generation, from around 22 d to 18 d. However, despite that stagnation of growth it
202	was observed that not all substrates were consumed, probably due to product

203	inhibition or due to pH decrease. Accompanied with the microbial growth, pH
204	decreased gradually from 7.5 to 5.7 due to the accumulation of VFA.
205	Studies have proved that the fermentative caproate production process was very
206	sensitive to pH. Kenealy et al. (1995) found that substrate consumption was inhibited
207	when pH decreased at around 5.5, and caproate production can be significantly
208	increased through controlling pH at around 6.8. Agler et al. (2012) and Vasudevan et
209	al. (2014) figured out that caproate could be toxic to microbes when pH was under 5.5.
210	Coma et al. (2016) examined the detrimental effect of acidic environment (pH $\leq$ 4.5-5)
211	to C. kluyveri. Thus, controlling operational pH at a near-neutral range can be
212	necessary for ahieving a higher substrate degradation and caproate production rate.
213	As shown in Fig. 2B, substrate consumption and VFA production was terminated in
214	20 h. Concentration of caproate, burytate and valerate produced were 2.82 g/L, 1.54
215	g/L and 0.31 g/L, respectively. The formation of VFA was consistent with the cell
216	growth. The first 10 h only low VFA production as observed, and therefore was
217	assumed that microbial growth was in lag phase. When the exponential growth phase
218	was initiated, significant increase in VFA production was obtained. Formation of VFA
219	ceased with termination of cell growth. Same time course was obtained by Thauer et
220	al. (1968). During the fermentation process, both acetate and ethanol concentrations
221	decreased gradually from the beginning of fermentation process and removal
222	efficiency of 72.5 % and 85.2 % were obtained at the end of batch run. However, in
223	other studies, substrate was consumed simultaneously with the formation of VFA
224	(Thauer et al., 1968; Kenealy et al., 1995; Weimer and Stevenson, 2012; Jeon et al.,

225	2013; Lonkar et al., 2016). Possible reason of this difference was probably the
226	different fermentation conditions. In the other studies, mixed cultures and Clostridium
227	species other than <i>C. kluyveri</i> were used as inocula, led to different metabolic
228	pathways and more diverse VFA composition. Furthermore, besides acetate and
229	ethanol, other substrates like cellulose, galactitol and succinate were also used as
230	substrates for caproate production, which can affect both microbial growth and VFA
231	formation process. Formation of butyrate was 2 hours earlier than caproate, indicating
232	that during the process, acetate and ethanol were firstly converted to butyrate as an
233	intermediate (Equation 3), and then caproate was formed from butyrate elongation
234	with acetate (Equation 3.1-3.4). It can be seen from Equation 1-3.4 that, sums of $\Delta$
235	G° of Equation 3 and 3.1-3.4 are all more exergonic at standard conditions (negative
236	(from -86.6 to -182.0) than Equation 1 (-79.0) and 2 (-86.2)), indicating that, caproate
237	is more preferred to be formed from butyrate than initially from acetate and ethanol.
238	
239	Fig. 2 Volatile fatty acids production from acetate (50 mmol/L) and ethanol (50
240	mmol/L)
241	
242	To explore the effect of initial acetate/ethanol ratio on caproate production, batch tests
243	with only acetate and ethanol at different acetate/ethanol ratios (8:2, 7:3, 5:5, 3:7, 2:8),
244	as carbon sources were conducted (Table 1, batch 1). Hydrogen was added as extra
245	electron donor. VFA and alcohols concentration at different acetate/ethanol ratios is
216	shown in Fig. 2

shown in Fig. 3.

247	It can be seen that caproate production was lower than 1 g/L when acetate/ethanol was
248	higher than 7:3. However, it increased dramatically to 3.02 g/L when acetate/ethanol
249	decreased to 5:5, and achieved the highest concentration of 3.11 g/L when
250	acetate/ethanol was decreased to 3:7. Many studies have also observed the negative
251	correlation between caproate production and acetate/ethanol ratio. Liu et al. (2016)
252	found that caproate production can be enhanced through decreasing the
253	acetate/ethanol ratio from 2:1 to 1:3. Weimer and Stevenson (2012) observed linear
254	decrease of caproate formation with the reduction of acetate/ethanol ratio from 5 to 0.
255	However, in this study, both caproate and butyrate production decreased with the
256	further increase of the ethanol share, while the concentration of valerate remained at a
257	high level, indicating that acetate was necessary for caproate production, reduction of
258	acetate in substrate can also inhibit the fermentative caproate production process.
259	Similarly Diender et al. (2016) found that the production of medium-chain fatty acids
260	can be significantly stimulated by the presence of acetate. However, the specific
261	function of acetate is not clear yet.
262	As to the other VFA, butyrate showed a similar trend with caproate. Propionate
263	production was favored at high acetate concentration, while valerate production was
264	promoted at high ethanol concentration. Wallace et al. (2004) found that both
265	propionate and butyrate can be turned into valerate and caproate with ethanol as
266	electron donor. Thus, it is reasonable that the remaining propionate and butyrate
267	concentration decreased with the increase of added ethanol. For the tests with ethanol

as sole carbon source, valerate production was dramatically affected by the addition of

269	hydrogen.
270	
271	Fig. 3 Volatile fatty acids production at different acetate/ethanol ratios
272	
273	Fig. 4 shows the change of hydrogen content in each bottle. Only a little change of
274	hydrogen content was observed in all test groups. For the test groups with
275	acetate/ethanol ratio over 5:5, hydrogen content showed a little increase in 10 d and
276	then decreased in 30 d. Seedorf et al. (2008) and Ding et al. (2010) have showed that
277	both hydrogen and caproate can be produced from acetate and ethanol by <i>Clostridium</i>
278	kluyveri. Hydrogen has been proved to be able to act as electron donor for both
279	hexanol and ethanol production from acetate (Spirito et al., 2014; Ding et al. 2010; Mu
280	and Yu, 2006), however, the results showed that little hydrogen was consumed during
281	the fermentation process, which may because of the thermodynamic bottleneck for the
282	hydrogen to be used as electron donor (Gonzálezcabaleiro et al. 2013).
283	For the tests with acetate/ethanol ratio less than 1:1, the hydrogen content increased
284	along the fermentation process. Although the $\Delta G^{\circ}$ of hydrogen as electron donor is
285	much more negative than ethanol as electron donor (Equation 1-3.4), the results
286	showed that ethanol is more easily consumed as electron donor than hydrogen. This
287	may due to the high mass transfer resistance between hydrogen and reaction phase.
288	The results indicate that at high ethanol levels, no additional electron donors are
289	needed for the caproate production process. Same conclusion was also made by Liu et
290	al. (2016) that the electron donor was sufficient for the chain elongation reaction

when acid/alcohol ratio was less than 1:2.

292

293 Fig. 4 Change of hydrogen content along the fermentation process in different

- 294 batches
- 295

#### **3.3 Caproate production from high ethanol concentration wastewater**

297 To explore the possibility for caproate production from high ethanol concentration

298 wastewaters, 500 mmol/L (23 g/L) ethanol along with 50 mmol/L (3 g/L) acetate were

used as carbon source. It can be seen from Fig. 5A that bacteria grew very fast in the

300 medium containing high ethanol concentration. Cell dry weight achieved 0.75 g/L in 3

301 d cultivation. Subsequently the fast growing cells formed flocks in the solution,

302 making determination of the cell concentration through a small amount of sample

303 unreliable due to the inhomogeneity issues. However, we could also observe that the

304 microbes entered exponential growth directly without experiencing a lag phase, and

305 the fermentation process terminated in 5 d. Microbial growth rate of 12.67 mg/L/h

306 was obtained. Comparing with the batch tests using 23 g/L ethanol and 3 g/L acetate

307 as substrate, both microbial growth rate and maximum cell dry weight were

308 significantly enhanced. Similar to microbial growth, pH dropped from pH 7.5 to pH

309 6.2 in 3 d, and then remained constant at around pH 6.1.

310 As shown in Fig. 5B, acetate and ethanol decreased with the microbial growth. After

311 three days, acetate concentration decreased to an undetectable level and ethanol was at

around 15 g/L. Butyrate increased in first 36 h, showing that acetate and ethanol were

313	first converted to butyrate (Equation 3). Subsequently, butyrate decreased to under 5
314	g/L due to elongation of butyrate to caproate (Equation 3.1, 3.3) (Mu and Yu, 2006;
315	Ding et al., 2010). Caproate concentration increased significantly in first three days
316	and highest concentration of 8.42 g/L was obtained. It can be seen that the conversion
317	efficiency (Consumed amount /Original amount) of ethanol was around 48 %. Lonkar
318	et al. (2016) reported that ethanol conversion efficiency decreased from over 80 % to
319	less than 25 % when initial ethanol concentration increased from 5 to 40 g/L. The
320	termination of fermentation process may be due to the acetate depletion, since it has
321	been proposed that the chain elongation reaction needs to be stimulated by acetate.
322	Another possible reason could be product inhibition. Different maximum achieved
323	caproate concentrations through microbial fermentation, have been reported in the
324	literature and were in the range from 0.8 g/L to 8.6 g/L (Steinbusch et al., 2011;
325	Weimer and Stevenson, 2012; Jeon et al., 2013; Vasudevan et al., 2014; Weimer et al.,
326	2015; Ganigué et al., 2016; Liu et al., 2016; Lonkar et al., 2016). To further enhance
327	the caproate production, in situ recovery of the formed caproate during the
328	fermentation process may enhance the final caproate yield (Jeon et al., 2013).In
329	summary, 23 g/L ethanol showed no inhibition on C. kluyveri, and the high substrate
330	concentration stimulated both microbial growth and fermentative caproate production.
331	
332	Fig. 5 Volatile fatty acids production from acetate (50 mmol/L) and ethanol (500
333	mmol/L)
334	

335	Caproate production from different ethanol concentrations (Table 1, batch 2) was
336	studied. Since little hydrogen was used in batch tests with low ethanol concentration,
337	no hydrogen was added as electron donor in this test. VFA production in different test
338	groups is shown in Fig. 6.
339	Similar with the results obtained in 3.2, low concentrations of caproate was produced
340	from sole acetate. Then, with the increase of ethanol concentration from 9.2 g/L to 23
341	g/L, acetate/ethanol ratio decreased from infinity to 1:10, caproate production
342	increased from 7.66 to 8.42 g/L. However, Gildemyn et al. (2017) obtained more
343	efficient caproate production with acetate/ethanol ratio 1:3 instead of 1:10 in
344	continuous fermentation, indicating the optimal substrate composition is affected by
345	the operation mode. Then, with the further increase of ethanol concentration to 36.8
346	g/L, caproate concentration decreased to 4.93 g/L. On the other hand, valerate showed
347	a significant increase from 3.66 mg/L to 148.49 mg/L with the increase of ethanol
348	concentration. The results indicate that metabolic pathway was changed from caproate
349	formation to valerate production in C. kluyveri when ethanol was over 23 g/L.
350	Butyrate production decreased from 2.56 to 0.23 g/L with the decrease of
351	acetate/ethanol ratio, which was because less butyrate was formed with the decrease
352	of acetate while more butyrate was turned to caproate with the increase of ethanol.
353	When ethanol concentration was further increased to 46 g/L, little VFA production
354	was observed, indicating that C. kluyveri was inhibited. Lonkar et al. (2016) also
355	observed no chain elongation when ethanol concentration was over 40 g/L. Different
356	from the results obtained in 3.2, no propionate was detected in all the test groups,

357 which may because of the absence of hydrogen.

358

359 Fig. 6 Volatile fatty acids production at different acetate/ethanol ratios

360

#### 361 **4. Conclusions**

Caproate production through chain elongation by *Clostridium kluyveri* was conducted, 362 and high ethanol concentrations (up to 46 g/L) was for the first time explored in this 363 study. The results showed great effect of acetate/ethanol ratios ranged from 1:40 to 4:1 364 on biosynthesis of caproate. Caproate production can be enhanced through the 365 366 increase of ethanol concentration. Follow-up studies can focus on optimizing the fermentation process considering the interactions between different parameters, like 367 pH, acetate/ethanol ratio and temperature; exploring more strains that are capable of 368 high efficient chain elongation as well as high tolerance to ethanol and final products. 369 Further development of innovative bioprocess that could further convert the caproate 370 to the corresponding alcohol would promote the wide application of the technology. 371 372

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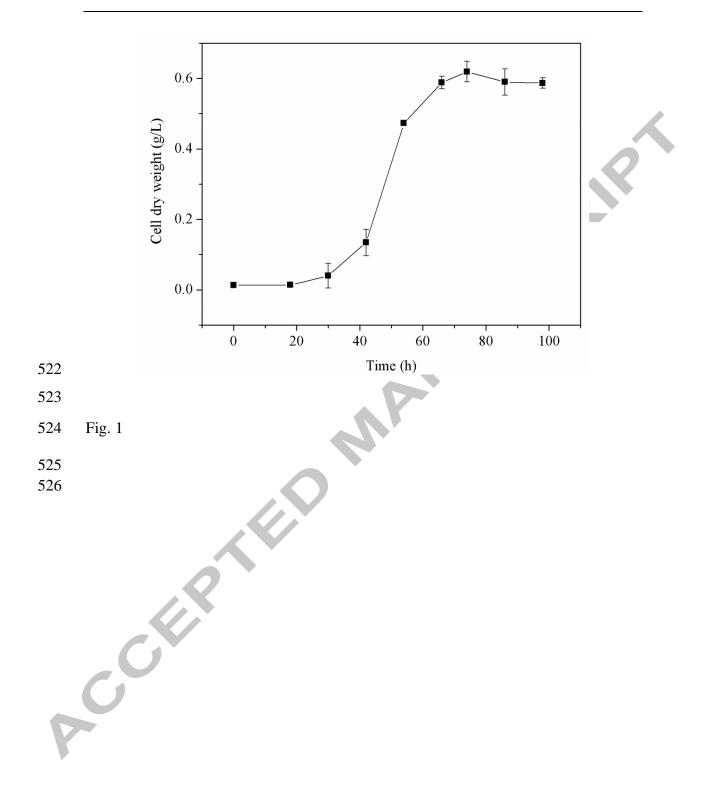
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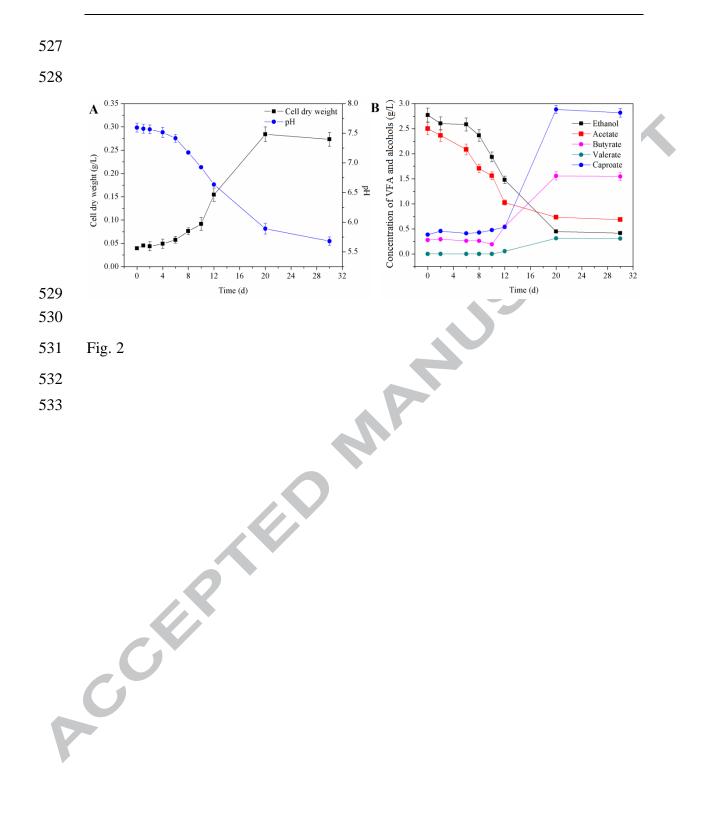
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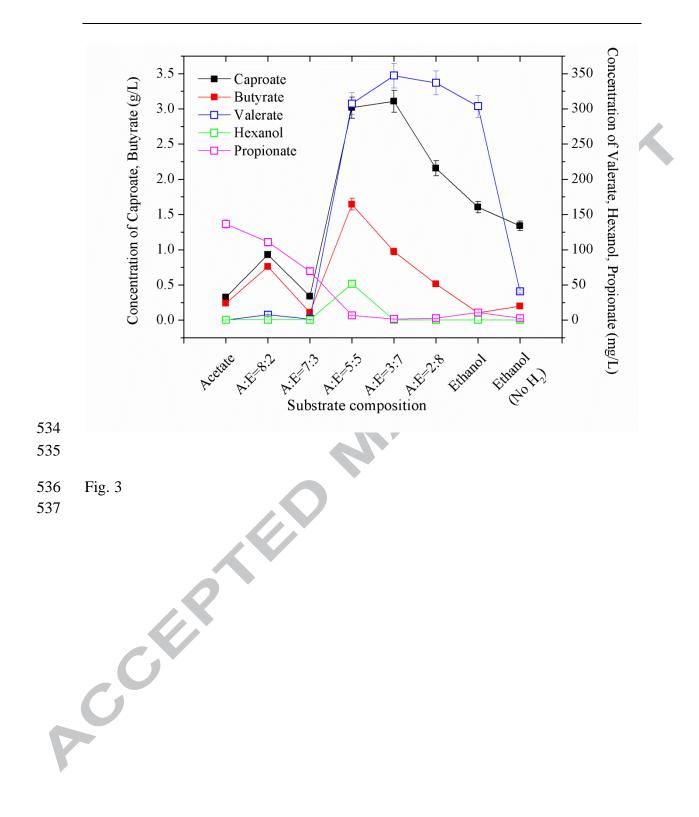
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#### 511 Legends

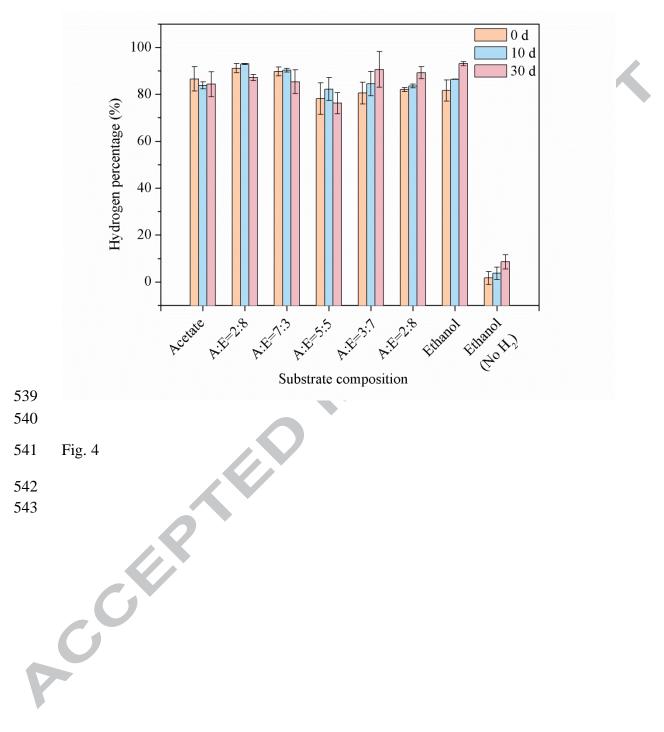
- 512 Fig. 1 Growth curve of Clostridium kluyveri cultivated in DSM-52 medium
- 513 Fig. 2 Volatile fatty acids production from acetate (50 mmol/L) and ethanol (50
- 514 mmol/L)
- 515 Fig. 3 Volatile fatty acids production at different acetate/ethanol ratios
- 516 Fig. 4 Change of hydrogen content along the fermentation process in different batches
- 517 Fig. 5 Volatile fatty acids production from acetate (50 mmol/L) and ethanol (500
- 518 mmol/L)
- 519 Fig. 6 Volatile fatty acids production at different acetate/ethanol ratios
- 520 Table 1 Experimental set up of the different batch tests.

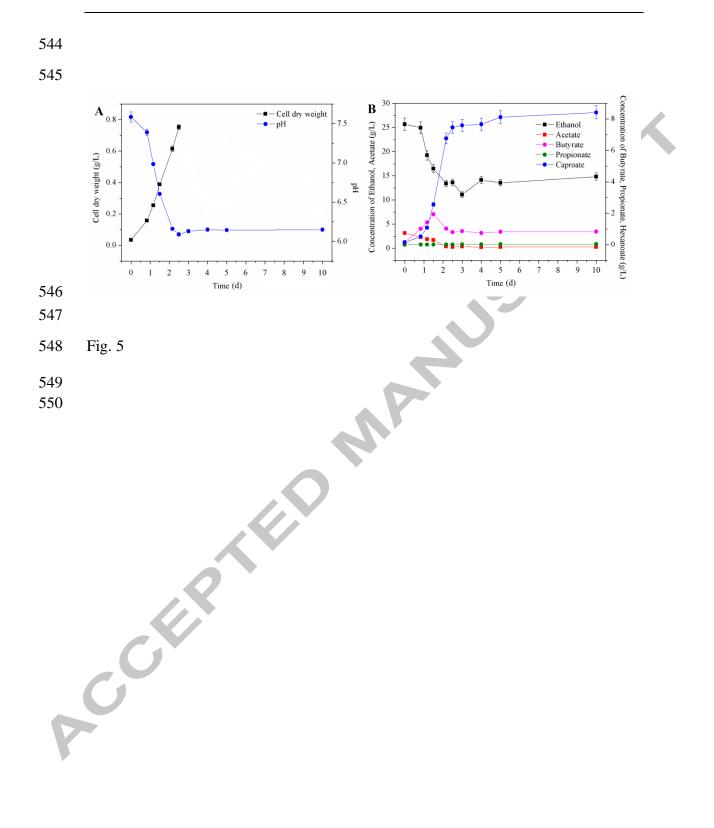




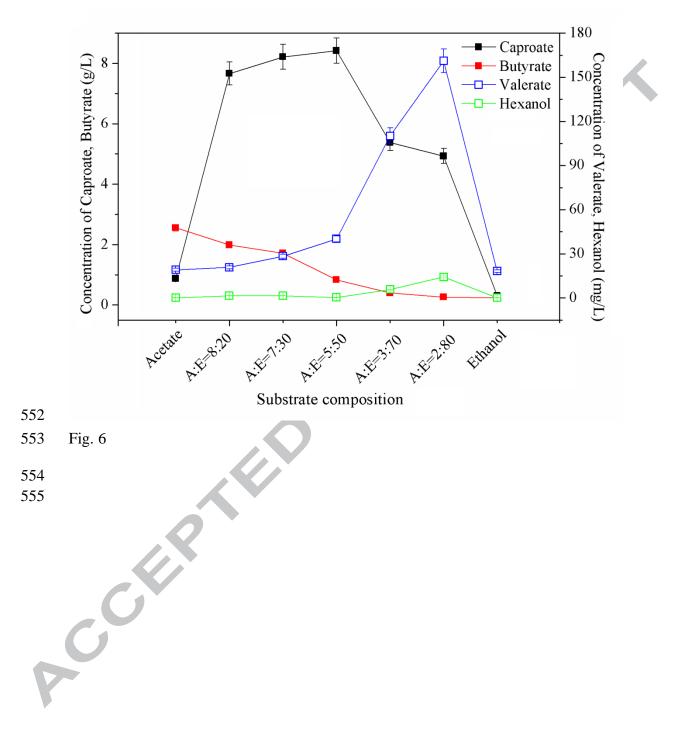












# Table 1 Composition of carbon source and addition of hydrogen in different batch tests

Batch	Carbon source (mmol/L)		Additional electron donor	
	Acetate	Ethanol	H <sub>2</sub> (mL)	
Batch 1			2	
Acetate	100	0	480	
A:E=8:2	80	20	480	
A:E=7:3	70	30	480	
A:E=5:5	50	50	480	
A:E=3:7	30	70	480	
A:E=2:8	20	80	480	
Ethanol	0	100	480	
Ethanol(No H <sub>2</sub> )	0	100	0	
Batch 2				
Acetate	100	0	0	
A:E=8:20	80	200	0	
A:E=7:30	70	300	0	
A:E=5:50	50	500	0	
A:E=3:70	30	700	0	
A:E=2:80	20	800	0	
Ethanol	0	1000	0	

#### 562 **Highlights**

- 563 The composition and yield of VFA was influenced by acetate/ethanol ratio. •
- Ethanol was used in priority as electron donor than hydrogen. 564 •

- High carbon source concentration enhanced caproate production. 565 •
- Ethanol concentration over 700 mM inhibited biosynthesis process. 566 •
- 567
- Highest caproate concentration was achieved at acetate/ethanol ratio 1:10 568 •
- 569

