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2 Investigation of the Interaction between Mucins and β -Lactoglobulin under
3 Tribological Stress
4

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11
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13

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18 **Abstract**

19 The interaction characteristics between mucins and beta-lactoglobulin (BLG) under tribological
20 stress were investigated by comparing the lubricity of mixed solutions of mucin-BLG with that of
21 neat protein solutions at compliant hydrophobic interfaces. Surface adsorption properties of the
22 proteins as characterized by bicinchoninic acid (BCA) assay revealed that both bovine submaxillary
23 mucin (BSM) and porcine gastric mucin (PGM) showed distinctly higher adsorbed masses
24 compared to BLG onto polydimethylsiloxane (PDMS) or polystyrene (PS) surfaces. The adsorbed

25 masses of the mixed protein solutions, namely BLG-BSM and BLG-PGM, reduced significantly,
26 and BLG appeared to dominate the surface adsorption event, presumably due to the reduced
27 concentration of mucins and the Vroman effect. While pin-on-disk tribometry and mini-traction
28 machine (MTM) were employed to provide the tribological contacts with varying contact pressure,
29 speed range, and slide/roll ratio, the dominant lubrication mechanism of the protein solutions was
30 boundary lubrication. BLG-BSM mixture showed the highest level of degradation in the lubricity of
31 BSM at pH 5, although BLG-saliva interaction is known to degrade the lubricity most rapidly at
32 more acidic pH, such as at pH 3.5. More importantly, pH dependent lubricating properties of BLG-
33 BSM mixed solutions appeared to be determined by competitive adsorption of the two proteins onto
34 the substrates, which suggests that they do not form as strong aggregates as BLG-saliva, especially
35 under tribological stress.

36

37 **1. Introduction**

38 There has been growing interest in understanding food oral processing and digestion by applying
39 various techniques to achieve desired designing of food and pharmaceuticals with new ingredients
40 and interfacial structures (Lundin, Golding, & Wooster, 2008; McClements, Decker, & Park, 2009;
41 Singh, Ye, & Horne, 2009; Singh & Ye, 2013). A few studies have investigated food oral
42 processing by focusing on the interaction of food emulsions with saliva in the oral environment
43 (Vingerhoeds et al. 2005; van Aken, Vingerhoeds, & de Hoog, 2007; Silletti et al. 2007; Sarkar,
44 Goh, & Singh, 2009). These studies have shown that either electrostatic interaction or hydrophobic
45 forces causes emulsion flocculation, aggregation, or aroma releasing, which are related to sensory
46 perception. Due to complexity of both food and saliva, the details of food-saliva interactions still
47 require further explanations. In particular, little information is available in literature on the
48 molecular-level interaction between constituents of food-saliva systems.

49 Recently, tribology has emerged as a new instrumental approach to investigate oral processing
50 of food emulsions in simulated oral environment (Meyer et al. 2011; Vardhanabhuti et al. 2011;
51 Chojnicka-Paszun, de Jongh, & de Kruif, 2012; Chen & Stokes, 2012; van Aken, 2013; Selway &
52 Stokes, 2013; Prakash, Tan, & Chen, 2013; Chen, Liu, & Prakash, 2014; Joyner Melito, Pernell, &
53 Daubert, 2014). In turn, this is often correlated with food's sensory perception (Meyer et al. 2011;
54 Vardhanabhuti et al. 2011; Chojnicka-Paszun, de Jongh, & de Kruif, 2012; Selway & Stokes, 2013;
55 Prakash, Tan, & Chen, 2013). Tribology is particularly useful for understanding the behavior of thin
56 films formed between two opposing surfaces where rheological and structural/mechanical
57 properties of food may no longer explain their behavior sufficiently.

58 In the present study, we attempted to apply tribological techniques to investigate the
59 interaction of β -lactoglobulin (BLG) with mucins under tribological stress and how it affects their
60 lubricating properties. BLG is the major whey protein constituting > 50% of the total whey proteins
61 in bovine milk (Zúñiga, Tolkach, Kulozik, & Aguilera, 2010). BLG contains many charged groups,
62 therefore, its structure and properties are highly pH-dependent (Fang & Dalgleish, 1997). Mucins
63 are a family of large, extracellular glycoproteins (Bansil, Stanley, & LaMont, 1995; Svensson, &
64 Arnebrant, 2010) and are known to be chiefly responsible for the slipperiness of saliva (Tabak,
65 Kevine, Mandel, & Ellison, 1982; Berg, Lindh & Arnenrant, 2004). Apart from its functions in
66 biological systems, previous studies have shown facile adsorption and effective lubrication on
67 various engineering materials too (Lee, Müller, Rezwan, & Spencer, 2005; Yakubov, McColl,
68 Bongaerts & Ramsden, 2009; Nikogeorgos, Madsen, & Lee, 2014). The importance of
69 understanding the interaction characteristics between BLG and mucins is related to an ongoing
70 discussion on the origin of astringency. One of the most prevailing models is that astringents
71 interact with saliva to form aggregates to deplete the lubricant (saliva) from the tribological contacts
72 in the mouth (Rossetti, Bongaerts, Wantling, Stokes, & Williamson, 2009; Vardhanabhuti et al.,

73 2011). Recent applications of tribological techniques allowed for quantitative characterization of the
74 lubricating properties of the fluids involving saliva and BLG or other astringents. For example,
75 Vardhanabhuti et al. (2011) showed that addition of BLG into a soft tribological interface increased
76 the interfacial friction forces, yet at varying rates depending on pH. Aggregation of macromolecules
77 (BLG) with hydrogel (saliva) is, however, a complex process influenced by a number of
78 parameters. For example, among many types of proteins in saliva, which one(s) are involved in the
79 aggregation with BLG is not clear. Thus, it would be important and meaningful to investigate the
80 molecular-level interaction to deepen the understanding the interaction of saliva with whole food.
81 Moreover, despite relatively more active studies addressing the interactions of mucins with
82 polysaccharides (Qaqish, & Amiji, 1999; Menchicchi et al., 2014; Menchicchi et al., 2015), the
83 studies for the interaction of mucins with food proteins are much more limited.

84 We have chosen two types of mucins, namely, bovine submaxillary mucin (BSM) and porcine
85 gastric mucin (PGM), purchased from a commercial manufacturer (Sigma-Aldrich). The fact that
86 both mucins are highly relevant to food digestion process, yet to different organs, is the first reason
87 for comparing them. Additionally, in parallel with common structural features of the two mucins
88 (Bansil, Stanley, & LaMont, 1995; Sandberg, Blom, & Caldwell, 2009), reported differences in
89 their biophysical properties, especially the lubricating properties (Lee, Müller, Rezwan, & Spencer,
90 2005; Nikogeorgos, Madsen, & Lee, 2014), may lead to different interaction with BLG and
91 alteration in the lubricating properties.

92

93 **2. Materials and methods**

94 *2.1 Sample preparation*

95 BLG from bovine milk, BSM (Type I-S), and PGM (Type III) were purchased from Sigma-Aldrich
96 (Brøndby, Denmark), and were used as received. Protein solutions with the concentration of 1

97 mg/mL were prepared by dissolving in 10 mM phosphate buffered saline (PBS) solutions and were
98 used throughout the study. The pH values of the buffer solutions were adjusted to 7.4, 5, and 3 by
99 addition of HCl or NaOH. For the mixture of BLG and mucins, the two protein solutions were
100 mixed directly at the ratio of 1:1 (v:v) and the total protein concentrations were remained at either 1
101 mg/mL. Due to relatively weaker lubricating capabilities of PGM at 1 mg/mL (Lee, Müller,
102 Rezwan, & Spencer, 2005), PGM, BLG, and PGM-BLG mixed solutions were studied at 10 mg/mL
103 too.

104

105 *2.2 Zeta potential measurements*

106 The zeta potential of the protein solutions was characterized with a laser (633 nm) Doppler
107 electrophoresis instrument (LDE; Zetasizer Nano ZS, Malvern, UK). Disposable cuvettes (model
108 DTS 1070) were used. At least five measurements were performed for each protein solution to
109 acquire statistically valid data.

110

111 *2.3 Bicinchoninic acid (BCA) assay*

112 Surface adsorption properties of the proteins onto hydrophobic substrates were characterized by
113 means of BCA protein qualification assay. This technique is based on the reduction of Cu^{2+} to Cu^+
114 in the presence of peptide bonds, and subsequent complex formation with BCA to form a purple-
115 colored end product (Smith et al. 1985). The assay has been established to quantify proteins in bulk
116 solution, and recently, it was further customized to estimate the protein amount adsorbed on
117 surfaces (Sandberg, Mellina, Gelius, & Caldwell, 2009; Pakkanen, Madsen, & Lee, 2015). An
118 important assumption in this modified approach is that surface adsorbed proteins (peptide bonds)
119 have the same reduction reactivity of converting Cu^{2+} to Cu^+ with those in bulk solution. Thus, the
120 light absorbance by Cu^+ -BCA complex is proportional to the amount of the proteins even if the

121 proteins are surface-localized. Detailed procedures to acquire standard curves and the areal mass of
122 the sample proteins are provided in the Supplementary Information (Figure S1.) In this study,
123 standard curves were prepared for not only bovine serum albumin (BSA) as a general test protein,
124 but also for all the proteins or protein mixtures at each condition, including concentration, pH, and
125 the choice of microtiter materials (see below). The absorbance of each protein sample was
126 measured at 540 nm with an absorbance microplate reader (BioTek, ELx800 model). The
127 measurements were repeated three times for each sample for statistical evaluation. The microtiter
128 wells (GMBH+CO KG, Wertheim, Germany) were made of polystyrene (PS). While PS was not
129 used as a tribopair material, we assume that protein adsorption properties of the proteins onto PS
130 would be similar with those onto polyoxymethylene (POM), which was used as a tribopair
131 material. For the relevance of surface adsorption properties to tribological interfaces of
132 poly(dimethylsiloxane) (PDMS), some microtiter wells were coated with a thin layer of PDMS. To
133 this end, a two-component silicone kit (Sylgard 184, Dow Corning) was employed. Base fluid and
134 crosslinker were mixed at the ratio of 10:1 (w/w). A small drop (ca. 20 μ L) was added to each well
135 and cured in an oven at 80 °C overnight. It is noted that as standard curves were independently
136 obtained for PDM-coated wells too, thin PDMS coating on the absorbance does not affect the
137 adsorbed mass estimation.

138

139 *2.4 Pin-on-disk tribometry*

140 Lubricating properties of the protein solutions at sliding contacts were characterized by pin-on-disk
141 tribometry (CSM, Peseux, Switzerland). In this approach, a loaded spherical pin is allowed to form
142 a contact on a plane disk. The motor-driven rotation of the disk generates interfacial friction forces
143 between the pin and the disk. The applied load is controlled by dead weight and the friction forces

144 generated during sliding contact are monitored by a strain gauge. The coefficient of friction, μ , is
145 defined from the relationship, $\mu = F_{\text{friction}}/F_{\text{load}}$.

146 PDMS was chosen as the tribopair for both pin (6 mm in diameter) and disk (30 mm in
147 diameter and 5 mm in thickness). The pin and disk from PDMS were prepared with the PDMS kit
148 mentioned above as described by Nikogeorgos, Madsen, & Lee (2014). To ensure that the
149 lubricated contacts were in the boundary lubrication regime, a low sliding speed (5 mm/s) was
150 selected under a 5 N or 2 N load, corresponding to the apparent maximum Hertzian contact pressure
151 of 0.6 or 0.4 MPa, respectively. The friction force data were collected for 100 rotations at room
152 temperature (25 °C) and the tests were repeated multiple times for statistical evaluation. For each
153 measurement, a tribopair of PDMS-PDMS was used only once and discarded to avoid cross
154 contamination between measurements. As a control, a POM pin (6 mm in diameter) was employed
155 to form a POM/PDMS interface under 5 N (apparent maximum Hertzian contact pressure of 0.9
156 MPa). The basic mechanical and surface properties of the tribopair materials are presented in Table
157 1.

158

159 *2.5 Mini traction machine (MTM)*

160 Lubrication properties of the protein solutions were characterized at mixed/rolling contacts in the
161 higher speed regime by means of a mini-traction machine (MTM, PCS Instruments Ltd., UK) too.
162 Mixed rolling/sliding contacts are provided with MTM by independent rotation of ball and disk.
163 The mean speed is defined as $[(\text{speed}_{\text{ball}} - \text{speed}_{\text{disk}})/2]$. The slide/roll ratio (SRR) is defined as SRR
164 $= (|\text{speed}_{\text{ball}} - \text{speed}_{\text{disk}}|)/[(\text{speed}_{\text{ball}} + \text{speed}_{\text{disk}})/2] \times 100\%$, where 0% SRR represents pure rolling
165 and 200% SRR represents pure sliding. In this study, SRR of 20% was employed in all
166 measurements with varying mean speed between 10 mm/s to 1200 mm/s. Tests were conducted at
167 room temperature (25 °C) with the tribopair consisting of a POM ball and a PDMS disk. The PDMS

168 disks were prepared from the aforementioned two-component silicone kit (Sylgard 184, Dow
169 Corning) as well. A thick PDMS slab (ca. 5 mm) was cast on top of a steel disk (ca. 5 mm) for each
170 sample. POM balls were purchased from a supplier (19.05 mm ($\frac{3}{4}$ inch) in diameter, Precision
171 Plastic Ball Co., IL) and were used as received. For each measurement, a new PDMS disk was
172 employed, whereas the same POM ball was used after cleaning with distilled water, ethanol, and
173 sonication in distilled water for 5 min. A fixed load (2 N) was applied with the estimated Hertzian
174 contact pressure of 0.3 MPa. Tests were repeated three times and the friction data were averaged.

175

176 **3. Results and discussion**

177 *3.1 Zeta potentials*

178 In Figure 1, the zeta potentials of the protein solutions are presented as a function of pH. A zeta
179 potential of near 0 mV was observed at pH 5 for BLG, which is indicative of near-zero net charge
180 and being close to the isoelectric point (IEP) of BLG. As expected, positive and negative zeta
181 potentials were measured when the pH was shifted to 3 and 7.4, respectively in agreement with the
182 study of Engelhardt et al. (2013). It is noted that despite a gradual decrease in the magnitude of
183 negative charges with decreasing pH, the zero zeta potentials of the mucins were not reached even
184 at pH 3, indicating that the IEPs of both mucins are lower than pH 3 (Lee, Müller, Rezwan, &
185 Spencer, 2005; Sotres, Madsen, Arnebrant, & Lee, 2014). It is further to note that BSM displayed
186 more negative zeta potentials than PGM at all pH values due to higher abundance of negatively
187 charged moieties in BSM, such as sialic acids (bound sialic acid 9 – 17% for BSM Type I-S and 0.5
188 – 1.5% for PGM Type III, Sigma Aldrich).

189 For the BLG-BSM mixture, the trend of the zeta potential changes according to pH change
190 appears to be similar to that of BSM itself, except for slightly less negative values. However, as the
191 absolute values of the zeta potential of BLG at pH 3 and 5 are much smaller than those of BSM at

192 these pHs, and as the zeta potentials of BLG and BSM are very similar to each other at pH 7.4, the
193 interaction nature between BSM and BLG cannot be judged based on zeta potential data alone. The
194 seemingly ignorable contribution of BLG to the zeta potential of BLG-PGM mixture at pH 5 could
195 be also discussed in the same context. At pH 3 and 7.4, however, despite fairly different zeta
196 potentials of BLG and PGM, their mixture showed nearly the same zeta potentials of PGM rather
197 than intermediate ones, which signifies the dominance of the electrophoretic mobility of PGM in
198 the mixed protein solutions.

199

200 *3.2 Surface adsorption properties*

201 The adsorbed masses of the proteins per unit area, denoted as Γ , of the PDMS-coated microtiter
202 well surfaces are presented in Figure 2. It is noted that Figure 2(a) is for the data obtained from 1
203 mg/mL (all protein solutions) and Figure 2(b) is for those from 10 mg/mL (PGM, BLG, and BLG-
204 PGM mixture solutions only). The results for the PS microtiter wells were very similar and the
205 results are shown in Supplementary Information (Figure S3). A few noticeable features of the
206 adsorption behavior of mucins, BLG, and their mixtures are discussed as follows.

207 Firstly, the adsorbed masses of mucins were clearly higher than those of BLG under the same
208 conditions (concentration and pH). For instance, the Γ_{PGM} was ca. 3 mg/m² and Γ_{BSM} ranged ca. 2.0-
209 4.5 mg/m² from 1 mg/mL solutions for all pH values, whereas Γ_{BLG} ranged ca. 0.4-1.0 mg/m² only
210 (Figure 3). While the experimental approach employed in this study was markedly different from
211 more conventional optical approaches, the Γ values for mucins (Shi, & Caldwell, 2000; Lee,
212 Müller, Rezwan, & Spencer, 2005; Nikogeorgos, Madsen, & Lee, 2014) and BLG (Krisdhasima,
213 McGuire, & Sproull, 1992) onto hydrophobic surfaces are roughly in the same range, which
214 supports the validity of BCA as a quantitative surface adsorption characterization technique. Given
215 the adsorbed mass per unit area and the molecular weight of BSM (1.6 MDa, Shi, & Caldwell,

216 2000), PGM (1.25 MDa, Davies, & Viney, 2002), and BLG (18 kDa, Zúñiga, Tolkach, Kulozik, &
217 Aguilera, 2010), the number of protein molecules per unit area, or conversely, the surface area
218 occupied per single molecule could be estimated. If we further assume that this area is circular, its
219 diameter, D_s , can be compared with the hydrodynamic diameter, D_h , of the proteins in a bulk
220 solution from literature (Durrer, Irache, Duchene, & Ponchel, 1995; Celebioglu et al., 2015). The
221 results (Table 2) showed that the $D_{s, BLG}$ is comparable to $D_{h, BLG}$ or smaller, whereas the $D_{s, BSM}$ or
222 $D_{s, PGM}$ is approximately only ca. 10-25% of their D_h in bulk solution. This means that mucins are
223 not only higher than BLG in the adsorbed masses, but also adsorb onto the surfaces in a more
224 compact conformation due to a high flexibility of mucins to accommodate themselves in a narrow
225 space or a possibility to form multilayers.

226 Secondly, pH was observed to have an influence on the surface adsorption of both mucins and
227 BLG. For instance, BSM showed higher adsorbed masses at acidic pHs than pH 7.4, in consistent
228 with a recent study by Sotres, Madsen, Arnebrant, & Lee (2014). This behavior is readily expected
229 from polyanionic characteristics of BSM and nonpolar characteristics of PDMS surface; adsorption
230 of polyanionic species onto nonpolar surfaces from aqueous environment inevitably leads to the
231 accumulation of charges on the surface and it act as a barrier to hamper further adsorption (Sotres,
232 Madsen, Arnebrant, & Lee, 2014). With decreasing pH, BSM starts to be protonated and the barrier
233 can be diminished. The pH dependence of PGM for the adsorption onto PDMS at 1 mg/mL is much
234 weaker, and this is consistent with the fact that PGM carries less charged moieties as shown by the
235 zeta potential measurements (Figure 1). However, at 10 mg/mL, PGM also showed a highest
236 adsorbed mass at pH 3 than at lower pHs, presumably due to the activation of the electrostatic
237 repulsion mechanism mentioned above. Adsorption of BLG onto PDMS surfaces showed a higher
238 Γ_{BLG} values at pH 5 compared to pH 3 and 7.4, which could also be explained by the electrostatic
239 repulsion model, as the zeta potential of BLG is nearly zero at pH 5 (Figure 1).

240 Thirdly, and most importantly, mixed protein solutions showed much lower Γ values than
241 those of respective mucins and comparable to BLG at each condition. While only a half of the
242 mucin concentration in the mixed protein solutions can be a first reason, this behavior could be
243 ascribed also to the Vroman effect too (Vroman & Adams, 1969);Lassen & Malmsten, 1997;
244 Latour, 2008); as BLG is much smaller and lighter than the mucins, it is more mobile and can reach
245 the surface faster than the mucins in the early stage of adsorption (≤ 1 hr in this study).
246 Furthermore, as the weight/volume concentration of BLG and mucins were equal in the mixed
247 protein solutions, the number of BLG molecules overwhelms that of mucins due to much smaller
248 molecular weight of BLG. Thus, BLG can readily dominate the initial surface adsorption..

249 More importantly, the dominance of BLG in the surface adsorption from the BLG-mucin
250 mixture mentioned above implies that there is a large portion of “free” BLG molecules in the mixed
251 protein solutions, and that they participate in the surface adsorption process in competition with the
252 mucins. This is contradicting with a recent spectroscopic study on the interaction between BLG and
253 BSM (Celebioglu et al., 2015), in which the DLS measurements of the BLG-BSM mixture led to a
254 complete disappearance of the peak corresponding to free BLG molecules. This may be caused by
255 the substantially different light scattering sensitivity for the two types of molecules, i.e. BLG
256 molecules are not readily detectable when they are present together with much larger BSM
257 molecules in a solution. It may also simply reflect a very weak interaction nature between BLG and
258 BSM even if they may form loose aggregates.

259

260 *3.3 Lubricating properties*

261 In this study, two types of hydrophobic interfaces, namely PDMS-PDMS and POM-PDMS, were
262 employed for the lubrication studies. Hydrophobic substrates were chosen as they may potentially
263 mimic an oral mucosa membrane underneath mucus layers and facile adsorption of mucins onto the

264 substrate can complete an in-vitro oral mucosa model. More importantly, in order to assess the
265 boundary lubricating properties of the proteins, the substrates should effectively attract them onto
266 the surface in the first place, and thus hydrophobicity is a first demanded attribute as the tribopair.
267 POM and PDMS are though very different in their mechanical properties and surface roughness
268 (Table 2). Thus, without substantial changes in the tribological parameters, e.g. external load, these
269 two sliders provide significantly different contact pressure regimes on the opposing substrate.

270

271 *3.3.1 Sliding contacts of soft-soft and smooth interface; pin-on-disk tribometry*

272 Figure 3 shows the μ values obtained from the sliding contacts of PDMS-PDMS interface as
273 lubricated by the protein solutions at (a) 1 mg/mL (all protein solutions) and (b) 10 mg/mL (PGM,
274 BLG, and BLG-PGM mixture solutions only) as characterized by pin-on-disk tribometry. Buffers
275 with three different pHs showed μ values of 0.5-0.6 (Figure 3(a)). At 1 mg/mL concentration, the
276 BSM solutions displayed exceedingly superior lubricity. This is consistent with previous studies
277 showing effective boundary lubrication by BSM at a PDMS-PDMS interface, yet under much lower
278 load (Nikogeorgos, Madsen, & Lee, 2014). BSM showed higher μ values at pH 3 ($\mu \approx 0.2$)
279 compared to pH 5 and 7.4 ($\mu \approx 0.02$), for which the origin is not clearly understood yet. As the Γ_{BSM}
280 values at pH 3 was comparable to those at pH 5 and higher than at pH 7.4 (Figure 2), this behavior
281 cannot be understood in view of surface coverage with BSM at varying pH. One possibility is that
282 charged BSM at pH 7 may lubricate more effectively due to charge-charge repulsion between the
283 opposing surfaces.

284 PGM solutions showed virtually ignorable lubricating effect, despite comparable adsorbed
285 masses with BSM at the same concentration, 1 mg/mL (Figure 2). While the lubricity of the PGM
286 solution improved at pH 3, in consistent with a former study (Lee, Müller, Rezwan, & Spencer,
287 2005), the μ values were still somewhat higher than those of BSM at the same condition. It is also

288 noticeable that the lubricity of the PGM solutions at 10 mg/mL is not improving or even inferior to
289 that of PGM at 1 mg/mL at pH 3 (Figure 3(b)). As a control, an experiment employing the 10
290 mg/mL PGM solution at pH 3 again showed low μ values under a reduced load of 2 N (Figure
291 3(b)), the exceptionally high μ values of 10 mg/mL PGM solutions at pH 3 under 5 N appear to be
292 related to pressure-induced phenomena, such as bridging of PGM molecules between the two
293 opposing PDMS surfaces and consequently high adhesive contacts.

294 The lubricating efficacy of BLG solutions was also observed to be poor. Although some
295 variation in μ values according to the pH change was observed, at both 1 mg/mL and 10 mg/mL
296 concentrations, the absolute μ values were relatively high ($\mu > 0.2$), indicating that this dependence
297 is of little importance. Insignificant lubricity of BLG is firstly resulting from the lack of distinct
298 amphiphilicity, a structural feature required to stabilize the macromolecules on hydrophobic
299 substrates in aqueous environment. Relatively lower adsorbed masses and larger intermolecular
300 distances between BLG on PDMS surface (Table 2) suggest that a strong adhesion between PDMS
301 surfaces may be still active when PDMS-PDMS sliding contact is lubricated with BLG solutions.

302

303 *3.3.2 Sliding contacts of hard-soft and rough interface; pin-on-disk tribometry*

304 Figure 4 shows the μ values obtained from POM-PDMS interface as lubricated by BSM solution at
305 pH 7.4 or buffer solution under otherwise the same conditions with Figure 3. For a direct
306 comparison, the μ values from the PDMS-PDMS counterpart (Figure 3) are also presented. About
307 two times higher μ values observed from the POM-PDMS than the PDMS-PDMS interface in the
308 buffer solutions is ascribed to the substantially higher surface roughness of the POM surface and
309 consequently high local contact pressures (Table 1). Nevertheless, BSM solution displayed
310 consistently more effective lubrication than BLG or the BLG-BSM mixture solutions. The

311 ineffective lubricity of the PGM solutions for the POM-PDMS interface was also consistent (data
312 not shown).

313

314 3.3.3 Mixed rolling-sliding contacts of hard-soft and rough interface; MTM

315 Figure 5 shows the μ vs. speed plots obtained from the POM-PDMS tribopair lubricated with the
316 protein solutions at 1 mg/mL (a) at pH 3, (b) pH 5, and (c) pH 7.4, as characterized with MTM. The
317 results showed that all the protein solutions lowered the μ values compared to the respective buffer
318 solutions (some missing μ data points in the low-speed regime for the buffer solutions > 1), even
319 including PGM or BLG solutions, which were less lubricious in tribometer experiments. This is
320 probably due to more favorable conditions for lubrication, including higher speed, higher rolling
321 characteristics, and lower apparent contact pressure (0.3 MPa) for the MTM experiments. With
322 increasing mean speed, the μ values of all the samples started to decrease, reaching as low as 0.03
323 for the case of BSM at pH 7.4. However, even in the highest speed regime, no characteristic up-turn
324 of μ values for elastohydrodynamic lubrication (EHL) (de Vincente, Stokes, & Spikes, 2005;
325 Nalam, Clasohm, Mashaghi, & Spencer, 2010) was observed. Thus, the dominant lubrication
326 mechanism is thought to be boundary and/or mixed lubrication without separation of the two
327 opposing surfaces by the fluids. Inability to activate EHL mechanism for this contact is largely
328 related to high surface roughness of the POM ball (Table 1), which was also attributed to as a main
329 reason for higher friction forces for this pair in the pin-on-disk tribometry experiments (Figure 4).
330 The root-mean-square roughness (R_q) of the POM ball and PDMS disk is 659 ± 179 nm and $1.6 \pm$
331 0.3 nm, respectively. Therefore, the composite surface roughness of the POM-PDMS interface,

332 $R_{q,c} = \sqrt{R_{q,POM}^2 + R_{q,PDMS}^2}$, is nearly identical with that of POM. Thus, for the activation of the

333 EHL mechanism, the lubricating film thickness should be at least 3 times larger than $R_{q,c}$ (Røn, &
334 Lee 2014), i.e. ca. 2 μm , which is not realistic, especially for aqueous lubrication.

335 Superior lubricity by the BSM solution to the other protein solutions, in particular at pH 7.4,
336 was observed in consistent with the pin-on-disk tribometry results (Figure 3 and 4). Due to the
337 degraded lubricity of BSM at pH 3, however, the relative difference in μ values between the protein
338 solutions became blurred, which also was consistent with the pin-on-disk tribometry data (Figure
339 3). In fact, the μ values for the PGM solutions were slightly lower than those of BSM at pH 3, but
340 this difference became much smaller in the high-speed regime. The data obtained from 10 mg/mL
341 solutions of PGM or BLG-PGM (Supplementary Information, Figure S2) were nearly
342 indistinguishable from those obtained from 1 mg/mL in Figure 5.

343

344 *3.3.4 Surface adsorption properties and lubricity; BSM vs PGM*

345 A strong contrast in the lubricity between BSM and PGM at PDMS-PDMS sliding interface
346 remains elusive to be understood; both mucins are known to be large in molecular weight and
347 comparable to each other (Sandberg, Blom, & Caldwell, 2009). Both are heavily glycosylated in the
348 central region to similar extents, and are proposed to be adsorbing onto hydrophobic surfaces from
349 water via hydrophobic interaction with unglycosylated C- and N-terminal regions. The adsorbed
350 masses onto PDMS surface were also fairly comparable for BSM and PGM as shown from the
351 same concentration, 1 mg/mL, in this study (Figure 2).

352 It should be noted that the aqueous lubrication by adsorption of amphiphilic macromolecules
353 onto hydrophobic surfaces is achieved essentially by hydration of the hydrophobic surfaces and
354 removal of hydrophobic adhesion between the two opposing surfaces (Lee, & Spencer, 2005). For
355 mucins, this is achieved by respective role of unglycosylated terminal regions acting as an anchor
356 onto the surface and the glycosylated central region acting to recruit water into the interface as

357 mentioned above. Critically important for effective lubrication is to *sustain* the lubricating layer, i.e.
358 mucin film, under persistently applied tribostress, not just to adsorb in high amount under initial
359 tribostress-free condition. Thus, the adsorbed mass determined in the absence of tribostress (Figure
360 2) provides only a first indication for lubricity. Another related point to note is that as the adsorption
361 of mucins onto hydrophobic surfaces is achieved mainly via hydrophobic interaction, its binding
362 strength is not strong enough to withstand the tribostress as a monolayer coating. For example, a
363 recent study demonstrated that a monolayer coating of BSM on PDMS surface immersed in buffer
364 solution, i.e. without excess BSM in solution, showed an immediate loss of lubricity upon sliding
365 against a PDMS slider and a gradual increase of μ with increasing rotation on a sliding track
366 (Nikogeorgos, Madsen, & Lee, 2014). Thus, effective lubrication by a BSM solution at PDMS-
367 PDMS or POM-PDMS interfaces is enabled by continuous re-establishment of the lubricating film
368 under tribostress involving the cycles of adsorption-desorption-readsorption of BSM molecules.
369 Thus, the superior lubricity of BSM to PGM should be related to many other factors than the
370 adsorbed mass itself, such as BSM's superior binding strength onto the surface, more optimized
371 conformation to hydrate the surface, as well as faster convection to the surface to re-form the
372 lubricating films, or the combination thereof.

373 In order to visualize the relationship between the lubrication efficacy and the surface
374 adsorption properties of the proteins in this study, their adsorbed masses, Γ , are plotted against μ
375 values obtained from the pin-on-disk tribometry in Figure 6. Because of somewhat extreme
376 behavior of PGM and its mixture with BLG at 10 mg/mL, only the data obtained from 1 mg/mL
377 protein solutions are displayed. In Figure 6, as the BSM data set lies in the “right-bottom” quadrant,
378 it reflects the case where high adsorbed mass is directly correlated with effective lubrication. The μ
379 vs. Γ plots also display that the relatively higher friction of BSM at pH 3 is not due to the reduced
380 adsorbed mass at that pH condition. PGM, being placed in the “right-upper” quadrant, clearly

381 demonstrates the case where high adsorbed mass is not sufficient for effective boundary lubrication.
382 Lastly, the location of BLG in the “upper-left” quadrant in the plot suggests that the poor adsorption
383 onto PDMS surface is probably the primary reason for its poor boundary lubrication properties.

384

385 *3.3.5 Lubricating properties of BLG-mucin mixtures*

386 Distinctively different lubricating properties of BSM and BLG make it most interesting to explore
387 the effect of mixing the two proteins. As mentioned earlier, this is interesting largely because a
388 previous study by Vardhanabhuti et al. (2011) reported that the addition of a BLG solution to the
389 PDMS-PDMS interface, lubricated by human saliva film, led to a rapid loss of lubricity at an acidic
390 pH (3.5), but at a much slower pace at pH 7 or 5.

391 The relationship in the change of the adsorbed mass and lubricity upon mixing BLG and BSM
392 could be clearly manifested in the μ vs. Γ plots in Figure 6. Basically, as a group, the BLG-BSM
393 data set is shifted leftwards, yet without shifting upwards with respect to the BSM data set,
394 suggesting that the lubricity of BSM is generally maintained despite significantly reduced adsorbed
395 masses upon mixing with BLG. In more detail, at pH 7.4, a substantial reduction in the adsorbed
396 mass is accompanied with only a slight degradation of BSM’s lubricity upon mixing with BLG. If
397 the reduced surface adsorption is related to the competitive adsorption of BLG, this observation is
398 surprising because, under persistently applied tribostress, molecules that adsorb quicker, i.e. BLG,
399 should dominate the tribological interface. A fairly well sustained lubricity of the BLG-BSM
400 mixture compared to the neat BSM solution suggests that BSM rather dominates the tribological
401 properties of the mixture at this pH. One possible explanation is that as the adsorption of BLG onto
402 PDMS surface tends to leave the ample PDMS surface uncovered (see the section 3.2), BSM can
403 readily overlay onto the surface that is pre-occupied with BLG at pH 7.4 and still effectively
404 lubricate the tribological interface. At pH 5, however, a drastic reduction in both the adsorbed mass

405 and lubricity of BLG-BSM mixture compared BSM is observed. This is related to more facile
406 adsorption of BLG onto PDMS surface at pH 5 than at pH 3 or 7.4; the adsorbed mass of BLG at
407 pH 5 was roughly twice those at pH 3 and 7.4 (Figure 2). In turn, this can be attributed to the
408 electrostatic neutrality of BLG at this pH (Figure 1) and the absence of electrostatic repulsion
409 between BLG molecules in the surface adsorption process. Thus, the dominance of BLG at the
410 tribological interface, i.e. clearly degraded lubricity of BLG-BSM mixture compared to BSM, can
411 be intensified at pH 5. At pH 3, a reduction in the adsorbed mass without degrading lubricity is
412 observed upon mixing BSM with BLG, similarly with pH 7.4. However, as the μ values of BLG
413 and BSM are similar to each other, the dominance of BLG at the tribological interface can be
414 suggested only based on the significantly reduced adsorbed mass.

415 Overall, a strong pH dependence of the lubricating properties of BLG-BSM at the PDMS-
416 PDMS interface, which can be related to the reported pH dependence of the lubricating properties
417 of BLG-saliva interaction, was confirmed even on a molecular level interaction. However, more
418 detailed trends are very different in the interaction of BLG-saliva vs. BLG-BSM. Firstly, for the
419 former, strong interaction of BLG with saliva (Vingerhoeds, Blijdenstein, Zoet, & van Aken, 2005)
420 or mucosa (Withers, Cook, Methven, Gosney, & Khutoryanskiy, 2013) has well been established
421 and it formulates the ground for the rapid depletion of saliva films from the tribological interface at
422 pH 3.5. Meanwhile, for the mixed BLG-BSM solution at 1 mg/mL concentration, competitive
423 adsorption between them onto the tribological interface and its dependence on pH appears mainly
424 responsible for varying lubricity according to pH change. This means, however, that BLG and
425 mucins do not formulate tightly bound aggregates in the mixture solution. Secondly, while rapid
426 degradation of lubricity was observed only at pH 3.5 for the BLG-saliva interaction, the degraded
427 lubricity was most prominent at pH 5 for the BLG-BSM interaction, yet much weaker at pH 7.4 or
428 pH 3. Again, this is due to that the main cause for the degrading lubricity of BLG-BSM mixed

429 solution is competitive adsorption onto the tribological interface rather than strong aggregation
430 between them.

431 For the mixtures of BLG-PGM, as the lubricity of BLG or PGM, as well as the mixed
432 solution of BLG-PGM, is equally poor, the tribology data alone do not provide conclusive
433 information on the interaction between BLG and PGM. Meanwhile, Figure 6 shows that the net
434 effect of mixing BLG and PGM is featured with substantially decreased adsorbed masses compared
435 to the neat PGM solutions. Thus, it can be also suggested that BLG dominates the tribological
436 interface for BLG-PGM mixture.

437

438 **4. Conclusions**

439 In this study, we have investigated the molecular-level interaction between mucins and BLG by
440 means of tribological approaches according to mucin type, solution pH, and protein concentration.
441 Hydrophobic interfaces, namely PDMS-PDMS and POM-PDMS, were employed for feasible
442 adsorption of the proteins and consequent possibility of assessment of the boundary lubricating
443 properties. Surface adsorption properties of the proteins by BCA assay revealed that both mucins
444 adsorbed onto the hydrophobic substrates in a large amount to form either highly compact layers or
445 multilayers, whereas BLG appeared to adsorb without interfering with neighboring molecules or
446 even by partly exposing bare substrates. This difference was firstly related to generally more
447 effective lubricating properties of mucins, in particular BSM, compared to BLG. Nevertheless,
448 nearly ignorable lubricating effect by PGM, despite its facile surface adsorption, suggests that other
449 parameters than adsorbed masses play a significant role to impart superior lubricity of BSM to
450 PGM or BLG. While both pin-on-disk tribometry and MTM were employed to provide the
451 tribological contacts with different contact pressure, speed range, and slide/roll ratio, the
452 dominating lubrication mechanism by the protein solution was boundary lubrication. Surface

453 adsorption and lubricating properties of mixed protein solutions, such as BLG-BSM and BLG-
454 PGM, with respect to neat protein solutions were of prime interest as it can be compared with the
455 well-known role of BLG as astringency to form a complex with saliva and rapidly deplete from the
456 tribological interface at acidic pH (3.5, for example). Even in the absence of tribostress, the
457 adsorbed masses of the mixed protein solutions reduced significantly, and BLG appeared to
458 dominate the surface adsorption event, presumably due to the reduced concentration of mucins as
459 well as the Vroman effect. Nevertheless, excellent lubricity was still observed at pH 7.4 and BSM
460 apparently dominated the tribological interface, which highlights the excellent lubricating
461 capabilities of BSM. Although being still relatively more lubricious than the other proteins, the
462 BLG-BSM mixture showed the highest level of degradation in the lubricity of BSM at pH 5, which
463 contrasts the case of BLG-saliva interaction. This is due to that instead of strong aggregation, as in
464 BLG-saliva, the lubricating properties of BLG-BSM are determined by competitive adsorption of
465 the two proteins onto substrates. Most importantly, these observations further suggest that BLG and
466 BSM molecules do not form strong aggregates, especially under tribological stress. PGM's
467 intrinsically weaker lubricity remained largely unchanged even in the interaction with BLG.

468

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473

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590

591

592 Table 1.

| | Young's modulus (MPa) | Poisson ratio | Surface roughness (nm) | Static water contact angle (°) |
|------|--------------------------|---------------|------------------------------|--------------------------------------|
| PDMS | 2 | 0.5 | 1.6 ± 0.3 | $105.6 \pm .2$ |
| POM | 3100 | 0.35 | 659 ± 179 | 84.8 ± 2.9 |

593

594

595

596 Table 2.

| Samples | Adsorbed mass (mg/m ²) | | | A, Area per protein molecule (nm ²) | | | D _s , Distance between protein molecule on surface (nm) | | | D _s /D _h | | |
|---------|---------------------------------------|------|------|----------------------------------------------------|-------|--------|--------------------------------------------------------------------------|------|------|--------------------------------|------|------|
| | 3 | 5 | 7.4 | 3 | 5 | 7.4 | 3 | 5 | 7.4 | 3 | 5 | 7.4 |
| pH | | | | | | | | | | | | |
| BSM | 2.03 | 4.54 | 2.11 | 1307.6 | 585.2 | 1258.0 | 40.8 | 27.3 | 40.0 | 0.25 | 0.17 | 0.24 |
| PGM | 2.81 | 2.94 | 3.07 | 737.3 | 706.9 | 674.5 | 30.6 | 30.0 | 17.0 | 0.14 | 0.13 | 0.10 |
| BLG | 0.49 | 1.10 | 0.38 | 62.0 | 27.7 | 79.8 | 62.0 | 27.7 | 79.8 | 1.37 | 0.91 | 1.55 |

597