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Fabricating eco-friendly nanocomposites of SiC with morphologically-different nano-carbonaceous phases

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Abstract

A route based on aqueous colloidal processing followed by liquid-phase assisted spark-plasma-sintering (SPS) is described for fabricating eco-friendly nanocomposites of SiC with nano-carbonaceous phases (nanotubes, nanoplatelets, or nanoparticles). To this end, the conditions optimizing the aqueous colloidal co-dispersion of SiC nanoparticles, $Y_3Al_5O_{12}$ nanoparticles (acting as sintering additives), and carbon nanotubes (CNTs), graphene oxide (GO) nanoplatelets, or carbon black (CB) nanoparticles were first identified. Next, homogeneous powder mixtures were prepared by freeze-drying, and densified by liquid-phase assisted SPS, thus obtaining nanocomposites of SiC with CNTs, reduced GO (rGO) nanoplatelets, or pyrolyzed+graphitized CB (p+gCB) nanoparticles. It is also shown that these nanocomposites are dense and have a high hardness of ~20 GPa regardless of the nano-carbonaceous phase chosen, but are markedly tougher with CNTs and rGO (i.e., with high aspect ratio nano-carbonaceous phases). Finally, arguments

are provided for the appropriate choice of nano-carbonaceous phases for engineering ceramic nanocomposites.

Keywords: SiC; aqueous colloidal processing; spark-plasma sintering; ceramic nanocomposites; nano-carbonaceous reinforcements.

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1. Introduction

There is great interest today in the fabrication of composites based on ceramic matrices with nano-carbonaceous second phases dispersed in the microstructure (i.e., carbon nanotubes (CNTs), nanoplatelets of graphene (GNPs), graphene oxide (GO) or reduced graphene oxide (rGO), graphite nanoparticles (NG), carbon black (CB), etc.) [1-5]. This is because these composites have enhanced mechanical and functional properties relative to conventional ceramics [4,5]. This approach is being applied successfully to liquid-phase-sintered (LPS) SiC, which is a prominent non-oxide engineering ceramic for structural applications at both room and high temperatures [6-20]. Certainly, with respect to mechanical properties, in LPS SiC enhancements have been observed in (i) toughness with NG [21], GNPs [22], rGO [22], and CNTs [23,24], (ii) strength, contact-damage tolerance, and machinability with GNPs [22,25,26] and rGO [22,25], and (iii) wear resistance with NG [21], GNPs [27], and CNTs [23]. Regarding functional properties, in LPS SiC with GNPs, high thermal and electrical anisotropies have been observed [28,29], as well as prominent local transport properties [30]. Electrically functional cellular porous structures of LPS SiC with GNPs have also been fabricated, using robocasting as shaping technique [31]. Cellular hybrid materials of LPS SiC with certain types of aligned CNTs have also been developed

having superhydrophobic and superoleophilic properties [32]. Thus, these examples show that it is possible to “reinforce” and functionalize LPS SiC with nano-carbonaceous second phases.

There are two critical aspects making the fabrication of the composites of ceramics with nano-carbonaceous second phases very problematical. One is to correctly disperse the nano-carbonaceous phases in the ceramic matrix [5] without damaging them, and the other is to prevent their degradation densification at high temperatures [5]. The problem is aggravated in the case of nanocomposites due to the additional difficulty of retaining nanograins during sintering, and becomes especially challenging if the condition of eco-friendly fabrication is imposed. This condition is met, for example, if the powder batches are first prepared by aqueous colloidal processing and next densified by spark-plasma sintering (SPS). The powder preparation stage is thus free from the safety and environmental concerns inherent in alcoholic slurries, and the densification stage is ultra-fast and energy efficient.

Accordingly, motivated by this challenge, we here explore the eco-friendly fabrication by aqueous colloidal processing plus liquid-phase assisted SPS of nanoceramics of SiC with varied-morphology nano-carbonaceous phases (i.e., nanotubes–CNTs, nanoplatelets–rGO, and nanoparticles–pyrolyzed+graphitized CB (p+gCB)), and compare their mechanical properties (hardness and toughness).

2. Experimental procedure

The starting powders, obtained from commercial sources and used in their as-received condition, were: (i) β -SiC with a mean particle size of 45–55 nm, (ii) $Y_3Al_5O_{12}$ (YAG) with a mean particle size of ~ 40 nm, and (iii) multiwall CNTs with lengths of 0.5–2 μ m, thicknesses of 10–20 nm and OH^- functionalization (the three from Nanostructured and Amorphous Materials

Inc., USA), as well as (iv) GO with lengths of 1–4 nm and thicknesses of 0.7–1.2 nm (from Nanoinnova Technologies, Spain), and (v) CB with a mean particle size of ~32 nm (from Calblack N220, H.C. Starck, Germany). Nano-YAG was the sintering additive, and GO was chosen as starting material instead of rGO to facilitate the aqueous colloidal processing given that GO is then expected to transform into rGO during SPS. Three powder batches were prepared from these powders, as described next.

Firstly, dilute suspensions of nano-SiC, of nano-YAG, and of each of the nano-carbonaceous starting materials (i.e., CNTs, GO, or CB) were individually prepared to a solid content of 0.1 g/l in a solution of de-ionized water mixed with ethanol in a volume ratio of 9:1 together with KCl 10^{-2} M as inert electrolyte, and, once equilibrated, their colloidal stability was studied by zeta potential measurements (Zetasizer Nano-ZS, Malvern, UK) as a function of pH and deflocculant content (this latter done, as usual, at natural pH to allow free adsorption of the polyelectrolyte introduced to shift down the isoelectric point). The pH was adjusted in the acidic-basic range using 10^{-1} M HCl or KOH solutions, respectively. Commercially available polyelectrolytes were used as deflocculants, in particular, PKV (Produkt KV5088, Zschimmer-Schwarz, Germany) for nano-SiC and PAA (DuramaxTM D-3005, Rohm & Haas, USA) for nano-YAG and CB. PKV is a synthetic anionic polyelectrolyte with unknown composition that has demonstrated its suitability for the dispersion of non-oxide ceramics (including carbides [24,33-38]), whereas PAA is an ammonium salt of polyacrylic acid that is known to be effective for oxide ceramics and metals [24,33-39]. Neither CNTs nor GO need deflocculants due to their surface functionalization. Secondly, multi-component suspensions with a total solids content of 5 vol.% were formulated with composition of 86.24 vol.% nano-SiC, 6.76 vol.% nano-YAG, and 7 vol.% nano-carbonaceous phase. The suspensions were prepared under continuous mechanical stirring

with helices, using the following protocol of sequential addition. First, the pH of a mixture 9:1 by volume of de-ionized water and ethanol was adjusted to the desired value by dropwise addition of an aqueous solution of 25 wt.% tetramethylammonium hydroxide (TMAH, Aldrich-Chemie, Germany). Next, the desired amount of either CNTs, GO, or CB was added, followed by initial sonication, incorporation of the PAA content required for their appropriate dispersion, and stabilization for 5 min. Then, more PAA was added as needed to disperse the YAG nanoparticles, followed by addition of the nano-YAG powder and intermediate sonication for 1 min. Lastly, PKV was added as required to disperse the SiC nanoparticles, followed by addition of the nano-SiC powder and final sonication for different times to thus investigate its influence on the rheological behaviour of the suspension. The pH was maintained at the desired value throughout the entire process.

The rheological behaviour of the resulting suspensions was evaluated using a rheometer (Mars, Haake, Thermo, Germany) operated in controlled shear rate mode. The measuring system consisted of a double-cone and plate, with cone angle of 2° , provided with a solvent trap to avoid evaporation. The measurement cycle of the flow curves involved a linear stretch of shear rate increase from 0 to 1000 s^{-1} in 300 s, then a plateau at 1000 s^{-1} for 60 s, and lastly a linear decrease to zero shear rate also in 300 s. The thixotropy/rheopexy was determined from the area of the flow curve's hysteresis loop, and the viscosity by direct reading at 1000 s^{-1} in the uploading stretch.

Well-dispersed suspensions of nano-SiC+nano-YAG+CNTs/GO/CB were then frozen within a rotatory evaporator (RV10 basic, IKA, Germany) immersed in a liquid- N_2 bath and subsequently freeze-dried (Cryodos-50, Telstar, Spain) at -50°C and 0.3 mPa, after which the resulting powder mixtures were examined by scanning electron microscopy (SEM; Quanta 3D FEG, FEI, The Netherlands). Next, each of the three powder mixtures was densified by SPS (Dr.

Sinter SPS-2050, Sumitomo Coal Mining Co., Japan), under the following conditions: atmosphere of dynamic vacuum (which is indeed slightly reducing), peak temperature of 1700 °C, heating ramp of 100 °C·min⁻¹, soaking time of 5 min, and uniaxial pressure of 75 MPa. The resulting nanocomposites were characterized using SEM and Raman spectroscopy (Nicolet Almega XR, Thermo Scientific, UK). The Raman spectra of the as-received CNT, GO, and CB powders were also acquired, and compared with those of the corresponding nanocomposites to elucidate possible changes during SPS. The relative density of the nanocomposites was measured using the Archimedes method.

Lastly, the nanocomposites were characterized mechanically by Vickers indentation tests (MV-1, Matsuzawa, Japan) at 98 N load (ten separate indentations on each material) to thus determine their hardness and fracture toughness by standard procedures and formulae [40].

3. Results and discussion

The first step of the routine for the eco-friendly fabrication of these appealing ceramic nanocomposites is to optimize the aqueous colloidal co-dispersion of nano-SiC, nano-YAG, and either CNTs, GO, or CB, in the desired proportions. Fig. 1 shows the evolution of the zeta potential as a function of pH for the individual dilute suspensions of nano-SiC [33-35], nano-YAG [33-35], CNTs [33,34], GO, and CB. It can be seen that nano-SiC and nano-YAG have their isoelectric points at pH ~5.3 and 8.8, respectively. It can also be seen that CNTs and GO have their surface negatively charged in the whole range of pHs investigated, and that their isoelectric point will occur at pH<2. This is because CNTs are intentionally functionalized with hydroxyl surface groups, whereas GO has carboxylic acid, hydroxyl, epoxy, and carbonyl surface groups [41]. Thus, unlike rGO, GO is hydrophilic and therefore preferable as starting material when preparing suspensions, inks, and pastes in aqueous media. Finally, it can also be seen that CB behaves as

nano-SiC does because the nano-SiC surface is enriched with some amorphous carbon [33]. By imposing the co-dispersion condition (i.e., the same sign of surface charge for the three compounds being mixed with zeta potential of the order of $\sim \pm 20$ mV) it is inferred that the nano-SiC+nano-YAG+CNTs/GO/CB suspensions have to be prepared at pH ~ 12 . To avoid these extreme conditions, anionic polyelectrolytes will then be selectively used to shift isoelectric points towards more acidic pHs, so that the zeta potential becomes in all cases sufficiently high at less aggressive pH value (i.e., 10 or lower) to prepare well-dispersed suspensions.

It was observed during the preparation of the individual dilute suspensions that those of CNTs and GO were very stable colloiddally. On the contrary, the CB suspension was hardly dispersible at all, therefore requiring deflocculant to promote its dispersibility. Nano-SiC and nano-YAG have to be deflocculated too, the former because it is the major solid in the powder mixtures and the latter because it exhibits a low absolute value of zeta potential at pH 10 (i.e., only ~ 10 mV). Fig. 2 shows the evolution of the zeta potential as a function of deflocculant content for the individual dilute suspensions of nano-SiC [33,35], nano-YAG [33,35], and CB, at their natural pH. It can be seen that, in principle, appropriate deflocculant contents would be 0.5-1 wt.% PKV for nano-SiC, 0.5-1 wt.% PAA for nano-YAG, and 4 wt.% PAA for CB. However, when preparing concentrated suspensions of ceramic nanopowders it is in practice much more recommendable to add higher deflocculant contents to avoid that the greater interaction between the ceramic nanoparticles induces agglomeration, reason for which nano-SiC and nano-YAG will be deflocculated using 4 wt.% PKV and 2 wt.% PAA, respectively, as had already been done in earlier work [33-35].

With the information obtained from the dilute suspensions, multi-component concentrated suspensions were prepared at pH 10 using the protocol of sequential addition described above, but

with some sonication variations to avoid re-agglomeration of the nano-carbonaceous phase. Continuous sonication was always applied to the suspension with CNTs (non-deflocculated), for 1 min in the initial sonication stage. However, it was observed that the continuous sonication induced re-agglomeration in the suspensions with GO or CB, and, consequently, pulsed sonication (power discharge 0.5 s and pause 0.5 s) was applied instead. The time of the initial sonication stage was 1 min for the suspension with GO (non-deflocculated), but 2 min for the suspension with CB due to its greater proneness to agglomeration. Deflocculation of CB was done after its sonication because otherwise the deflocculant would have stabilized nanoparticle agglomerates rather than primary nanoparticles.

The optimum final sonication time (continuous or pulsed) was determined by rheological studies. In this context, Fig. 3 shows selected flow curves of the three multi-component concentrated suspensions prepared with different final sonication times. It can be seen that the sonication time conditioned the rheological behaviour, as also did the nano-carbonaceous phase despite its being a minor compound in the suspensions. This is quantitatively clear in Fig. 4, which shows the values of the corresponding hysteresis loops and viscosities. Specifically, it can be observed that the suspensions with CNTs or CB behave similarly, first exhibiting an undesirable shear-thickening rheological behaviour with high rheopexy up to a certain final sonication time and then the desirable shear-thinning rheological behaviour with low thixotropy. In addition, the two suspensions have a similar viscosity which decreases with increasing final sonication time. This is because both CNTs and CB eventually act as physical barriers against the aggregation of the ceramic nanoparticles [33,34]. The relevant quantitative differences are that the suspension with CNTs has lower rheopexy for the same final sonication time and exhibits a sooner shear-thickening→shear thinning transition (although with similar thixotropy) than the suspension with

CB. Thus, apparently it seems that it is easier to prepare suspensions of ceramic nanoparticles with functionalized CNTs of high aspect ratio than with deflocculated CB of equiaxed morphology. Nonetheless, this could simply be due to the application of pulsed sonication (CB) instead of continuous sonication (CNTs). The suspension with GO behaves somewhat differently to the suspensions with CNTs or CB. Specifically, it exhibits a shear-thinning rheological behaviour, with rheopexy for all final sonication times applied. Nonetheless, foreseeably the suspension with GO would have been slightly thixotropic, but likely more viscous, if finally sonicated for more than 4 min. Also, the suspension's viscosity increases with increasing final sonication time, which is the trend typically observed in suspensions of non-equiaxed nanoparticles (which is the case of GO). In any case, these rheological measurements suggest that GO acts less satisfactorily than CNTs and CB as physical barrier against the aggregation of the ceramic nanoparticles. Nonetheless, it is important to emphasize that despite the qualitative and/or quantitative differences in rheological behaviour, there are always specific sonication conditions resulting in suspensions with almost no rheopexy or thixotropy. Consequently, it is always possible to prepare concentrated suspensions where the nano-SiC, nano-YAG, and the nano-carbonaceous phase are well enough dispersed, without formation or destruction of structures.

In view of the above results, the suspensions with CNTs, GO, and CB sonicated for 5, 4, and 6 min, respectively, which are those exhibiting the best rheological behaviour of each series, were freeze-dried and the resulting powder mixtures were examined by SEM. Fig. 5 shows representative SEM images of the three powder mixtures so-obtained, which confirm the good dispersion state achieved in all cases with the particular conditions of aqueous colloidal processing used. The most relevant is that the nano-carbonaceous phase is homogeneously distributed

between the ceramic nanoparticles, which is essential for the successful fabrication of these nanocomposites.

The second step of the routine for the eco-friendly fabrication of these appealing ceramic nanocomposites is to consolidate the powder mixtures by liquid-phase assisted SPS up to complete densification minimizing the growth of the SiC nanoparticles. Earlier work on SiC nanoceramics and SiC/CNTs nanocomposites has demonstrated that the SPS conditions optimizing their densification, nanograin size, and mechanical properties are 1700 °C for 5 min under 75 MPa [24]. Smoother SPS conditions resulted in incomplete densification, whereas more stringent SPS conditions led to marked nanograin growth together with CNT degradation and worsening of the hardness and toughness [24]. Consequently, these optimal SPS conditions will also be applied in the present study involving a broader set of varied-morphology nano-carbonaceous phases. Fig. 6 shows representative SEM images of the fracture surface of the three nanocomposites fabricated. It can be seen that they densified completely, and that their microstructure consists of a nano-carbonaceous phase homogeneously distributed among SiC nanograins in turn surrounded by a residual intergranular phase. Thus, as expected, densification occurred by liquid-phase assisted SPS thanks to the nano-YAG sintering additive. Table I lists the densification degrees and the average nanograin size of the three nanocomposites. It can be observed that the nanocomposites with CNTs, rGO (because GO reduced during SPS), and p+gCB (because CB pyrolyzed and graphited during SPS) attained complete densification (i.e., > 99%). In addition, the average size of the SiC nanograins is very similar in the three cases, well below 100 nm. Comparatively, the average nanograin size is smaller (by ~23-30%) in the nanocomposites than in the reference nanoceramic without nano-carbonaceous phases [24]. This is because the nano-carbonaceous phases are located at nanograin boundaries thus hindering the stages of solution, diffusion, and

reprecipitation during the liquid-phase assisted SPS, which consequently results in an inhibition of the nanograin growth.

Fig. 7 shows the Raman spectra of the as-received nano-carbonaceous powders and of the three nanoceramics fabricated by SPS. It can be seen that the as-received CNTs exhibit the typical Raman bands (i.e., the D, G, and 2D bands) expected. However, it is clear that CNTs have gained structural quality after SPS because the intensity ratios of the D to G bands and of the 2D to D bands registered in the nanocomposite decreased and increased, respectively, relative to the as-received CNT powder [24]. The as-received GO powder also exhibits the sequence of Raman bands expected. However, in the Raman spectrum of the corresponding nanocomposite some of the high-wavelength bands disappeared, indicating that GO reduced in situ during SPS. Consequently, this is basically a SiC/rGO nanocomposite. The observations mentioned for GO are somewhat extendable to CB, which pyrolyzed and graphitized in situ during SPS resulting in a SiC/p+gCB nanocomposite. It can thus be concluded that it is feasible to use liquid-phase assisted SPS to fabricate nanocomposites of SiC with CNTs, rGO, and p+gCB.

Table I also lists the values of hardness and fracture toughness of the nanocomposites fabricated. They have hardnesses as great as ~20 GPa, being only slightly softer (by ~8%) than the reference nanoceramic. The hardness of the nanocomposites is thus essentially dictated by the hard SiC nanograins, with a minor contribution from the nano-carbonaceous phase. It can also be observed in Table I that the nanocomposites with nano-carbonaceous phases of high aspect ratio (i.e., CNTs and rGO) are tougher (by ~30-40%) than the other nanocomposite (with p+gCB) and the reference nanoceramic, which is due to the more hindered crack propagation. Importantly, in terms of mechanical properties, there seem to be no reasons to prefer CNTs over rGO to reinforce nanoceramics, but the lower viscosity of the colloidal suspensions with CNTs would justify their

preferential choice. The viscosity considerations will be more important with increasing total solid loading in the colloidal suspensions, and critical if pieces are to be shaped from these suspensions by wet-shaping techniques. It can also be seen that the equiaxed nano-carbonaceous phase (i.e., p+gCB) is ineffective (~9% or less) in toughening the SiC nanoceramics. The nanocomposites with p+gCB may have, however, appeal for tribological applications requiring resistance to severe wear (as demonstrated for composites with graphitized nano-diamond [21]), but less than the other nanocomposites. Given that its aqueous colloidal processing is also more tedious, unless cost is a critical concern it is thus not advisable to choose p+gCB (and most likely other alternative equiaxed nanoparticles as well) as nano-carbonaceous phases over CNTs or rGO.

4. Conclusions

A route based on aqueous colloidal processing plus liquid-phase assisted SPS has been used for fabricating eco-friendly nanocomposites of SiC with different nano-carbonaceous phases (nanotubes, nanoplatelets, or nanoparticles). Based on the experimental results and analyses, the following conclusions can be drawn:

1. It is possible to prepare well-dispersed concentrated suspensions of nano-SiC+nano-YAG+CNTs/GO/CB with appropriate rheological behaviour (i.e., little thixotropy or rheopexy, and adequate viscosity) by aqueous colloidal processing. The nano-carbonaceous phase affects notably the rheological behaviour of these suspensions, with CNTs and GO not requiring deflocculants and with GO and CB requiring smoother sonication conditions (i.e., pulsed sonication instead of continuous sonication). Advisable conditions for the preparation of all these suspensions are high pHs (i.e., ~10), moderate deflocculant contents for both nano-SiC (i.e., ~4 wt.%) and nano-YAG (i.e., ~2 wt.%), and short or medium final sonication times (i.e., ~4-6 min).

2. Freeze-drying of the colloidally-optimal suspensions provides homogeneous powder mixtures of nano-SiC+nano-YAG+CNTs/GO/CB, with a uniform distribution of the nano-carbonaceous phase among the ceramic nanoparticles.
3. It is feasible to fabricate fully-dense nanocomposites of SiC with CNTs/rGO/p+gCB by liquid-phase assisted SPS from the freeze-dried powders. Their microstructure consists of a nano-carbonaceous phase homogeneously distributed among SiC nanograins (well below 100 nm), in turn surrounded by a residual intergranular phase. During SPS, CNTs improve their crystallinity, GO reduces, and CB pyrolyzes and graphitizes. The resulting SiC nanoceramics are very hard (hardnesses as great as ~20 GPa), and are much tougher if the nano-carbonaceous phase has a high aspect ratio (i.e., CNTs and rGO).
4. It is more advisable to fabricate nanocomposites of SiC with CNTs or rGO than with p+gCB because their aqueous colloidal processing is simpler and their mechanical properties are superior. In addition, choosing CNTs as nano-carbonaceous phase is the most recommendable because their colloidal suspensions are the least viscous, and hence the powder mixtures have less risk of undergoing agglomeration.

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Table I. Microstructural features and mechanical properties of the nanocomposite of SiC with CNTs, rGO, or p+gCB, and also of the reference SiC nanoceramic [24]. Note that GO reduced, and CB pyrolyzed and graphitized, in situ during SPS.

Reinforcement	Microstructural features		Mechanical properties	
	Densification	Grain size	Hardness	Fracture toughness
	(%)	(nm)	(GPa)	(MPa·m ^{1/2})
CNTs	100	65±28	19.9±0.6	3.2±0.3
rGO	99	67±23	19.9±0.9	3.3±0.3
p+gCB	99	63±19	20.5±1.8	2.5±0.4
none	100	87±26	21.7±0.7	2.3±0.3

42.

Figure Captions

Figure 1. Dependence on pH of the zeta potential for the individual dilute suspensions of nano-SiC [33-35], nano-YAG [33-35], CNTs [33,34], GO, and CB. Lines are to guide the eye.

Figure 2. Dependence on deflocculant content (PKV for nano-SiC and PAA for nano-YAG, and CB) of the zeta potential for the individual dilute suspensions of nano-SiC [33,35], nano-YAG [33,35], and CB at their natural pH. Lines are to guide the eye.

Figure 3. Selected flow curves of the concentrated suspensions of nano-SiC+nano-YAG with (A) CNTs [33,34], (B) GO, and (C) CB subjected to different sonication times. Sonication times below 2 min were unsuitable for the nano-SiC+nano-YAG+CNTs suspension. The arrows indicate the uploading and downloading stretches of the flow curves.

Figure 4. Dependence on sonication time of the (A) hysteresis loop and (B) viscosity for the concentrated suspensions of nano-SiC+nano-YAG+CNTs/GO/CB. Lines are to guide the eye. In (A), the regions of rheopexy and thixotropy are distinguished.

Figure 5. Representative SEM micrographs of the powder mixtures obtained by freeze-drying the rheologically-optimal concentrated suspensions of nano-SiC+nano-YAG with (A) CNTs, (B) GO, and (C) CB. Arrows/circles mark nano-carbonaceous phase (confirmed by SEM observations with back-scattered electrons).

Figure 6. Representative SEM micrograph of the microstructure (fracture surface of the broken specimen) of the nanocomposite of SiC with (A) CNTs, (B) rGO, and (C) p+gCB. Note that GO reduced, and CB pyrolyzed and graphitized, in situ during SPS. The inset shows a magnified region.

Figure 7. Raman spectra (A) of the as-received CNTs, GO, and CB powders and (B) of the nanocomposites of SiC with CNTs, rGO, or p+gCB. Note that GO reduced, and CB pyrolyzed and graphitized, in situ during SPS. D, G and 2D bands are indicated.

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Figure 7