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New Basis Set for the Evaluation of Specific Rotation in Flexible Biological Molecules in Solution

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ABSTRACT: A detailed theoretical investigation of specific rotation is carried out in solution for nine flexible molecules of biological importance. Systematic search for the main conformers is followed by time-dependent density functional theory (TD-DFT) calculations of specific rotation employing a wide range of basis sets. Due to conformational flexibility of the compounds under study, the possibility of basis set size reduction without deterioration of the results is investigated. The increasing size (d-)aug-cc-pVXZ (X = D, T, Q) bases of Dunning et al., and the ORP basis set, recently developed to efficiently provide molecular specific rotation, are used for this purpose. The polarizable continuum model is employed at all steps of the investigation. Comparison of the present results with the available data obtained in a vacuum reveals considerable differences, the values in solution being much closer to the experimental specific rotation data available. The ORP basis set proves to be competitive with the d-aug-cc-pVDZ set of Dunning in specific rotation calculations carried out in solution. While having the same number of functions, the former yields, in general, results considerably closer to the reference triple- ζ values. We can thus recommend the ORP basis set to study the optical rotation in conformationally flexible molecules in solution.

1. INTRODUCTION

The determination of the absolute configuration of chiral compounds is a crucial step in fields like asymmetric synthesis or drug design. Considering the difficulties experimental techniques, like X-ray crystallography or chemical correlation, have in the determination of the absolute configuration, the theoretical approach appears to be a very promising tool. Nevertheless, despite the fast progress in computing tools, accurate theoretical evaluation of optical rotation in flexible molecules still remains a challenge. In addition to the use of an appropriate electron correlation treatment, a thorough search of the conformational space is mandatory to start with. When the proper strategy is followed, data comparable to experimental results can be obtained in a much faster and efficient way. In order to carry out accurate optical rotation studies, the selection of an adequate correlation method and basis set is needed; to be able to compare the theoretical results to experimental values in solution, the evaluation of the property in solution is crucial.

Considering the correlation method, and since the majority of the chiral molecules that are usually found in living organisms are large in size, the use of the majority of the post-Hartree–Fock methods available in the most commonly used computational chemistry programs is prohibitive and using the time-dependent density functional theory (TD-DFT) becomes mandatory. Bearing in mind that shortcomings in TD-

DFT inevitably lead to inaccuracies in the results, employing basis sets that in an efficient way can cancel the correlation method deficiencies is essential, making the selection of the basis set a very important step in these studies. However, the above-mentioned large size of the molecules of interest prevents the comprehensive basis set studies of optical rotation, as the use of large and flexible bases, containing polarization and diffuse functions, soon becomes prohibitive. Thus, in practice, optical rotation calculations are usually performed with medium-size basis sets, with the correlation consistent aug-cc-pVDZ basis set of Dunning and co-workers^{1–3} (the n-aug-cc-pVXZ basis sets are denoted nVXZ throughout) being a commonly employed example. Nevertheless, recently it has been shown that the aVDZ set may be insufficient for accurate optical rotation evaluation and, in particular, that the values of methyloxirane and fluoro-oxirane specific rotation results obtained using the aVDZ set are substantially different from those obtained in larger basis sets.^{4,5}

The theoretical calculation of specific rotation values needs the evaluation of the trace of the mixed electric dipole–magnetic dipole polarizability tensor. Thus, the use of electric property-oriented basis sets appears to be a natural solution to

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Figure 1. Molecules under investigation.

the problem of large basis set requirements and the resulting high cost accurate optical rotation calculations. Giorgio and co-workers have shown that the use of the Pol basis set can substantially improve (*S*)-methyloxirane specific rotation values with respect to the results obtained in the traditionally used aVDZ basis set.⁶ The Pol basis set employed in that work yielded values close to those obtained in the larger aVTZ and aVQZ bases. Additionally, the LPol-*n* basis sets can be recommended for optical rotation calculations, as they yielded specific rotation values of the model asymmetric methane molecule, methyloxirane, and fluoro-oxirane closer to the basis set limit than the larger size basis sets of Dunning and, thus, allowed reduction of the computational cost without deterioration of the results.⁵ Unfortunately, the B3LYP/LPol-*n* results presented in ref 5 for β -pinene and *trans*-pinane were not confirmed in further studies.^{7–9}

Despite the promising performance of the LPol-*n* sets in specific rotation investigations, a shortcoming was found; namely, numerical linear dependence of orbitals is encountered in the case of larger molecules, and as a result, a substantial number of basis functions is removed from the total basis set.^{8,9} The basis set size is thus decreased in a not fully controlled manner and may worsen the quality of the investigated system description. The problem of near-linear dependence of orbitals results from the method of generation of the LPol-*n* polarization functions, which have the same orbital exponent values as the p-type functions (s-type functions in the case of hydrogen) already present in the set. To reduce the problem of basis function near-linear dependence, we have recently developed a new compact polarized basis set for specific rotation calculations in large molecules within the TD-DFT approximation.⁹ This new set, referred to as ORP (acronym for optical rotation prediction), was generated for hydrogen, carbon, nitrogen, oxygen, and fluorine through addition of three uncontracted first-order polarization functions to the uncontracted VTZ basis set of Ahlrichs and co-workers¹⁰ and augmentation with one diffuse s-type and one diffuse p-type functions (one diffuse s-type function in the case of hydrogen) to additionally improve the description of a nucleus' distant

regions. It has been employed in TD-DFT/B3LYP tests carried out in a vacuum for a set of conformationally rigid molecules. Specific rotation values obtained using the ORP basis set were in very good agreement with the reference data. Among Dunning's sets, the use of bases of at least the daVTZ level was necessary to obtain results of similar quality. The ORP results were very close to those obtained in the larger size LPol-*n* basis sets, while the ORP basis set is much more robust to near-linear dependence than the LPol-*n* sets. The ORP basis set was shown to be competitive also with respect to other small and medium-size basis sets.

Using TD-DFT and having as an aim the selection of a proper basis set to evaluate optical rotation in flexible biological molecules, recently we evaluated the specific rotation in nine molecules in a vacuum, namely, (*R*)-asparagine (molecule 1), (*S*)-amphetamine (molecule 2), (–)-morphine (molecule 3), (2*S*,3*S*)-(–)-2-methyl-5-oxo-tetrahydro-3-furancarboxylic acid (molecule 4), methyl (2*S*,3*S*)-(–)-2-methyl-5-oxo-tetrahydro-3-furancarboxylate (molecule 5), (*S*)-naproxen (molecule 6), (*R*)-1-azido-1-ethanol (molecule 7), (*R*)-1-azido-1-propanol (molecule 8), and (*R*)-1-azido-1-butanol (molecule 9).¹¹ See Figure 1. For this we used the B3LYP functional and the ORP and Dunning augmented correlation consistent polarized valence basis sets.

We concluded that the ORP values were in good agreement with the aVTZ and aVDZ results and showed that the ORP basis set was a good choice to carry out optical rotation calculations in conformationally flexible molecules. These specific rotations in the above chiral azido alcohols were evaluated for the first time. In order to compare to the experimental values, solvent effects need to be taken into account, since they are expected to play a main role not only in the conformer analysis but also in the specific rotation of these flexible molecules. As an example, in ref 12 the optical rotation of molecules 4 and 5 has been evaluated both in a vacuum and in solution, and differences up to 24% and 36% were obtained between both sets of results, for 4 and 5, respectively. Therefore, we decided to extend our previous study, where we only considered as reference vacuum values obtained at the

Table 1. DFT(B3LYP)/6-31G** Relative Energies ΔE (kcal/mol) and Conformer Populations X (%)^a

conformer	ΔE	X	conformer	ΔE	X	conformer	ΔE	X
	1			4		8C	0.45	14.11
1A	0.00	48.48	4A	0.00	42.97	8D	0.64	10.26
1B	0.56	18.80	4B	0.12	34.97	8E	1.06	5.08
1C	0.92	10.19	4C	0.67	13.86	8F	1.34	3.15
1D	1.31	5.31	4D	1.36	4.36	8G	1.38	2.94
1E	1.37	4.81	4E	1.43	3.84	8H	1.48	2.46
1F	1.41	4.51		5		8I	1.63	1.94
1G	1.76	2.48	5A	0.00	46.47	8J	1.73	1.61
1H	1.95	1.81	5B	0.25	30.71		9	
1I	2.14	1.31	5C	0.73	13.63	9A	0.00	23.13
1J	2.40	0.84	5D	1.35	4.73	9B	0.07	20.62
1K	2.41	0.83	5E	1.39	4.46	9C	0.43	11.22
1L	2.59	0.61		6		9D	0.66	7.63
	2		6A	0.00	42.05	9E	0.71	7.02
2A	0.00	29.16	6B	0.22	29.14	9F	0.82	5.80
2B	0.23	19.75	6C	0.91	9.02	9G	0.96	4.56
2C	0.42	14.25	6D	1.18	5.70	9H	1.18	3.14
2D	0.68	9.19	6E	1.28	4.87	9I	1.22	2.94
2E	0.75	8.25	6F	1.42	3.82	9J	1.31	2.53
2F	0.83	7.16	6G	1.52	3.21	9K	1.41	2.14
2G	0.98	5.61	6H	2.19	1.04	9L	1.43	2.06
2H	1.00	5.41	6I	2.51	0.61	9M	1.69	1.33
2I	1.88	1.22	6J	2.57	0.55	9N	1.74	1.22
	3			7		9O	1.78	1.16
3A	0.00	62.74	7A	0.00	56.87	9P	1.92	0.90
3B	0.38	32.82	7B	0.28	35.31	9Q	1.93	0.89
3C	2.04	2.02	7C	1.18	7.82	9R	2.09	0.68
3D	2.27	1.36		8		9S	2.25	0.51
3E	2.42	1.06	8A	0.00	30.16	9T	2.26	0.51
			8B	0.04	28.29			

^aSee text for details.

daVTZ level, to the evaluation of the property in appropriate solvents, so that we are able to further improve our results and, when possible, compare them to experiment. Additionally, we investigate the possibility of basis set size reduction without deterioration of the specific rotation results. Such reduction is particularly important in the case of the large conformational flexibility of the compounds under study here, as the property computation has to be carried out for several stable conformers.

The manuscript is organized as follows: In section 2 we give the computational details, in section 3 we present and discuss the results, and in the last section we summarize and conclude.

2. COMPUTATIONAL DETAILS

The optical rotation $[\alpha]^\lambda$ of a conformationally flexible molecule *A* at a given wavelength λ is given by¹²

$$[\alpha]^\lambda = \frac{ee(\epsilon_A)}{100} \sum_{i=1}^N X_i [\alpha]_i^\lambda \quad (1)$$

where $ee(\epsilon_A)$ is the enantiomeric excess of enantiomer *A* in the mixture of enantiomers, $[\alpha]_i^\lambda$ is the optical rotation of the i^{th} conformer, and X_i is its fractional population defined as¹²

$$X_i = \frac{\exp(-\Delta E_i/kT)}{\sum_{j=1}^N \exp(-\Delta E_j/kT)} \quad (2)$$

Symbol ΔE_i denotes the relative energy of conformer *i*, k is the Boltzmann constant, and T is the temperature. Summations in eqs 1 and 2 run over all *N* stable conformers of enantiomer *A*.

The specific rotation is calculated at a wavelength $\lambda = 589.3$ nm within the TD-DFT method using the B3LYP functional. This wavelength is selected because of being the most common at which OR is measured experimentally (sodium D-line), and the B3LYP functional after considering previous results in the literature.^{13–17} Calculations are carried out using Gauge invariant atomic orbitals (GIAOs)¹⁸ to get gauge-origin-independent results.^{19,20}

Solvent effects are considered both in the geometry optimizations and in the property calculations. For this we use the polarizable continuum model (PCM).^{21–23} Depending on the system, the solvents are water (for molecule 1), methanol (for molecules 2–6), and trichloromethane (for molecules 7–9). Their dielectric constant values are equal to 78.3553, 32.630, and 4.7113, respectively. In all cases, the cavity size radii are defined according to the united atom topological model.

Reference theoretical results are obtained with the basis sets of Dunning and co-workers. Due to the large size and the conformational flexibility of the molecules under investigation, the use of very large basis sets is not possible, and therefore, we limit our study to the aVDZ, aVTZ, daVDZ, and the daVTZ bases; and in the case of molecules 3 and 6 to the aVDZ, and daVDZ sets. For molecules 1 and 7 aVQZ specific rotation is also evaluated. Additionally, for all systems, TD-DFT(B3LYP)

properties are evaluated with the ORP basis set. In Table 2 the bases we used and the corresponding number of basis set functions are reported.

To obtain the conformers that contribute to the property, we followed the hybrid method described in detail in ref 11, but here we additionally considered the solvent in the DFT conformer energy calculations. Moreover, on the basis of the results of tests carried out for molecules 4 and 5, and aiming at the best agreement with available experimental values, the 6-31G** basis set was chosen for optimization purposes. In this way, we get 12 stable conformers for system 1, nine for system 2, five for 3, 4, and 5, ten for systems 6 and 8, three for system 7, and 20 for system 9. For all molecules vibrational frequency calculations are carried out to confirm that the optimized structures correspond to real minima on the potential energy surface.

All optical rotation results are given in $10^{-1} \text{ deg cm}^2 \text{ g}^{-1}$ referred to as OR-units throughout.

Calculations are performed using the GAUSSIAN 09 package.²⁴

3. RESULTS AND DISCUSSION

The results are reported in Tables 1 and 2. Table 1 lists the main conformers obtained through DFT(B3LYP)/6-31G** level geometry optimizations. Both relative energies and conformer populations are reported. On the course of this study we reinvestigated vacuum conformers of molecule 1, finding an additional conformer with a population value equal to 3.8%. This led to a proportional decrease in populations of

the remaining five conformers with respect to the values reported in ref 11. Total specific rotation values evaluated in different basis sets including the new conformer are approximately 1.2–1.5 OR units lower than those previously reported and are given in Table 2.

We can observe considerable differences due to solute–solvent interactions, not only in the relative energy values but also in the conformers that have been found to be significant. For molecules 1, 3, 6, and 9 the number of conformers increases with respect to the vacuum case, in 6, 2, 2, and 1 conformers, respectively, and only for molecules 7 and 8 is one less conformer located in solution.

For 1 in a vacuum the most stable conformer clearly dominated over the others with a population of 82.9 out of 100; now the situation is different, with two conformers having a considerably large population (48 and 19%). A similar situation occurs in the case of molecule 3. For 2 in solution we have three conformers with populations larger than 10% (instead of 2 in a vacuum), and the same happens for molecule 4. Populations obtained for 5, 6, and 8 are close to those we obtained in a vacuum. For molecules 7 and 9 the changes in conformer populations are larger; however, still the two lowest energy conformers are those that most contribute to the properties.

To get a more systematic insight into the influence of the solvent on the molecular geometries, we have carried out a systematic identification of similarities between the vacuum and the solvent structures. We define the distance between two conformer geometries c_1 , c_2 as follows. Setting the mass of all atoms to 1, we place c_1 and c_2 in the same coordinate system such that the center of mass is at the origin and such that the x -axis corresponds to the principal axis of the largest principal moment of inertia, the y -axis to the next principal axis, and the z -axis to the principal axis of the smallest principal moment of inertia. Then for each atom in c_1 we compute the distance to the closest atom in c_2 , and vice versa for c_2 , and we let $D(c_1, c_2)$ denote the largest of these distances. Since there are four ways to place c_2 such that the principal axes coincide with the x -, y -, and z -axis as described, we let $d(c_1, c_2)$ be the minimum of the four numbers $D(c_1, c_2)$. This gives us a metric on the set of conformers with distinct principal moments of inertia that is quick and convenient to compute. In this way, for each of the nine investigated molecules, the geometrical parameters of each conformer obtained in a vacuum were compared to those of all the conformers found in solution, and through an analysis of the distances between the atoms, the closest structure was obtained.

The largest distances (and therefore differences) between the atoms of the conformers in a vacuum and in solution are obtained for molecule 1, for which calculations have been carried out in water, the solvent with the highest polarity among those used in the present investigation, and therefore that with a largest influence on conformer structure. For DMSO, which is less polar than water and methanol, the distances between the atoms in the closest conformers in a vacuum and in solution are much shorter (on average, 0.14, 0.22, and 0.25 Å for molecules 7, 8, and 9, respectively). Taking into account the conformers with the largest populations in a vacuum and in DMSO, we can see a change in the conformer stabilities, but the conformer structures remain similar. This suggests that accounting for the presence of the solvent rather leads to some relative energy change for a given conformer than to large changes in the geometrical parameters. In particular, in

Table 2. PCM B3LYP Specific Rotation in OR Units^a

molecule	basis set					ORP
	aVDZ	aVTZ	aVQZ	daVDZ	daVTZ	
1	−65.3	−58.0	−54.2	−69.2	−57.9	−42.2
vacuum	60.9	65.6	65.9	62.5	66.5	76.5
<i>n</i>	279	598	1088	392	814	392
2	22.8	26.0		21.7		27.3
vacuum ¹¹	25.1	26.4		24.4	27.4	28.3
<i>n</i>	347	759		489	1036	489
3	−146.7			−146.8		−143.9
vacuum ¹¹	−112.0			−111.7		−109.5
<i>n</i>	654			919		919
4	−113.0	−113.5		−116.8	−113.4	−114.1
vacuum ¹¹	−82.2	−82.4		−86.0	−81.9	−82.2
<i>n</i>	302	644		424	876	424
5	−89.7	−89.5		−94.7	−89.9	−90.8
vacuum ¹¹	−56.9	−56.3		−61.4	−56.7	−56.6
<i>n</i>	343	736		482	1002	482
6	146.7			141.7		151.7
vacuum ¹¹	113.4			106.1		112.3
<i>n</i>	517			726		726
7	−125.2	−139.4	−138.9	−123.4	−139.9	−141.6
vacuum ¹¹	−101.2	−117.6	−117.4	−101.1	−117.6	−121.1
<i>n</i>	183	391	710	257	532	257
8	−187.6	−193.2		−183.6	−193.4	−200.2
vacuum ¹¹	−144.5	−152.7		−141.7	−152.6	−159.3
<i>n</i>	224	483		315	658	315
9	−164.5	−168.1		−160.6	−168.2	−178.0
vacuum ¹¹	−127.2	−132.2		−124.5	−132.4	−140.8
<i>n</i>	265	575		373	784	373

^aVacuum values from ref 11 are also reported. *n* is the number of basis set functions and $\lambda = 589.3 \text{ nm}$. See text for further details.

Figure 2. Visualization of distances between conformers. The blue points correspond to conformers in a vacuum whereas the red points correspond to conformers in solution.

molecule 7 the conformers remain almost the same as in a vacuum, and in 8 and 9 conformers 1 and 2 are interchanged in the energy rank, being the most stable conformer in DMSO the second most stable in a vacuum. Finally, in methanol, whose polarity is between those of the other two investigated solvents, the calculated distances between the closest atoms in the conformers in a vacuum and in solution are small (between 0.09 and 0.24 Å on average). The stabilities of the two main conformers in solution either are interchanged with respect to those in a vacuum (molecules 2, 4, and 5) or have the same sequence (molecules 3 and 6). The influence of the solvent thus appears similar to that in the case of DMSO.

To visualize the found conformers, we think of each conformer as a point and look for an embedding $z_i = (x_i, y_i)$ of the points c_i into the plane, so that the Euclidean distance $\|z_i - z_j\|$ best resembles $d(c_i, c_j)$. This allows us to compare distances between conformers in a vacuum and a solvent at a glance. In practice, we employed a local minimum search to minimize

$$\sum_{i < j} \left(\frac{\|z_i - z_j\|}{d(c_i, c_j)} - \frac{d(c_i, c_j)}{\|z_i - z_j\|} \right)^2$$

using the positions obtained by classical multidimensional scaling as a starting guess. This yielded the embeddings illustrated in Figure 2.

In Table 2 we compare the B3LYP results we obtained for the specific rotation in solution, using the selected series of bases, to those available in a vacuum.¹¹ In all the cases, but for molecule 2, the results in solution are considerably different from those in a vacuum. For 2 we can see that at both the aVTZ and the ORP levels the specific rotation is about the same without and with the presence of the solvent. The ORP basis set (489 functions) gives slightly larger specific rotation than the aVTZ basis set (759 functions), but since this is also the case for the daVTZ basis set (1036 functions), we can conclude that the ORP basis set, with a considerable lower number of functions, seems to be more efficient than the aVTZ in the property evaluation.

For molecule 1 a large change, both in magnitude and in sign, is observed when the solvent is considered. It is the only system where a sign change in the property is obtained. Here the aVQZ (1088 functions) result is close to the daVTZ (814 functions), and the ORP basis set (392 functions) seems to underestimate the absolute value of the property.

Molecule 3 is the largest we studied, and therefore, we could only evaluate the specific rotation at the aVDZ, daVDZ, and ORP levels. The rotations are quite close in the three cases, negative and smaller with respect to the vacuum values. A

similar situation occurs for system **6**, but here the specific rotations are positive and larger than vacuum values. Additionally, in this case, we observe a larger difference between the aVDZ and the daVDZ results, being the former (517 functions) closer to the ORP (726 functions) than the latter (726 functions).

As far as we know, the only experimental data available are for molecules **4** and **5**. Values of -106.7 and -82.8 OR units were reported in ref **12**. For both molecules the results are similar in all bases but the daVDZ, which seem to underestimate the rotation. This was also the case in a vacuum. Considering this, already at the aVDZ level the results look reasonable, and the number of basis functions is much smaller (302 and 343, for **4** and **5**, respectively) than in the other cases. In comparison with the experimental values, the rotations are much closer to experiment when the solvent is considered in the calculations, in agreement with the earlier study in ref **12** and the theoretical results slightly underestimate the experimental values (by around 6% and 8%, for **4** and **5**, respectively, at the daVTZ level).

For molecule **7**, as in the case of molecule **1**, calculations in the aVQZ basis set (710 functions) were feasible, and therefore, we can take these results as reference. All Dunning's bases but the aVDZ and the daVDZ give similar results, and therefore, we can consider these rotations to be converged with respect to basis set improvement. The ORP basis set slightly overestimates the magnitude of the property, but it is much smaller than the triple and quadruple- ζ bases. Additionally, it gives considerably better results than the daVDZ, having the same number of functions.

Considering molecules **8** and **9**, the daVTZ basis set was the largest employed in our calculations and these results are taken as reference. Bearing in mind that in all cases the differences between the daVTZ and the aVTZ results are negligible, showing that at the triple- ζ level and above double augmentation of the basis set is not necessary, we can also take the aVTZ values as reference. Noting that, in going to the aVQZ level (molecules **1** and **7**), the specific rotation increases, we can conclude that the ORP basis set again slightly overestimates the magnitude of the rotation.

4. SUMMARY AND CONCLUSIONS

We study the specific rotation in the nine conformationally flexible molecules shown in Figure 1 in solution. For this, we use the TD-DFT method with the B3LYP functional, the aVXZ ($X = D, T, Q$) basis sets by Dunning et al., and the recently developed ORP basis set. The PCM model is employed to account for solvent effects.

Interaction with the solvent is shown to considerably change the magnitude of the specific rotations. For the two cases where experimental specific rotations are available, much better agreement is obtained when the solvent is included in the calculations.

Considering the convergence of the results obtained in Dunning's basis sets, double augmentation at the triple- ζ level is not needed for the investigated systems. The ORP results agree reasonably well with the aVTZ and the aVQZ values, showing that the ORP basis set is a good choice for carrying out TD-DFT optical rotation calculations in conformationally flexible molecules, since it has the same size as the daVDZ basis set. Taking the results obtained in the (d)aVTZ or the aVQZ bases as reference, the ORP set competes with the larger bases in the case of molecules **2**, **4**, **5**, **7**, and **8**, although it does

not for molecules **1** and **9**. Yielding, in general, results closer to the basis set limit than the d-aug-cc-pVDZ set at no additional cost, the ORP set can be recommended to carry out optical rotation calculations for conformationally flexible molecules in solution.

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Notes

The authors declare no competing financial interest.

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