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Published in:
Ocean Engineering

Link to article, DOI:
<https://doi.org/10.1016/j.oceaneng.2018.12.055>

Publication date:
2019

Document Version
Peer reviewed version

[Link back to DTU Orbit](#)

Citation (APA):
Sui, T., Zhang, C., Jeng, D., Guo, Y., Zheng, J., Zhang, W., & Shi, J. (2019). Wave-induced seabed residual response and liquefaction around a mono-pile foundation with various embedded depth. *Ocean Engineering*, 173, 157-173. <https://doi.org/10.1016/j.oceaneng.2018.12.055>

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1 **Wave-induced seabed residual response and liquefaction around a mono-pile**
2 **foundation with various embedded depth**

3

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19 **Abstract:** Wave-induced seabed instability caused by the residual liquefaction of
20 seabed may threaten the safety of an offshore foundation. Most previous studies have
21 focused on the structure that sits on the seabed surface (e.g., breakwater and pipeline),
22 a few studies investigate the structure embedded into the seabed (e.g. a mono-pile). In
23 this study, by considering the inertial terms of pore fluid and soil skeleton, a three-
24 dimensional (3D) integrated model for the wave-induced seabed residual response
25 around a mono-pile is developed. The model is validated with five experimental tests
26 available in the literature. The proposed model is then applied to investigate the spatial
27 and temporal pattern of pore pressure accumulation as well as the 3D liquefaction zone
28 around a mono-pile. The numerical simulation shows that the residual pore pressure in
29 front of a pile is larger than that at the rear, and the seabed residual response would be
30 underestimated if the inertial terms of pore fluid and soil skeleton are neglected. The
31 result also shows that the maximum residual liquefaction depth will increase with the
32 increase of the embedded depth of the pile.

33 **Keywords:** Wave loading; seabed residual response; inertial terms; pile foundation;
34 embedded depth; liquefaction.

35 **1. Introduction**

36 Offshore marine structures are normally subjected to complex dynamic environmental
37 loadings during their service lifetime (Sumer, 2014). Their operational safety affected
38 by wave, current, tidal and seabed instability attracts the continuous attention of
39 offshore engineers and researchers (e.g., Mattioli et al., 2012; Fuhrman et al., 2014;
40 Zheng et al., 2014; Liang et al., 2015; Zhang et al. 2017; Zhang W. et al. 2018). A mono-
41 pile has been widely used as the foundation of offshore wind power system, which
42 occupies approximately 80% of the commonly-used structural types (e.g., gravity,
43 tripods, and jacket) in the European market (EWEA, 2016). Regarding the failure of
44 marine structure in an extreme hydrodynamic environment, it is believed that this may
45 be due to the wave-induced seabed instability around foundations, rather than the
46 construction deficiencies caused by wave impaction (Smith and Gordon, 1983). When
47 a wave propagates over a seafloor, an excessive pore pressure within the seabed would
48 be generated, particularly under a poor drainage condition of soil. If the excess pore
49 pressure becomes greater than the overburden pressure, the resistance of soil skeleton
50 will be fully lost owing to soil liquefaction, which threatens the stability of structures.
51 This implies an accurate evaluation of the wave-induced seabed response is important
52 in design of an offshore structural foundation.

53 Extensive studies have been conducted to investigate the wave-induced seabed
54 response owing to its practical importance since the 1970s (Yamamoto et al., 1978).
55 Based on the experiments and field observations (Zen and Yamazaki, 1990), two
56 mechanisms for the wave-induced pore pressure variation can be identified, namely, an

57 oscillatory mechanism and a residual mechanism. An oscillatory mechanism is usually
58 found in the unsaturated sandy seabed with good drainage conditions, where a sharp
59 upwardly directed pressure gradient may lead to the momentary seabed liquefaction
60 (Madsen, 1978; Alcérreca-Huerta and Oumeraci, 2016; Zhou et al., 2018). In contrast,
61 a residual mechanism can be observed in a silt seabed with low permeability. For the
62 residual mechanism, the corresponding compaction of soil skeleton leads to an
63 accumulated pore pressure, which promotes the seabed residual liquefaction. As
64 reported in Jeng and Seymour (2007), residual mechanism will dominate the process of
65 seabed liquefaction for poorly-drainage conditions. Note that, this study would focus
66 on the wave-induced residual liquefaction of a seabed around a mono-pile.

67 Among the previous works for wave-induced residual liquefaction of seabed, Seed
68 and Rahman (1978) may have been the first to investigate the pore pressure
69 accumulation under wave loading. In their study, the cyclic shear stress was taken into
70 consideration using a non-linear source term for pore pressure accumulation. Using the
71 approximate linear-relation of the source term, McDougal et al. (1989) proposed
72 analytical solutions for the pore pressure accumulation for a shallow, medium, and deep
73 seabed. The above solution was re-examined by Cheng et al. (2001) and some mistakes
74 of mathematical derivation were reported. In their study, an analytical solution using
75 the Fourier transform was developed for the pore pressure accumulation. As pointed
76 out by Jeng et al. (2007), some mistakes were made in both McDougal (1989) and
77 Cheng et al. (2001). After correction of the previous work, the theoretical approach of
78 Jeng et al. (2007) is shown to provide a better prediction. In their approach, Laplace

79 Transportation was used for deep foundation, while Fourier Transformation was used
80 for shallow foundation and a seabed of finite thickness. Based on the analytical solution,
81 a simplified model for prediction for residual liquefaction (so-called J-S curve) was
82 proposed in Jeng and Seymour (2007). Furthermore, as reported in Jeng et al. (2007),
83 the predicted pore pressures based on both non-linear and linear-relations of the source
84 term are almost identical. Therefore, no any further research is needed for considering
85 the non-linear relations of the source term. Sumer et al. (2012) carried out a series of
86 experimental tests to determine several empirical factors for the residual response of
87 seabed. Using centrifuge flume tests, Sekiguchi et al. (1995) and Sassa and Sekiguchi
88 (1999) examined the relationship between the elasto-plastic soil behaviour and pore
89 pressure build-up. Their studies considered the conditions under loadings of both
90 propagating and standing waves. **Based on the residual model of Smits et al (1978),**
91 **Meijers and Luger (2012), and Meijers et al. (2014) proposed one numerical model**
92 **“DCYCLE” to investigate the effects of the pre-shearing and random waves on the**
93 **residual seabed response.** Recently, Jeng and Zhao (2015) proposed a new definition of
94 the source term and established a two-dimensional (2D) numerical model to consider
95 the time-phased shear stress of seabed. The pore pressure distribution with both
96 propagating and standing wave loading was investigated in their study. All the above
97 investigations didn't consider the presence of the marine structure.

98 The static loading of a marine structure due to its self-weight increases the initial
99 effective stress of soil, and then significantly affecting the residual response of seabed,
100 particularly for a heavy marine infrastructures (Jeng et al., 2013; Ye et al., 2015). Based

101 on an experimental study, Sumer et al. (1999; 2006) investigated the build-up of the
102 pore pressure and corresponding liquefaction around a pipeline. Their studies indicated
103 that liquefaction firstly occurred at the bottom of the pipe, and then developed upwards
104 to the top-soil along the pile surface. Recently, Ye et al. (2015) developed an integrated
105 numerical model for the residual response of seabed, which combines the Reynolds
106 Average Navier–Stokes (RANS) wave model and an elastoplastic seabed model. The
107 integrated model was applied to investigate the residual response of the sloping seabed
108 around a composed breakwater. Zhao and Jeng (2016) investigated the pore pressure
109 accumulation around the trenched pipeline in a half-buried seabed. They indicated that
110 liquefaction might occur in the underlying trench layer if the backfill of the soil is
111 shallow. The above investigations focused on the residual response of the seabed around
112 breakwaters and buried pipelines, which are particularly limited to a 2D case without
113 wave diffractions around the marine structure. For more discussions on the seabed
114 response and liquefaction phenomenon around marine structures, readers can refer to
115 de Groot et al. (2006a; 2006b).

116 The phenomenon of wave–seabed–mono-pile interaction is a typical 3D flow
117 problem, in which the embedded depth of the pile and the wave reflection and
118 diffraction significantly affect the residual response of seabed. Qi and Gao (2014)
119 experimentally investigated the seabed response and scour around a mono-pile
120 foundation in the lab, in which the pore pressures were measured by the pressure sensor
121 buried in the seabed. Recently, several literatures are published for seabed instantaneous
122 response around mono-pile and group pile foundations which are with QS (Quasi-static

123 model) or PD (Partial dynamic) models (Duan and Jeng, 2018; Duan et al., 2018; Lin
124 et al., 2017; Tong et al., 2018; Zhang et al., 2017). Sui et al. (2017) and Zhang et al.
125 (2016) developed a more advanced fully dynamic (FD) seabed model to consider the
126 inertial terms of soil skeleton and pore water. The range of application of the QS, PD,
127 and FD models for the seabed oscillatory response can be found in studies by Ulker and
128 Rahman (2009).

129 The aforementioned investigations focused on the oscillatory mechanism of soil
130 response for mostly the sandy seabed with high permeability. Li et al. (2011) developed
131 a finite element model for the seabed residual response around an embedded pile
132 foundation. They showed that the seabed liquefaction is more serious at the rear of a
133 pile. However, in their study, the wave reflection and diffraction were neglected,
134 because they only focus on a pile with small diameter. More recently, Zhao et al. (2017a)
135 numerically investigated the seabed residual response around a single pile by
136 integrating a RANS wave model and a quasi-static soil model (QS model). Therefore,
137 the inertial terms of soil skeleton and pore water were neglected in their study. However,
138 the inertial terms of both soil skeleton or/and pore pressure (considered in the PD and
139 FD model) can significantly affect the seabed response, particularly for the cases around
140 marine structures (Jeng and Cha, 2003; Ulker et al., 2010). To the best of the authors'
141 knowledge, the effect of the above-mentioned inertial terms on the residual response of
142 seabed has not been investigated. Despite this, such important effect of wave
143 transformation and the embedded depth of a pile on the residual response, was not
144 systematically discussed in Zhao et al. (2017a).

145 In this study, a 3D numerical model WINBED (version 2.0) for the wave-induced
146 residual response of seabed around a mono-pile foundation is developed. It should be
147 noted that the previous version of the model (WINBED 1.0) of Sui et al. (2017) and
148 Zhang et al. (2016) only deals with the oscillatory seabed response. The main
149 contributions of the present WINBED 2.0 model are: (1) the residual response module
150 of seabed has been added by using a 3D pore pressure source term (see Eqs. 9-16); and
151 (2) the present model (WINBED 2.0) may be the first one that considers the acceleration
152 of pore fluid and soil skeleton (inertial terms) in simulating the residual response of
153 seabed.

154 The present paper is organized as follows: the governing equations, boundary
155 conditions, numerical scheme, and operational process of the present model are
156 presented in Section 2. Model validations based on five sets of flume tests available in
157 the literature are illustrated in Section 3. Based on the model application, the wave-
158 induced 3D distributions of the accumulated pore pressure and corresponding
159 liquefaction around a mono-pile are discussed in Section 4. Through these discussions,
160 the residual pore pressures owing to different simulation modes of the QS, PD, and FD
161 formulations (effects of the inertial terms) are examined. The effects of wave reflection
162 and diffraction on the residual response of seabed are analysed. The significance of the
163 above effects with different vertical locations, wave steepness, soil permeability, and
164 relative soil densities are studied. Seabed liquefaction around a mono-pile foundation
165 is also investigated. Finally, several concluding remarks are given in Section 5.

166

167 **2. Numerical Model**

168 **2.1 Seabed model**

169 Following the previous study (Jeng, 2013), the wave-induced pore pressure (p) can be
170 divided into two parts, namely the oscillatory (instantaneous) pore pressure (p_{ins}) and
171 residual (accumulation) pore pressure (p_r) (see Fig. 1), which is expressed as

$$p = p_{ins} + p_r \quad (1)$$

172 The oscillatory pore pressure usually behaves cyclically in magnitude induced by each
173 wave loading, and could be found with phase lag as well as the damping of amplitude
174 in the vertical direction (Yamamoto et al., 1978). On the other hand, the residual pore
175 pressure shows a progressive nature with time lasting. This is due to the volumetric
176 contraction caused by the cyclic shear stress of soil (Seed and Rahman, 1978). In the
177 following parts of section 2, both mechanisms for the wave-induced seabed response
178 will be described in detail.

179

180 **2.1.1 Oscillatory Mechanism**

181 In this study, the Biot's poro-elastic theory is used to investigate the oscillatory response
182 of seabed. The basic assumptions of the model are: (1) the soil skeleton and pore fluid
183 are compressible; (2) the flow in the porous bed obeys Darcy's law; (3) the deformation
184 of the porous seabed obeys the Hooke's law and (4) the effect of gas diffusing through
185 water and movement of water vapour is ignored. **It should be noted that the tensile stress**
186 **may occur in the simulation as there is no "yield" criteria in the elastic model. However,**
187 **the elastic model is popularly used due to its simplicity and numerous successful**

188 validation cases in engineering practice (Alcérreca-Huerta and Oumeraci, 2016; Jeng
 189 et al., 2013; Meijers and Luger, 2012). The governing equations in FD approximations
 190 can be written as follows (Zienkiewicz et al., 1980):

$$\sigma_{ij,j} + \rho g_i = \rho \ddot{u}_i + \rho_f \ddot{w}_i \quad (2)$$

$$-p_{ins,j} + \rho_f g_i = \rho_f \ddot{u}_i + \frac{\rho_f \ddot{w}_i}{n} + \frac{\rho_f g_i}{k_i} \dot{w}_i \quad (3)$$

$$\dot{u}_{i,i} + \dot{w}_{i,i} = -n\beta \dot{p}_{ins} \quad (4)$$

191 where σ_{ij} is the total stress, ρ is the average density of the porous medium, ρ_f is the
 192 density of pore water, g_i is the gravitational acceleration in the i -direction, u_i is the
 193 displacement of the soil matrix in the i -direction, w_i is the average relative displacement
 194 of the fluid to the solid skeleton in the i -direction, k_i is the permeability of the porous
 195 medium in the i -direction, n is the porosity of the solid phase.

196 The equivalent compressibility of pore water and entrapped air β is defined as
 197 (Verruijt, 1969):

$$\beta = \frac{1}{k_w} + \frac{1 - S_r}{\rho_f g d} \quad (5)$$

198 where d is the water depth, S_r is the saturation degree, k_w is the bulk modulus of the
 199 pure water which is taken as 1.95×10^9 N/m² (Yamamoto et al., 1978). This expression
 200 takes the saturation degree (S_r) into account for the deformation of porous medium. It
 201 is noted that this definition is only valid for a high saturation degree (e.g. $S_r \geq 0.95$)
 202 (Pietruszczak and Pande, 1996).

203 The total stress (σ_{ij}) can be expressed in terms of the effective stress (σ'_{ij}) and pore
 204 pressure (p), and the effective stress-strain relation can be written as:

$$\sigma_{ij} = \sigma'_{ij} - \delta_{ij} p_{ins} \quad (6)$$

$$\sigma'_{ij} = \lambda \varepsilon_{kk} \delta_{ij} + 2G \varepsilon_{ij} \quad (7)$$

$$\varepsilon_{ij} = \frac{u_{i,j} + u_{j,i}}{2} \quad (8)$$

205 where δ_{ij} is the Kronecker delta denotation, σ'_{ij} is the effective stress, ε_{ij} is the soil strain,
 206 $\lambda=2G\mu(1-2\mu)$, G is the shear modulus, μ is Poisson's radio. Note that the above
 207 definition implies a positive tensional stress.

208

209 2.1.2 Residual Mechanism

210 Following the previous investigations of Seed and Rahman (1978), Sumer et al. (2012)
 211 for 1D case and Jeng and Zhao (2015) for 2D case, the numerical simulation of wave-
 212 induced residual response of the seabed around a marine structure is conducted in 3D
 213 space by this study. The governing equation for the pore pressure accumulation in the
 214 present model is:

$$\frac{\partial p_r}{\partial t} = c_{v3} \left(\frac{\partial^2 p_r}{\partial x^2} + \frac{\partial^2 p_r}{\partial y^2} + \frac{\partial^2 p_r}{\partial z^2} \right) + f_3(x, y, z, t) \quad (9)$$

215 where c_{v3} is the coefficient of the soil consolidation and $f_3(x,y,z,t)$ is the source term of
 216 the pore pressure generation in 3D space, which can be defined as:

$$c_{v3} = \frac{kE}{3(1-2\mu)\gamma_w} \quad (10)$$

$$f_3(x, y, z, t) = \frac{\sigma'_{03}}{T} \left[\frac{|\tau_{ins3}(x, y, z, t)|}{\alpha_r \sigma'_{03}} \right]^{-\frac{1}{\beta_r}} \quad (11)$$

217 where E is the Young's modulus of soil, γ_w is the unit weight of pore fluid, T is the
 218 period of wave loading; α_r and β_r are the empirical parameters which are defined from
 219 the following expressions (Sumer et al., 2012):

$$\alpha_r = 0.34D_r + 0.08 \quad (12)$$

$$\beta_r = 0.37D_r - 0.46 \quad (13)$$

$$D_r = \frac{e_{\max} - e}{e_{\max} - e_{\min}} \quad (14)$$

220 where D_r is the relative density of soil.

221 In Eq. (11), σ'_{03} is the initial soil effective stress at the final state of seabed
 222 consolidation, $\tau_{ins3}(x,y,z,t)$ is the phase-resolved shear stress obtained from the
 223 oscillatory model (see Section 2.1.1), which are expressed as:

$$\sigma'_{03} = \frac{1}{3}(\sigma'_{x0} + \sigma'_{y0} + \sigma'_{z0}) \quad (15)$$

$$\tau_3(x, y, z, t) = \sqrt{\tau_{xz}^2(x, y, z, t) + \tau_{yz}^2(x, y, z, t) + \tau_{xy}^2(x, y, z, t)} \quad (16)$$

224 It should be noted that the shear stress of soil (τ) is defined as the maximum $\tau_{(max)}$
 225 within one wave period by Seed and Rahman (1978) in their 1-D model, and is defined
 226 as the instantaneous τ_{xy} by Jeng and Zhao (2015) in their 2-D model. Li and Jeng (2008)
 227 further set it as $\tau_3 = (\tau_{xy} + \tau_{yz} + \tau_{xz})/3$ based on an averaged concept, and applied it for the
 228 3D seabed residual response around a breakwater head. However, the above definition
 229 of Li and Jeng (2008) may significantly underestimate the amplitude of pore pressure
 230 (Fig. 6). In this study, the shear stress is defined (Eq. 16) based on the resultant force
 231 concept in 3D space. The comparison between the previous (Li and Jeng, 2008) and
 232 present (Eq. 16) definition in simulating the residual response of seabed is presented in
 233 chapter 3.

234

235 2.2 Wave model

236 The “FUNWAVE 2.0” open-source code is adopted to calculate the wave pressures at
237 the soil-water interface and soil-structure interface, which are used as the input in the
238 seabed model. “FUNWAVE” code was first developed at University of Delaware
239 (Kirby et al., 2003) based on the nonlinear Boussinesq equations of Wei et al. (1995),
240 and is now commonly used in simulating wave motion in the coastal area. Consequently,
241 Shi et al. (2001) further discretized the equations on the staggered grid in the
242 generalized curvilinear coordinates in order to better fit the complex configuration
243 boundary. In FUNWAVE, different levels of Boussinesq approximations can be chosen
244 by setting an equation ID in the input file. The main advantage of FUNWAVE is to
245 simulate the wave transformations around marine structures for a relatively large
246 coastal area (comparing to the CFD model (Zhang et al., 2014)). However, the
247 limitation is that it is hard to deal with the complex wave breaking in front of a structure.
248 The FUNWAVE model has also been successfully adopted in the previous study of Sui
249 et al. (2016) for the oscillatory response of seabed. For more detailed information
250 regarding the governing equations as well as the numerical techniques, readers can refer
251 to Kirby et al. (2003).

252

253 **2.3 Boundary Conditions**

254 To solve the governing equations, appropriate boundary conditions are required. Fig. 2
255 shows a 3D sketch of the boundary conditions used in the present model. The seabed is
256 assumed to be impermeable and rigid at the lateral and bottom boundaries. Therefore,
257 the seabed displacements and the normal gradients of both oscillatory and residual pore

258 pressures are zero:

$$u_{soil} = 0, \quad \frac{\partial p_{ins}(p_r)}{\partial n} = 0 \quad (17)$$

259 At the seabed surface, effective normal stress vanish. The shear stress is also
260 neglected as it is minor comparing to the maximum dynamic pore pressure in this study
261 (Ye and Jeng, 2011; Liang et al., 2008; Zhang et al., 2015). The wave-induced
262 oscillatory pore pressure is equal to dynamic wave pressure, and the residual pore
263 pressure is zero without any contraction of soil skeleton:

$$p_{ins} = p_w, \quad p_r = 0, \quad \sigma'_{soil} = 0, \quad \tau_{soil} = 0 \quad (18)$$

264 At the structure-seabed interface, the normal gradient of pore pressures is zero,
265 while the seabed displacement is equal to that of structure. This “no-slip” boundary is
266 usually assumed in the previous studies, which is reasonable due to the minor
267 displacements of marine structures (Mizutani et al., 1998).

$$\frac{\partial p_{ins}(p_r)}{\partial n}, \quad u_{soil} = u_{pile}, \quad \sigma'_{pile} = \sigma'_{soil} - p, \quad \tau_{pile} = \tau_{soil} \quad (19)$$

268 At the water-structure interface, the structure normal stress is equal to the wave
269 pressure, the shear stress is assumed to be zero. Note that, this indicates a one-way
270 coupling between water and structure.

$$\tau_{soil} = 0, \quad \sigma'_{pile} = p_w \quad (20)$$

271 At the air-structure interface, all stresses are set to zero by assuming that the effects
272 of the wind/aerodynamic is minor to be neglected:

$$\tau_{soil} = 0, \quad \sigma'_{pile} = 0 \quad (21)$$

273

274 **2.4 Integrating procedure**

275 The present “WINBED” model consists of two seabed modes, which are the oscillatory
276 mode and residual mode. Note that, two-way coupling for structure-soil (Eq. 19) while
277 one-way coupling (Eq. 20) for wave-structure are applied in this model. At the
278 beginning of the simulation, model initialization and grid generation are conducted, and
279 the boundary conditions are assigned according to Eqs. (17-21). At one time-step, the
280 oscillatory mode is first solved to obtain the seabed oscillatory variables ($u_x, u_y, u_z, w_x,$
281 w_y, w_z, p_{ins}). The soil effective stress is then obtained based on the strain-stress relation
282 of soil, and these are the input for the residual mode. The simulation results show that
283 the relative error of residual pore pressure significantly decreases with the increase of
284 the iteration steps. In this study, the threshold relative error between two successive
285 iteration steps is set as 0.0001 (usually realized after about 100 iteration steps).
286 Computation will be terminated when this simulation accuracy is achieved.

287

288 **3. Model validation**

289 Five cases are conducted against the previous experimental data to validate the present
290 model. Case 1 (Fig. 4) is for the water wave elevation around a mono-pile foundation
291 (Cong et al 2015). Case 2 (Fig. 5) is for the oscillatory seabed response under pure wave
292 loading (Lu 2005). Cases 3 (Fig. 6) and 4 (Fig. 7) are for the residual seabed response
293 under pure wave loading (Sumer et al. 2012; Kirca et al. 2013). Case 5 (Fig. 8) is for
294 the wave-induced seabed response around a mono-pile foundation, where the
295 oscillatory response dominates in the experiment (Qi and Gao 2014).

296 Cong et al. (2015) conducted a series of physical experiments to measure the water
297 wave surface elevations around 2×2 group of circular piles. The experiments were
298 carried out in a wave basin to measure the wave reflection and diffraction caused by the
299 wave–pile interaction. The parameters used in the experiments for this validation
300 include the water depth of $d = 0.5$ m and the pile diameter of $D = 0.4$ m. Fig. 4 shows
301 a comparison of the water surface elevation at three orientations corresponding to $\alpha =$
302 $0, 22.5^\circ$, and 45° with respect to the wave incident direction. Wave period T and wave
303 amplitude A are shown in Fig. 4. The wave surface elevations in G6 of $\alpha = 22.5^\circ$ (Fig.
304 4b) show an irregular and relatively flat sinuous formation, whereas the wave elevations
305 in G5 of $\alpha = 0^\circ$ (Fig. 4a) and G3 of $\alpha = 45^\circ$ (Fig. 4c) show an irregular fluctuation,
306 which are due to the interaction of the wave with the pile. As shown in Fig. 4, the present
307 model reproduces the experiment results well, indicating that the present model can
308 simulate the non-linear wave transformation around piles with high accuracy.

309 For the second validation, the present model is compared with the flume test
310 conducted by Lu (2005) on the seabed oscillatory response under linear/cnoidal wave
311 loading. In the experiments, several pressure sensors were installed within soil at
312 different depths of 0, -5, -10, and -15 cm from the soil surface. The parameters for the
313 wave and seabed are: seabed depth, $h = 20$ cm; permeability, $k = 1.4 \times 10^{-3}$ m/s; Young's
314 modulus, $E = 1.4 \times 10^7$ m/s; poisson's ratio, $\mu = 0.33$; and porosity, $n = 0.39$. The linear
315 wave case use period, $T = 1.2$ s; depth, $d = 0.5$ m; and height, $H = 0.12$ m, whereas the
316 cnoidal wave case uses period, $T = 1.4$ s; depth, $d = 0.3$ m; and height, $H = 0.14$ m. Fig.
317 5 shows a comparison of the simulated and measured dynamic pore pressures under the

318 linear wave (figures in the left-side column) and cnoidal wave (figures in the right-side
319 column). As Fig. 5 indicates, the fluctuation of pore pressure under a cnoidal wave is
320 sharper and thinner than that under a linear wave, whereas the amplitude of the pore
321 pressure with a cnoidal wave is much larger. Fig. 5 also reveals that the dynamic pore
322 pressure decreases with an increase in distance from the soil surface, which is because
323 the pore water velocity dramatically decreases when going deep into the seabed.

324 Fig. 6 shows a comparison of the simulated (Li and Jeng, 2008; Sumer et al., 2012;
325 and the present study) and measured (Sumer et al., 2012) pore pressure accumulations
326 (residual response) within a silt seabed under progressive wave loading. The parameters
327 for the wave and seabed are: seabed depth, $h = 40$ cm; permeability, $k = 1.0 \times 10^{-5}$ m/s;
328 Shear modulus, $G = 1.92 \times 10^6$ m/s; Poison's ratio, $\mu = 0.29$; porosity, $n = 0.51$;
329 submerged specific weight of the soil, $\gamma' = 8.14$ kN/m³; degree of saturation, $S_r = 1$;
330 wave period, $T = 1.6$ s; wave height, $H = 0.18$ m; and water depth, $d = 0.55$ m. It should
331 be noted that, the pressure oscillations recorded in the physical model are mainly caused
332 by the oscillatory seabed response (Sumer, 2014). To better demonstrate the residual
333 pore pressure, the oscillatory part has been manually removed, following the previous
334 studies of Sumer et al. (2012), Kirca et al. (2013) and Jeng and Zhao (2015), depicted
335 in Fig. 7. As is shown in Figs. 6a and 6b, the averaged shear stress ($\tau_{average} =$
336 $1(|\tau_{xy}| + |\tau_{xz}| + |\tau_{yz}|)/3$) by Li and Jeng (2008) may significantly underestimates the
337 measured accumulated pore pressure, whereas the definition in eq. (16) shows an
338 overall agreement. The numerical results adopting the maximum 3D shear stress (τ_{3max})
339 of this study (Eq. 16), are very close to those of Sumer et al. (2012). An overall trend

340 of the residual pore pressure in the present study agrees well with the experiment data.
341 It is noted that the maximum pressure ratio p/σ'_{v0} is 0.98; indicating that the seabed is
342 between the partial liquefaction ($p/\sigma'_{v0} = 50\%$) and full liquefaction (100%) (close to
343 the full liquefaction). This validation also demonstrates that the present model improves
344 the numerical accuracy of the 3D residual response over that found by Li and Jeng
345 (2008).

346 The fourth validation case is the experiment by Kirca et al. (2013) who studied the
347 residual liquefaction under a standing wave in a detailed systematic manner in a
348 physical model investigation. Fig. 7 shows the comparison of a simulated and measured
349 (Kirca et al., 2013) pore pressure build-up within the silt seabed under a standing wave.
350 The soil conditions for the experiments were the same as in the third validation (Sumer
351 et al., 2012). The wave parameters for the current case are: wave height, $H=10.2$ cm;
352 wave period, $T=1.09$ s; water depth, $d=0.3$ m. It should be noted that, a sealed plate was
353 used to separate the silt seabed; This is different from the configuration utilized in
354 simulation domain, and this slightly affects the simulation results. Overall, the
355 numerical results capture the trend of the accumulated pore pressure as shown in Fig.
356 7, and this validates the capability of the present model in dealing with the accumulated
357 pore pressure under a standing wave.

358 The final validation case involves a mono-pile foundation. The experiment of Qi
359 and Gao (2014) is selected. Qi and Gao (2014) carried out a series of flume tests to
360 investigate the wave/current-induced seabed instability (scouring and liquefaction)
361 around a pile. In the present study, the measured data with only wave loading prior to

362 scour are used to validate the present model. The parameters of the wave, seabed, and
363 mono-pile are: seabed depth, $h = 0.5$ m; permeability, $k = 1.0 \times 10^{-3}$ m/s; Young's
364 modulus, $E = 3.84 \times 10^7$ m/s; Poisson's ratio, $\mu = 0.33$; porosity, $n = 0.435$; wave period,
365 $T = 1.0$ s; height, $H = 0.08$ m; depth, $d = 0.5$ m; pile radius, $R = 0.2$ m; and pile embedded
366 depth, $l_p = 0.3$ m. Fig. 8 shows a comparison of the simulated and measured vertical
367 pore pressure distribution in front of and behind the pile. As illustrated in Fig. 8, the
368 pore pressure in front of the mono-pile is greater than behind, but decreases more
369 rapidly. This is because the wave loading increases in front of the pile owing to the
370 wave reflection and diffraction. In general, the simulated pore pressure around the
371 mono-pile agrees well with the measurements.

372

373 **4. Model applications**

374 In this section, the present model is applied to investigate the wave-induced seabed
375 residual response around a mono-pile. Model sketch for the parametric study is shown
376 in Fig. 2. There are two application cases in this study. The first application (Figs. 9-19)
377 is to investigate the effect of wave diffraction/reflection on the residual response around
378 mono-pile. The second application (Figs. 20-25) is to investigate the effect of the pile
379 embedded depth on the residual response and liquefaction of its surrounding seabed.
380 For the first application, the pile parameters (R_p, E_p, μ_p, l, d_e), water depth (d), water
381 density (ρ_f), seabed porosity (n), submerged weight (γ_s) are fixed. For the second
382 application, only the parameter of pile inserted depth is varied. To investigate a
383 relatively obvious wave diffraction/reflection phenomenon, the wave steepness for the

384 first application are relatively small, which corresponds to a weak residual response.
385 Parameters of the wave, the seabed and the mono-pile for the first application are listed
386 in Table 1; while parameters for the second application are listed in Table 2.

387

388 **4.1 Consolidation state**

389 In natural environments, the seabed will be subjected to a long-time consolidation under
390 the weight of static water and the seabed itself. The basic assumption of this study is
391 that the seabed can be further compressed by the wave loading after the long-time
392 consolidation process under the static water and pile gravity. The assumption is
393 reasonable especially with large wave loading, as soil particles can become more
394 adjacent between each other due to the back-and-forth movement caused by the cyclic
395 shear stress. This assumption is commonly adopted in many previous studies (e.g. Seed
396 and Rahman, 1978; Sumer 2014). In this situation, the final consolidation state is
397 important as it implies the initial resistance to liquefaction prior to wave loading (Sui
398 et al., 2017; Ye et al., 2015; Li et al., 2017).

399 Fig. 9 illustrates the final consolidation state of the seabed for (a) pore pressure,
400 (b) effective stress and (c) subsidence in the vicinity of a mono-pile. After the long-time
401 consolidation, the pore pressure (p) was found layered in the vertical direction (Fig. 9a).
402 Fig. 9b shows the spatial distribution of effective stress (σ'_z) around the mono-pile. It
403 was seen that the effective stress below the pile is remarkably increased, which is due
404 to pile gravity being completely supported by the soil skeleton after a long-time
405 consolidation. In addition, the phenomenon of stress concentration was found at the

406 corners of the pile. This is probably caused by the sharp change of Young's modulus
407 between the seabed and pile. As a result, the seabed below the pile suffers the largest
408 subsidence (Fig. 9c) because of the large soil effective stress there.

409

410 **4.2 Wave transformation**

411 Fig. 10 shows the water surface elevations with wave reflection and diffraction around
412 the mono-pile. It was found that the presence of the mono-pile increases and decreases
413 the wave height in front of and behind the mono-pile, respectively. Specifically, when
414 the wave trough arrives at the head of the pile ($t = 2.95 T$), the largest negative wave
415 pressure will be generated at the bottom of the water. For $t = 3.45 T$, the wave crest
416 arrives at the same location, generating positive pressure. Owing to the wave reflection
417 and diffraction, it was found (Fig. 10) that a specific zone in the front and rear sides
418 (dashed line in Fig. 10a) relative to the pile has the largest variation in wave height (H).
419 This phenomenon can significantly affect the spatial features of the accumulated pore
420 pressure (see Fig. 11 in section 4.3). It should be noted that the wave reflection and
421 diffraction provide a 3D wave loading at the interfaces of water-seabed and water-
422 structure.

423

424 **4.3 Cyclic stresses and pile displacements**

425 Cyclic wave loading would cause cyclic stresses and cyclic pile displacements, and they
426 come from the oscillatory part of the model in this study. Fig 11 illustrates the
427 distributions of pore pressure p_{ins} (coming from the oscillatory part of pore pressures

428 (Eq. 1)), effective stress σ'_x and σ'_z , and shear stress τ_{xz} around the mono-pile foundation
429 Fig. 11 show that the positive pore pressure and negative effective normal stresses (σ'_x
430 and σ'_z) are found under the wave crest. Relatively large shear stresses τ_{xz} is found
431 between the wave trough and wave crest. It is seen that at $t=0.5T$, relatively large
432 positive shear stress is found at the head of the pile foundation, which would cause a
433 relatively large power (large source term f) to generate the residual pore pressure. As a
434 result, the cyclic motion of pile is found, as shown in Fig. 12. Horizontal displacement
435 of pile behaves cyclically under the dynamic wave loading. It should also be noted that,
436 different from most of the previous studies that set a fixed mono-pile, the pile
437 displacements at the bottom are not zero (see Fig. 12). This is because pile movement
438 is allowed in this study by applying the two-way coupling of soil-pile interaction.

439

440 **4.4 Pore pressure accumulation**

441 In above application cases, only the residual pore pressure is considered as the seabed
442 has poor drainage. Numerical simulation shows that the amplitude of the oscillation in
443 pore pressure is much smaller than the residual pore pressure in the application cases.
444 Therefore, the peak value caused by the oscillatory pore pressure may not significantly
445 affect the onset of liquefaction in this study.

446 Fig. 13 illustrates the 3D temporal and spatial features of the accumulated pore
447 pressure (p_r) around the mono-pile for (a) $t = 35$ s in the x - z section ($y/R_p=0$), (b) $t = 35$
448 s in the x - y section ($z/R_p=-0.75$), (c) $t = 600$ s in the x - z section ($y/R_p=0$), and (d) $t = 600$
449 s in the x - y section ($z/R_p = -0.75$). At $t = 35$ s, the pore pressure is relatively small (Fig.

450 13a), then it increases gradually with time (Fig. 13c). A similar trend of the pore
451 pressure build-up in the x - y section can be seen in Figs. 13b and 13d. In addition, Figs.
452 13b and 13d indicate that the largest pore pressure (p_r) appears at the locations near the
453 front ($\pi/4$ with respect to the incident wave direction) and rear ($3\pi/4$) sides of the pile.
454 This distribution pattern is due to the significant change in wave surface elevations
455 occurring there (see the dashed line in Fig. 10). A significant change in wave loading
456 (owing to the wave height) will increase the shear stress (τ_{xz} , τ_{yz} , and τ_{xy}) of the soil
457 skeleton. This would lead to a large source term (f) which generates a large pore
458 pressure there.

459 Fig. 14 shows the effects of wave period (T) on the residual pore pressure p_r (in
460 the x - y plane) in the vicinity of the mono-pile foundation (after 150 wave cycles). The
461 concerned points have been selected along a half-circle with $S/R_p = 1$ and $z/R_p = -1$
462 (where S is the distance from the mono-pile surface). The angle α denotes the relative
463 position with respect to the mono-pile, which varies from zero (at the front of the mono-
464 pile) to π (at the rear of the mono-pile). Three dashed lines are plotted in Fig. 14
465 indicating the iso-pressure ($p_r = 60, 120, \text{ and } 180 \text{ Pa}$) of the pore fluid. It was found that
466 an increase in wave period greatly increases the amplitude of residual pore pressures
467 within the vicinity of the mono-pile. That is, due to large wave periods generating a
468 large wave loading at the seabed surface, thereby promoting compression of the soil
469 particles. In addition, the residual pore pressure is found to increase and decrease in
470 front of and behind the mono-pile, respectively, which is due to the wave transformation.
471 The shape of the pore pressure distribution becomes more symmetric with respect to

472 the pile centre when the wave period T increases (from 4 to 8 s). It is noted that the
473 above effects may be only important in relatively shallow water, as the phenomenon of
474 wave diffraction and reflection is usually more pronounced in shallow water.

475 Fig. 15 illustrates the effects of the permeability k , degree of saturation S_r , Young's
476 modulus E_s , relative density D_r , residual coefficient (α_r and β_r) on the residual pore
477 pressure around the mono-pile. The vertical distribution of the residual pore pressures
478 in front of the pile ($x/R_p = -3.5$ and $y/R_p = 0$) is plotted at $t = 600$ s (150 wave cycles).
479 The relatively low seabed permeability k results in poor drainage conditions, which
480 hinders the pore pressure dissipation in the seabed. This further leads to a relatively
481 high residual pore pressure (Fig. 15a). The decrease in the degree of saturation
482 corresponds to the increase of the residual pore pressure (Fig. 15b). This is because the
483 decrease of saturation leads to an increase in the seabed shear stress (τ_{xz}), which in turn
484 strengthens the compression of the soil. It is noted that the difference of pore pressure
485 between cases having $S_r=0.992$ and $S_r=0.985$ is much smaller than between $S_r=0.985$
486 and $S_r=0.98$. This indicates that in a nearly saturated seabed (e.g. $S_r>0.985$), the residual
487 pore pressure does not change much with the increase in S_r . Fig. 15c and Fig. 15d shows
488 the effects of Young's modulus (E_s) and relative density (D_r) on the residual pore
489 pressure around the mono-pile. It illustrates that, the increase of E_s and D_r would cause
490 the decrease of the amplitude of residual pore pressure. This is because the relative large
491 Young's modulus (E_s) and soil relative density (D_r) corresponds to a relatively "dense"
492 seabed; which would be more difficult to be compressed by the wave loading. Fig. 15e
493 and Fig. 15f examine the effects of the coefficients α_r and β_r , respectively. It is found

494 that the residual pore pressure increases with the decrease of α_r and β_r . This is in
495 accordance with the change in eq. (11) for the pressure source term. The decrease of α_r
496 and β_r would cause an increase of the source term (f_3) (negative correction), leading to
497 an increase of the pore pressure. This is obtained providing that the $\tau_{ins3}/(\alpha_r\sigma'_{03})$ of Eq.
498 11 is less than 1. We can deserve it would have a positive correction between β_r and
499 source term (f_3) if $\tau_{ins3}/(\alpha_r\sigma'_{03})$ is greater than 1.

500

501 **4.5 Effects of inertial terms on the accumulated pore pressure**

502 Three different numerical models, namely the FD, PD, and QS models, for seabed
503 oscillatory mechanism were proposed to investigate the effects of the inertial terms of
504 the soil skeleton/fluid (Zienkiewicz et al., 1980). The governing equations for the FD
505 model are shown in eqs. (2)–(4). Ignoring the accelerations from the pore fluid and/or
506 soil motion simplifies these general formulations into a conventional PD or QS model.

507 Fig. 16 illustrates the vertical distribution of the residual pore pressure with FD,
508 PD, and QS models. Here, Δp_{r1} denotes the discrepancy in the residual pore pressure
509 between the QS and PD models, and Δp_{r2} denotes this discrepancy between the PD and
510 FD models. The selected section is directly in front of the pile ($x/R_p=-1.53, y/R_p=0$). Fig.
511 16 shows that almost no discrepancy (Δp_{r2}) is found between the PD and FD models.
512 This is because the inertial terms effects of pore fluid on the seabed shear stresses is
513 minor for the case with wave loading (Ulker and Rahman, 2009), which leads to a small
514 discrepancy in residual pore pressure. As the comparison shows, the simulated residual
515 pore pressure using the QS model is smaller than that using the FD or PD model. This

516 indicates that the seabed residual response will be underestimated if the inertial terms
517 of the pore fluid and soil skeleton are neglected.

518 Fig. 17 shows the vertical distributions of the relative difference of pore pressure
519 ($\Delta p_{r1}/\max(p_{QS})$) in front of (point A), at the side (point B), and at the rear (point C) of
520 the mono-pile. Note that, the $\max(p_{QS})$ indicates the maximum pore pressure with QS
521 model. It is found that the relative difference ($\Delta p_{r1}/\max(p_{QS})$) first increases with the
522 increase in the seabed depth at the top layer (i.e. $-0.8 < z/R_p < 0$), and then decreases as
523 the seabed deepens ($z/R_p < -0.8$). This indicates that the largest effect of the inertial terms
524 on residual pore pressure is found at the middle part of the seabed ($z/R_p = -0.8$ in this
525 study). In addition, the relative difference $\Delta p_{r1}/\max(p_{QS})$ is found to be the largest in
526 front of the mono-pile (point A) comparing to that at point B (at the side) and point C
527 (at the rear). This indicates that the effect of the inertial term is largest in front of pile.
528 This is because the wave loading in front of pile is larger due to wave reflection and
529 refraction. It should be noted that, the largest relative difference ($\Delta p_{r1}/\max(p_{QS})$) can
530 reach 3.8% and $\Delta p_{r2}/\max(p_{QS}) \approx 0$ (depicted in Fig. 16). This indicates that the PD
531 model should better be used and is sufficient in simulation of wave-induced residual
532 response. This conclusion is in accordance with Ulker and Rahman (2009) which is for
533 the oscillatory response, and is extended to the residual response of seabed by the
534 present study. **However, it should be noted that, the effect of the inertial terms is overall**
535 **small (the maximum difference is 3.8% p_0 in this study); which could be neglected in**
536 **the engineering practice.**

537

538 **4.6 Significance of the mono-pile foundation for the accumulated pore pressure**

539 Fig. 18 shows a comparison of the accumulated pore pressure with and without a mono-
540 pile foundation. The concern point is in front of the pile with coordinates of $x/R_p = -3.5$,
541 $y/R_p = 0$, and $z/R_p = -0.83$. As shown in Fig. 18, the increase in accumulated pore
542 pressure is relatively faster at the early stage of the wave loading ($t < 200$ s). This then
543 gradually decelerates until the residual pore pressure reaches a relative steady state ($t =$
544 600 s). Fig. 18 also shows that the time for the residual pore pressure to reach a
545 relatively steady value is approximately the same ($t = 600$ s) for the cases with and
546 without the mono-pile. This indicates that such a build-up pattern of pore pressure is
547 independent of the magnitude of the wave loading at seabed surface.

548 The discrepancy in the maximum pressure value (Δp_r) between the two cases (with
549 and without a mono-pile) is defined as the significance of the mono-pile on the residual
550 response of seabed. Fig. 19 illustrates Δp_r with various vertical locations (z), wave
551 steepness (H/L), permeability (k), and relative seabed densities (D_r). It was found that
552 this significance (Δp_r) increases with an increase in depth at the upper part of the seabed
553 ($-0.83 < z/R_p < 0$), and then decreases when the seabed deepens ($z/R_p < -0.83$) (Fig. 19a).
554 Figs. 19b–19d illustrate the change in Δp_r with various wave and seabed parameters
555 ($x/R_p = -3.5$, $y/R_p = 0$, and $z/R_p = -0.83$). It was found that the significance of a mono-
556 pile for the residual response of seabed increases with the increase in wave steepness
557 (H/L) (Fig. 19b), and decreases with the seabed permeability (k) (Fig. 19c) and relative
558 seabed density (D_r) (Fig. 19d). This is due to the fact that the increase in wave steepness
559 (H/L) will increase the magnitude of the residual pore pressure. Increases in seabed

560 permeability (k) and relative seabed density (D_r) will decrease the residual pore pressure
561 because they improve the soil drainage conditions (for k) and restrain the compression
562 of soil particles (for D_r).

563

564 **4.7 Residual liquefaction**

565 Zen and Yamazaki (1990) proposed the following 1D liquefaction criterion:

$$-(\gamma_s - \gamma_w)z \leq p_0 - p_{b0} \quad (22)$$

566 where p_0 is the wave-induced pore pressure, p_{b0} is the dynamic wave pressure at the
567 seabed surface, and γ_s and γ_w are the specific bulk weight of the soil (not the grains) and
568 water, respectively.

569 Jeng (1997) extended this criterion to a 3D situation by adopting the concept of
570 average effective stress, namely,

$$-(\gamma_s - \gamma_w) \frac{1 + 2k_0}{3} z \leq p_0 - p_{b0} \quad (23)$$

571 where k_0 is the lateral compression coefficient of the soil.

572 The above criteria are only suitable for the cases without a marine structure. When
573 a structure is present, the soil skeleton in the vicinity of the structure will be compressed,
574 which suppresses the occurrence of soil liquefaction (Jeng, 1997). In addition, Eqs. (22)
575 and (23) provide the criteria for an instantaneous liquefaction (Sumer, 2014), which is
576 likely to occur in a sandy seabed. For a silt seabed, the residual mechanism dominates
577 the seabed response. Therefore, liquefaction is mainly due to the excess residual pore
578 pressure ($p_r - 0$) caused by the compression of soil skeleton (Liao et al., 2015).
579 Following previous studies (Ye, 2012; Liao et al., 2015), the residual liquefaction

580 criterion that considers the weight of mono-pile can be expressed as follows:

$$\sigma'_{z0} \leq p_r \quad (24)$$

581 where σ'_{z0} is the initial normal effective stress obtained from the final state of
582 consolidation (see section 4.1). The dynamic Biot equation is adopted in this study,
583 based on the assumption that seabed is seen as a porous elastic media (Sumer, 2014). **It**
584 **has to be clarified that the present work only predicts the potential liquefaction depth**
585 **rather than simulating the real liquefaction process.** In this section, the present model is
586 adopted to investigate the wave-induced residual liquefaction potential around a mono-
587 pile, taking into consideration the state of the seabed consolidation. The parameters
588 utilized in this simulation are listed in Table 2.

589 Fig. 20 illustrates the residual pore pressure (p_r) and liquefaction depth (l_d) around
590 the mono-pile at $t = 40$ s (a, c, and e) and $t = 792$ s (b, d, and f). Initially ($t = 40$ s), a
591 relatively large residual pore pressure mainly appears within the vicinity of the mono-
592 pile ($-5 < x/R_p < 5$, $-3 < y/R_p < 3$, zone A), whereas the location far from the pile ($|x/R_p| > 5$,
593 $|y/R_p| > 3$, zone B) have a relatively small pore pressure (Fig. 20a). As a result, Figs. 20c
594 and 20e illustrate that liquefaction only occurs close to the mono-pile foundation (zone
595 A). Comparing with Fig. 18a, the pore pressure at $t = 792$ s is largely increased
596 especially away from the pile (zone B) (Fig. 20b). Correspondingly, the liquefaction
597 depth (l_d) significantly increases in zone B (l_d/R_p increases from 0 to 1.2 m) (Figs. 20d
598 and 20f). It was also found that the largest liquefaction depth (l_d) appears at the rear of
599 the pile instead of at the front, which is consistent with the main findings of Li et al.
600 (2011). Fig. 20f also shows that the liquefaction zone affected by the presence of the

601 mono-pile (shown as the red dashed line) is approximately three-times the pile
602 diameters (one pile diameter in front and two times the diameter in the rear) in length
603 along the wave propagation direction (x -direction), and one pile diameter in width at
604 the sides of the pile (y -direction). This demonstrates that the seabed in this area is prone
605 to be liquefied, which therefore requires a special concern in engineering practice.

606 Fig. 21 illustrates the liquefaction depth (l_d) around the mono-pile with various
607 embedded depths of the pile (de/R_p). The case without a pile foundation is also included
608 for comparison. It was found from Fig. 21a that, for the case without the mono-pile, the
609 liquefaction depth remains as $z/R_p = -1.2$ in the x -direction, which is consistent with the
610 main conclusion of Jeng and Zhao (2015) that a 1D pattern of the liquefaction depth
611 exists at the final state. The previous studies mostly investigate the seabed response
612 around composite breakwater ($de = 0$) (Zhao et al., 2017b), and this study further
613 reveals the liquefaction depth when a structure (e.g. a mono-pile) is embedded into the
614 seabed ($de > 0$). It is found that, the liquefaction depth is small adjacent to the pile
615 foundation due to the fact that the large resistance of soil skeleton exists there. In
616 addition, the liquefaction depth (l_d) would decrease as the inserted depth of the pile is
617 increased. This is mainly due to the decrease in the initial effective stress (σ'_{z0}) with
618 increasing inserted depth (Sui et al., 2017), thereby leading to a significant decrease in
619 soil overburden pressure.

620 The failure of seabed around the structure may also occur even with the a partially
621 liquefied seabed. Such a partially liquefied seabed is usually referred to as Partial
622 Fluidized Sediments (PFS) (Sumer, 2014; Zhang S. et al., 2018). The fluidization

623 degree (f_d) (the ratio of excess pore pressure to the initial effective stress) is used to
624 describe how much of sediment is fluidized, given by:

$$f_d = u/\sigma'_{v0} \quad (25)$$

625 In which, u is the excess pore pressure and is equal to p_r in the present study.

626 Full liquefaction is seen as corresponding to 100% fluidization (f_d) as indicated in
627 Equation (24) (Jeng, 2013; Kirca et al., 2013; Liao et al., 2018; Sumer, 2014; Zen and
628 Yamazaki, 1990). As indicated above, full liquefaction is seen as the extreme state of
629 the sediment fluidization (again, 100% fluidization) process, corresponding to the
630 complete loss in resistance of the soil skeleton. This is a useful indicator to describe the
631 most dangerous situation of the seabed. The relative cyclic shear stress ratio τ_c/σ'_{v0} (CSR,
632 contributing to the source part f_3 in Eq. 11 plays an important role as it generates the
633 excess pore pressure.

634 Fig. 22 shows the fluidization degree p/σ'_{v0} as well as the relative cyclic shear
635 stress ratio (CSR) in front of and at the rear of the pile (see below). It is seen that the
636 fluidization degree is zero at the seabed surface, and increases with time (Fig. 22a and
637 Fig. 22b). Relatively large fluidization degree is found at the rear of the mono-pile. This
638 indicates that liquefaction would easily happen there (u/σ'_{v0} exceeds 1). This is mainly
639 because the cyclic shear stress ratio (CSR) is larger at the rear of the pile, which
640 promotes more compression of the soil (Fig. 22c and Fig. 22d). The above conclusion
641 is consistent with the previous study of Li et al. (2011) and Fig. 20 of the present study.

642 Fig 22 a and b shows that the ratio u/σ'_{v0} is greater than 1 at the seabed surface,
643 indicating that the soil particles have a potential trend to be separated (liquefaction

644 already happens there) (Liu et al., 2015). This ($u/\sigma'_{v0}>1$) occurs because of the use of
645 the elastic model, and cannot be rigorously avoided as there is no yielding criteria. In
646 fact, the maximum liquefaction depth predicted with elastic model may be larger than
647 that with a plastic model, due to the fact that the pore pressure is difficult to release with
648 the elastic model (Qi and Gao, 2018). Such conservative approach benefits the
649 foundation design in engineering practice.

650 Fig. 23 illustrates the effects of the relative density D_r on the liquefaction depth
651 around mono-pile. It is found that the liquefaction depth decreases with the increase of
652 the relative density D_r . This is because the relatively large D_r means a much more sand
653 densification with a relatively low residual pore pressure generation. Noted that, there
654 may be pre-shearing effects during the wave loading process (de Groot et al., 2006a; de
655 Groot et al., 2006b; Meijers and Luge, 2012). The pre-shearing effect will increase the
656 soil relative density (D_r) due to the sand densification. Therefore, Fig. 23c indicates that
657 the pre-shearing effects can decrease the maximum liquefaction depth, which is in
658 accordance with the main finding of Meijers and Luger (2012). This can provide the
659 readers a basic understanding on the pre-shearing effects.

660 Fig. 24 illustrates the parametric studies for the effects of coefficients α_r and β_r on
661 the liquefaction depth around mono-pile foundation. Fig. 24 shows that, the liquefaction
662 depth decreases with the increase of α_r while it decreases with the decrease of β_r . This
663 figure also shows, the liquefaction depth decreases with the increase of α_r while it
664 decreases with the decrease of β_r . It is also found, effects of coefficient α_r on the
665 liquefaction depth far away the pile is much larger than that near the pile. While for the

666 coefficient β_r , the location at the vicinity of the pile owns the larger effects. With
667 comparison, the effects of β_r on the liquefaction depth is obviously smaller than that of
668 the α_r . Noted that, the scale effect may occur when the results are extended to the
669 application in the real environment. The empirical formulas (Eq. 12 and Eq. 13)
670 involving α_r and β_r , are obtained from a curve-fit exercise to the large-scale simple shear
671 test data (De Alba, 1976; Sumer et al., 2012). It should be noted that, in this sense, the
672 present simulations have already considered the scale effects based on the full-scale
673 coefficients used.

674 Partial liquefaction is also often found in the real environment, which would cause
675 a large decrease in soil effective stress and thus leading to the instability of the
676 foundation. Partial liquefaction may happen if the fluidization degree p_r/σ'_z is greater
677 than e.g. 0.5 (it is 1 for the full liquefaction, see Eq. 24) (de Groot et al., 2006a; de
678 Groot et al., 2006b). Fig. 25 shows the comparison of liquefaction depth between the
679 full liquefaction criteria and partial liquefaction criteria. It illustrates that the estimated
680 liquefaction depth with partial liquefaction criteria is much larger than that with the full
681 liquefaction. This indicates that the design strategy with a partial liquefaction criteria
682 should be much safer in the practical offshore engineering. It is also found that, with
683 the partial liquefaction criteria, the liquefaction depth near the pile does not change
684 much comparing to that far away from the pile. This indicates that the effect of the
685 presence of pile on the liquefaction depth is much weaker with the partial liquefaction
686 criteria.

687

688 **5. Conclusion**

689 In this study, based on a non-linear Boussinesq wave model and FD seabed model, a
690 3D integrated numerical model was developed to investigate the wave-induced residual
691 response of the seabed around a mono-pile foundation. Experimental data from five
692 flume tests were used to validate the present model. Good agreement between the
693 measured data and numerical simulations was obtained. The validated model was then
694 applied to investigate the pore pressure accumulation around a mono-pile foundation.
695 Considering the self-gravity of the pile, the wave-induced 3D liquefaction zone around
696 an embedded pile foundation was investigated. The following conclusions were drawn:

697 (1) The present numerical model adopting the definition of the 3D source term f_3
698 can provide reliable results with regard to pore pressure accumulation around a marine
699 structure.

700 (2) Wave diffraction and reflection increase and decrease the residual pore pressure
701 in front and at the rear of a mono-pile, respectively. Effects of wave
702 diffraction/reflection increase with an increase in wave height (H) and a decrease in
703 wave period (T), seabed permeability (k), and relative density (D_r).

704 (3) The increase of the residual pore pressure is relatively faster during the early
705 stage of wave loading, then gradually decelerates until the pore pressure reaches a
706 relatively high value. Such the build-up pattern of pore pressure is independent of the
707 magnitude of wave loading.

708 (4) This study presents a direct comparison among the FD, PD, and QS models. It
709 is found that the wave-induced residual response would be underestimated if the inertial

710 terms of pore fluid and soil skeleton are neglected. The above effect from the inertial
711 terms is overall minor which may be neglected in the engineering practice. The PD
712 model is recommend to use if a high simulation accuracy is needed for e.g. scientific
713 research.

714 (5) The presence of pile restrains the residual liquefaction adjacent to the pile
715 surface, and the maximum liquefaction depth increases with an increase in the inserted
716 depth of pile.

717 In this study, a new 3D residual model is established and the effects of the wave
718 reflection/diffraction, inertial terms and various inserted depth of pile on the seabed
719 residual response are investigated. Other factors, such as current, random waves
720 (Meijers et al., 2014) and pre-shearing (Meijers and Luger, 2012) may affect the
721 liquefaction and will be examined in future study.

722

723 **Acknowledgements**

724 This work was supported by the Fundamental Research Funds for the Central
725 Universities [2017B15814], the International Postdoctoral Exchange Fellowship
726 Program [20170014], National Science Foundation for Distinguished Young Scholars
727 [Grant No. 51425901], Fundamental Research Funds for the Central Universities
728 (2017B21514), Key Laboratory of Water-Sediment Sciences and Water Disaster
729 Prevention of Hunan Province (2018SS02), Natural Science Foundation of Jiangsu
730 Province [Grant No. BK20161509], Open Foundation of State Key Laboratory of
731 Hydrology-Water Resources and Hydraulic Engineering, Hohai University [Project No:

732 2016491011] and National Natural Science Funds of China (41706087). The authors
733 also give thanks to Associated Prof. David R. Fuhrman (from Technical University of
734 Denmark) for his work on the language editing of this paper. Titi Sui also thanks Dr.
735 Meijers (from Deltares) for providing explanations to his published paper. Reviewers'
736 comments have greatly improved the quality of the final manuscript.

737

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931

932 **Table lists:**

933 Table 1. Parameters used in the first application case

	Parameters	Notations	Magnitudes	Units
	Radius	R_p	2.5	m
Pile foundation	Young's modulus	E_p	10	GPa
	Poisson's ratio	μ_p	0.25	-
	Pile length	l	14	m
	Embedded depth	de	8	m
	Depth	d	4	m
Wave	Density	ρ_f	1000	Kg/m ³
	Wave height	H	0.2-0.4	m
	Wave period	T	4-8	s
	Permeability	k	2×10^{-4} - 4×10^{-5}	m/s
	Porosity	n	0.425	-
Seabed	Relative density	D_r	0.28-0.32	-
	Saturation degree	S_r	0.98-0.992	-
	Poisson's ratio	μ_s	0.35	-
	Young's modulus	E_s	5×10^6 - 7×10^7	Pa

934

935 Table 2. Parameters used in the second application case

	Parameters	Notations	Magnitudes	Units
Pile foundation	Radius	R_p	1.5	m
	Young's modulus	E_p	10	GPa
	Poisson's ratio	μ_p	0.3	-
	Pile length	l	24	m
	Embedded depth	de	0-12	m
Wave	Depth	d	8	m
	Density	ρ_f	1000	Kg/m ³
	Wave height	H	3	m
	Wave period	T	8	s
Seabed	Permeability	k	1×10^{-5}	m/s
	Porosity	n	0.3	-
	Relative density	D_r	0.28	-
	Saturation degree	S_r	0.992	-
	Poisson's ratio	μ_s	0.3	-
	Young's modulus	E_s	1.6×10^8	Pa

936

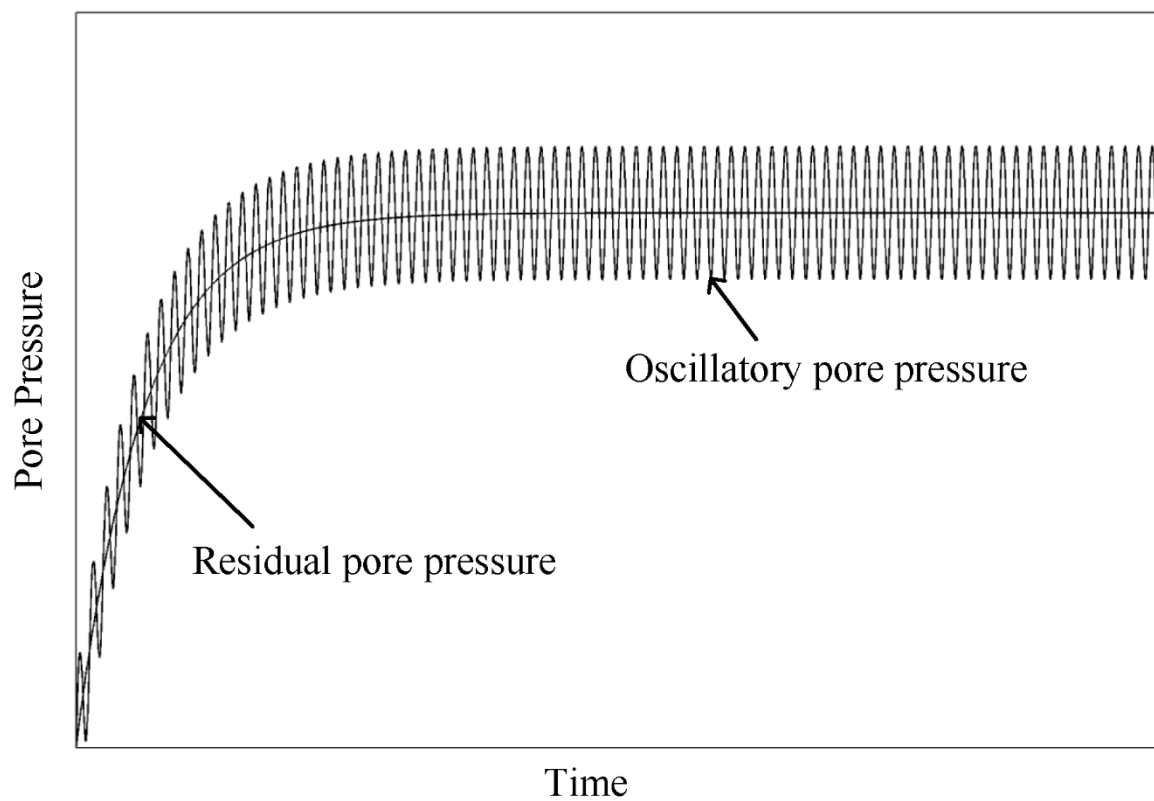


Fig. 1 Mechanisms of wave-induced pore pressures (not to scale)

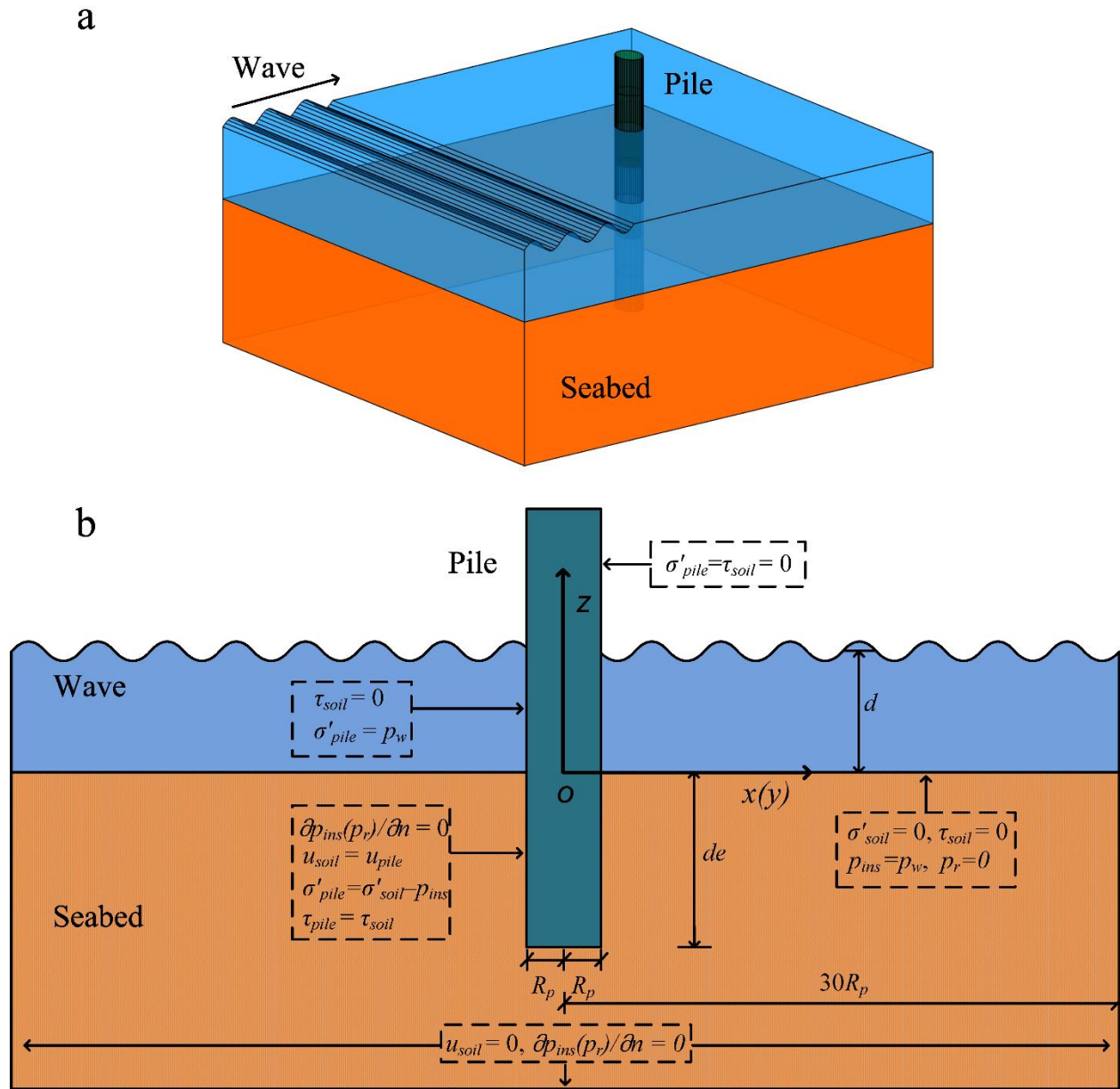


Fig. 2 (a) 3D Sketch and (b) boundary conditions of the present model in which d is the water depth, d_e is the embedded depth of the pile, R_p is the pile radius.

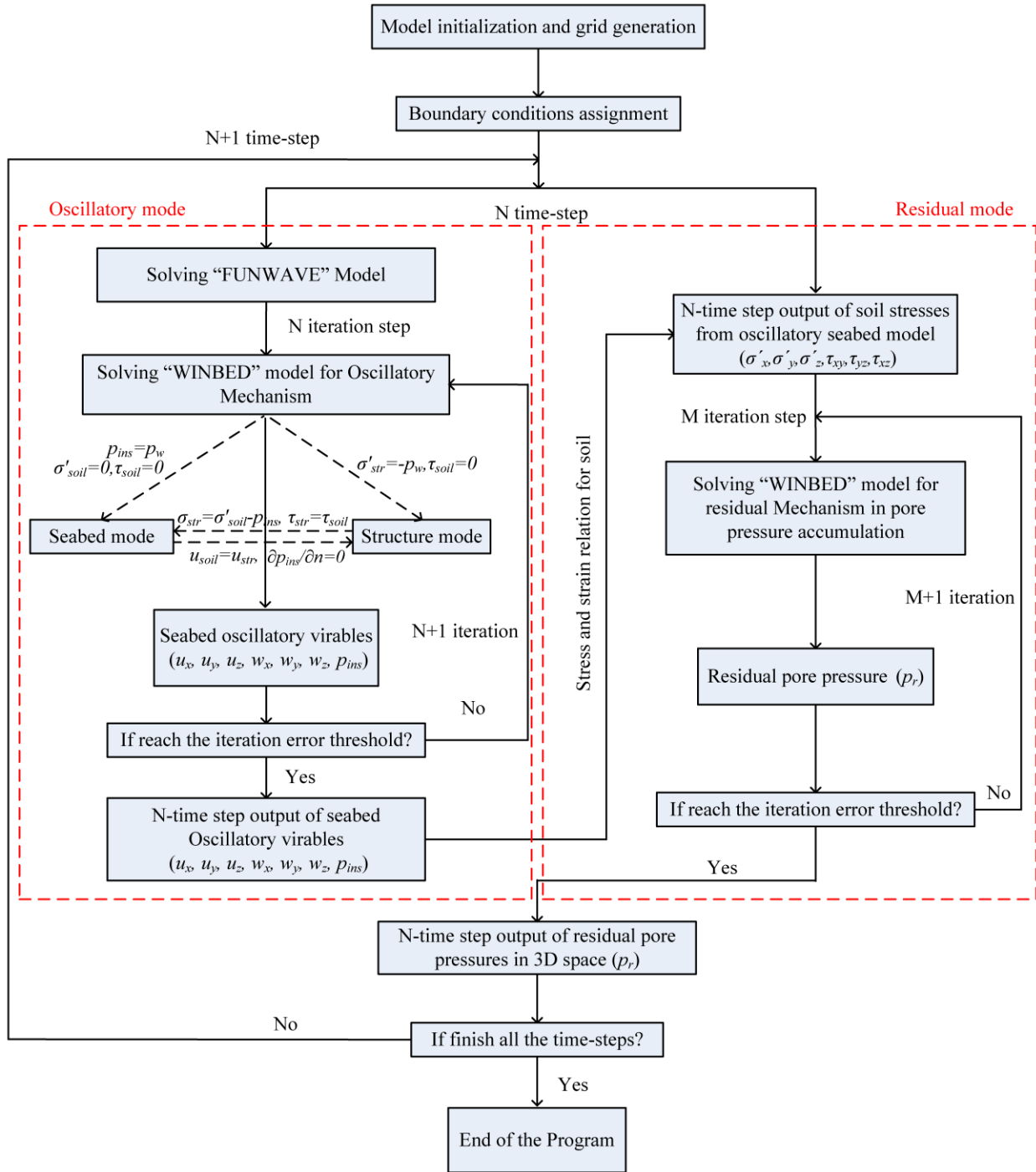


Fig. 3 Integrating procedure of the present numerical model.

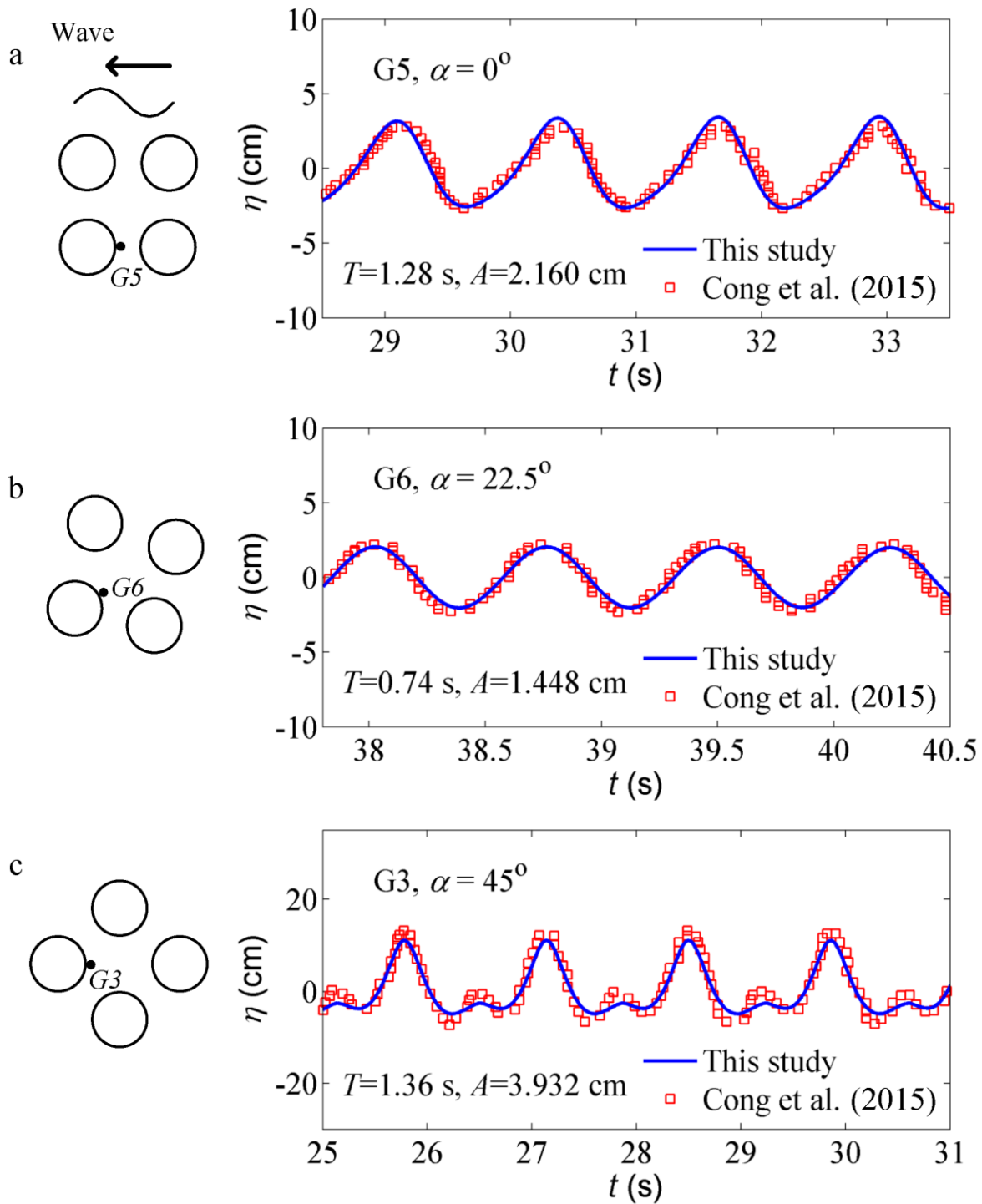


Fig. 4 Comparison of simulated (this study) and measured wave elevations (Cong et al. 2015) considering wave-pile interactions

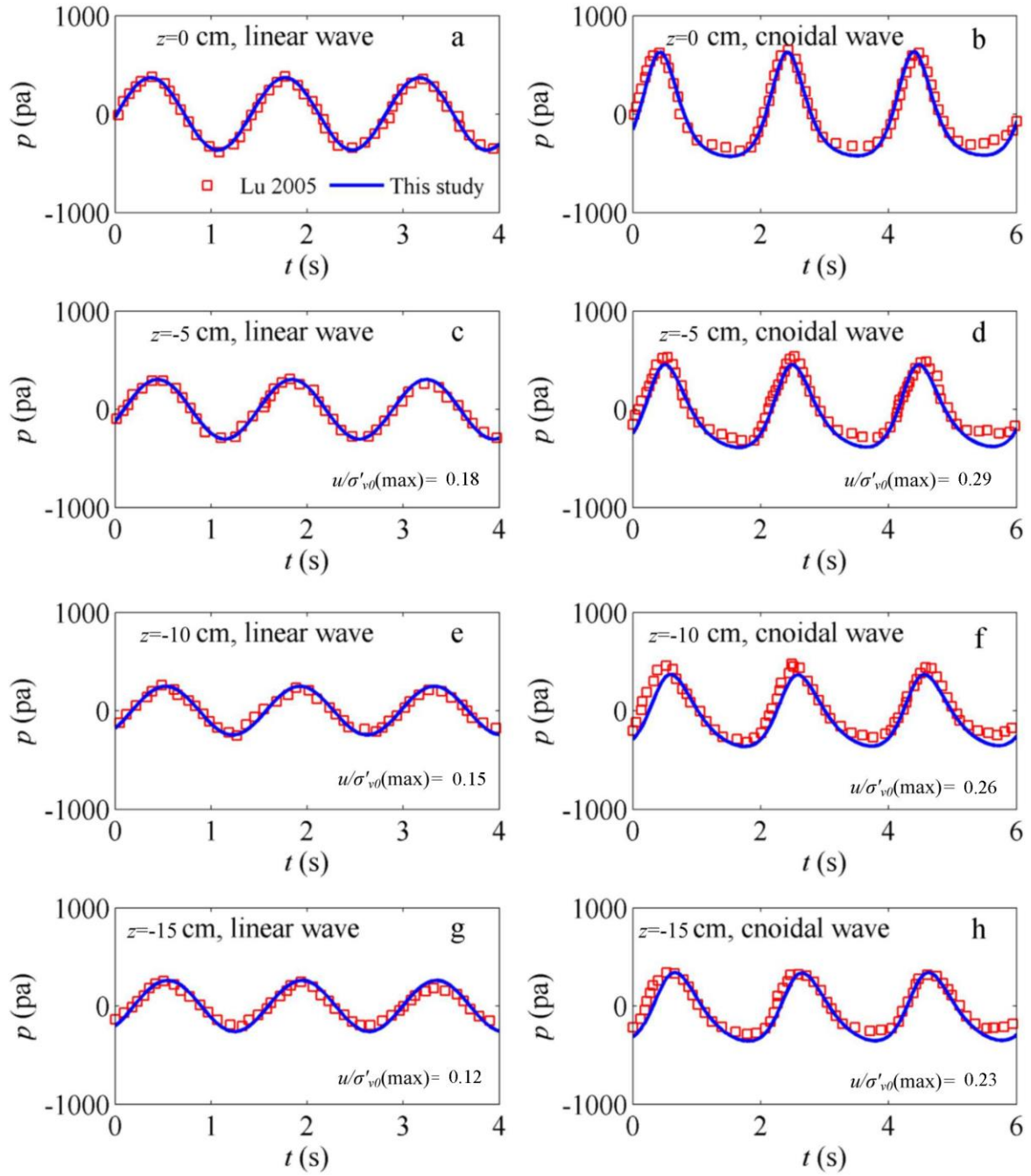


Fig. 5 Comparison of simulated (this study) and measured (Lu, 2005) wave induced dynamic pore water pressure at different soil depth for (a, c, e, g) linear wave and (b, d, f, h) cnoidal wave.

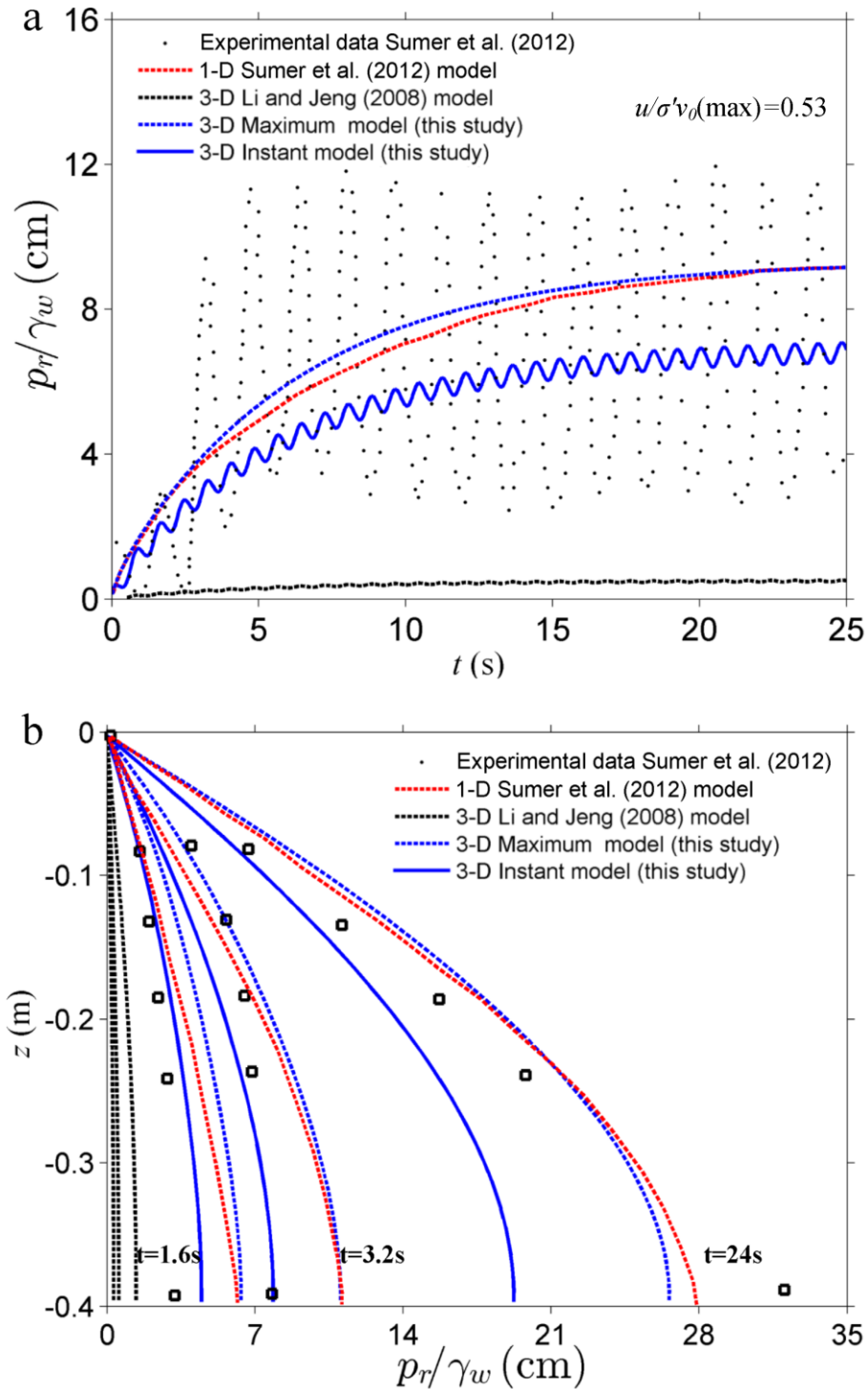


Fig. 6 Comparisons of simulated (Li and Jeng (2008), Sumer et al., (2012), this study) and measured (Sumer et al., (2012)) pore pressure build-up within a silt seabed (the oscillatory part of pore pressure is manually removed here).

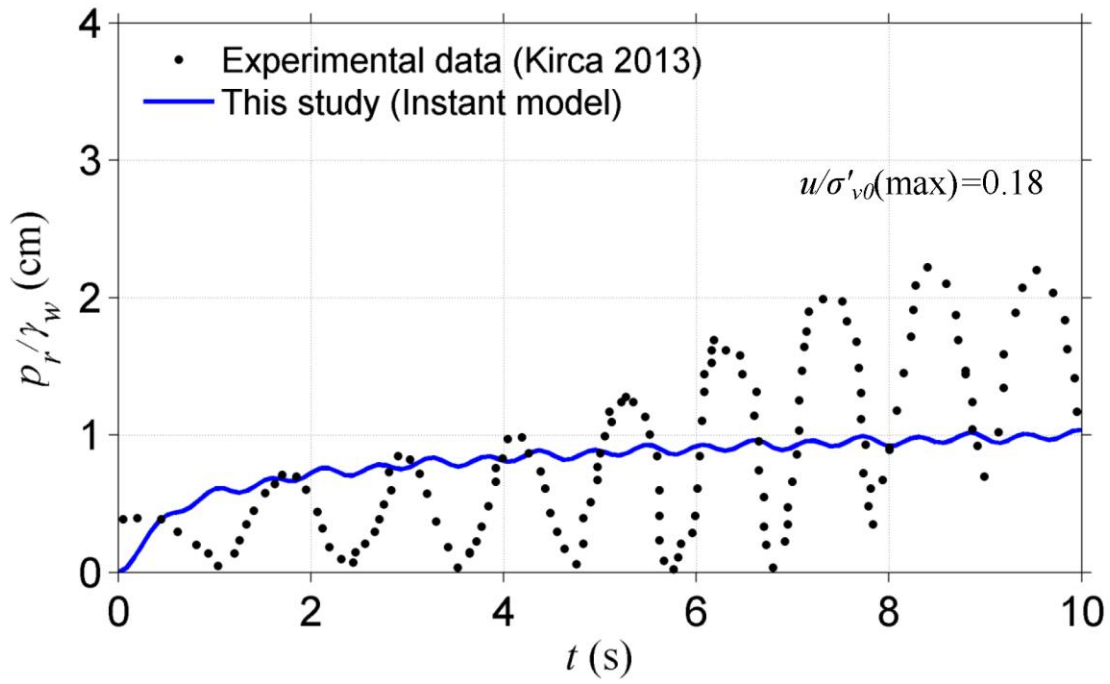


Fig. 7 Comparisons of simulated (this study) and measured (Kirca, 2013) pore pressure build-up within a silt seabed with a standing wave (the oscillatory part of pore pressure is manually removed here).

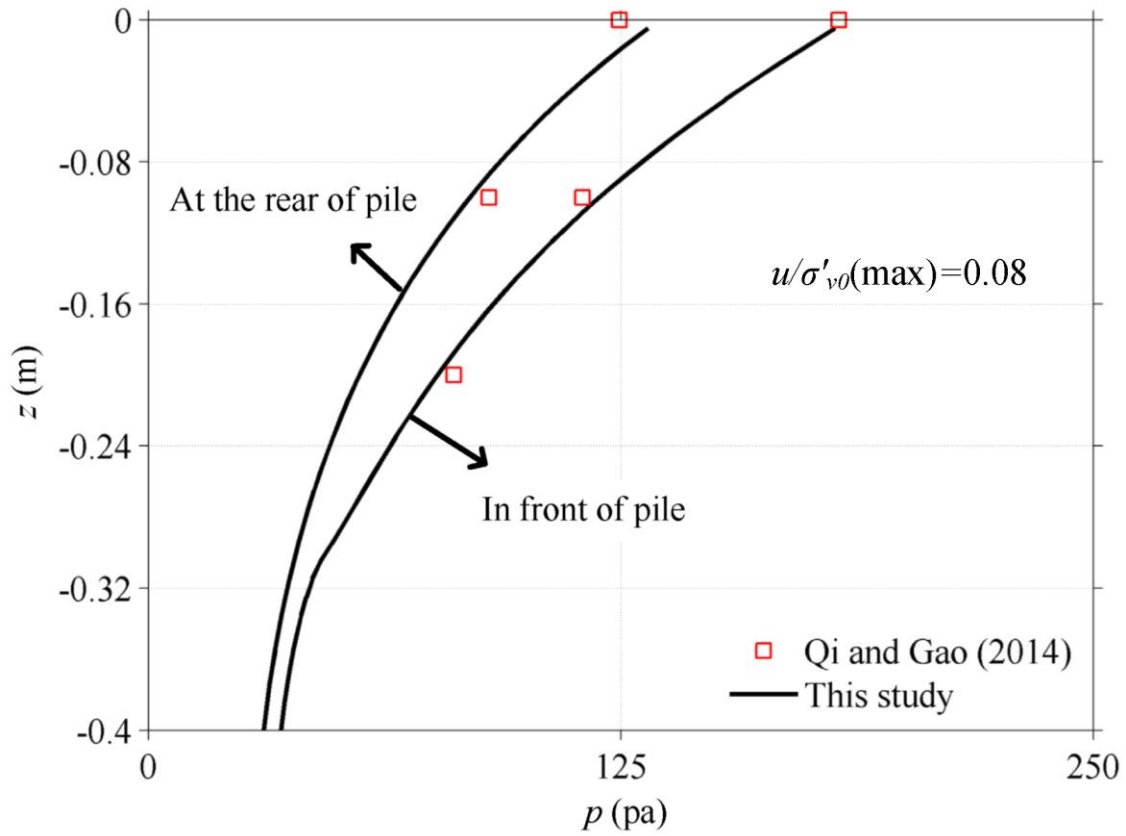


Fig. 8 Comparisons of simulated (this study) and measured (Qi and Gao, 2014) pore pressure vertical distributions around a mono-pile foundation.

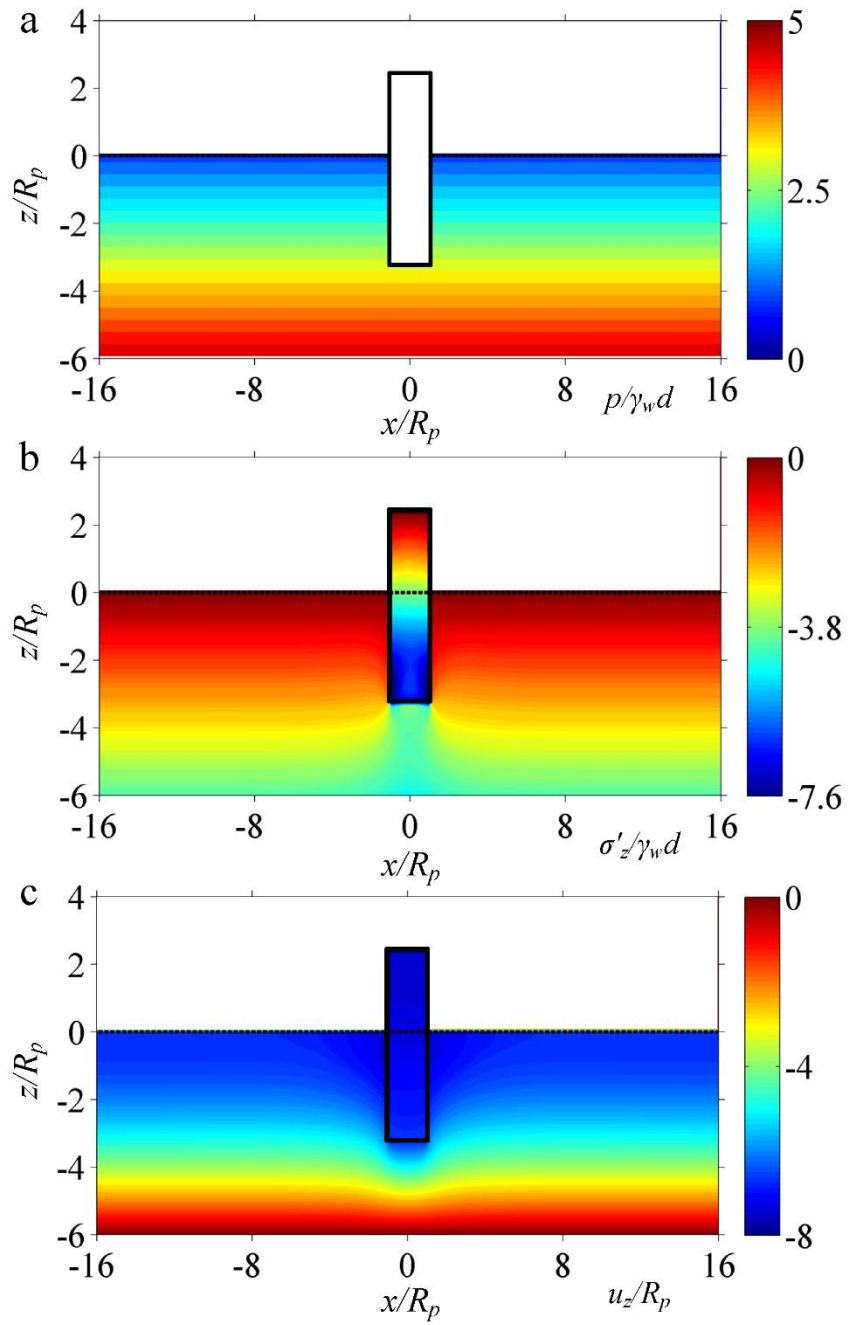


Fig. 9 The consolidation state of seabed for (a) pore pressure, (b) effective stress and (c) subsidence in the vicinity of a mono-pile ($H=0$ m, $k=2\times 10^{-5}$ m/s, $d_e=8$ m).

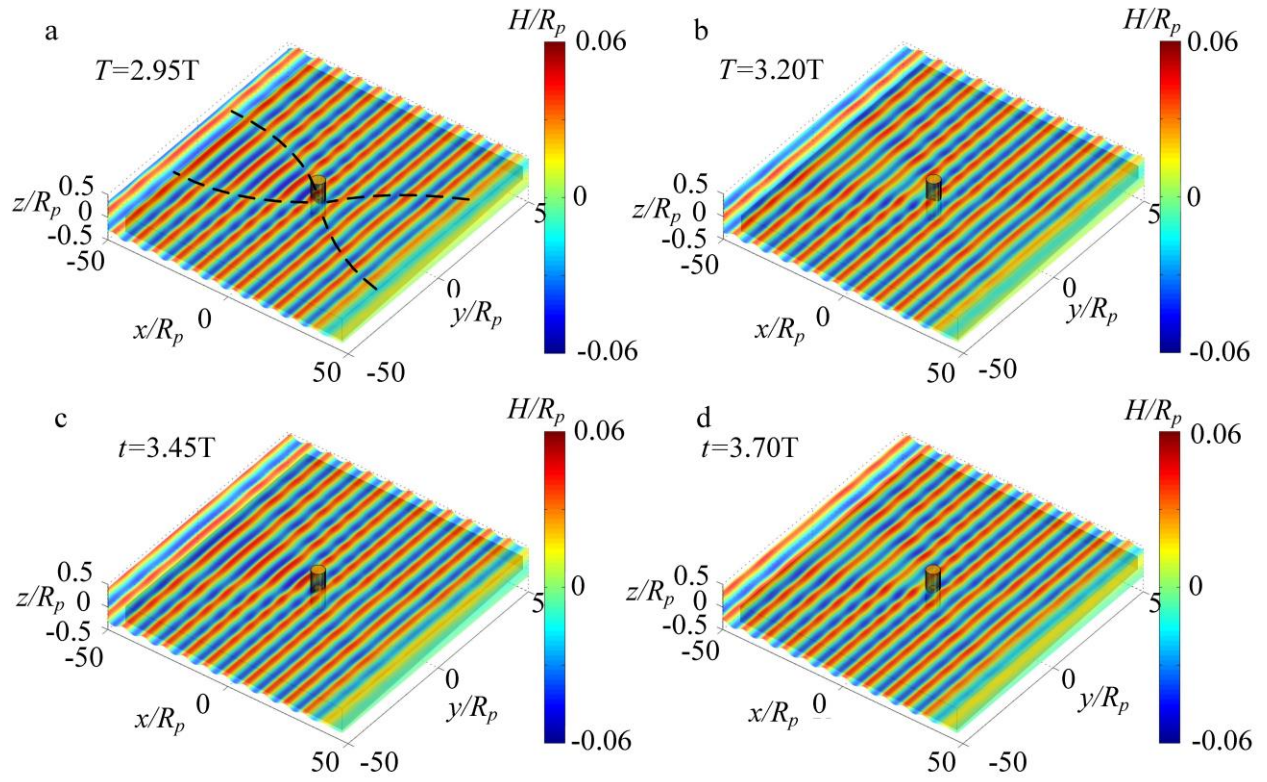


Fig. 10 3D distribution of wave surface elevation around a mono-pile for (a) $t=2.95T$, (b) $t=3.2T$, (c) $t=3.45T$ and $t=3.70T$. ($H=0.2$ m)

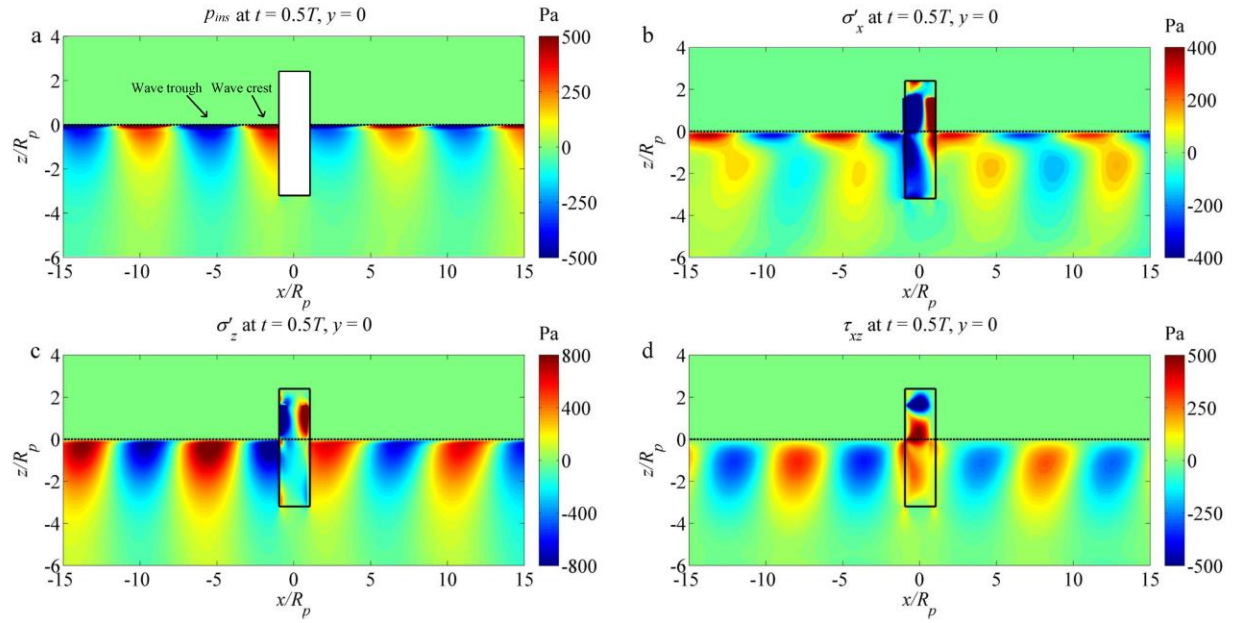


Fig. 11 Distribution of (a) oscillatory pore pressure (p_{ins}), (b) horizontal effective stress (σ'_x), (c) vertical effective stress (σ'_z) and (d) shear stress (τ_{xz}) around a mono-pile for $t=0.5T$ ($H=0.4$ m)

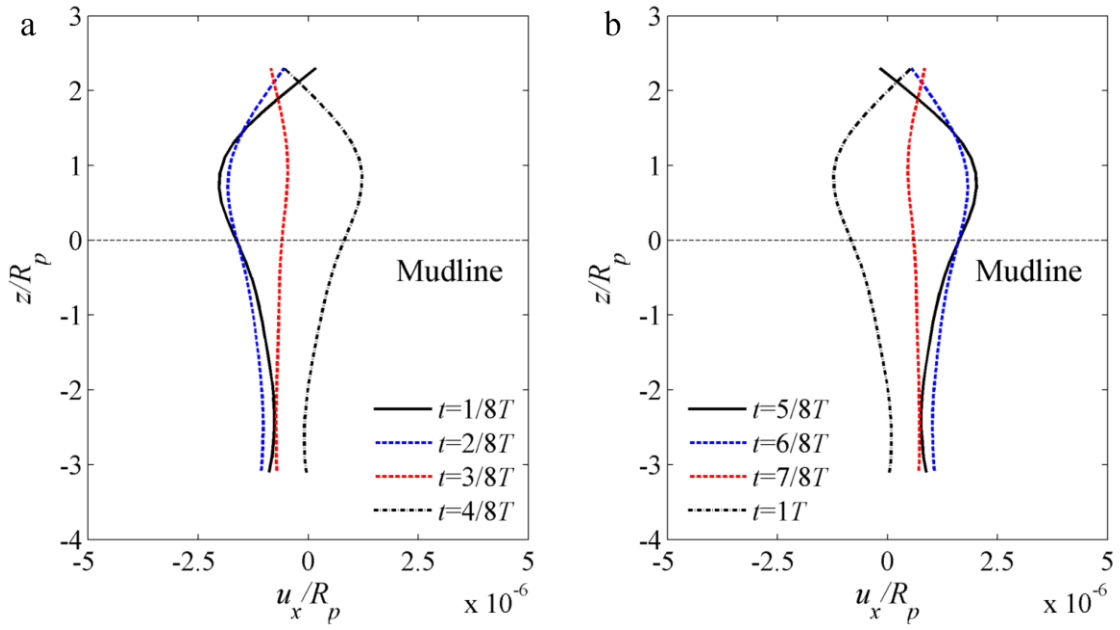


Fig. 12 Cyclic horizontal displacements of the pile (u_x) under the dynamic wave loading for one wave period ($H=0.4$ m)

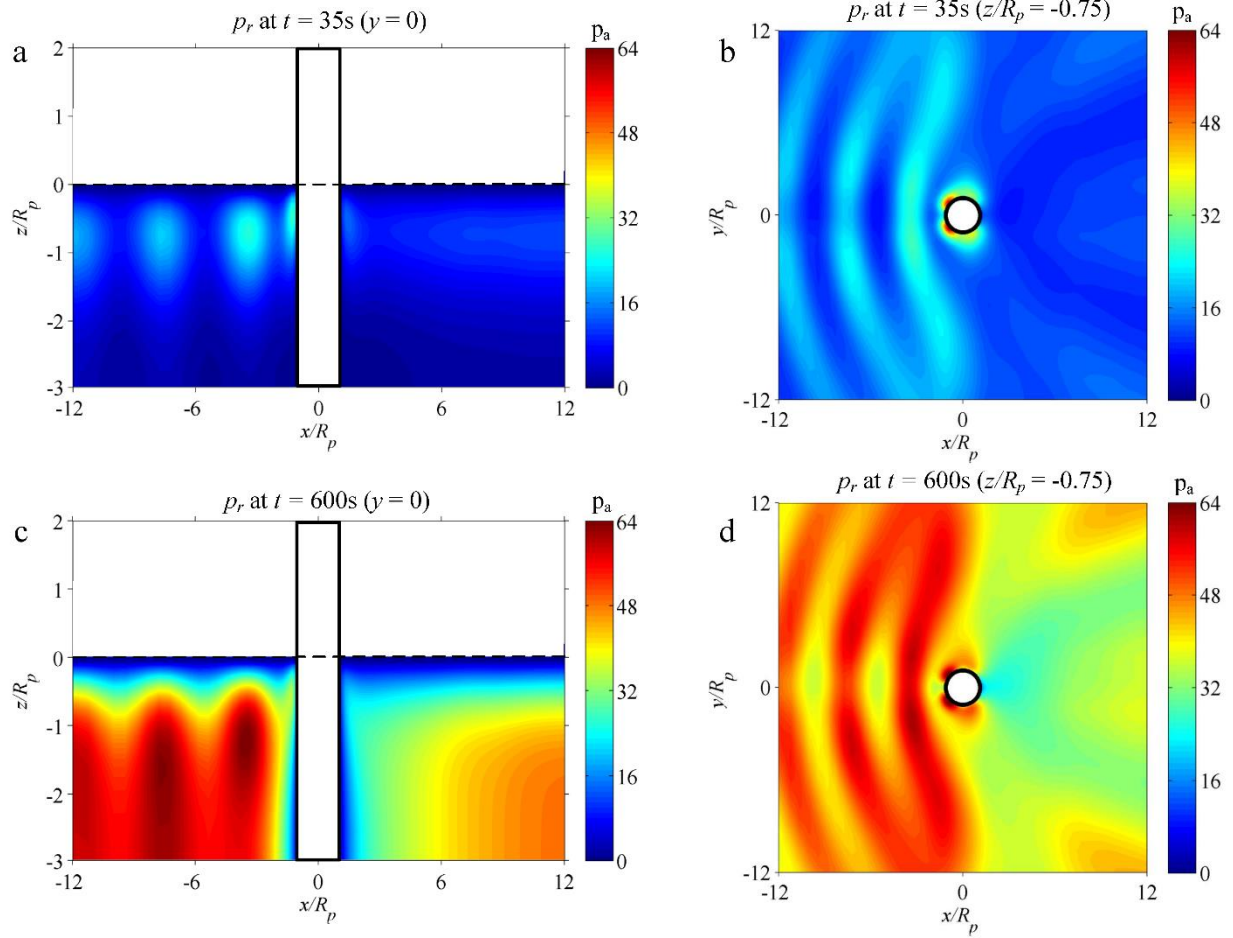


Fig. 13 3D temporal and spatial features of the accumulated pore pressure (p_r) around a mono-pile for (a) p_r at $t=35$ s in x - z section ($y/R_p=0$), (b) p_r at $t=35$ s in x - y section ($z/R_p=-0.75$), (c) p_r at $t=600$ s in x - z section ($y/R_p=0$) and (d) p_r at $t=600$ s in x - y section ($z/R_p=-0.75$). ($H=0.2$ m, $k=1\times 10^{-5}$ m/s, $D_r=0.28$, $de=8$ m)

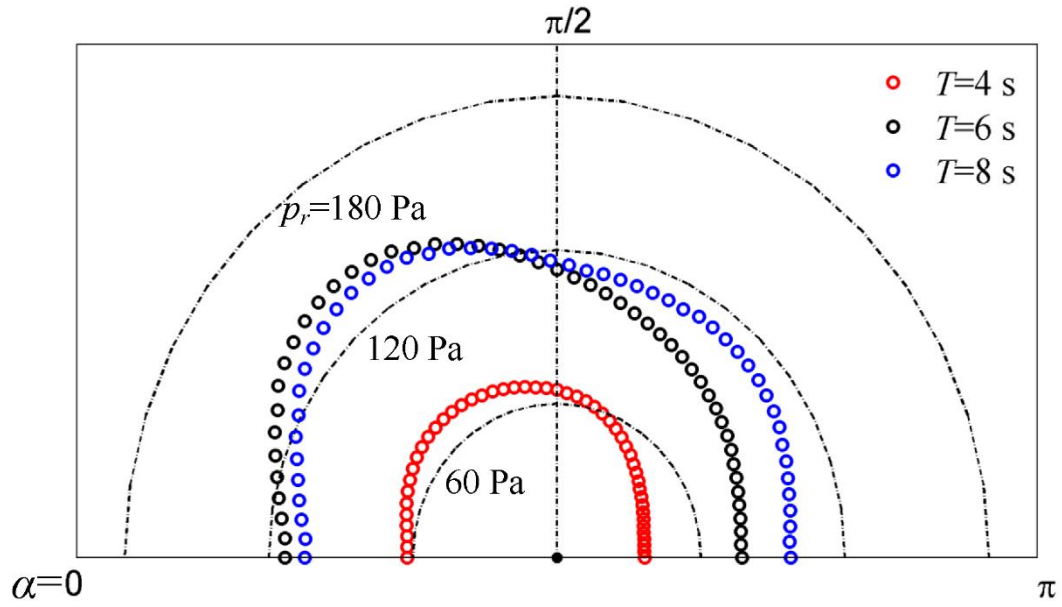


Fig. 14 Effects of wave periods (T) on residual pore pressure (x - y plane) in the vicinity of a mono-pile foundation. ($H=0.2 \text{ m}$, $k=1 \times 10^{-5} \text{ m/s}$, $D_r=0.28$, $z/R_p=-1$, $S/R_p=1$, $de=8 \text{ m}$, $t=600 \text{ s}$)

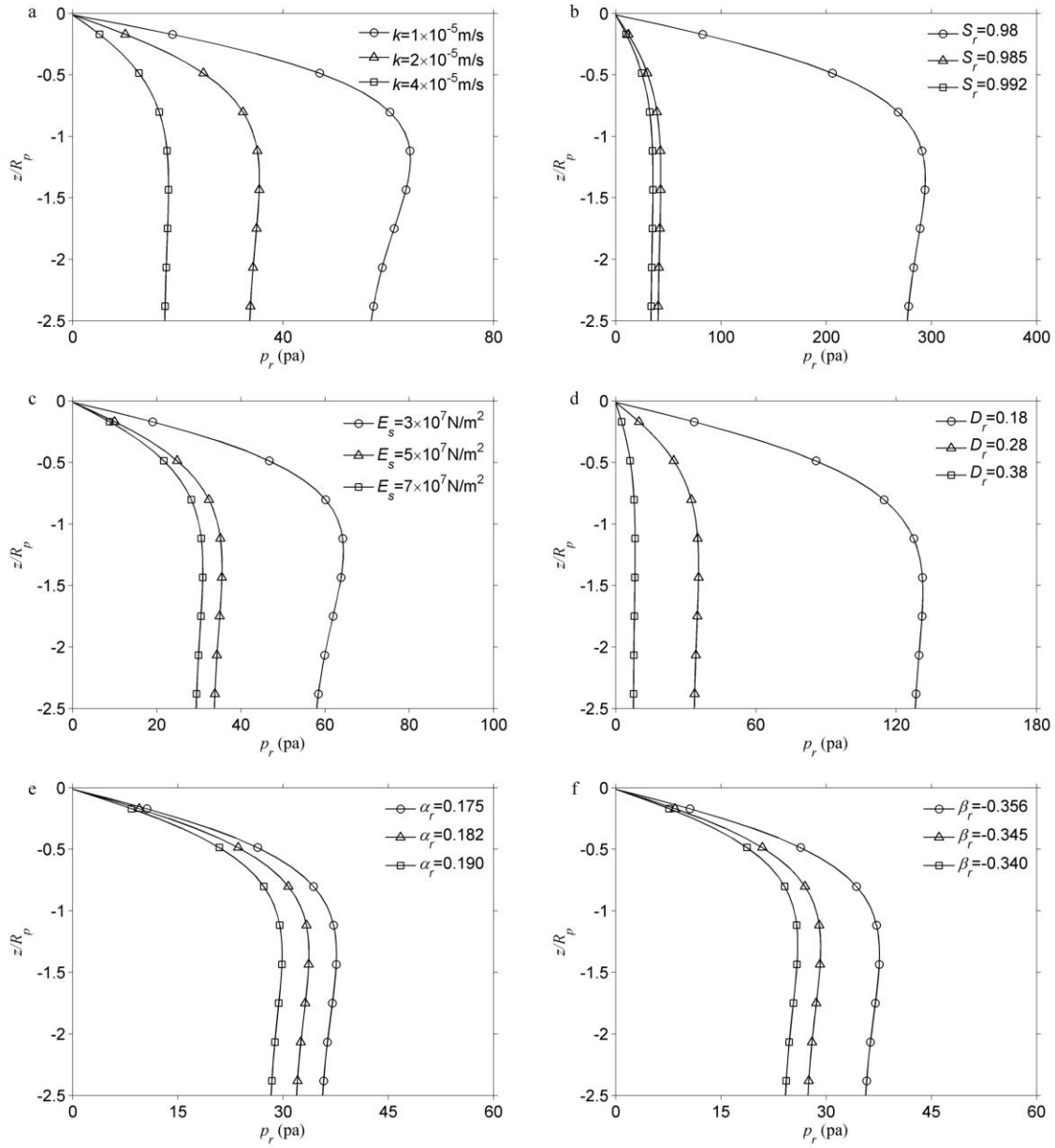


Fig. 15 Vertical distribution of the residual pore pressures ($t=600$ s, $T=4$ s, $H=0.2$ m, $d=4$ m) for (a) different seabed permeability k ($S_r=0.992$, $E_s=5\times 10^7$ N/m², $D_r=0.28$), (b) Saturation degree S_r ($k = 2\times 10^{-5}$ m/s, $E_s=5\times 10^7$ N/m², $D_r=0.28$), (c) Young's modulus E_s ($k = 2\times 10^{-5}$ m/s, $S_r=0.992$, $D_r=0.28$), (d) relative density D_r ($k=2\times 10^{-5}$ m/s, $S_r=0.992$, $E_s=5\times 10^7$ N/m²), (e) coefficient α_r ($S_r=0.992$, $E_s=5\times 10^7$ N/m², $\beta_r=-0.356$) and (f) coefficient $\beta_r=-0.356$ ($S_r=0.992$, $E_s=5\times 10^7$ N/m², $\alpha_r=0.175$).

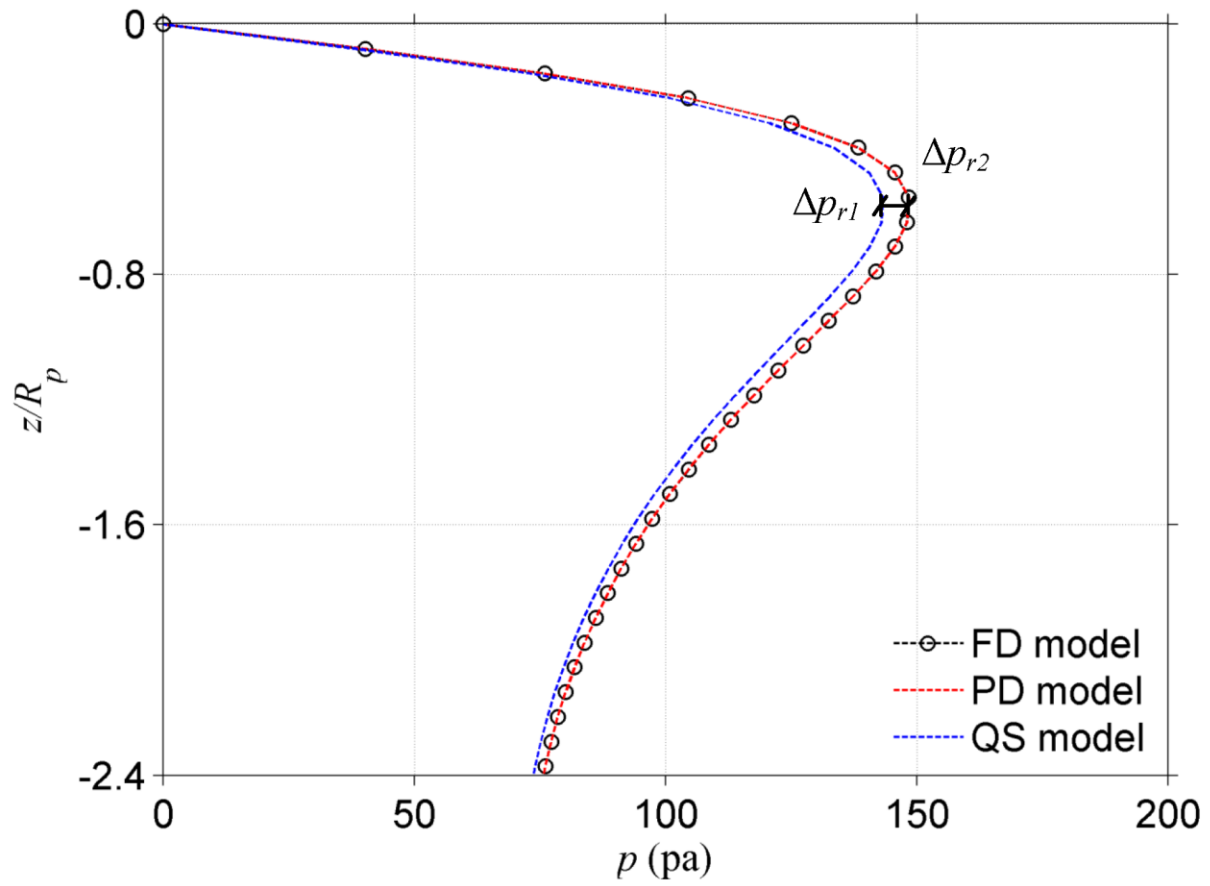


Fig. 16 Vertical distributions of the residual pore pressure simulated by Fully-Dynamic model, Partial-Dynamic model and Quasi-Static model. ($H=0.4$ m, $k=2 \times 10^{-4}$ m/s, $D_r=0.28$, $d_e=8$ m, $E_s=5 \times 10^6$ N/m²)

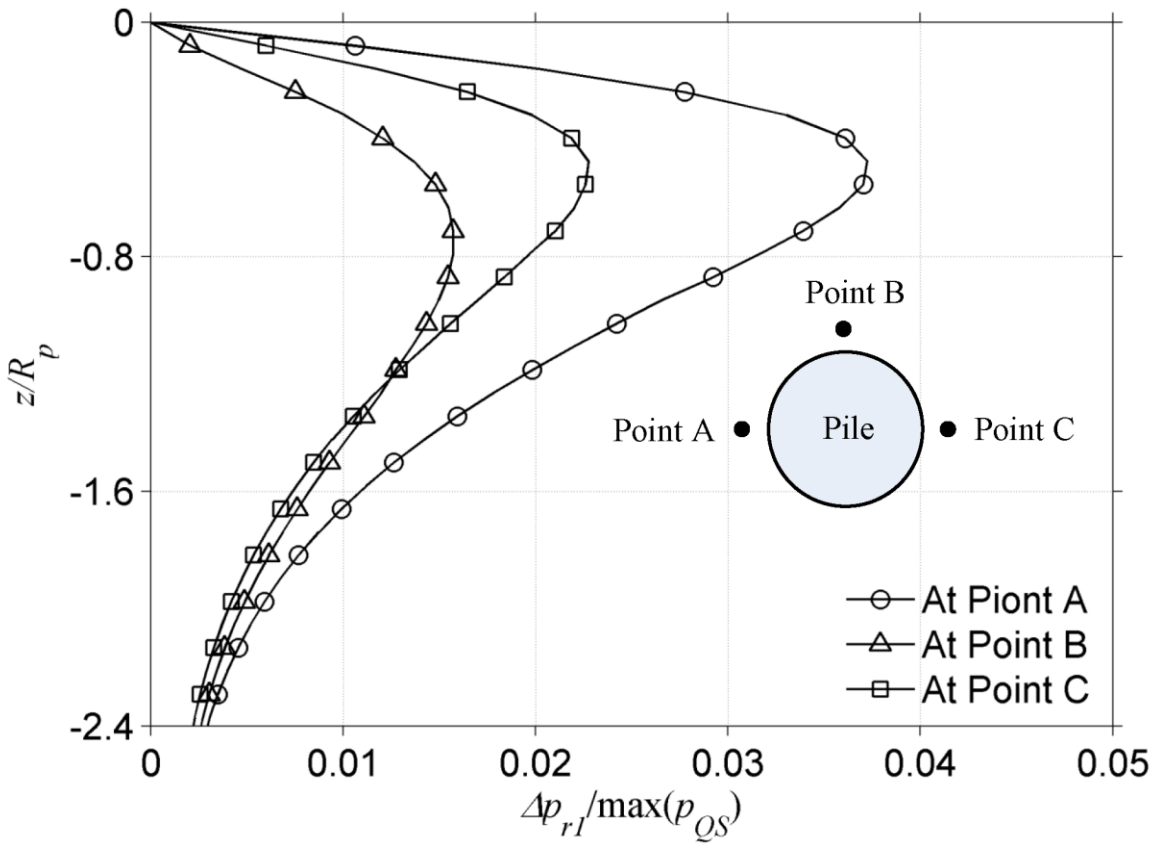


Fig. 17 Vertical distribution of pore pressure discrepancy ($\Delta p_{r1}/\max(p_{QS})$) in front (Point A), at sides (Point B) and at rear (Point C) of a mono-pile foundation ($\max(p_{QS})$ is the maximum pore pressure with Quasi-static model) ($H=0.4$ m, $k=2 \times 10^{-4}$ m/s, $D_r=0.28$, $d_e=8$ m, $E_s=5 \times 10^6$ N/m²).

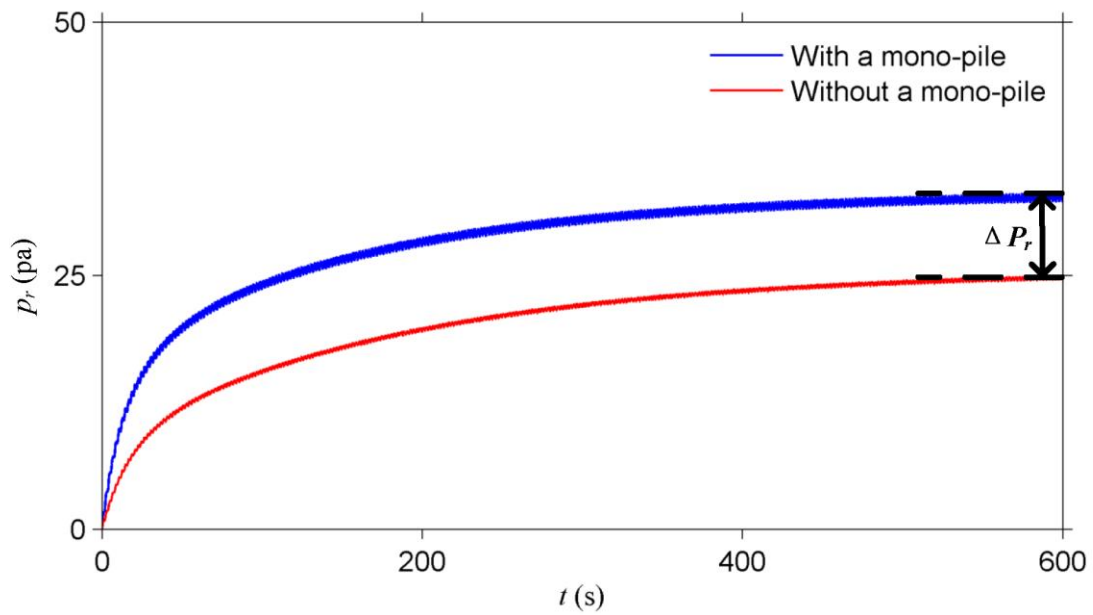


Fig. 18 Comparisons of the accumulated pore pressure with and without a mono-pile foundation at the location of $x/R_p=-3.5$, $y/R_p=0$, $z/R_p=-0.83$. ($H=0.2$ m, $k=2\times 10^{-5}$ m/s, $D_r=0.28$, $d_e=8$ m)

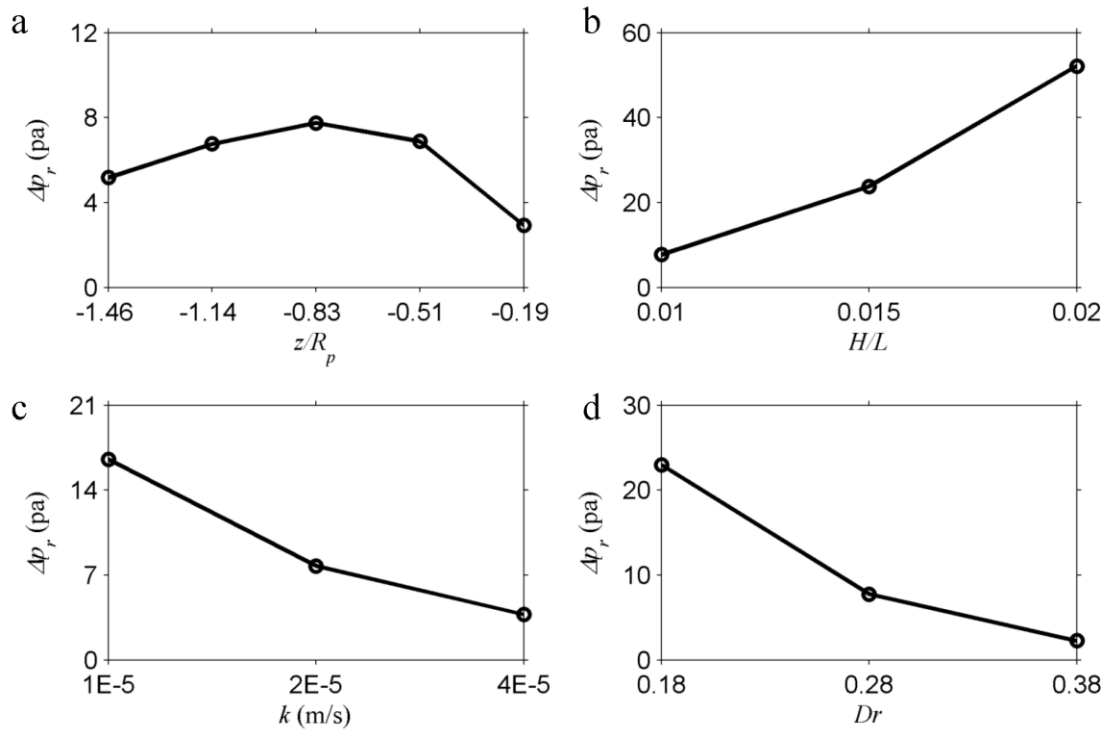


Fig. 19 Significance of mono-pile foundation against various (a) vertical locations (z) ($H/L=0.01$, $k=2 \times 10^{-5}$ m/s, $D_r=0.28$), (b) wave steepness (H/L) ($k=2 \times 10^{-5}$ m/s, $D_r=0.28$), (c) seabed permeability (k) ($H/L=0.01$, $D_r=0.28$) and (d) seabed relative density (D_r) ($H/L=0.01$, $k=2 \times 10^{-5}$ m/s). ($d_e=8$ m)

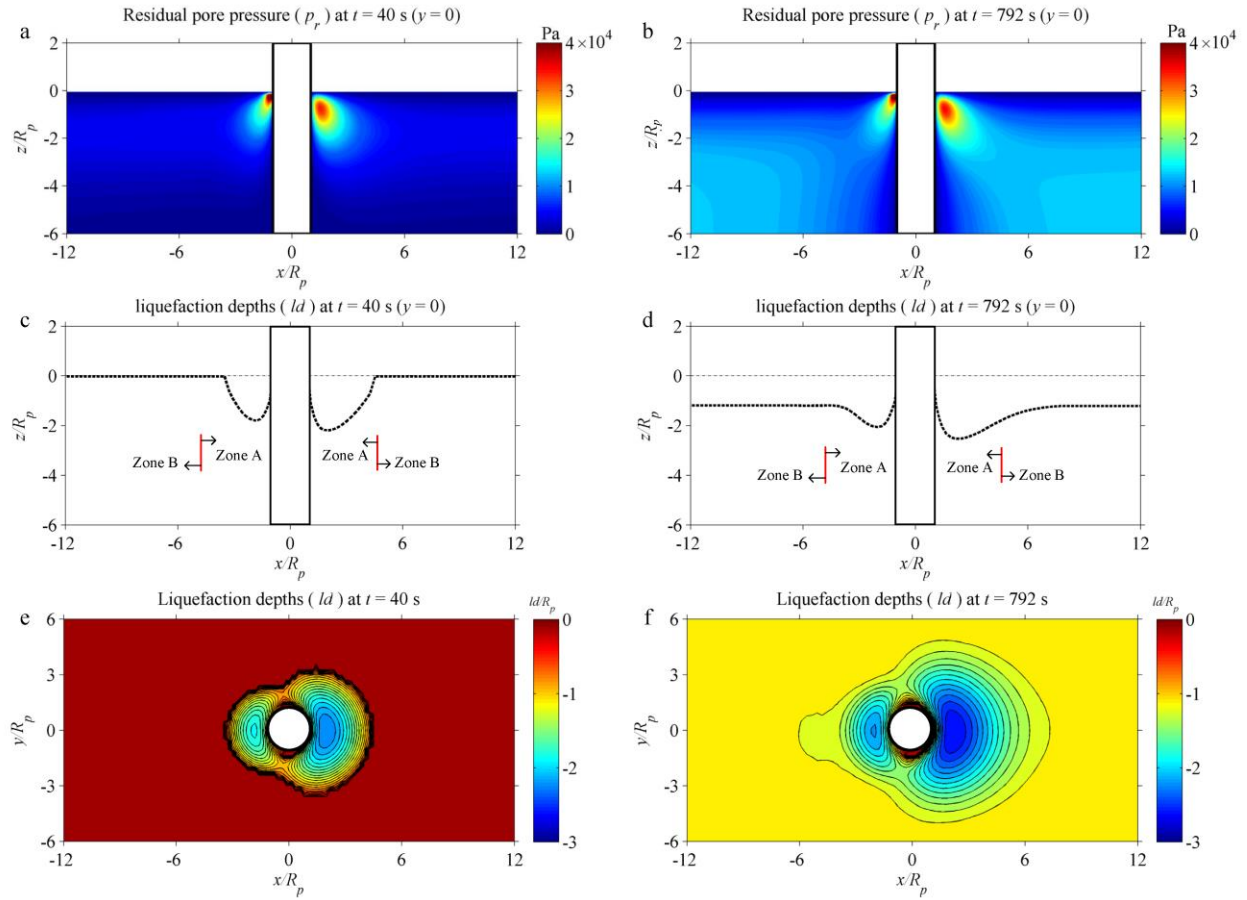


Fig. 20 Residual pore pressure (p_r) and liquefaction depth (ld in the x - z plane and x - y plane) in the vicinity of a mono-pile at $t=40$ s (a, c and e) and $t=792$ s (b, d and f) ($de/R_p=8$).

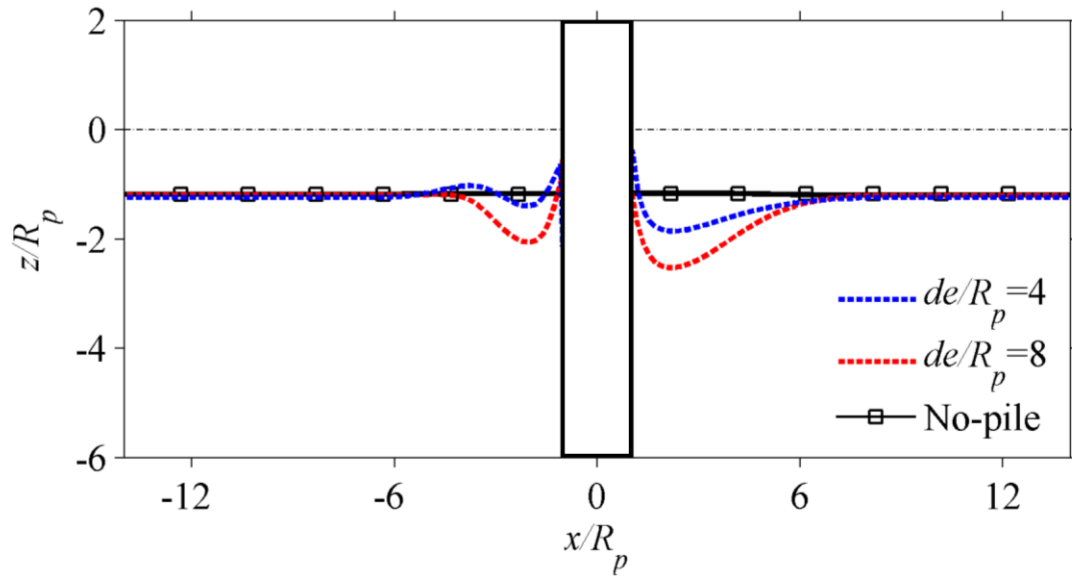


Fig. 21 Liquefaction depth (ld) around a mono-pile for different pile embedded depth (de).

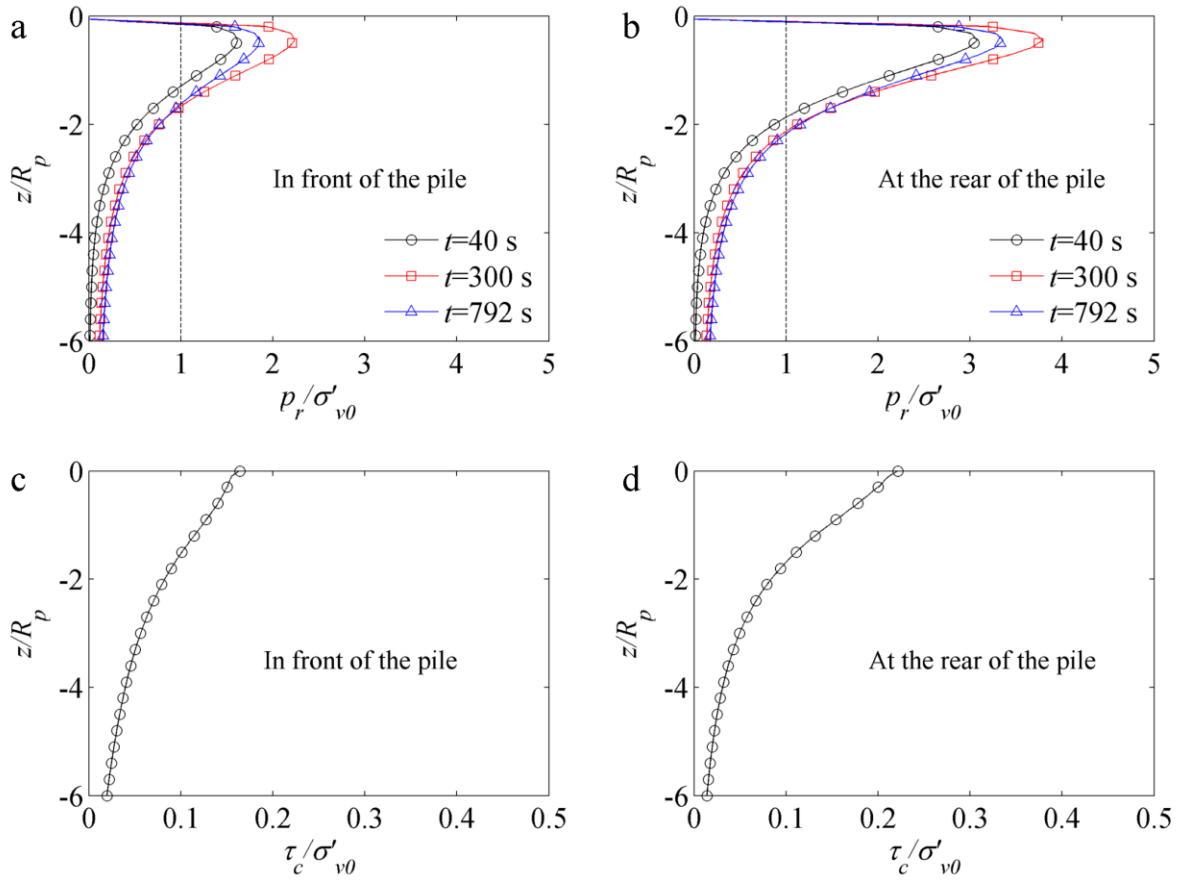


Fig. 22 The ratio of excess pore pressure u/σ'_{v0} (the fluidization degree) (a, b) as well as the relative cyclic shear stress amplitude (CSR) (c, d) in front ($x/R_p=-2.5$) and at the rear ($x/R_p=2.5$) of the mono-pile ($d_e/R_p=8$).

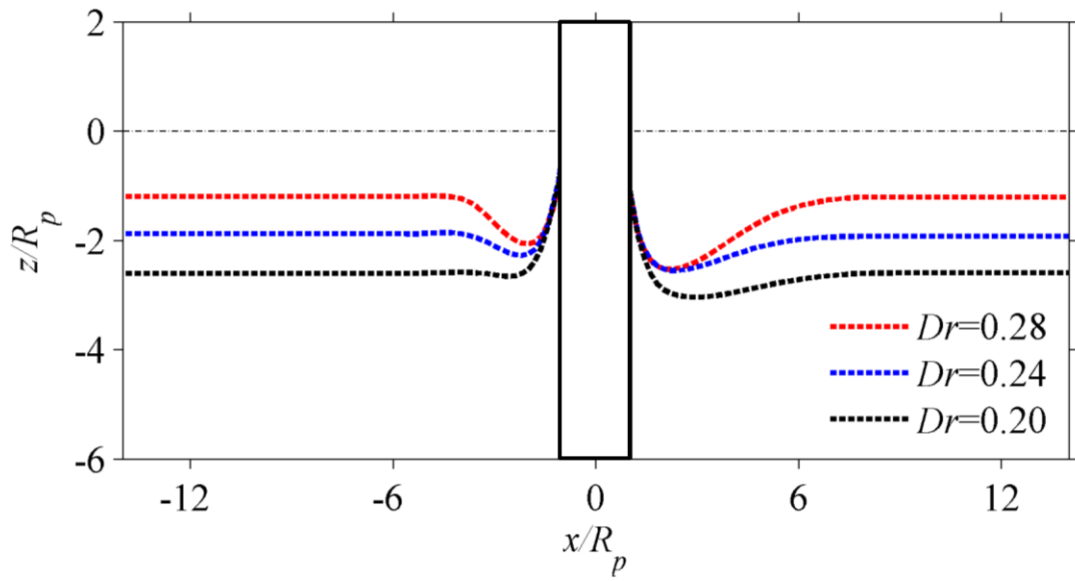


Fig. 23 Effects of soil relative density D_r on the liquefaction depth around the pile ($d_e/R_p=8$).

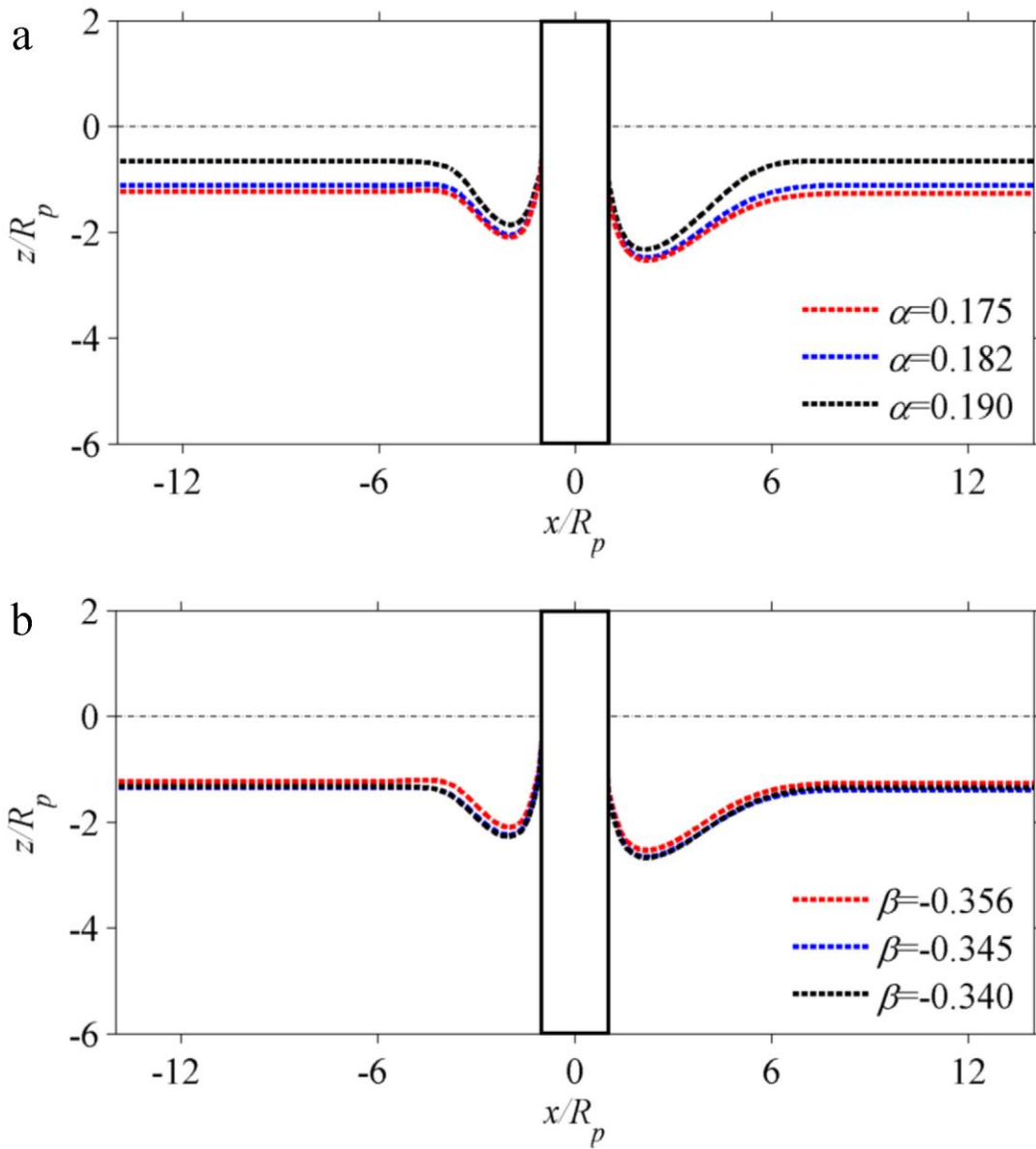


Fig. 24 Effects of (a) coefficients α_r ($\beta_r = -0.356$) and (b) coefficients β_r ($\alpha_r = 0.175$) on the liquefaction depth around the pile ($d_e/R_p = 8$).

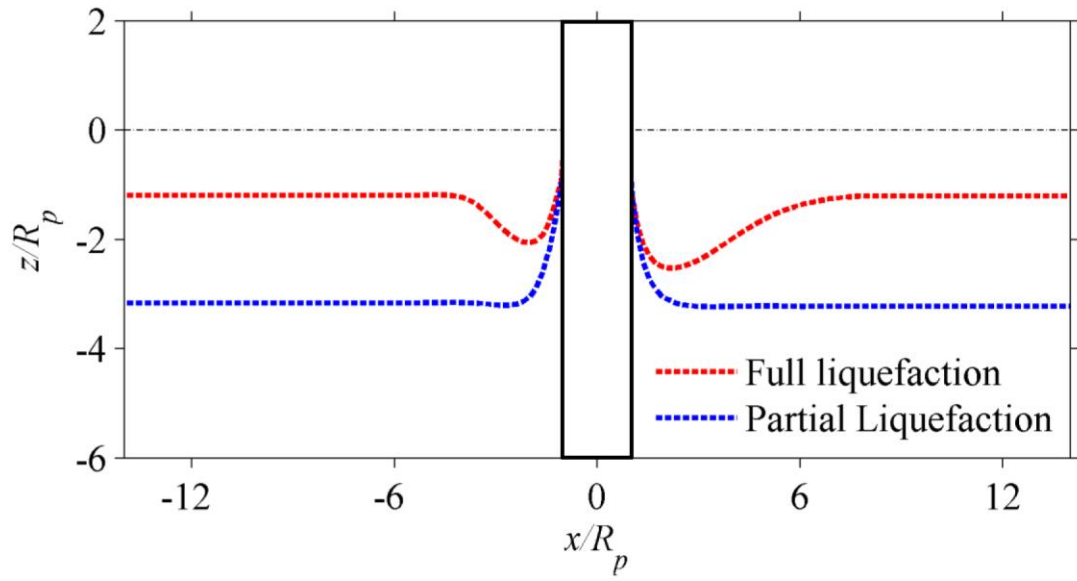


Fig. 25 Comparison of liquefaction depth with full liquefaction criteria and partial liquefaction criteria around the pile foundation ($d_e/R_p=8$).