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3D Microstructural Characterization of Ni/YSZ Electrodes Exposed to 1 Year of Electrolysis Testing

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Abstract

Long-term operation strongly affects the microstructure of Ni/YSZ cermets used in state of the art fuel electrodes for solid oxide cells. The microstructural changes are considered to heavily affect the cell degradation. In this paper, the characterization of the Ni/YSZ electrode of a solid oxide electrolysis cell tested as part of a stack tested for 1 year was performed through focused ion beam-scanning electron microscopy and energy dispersive X-ray spectroscopy. A reference cell and two locations of interest in the tested cell were selected: one at the steam inlet side and the other at the outlet. Considerable microstructural changes were observed in the tested cell compared to the reference cell and between the inlet and outlet side. A decrease in Ni (from 30 % in the reference cell to 24 % in the tested cell), and in percolating triple phase boundaries length (from 2.83 $\mu\text{m}/\mu\text{m}^3$ in the reference cell to 0.76 $\mu\text{m}/\mu\text{m}^3$ in the tested cell) was observed in the active fuel electrode. Based on the results of this work and previous studies we hypothesize that the degradation trend between different operating conditions at the inlet and outlet of the cell is related to the current redistribution inside the cell.

This work is an extension of the work presented at the 15th International Symposium on Solid Oxide Fuel Cells (Hollywood, Florida, USA July 23 – 28, 2017) with the title “Microstructural Characterization of Ni/YSZ Electrodes in a Solid Oxide Electrolysis Stack Tested for 9000 Hours” (published on ECS Transactions, 78 [1] 3049-3064 (2017)).

30 **Introduction**

31 The increased share of intermittent renewable energy in future green energy scenario raises the need for
32 managing the energy production/energy demand mismatch. In this regard, developing effective means of
33 converting and storing the electrical energy surplus generated by overproduction peaks is crucial for overall
34 system economy and security of supply. The role of devices capable of efficiently converting electrical energy
35 into a storable medium (e.g. hydrogen or carbon-based synthetic fuels) are become important in this context.
36 For this purpose, solid oxide cells (SOCs) represent an interesting technology [1,2] since the same cell can be
37 operated either in fuel cell mode as a solid oxide fuel cell (SOFC) or in electrolysis mode as a solid oxide
38 electrolysis cell (SOEC) [3]. This characteristic makes it possible to use the same device for both electrical
39 energy production and storage depending on the specific need.

40 Operational life spans of several years are required to make SOCs systems economically competitive on the
41 market and long-term stability has to be guaranteed [4-8]. Hence, the systems, stacks, and cells and their
42 individual components (such as manifolds, interconnects, electrodes etc.) must be reliable, robust and durable
43 [3, 5]. Relating the specific cell operation conditions with the degradation processes of the cell material and
44 microstructures has been the objective of many studies [8-18]. This field of research aims to ensure and
45 improve the long-term durability of the individual cells in a stack. Microstructural changes have been seen to
46 affect all the components inside the cells and are considered one of the main causes of performance degradation
47 [3, 8, 11-18]. Furthermore, additional studies have been performed with the aim of relating the specific
48 microstructure changes with the decrease in electrochemical performance [e.g. 7, 8, 11].

49 Several degradation mechanisms in SOECs have been proposed in the last years [3, 7, 8, 10, 11]. Specifically,
50 several studies were performed to investigate the effects of temperature, gas composition and current density
51 [7, 11-13]. In order to compare the microstructure of different cells, ideally several complementary
52 characterization techniques have to be applied on the same sample to obtain a proper description of the specific
53 phenomenon observed. Concerning the changes in the materials composition and microstructure, scanning
54 electron microscopy (SEM) and energy dispersive X-ray spectroscopy (EDS) are useful techniques [3]. To
55 obtain a full microstructural characterization of the electrode structures, focused ion beam-scanning electron

56 microscopy (FIB-SEM) technique has been extensively used [19-25]. The microstructural details that can be
57 extracted from 3D tomographic reconstructions such as particles size distribution [26] and triple phase
58 boundary (TPB) length [19-21, 25] provide quantification of microstructural features of the complex cermet
59 structure. These parameters can complement and support the interpretation of electrochemical performance
60 and durability data. Combining complete microstructural and electrochemical characterization can enable the
61 description and quantification of specific degradation mechanisms occurring in the cell.

62 An attempt to relate electrochemical and microstructural degradation in SOECs was made by Hauch et al. [8]
63 on cells tested for more than 1500 hours. It emerged that the Ni/YSZ electrode was the main source of
64 performance degradation and Ni coarsening was one of the main mechanisms contributing to the decrease of
65 active TPB sites for electrochemical reactions [27]. A qualitative post-mortem analysis was performed by Chen
66 et al. [11] on SOECs tested up to 1500 hours, the authors showed the influence of temperature and current
67 density on the Ni/YSZ electrode microstructure. It was observed that higher temperature and current density
68 cause significant loss in percolation in the active fuel electrode. Recently, a microstructural investigation on
69 long-term (i.e. 1000 hours) tested SOEC was reported by Hauch et al. [8]. By combining SEM and
70 electrochemical impedance spectroscopy (EIS) measurements, the authors proposed an optimized fuel
71 electrode microstructure capable of tolerating high $p(\text{H}_2\text{O})$ and current density with a low enough fuel electrode
72 overpotential to make the SOC last for more than 5 years potentially. Few studies have investigated
73 microstructural characterization of SOEC tested for longer than a few thousands hours. Tietz et al. [10] carried
74 out a qualitative metallographic analysis of a 9000 hours tested SOEC by means of SEM images. For that
75 specific cell, the main microstructural degradation was observed in the electrolyte while the Ni-YSZ electrode
76 did not seem to be significantly affected by operation. In this context, a systematic quantification based on 3D
77 tomographic reconstructions of SOECs tested for several thousands of hours is missing.

78 Among several degradation mechanisms observed in Ni-YSZ electrodes Ni agglomeration and coarsening is
79 considered one of the main causes of performance loss for solid oxide cells [13, 28]. Many studies have been
80 focusing on the Ni coarsening effect in the last years and it has been found that the particles growth is faster
81 during the first hours (0-1000 h) of the test [13]. Much attention has been paid to microstructural changes in

82 the Ni phase, indeed, and at typical cell operating conditions, the YSZ can be considered a stable scaffold
83 wherein Ni re-distributes and increases in particles size. Tanasini et al. [28] observed this behavior through the
84 analysis of SEM images. To the best of the authors' knowledge, only few studies addressed quantitative
85 microstructural characterization of Ni/YSZ electrode of SOEC tested as part of a stack [7, 29, 30] and a
86 quantitative assessment of Ni coarsening for several thousands of hours of operation under technologically
87 relevant conditions is still missing up to now.

88 In addition, only few examples of microstructural characterization of cells tested as part of a long-term tested
89 SOC stack can be found in the literature. Faes et al. [13] tested several stacks for a maximum of 1900 hours in
90 fuel cell mode and characterized the microstructure of Ni/YSZ electrodes by means of SEM micrographs.
91 Rinaldi et al. [30] tested a short SOEC stack for 10,700 hours and then analyzed the microstructural degradation
92 of each layer, the nickel depletion in the innermost region of the active fuel electrode was observed. Brisse et
93 al. [31] tested the cell characterized in this work as part of a stack for ~ 9000 hours in technologically relevant
94 operating conditions at the European Institute for Energy Research (EIFER). A preliminary microstructural
95 characterization of the same cell was reported by our group [29] with the aim of investigating the
96 microstructural degradation and we highlighted the differences between 2D and 3D quantification of the same
97 electrode microstructure.

98 Quantifying microstructural changes of SOC is important for understanding the degradation processes
99 occurring at the micrometer scale. With the aim of providing a detailed characterization of the microstructure;
100 we combine here 3D tomographic reconstructions with EDS. The 3D characterization performed on FIB-SEM
101 data provides detailed information on the network properties defining, not only the particles size, but also the
102 quality of the connections. However, only small volumes can be analyzed with this technique and, in this
103 regard, EDS maps provide important additional information of large areas of the cell. Two locations exposed
104 to different local operating conditions (i.e. gas composition, current density, and temperature) inside the same
105 cell were chosen for analysis: at the steam inlet and outlet. The microstructures of the two regions analyzed
106 were compared with a reference cell exposed to reduction only and no long-term operation. Ni re-distribution
107 was detected and changes in TPB and surface area of the three phases (i.e. Ni, YSZ and pores) were quantified.

108 Moreover, hypotheses are proposed to explain the difference observed between the two locations in the long-
109 term tested cell (inlet and outlet).

110 **Experimental**

111 One of 25 identical SOEC cells from a stack produced by Topsoe Fuel Cell A/S (Cell number 17 counting
112 from top of the stack) is analyzed in the present work. The cells have a Ni/3YSZ support (~ 300 μm thickness)
113 and a Ni/8YSZ fuel electrode (~ 15 μm thickness), a 8YSZ electrolyte, a CGO ($\text{Ce}_{1-x}\text{Gd}_x\text{O}_{2-x/2}$) barrier layer,
114 and a CGO/LSCF ($(\text{La},\text{Sr})(\text{Co},\text{Fe})\text{O}_{3-\delta}$) oxygen electrode. On the top of the oxygen electrode a current collector
115 layer is applied. The cells have an active area of 87.7 cm^2 and are stacked in between coated Crofer
116 interconnects [31].

117 Testing and sample preparation

118 The long-term electrolysis testing of the stack analyzed in this work was performed at the EIFER. Essential
119 parameters used for the operation are summarized in Table 1. Additional details about the test and stack
120 performance can be found elsewhere [31]. The reference cell used in this work was a cell from the same
121 production batch of the 25 cells in the stack. The reference cell was reduced at 750°C for 4 hours in moisturized
122 10 % H_2 in N_2 (10 % H_2 , 90 % N_2) and not tested further. The Ni/YSZ electrode of the reference cell was
123 utilized for comparison with the tested cell.

124 3D Characterization

125 After the test, part of the stack (Cells 11-25) was embedded in epoxy resin and then sectioned for further
126 analysis. A sketch of the stack top view with relative sectioning is shown in Figure 1 a). A Zeiss XB1540
127 Crossbeam microscope was used for data collection by FIB serial sectioning on the reference cell and the two
128 locations of interest on the long-term tested cell: the fuel inlet and outlet side. For the sake of simplicity, the
129 three locations investigated will henceforth be defined as reference, inlet, and outlet, respectively. Cross
130 sections of the same cell were analyzed in a previous work [29] to compare 1D and 3D microstructural
131 characterization and to quantify degradation between the reference and the tested cell at the micrometer scale.
132 Three-dimensional characterization was previously performed only for the reference and the inlet part of the

133 tested cell [29]. Here, a more complete view of the aged cell is presented by adding the outlet dataset.
134 Furthermore, EDS analysis was performed on the regions of interest to estimate the chemical composition in
135 different locations of the cells. The regions used for FIB-SEM characterization and EDS analysis are illustrated
136 in Figure 1 b) and c) on the top of a SEM image of the reference cell showing the Ni/YSZ electrode and part
137 of the YSZ electrolyte. The yellow box in Figure 1 b) highlights the innermost $8\ \mu\text{m}$ of the active fuel electrode
138 extracted from FIB-SEM dataset and used for the computation of microstructural parameters. Figure 1 c)
139 illustrates the areas used for acquiring EDS data, smaller areas of $25 \times 3\ \mu\text{m}^2$ and $25 \times 10\ \mu\text{m}^2$ were also used
140 for the fuel electrode and support layer, respectively. Figure 1d) shows a schematic drawing of the electrolyte
141 ($\sim 5\ \mu\text{m}$), the active fuel electrode ($\sim 15\ \mu\text{m}$), and part of the support layer (overall thickness $\sim 300\ \mu\text{m}$) defined
142 with dashed lines since only partially interested by the analysis. The direction of the FIB milling front is
143 illustrated in Figure 1d together with the direction and width of the line scan recorded for the EDS analysis.

144 Due to slightly different microscope set-ups for the three samples analyzed, three different voxel sizes were
145 obtained: $25 \times 25 \times 40.80\ \text{nm}^3$ for the reference, $25 \times 25 \times 35.60\ \text{nm}^3$ at the inlet and $25.53 \times 25.53 \times 37.79$
146 nm^3 at the outlet of the tested cell. In order to analyze the same volume size (approximately $12.5 \times 8 \times 20\ \mu\text{m}^3$)
147 in each sample, three sub-volumes were selected: $501 \times 321 \times 490$ pixels for the reference cell, $501 \times 321 \times$
148 564 pixels for the inlet and $491 \times 314 \times 531$ pixels for the outlet. With the aim of analyzing the innermost part
149 of the active fuel electrode the dataset used for the computation of microstructural parameters starts, for each
150 volume, $\sim 3\ \mu\text{m}$ away from the electrode-electrolyte interface and extends $\sim 8\ \mu\text{m}$ towards the support layer.
151 The FIB milling front along the electrolyte is perpendicular to the electrode-electrolyte interface [32] (Figure
152 1); $\sim 35\ \text{nm}$ are milled away, a picture of the support/electrode/electrolyte cross section is obtained, and next
153 another $35\ \text{nm}$ is milled away, and so forth, effectively collecting pictures of the cross section moving in the
154 direction parallel to the electrode-electrolyte interface. Two sets of images (i.e. obtained from Inlens and SE2
155 detectors) were recorded for each sample and used for post-processing and segmentation as described
156 elsewhere [32].

157 Once the segmented volumes were obtained, several analyses were performed on the inner fuel electrode sub-
158 volumes in order to compare the microstructure of the three locations investigated. The phase fraction of each

159 phase in the region of interest was calculated by dividing the overall volume of a single phase by the total
160 volume analyzed. The continuous PSD was computed as described earlier [26, 29, 32]. This method consists
161 in evaluating, for each 2D section of the 3D reconstructions, the largest circle that fits the particle and extending
162 that circle to a 3D sphere. The continuous PSD distribution is obtained by calculating the distribution of the
163 largest spheres that can fit inside each part of the structure. Moreover, the total and percolating TPBs were also
164 determined [22]. For what concerns the percolating sites, not only their total amount was determined but also
165 the networking quality through the characteristic pathway diameter [32]. In addition, the tortuosity factor of
166 the Ni and pore phase along the three directions of the volumes was determined using the program TauFactor
167 [33].

168 Energy Dispersive X-ray Spectroscopy (EDS)

169 In addition to the 3D microstructural characterization, compositional information was obtained for the
170 locations of interest by EDS. A field emission gun scanning electron microscope (Carl Zeiss Supra 35)
171 equipped with an energy dispersive X-ray detector (Thermo Electron Corporation – NORAN System SIX) was
172 used for the investigation. The EDS analyses were performed both as X-ray mapping, wide line scans, and as
173 area analysis on polished carbon coated cross section surfaces. The applied acceleration voltage of 15 kV
174 resulted in an interaction volume of $\sim 1 \mu\text{m}^3$. Cell number 17 in the stack was analyzed in the region close to a
175 contact point between the cell and the interconnect. EDS data from $25 \times 3 \mu\text{m}^2$ and $50 \times 3 \mu\text{m}^2$ areas were
176 recorded with NSS software using Proza (Phi-Rho-Z) correction, the data were then used to calculate the Ni/(Zr
177 + Y) atom ratio close to the electrode-electrolyte interface. In a larger area of the support layer, EDS data from
178 $25 \times (3 \text{ to } 10) \mu\text{m}^2$ and $50 \times (3 \text{ to } 20) \mu\text{m}^2$ areas were used to calculate the Ni/(Zr + Y) atomic ratios. The
179 regions used for the EDS analysis is illustrated in Figure 1 c). The counting statistic error for each measurement
180 was estimated to be less than 1 %. In addition, $50 \mu\text{m}$ wide EDS line scans (50 points per line) perpendicular
181 to the electrode-electrolyte interface were recorded for the three locations of interest (Figure 1). The
182 measurement of each line scan started $4\text{-}5 \mu\text{m}$ inside the electrolyte and extended into the support layer
183 covering an overall length of $\sim 38 \mu\text{m}$. Acquisition times of 75 seconds per spectrum was used for the line
184 scans obtaining $\sim 30,000$ nickel counts and a background of $\sim 2,500$ counts.

185

186 **Results**

187 3D Volumes

188 A 3D rendering of three sub-volumes extracted from the investigated geometries is shown in Figure 2. The
189 dimension of each sub-domain is $\sim 8 \times 8 \times 8 \mu\text{m}^3$ and the three phases of interest are represented as follows:
190 Ni particles are red, YSZ scaffold is gray and pores are transparent. Part of the electrolyte is also introduced
191 in the 3D reconstructions of Figure 2. Qualitative microstructural changes in the Ni phase can be identified
192 clearly between the reference and both locations analyzed for the tested cell by simple visual inspection of the
193 three cubes in Figure 2. The results of the 3D microstructural characterization performed on the three regions
194 are presented in further detail in Table 2.

195 The central $8 \mu\text{m}$ of the Ni/YSZ active electrode were analyzed starting $\sim 3 \mu\text{m}$ away from the electrolyte-
196 electrode interface and stopping $\sim 1 \mu\text{m}$ before the interface between the active electrode and the support layer.
197 Table 2 summarizes microstructural parameters quantified on each of the volumes investigated.

198 Overall phase fractions of the fuel electrode:

199 The first parameter discussed is the phase fraction. The results are shown in Table 2. The cell under analysis
200 has been manufactured aiming for a Ni/YSZ volume ratio of 40/60 vol%, therefore the minimum theoretical
201 pore phase fraction obtainable after NiO reduction will be $\sim 22\%$. This in turn leads to an upper limit for Ni
202 phase fraction of 31.2 %. The computed Ni phase fraction of 30% for the reference cell is in good alignment
203 with manufacturing data. A decrease of overall Ni phase in the active fuel electrode to approximately 23 % in
204 the inlet side of the tested cell was observed. Meanwhile, the porosity showed an increase from 25 % calculated
205 for the reference cell to 29 % after the test, both at the inlet and at the outlet. While the percentage of YSZ at
206 the inlet side is almost un-changed compared to that of the reference cell, an apparent decrease is observed at
207 the outlet side. Such a decrease can be attributed to the uncertainty of the measurement itself, as it will be
208 explained later. The general trend observed in the present study for the Ni phase fraction is in agreement with

209 [34]. Performing the quantification of microstructural parameters in the innermost 8 μm of the electrode
210 provides important information on the degradation of the active part of the fuel electrode. In the data analysis,
211 the volume between 3 and 11 μm from the electrolyte interface was used for deducing microstructural
212 characteristics from the reconstructions. It should be noted that besides the Ni depletion observed in this zone
213 (Table 2) an even stronger depletion is seen close to the electrolyte (Figure 3 a)), within the first three microns,
214 which is not included in values behind Table 2. Estimating accurate confidence intervals for parameters
215 calculated from 3D image data is notoriously challenging. It is worth mentioning that several sources of errors
216 can bias the results: local variations of the structure, representative volume size, a subjective segmentation
217 step, finite resolution, and the parameter estimation method itself [35 - 37]. This is compounded by different
218 parameters having very different sensitivity to each of these types of errors. The equipment used for recording
219 the data combined with the implemented post-processing procedure allowed analysis of volumes of $12.5 \times 8 \times$
220 $20 \mu\text{m}^3$ for each sample. Considering the mean radius of Ni particles $\sim 1.26 \mu\text{m}$ [29] for the cell analyzed in
221 the current work and using the definition of dimensionless length given by Harris et al. [36], the dimensionless
222 dimensions of the volumes analyzed are $9.92 \times 6.35 \times 15.87$ in dimensionless units. Lombardo et al. [37]
223 performed a volume-dependence investigation for Ni/YSZ SOFC anodes that showed that a characteristic
224 dimensionless length of ~ 6 is required for computing reliably the properties of the YSZ phase. In the current
225 work, the relative small size of the volume obtained from FIB-SEM reconstructions is reflected in the
226 uncertainty intervals estimations reported in Table 2. The tortuosity factor is one of the parameters most
227 affected by the size of the volume analyzed in particular when the percolation factor is low; in line with this,
228 the uncertainty of this parameter is estimated to be quite high (ca. 20 % at the inlet side as reported in Table
229 2). The uncertainty intervals in Table 2 are estimated on the basis of experience in parameters computation on
230 the same types of samples and imaging technique [35]. However, the results for the YSZ phase can provide
231 information on the accuracy of the measurements since the structure of this phase can be treated as stable. It
232 was sintered at $1300 \text{ }^\circ\text{C}$ and is unaffected by cell operation at $750 \text{ }^\circ\text{C}$. For example, there is a discrepancy in
233 the YSZ phase fraction observed between the three locations: $45 \% \pm 2.50$ in the reference, $47 \% \pm 2.50$ at the

234 inlet and $43 \% \pm 2.50$ at the outlet. This measurement provides an estimate for the uncertainty level for the
235 calculated phase fractions.

236 Interface areas and TPB length:

237 The surface area of each phase and the interface area between phases are used for the evaluation of
238 microstructural changes occurring in the Ni/YSZ electrode due to the long-term operation of the cell. The
239 results are summarized in Table 2. The overall surface area of Ni is decreased from ~ 1.7 to $\sim 0.9 \mu\text{m}^2/\mu\text{m}^3$
240 after long-term operation. The interface areas of pore and YSZ with Ni show a reduction after the testing
241 reaching ~ 0.3 and $\sim 0.7 \mu\text{m}^2/\mu\text{m}^3$ at the inlet side, respectively. The results point out similar degradation at
242 inlet and outlet side of the same cell after 1 year of operation, though to different degrees.

243 It is well known that electrochemical reactions inside the cell occur at active TPB sites only and these sites
244 need to be part of a percolating TPB to be active. A percolated site is obtained when the three phases defining
245 the TPB each have a percolating path for the conducted species (i.e. electrons through Ni, oxygen ions through
246 YSZ and gas through pores). Table 2 shows the results obtained from the computation of the TPB in the
247 samples analyzed. The length of total and percolating TPB was obtained as the average of the length computed
248 in each of the six directions of the 3D geometry analyzed. Table 2 shows that also the percentage of active
249 sites decreases after the long-term test. While 86 % of the overall TPB length was percolating in the reference
250 cell, only 53 % was percolating at the inlet of the tested cell. A significant difference can be appreciated in the
251 loss of active TPB sites between inlet and outlet, where 62 % of the TPB is percolating at the outlet.

252 While similar nickel depletion in the active electrode can be detected at the inlet and outlet side of the cell, a
253 more pronounced TPB length decrease is observed at the inlet side when compared to the outlet. This effect is
254 presumably linked with an effect of the local polarization, which is much larger at the inlet compared to the
255 outlet (the total cell overpotential was estimated to be ~ 420 mV at the inlet and ~ 320 mV at the outlet)
256 resulting also in a higher local current density [38]. The same trend obtained in the cell analyzed in this study
257 was qualitatively observed in the ~ 700 hours tested cells in reference [39], where 2D low voltage SEM images
258 showed a lower percolation degree at the inlet side. Moreover, the higher steam content at the gas inlet side of

259 the cell could contribute to the higher degradation observed there. Pihlatie et al. [40] observed that the cell
260 conductivity decreases as the steam content increases. Indeed, high steam content in the fuel promotes the
261 formation of Ni(OH)₂ complex that facilitates nickel diffusion and particles growth. In addition to that, the
262 steam content and the local polarization of the electrode affect the local pO₂ in the electrode that in turn
263 influences the wettability of Ni on YSZ. Experimental evidence of the effect of oxygen activity on Ni/YSZ
264 interaction was presented by Jiao et al. [41] and a theoretical correlation between pO₂ and Ni contact angle on
265 YSZ was proposed. Different wettability properties of Ni/YSZ cermets were qualitatively observed in [8] and
266 [39] between the inlet and the outlet of the cell where both gas composition and current density assume
267 different values.

268 Phase fractions as a function of distance from the electrolyte-fuel electrode interface:

269 Figure 3 illustrates the phase fraction calculated on each slice of the segmented volume parallel to the
270 electrode-electrolyte interface (i.e. the distance between the two neighboring slices is between 35 nm and 40
271 nm). The overall length covered by this analysis is ~ 16 μm for each sample. In the graphs of Figure 3 the
272 electrolyte is located to the left. Two vertical-dashed black lines indicate the region used for the computation
273 of the other microstructural parameters reproduced in Table 2. This region starts ~ 3 μm away from the
274 electrode-electrolyte interface and ends approximately 1 μm before the support layer. Due to the irregular
275 structure, it is not easy to define precisely where the interface between the electrode and the electrolyte is
276 placed. Conventionally, we placed the interface where the first nickel particle is found when moving from the
277 electrolyte towards the electrode. As evident from Figure 3 a significant decrease of the Ni content in the active
278 area is observed after long-term operation at both the inlet and the outlet of the cell to more or less similar
279 extent. In the support, on the other hand, no such depletion is observed, the Ni content in each slice of the
280 support layer in the tested cell is not much different from the one in the reference cell. In consistency with the
281 finding on the Ni phase Figure 3 b) shows a slight increase in porosity in the active fuel electrode after 1 year
282 of cell operation, whereas the porosity in the support layer after the long-term operation is not significantly
283 different from the reference one. The content of the YSZ along the direction perpendicular to the electrode-
284 electrolyte interface is illustrated in Figure 3 c). It is evident as the YSZ fraction in the electrode does not

285 change on aging. Even though Ni migration can be qualitatively appreciated in Figure 3, the local 3D analysis
286 performed in this study does not allow the observation of where to the Ni moves due to the intrinsic limitation
287 of the analyzed volume with FIB-SEM tomography. Among the factors that lead to uncertainty in the analysis,
288 it must be remembered that raw FIB-SEM data were recorded and segmented such that the focus was on the
289 inner active fuel electrode. This means that data away from this region (i.e. the support layer) are more likely
290 to be affected by artifacts occurring in the segmentation process of the whole volume.

291 Figure 4 shows the results of the EDS analysis. Based on 1 to 5 measurements at different places in each of
292 the samples of interest, the Ni/(Zr+Y) atomic ratio was computed on a region of the electrode close to the
293 electrolyte interface and on a larger area of the support layer. The results are illustrated in Figure 4 a). The
294 ratio shown in Figure 4 a) is an indication of the Ni content in the different areas of the electrode. The intrinsic
295 uncertainty of the characterization method and the inhomogeneity of the sample can affect the measurement
296 of the nickel content. In this regard, 9 measurements were performed in the active electrode and 4 EDS maps
297 were recorded for the large area analyzed in the support layer. In order to give an estimation of the inaccuracy
298 of the measures, the standard deviation was computed to be ~ 0.18 and ~ 0.07 , respectively for the active
299 electrode and the support layer. A significant nickel depletion in the region of the active electrode closest to
300 the electrolyte is observed at both inlet and outlet of the tested cell. A Ni/(Zr+Y) atom ratio of approximately
301 ~ 1.7 was obtained for the reference cell while the value drops below 1 in both locations of the tested cell. This
302 indicates that the migration of nickel away from the interface between the Ni/YSZ electrode and the electrolyte
303 occurs to similar extents at the inlet and the outlet side of the cell. Interestingly, the Ni/(Zr+Y) atom ratio
304 computed on the large area of the support layer does not vary considerably in the regions analyzed and it varies
305 between 1.6 and 1.8 for the three samples as shown in Figure 4 a).

306 Line scans were recorded starting from the electrolyte toward the support layer and used as further evidence
307 of nickel depletion in the active fuel electrode. The results are summarized in Figure 4 b). The graph in Figure
308 4 b) shows three line scans for the three locations of interest (i.e. reference, inlet and outlet) and the theoretical
309 Ni/(Ni+Y+Zr) atomic ratio of $\sim 67\%$ resulting from the production process (dashed gray line). The black
310 dashed line points out the electrode-electrolyte interface. The line scan results are in agreement with what

311 observed in Figure 3 and Figure 4 a). A systematic decrease in Ni content in the 5 μm of the active fuel
312 electrode closest to the electrolyte is recorded after long-term operation while only small variations can be
313 appreciated in the support layer.

314 Particle size distributions:

315 When performing the microstructural characterization of SOC electrodes it is useful to define the particle sizes.
316 In this work, the continuous particle size distribution method [26] was applied to compute the results shown
317 in Figure 5. For what concerns Ni particles, it was observed that the average particle radius is subjected to a
318 shift from approximately 350 nm in the reference cell to ~ 600 nm in both locations analyzed on the long-term
319 tested cell. A shift towards larger pore sizes was observed at both analyzed locations of the tested cell compared
320 to the reference cell, the measured YSZ particle size distribution is unaffected by the long-term operation. This
321 observation is well in line with previous studies [8, 28, 29] and the trend observed for Ni particles agrees with
322 the analysis performed by Hauch et al. [34], where the mean intercept length principle was applied on 2D SEM
323 micrographs and an increase in Ni particle size from ~ 1.01 μm in the reference cell to ~ 1.26 μm in the tested
324 one was observed. These results are in line with the particles size distribution obtained from the analysis of 2D
325 SEM images of the same cell analyzed here, the increase of Ni particle size from $\sim 1.03\pm 0.02$ μm in the
326 reference cell to $\sim 1.39\pm 0.03$ μm at the inlet side of the tested cell was observed [29]. The testing conditions
327 used for the cells analyzed in [34] were different from the ones applied to the stack analyzed leading to different
328 values of the Ni particles radius. However, the trend is the same in both cases.

329 Characteristic pathway diameter and Ni tortuosity:

330 The concept of characteristic pathway diameter has been used in other studies [29, 32], however, there using
331 the term “critical pathway radius” instead. The characteristic pathway diameter is the diameter of the largest
332 sphere that can be squeezed through the network of the phase of interest. This idea is sketched in Figure 6
333 where percolating TPBs are highlighted and possible pathways of electrons in the Ni phase are drawn. The
334 characteristic pathway diameter is pointed out in Figure 6 by red arrows.

335 The characteristic pathway diameter is a measure to evaluate the quality of the pathway connections of the
336 different phases; i.e. the width of the “Nickel highway” for the electrons and similar for the gas phase in the
337 pores and oxide ions in the YSZ phase. This parameter is important for understanding the quality of the
338 pathways connecting the three phases throughout the electrode. If the percolation of TPB sites is guaranteed
339 by pathways characterized by a very narrow diameter (e.g. 20 nm), then impurities, carbon deposition or
340 similar situated at the TPB may more easily break the network connectivity and therefore reduce the degree of
341 electrode percolation in the overall cell performance. On the other hand, if the pathway is characterized by a
342 larger characteristic diameter (e.g. 200 nm or above), the structure is more robust and impurities are less likely
343 to obstruct the connectivity. A significant change of the characteristic pathway diameter for the Ni phase can
344 be observed in Figure 7 a). Assuming for instance that a high performing cell is characterized by Ni connected
345 through pathways with a diameter around 200 nm, the percentage of paths with this characteristic is higher
346 than 80 % in the reference cell and is below 50 % at both the inlet and the outlet side of the tested cell. After
347 testing, the pores are connected through bigger paths as illustrated in Figure 7 b) whereas YSZ does not show
348 appreciable changes with respect to characteristic pathway diameter (Figure 7 c)).

349 The results illustrated in Figure 7 confirm the degradation trend observed in Figure 5: the characteristic
350 diameters of percolated pathways are degraded significantly to a similar degree for both the inlet and outlet
351 part of the cell after one year of testing.

352 The results in Table 2 show lower values of percolating TPB at the inlet side while the graphs presented in
353 Figure 7 report lower nickel percolation at the outlet. This effect could be due to the fact that the percolating
354 TPB length is computed by checking the percolation through all the three phases. Instead, the characteristic
355 pathway diameter shown in Figure 7 consider the individual percolation of each phase separately. One more
356 parameter that can be used as indication of networking quality is the tortuosity factor. This parameter was
357 computed using the TauFactor program [33]. The results are reported in Table 2, for Ni and pore phases of the
358 active fuel electrode. Increased tortuosity of the Ni networking and therefore pronounced degradation can be
359 appreciated when comparing the tested cell with the reference, although a clear difference between inlet and

360 outlet cannot be detected. The tortuosity factor computed on the pore phase reveals reduced tortuosity in the
361 tested cell compared to the reference.

362 **Discussion**

363 The locations investigated in this study were exposed to different local conditions: gas compositions, current
364 densities and temperatures inside the tested cell. The results presented here on phase fraction (Table 2) and Ni
365 migration (Figure 3) are in agreement with Mogensen et al. [39] where a study of Ni migration correlated with
366 current load is reported for SOFC and SOEC. In electrolysis mode, a loss of Ni in the innermost active fuel
367 electrode was observed [39]. A qualitative comparison between inlet and outlet based on 2D SEM images after
368 ~ 700 hours of testing is reported in reference [39] showing appreciable bigger Ni particle size and higher
369 percolation loss at the inlet than at the outlet side. The results for Pore/Ni and YSZ/Ni interface area
370 summarized in Table 2 show a decrease of approximately 69 % and 29 %, respectively, when comparing the
371 inlet side of the tested cell with the reference cell. The difference in the extend of the decrease can be related
372 to the inhibiting effect of YSZ on Ni coarsening as discussed in [40, 42]. Huber et al. [42] observed that the
373 morphological variation of nickel during the coarsening process is more pronounced on the Ni surface in
374 contact with the gas phase than at the YSZ/Ni interface. Indeed, the energy related to the Pore/Ni interface is
375 lower than the energy related to the adhesion of Ni on YSZ. This results in the smaller decrease of YSZ/Ni
376 interface area when compared to the decrease of Pore/Ni interface area (Table 2). The Ni rearrangements
377 observed in the cell investigated in this work are well in line with the observations of Hubert et al. [42] where
378 the inhibiting effect of the YSZ skeleton on Ni coarsening at typical SOC operating temperature was pointed
379 out. The homogenization of microstructural parameters as observed here after one year of electrolysis operation
380 leads to the tentative conclusion that the different conditions experienced by the cell at the two extremities are
381 more important in the first hundreds of hours of cell life. On the other hand, different percolation degrees
382 through the Ni phase were observed at the two extremities of the cell after the long-term testing showing, when
383 using this parameter, the most severe change to the Ni network at the inlet where the cell is more polarized.

384 All the microstructural features investigated in this study pointed out significant degradation both at the inlet
385 and the outlet of the tested cell that was used in a 25-cell stack compared to the non-tested reference cell.
386 Moreover, the degree of degradation of the two locations analyzed in the tested cell shows similar trends and
387 only some microstructural parameters (e.g. TPB length) indicate significant difference between the inlet and
388 the outlet side of the tested cell. Several studies proved that the Ni particle size increases mainly in the first
389 thousands of hours of testing [28, 43-45] and only small modification can be detected afterwards. After 1 year
390 of operation, as it is the case in the current study, the coarsening rate of Ni in the electrode is supposed to be
391 slow. This would explain the almost identical Ni PSD at the inlet and the outlet side of the long-term tested
392 cell shown in Figure 5. Moreover, the local testing conditions between inlet and outlet will make the
393 degradation occurring first at the inlet side and with the time also at the outlet. Ni rearrangement dictates
394 changes in porosity and therefore a significant difference in pore size between the reference and the tested cell
395 can be detected, however the size of the pores computed on the tested cell is almost unchanged between the
396 two locations analyzed. A number of tests under the same operating conditions (temperature, current density,
397 polarization, and gas composition) but for different time duration have been run in order to investigate
398 intermediate stage of degradation. The Ni/YSZ electrode microstructure is currently being characterized and
399 the results will be reported elsewhere.

400 The results for the characteristic pathway diameter illustrated in Figure 7 highlight a significant reduction in
401 percolation through the nickel phase, the total amount of pathways percolated throughout the volume (i.e.
402 percolating TPB sites) decreases strongly. Nevertheless, the diameters of the pathways that remain percolated
403 shows a mild increase. This could be the effect of a microstructural degradation driven by curvature
404 minimization. The effect of this process will lead to the disconnection of pathways connected through small
405 bottlenecks while a more stable behavior during operation will be observed for wider pathways characterized
406 by low curvature resulting in an overall decrease in percolation but an increase of the characteristic pathway
407 diameter for the remaining Ni pathways. De Angelis et al. [46] used x-ray tomography for investigating
408 Ni/YSZ cermets evolution. They observed that nickel coarsening leads to a loss of nickel connectivity and an

409 improvement in connectivity through the pore phase. The reduced tortuosity for the pore phase reported in
410 Table 2 finds an explanation in the improved connectivity of the pores.

411 An additional effect of the coarsening process is the increase of particle size shown in Figure 5: smaller nickel
412 particles are present in the reference cell after the reduction of NiO to Ni, these particles are characterized by
413 high curvature and disappear during the coarsening process in favor of bigger features with lower curvature.

414 TPB sites were quantified after segmentation for each of the three volumes analyzed and given in Table 2. The
415 lower percentage of percolated sites computed at the inlet side of the tested cell compared to the outlet is in
416 agreement with the observation reported by Mogensen et al. [39] and by Hauch et al. [8 (Cell A and B)] at the
417 cell level, Fang et al. [14] reported similar findings at the stack level. The inlet side is supposed to be exposed
418 to highest value of local current density which can contribute to a faster degradation. Chen et al. [38] simulated
419 the current density distribution in the cell in the direction of the steam flow for two cells with different oxygen
420 electrodes, the average current density of the two cells was ~ 0.9 and ~ 1.2 A/cm², respectively [38]. The
421 differences between inlet and outlet in current density were ~ 0.19 and ~ 0.35 A/cm², for the two cells
422 respectively. A rough estimation of the difference in current density between inlet and outlet for the cell
423 analyzed in the current study can be done considering that such a difference is approximately 20% of the
424 average value. Therefore, for the current case we can estimate the difference to be ~ 0.14 A/cm² resulting in \sim
425 0.79 A/cm² at the inlet side and ~ 0.65 A/cm² at the outlet. Starting from 86 % of active TPB sites for the
426 reference cell only 62 % and 53 % were found at the outlet and the inlet of the tested cell, respectively. Knibbe
427 et al. [7] and Chen et al. [11] investigated the effect of the current density on the Ni/YSZ electrodes
428 microstructure. Ni coarsening and re-distribution were the main effects when the cells were tested at a current
429 density below -1 A/cm² (the cells were tested at 800 and 850 °C and an estimated fuel electrode polarization
430 of $\sim 50 - 150$ mV) [11]. For higher values of current density the formation of nanoparticles and impurities, and
431 the detachment of Ni from the YSZ scaffold were observed in the microstructures [7, 11]. These phenomena
432 contributed to the more pronounced performance degradation observed at higher current density [7, 11]. The
433 low current density used for the stack examined in the present study can explain the lack of nanoparticles at
434 the interfaces between Ni and YSZ as observed in [7] and [11] for higher value of current density and local

435 polarization. These percolating TPB results also fit well with the analysis of characteristic pathway diameter
436 and the tortuosity factor, which reveal that the one-year test has weakened transport properties of the Ni-
437 network: there is a loss in TPB percolation through the Ni phase and an increase in the tortuosity factor of the
438 Ni network for the conduction of electrons.

439 Not many studies focused on the quantification of SOEC microstructure parameters. Jørgensen and Bowen
440 [25] determined microstructural parameters for a single SOEC tested for approximately 1300 hours [25, 34]
441 where the same method for the calculation of TPB was implemented. In that specific case, 80 % of the TPB
442 was percolating after the testing. The higher percolation recorded in [25] could be due to several factors such
443 as shorter testing time, lower current density (-0.5 A/cm^2) and therefore lower fuel electrode overpotential,
444 and also to the different gas composition used (50 % steam and 50 % H_2) versus the here used 90/10. More
445 details on the testing parameters can be found in [34]. A quantification of SOEC Ni/YSZ electrode
446 microstructure was performed also in [47] where the total TPB length was computed to be $4.63 \mu\text{m}/\mu\text{m}^3$ with
447 $3.07 \mu\text{m}/\mu\text{m}^3$ of active sites in the commercial cell analyzed [48] versus the here reported $3.28 \mu\text{m}/\mu\text{m}^3$ and
448 $2.83 \mu\text{m}/\mu\text{m}^3$.

449 Here, the reference cell could be compared only with one more step in time the full 9000 hours. However, a
450 previous study [39] has showed a difference in the rate of degradation of the percolation between the inlet and
451 the outlet side of the cell. After 128 hours the degradation is more marked at the inlet than at the outlet while
452 after 678 hours of test the difference started disappearing [39]. Similar results showing a faster degradation at
453 the inlet than at the outlet were also reported by Hauch et al. [8 (Cell A and B)]. Further, analyses of the
454 difference in degradation rate between inlet and outlet are currently underway.

455 The results presented in this work show that differences between the inlet and the outlet of the cell after long-
456 term testing could be detected only for some of microstructural parameters. TPB length illustrates recognizable
457 differences between the inlet and the outlet of the tested cell. The trend already reported in other studies [8
458 (Cell A and B), 14, 39] (i.e. lower degradation rate at the outlet side) was also observed here but only through
459 some of the features considered. Based on the results of the current and previous studies [29], we hypothesize
460 herein that the degradation of the investigated cell is connected to different local operating conditions

461 experienced at the two extremities. In particular, current distribution and gas composition are thought to play
462 an important role for the differences in degradation.

463 The characterization performed in this paper provides suitable input for microstructural modelling. The 3D
464 reconstruction of the reference cell could be used as input for the modelling and microstructural parameters
465 can be computed on the simulated geometries and linked to the experimental results presented in this work.
466 The investigation of the modelling results can provide information on the intermediate stages of the coarsening
467 process and related microstructural changes that cannot be detected and quantified during the **operation of the**
468 cell.

469

470 **Conclusion**

471 A detailed 3D microstructural characterization of a solid oxide electrolysis cell that was operated as part of a
472 stack for one year was performed, and microstructural parameters were compared with the results obtained on
473 a non operated reference cell manufactured as a part of the same batch of cells.

474 The depletion of Ni in the region close to the electrode-electrolyte interface ($\sim 5 \mu\text{m}$) emerged both from the
475 EDS results and from the analysis of the three dimensional reconstructions. This effect was observed to occur
476 both at the inlet and at the outlet side of the cell after the long-term test in electrolysis mode. The same type of
477 analysis performed on the YSZ shows the stability of this phase during the cell aging.

478 The long-term test strongly affected the microstructure, starting from a reference cell with 86 % of percolating
479 sites, to 62 % at the outlet side and only 53 % at the inlet side after the test. Moreover, the shift towards larger
480 particles size together with the decrease of TPBs percolation and the increase of the tortuosity factor of the
481 nickel show a strong degradation in the network-quality of the three interpenetrating phases in the cermet. This
482 fact is likely responsible for the majority of the resistance increase observed over the one year aging: a
483 resistance increase (ASR) of $\sim 42 \%$ was observed over 9000 hours test. [31].

484 Interestingly, the two locations investigated on the tested cell (i.e. inlet and outlet side) showed similar
485 characteristics in microstructural changes/degradation, slightly more pronounced at the inlet. The higher loss
486 of percolating TPB at the inlet side is likely due to the more harsh operating conditions experienced here:
487 larger current density (stronger polarization) and more moist gas composition.

488

489

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495

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628 Table 1: Parameters used for the 1-year stack test [31].

Period [hours]	Temperature [°C]	Steam conversion [%] (constant)	Air flow [Nl/min]	Steam[%]/Hydrogen [%] feed		Hydrogen flow [Nl/min]	Current density [A/cm ²]	Degradation [%/1000 h]
				Inlet	Outlet			
0-2175	750	50	28	90/10	45/55 (calculated)	1.95	-0.57	2.0
2175-8700						2.45	-0.72	2.0*

629 *average value

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646 Table 2: Phase fraction, total and percolating TPB and surface area calculated on 3D reconstructions of all the samples
647 for the three phases of interest. Based on cell manufacturing data, the volume ratio between Ni and YSZ can be expected
648 to be Ni/YSZ: 40/60 vol%.

	Reference	Inlet	Outlet
Ni [vol%]	30.16 ± 2.50	23.80 ± 2.50	28.37 ± 2.50
Pore [vol%]	24.66 ± 2.50	29.06 ± 2.50	28.95 ± 2.50
YSZ [vol%]	45.18 ± 2.50	47.15 ± 2.50	42.68 ± 2.50
Total TPB [$\mu\text{m}/\mu\text{m}^3$]	3.28 ± 0.10	1.44 ± 0.10	1.67 ± 0.10
Percolating TPB [$\mu\text{m}/\mu\text{m}^3$]	2.83 ± 0.15	0.76 ± 0.15	1.04 ± 0.15
Percentage of Percolating TPB [%]	86.28 ± 3.41	52.84 ± 5.02	62.22 ± 4.53
Percentage of Percolating TPB Pore [%]	95.11 ± 10.00	93.22 ± 10.00	96.29 ± 10.00
Percentage of Percolating TPB YSZ[%]	98.89 ± 10.00	98.47 ± 10.00	98.86 ± 10.00
Percentage of Percolating TPB Ni[%]	91.60 ± 10.00	46.52 ± 10.00	42.96 ± 10.00
Pore surface area [$\mu\text{m}^2/\mu\text{m}^3$]	2.09 ± 0.05	1.72 ± 0.05	1.58 ± 0.05
YSZ surface area [$\mu\text{m}^2/\mu\text{m}^3$]	2.09 ± 0.03	2.07 ± 0.03	1.92 ± 0.03
Ni surface area [$\mu\text{m}^2/\mu\text{m}^3$]	1.74 ± 0.05	0.88 ± 0.05	1.05 ± 0.05
Pore/YSZ interface area [$\mu\text{m}^2/\mu\text{m}^3$]	1.22 ± 0.05	1.45 ± 0.05	1.23 ± 0.05
Pore/Ni interface area [$\mu\text{m}^2/\mu\text{m}^3$]	0.87 ± 0.05	0.27 ± 0.05	0.35 ± 0.05
YSZ/Ni interface area [$\mu\text{m}^2/\mu\text{m}^3$]	0.87 ± 0.05	0.62 ± 0.05	0.69 ± 0.05
Ni tortuosity factor direction 1	6.27 ± 2.00	50.50 ± 20.00	17.80 ± 20.00
Ni tortuosity factor direction 2	6.64 ± 2.00	13.70 ± 5.00	14.60 ± 5.00
Ni tortuosity factor direction 3	4.49 ± 2.00	26.40 ± 15.00	32.00 ± 15.00
Pore tortuosity factor direction 1	7.25 ± 2.00	3.69 ± 2.00	3.34 ± 2.00

Pore tortuosity factor direction 2	5.78 ± 2.00	4.65 ± 2.00	5.01 ± 2.00
Pore tortuosity factor direction 3	5.37 ± 2.00	4.34 ± 2.00	4.00 ± 2.00

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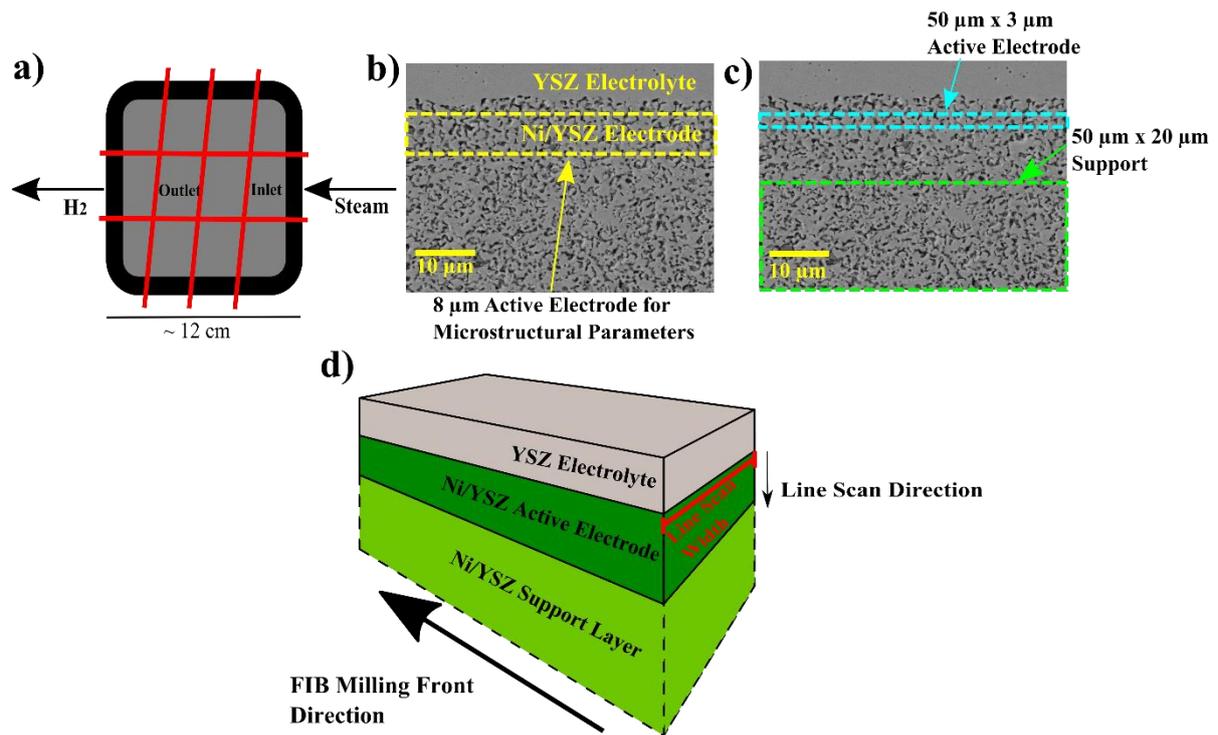
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659 Figure 1: a) Schematic of stack top view showing the applied sectioning, the steam inlet and outlet are indicated in the
 660 picture together with the locations investigated through 3D reconstructions. b) SEM micrographs of the Ni/YSZ
 661 electrode of the reference cell highlighting innermost 8 μm of the active electrode analyzed in the microstructural
 662 characterization. c) Illustration of the regions for the EDS analysis in the support layer and close to the electrode-
 663 electrolyte interface. d) Schematic drawing of electrolyte (~ 5 μm), active fuel electrode (~ 15 μm), and part of the
 664 support layer (overall thickness ~ 300 μm) illustrating the FIB milling front and line scan directions and the width of the
 665 line scan.

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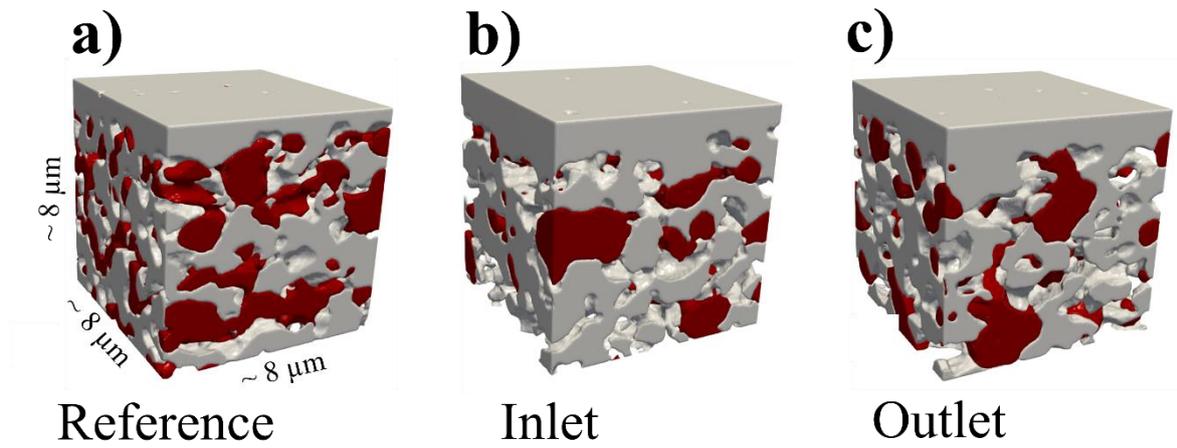
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673 Figure 2: 3D reconstructions of sub-volumes extracted from: a) reference cell, b) inlet side of the tested cell c) outlet
674 side of the tested cell. Nickel is shown in red color, YSZ in gray and pores are transparent.

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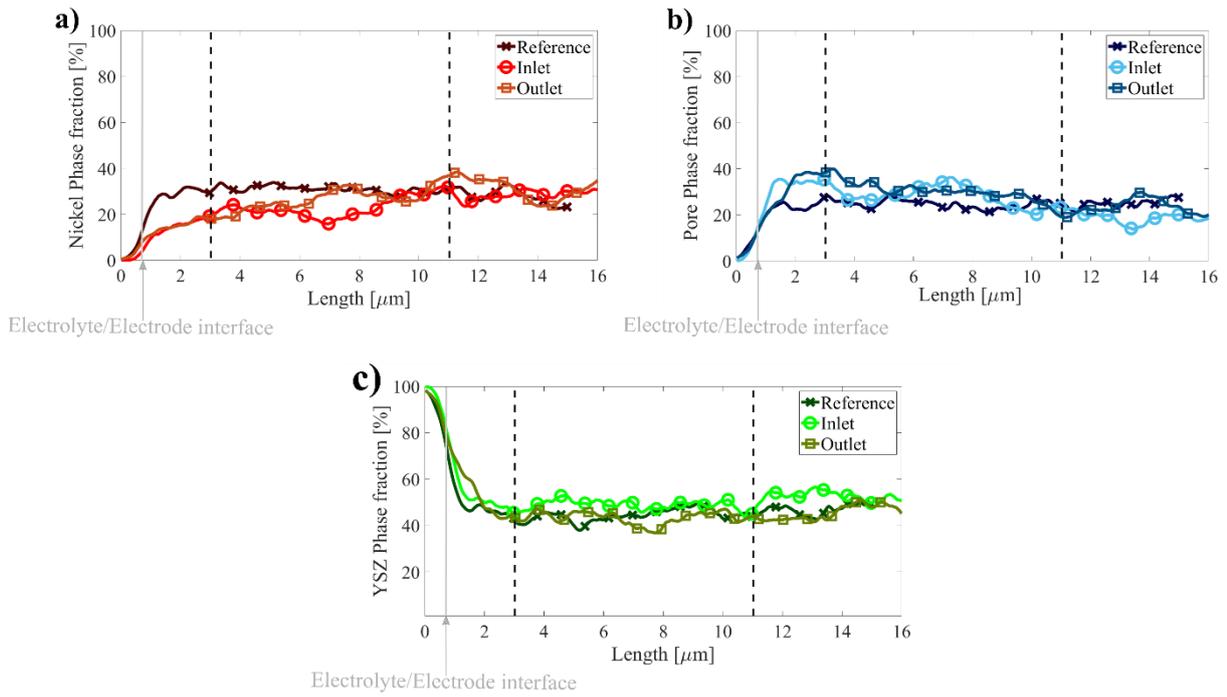
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684 Figure 3: Phase fraction of the three phases from the FIB-SEM reconstructions in the direction perpendicular to the
 685 electrode-electrolyte interface: a) Ni, b) pores and c) YSZ. Each graph shows results for the reference cell and the tested
 686 cell at the inlet and the outlet.

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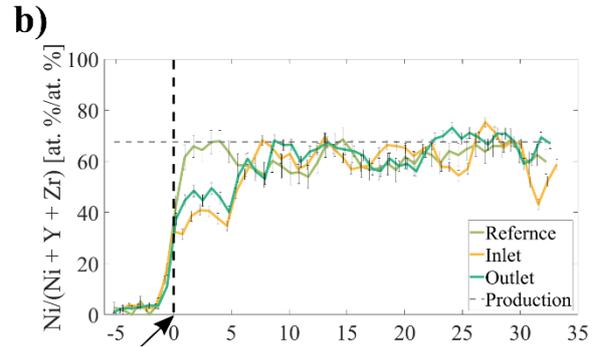
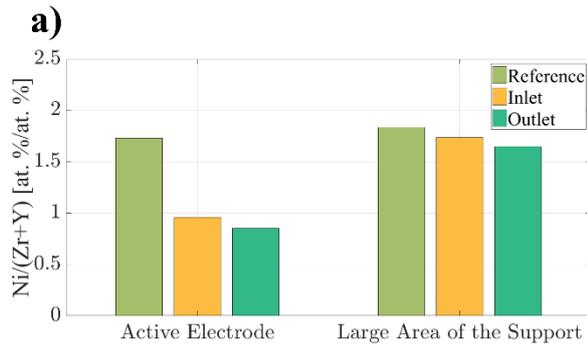
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697 Figure 4: a) Ni/(Zr + Y) (atomic ratio) mean value in two regions of the fuel electrode: close to the electrode-electrolyte
 698 interface (average of EDS results computed on 25 x 3 μm² and 50 x 3 μm² areas) and on a large area of the support. b)
 699 Ni/(Ni + Y + Zr) (atomic ration) as a function of the distance from the electrolyte (EDS data obtained from 25 x (3 to
 700 20) μm² and 50 x (3 to 10) μm² areas), the theoretical atomic ratio from the production is ~ 67 % and it is illustrated by
 701 a dashed gray line. The results are shown for the three sample analyzed in this study: reference, inlet and outlet.

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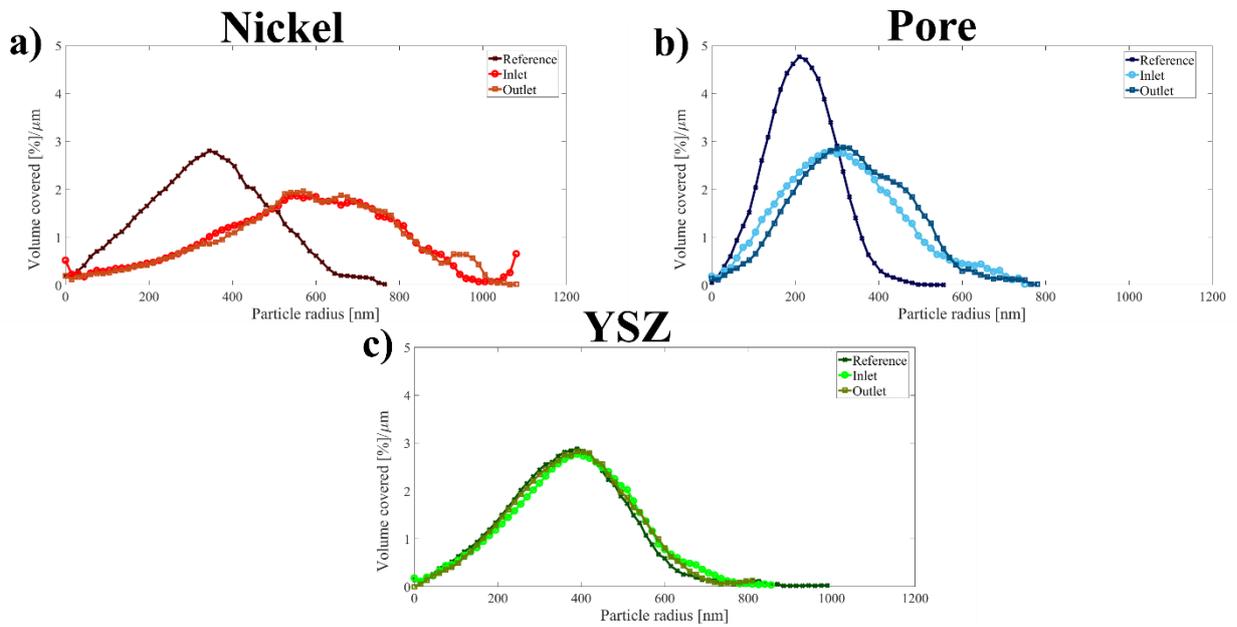
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Figure 5: Continuous particles size distribution computed on the three volumes analyzed, each line in the graphs refers

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to one of the three samples analyzed: reference cell and aged cell at the inlet and the outlet side. Graph a) shows Ni

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PSD, graph b) the PSD of pores and graph c) the YSZ PSD.

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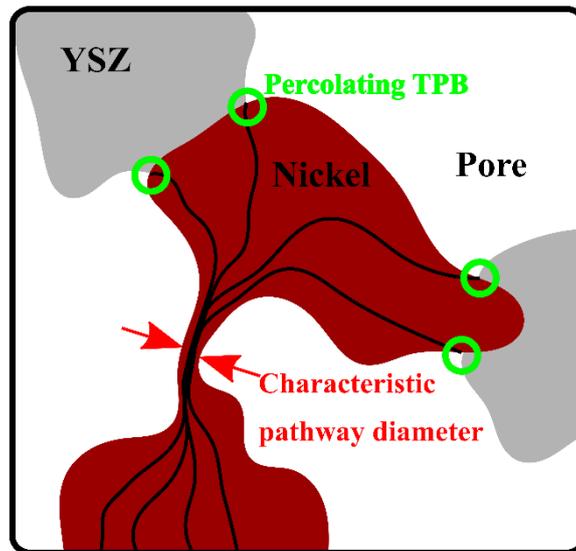
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727 Figure 6: Sketch of characteristic pathway diameter concept. In the drawing, red arrows highlight the characteristic
728 pathway for the Ni phase, and percolating TPB between Ni (red), YSZ (gray) and pore (transparent) are circled in
729 green.

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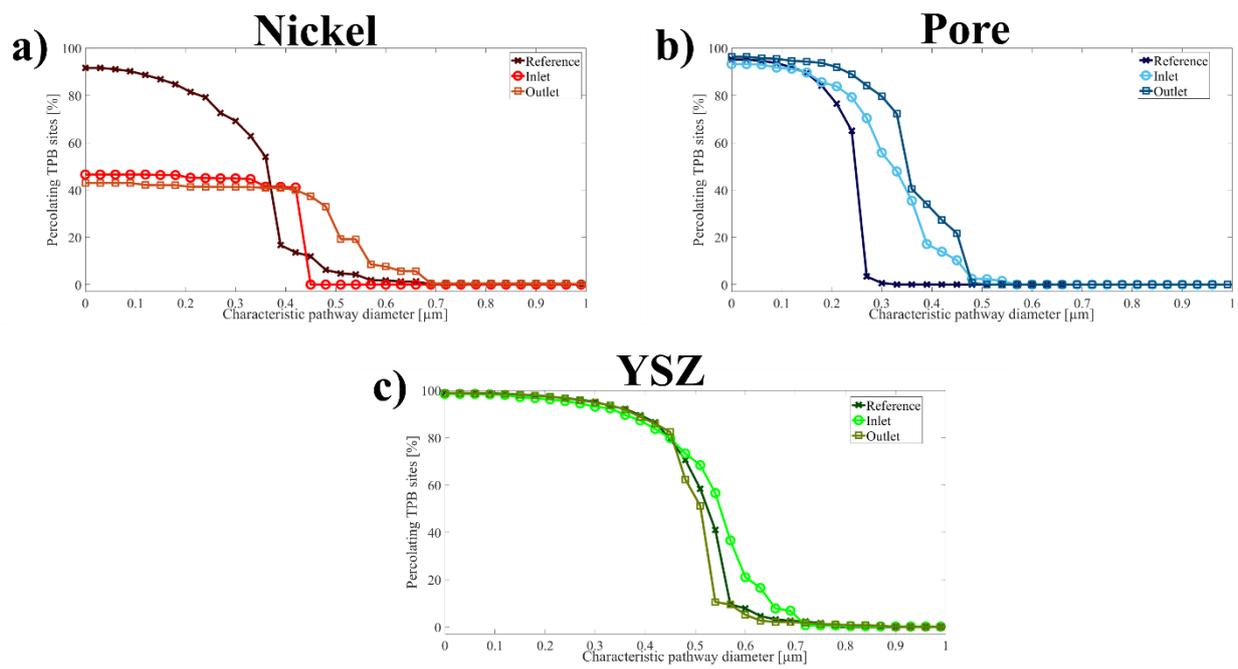
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Figure 7: Characteristic pathway diameter calculated for each of the three phases of the electrode: a) Ni, b) Pore and c)

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YSZ. The three lines in each graph represent the reference cell and the tested one at the inlet and the outlet side,

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respectively.

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