

# A systematic methodology to extend the applicability of a bioconversion model for the simulation of various co-digestion scenarios

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| 1  | A systematic methodology to extend the applicability of a                   |
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| 2  | bioconversion model for the simulation of various co-digestion              |
| 3  | scenarios   |
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| 14 |   |
| 15 | Running title   |
| 16 | Model simulating manure- and wastewater- based anaerobic co-digestion.      |

### 18 ABSTRACT

19 Detailed simulation of anaerobic digestion (AD) requires complex mathematical models 20 and the optimization of numerous model parameters. By performing a systematic 21 methodology and identifying parameters with the highest impact on process variables in 22 a well-established AD model, its applicability was extended to various co-digestion 23 scenarios. More specifically, the application of the step-by-step methodology led to the 24 estimation of a general and reduced set of parameters, for the simulation of scenarios 25 where either manure or wastewater were co-digested with different organic substrates. 26 Validation of the general parameter set involved the simulation of laboratory-scale data 27 from three continuous co-digestion experiments, treating mixtures of different organic 28 residues either at thermophilic or mesophilic conditions. Evaluation of the results 29 showed that simulations using the general parameter set fitted experimental data quite 30 well, indicating that it offers a reliable reference point for future simulations of 31 anaerobic co-digestion scenarios.

#### 32 **KEYWORDS**

Anaerobic digestion, mathematical modeling, dynamic simulation, organic residue,parameter set.

### 35 **1 Introduction**

Throughout the years, various mathematical models simulating both anaerobic mono- and co-digestion processes have been proposed. From simpler empirical models (Andrews, 1969; Graef and Andrews, 1974; Hill and Barth, 1977; Kleinstreuer and Poweigha, 1982), to more complex ones (Angelidaki et al., 1999, 1993; Batstone et al., 2002b; Costello et al., 1991; Siegrist et al., 1993). All of these models have been used to
describe, to a certain extent, the anaerobic digestion of complex substrates.

42 The majority of the complex models are specialized in anaerobic digestion of 43 specific feedstocks such as agricultural energy crops, residues, manures and wastewater 44 sludge. For instance, the Anaerobic Digestion Model No. 1 or ADM1 (Batstone et al., 45 2002b) has been the most prominent among scientists working in the field of anaerobic 46 wastewater treatment processes and more recently in solid waste bioconversion 47 technologies. Likewise, the model (BioModel) proposed by Angelidaki et al. (1999) 48 gives a good description of manure-based anaerobic digestion systems. The BioModel 49 focuses on ammonia inhibition, which is often relevant in manure-based digestions, and 50 includes a detailed description of pH and temperature, in order to simulate free 51 ammonia concentrations. Compared to the ADM1, which expresses the concentration of 52 solid substrate and product components using the indirect Chemical Oxygen Demand 53 (COD), the BioModel features a more convenient, mass-based unit system. This allows 54 for the characterization of substrates and products using simpler sampling and 55 measurement techniques more appropriate for slurries and solid wastes, than COD. 56 Despite their extensive application, the optimal use of such complex models requires the 57 adjustment or modification of numerous parameters, depending on the type and nature 58 of the simulated case (Donoso-Bravo et al., 2011). General experience shows, however, 59 that the more parameters are contained in a mathematical model, the more difficult it 60 becomes to verify their values for individual cases. Specifically, the large number of 61 reactions and chemical species involved in these models gives a better description of the 62 process, but complicates modeling, and - depending on the system to be "modeled" -63 the selection of the model itself to use. This also implies that existing complex models

64 are currently incapable of simulating dynamic processes describing diverse 65 experimental conditions, without a considerable amount of customization. Criteria to 66 select among models must weigh the trade-off between increased information 67 requirements and potentially better process description. Moreover, the model refinement 68 is an iterative procedure where the experimental and expert guided process of adding, 69 excluding, or modifying assumptions until a model that satisfactorily explains the 70 experimental data is obtained, is in general a difficult and time-consuming task (Sales-71 Cruz and Gani, 2006).

72 Based on aforementioned premises, the objective of this study was to identify a set 73 of "benchmark" parameters that can be used without previous calibration for specific 74 digestion cases and which can satisfactorily describe different digestion cases such as 75 manure- or wastewater-based digestions. This was achieved through the application of a 76 systematic methodology, which essentially consisted of the following. First, parameter 77 selection was performed to reduce the parameter space for further treatment, based on a 78 detailed assessment of complex bioconversion model parameters, found to be reported 79 in literature with the greatest variations in their values. Second, detailed parameter 80 sensitivity analysis using Latin Hypercube Sampling (LHS) and the Partial Rank 81 Correlation Coefficient (PRCC) methods was performed, so that the less sensitive 82 parameters could be further discriminated/eliminated. Third, numerical optimization 83 using the Simulated Annealing (SA) method was carried out to estimate optimal 84 parameter values and statistical information was obtained to determine the feasibility of 85 the model parameters. Finally, the resulting set of optimized parameters was validated 86 with three selected experimental case studies, in order to demonstrate improved model 87 efficiency when using optimized parameters for simulation.

88

#### 2 Materials and MethodsModel Description

89 The core dynamic model (BioModel) of this work was developed by Angelidaki et 90 al. (1999, 1993) and describes the degradation of complex substrates, along with the co-91 digestion of different types of organic wastes. In the BioModel, the substrate is 92 described in terms of its basic organic components' composition – carbohydrates, lipids 93 and proteins -, the concentration of intermediates such as volatile fatty acids (VFA) and long-chain fatty acids (LCFA), and important inorganic components, such as ammonia, 94 95 phosphate, cations and anions. The model was upgraded to include the hydrolysis of 96 lipids so that it includes three enzymatic hydrolytic and eight bacterial steps, and 97 involves 19 chemical compounds, together with a detailed description of pH and 98 temperature characteristics. Free ammonia, VFA and LCFA constitute the primary 99 modulating factors. The BioModel was previously calibrated with experimental co-100 digestion scenarios utilizing substrates rich in carbohydrates, proteins and lipids 101 (Angelidaki et al., 1999, 1997). For a detailed description of the model, see Table SI in 102 the Supplementary material.

103 2.2 Computational Methods

104 Initially written in Microsoft Pascal, and later translated to the Delphi Pascal 105 programming language, the BioModel was recently implemented in MATLAB, 106 combined with a Microsoft Excel-based data input and output platform. The MATLAB 107 model is able to simulate the AD process in one anaerobic fermenter, considering the 108 composition of the inoculum, a primary substrate and up to three optional co-substrates. 109 Organization and processing of parameters defining substrates, pump and flow rates, 110 metabolic steps and chemical components, as well as the collection of model output 111 variables was set up similar to as described by Angelidaki et al. (1999). Integration of

112 model equations in time and the selection of a suitable time step for calculations also 113 resembled the method outlined in this earlier publication, and for the solution of the

114 model ordinary differential equation system, MATLAB's ode15s solver was used.

115

### 2.3 Systematic methodology

116 The four steps describing the systematic methodology are depicted in Figure 1 and 117 are described further in the following subsections. During the analysis, the model 118 structure was kept as taken from the literature (Angelidaki et al., 1999).

119 2.3.1

#### **Step 1: Parameter selection**

120 In this step, a preliminary selection of the model parameters was performed based

121 on the assessment of available literature (Batstone et al., 2002a; Biernacki et al., 2013;

122 Bułkowska et al., 2015; López and Borzacconi, 2010; Lübken et al., 2007; Nguyen,

123 2014; Ramirez et al., 2009; Rivera-Salvador et al., 2014; Rosén and Jeppsson, 2006).

124 Details of this process are explained in the Supplementary material and the complete list

125 of parameters considered is shown in the Supplementary material, Table SII. As a

126 systematic reduction of the complete model parameter space and based on the

127 comparison of studies, biochemical parameters that showed significant variance and are

128 included in the BioModel were selected for subsequent sensitivity analysis in Step 2.

129 Step 2: Parameter sensitivity analysis 2.3.2

130 Following the parameter selection (Step 1), a detailed sensitivity analysis was

131 performed on the selected parameters, in order to evaluate the magnitude of the

132 parameters' individual effect on specific simulation output variables. The output

- 133 variables chosen were biogas and methane production, VFA and total ammonium
- 134 nitrogen-TAN concentration, pH, commonly reported as good indicators of the AD
- 135 process performance (Boe et al., 2010; Labatut and Gooch, 2012). Values of the

136 parameters selected in Step 1 were allowed to vary between lower and upper 137 boundaries, defined based on the literature assessment of Step 1, and sampling of the 138 available parameter space was performed with the Latin Hypercube Sampling (LHS) 139 method (McKay, 1992; McKay et al., 1979). LHS was an integral part of the analysis, 140 in order to make sure that the parameter values were selected from the whole range 141 available, avoiding bias and maintaining statistical accuracy. Concerning the 142 distribution of parameter intervals by the LHS method, uniform parameter distribution 143 was assumed (Manache and Melching, 2007), and the number of parameter sample sets 144 generated by the method was ten times the number of parameters selected for analysis. 145 Following the sampling process, simulations were performed with every set of 146 parameter samples generated previously. The length of the simulated periods 147 corresponded to the periods where experimental data were available. Furthermore, to 148 reduce computational demand, four approximately equidistant time points of each case 149 simulation period were selected and only the output variable values of these time points 150 were used thereafter. 151 Sampling-based Partial Rank Correlation Coefficient (PRCC) method (Marino et 152 al., 2008; Pennington, 2015; Wu et al., 2013; Zi, 2011) was used to perform sensitivity 153 analysis. As the PRCC method does not account for time as an independent variable, 154 PRCC analyses for the previously selected, equidistant time points were conducted

separately, in order to produce statistically representative results for complete

156 simulation periods. Further to that, for PRCC results to be considered relevant, their

157 probability values (p-values) were required to be smaller than 0.05 (Jackson and

158 Radunskaya, 2015). For each case study, results of the PRCC analyses for individual

time points were combined, providing an aggregate PRCC value over the entire

160 simulated period. Parameters were ranked according to their PRCC values to define the 161 most sensitive parameters with respect to each model output variable specified in Step 162 2. Both LHS and the PRCC analyses were carried out using the MATLAB-based 163 Sampling and Sensitivity Analyses Tool (SaSAT) (Hoare et al., 2008).

164

### 2.3.3 Step 3: Parameter estimation

165 After identification of the most sensitive parameters in Step 2, numerical estimation 166 of their values was performed for both case studies. Variation in parameter values was 167 allowed according to lower and upper parameter boundaries specified in Step 2. The 168 parameters were estimated by minimization of the sum of squares of the differences 169 between predicted and experimental data sets (see Table SIII of the Supplementary 170 material). For the optimization task, the Simulated Annealing (SA) method was used 171 (Ingber, 1996; Kirkpatrick et al., 1983). Implementation of the method was done in 172 MATLAB, using the *simulannealbnd* function. Each case study was simulated with 250 173 iterations (a number used also by López and Borzacconi (2010)), in three consecutive 174 parameter estimation cycles to support the results of the stochastic optimization method 175 statistically. At the last step, SA iteration histories, objective function values and 176 estimated parameter values were collected from all simulations, and were used for 177 comparing the different scenarios on a quantitative and qualitative basis.

178

#### 2.3.4 **Step 4: Validation and evaluation of the results**

179 First, performance criteria simulations – benchmark simulations – with the original 180 model parameter values were compared against simulations using the optimized 181 parameter values identified in Step 3, for both case studies used during parameter 182 estimation. Second, following the unification of optimized parameter values used in 183 case study 1 and 2 - by calculating the mathematical average of the respective

parameter values – validation of optimized parameters was performed with the data of
three lab-scale CSTR experiments. Finally, conclusions were drawn based on the results
of validation.

#### 187 **2.4 Case studies**

Below a short overview of the two experimental case studies, which were used during parameter estimation is provided. For further details on simulated substrate and process characteristics, see the Supplementary material, Table SIV and SV.

#### 191 **2.4.1** Case study 1 (C1)

192 Process data was collected from the doctoral dissertation of Schön (2009). In his 193 work, the author investigated the applicability of ADM1 for the simulation of the AD 194 process of a demonstration biogas plant, and lab-scale reactors fed only with manure. 195 The reactor selected for simulation had a volume of 75 L and was operated at 196 mesophilic conditions (37 °C), with a hydraulic retention time (HRT) of 10 days, in four 197 consecutive periods. Period 1 (day 0-8): no influent feed, operated as batch with only 198 inoculum. Period 2 (day 9-15), Period 3 (day 16-22) and Period 4 (day 23-30) fed solely 199 with manure of varying composition (Supplementary material, Table SIV). Due to the 200 simplicity of the experimental setup and the availability of relevant data such as input 201 manure characteristics, biogas production and pH, this case was selected as the initial 202 case study for analysis.

203 **2.4.2** Case study 2 (C2)

A continuous lab-scale experiment, carried out by Wang et al. (2016) using GTO and ammonia as co-substrates, was used as the second case study. The reactor had a working volume of 1.8 L, its inoculum originated from digestion of a mixture of cattle and pig manure, while cattle manure served as the primary substrate for reactor feeding

208 (Supplementary material, Table SV). Reactor temperature was kept at 54 °C throughout 209 the whole experiment. Feeding took place with an HRT of 15 days, throughout the experiment. The experiment was divided into two main phases; in the first phase, 210 211 manure feed was mixed with rapidly increasing concentrations of GTO, raising the organic loading rate (OLR) from 3.2 g-VS L<sup>-1</sup>d<sup>-1</sup> to 5 g-VS L<sup>-1</sup>d<sup>-1</sup> in 54 days, which 212 213 ended with the collapse of the reactor. Following re-inoculation, the reactor in the 214 second phase was fed with manure and a gradually increasing concentration of GTO, reaching from 3.2 to 4 g-VS L<sup>-1</sup>d<sup>-1</sup> added organic material in 91 days, after which OLR 215 216 was kept stable. Meanwhile, ammonia addition in this last period increased from 2.1 to 5 g-N  $L^{-1}$ , during the course of 157 days. Thus for the simulation, 9 feeding periods 217 218 were defined, based on data provided by Wang et al. (not shown).

219

#### **3** Results and Discussion

Base case simulations for the two case studies (C1 and C2) were generated with the original BioModel parameters. The response of the model in terms of biogas or methane productivities, and total VFA concentrations (where applicable) is shown in Figure 2a (C1) and Figure 2b (C2), and are discussed in the following sections. pH simulations were included in the Supplementary material (Figure S1 and S2).

Following the steps outlined in the systematic methodology, 44 parameters were initially selected in Step 1 for sensitivity analysis, with lower and upper boundaries defined based on the smallest and largest values reported for anaerobic digestion of complex substrates. The list of initially selected parameters, along with their lower and upper limits, can be found in the Supplementary material, Table SVI. In Step 2, the most sensitive parameters were identified for the individual estimation case studies (average PRCC values shown in Table SVII of the Supplementary material). Out of 44

232 initial parameters tested, model output variables were found to be sensitive to mainly 13 233 specific parameters. These 13 parameters included: Hydr<sub>carb,in</sub>, Hydr<sub>prot,in</sub>, Ks<sub>AA</sub>, Ks<sub>HPr</sub>, 234 Ks<sub>HVal</sub>, Ks<sub>HAc</sub>, Ki<sub>NH3,HAc</sub>, pKh<sub>Ac</sub>, Kd<sub>AA</sub>, Kd<sub>HPr</sub>, Kd<sub>HBut</sub>, Kd<sub>HVal</sub> and Kd<sub>HAc</sub>. These 235 parameters and their quantified effect (PRCC values) on the output variables are shown 236 in Figure 3. As seen from the graphs, parameter effects show significant variations 237 depending on the output variables considered, but the trends in PRCC values, and thus 238 the overall parameter effects on the simulated systems appear similar. Once the most sensitive parameters were identified, Step 3 was then executed, the results of which are 239 240 discussed in the next sections, for each case study respectively.

241 3.1

#### Case study 1 (C1)

242 In the first benchmark simulation, the response of the model with the original set of 243 parameters is shown in red color in Figure 2a. As observed, model response fitted well 244 the trend exhibited by experimental data, particularly in Periods 1, 2 and 3 at which 245 biogas production increased – due to an increase in the organic loading rate – and then 246 stabilized at a new steady state level. In contrast with the trend exhibited by the 247 experimental data during Period 4, where biogas production is shown to decrease 248 throughout the whole period, the model predicted a slight decrease at the beginning and 249 subsequently reached a new steady state level. This discrepancy is explained by the fact 250 that during this operational period experimental values were not recorded properly as 251 pointed out by the authors. Figure 2a shows in green color the response of the model 252 when the set of optimized parameters (see Table II) was used. Although qualitative 253 improvement is difficult to assess, improvements in the fitting were obtained. This was 254 further confirmed by the value of the objective function, which was reduced from 0.498 255 to 0.356 representing a 28.5% improvement in the model response (Table I).

Meanwhile, the quality of the pH simulation was unchanged and remained highly accurate (see Figure S1 in Supplementary material). Compared to the ADM1 simulation that is shown in Figure 2a in blue color, both the benchmark and optimized simulations fit experimental data with high accuracy, especially in Period 2, where a rapid increase in biogas productivity is observed. This indicates that the BioModel appeared to produce more accurate simulations for anaerobic manure digestion than the ADM1.

262 **3.2** Case study 2 (C2)

263 In the second benchmark simulation, the response of the model with the original set of 264 parameters is shown in Figure 2b in red color. First, two operational periods can be 265 observed with a considerable degree of uncertainty. Operational Period 2 between days 266 50 and 80, where simulated methane productivity increased more rapidly compared to 267 the experimental trend, while the simulated total VFA concentrations only reached 268 about half of the experimental values. Periods 8 and 9 (between day 300 and 420), on 269 the other hand, showed an opposite trend, with a significant delay in the decrease of 270 methane productivity and an overestimation in total VFA concentration simulated. The 271 value of the objective function for the benchmark simulation was found to be 461.289 272 (see Table I). Figure 2b shows in green the response of the model when the set of 273 optimized parameters (see Table II) were used. As observed, by using the optimized 274 parameters a significant improvement (82.5%) was obtained in the objective function 275 value (see Table I), which is well represented by the satisfactory fit of the total VFA 276 experimental data – particularly between days 300 and 420 (see Figure 2b, bottom in 277 green).

#### 278 **3.3 Parameter set validation**

279 As a result of the parameter optimization process carried out using the two 280 aforementioned case studies, a general set of estimated parameters was compiled (see 281 Table II), with parameter boundaries defined based on the lowest and highest optimized 282 parameter values used by the SA algorithm. For validating the above, generally 283 applicable set of parameters, three case studies are described below. They were selected 284 from a wide range of experiments, and covered manure co-digestion with 285 carbohydrates, manure co-digestion with complex substrates and wastewater co-286 digestion with complex substrates.

287 3.3.1 Validation case study 1 (V1)

288 Experimental material for the first validation case scenario was taken from 289 Søndergaard et al. (2015), who investigated the effect of meadow grass on biogas 290 productivity, when added to manure and co-digested in CSTR-type reactors 291 (Supplementary material, Table SVIII). By gradually increasing the concentration of 292 meadow grass in the reactor, while using the same manure substrate, the experiment had 293 four distinct feeding periods. Period 1 (day 0-12): manure feed without additional meadow grass. Period 2 (day 13-61): manure feed with 12 g  $L^{-1}$  meadow grass. Period 3 294 (day 62-91): manure feed with 23 g  $L^{-1}$  meadow grass. Period 4 (day 92-107): manure 295 feed with 34 g  $L^{-1}$  meadow grass. Operation temperature was 54 °C and the working 296 297 volume was 3.5 L.

Benchmark simulations can be seen in Figure 4 in red, covering biogas productivity (top) and total VFA concentrations (bottom). Although the trend in total VFA concentrations is well captured by the BioModel, the total amounts are higher than the experimentally measured values. This is inversely true for the biogas productivity

302 simulation, where the curve in the second half of Period 2 and in Period 3 and 4 falls 303 below the zone where experimental points are found. A clear improvement is achieved 304 in biogas productivity simulation using the general set of optimized parameters (curves 305 in green), as the curve becomes higher, fitting experimental data quite well in Period 2 306 and 3 and almost reaching experimental levels in Period 4. This is achieved by 307 increasing the simulated total VFA concentration slightly, which decreases simulation 308 accuracy somewhat further in Period 3 and 4. However, it also provides a better 309 description of the elevated total VFA concentration in the first half of Period 2 and 310 keeps the overall trend marked by experimental points.

311

#### **3.3.2** Validation case study 2 (V2)

312 A complex experiment published by Fitamo et al. (2016a, 2016b) served as source 313 material for the second validation case study, where the authors were co-digesting 314 mixed wastewater sludge (MS) with different urban organic wastes (UOW), such as 315 food waste, grass clippings and garden waste (Supplementary material, Table SIX). 316 Although the experiment involved two reactors, only the first one was considered in 317 present study. According to the description of the process, five feeding periods were 318 defined during the experiment, where the first covered only MS digestion and UOW 319 were added from Period 2. Between Period 2 and 5, the volatile solid-based mixture of 320 the four substrates was kept constant, meaning an approximately 10:68:15:7 mixing 321 ratio for mixed sludge, food waste, grass clippings and garden waste, respectively. The 322 distribution of feeding periods is as follows. Period 1 (day 0-75): MS digestion with an 323 HRT of 30 days. Period 2 (day 76-130): MS and UOW, HRT of 30 days. Period 3 (day 324 131-164): MS and UOW, HRT of 20 days. Period 4 (day 165-203): MS and UOW,

325 HRT of 15 days. Period 5 (day 204-230): MS and UOW, HRT of 10 days. The reactor
326 working volume was 3 L and operation temperature was 55 °C.

327 Results of the simulation carried out by Fitamo et al., with default parameters 328 (Figure 5, curves in blue) indicate that biogas productivity (top) was captured very well, 329 along with total ammonia concentrations (bottom) outside Period 2. The total VFA 330 simulation (middle), however, showed higher levels than seen during the experiment. 331 By running simulations with the general set of optimized parameters (Figure 5, curves 332 in green), significant improvements were achieved in fitting experimental data. 333 Moreover, the simulation of total ammonia concentrations was now highly accurate, 334 including that of Period 2, while the biogas productivity did not change considerably. 335 Interestingly, simulated total VFA concentrations were lowered, to about half of what 336 was simulated by Fitamo et al., providing a more accurate fit of experimental data. The 337 simulated peak in Period 2 is most probably the result of starting the addition of UOW, 338 where food waste contained high amounts of soluble lipids and carbohydrates. In 339 contrast, low experimental values might indicate a microorganic community already 340 well adapted to such concentrations.

#### 341 **3.3.3 Validation case study 3 (V3)**

For the simulation of the third validation case study, lipid hydrolysis with first-order kinetics was included as a structural part of the BioModel and it was set up assuming inert and soluble fractions as described in Miron et al. (2000). Information about substrates and process decisions used during the case study were collected from Fezzani and Cheikh (2008, 2007), who described the co-digestion of olive mill wastewater and olive mill solid waste at different HRTs and influent concentrations (Supplementary material, Table SX). The selected experiment used an influent total Chemical Oxygen

Demand (TCOD) of 80 g-COD L<sup>-1</sup> and was divided into three periods. Period 1 (day 0-70): mixed feed with an HRT of 36 days. Period 2 (day 71-120): mixed feed with an HRT of 24 days. Period 3 (day 121-150): mixed feed with an HRT of 12 days. The reactor, despite being a tubular type, was completely mixed and had a working volume of 18 L. Operation temperature was 37 °C.

354 The response of the model with the original set of parameters is shown in Figure 6 355 in red. For operation Period 1 and 2, qualitatively the model prediction was good. 356 However, the model was not able to forecast the third period at which a rapid decrease 357 in biogas productivity and accumulation of VFA were observed. Another important 358 aspect to point out is the sharp maximum in biogas productivity that the model predicts 359 in Period 1 (between days 1-5), which happens early, yet is well in line with the 360 experimental trend. Using the general set of optimized parameters and together with a 361 slight increase in biogas productivity in Period 1 and 2 (Figure 6, top), a favorable 362 increase in total VFA concentrations was experienced, visible principally in Period 3 363 (Figure 6, bottom).

364 When compared to the performance of ADM1 as seen in Figure 6, the BioModel 365 performed better for the simulation of the initial increase in biogas production, however, 366 it was not able to simulate the rapid decline in biogas productivity (Figure 6, top) and 367 the proportional increase in total VFA concentrations (Figure 6, bottom) seen in the last 368 feeding period. This is most likely because the BioModel does not include a VFA 369 inhibition term effective on the growth of methanogenic microorganic groups, while 370 these inhibitory kinetics were added to the ADM1 by Fezzani and Cheikh. Another way 371 to decrease biogas productivity forecasted by the BioModel would have been the 372 reduction of the ammonia inhibition term K<sub>i,NH3</sub> (whose value was 0.259 before and

373 became 0.275 after optimization), which takes effect on acetoclastic methanogens. 374 Being the overall most sensitive parameter among the 13 parameters identified in Step 2 375 of the methodology, this would have improved the fit in Period 3. Nevertheless, this 376 adjustment would not be feasible, as the authors have stated that ammonia concentration 377 was kept constant, at a low concentration of around 1.3 g-N L<sup>-1</sup>, throughout the whole 378 experiment (Fezzani and Cheikh, 2008). Assuming, however, that the rapid decline in 379 biogas productivity was due to the inhibition of acetoclastic methanogenic groups by 380 the accumulation of phenolic compounds (Borja et al., 1997) justifies the performance 381 of the BioModel, as this factor is not accounted for in the model and thus could not 382 decrease the productivity in Period 3.

#### 383 3.4 Evaluation

384 The evaluation of above three validation case studies showed that by restricting 385 future parameter estimations to the 13 sensitive parameters shown, significant 386 improvements can be expected in simulation results. Further to the above, results of the 387 present study indicate that in order to improve BioModel simulations, especially for 388 wastewater-based co-digestion, process inhibition dynamics should be redesigned, 389 considering certain effects that are currently missing in the microorganic growth 390 equations. This will form part of subsequent studies carried out by the authors. 391 As a general comment and regarding the data accuracy of the three case studies, 392 findings of present study and earlier work of Zielesny (2016) indicate that the inclusion 393 of experimental measurement errors in objective function calculations might be 394 favorable. Using such information, weighing the importance of experimental data points 395 would become possible, in order to discount for the effect of outliers and improve the 396 optimization system to be solved.

#### Conclusions 397 4

398 The aim of present work was to develop a parameter estimation methodology, for 399 the improvement of anaerobic digestion modelling. By identifying the sensitive 400 parameters of a complex bioconversion model (BioModel) and estimating their optimal 401 values, it was found that the model was able to simulate the most relevant process 402 variables with improved accuracy. Although the microbial growth expressions in the 403 BioModel need further improvement for accurately describing certain inhibition 404 phenomena, using the optimized parameter set was proven to expand its applicability 405 for simulating both manure- and wastewater-based co-digestion cases, at either 406 mesophilic or thermophilic conditions.

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411

**Conflict of Interest** 

412 The authors claim no conflict of interest concerning any part of the work presented 413 here.

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## 533 Tables

**Table I**. A comparison of objective function values throughout the two estimation case studies

| Experimental | Objective function |            |             |  |  |
|--------------|--------------------|------------|-------------|--|--|
| case         | reference          | estimated  | Improvement |  |  |
| Cube         | parameters         | parameters |             |  |  |
| C1           | 0.498              | 0.356      | 28.5 %      |  |  |
| C2           | 461.289            | 80.950     | 82.5 %      |  |  |

**Table II**. Parameter sets defined for the two estimation case scenarios and the generally applicable case, considering the minimum and

| 537 | maximum values take   | n by the SA method    | and the calculated average values <sup>a</sup> |
|-----|-----------------------|-----------------------|--|
| 001 | manning (and b) tanto | in of the birt method | and the calculated a chage values              |

| Parameter                                | Donomotor               | Initial | Valu  | <b>es taken</b> i | in C1 | Valu  | ies taken i | n C2  | Gen   | eral case | (C*)  |
|--|-------------------------|---------|-------|-------------------|-------|-------|-------------|-------|-------|-----------|-------|
| category                                 | Parameter               | value   | Min   | Max               | Avg   | Min   | Max         | Avg   | Min   | Max       | Avg   |
| Hydrolysis                               | Hydr <sub>carb,in</sub> | 0.500   | 0.128 | 0.328             | 0.213 | 0.303 | 0.432       | 0.382 | 0.128 | 0.432     | 0.298 |
| yield<br>coefficients                    | Hydr <sub>prot,in</sub> | 0.200   | 0.202 | 0.295             | 0.256 | 0.152 | 0.309       | 0.228 | 0.152 | 0.309     | 0.242 |
|  | Ks <sub>AA</sub>        | 3.500   | 1.988 | 2.968             | 2.481 | 0.711 | 3.373       | 2.175 | 0.711 | 3.373     | 2.32  |
| Half-saturation                          | Ks <sub>HPr</sub>       | 0.259   | 0.035 | 0.179             | 0.113 | 0.074 | 0.204       | 0.137 | 0.035 | 0.204     | 0.12  |
| constants                                | Ks <sub>HVal</sub>      | 0.176   | 0.015 | 0.111             | 0.068 | 0.110 | 0.193       | 0.143 | 0.015 | 0.193     | 0.10  |
| $[g L^{-1}]$                             | KSHAc                   | 0.120   | 0.419 | 0.599             | 0.527 | 0.437 | 0.604       | 0.546 | 0.419 | 0.604     | 0.53  |
| Inhibition constant [g L <sup>-1</sup> ] | Ki <sub>NH3,HAc</sub>   | 0.259   | 0.224 | 0.310             | 0.264 | 0.233 | 0.330       | 0.285 | 0.224 | 0.330     | 0.27  |
| Higher pH                                | pKh <sub>Ac</sub>       | 8.5     | 8.345 | 9.643             | 8.893 | 8.450 | 9.248       | 8.759 | 8.345 | 9.643     | 8.82  |

|                    | $Kd_{AA}$          | 0.050 | 0.089 | 0.117 | 0.103 | 0.025 | 0.154 | 0.095 | 0.025 | 0.154 | 0.099 |
|--------------------|--------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|                    | Kd <sub>HPr</sub>  | 0.050 | 0.109 | 0.134 | 0.119 | 0.114 | 0.174 | 0.144 | 0.109 | 0.174 | 0.132 |
|                    | Kd <sub>HBut</sub> | 0.050 | 0.040 | 0.069 | 0.053 | 0.019 | 0.111 | 0.076 | 0.019 | 0.111 | 0.065 |
| [d <sup>-1</sup> ] | Kd <sub>HVal</sub> | 0.050 | 0.027 | 0.115 | 0.067 | 0.057 | 0.170 | 0.100 | 0.027 | 0.170 | 0.084 |
|                    | Kd <sub>HAc</sub>  | 0.050 | 0.026 | 0.050 | 0.041 | 0.010 | 0.018 | 0.013 | 0.010 | 0.050 | 0.027 |

#### boundary

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<sup>a</sup> Where *Hydr* are the hydrolysis constants; *carb,in* and *prot,in* indicate inert carbohydrate and protein substrates; *Ks<sub>sub</sub>* are the halfsaturation constants of substrates; *AA* indicates soluble proteins; *HPr*, *HBut*, *HVal* and *HAc* are propionic, butyric, valeric and acetic acid, respectively;  $K_{i_{NH3,HAc}}$  is the ammonia inhibition constant effective on methanogenic microorganisms; *pKh<sub>Ac</sub>* is the upper pH limit where the microorganic growth rates are approximately 50% of the uninhibited rate;  $Kd_{sub}$  are the death rates of substrate degrading microorganic

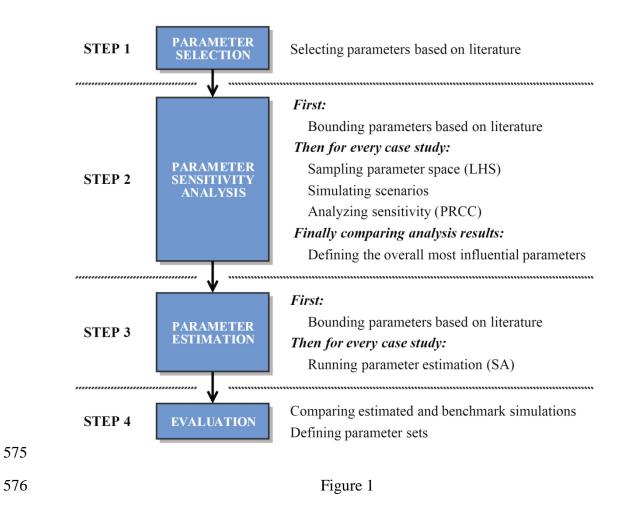
543 cells. Default and suggested parameter values are shown in bold.

### 544 **Figure legends**

545 Figure 1. Flowsheet representation of the systematic methodology used for analysis. 546 Figure 2. C1 and C2: Comparison of experimental and simulated biogas productivity, 547 where BM\_ben indicates the BioModel benchmark simulation and BM\_opt 548 indicates the BioModel simulation after the parameter estimation with the 549 best objective function. ADM1 indicates the ADM1 simulation carried out by 550 Schön. Dashed vertical lines represent the boundaries between feeding 551 periods. 552 Figure 3. PRCC values of the most sensitive parameters in the two calibration case 553 scenarios. Each indicator output variable is represented by a different 554 polygon, and the peaks indicate the effects of respective parameters on the 555 variable, on a scale of -1 to 1. Abbreviations are as in Table II. 556 Figure 4. V1: Comparison of experimental and simulated biogas productivity (top) and 557 total VFA concentrations (bottom), where *BM\_ben* indicates the BioModel 558 benchmark simulation and *BM\_opt* indicates the BioModel simulation with 559 optimized parameters. Dashed vertical lines represent the boundaries between 560 feeding periods. 561 Figure 5. V2: Comparison of experimental and simulated methane productivity (top), 562 total VFA concentrations (middle) and total ammonia concentrations 563 (bottom), where BM Fit indicates the BioModel simulation with default 564 parameters (carried out by Fitamo et al.) and *BM\_opt* indicates the BioModel 565 simulation with optimized parameters. Dashed vertical lines represent the 566 boundaries between feeding periods.

| 567 | Figure 6. V3: Comparison of experimental and simulated biogas productivity (top) and |
|-----|--|
| 568 | total VFA concentrations (bottom), where BM_ben indicates the BioModel               |
| 569 | benchmark simulation, BM_opt indicates the BioModel simulation with                  |
| 570 | optimized parameters and ADM1 indicates the ADM1 simulation carried out              |
| 571 | by Fezzani & Cheikh. Dashed vertical lines represent the boundaries between          |
| 572 | feeding periods.   |
|     |  |





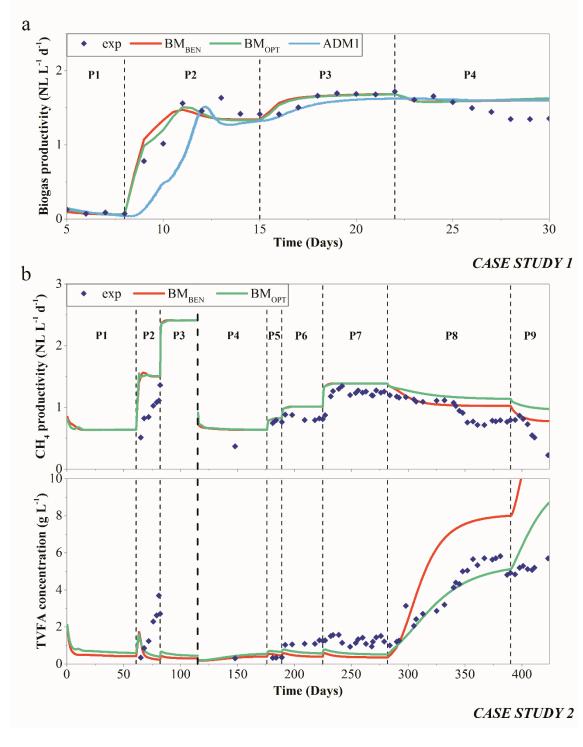
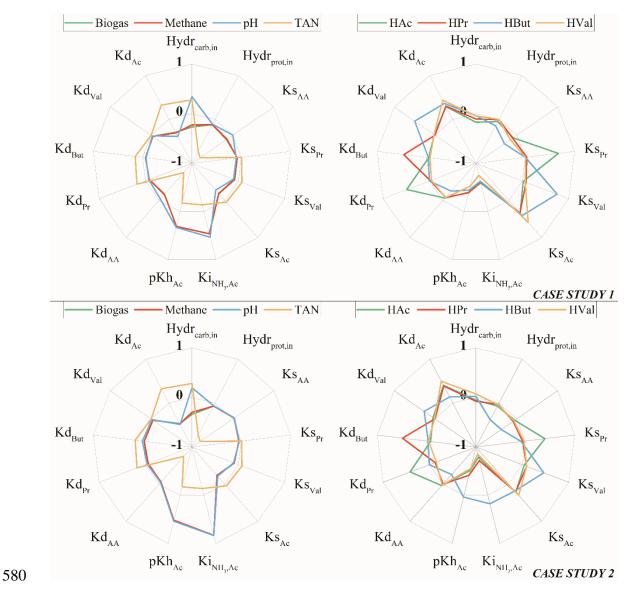


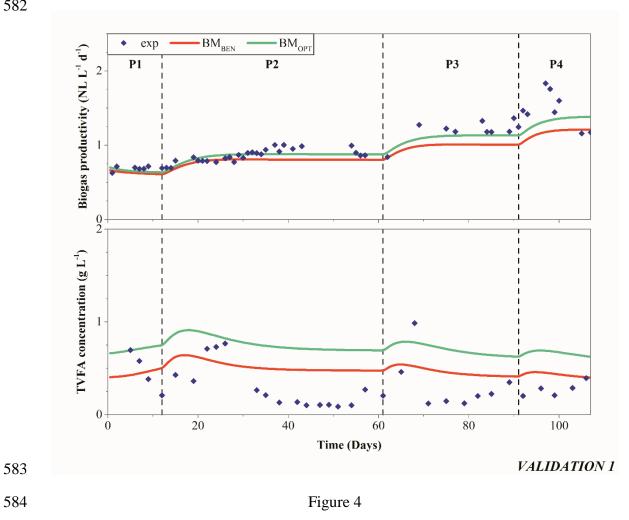
Figure 2











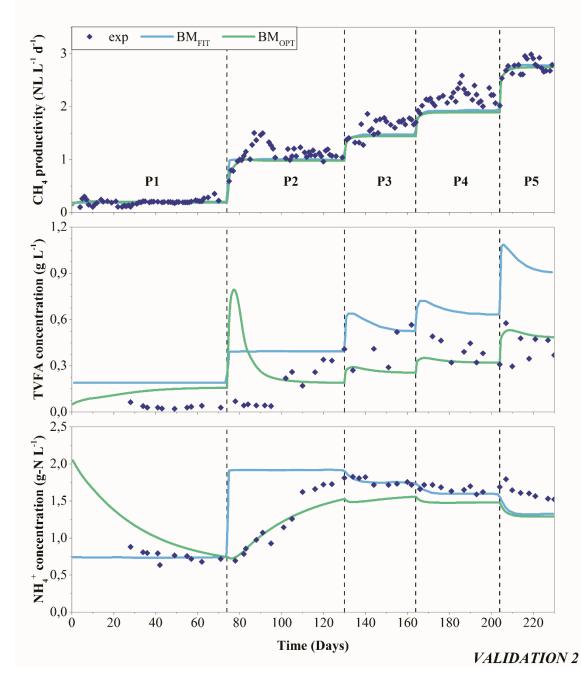


Figure 5

