



## **A systematic methodology to extend the applicability of a bioconversion model for the simulation of various co-digestion scenarios**

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1 **A systematic methodology to extend the applicability of a**  
2 **bioconversion model for the simulation of various co-digestion**  
3 **scenarios**

4

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14

15 **Running title**

16 Model simulating manure- and wastewater- based anaerobic co-digestion.

17

## 18 **ABSTRACT**

19 Detailed simulation of anaerobic digestion (AD) requires complex mathematical models  
20 and the optimization of numerous model parameters. By performing a systematic  
21 methodology and identifying parameters with the highest impact on process variables in  
22 a well-established AD model, its applicability was extended to various co-digestion  
23 scenarios. More specifically, the application of the step-by-step methodology led to the  
24 estimation of a general and reduced set of parameters, for the simulation of scenarios  
25 where either manure or wastewater were co-digested with different organic substrates.  
26 Validation of the general parameter set involved the simulation of laboratory-scale data  
27 from three continuous co-digestion experiments, treating mixtures of different organic  
28 residues either at thermophilic or mesophilic conditions. Evaluation of the results  
29 showed that simulations using the general parameter set fitted experimental data quite  
30 well, indicating that it offers a reliable reference point for future simulations of  
31 anaerobic co-digestion scenarios.

## 32 **KEYWORDS**

33 Anaerobic digestion, mathematical modeling, dynamic simulation, organic residue,  
34 parameter set.

## 35 **1 Introduction**

36 Throughout the years, various mathematical models simulating both anaerobic  
37 mono- and co-digestion processes have been proposed. From simpler empirical models  
38 (Andrews, 1969; Graef and Andrews, 1974; Hill and Barth, 1977; Kleinstreuer and  
39 Poweigha, 1982), to more complex ones (Angelidaki et al., 1999, 1993; Batstone et al.,

40 2002b; Costello et al., 1991; Siegrist et al., 1993). All of these models have been used to  
41 describe, to a certain extent, the anaerobic digestion of complex substrates.

42 The majority of the complex models are specialized in anaerobic digestion of  
43 specific feedstocks such as agricultural energy crops, residues, manures and wastewater  
44 sludge. For instance, the Anaerobic Digestion Model No. 1 or ADM1 (Batstone et al.,  
45 2002b) has been the most prominent among scientists working in the field of anaerobic  
46 wastewater treatment processes and more recently in solid waste bioconversion  
47 technologies. Likewise, the model (BioModel) proposed by Angelidaki et al. (1999)  
48 gives a good description of manure-based anaerobic digestion systems. The BioModel  
49 focuses on ammonia inhibition, which is often relevant in manure-based digestions, and  
50 includes a detailed description of pH and temperature, in order to simulate free  
51 ammonia concentrations. Compared to the ADM1, which expresses the concentration of  
52 solid substrate and product components using the indirect Chemical Oxygen Demand  
53 (COD), the BioModel features a more convenient, mass-based unit system. This allows  
54 for the characterization of substrates and products using simpler sampling and  
55 measurement techniques more appropriate for slurries and solid wastes, than COD.  
56 Despite their extensive application, the optimal use of such complex models requires the  
57 adjustment or modification of numerous parameters, depending on the type and nature  
58 of the simulated case (Donoso-Bravo et al., 2011). General experience shows, however,  
59 that the more parameters are contained in a mathematical model, the more difficult it  
60 becomes to verify their values for individual cases. Specifically, the large number of  
61 reactions and chemical species involved in these models gives a better description of the  
62 process, but complicates modeling, and – depending on the system to be “modeled” –  
63 the selection of the model itself to use. This also implies that existing complex models

64 are currently incapable of simulating dynamic processes describing diverse  
65 experimental conditions, without a considerable amount of customization. Criteria to  
66 select among models must weigh the trade-off between increased information  
67 requirements and potentially better process description. Moreover, the model refinement  
68 is an iterative procedure where the experimental and expert guided process of adding,  
69 excluding, or modifying assumptions until a model that satisfactorily explains the  
70 experimental data is obtained, is in general a difficult and time-consuming task (Sales-  
71 Cruz and Gani, 2006).

72       Based on aforementioned premises, the objective of this study was to identify a set  
73 of “benchmark” parameters that can be used without previous calibration for specific  
74 digestion cases and which can satisfactorily describe different digestion cases such as  
75 manure- or wastewater-based digestions. This was achieved through the application of a  
76 systematic methodology, which essentially consisted of the following. First, parameter  
77 selection was performed to reduce the parameter space for further treatment, based on a  
78 detailed assessment of complex bioconversion model parameters, found to be reported  
79 in literature with the greatest variations in their values. Second, detailed parameter  
80 sensitivity analysis using Latin Hypercube Sampling (LHS) and the Partial Rank  
81 Correlation Coefficient (PRCC) methods was performed, so that the less sensitive  
82 parameters could be further discriminated/eliminated. Third, numerical optimization  
83 using the Simulated Annealing (SA) method was carried out to estimate optimal  
84 parameter values and statistical information was obtained to determine the feasibility of  
85 the model parameters. Finally, the resulting set of optimized parameters was validated  
86 with three selected experimental case studies, in order to demonstrate improved model  
87 efficiency when using optimized parameters for simulation.

## 88 **2 Materials and Methods** Model Description

89 The core dynamic model (BioModel) of this work was developed by Angelidaki et  
90 al. (1999, 1993) and describes the degradation of complex substrates, along with the co-  
91 digestion of different types of organic wastes. In the BioModel, the substrate is  
92 described in terms of its basic organic components' composition – carbohydrates, lipids  
93 and proteins –, the concentration of intermediates such as volatile fatty acids (VFA) and  
94 long-chain fatty acids (LCFA), and important inorganic components, such as ammonia,  
95 phosphate, cations and anions. The model was upgraded to include the hydrolysis of  
96 lipids so that it includes three enzymatic hydrolytic and eight bacterial steps, and  
97 involves 19 chemical compounds, together with a detailed description of pH and  
98 temperature characteristics. Free ammonia, VFA and LCFA constitute the primary  
99 modulating factors. The BioModel was previously calibrated with experimental co-  
100 digestion scenarios utilizing substrates rich in carbohydrates, proteins and lipids  
101 (Angelidaki et al., 1999, 1997). For a detailed description of the model, see Table SI in  
102 the Supplementary material.

### 103 **2.2 Computational Methods**

104 Initially written in Microsoft Pascal, and later translated to the Delphi Pascal  
105 programming language, the BioModel was recently implemented in MATLAB,  
106 combined with a Microsoft Excel-based data input and output platform. The MATLAB  
107 model is able to simulate the AD process in one anaerobic fermenter, considering the  
108 composition of the inoculum, a primary substrate and up to three optional co-substrates.  
109 Organization and processing of parameters defining substrates, pump and flow rates,  
110 metabolic steps and chemical components, as well as the collection of model output  
111 variables was set up similar to as described by Angelidaki et al. (1999). Integration of

112 model equations in time and the selection of a suitable time step for calculations also  
113 resembled the method outlined in this earlier publication, and for the solution of the  
114 model ordinary differential equation system, MATLAB's *ode15s* solver was used.

## 115 **2.3 Systematic methodology**

116 The four steps describing the systematic methodology are depicted in Figure 1 and  
117 are described further in the following subsections. During the analysis, the model  
118 structure was kept as taken from the literature (Angelidaki et al., 1999).

### 119 **2.3.1 Step 1: Parameter selection**

120 In this step, a preliminary selection of the model parameters was performed based  
121 on the assessment of available literature (Batstone et al., 2002a; Biernacki et al., 2013;  
122 Bułkowska et al., 2015; López and Borzacconi, 2010; Lübken et al., 2007; Nguyen,  
123 2014; Ramirez et al., 2009; Rivera-Salvador et al., 2014; Rosén and Jeppsson, 2006).  
124 Details of this process are explained in the Supplementary material and the complete list  
125 of parameters considered is shown in the Supplementary material, Table SII. As a  
126 systematic reduction of the complete model parameter space and based on the  
127 comparison of studies, biochemical parameters that showed significant variance and are  
128 included in the BioModel were selected for subsequent sensitivity analysis in Step 2.

### 129 **2.3.2 Step 2: Parameter sensitivity analysis**

130 Following the parameter selection (Step 1), a detailed sensitivity analysis was  
131 performed on the selected parameters, in order to evaluate the magnitude of the  
132 parameters' individual effect on specific simulation output variables. The output  
133 variables chosen were biogas and methane production, VFA and total ammonium  
134 nitrogen-TAN concentration, pH, commonly reported as good indicators of the AD  
135 process performance (Boe et al., 2010; Labatut and Gooch, 2012). Values of the

136 parameters selected in Step 1 were allowed to vary between lower and upper  
137 boundaries, defined based on the literature assessment of Step 1, and sampling of the  
138 available parameter space was performed with the Latin Hypercube Sampling (LHS)  
139 method (McKay, 1992; McKay et al., 1979). LHS was an integral part of the analysis,  
140 in order to make sure that the parameter values were selected from the whole range  
141 available, avoiding bias and maintaining statistical accuracy. Concerning the  
142 distribution of parameter intervals by the LHS method, uniform parameter distribution  
143 was assumed (Manache and Melching, 2007), and the number of parameter sample sets  
144 generated by the method was ten times the number of parameters selected for analysis.

145 Following the sampling process, simulations were performed with every set of  
146 parameter samples generated previously. The length of the simulated periods  
147 corresponded to the periods where experimental data were available. Furthermore, to  
148 reduce computational demand, four approximately equidistant time points of each case  
149 simulation period were selected and only the output variable values of these time points  
150 were used thereafter.

151 Sampling-based Partial Rank Correlation Coefficient (PRCC) method (Marino et  
152 al., 2008; Pennington, 2015; Wu et al., 2013; Zi, 2011) was used to perform sensitivity  
153 analysis. As the PRCC method does not account for time as an independent variable,  
154 PRCC analyses for the previously selected, equidistant time points were conducted  
155 separately, in order to produce statistically representative results for complete  
156 simulation periods. Further to that, for PRCC results to be considered relevant, their  
157 probability values (p-values) were required to be smaller than 0.05 (Jackson and  
158 Radunskaya, 2015). For each case study, results of the PRCC analyses for individual  
159 time points were combined, providing an aggregate PRCC value over the entire



160 simulated period. Parameters were ranked according to their PRCC values to define the  
161 most sensitive parameters with respect to each model output variable specified in Step  
162 2. Both LHS and the PRCC analyses were carried out using the MATLAB-based  
163 Sampling and Sensitivity Analyses Tool (SaSAT) (Hoare et al., 2008).

### 164 **2.3.3 Step 3: Parameter estimation**

165 After identification of the most sensitive parameters in Step 2, numerical estimation  
166 of their values was performed for both case studies. Variation in parameter values was  
167 allowed according to lower and upper parameter boundaries specified in Step 2. The  
168 parameters were estimated by minimization of the sum of squares of the differences  
169 between predicted and experimental data sets (see Table SIII of the Supplementary  
170 material). For the optimization task, the Simulated Annealing (SA) method was used  
171 (Ingber, 1996; Kirkpatrick et al., 1983). Implementation of the method was done in  
172 MATLAB, using the *simulannealbnd* function. Each case study was simulated with 250  
173 iterations (a number used also by López and Borzacconi (2010)), in three consecutive  
174 parameter estimation cycles to support the results of the stochastic optimization method  
175 statistically. At the last step, SA iteration histories, objective function values and  
176 estimated parameter values were collected from all simulations, and were used for  
177 comparing the different scenarios on a quantitative and qualitative basis.

### 178 **2.3.4 Step 4: Validation and evaluation of the results**

179 First, performance criteria simulations – benchmark simulations – with the original  
180 model parameter values were compared against simulations using the optimized  
181 parameter values identified in Step 3, for both case studies used during parameter  
182 estimation. Second, following the unification of optimized parameter values used in  
183 case study 1 and 2 – by calculating the mathematical average of the respective

184 parameter values – validation of optimized parameters was performed with the data of  
185 three lab-scale CSTR experiments. Finally, conclusions were drawn based on the results  
186 of validation.

## 187 **2.4 Case studies**

188 Below a short overview of the two experimental case studies, which were used  
189 during parameter estimation is provided. For further details on simulated substrate and  
190 process characteristics, see the Supplementary material, Table SIV and SV.

### 191 **2.4.1 Case study 1 (C1)**

192 Process data was collected from the doctoral dissertation of Schön (2009). In his  
193 work, the author investigated the applicability of ADM1 for the simulation of the AD  
194 process of a demonstration biogas plant, and lab-scale reactors fed only with manure.  
195 The reactor selected for simulation had a volume of 75 L and was operated at  
196 mesophilic conditions (37 °C), with a hydraulic retention time (HRT) of 10 days, in four  
197 consecutive periods. Period 1 (day 0-8): no influent feed, operated as batch with only  
198 inoculum. Period 2 (day 9-15), Period 3 (day 16-22) and Period 4 (day 23-30) fed solely  
199 with manure of varying composition (Supplementary material, Table SIV). Due to the  
200 simplicity of the experimental setup and the availability of relevant data such as input  
201 manure characteristics, biogas production and pH, this case was selected as the initial  
202 case study for analysis.

### 203 **2.4.2 Case study 2 (C2)**

204 A continuous lab-scale experiment, carried out by Wang et al. (2016) using GTO  
205 and ammonia as co-substrates, was used as the second case study. The reactor had a  
206 working volume of 1.8 L, its inoculum originated from digestion of a mixture of cattle  
207 and pig manure, while cattle manure served as the primary substrate for reactor feeding

208 (Supplementary material, Table SV). Reactor temperature was kept at 54 °C throughout  
209 the whole experiment. Feeding took place with an HRT of 15 days, throughout the  
210 experiment. The experiment was divided into two main phases; in the first phase,  
211 manure feed was mixed with rapidly increasing concentrations of GTO, raising the  
212 organic loading rate (OLR) from 3.2 g-VS L<sup>-1</sup>d<sup>-1</sup> to 5 g-VS L<sup>-1</sup>d<sup>-1</sup> in 54 days, which  
213 ended with the collapse of the reactor. Following re-inoculation, the reactor in the  
214 second phase was fed with manure and a gradually increasing concentration of GTO,  
215 reaching from 3.2 to 4 g-VS L<sup>-1</sup>d<sup>-1</sup> added organic material in 91 days, after which OLR  
216 was kept stable. Meanwhile, ammonia addition in this last period increased from 2.1 to  
217 5 g-N L<sup>-1</sup>, during the course of 157 days. Thus for the simulation, 9 feeding periods  
218 were defined, based on data provided by Wang et al. (not shown).

### 219 **3 Results and Discussion**

220 Base case simulations for the two case studies (C1 and C2) were generated with the  
221 original BioModel parameters. The response of the model in terms of biogas or methane  
222 productivities, and total VFA concentrations (where applicable) is shown in Figure 2a  
223 (C1) and Figure 2b (C2), and are discussed in the following sections. pH simulations  
224 were included in the Supplementary material (Figure S1 and S2).

225 Following the steps outlined in the systematic methodology, 44 parameters were  
226 initially selected in Step 1 for sensitivity analysis, with lower and upper boundaries  
227 defined based on the smallest and largest values reported for anaerobic digestion of  
228 complex substrates. The list of initially selected parameters, along with their lower and  
229 upper limits, can be found in the Supplementary material, Table SVI. In Step 2, the  
230 most sensitive parameters were identified for the individual estimation case studies  
231 (average PRCC values shown in Table SVII of the Supplementary material). Out of 44

232 initial parameters tested, model output variables were found to be sensitive to mainly 13  
233 specific parameters. These 13 parameters included:  $\text{Hydr}_{\text{carb,in}}$ ,  $\text{Hydr}_{\text{prot,in}}$ ,  $\text{K}_{\text{SAA}}$ ,  $\text{K}_{\text{SHPr}}$ ,  
234  $\text{K}_{\text{SHVal}}$ ,  $\text{K}_{\text{SHAc}}$ ,  $\text{K}_{\text{iNH}_3,\text{HAc}}$ ,  $\text{pK}_{\text{hAc}}$ ,  $\text{K}_{\text{dAA}}$ ,  $\text{K}_{\text{dHPr}}$ ,  $\text{K}_{\text{dHBut}}$ ,  $\text{K}_{\text{dHVal}}$  and  $\text{K}_{\text{dHAc}}$ . These  
235 parameters and their quantified effect (PRCC values) on the output variables are shown  
236 in Figure 3. As seen from the graphs, parameter effects show significant variations  
237 depending on the output variables considered, but the trends in PRCC values, and thus  
238 the overall parameter effects on the simulated systems appear similar. Once the most  
239 sensitive parameters were identified, Step 3 was then executed, the results of which are  
240 discussed in the next sections, for each case study respectively.

### 241 **3.1 Case study 1 (C1)**

242 In the first benchmark simulation, the response of the model with the original set of  
243 parameters is shown in red color in Figure 2a. As observed, model response fitted well  
244 the trend exhibited by experimental data, particularly in Periods 1, 2 and 3 at which  
245 biogas production increased – due to an increase in the organic loading rate – and then  
246 stabilized at a new steady state level. In contrast with the trend exhibited by the  
247 experimental data during Period 4, where biogas production is shown to decrease  
248 throughout the whole period, the model predicted a slight decrease at the beginning and  
249 subsequently reached a new steady state level. This discrepancy is explained by the fact  
250 that during this operational period experimental values were not recorded properly as  
251 pointed out by the authors. Figure 2a shows in green color the response of the model  
252 when the set of optimized parameters (see Table II) was used. Although qualitative  
253 improvement is difficult to assess, improvements in the fitting were obtained. This was  
254 further confirmed by the value of the objective function, which was reduced from 0.498  
255 to 0.356 representing a 28.5% improvement in the model response (Table I).

256 Meanwhile, the quality of the pH simulation was unchanged and remained highly  
257 accurate (see Figure S1 in Supplementary material). Compared to the ADM1 simulation  
258 that is shown in Figure 2a in blue color, both the benchmark and optimized simulations  
259 fit experimental data with high accuracy, especially in Period 2, where a rapid increase  
260 in biogas productivity is observed. This indicates that the BioModel appeared to  
261 produce more accurate simulations for anaerobic manure digestion than the ADM1.

### 262 **3.2 Case study 2 (C2)**

263 In the second benchmark simulation, the response of the model with the original set of  
264 parameters is shown in Figure 2b in red color. First, two operational periods can be  
265 observed with a considerable degree of uncertainty. Operational Period 2 between days  
266 50 and 80, where simulated methane productivity increased more rapidly compared to  
267 the experimental trend, while the simulated total VFA concentrations only reached  
268 about half of the experimental values. Periods 8 and 9 (between day 300 and 420), on  
269 the other hand, showed an opposite trend, with a significant delay in the decrease of  
270 methane productivity and an overestimation in total VFA concentration simulated. The  
271 value of the objective function for the benchmark simulation was found to be 461.289  
272 (see Table I). Figure 2b shows in green the response of the model when the set of  
273 optimized parameters (see Table II) were used. As observed, by using the optimized  
274 parameters a significant improvement (82.5%) was obtained in the objective function  
275 value (see Table I), which is well represented by the satisfactory fit of the total VFA  
276 experimental data – particularly between days 300 and 420 (see Figure 2b, bottom in  
277 green).

### 278 **3.3 Parameter set validation**

279 As a result of the parameter optimization process carried out using the two  
280 aforementioned case studies, a general set of estimated parameters was compiled (see  
281 Table II), with parameter boundaries defined based on the lowest and highest optimized  
282 parameter values used by the SA algorithm. For validating the above, generally  
283 applicable set of parameters, three case studies are described below. They were selected  
284 from a wide range of experiments, and covered manure co-digestion with  
285 carbohydrates, manure co-digestion with complex substrates and wastewater co-  
286 digestion with complex substrates.

#### 287 **3.3.1 Validation case study 1 (V1)**

288 Experimental material for the first validation case scenario was taken from  
289 Søndergaard et al. (2015), who investigated the effect of meadow grass on biogas  
290 productivity, when added to manure and co-digested in CSTR-type reactors  
291 (Supplementary material, Table SVIII). By gradually increasing the concentration of  
292 meadow grass in the reactor, while using the same manure substrate, the experiment had  
293 four distinct feeding periods. Period 1 (day 0-12): manure feed without additional  
294 meadow grass. Period 2 (day 13-61): manure feed with 12 g L<sup>-1</sup> meadow grass. Period 3  
295 (day 62-91): manure feed with 23 g L<sup>-1</sup> meadow grass. Period 4 (day 92-107): manure  
296 feed with 34 g L<sup>-1</sup> meadow grass. Operation temperature was 54 °C and the working  
297 volume was 3.5 L.

298 Benchmark simulations can be seen in Figure 4 in red, covering biogas productivity  
299 (top) and total VFA concentrations (bottom). Although the trend in total VFA  
300 concentrations is well captured by the BioModel, the total amounts are higher than the  
301 experimentally measured values. This is inversely true for the biogas productivity

302 simulation, where the curve in the second half of Period 2 and in Period 3 and 4 falls  
303 below the zone where experimental points are found. A clear improvement is achieved  
304 in biogas productivity simulation using the general set of optimized parameters (curves  
305 in green), as the curve becomes higher, fitting experimental data quite well in Period 2  
306 and 3 and almost reaching experimental levels in Period 4. This is achieved by  
307 increasing the simulated total VFA concentration slightly, which decreases simulation  
308 accuracy somewhat further in Period 3 and 4. However, it also provides a better  
309 description of the elevated total VFA concentration in the first half of Period 2 and  
310 keeps the overall trend marked by experimental points.

### 311 **3.3.2 Validation case study 2 (V2)**

312 A complex experiment published by Fitamo et al. (2016a, 2016b) served as source  
313 material for the second validation case study, where the authors were co-digesting  
314 mixed wastewater sludge (MS) with different urban organic wastes (UOW), such as  
315 food waste, grass clippings and garden waste (Supplementary material, Table SIX).  
316 Although the experiment involved two reactors, only the first one was considered in  
317 present study. According to the description of the process, five feeding periods were  
318 defined during the experiment, where the first covered only MS digestion and UOW  
319 were added from Period 2. Between Period 2 and 5, the volatile solid-based mixture of  
320 the four substrates was kept constant, meaning an approximately 10:68:15:7 mixing  
321 ratio for mixed sludge, food waste, grass clippings and garden waste, respectively. The  
322 distribution of feeding periods is as follows. Period 1 (day 0-75): MS digestion with an  
323 HRT of 30 days. Period 2 (day 76-130): MS and UOW, HRT of 30 days. Period 3 (day  
324 131-164): MS and UOW, HRT of 20 days. Period 4 (day 165-203): MS and UOW,

325 HRT of 15 days. Period 5 (day 204-230): MS and UOW, HRT of 10 days. The reactor  
326 working volume was 3 L and operation temperature was 55 °C.

327 Results of the simulation carried out by Fitamo et al., with default parameters  
328 (Figure 5, curves in blue) indicate that biogas productivity (top) was captured very well,  
329 along with total ammonia concentrations (bottom) outside Period 2. The total VFA  
330 simulation (middle), however, showed higher levels than seen during the experiment.  
331 By running simulations with the general set of optimized parameters (Figure 5, curves  
332 in green), significant improvements were achieved in fitting experimental data.  
333 Moreover, the simulation of total ammonia concentrations was now highly accurate,  
334 including that of Period 2, while the biogas productivity did not change considerably.  
335 Interestingly, simulated total VFA concentrations were lowered, to about half of what  
336 was simulated by Fitamo et al., providing a more accurate fit of experimental data. The  
337 simulated peak in Period 2 is most probably the result of starting the addition of UOW,  
338 where food waste contained high amounts of soluble lipids and carbohydrates. In  
339 contrast, low experimental values might indicate a microorganic community already  
340 well adapted to such concentrations.

### 341 **3.3.3 Validation case study 3 (V3)**

342 For the simulation of the third validation case study, lipid hydrolysis with first-order  
343 kinetics was included as a structural part of the BioModel and it was set up assuming  
344 inert and soluble fractions as described in Miron et al. (2000). Information about  
345 substrates and process decisions used during the case study were collected from Fezzani  
346 and Cheikh (2008, 2007), who described the co-digestion of olive mill wastewater and  
347 olive mill solid waste at different HRTs and influent concentrations (Supplementary  
348 material, Table SX). The selected experiment used an influent total Chemical Oxygen



349 Demand (TCOD) of  $80 \text{ g-COD L}^{-1}$  and was divided into three periods. Period 1 (day 0-  
350 70): mixed feed with an HRT of 36 days. Period 2 (day 71-120): mixed feed with an  
351 HRT of 24 days. Period 3 (day 121-150): mixed feed with an HRT of 12 days. The  
352 reactor, despite being a tubular type, was completely mixed and had a working volume  
353 of 18 L. Operation temperature was  $37 \text{ }^\circ\text{C}$ .

354 The response of the model with the original set of parameters is shown in Figure 6  
355 in red. For operation Period 1 and 2, qualitatively the model prediction was good.  
356 However, the model was not able to forecast the third period at which a rapid decrease  
357 in biogas productivity and accumulation of VFA were observed. Another important  
358 aspect to point out is the sharp maximum in biogas productivity that the model predicts  
359 in Period 1 (between days 1-5), which happens early, yet is well in line with the  
360 experimental trend. Using the general set of optimized parameters and together with a  
361 slight increase in biogas productivity in Period 1 and 2 (Figure 6, top), a favorable  
362 increase in total VFA concentrations was experienced, visible principally in Period 3  
363 (Figure 6, bottom).

364 When compared to the performance of ADM1 as seen in Figure 6, the BioModel  
365 performed better for the simulation of the initial increase in biogas production, however,  
366 it was not able to simulate the rapid decline in biogas productivity (Figure 6, top) and  
367 the proportional increase in total VFA concentrations (Figure 6, bottom) seen in the last  
368 feeding period. This is most likely because the BioModel does not include a VFA  
369 inhibition term effective on the growth of methanogenic microorganic groups, while  
370 these inhibitory kinetics were added to the ADM1 by Fezzani and Cheikh. Another way  
371 to decrease biogas productivity forecasted by the BioModel would have been the  
372 reduction of the ammonia inhibition term  $K_{i,\text{NH}_3}$  (whose value was 0.259 before and

373 became 0.275 after optimization), which takes effect on acetoclastic methanogens.  
374 Being the overall most sensitive parameter among the 13 parameters identified in Step 2  
375 of the methodology, this would have improved the fit in Period 3. Nevertheless, this  
376 adjustment would not be feasible, as the authors have stated that ammonia concentration  
377 was kept constant, at a low concentration of around  $1.3 \text{ g-N L}^{-1}$ , throughout the whole  
378 experiment (Fezzani and Cheikh, 2008). Assuming, however, that the rapid decline in  
379 biogas productivity was due to the inhibition of acetoclastic methanogenic groups by  
380 the accumulation of phenolic compounds (Borja et al., 1997) justifies the performance  
381 of the BioModel, as this factor is not accounted for in the model and thus could not  
382 decrease the productivity in Period 3.

### 383 **3.4 Evaluation**

384 The evaluation of above three validation case studies showed that by restricting  
385 future parameter estimations to the 13 sensitive parameters shown, significant  
386 improvements can be expected in simulation results. Further to the above, results of the  
387 present study indicate that in order to improve BioModel simulations, especially for  
388 wastewater-based co-digestion, process inhibition dynamics should be redesigned,  
389 considering certain effects that are currently missing in the microorganic growth  
390 equations. This will form part of subsequent studies carried out by the authors.

391 As a general comment and regarding the data accuracy of the three case studies,  
392 findings of present study and earlier work of Zielesny (2016) indicate that the inclusion  
393 of experimental measurement errors in objective function calculations might be  
394 favorable. Using such information, weighing the importance of experimental data points  
395 would become possible, in order to discount for the effect of outliers and improve the  
396 optimization system to be solved.

## 397 **4 Conclusions**

398 The aim of present work was to develop a parameter estimation methodology, for  
399 the improvement of anaerobic digestion modelling. By identifying the sensitive  
400 parameters of a complex bioconversion model (BioModel) and estimating their optimal  
401 values, it was found that the model was able to simulate the most relevant process  
402 variables with improved accuracy. Although the microbial growth expressions in the  
403 BioModel need further improvement for accurately describing certain inhibition  
404 phenomena, using the optimized parameter set was proven to expand its applicability  
405 for simulating both manure- and wastewater-based co-digestion cases, at either  
406 mesophilic or thermophilic conditions.

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## 411 **Conflict of Interest**

412 The authors claim no conflict of interest concerning any part of the work presented  
413 here.

414           **References**

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533 **Tables**

534 **Table I.** A comparison of objective function values throughout the two estimation case studies

<b>Experimental case</b>	<b>Objective function value using</b>		<b>Improvement</b>
	reference parameters	estimated parameters	
C1	0.498	0.356	28.5 %
C2	461.289	80.950	82.5 %

535

536 **Table II.** Parameter sets defined for the two estimation case scenarios and the generally applicable case, considering the minimum and  
 537 maximum values taken by the SA method and the calculated average values <sup>a</sup>

Parameter category	Parameter	Initial value	Values taken in C1			Values taken in C2			General case (C*)		
			Min	Max	Avg	Min	Max	Avg	Min	Max	Avg
Hydrolysis yield coefficients	Hydr <sub>carb,in</sub>	<b>0.500</b>	0.128	0.328	0.213	0.303	0.432	0.382	0.128	0.432	<b>0.298</b>
	Hydr <sub>prot,in</sub>	<b>0.200</b>	0.202	0.295	0.256	0.152	0.309	0.228	0.152	0.309	<b>0.242</b>
Half-saturation constants [g L <sup>-1</sup> ]	K <sub>SAA</sub>	<b>3.500</b>	1.988	2.968	2.481	0.711	3.373	2.175	0.711	3.373	<b>2.328</b>
	K <sub>SHPr</sub>	<b>0.259</b>	0.035	0.179	0.113	0.074	0.204	0.137	0.035	0.204	<b>0.125</b>
	K <sub>SHVal</sub>	<b>0.176</b>	0.015	0.111	0.068	0.110	0.193	0.143	0.015	0.193	<b>0.106</b>
	K <sub>SHAc</sub>	<b>0.120</b>	0.419	0.599	0.527	0.437	0.604	0.546	0.419	0.604	<b>0.537</b>
Inhibition constant [g L <sup>-1</sup> ]	K <sub>iNH<sub>3</sub>,HAc</sub>	<b>0.259</b>	0.224	0.310	0.264	0.233	0.330	0.285	0.224	0.330	<b>0.275</b>
Higher pH	pK <sub>hAc</sub>	<b>8.5</b>	8.345	9.643	8.893	8.450	9.248	8.759	8.345	9.643	<b>8.826</b>

boundary

Cell death rates [d <sup>-1</sup> ]	Kd <sub>AA</sub>	<b>0.050</b>	0.089	0.117	0.103	0.025	0.154	0.095	0.025	0.154	<b>0.099</b>
	Kd <sub>HPr</sub>	<b>0.050</b>	0.109	0.134	0.119	0.114	0.174	0.144	0.109	0.174	<b>0.132</b>
	Kd <sub>HBut</sub>	<b>0.050</b>	0.040	0.069	0.053	0.019	0.111	0.076	0.019	0.111	<b>0.065</b>
	Kd <sub>HVal</sub>	<b>0.050</b>	0.027	0.115	0.067	0.057	0.170	0.100	0.027	0.170	<b>0.084</b>
	Kd <sub>HAc</sub>	<b>0.050</b>	0.026	0.050	0.041	0.010	0.018	0.013	0.010	0.050	<b>0.027</b>

538

539 <sup>a</sup> Where *Hydr* are the hydrolysis constants; *carb,in* and *prot,in* indicate inert carbohydrate and protein substrates; *K<sub>s,sub</sub>* are the half-  
540 saturation constants of substrates; *AA* indicates soluble proteins; *HPr*, *HBut*, *HVal* and *HAc* are propionic, butyric, valeric and acetic acid,  
541 respectively; *K<sub>iNH3.HAc</sub>* is the ammonia inhibition constant effective on methanogenic microorganisms; *pK<sub>hAc</sub>* is the upper pH limit where  
542 the microorganic growth rates are approximately 50% of the uninhibited rate; *K<sub>d,sub</sub>* are the death rates of substrate degrading microorganic  
543 cells. Default and suggested parameter values are shown in bold.

544 **Figure legends**

545 **Figure 1.** Flowsheet representation of the systematic methodology used for analysis.

546 **Figure 2.** C1 and C2: Comparison of experimental and simulated biogas productivity,  
547 where *BM\_ben* indicates the BioModel benchmark simulation and *BM\_opt*  
548 indicates the BioModel simulation after the parameter estimation with the  
549 best objective function. *ADM1* indicates the ADM1 simulation carried out by  
550 Schön. Dashed vertical lines represent the boundaries between feeding  
551 periods.

552 **Figure 3.** PRCC values of the most sensitive parameters in the two calibration case  
553 scenarios. Each indicator output variable is represented by a different  
554 polygon, and the peaks indicate the effects of respective parameters on the  
555 variable, on a scale of -1 to 1. Abbreviations are as in Table II.

556 **Figure 4.** V1: Comparison of experimental and simulated biogas productivity (top) and  
557 total VFA concentrations (bottom), where *BM\_ben* indicates the BioModel  
558 benchmark simulation and *BM\_opt* indicates the BioModel simulation with  
559 optimized parameters. Dashed vertical lines represent the boundaries between  
560 feeding periods.

561 **Figure 5.** V2: Comparison of experimental and simulated methane productivity (top),  
562 total VFA concentrations (middle) and total ammonia concentrations  
563 (bottom), where *BM\_Fit* indicates the BioModel simulation with default  
564 parameters (carried out by Fitamo et al.) and *BM\_opt* indicates the BioModel  
565 simulation with optimized parameters. Dashed vertical lines represent the  
566 boundaries between feeding periods.

567 **Figure 6.** V3: Comparison of experimental and simulated biogas productivity (top) and  
568 total VFA concentrations (bottom), where *BM\_ben* indicates the BioModel  
569 benchmark simulation, *BM\_opt* indicates the BioModel simulation with  
570 optimized parameters and *ADM1* indicates the ADM1 simulation carried out  
571 by Fezzani & Cheikh. Dashed vertical lines represent the boundaries between  
572 feeding periods.

573

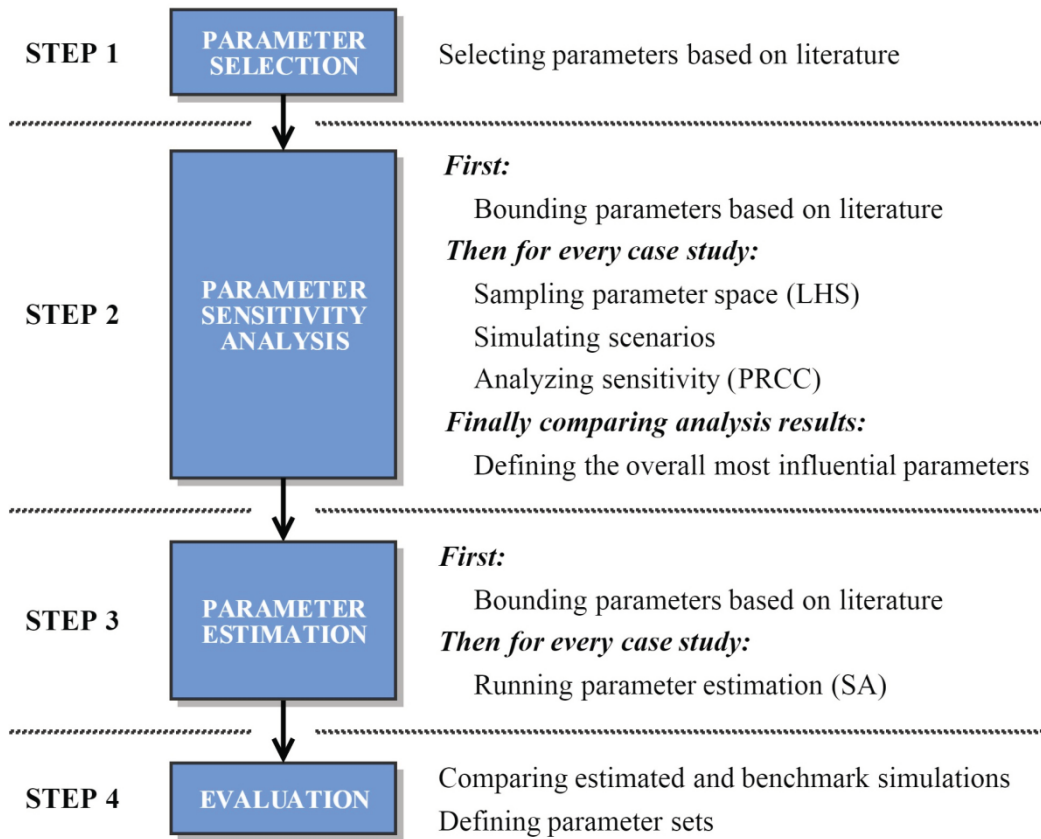
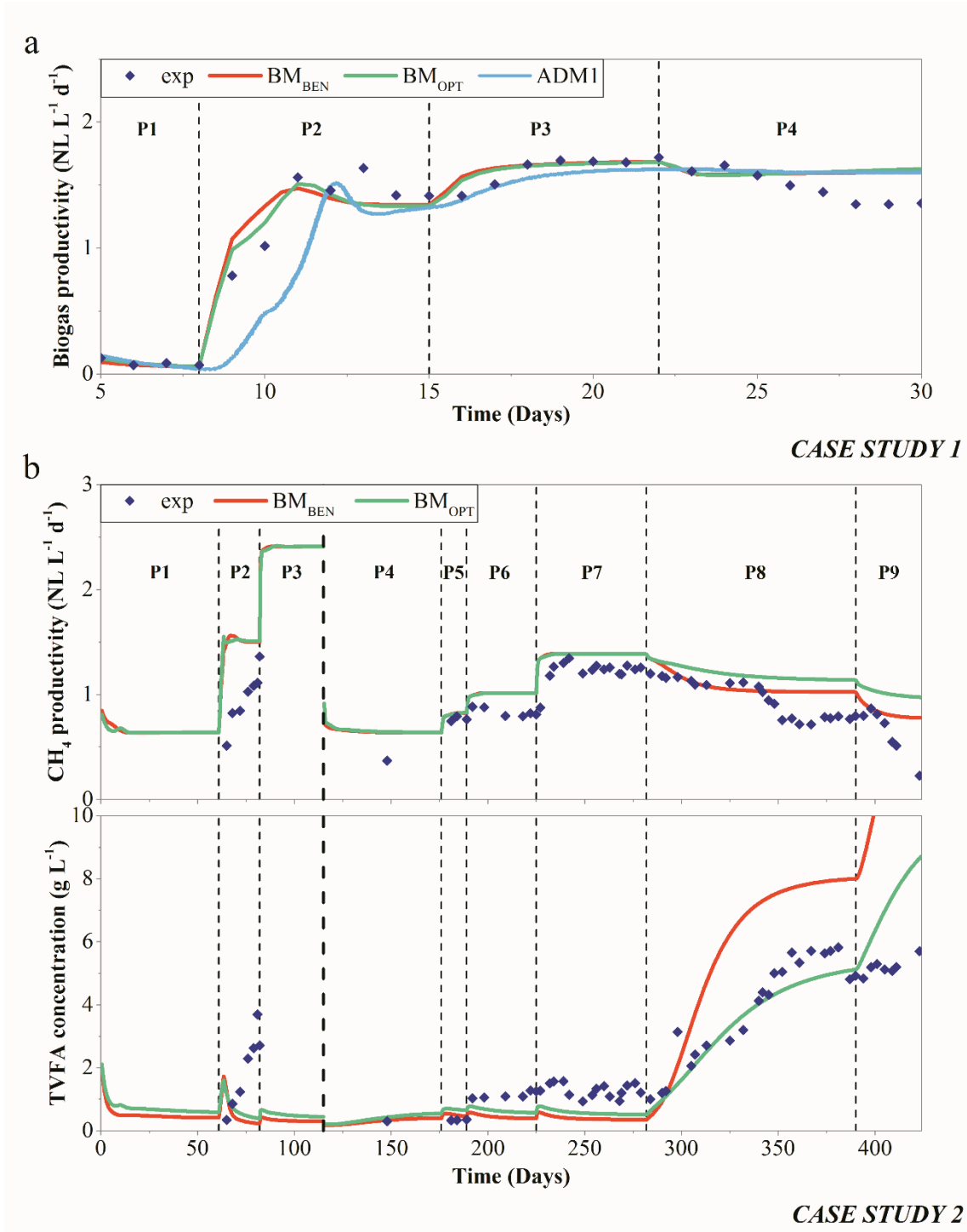


Figure 1

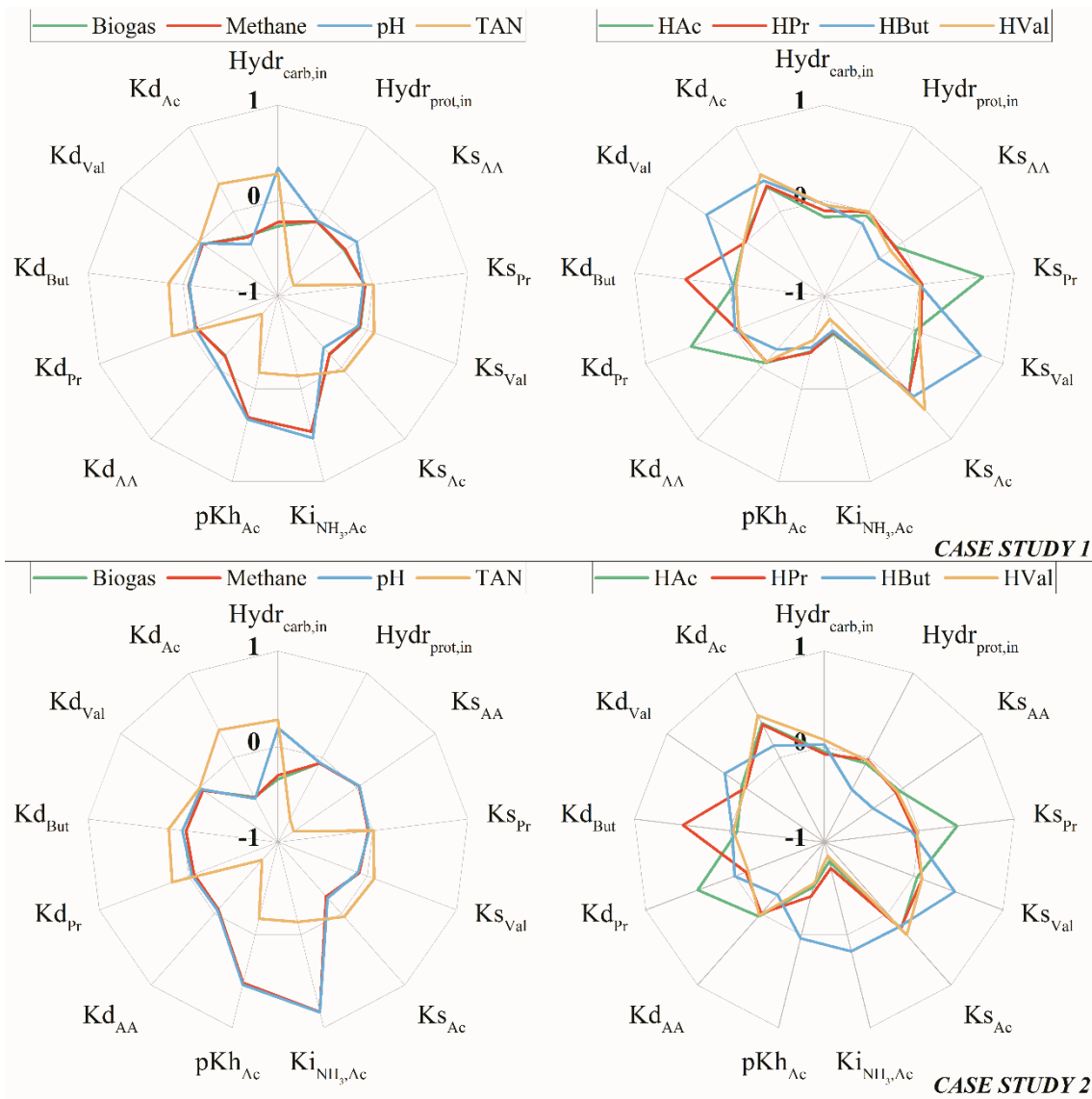


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Figure 2



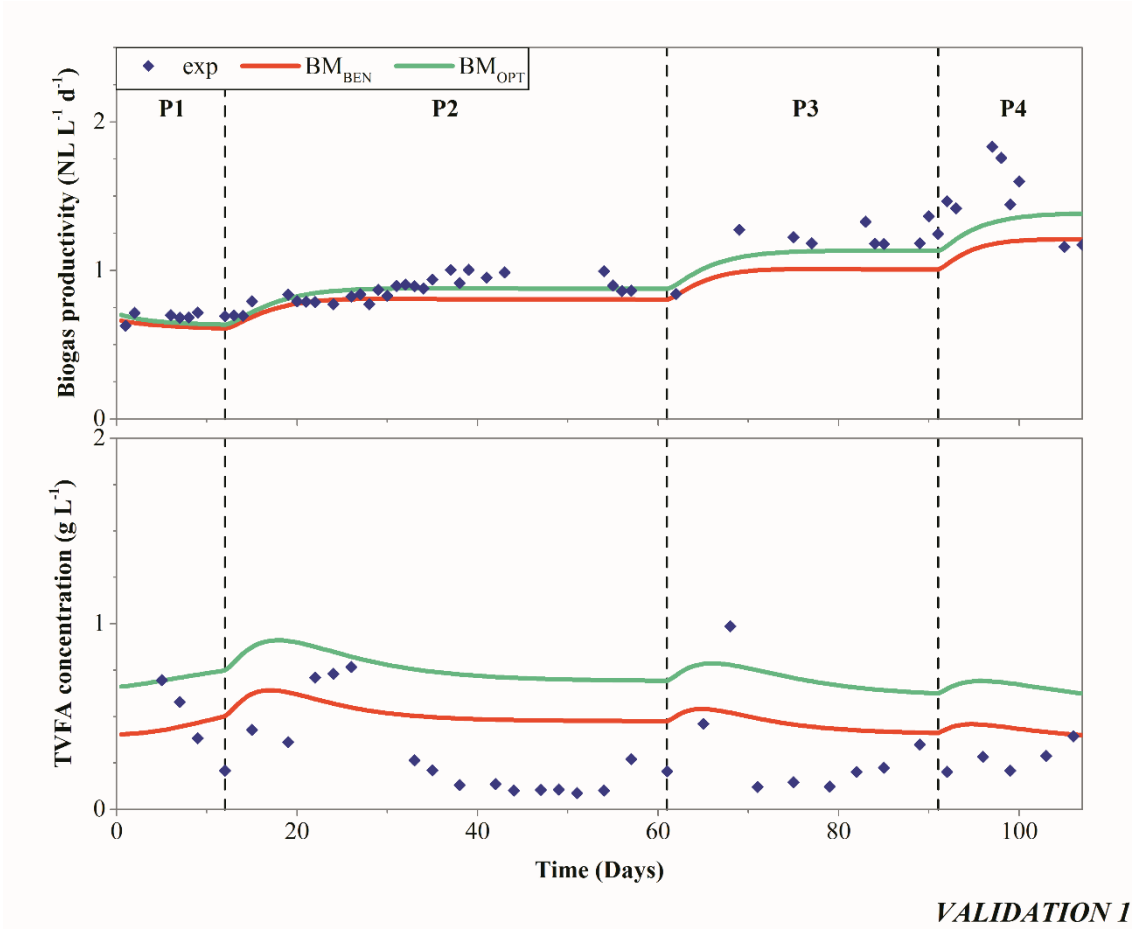


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Figure 3

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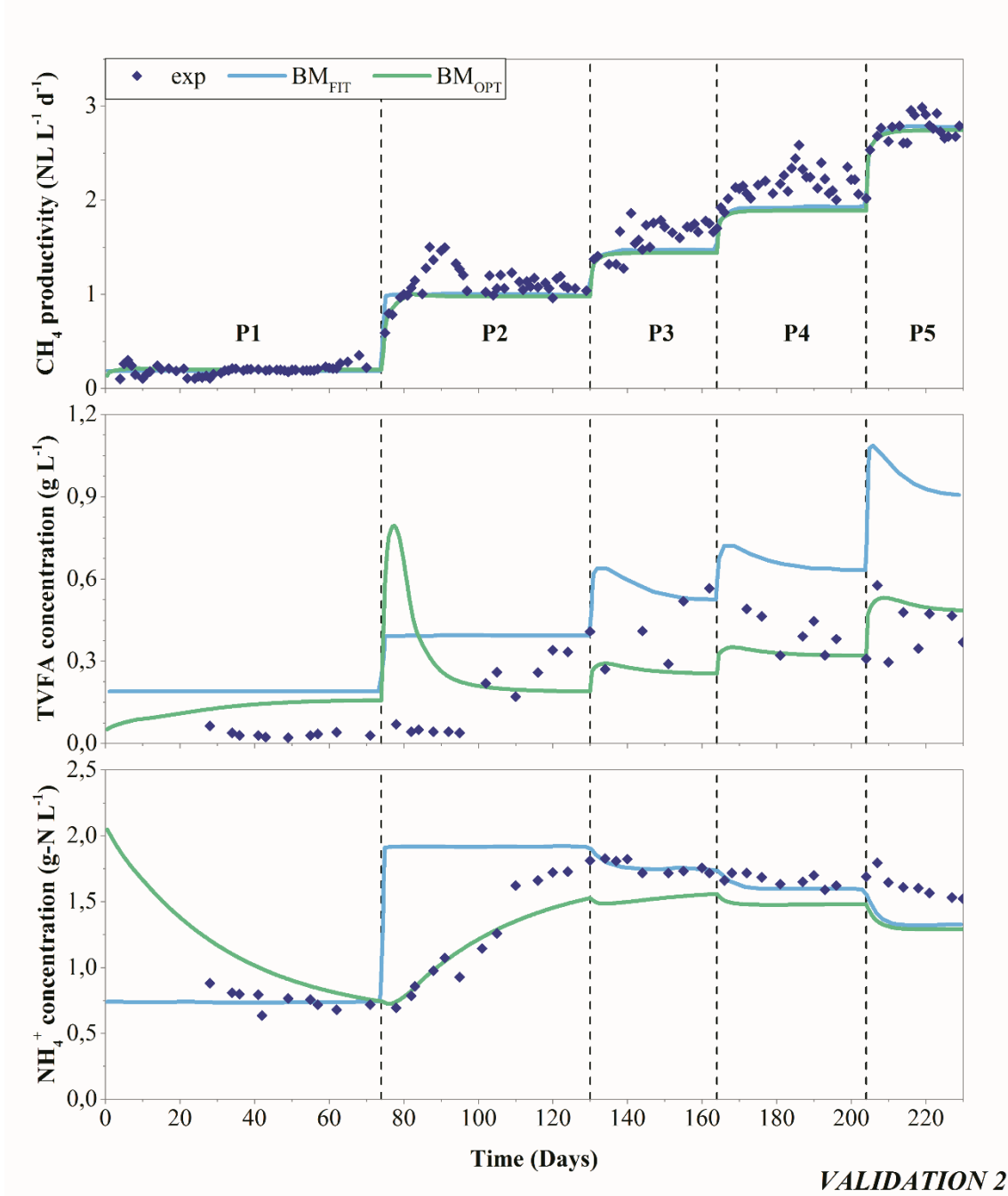


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*VALIDATION 1*

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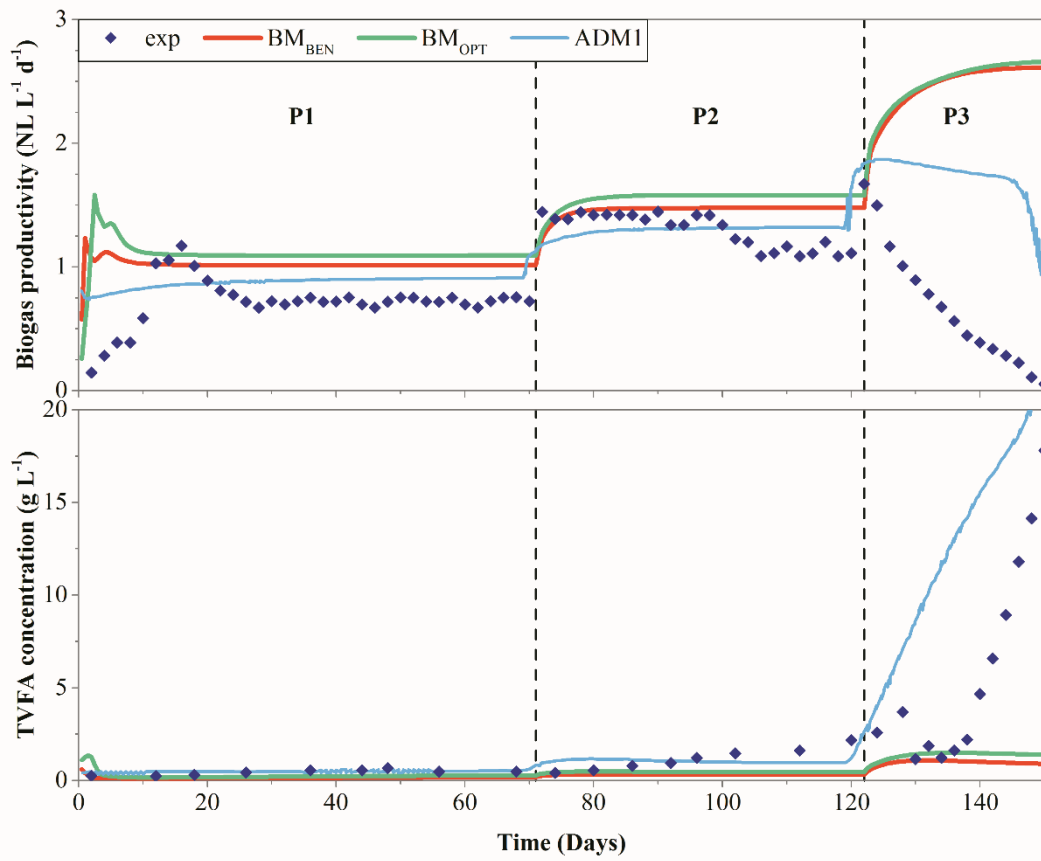
Figure 4



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Figure 5



VALIDATION 3

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Figure 6