Brain Computer Interface for Neuro-rehabilitation With Deep Learning Classification and Virtual Reality Feedback

Karácsony, Tamás; Hansen, John Paulin; Iversen, Helle Klingenberg; Puthusserypady, Sadasivan

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We conclude that MI-BCI-VR systems with classifiers based on DL was used. Our classifiers performed better than the previous architecture, a real-time electroencephalogram (EEG) based MI-BCI (Brain Computer Interface) system with a virtual reality (VR) game as a motivational feedback has been developed for stroke rehabilitation. If the subject successfully hits one of the targets, it explodes and thus providing feedback on a successfully imagined and virtually executed movement of hands or feet. Novel classification algorithms with deep learning (DL) and convolutional neural network (CNN) architecture with a unique trial onset detection technique was used. Our classifiers performed better than the previous architectures on datasets from PhysioNet offline database. It provided fine classification in the real-time game setting using a 0.5 second 16 channel input for the CNN architectures. Ten participants reported the training to be interesting, fun and immersive. “It is a bit weird, because it feels like it would be my hands”, was one of the comments from a test person. The VR system induced a slight discomfort and a moderate effort for MI activations was reported. We conclude that MI-BCI-VR systems with classifiers based on DL for real-time game applications should be considered for motivating MI stroke rehabilitation.

1 INTRODUCTION

Motor Imagery (MI) Brain Computer Interfaces (BCI) have been explored in several studies for neuro-rehabilitation of stroke patients. The subject imagines to move a body part and the neural activity promotes neural reorganization, current therapeutic methods are immeasurable and their repetitiveness can be demotivating. In this work, a real-time electroencephalogram (EEG) based MI-BCI (Brain Computer Interface) system with a virtual reality (VR) game as a motivational feedback has been developed for stroke rehabilitation. If the subject successfully hits one of the targets, it explodes and thus providing feedback on a successfully imagined and virtually executed movement of hands or feet. Novel classification algorithms with deep learning (DL) and convolutional neural network (CNN) architecture with a unique trial onset detection technique was used. Our classifiers performed better than the previous architectures on datasets from PhysioNet offline database. It provided fine classification in the real-time game setting using a 0.5 second 16 channel input for the CNN architectures. Ten participants reported the training to be interesting, fun and immersive. “It is a bit weird, because it feels like it would be my hands”, was one of the comments from a test person. The VR system induced a slight discomfort and a moderate effort for MI activations was reported. We conclude that MI-BCI-VR systems with classifiers based on DL for real-time game applications should be considered for motivating MI stroke rehabilitation.

1 INTRODUCTION

Motor Imagery (MI) Brain Computer Interfaces (BCI) have been explored in several studies for neuro-rehabilitation of stroke patients. The subject imagines to move a body part and the neural activity generated by this imagination is captured by electroencephalogram (EEG), while an appropriate feedback is provided to the subject. Neuromechanisms underlying the MI practice activates and improves the motor pathways in both healthy and in post-stroke subjects, especially if it is combined with conventional therapies [30, 35]. The fundamental phenomena is the lifelong neuroplasticity, which allows the brain to adapt to various circumstances [8, 12, 15]. Moreover, sensory feedback has been suggested to improve the induced neuroplasticity, by means of involving a greater part of the sensorimotor system. Feedback may involve a variety of sensory systems, for instance, visual, tactile, auditory and haptic [4, 9, 20].

The basic principle of motor rehabilitation therapy is progressive and skilled motor practice. It can become monotonic, which may influence the motivation and engagement of patients and thereby declining the effectiveness of the therapy [10]. To overcome these limitations, there have been approaches in combining BCI and virtual reality (VR) systems to create a more immersive and motivating environments.

Besides the benefit of MI practice, MI-BCI systems offers a quantitive, measurable treatment. Conventional MI practice cannot be verified by a physician, but with MI-BCI, brain activity can be monitored [31]. The feedback is beneficial because it induces higher activation of the cortex, which has clinically significant effect on neuro-rehabilitation, measured on the Fugl-Meyer Assessment scale [30]. Besides, it can boost the interest, motivation and engagement of the subjects [3, 31].

Real-time visual feedback in VR provides a more immersive 3D environment than just a 2D animation. A higher task engagement reduce awareness on the therapeutic aspects and makes motor
learning more intrinsic [42]. In VR, the subject is able to perceive the imagined motor action, which activates the mirror neurons that are also employed by mirror therapy [28]. Use of VR in stroke rehabilitation have been investigated in several studies, which concluded the effectiveness of this type of intervention [1, 6, 24, 41].

In this paper, we first explore the convolutional neural network (CNN)-based classifiers and evaluate them on a real-time dataset. Then we present a feasibility study of a real-time application that provides MI control of a computer game with VR feedback on each successfully imagined and virtually executed movement of hands or feet. The main contributions of this paper include: (1) superior classification accuracy (offline tests) compared to previous works, (2) demonstrates the feasibility, and (3) very good user acceptance of the system.

2 RELATED WORKS

2.1 Classical machine learning

Most of the current BCI-VR systems employ classical machine learning (ML) techniques on the collected EEG signals. This approach requires signal pre-processing, feature extraction and classification steps.

Signal pre-processing improves the signal-to-noise-ratio (SNR) of the EEG signals and prepares it for the feature extraction. The most widely used techniques include band-pass (BP) filtering and notch filtering to avoid the power-line interference if the pass-band includes the power frequency. Other popular noise reduction techniques include Common Average Reference (CAR) and Weighted Average Reference (WAR) [1, 26, 38]. For feature extraction, the common spatial pattern (CSP) filter and its variants (e.g. filter bank CSP (FBCSP)) are the widely used approach [1, 44]. Other works employ independent or principal component analysis (ICA,PCA) [16, 43]. In case of binary problems, a band power or power spectrum is also an adequate approach [21, 38]. The most preferred classification is the supervised machine learning such as the support vector machine (SVM) and linear discriminant analysis (LDA) [2, 44]. In case of binary problems, a simple specified threshold could be an efficient choice [21, 38, 43].

2.2 Deep learning

In recent years, the developments in the field of deep learning (DL) has drawn the attention to the biomedical field as well. Bashivan et al [5] proposed a robust transfer learning approach, with obtaining a sequence of topology-preserving multi-spectral images from EEG signals, and applying deep recurrent CNN (RCNN) architecture inspired by state-of-the-art video classification methods. In this research, the spatial and spectral invariant representations were extracted with CNN and temporal patterns with a long short-term memory (LSTM) network combined with 1D convolution. The CNN network was adopted from the VGG network proposed by Simonyan et. al. [37] for ImageNet classification. Furthermore, the performance of the proposed RCNN was found superior to the commonly used classification methods, like the SVM, Random Forest, sparse Logistic Regression, and Deep Belief Networks (DBN).

A CNN and stacked auto-encoder (SAE) based approach proposed by Tabar et. al. [39], used the advantage of 2D generated pictures also. The three channel EEG signal after a short time Fourier transform (STFT) was converted to a 2D image on µ and β frequency bands and classified with CNN, SAE and the combination of the two architectures. The proposed CNN-SAE framework was tested on MI left/right hand tasks on ‘BCI Competition IV dataset 2b’ and ‘BCI Competition II dataset III’ and found to perform better and more robust to state-of-the-art methods, like FBSCP or Twin SVM.

Kumar et. al. [19] proposed a computationally efficient DNN classifier on CSP features. This research compares the DNN classifier to the commonly used classifiers, like CSP, common spatio-spectral pattern (CSP), FBCSP, and discriminative FBCSP (DFBCSP). Even though the DNN architecture used a low number of variables, it outperformed the CSP, CSSP and FBSCP methods in terms of average error, but not the DFBCSP, which performed better at the expense of increased computational load.

Zhang et. al. [45] applied a 7 layer CNN for a 2-class MI task and investigated the influence of the activation functions in the CNN. Three activation functions were tested, the ReLU, exponential linear unit (ELU) and scaled exponential linear unit (SELU). Both in terms of accuracy and speed of convergence, SELU performed far superior to the other two activation functions and CSP + SVM methods.

An almost end-to-end approach designed by Shen et. al. [36] pre-processed the EEG signal only with BP filtering and propose two deep classification structures. RCNN and deep forests were trained and tested on the 3-class BCI Competition III Dataset V and a 2-class dataset acquired from five post-stroke patients. In order to ensure fast interaction with BCI systems, 8 overlapping 1 [s] frames were used as inputs, to get the final output label for each 0.5 [s]. The suggested classification methods both outperformed the SVM, Naive Bayes and MLP methods. On the 3-class dataset, the RCNN performed the best and on the dataset from the post-stroke patients, the deep forest method proved to be the favorable choice, because of the low number of samples.

Using the raw EEG signals, Schirrmeister et. al. [34] explored several end-to-end CNN architectures, their training strategies and optimization methods along with the visualization of the CNN architecture in order to achieve a better understanding of the system. They reported that the deep CNNs performed better than FBSCP and shallow CNNs, especially employing the recent advancements in DL field, as regularization techniques like dropout (DO) and batch normalization.

The multimodal DNN classification of EEG and fNIRS combination was explored by Chiarelli et. al. [7] with a 2-class MI (left and right hand) task. A fully connected (FC) DNN was designed and comparison of the DNN, SVM, and LDA classifiers were made with an input of standalone and multimodal signals. The best classification results were obtained with the EEG-fNIRS input and DNN classifier, even though it was employing FC layers and not CNN or RNN framework, which could improve the accuracy even more.

Further research include the works by Tang et. al. [40], who proposed a 5-layer CNN with more precise classification accuracy, than power+SVM, CSP+SVM, and AR+SVM methods. Similarly the research presented by Lu et. al. [25], a frequent deep belief network (FDBN) composing the stacked restricted Boltzmann machines, was compared to the state-of-the-art solutions and exceeded them in performance. In contrast, the recurrent spatio-temporal neural network (RSTNN) proposed by Ko et. al. [18], have not reached better
performance, than FBCSP with mutual information-based best individual (MIBIF) method, but it was suggested that the performance could be improved with transfer learning or domain adaptation.

In essence, the state-of-the-art MI-BCI systems successfully utilize the recent advancements in the DL field, applying different approaches and surpassing conventional ML and signal processing techniques. Adequate pre-processing of the signal can be as simple as a BP filter, but could be a generation of a 2D image sequence to make use of the developments of DL architectures on different fields also. Recent improvements in the DL field, like different activation functions, regularization techniques, optimization methods and architectures allows faster training of the DNNs, which is able to learn more complex patterns without overfitting the training set. Even though the main drawback of this method is the longer training time, it allows the network for faster classification on online testing and classification with lower computational requirements and better accuracy. Current literature on MI-BCI systems applying DL is very limited, thus further exploration of this particular field is required, like optimal pre-processing techniques and DNN architectures.

3 METHODS
3.1 Datasets
The implemented systems were trained and evaluated on the PhysioNet EEG motor movement/imagery data set, consisting of over 1500 one- and two-minute labeled EEG recordings, obtained from 109 volunteers [13, 33]. EEGs were recorded with 160 [Hz] sampling frequency from 64 electrodes as per the international 10-20 system (excluding electrodes Nz, P9, F10, FT9, FT10, A1, A2, TP9, TP10, P9, and P10) and for the 16 electrode setting, channels FC3, FCz, FC5, C1-C6, Cz, CP3, CPZ, CP4, P3, Pz and P4 were used [36]. These recordings include both motor execution and MI data, with classes of: opening and closing of left fist, right fist, both feet, both fists and rest stage with open or closed eyes and between trials.

The recording sessions were organized into either MI or execution sessions, within one session either left and right fist classes or both fist and both feet classes were performed. The trials in the experimental paradigm are defined as: the subject sits relaxed in front of a screen, where visual cues are displayed to instruct the subject what to perform. The visual cues are alternating between instruction to take rest and instructing to imagine or executing one of the above described tasks. This visual cue is presented for 4[s] for each task.

3.2 Data subsets
Data subsets were created to investigate the influence of different number of classes, length of input data and position of samples, thus to find the optimal configuration for the online experiment. All configuration of data subsets were evaluated with 64 and 16 channel recordings. The 16 channel recording was necessary because of the limited number of channels of the used signal acquisition equipment (section 3.5.1).

3.2.1 Number of classes. Three data subsets were constructed in regard to number of classes from the available database, to investigate the performance of the classifier for different complexities of tasks. These class definitions correspond to the description by Hauke et. al. [11], in order to have a good base for comparison of the DNNs, as follows:

- **2-class**: This dataset was constructed form MI left and right fist opening and closing trials. Some subjects’ recording was performed with different sampling frequency, therefore these have not been used. Furthermore, as the recordings feature some variability in the number of single trials, a subset of 105 subjects and 42 trials/subject were selected (21 for each side; 7 from each three recordings), although most subjects performed more than 42 trials. The discarded subjects performed less than 42 trials [11].

- **3-class**: This subset is an expansion of the 2-class subset, by random sections of trials from the available baseline recordings with open eyes to obtain a total of 63 trials/subject with 21 trials/class. This third class represents the resting state, where the subject is not performing any MI task [11].

- **4-class**: The fourth class corresponds to both feet MI task. Although these tasks were performed in sessions together with booth fist movements, the later ones were not used as they were expected to share several features with the single fist trials. Therefore the 4-class dataset contains 84 trials/subject with 21 trials/class [11].

3.2.2 Length and position of samples. Several starting positions and length of trial classification have been explored, in order to find the optimal solution for the online application of the system. The two most representative are presented in the following. The position of samples is defined by the labeled start of the trial as “trial onset” and labeled end “trial offset”.

As initial studies presented [11] with trial onset and offset included the classifier manages to improve performance, a 6[s] (960 sample) trial length was included in the studies, which is padded with 1[s] rest labeled stage before the trial onset and after the offset. Therefore, including the full transition between rest stage and MI task in the beginning and vice-versa at the end. In order to optimize for online experiments, trials with 0.5[s] (80 sample) starting from the trial onset were also investigated.

3.3 Signal pre-processing
In BCI systems, EEG signals are heavily pre-processed, due to the very low SNR due to the presence of several artifacts (section 2.1). These procedures are computationally heavy and accordingly increases the delay of the system. Therefore, the designed BCI system employs minimal explicit pre-processing, with the aim of reducing this delay and computational load. Additional pre-processing, feature extraction and classification are carried out by the DNN architectures as explained in section 3.4. These pre-processing steps are explained in detail in the following sections.

3.3.1 Filtering. The first step was a 6th order Butterworth BP (0.5-75[Hz]) filter. The filter is applied with a forward and a backward pass, therefore having a zero phase distortion. Furthermore, a 50[Hz] notch filter was applied the same way for power-line interference cancellation.

3.3.2 Running standardization. Following the filtering, a channel-wise running standardization was performed on each recording,
with a decay factor of $\frac{640}{640}$, proportional to $4[\text{s}]$, with 160[Hz] sampling frequency, to compute exponential moving means and variances. It was used to standardize the continuous data, as described in the following equations [34]:

\[
x_t' = \frac{x_t - \mu_t}{\sigma_t},
\]

\[
\mu_t = \frac{1}{640}x_t^2 + \frac{639}{640}\mu_{t-1},
\]

\[
\sigma_t^2 = \frac{1}{640}(x_t - \mu_t)^2 + \frac{639}{640}\sigma_{t-1}^2.
\]

where $x_t'$ and $x_t$ were the standardized and the original signal for one electrode at time $t$. For initialization of the recursive form, the first 640 mean ($\mu_t$) and variance ($\sigma_t^2$) values were set to the mean and variance of the first 640 samples. This strategy for the normalization allows the online scheme as it uses only the previous values [34].

3.4 Implemented DNN architectures

In current EEG classification, there is no well established approach and network with hyperparameters to apply. Therefore the DNN architectures were developed from scratch inspired by works on the field, like [11, 34]. The NN architecture and hyperparameter design utilized the most recent advancements on the DL field. In the following, the base architecture is presented, which have been slightly modified in some aspects for the different data subsets. Hyperparameters for training included, but not limited to DO rate, learning rate, batch size. Some design specifications of the architectures were determined by random search and the best performing ones are reported. Architectural random search was performed in many dimensions, some of which were the number and dimensions of convolutional, recurrent and dense layers, application of different regularization layers, kernel initializer, number, size and stride of layers, where applicable.

3.4.1 CNN architectures. The blueprint of the implemented CNN architectures with the scale of the smallest 0.5[\text{s}] 16 channel input with 2-class classification is provided in Fig. 1.

The first convolutional layer was responsible for temporal filtering with 100 filters along the time axis with a kernel size of 25 samples. This layer has same padding in order to preserve the dimension of the architecture, therefore creating a more flexible network. These temporally filtered feature maps were fed into a second convolutional layer for spatial filtering across the EEG channels for every time-step, also providing 100 feature maps. The spatial filter also designed to reduce dimensions across channels, producing 1D feature maps. The spatially and temporally processed 1D feature maps were further propagated through two convolutional layers, for further filtering, feature abstraction and extraction, both of them delivering 50 feature maps. After each of the last two convolutional layers, dimension reduction was performed with max pooling layers, because it was important if some features were present in the feature maps to generalize well, and provide a more robust architecture. Furthermore to ensure generalization and resistance for variations in the signal, batch normalization (BN) was applied before every convolutional layer.

After the convolutional layers, a flattening layer was applied, which reduced the dimension to one, preparing for computations with FC dense layers. Advancing forward on the architecture, FC layers were organized in blocks of three layers. Such blocks consisted of a BN layer, followed by a DO fed into a FC layer. There were 6 blocks arranged in a shape of an “upside-down pyramid”, starting from 1024 neurons in the first FC layer to 32 in the sixth block, with halving down the number of neurons every consequent FC layer. This part of the NN can be implied as the feature ranking and classification of the high level features extracted by the previous layers. The final one also a BN-DO-FC block, but the number of neurons were representing the classes with a softmax activation to provide an output of probability distribution as classification output of the required categories.

3.4.2 Training strategy, hyperparameters and further considerations. The aforementioned architecture was inspired by other works, but the final layout of the NN was determined by empirical results. Random search was performed with the objective of maximizing the validation accuracy on the PhysioNet dataset. The results of this search and further considerations and architectural choices are described below:

- 5-fold-cross validation was performed across subjects, thus training a global classifier.
- Increasing further, the number of convolutional layers with combination of max pooling layers, than described before, was not found to be more effective, but decreasing them would result in loss of effectiveness. The following parameters for these layers were included in random search:
  - convolutional kernel number for the first two convolutional layers in the range of 20-100 and for the third and fourth between 5 and 80,
  - convolutional kernel size for all convolutional layers in the range of 1-40, and stride was set between 1 and the kernel size, meaning it was able to not overlap,
  - max pooling kernel size was explored in the range from 1 to 10, with stride set from 1 to kernel size, with the same strategy as in the convolutional kernel exploration, in consequence the max pooling layers were eliminated after the first and second convolutional layers,
  - even though dropout was tested before the convolutional layers also, it proved to be a too drastic regularization technique in this case and prevented learning, therefore it has been eliminated.
- The BN-DO-FC blocks are designed with considerations respect to Li et. al. [22]. The number and dimensions of them were designated for establishing necessary learning abilities, while restrain the chance of overfitting. In random search, the following hyperparameters were explored:
  - the number of neurons in the first FC layer was between 128 and 2048, consequently the number of neurons in the following layers were also modified accordingly,
  - dropout rate in range of 0.0 and 0.5. In the final architecture, 0.15 was applied, which was lower than 0.2 as Li et. al. [22] suggested. This architecture however applied L2 regularization also.
- L2 regularization was applied with $\lambda = 0.01$ on every FC and convolutional layer additionally to refrain overfitting.
and exploding gradients, as the architecture is considerably deep.

- Every FC and convolutional layer, except the last softmax layer applies:
  - ReLU activation.
  - He uniform variance scaling initializer to speed up learning process and also to prevent vanishing and exploding gradients.
- Categorical cross-entropy was used as cost function.
- AMSGrad variant of Adam optimizer was used with the suggested default variables as described in Reddi et. al. [32].
- One training set was performed with learning rate of $1 \times 10^{-3}$ and one applying learning rate decay on validation loss plateau with a factor of 0.5, after every 20 epoch without improvement. The minimum value of learning rate for this setting was set to $1 \times 10^{-6}$.
- Batch size of 16 samples proved to be the most efficient choice, where the architecture was able to learn and generalize well at the same time.
- The architectures were trained for 500 epochs, although it has to be noted in most of the cases a lower number of epoch could be sufficient, but there were slight improvements in the later steps also.
- Early stopping was used as final regularization, therefore architectures with the best validation accuracies were reported.

### 3.5 Experimental setup

The online system was evaluated by 10 subjects (8 males and 2 females, average age 25.3±3.4 years) with the 0.5[s] length 16 channel setup for 2,3 and 4 classes.

#### 3.5.1 Equipment

EEG data collection was performed with a 16 active channel g.tec system. g.LADYbird active-wet sintered Ag/AgCl electrodes were used, where a g.GAMMAcap provided the layout for the electrodes (section 3.1). Furthermore, g.GAMMAbox supplied the power and driver/interface for the electrodes. g.USBamp bio-signal amplifier was used with a sampling frequency of 256[Hz].

#### 3.5.2 Online classification

Implemented global DNN architectures were designed to be robust to variations and generalize well, especially between subjects as described in section 3.4. It should be noted that the base of the training, the PhysioNet dataset, was recorded with one experimental setup, therefore the classifier were not necessarily prepared for artifacts specific to other experimental setups. Global classifiers were chosen with 0.5[s] input to optimize online classification response time, thus promoting subject engagement. Moreover, classifiers with the highest validation accuracy on the 16 channel layout were utilized for the 2,3 and 4-class trials. In order to enhance user experience, three different classifier decision boundaries were tested. It was essential to provide ideal feedback for the users, which relies on the proportion of the true and false positives and negatives. These were empirically set up with the selected architectures as follows:

- the 2-class trials decision boundary was set 0.6, where 0.5 was random chance to produce a very easy setting,
- 3-class trials were medium level, with decision limit of 0.4, where 0.33 was random chance,
- and finally 4-class trials used very hard setting with decision limit of 0.3, where 0.25 was random chance.

#### 3.5.3 VR environment

Visual feedback was provided for subjects by FOVE™ VR headset. The virtual environment developed in Unity 2018.1 was a game, where participants had to catch falling fruits and kick footballs, called "Bichael May: Fruits and Footballs" (Fig. 2). The
surroundings were designed to provide a comfortable first person setting. The controlled character was combined of a full humanoid model and detailed models of left and right hands, floating in a natural position. As the position of arms were not controlled or measured in any way the best strategy was to let the brain fill in the gap.

Instructions, like specified trial start or end, break time, and session end were showed to the subject in the middle of their view for a few seconds. After the trial have started, fruits and footballs started to randomly spawn every 4 seconds on one of the three locations. Two of them were located above the hands (dropping fruit) and one was located between the player and the scoreboard (incoming footballs). After the objects were spawned, the subject was not able to grasp or hit them for the first 2 seconds, offering them time to react. Subsequently a 1.5 [s] window of opportunity was provided to interact with the targets. Then a 0.5 [s] brake was left until the next fruit or football appeared.

Participants received several feedback from the game on their performance. Three progress bars were filling up accordingly. Successful hits (i.e. grasping or foot kicking) trigger explosion of the object and are counted on the scoreboard.

Participants played the VR game for 5 minutes to familiarize themselves with the environment and the goals of the system. Then subjects were seated comfortably, with their hands resting on the table and equipped with the BCI-VR system. They were asked to limit their head and eye movements to the minimal, in order to keep the contact of the electrodes as good as possible. After the preparations, 3 trials were performed, namely 2, 3 and 4-classes, each for 5 minutes, with 30 seconds break between them. After the recording session was finished, the BCI-VR system was removed and subjects were asked to fill out a post experimental questionnaire to evaluate the system.

4 RESULTS

4.1 Offline classification on PhisioNet dataset

Architectures were validated with 5-fold cross-validation (CV) accuracies and are reported in form of mean±std% (max%) and referred as accuracies.

Best performing 64 channel 6[s] architectures achieved 5-fold CV accuracies of 85.94±2.71% (90.14%) for 2-classes, 88.50±1.27% (89.86%) for 3-classes and 76.37±2.15% (77.71%) for 4-classes. The 16 channel 0.5[s] variants performed on the offline tests with 72.81±1.81% (75.40%), 78.62±1.46% (80.81%) and 60.37±0.97% (61.63%) respectively. These results are presented in Table 1 along with previous works on the dataset and the counterparts of the architectures. Our architectures performed better as it can be seen by the following improvements on 5-fold CV classification accuracies. The global whole trial classifications (6[s] input) with 2-classes both improved compared to the best previous work on the same offline dataset, in case of 64 channel setup with 5.84 % and with the reduced 16 channel configuration compared to the 14 configuration with 3 %. Even with the trial onset detection (0.5[s] input) the 64 channel setup managed to improve classification results with 0.35% compared to the best 6[s] classifier in prior works.

4.2 Real-time system

4.2.1 Performance. Performance of the real-time system was measured with goal achievement accuracy (goal accuracy), defined by the achieved score in the game. This accuracy was not the same as the classification accuracy, as measuring the proportions of false positives is problematic in real-time systems.

4.2.2 2-class real-time performance. In 2-class trials, all subjects achieved 100% goal accuracy, due to the low activation threshold. The 0.6 decision boundary caused a high amount of false positives. This was perceived by subjects as slightly random. In spite of high false positive rates, the classifier managed to categorize distinct classes. It was identified by continuous grasp for the corresponding MI activity, as the activation levels were sustained correctly. The high number of false positives could be decreased, by increasing the decision boundary to approximately 0.8.

4.2.3 3-class real-time performance. The online mean goal accuracies are reported in Table 2. The highest achieved goal accuracy was an impressive 87%. Subjects enjoyed this protocol most and reported experiencing a sense of high control over the system. The reported goal accuracies also included the difficulties in the game, like timing the movement.
Table 1: Overview of works performing L/R classification tasks on the Physionet [13] EEG dataset with the number of EEG channels used, the training mode (one global classifier or one classifier per subject), the reached mean accuracy, and the methods applied for feature extraction and classification, (Extended from Dose et. al. [11])

<table>
<thead>
<tr>
<th>Author</th>
<th>#ch</th>
<th>training</th>
<th>max. acc.</th>
<th>Methods</th>
</tr>
</thead>
<tbody>
<tr>
<td>Handiru &amp; Prasad</td>
<td>16</td>
<td>global</td>
<td>63.62%</td>
<td>FB-CSP SVM</td>
</tr>
<tr>
<td>Tolic &amp; Jovic</td>
<td>3</td>
<td>subject</td>
<td>68.21%</td>
<td>Wavelet transform</td>
</tr>
<tr>
<td>Loboda et al.</td>
<td>9</td>
<td>global</td>
<td>71.55%</td>
<td>Feed-forward DNN</td>
</tr>
<tr>
<td>Park et al.</td>
<td>58</td>
<td>global</td>
<td>72.37%</td>
<td>SUT-CCSP SVM</td>
</tr>
<tr>
<td>Kim et al.</td>
<td>14</td>
<td>subject</td>
<td>80.05%</td>
<td>SUT-CCSP Random forest</td>
</tr>
<tr>
<td>Dose et. al.</td>
<td>64</td>
<td>global</td>
<td>80.10%</td>
<td>CNN</td>
</tr>
<tr>
<td>This work</td>
<td>64</td>
<td>global 2cl</td>
<td>83.94%</td>
<td>CNN</td>
</tr>
<tr>
<td></td>
<td></td>
<td>global 3cl</td>
<td>88.30%</td>
<td>CNN</td>
</tr>
<tr>
<td></td>
<td></td>
<td>global 4cl</td>
<td>76.37%</td>
<td>72.28%</td>
</tr>
<tr>
<td></td>
<td>16</td>
<td>global 2cl</td>
<td>79.66%</td>
<td>72.81%</td>
</tr>
<tr>
<td></td>
<td></td>
<td>global 3cl</td>
<td>84.13%</td>
<td>78.62%</td>
</tr>
<tr>
<td></td>
<td></td>
<td>global 4cl</td>
<td>65.96%</td>
<td>60.37%</td>
</tr>
</tbody>
</table>

Table 2: 3-class online experiments, mean goal accuracies

<table>
<thead>
<tr>
<th></th>
<th>Left hand</th>
<th>Right hand</th>
<th>Overall</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hand</td>
<td>44.9±17.8%</td>
<td>75.6±11.4%</td>
<td>60.2±14.6%</td>
</tr>
</tbody>
</table>

Table 3: 4-class online experiments, mean goal accuracies

<table>
<thead>
<tr>
<th></th>
<th>Left hand</th>
<th>Right hand</th>
<th>Feet</th>
<th>Overall</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hand</td>
<td>58.6±22.0%</td>
<td>19.3±9.5%</td>
<td>100.0±0.0%</td>
<td>53.6±9.6%</td>
</tr>
</tbody>
</table>

4.2.4 4-class real-time performance. This online experiment had consistent high feet activation levels, accordingly this class achieved a 100% accuracy (Table 3). It can be led back to the combination of serious artifacts on the signal, by the physical contact of the VR system with the electrodes. Regardless of the above described issue, the classifier still managed to react for MI controls, but it was remarkably more challenging to control the system. With this in mind, the reported performance was tolerable (Table 3). It should be noted that there was a slight improvement for the 4 subject subset in this run also.

4.2.5 User Experience. In the last stage of the experiment, the participants had been provided with a post experimental questionnaire to measure the user acceptance and experience. Results of this survey were summarized in the following.

Participants evaluated five categories on a Likert-scale from 0 to 10, where 0 was "not at all" and 10 was "extremely". They found controlling the VR game with their mind on average very much fun, extremely interesting, somewhat strenuous, very much immersive and experienced a little discomfort (Table 4). They found the game to be fun or interesting but also somewhat strenuous, because of the demand for constant concentration, which was tiring after a while. The immersiveness of the game was likely to increase the demand for constant concentration, which was tiring after a while. The immersiveness of the game was likely to increase the deep engagement of MI practise. The slight discomfort was mostly caused by the conductive gel and the VR game environment causing a slight dizziness (i.e. cybersickness). The participants enjoyed the 3-class trials very much; one of the feedback was "It is a bit weird, because it feels like it would be my hands", which perfectly summarize our intentions with the system.

5 CONCLUSION

In this work, a real-time MI-BCI was developed with VR feedback. This system performed well on 3-class experiments and received very good feedback from the users. The designed CNN classifiers’ offline performance surpassed the previous works on the same dataset. One of the most important aspect of the current work compared to the previous works was that the DL was applied in a real-time experiment. Only Schirrmeister et. al. [34], and Dose et. al.[11] suggested, that their network might be used for real-time applications. Our most promising results is most likely that we get a 78.6 % hitrate with a 0.5 [s] 16 channel 3-class configuration, that may even become more efficient if we use a subject setting instead of a global. A contemporary approach was suggested with detection of trial onsets, thus optimizing the classification for real-time performance. These classifiers had a slightly decreased performance compared to the whole trial counterparts, but as they can produce a better response time it is implied to employ them on game-like applications.

The conducted experiment confirms a clinical potential of such a system by addressing the problem of low motivation. Therefore, we suggest using MI-BCI-VR systems with classifiers based on DL for real-time applications, like a motivated MI stroke rehabilitation.

REFERENCES


