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A Systematic Model-based Methodology for Substitution of Hazardous Chemicals

Spardha Jhamb\textsuperscript{a}, Xiaodong Liang\textsuperscript{a}, Rafiqul Gani\textsuperscript{b}, Georgios M. Kontogeorgis \textsuperscript{a*}

\textsuperscript{a} KT Consortium, Department of Chemical and Biochemical Engineering, Technical University of Denmark, Building 229, Søltofts Plads 229, DK – 2800, Kgs. Lyngby, Denmark.

\textsuperscript{b} PSE for SPEED, Skyttemosen 6, DK – 3450 Allerød, Denmark

*Correspondence to Georgios M. Kontogeorgis,
KT Consortium, Department of Chemical and Biochemical Engineering, Technical University of Denmark, Building 229, Søltofts Plads 229, DK – 2800, Kgs. Lyngby, Denmark.

Email: gk@kt.dtu.dk; Telephone: +45 45 25 28 59; Fax: +45 45 88 22 58
ABSTRACT

Driven by obligations to comply with the regulations and various public policies, substitution of chemicals with safer counterparts is now being widely practiced by industry. In this paper, the development of a systematic model-based methodology for chemical substitution, is described. The methodology considers different problem definitions depending on the objective for substitution. A general framework, which includes the algorithm steps of the methodology, corresponding data-flow, associated property models, databases and modeling tools for generating and evaluating the substitute candidates, is presented. The application of the developed methodology is highlighted through four case studies on substitution of ‘chemicals of concern’ used in chemical-based products or in processes and their operation. The alternative chemicals are found by matching the desired properties related to the physicochemical nature, function and performance of the chemical being substituted, while avoiding its undesired, environmental, health and safety-related properties.

The objective of developing this methodology for chemical substitution is to quickly and reliably identify the promising candidates through model-based techniques, check their economic feasibility and only then proceed to conduct experiments in order to verify their compatibility and applicability. In this way, the experimental resources are used only for verification rather than for an inefficient, trial-and-error and sometimes time-consuming and expensive search for substitute candidates.

KEYWORDS: Chemical Substitution, Model-based Methodology, Property Models, Tools, Alternative Chemicals, Environmental, health and safety
Introduction

Objective for Chemical Substitution

Many hazardous chemicals are found in industrial processes, commodity products and consumer products. Both, humanity and environment are exposed to harmful properties of these chemicals, which have been shown to be detrimental to human health and have adverse effects on ecosystems like loss of biodiversity\textsuperscript{1-2}. Therefore, different legislations framed by the regulatory bodies have restricted the use of hazardous chemicals (e.g. Restriction of Hazardous Substances Directive by the European Chemical Agency, EChA\textsuperscript{3}). They have also provided various degrees of incentives to substitute such chemicals used in manufacturing processes, equipment operations and formulated products, with environmentally benign and safe alternatives (e.g. EU Chemical Agents Directive, EU Carcinogens and Mutagens Directive\textsuperscript{4}). Moreover, reputed organizations have already realized the importance of worker health and safety benefits and have experienced increase in productivity as well as have saved money by simply reducing the use of hazardous chemicals and implementing safer alternatives (U.S. Occupational Health and Safety Administration\textsuperscript{5}). Hence, besides the objective of protecting humans and the environment from the dangers of unsafe chemicals, the regulations imposing chemical substitution have also provided socio-economic benefits.

State of the Art in Chemical Substitution

Efforts by various organizations, universities and government bodies have resulted in the development of several frameworks and tools to identify and evaluate alternatives. The available tools can be broadly classified into two categories: tools that can be used to identify and assess the substitute candidates and databases that contain organized information but no mechanism for manipulation for users\textsuperscript{6}. For instance, the Program for Assisting the Replacement of Industrial Solvents (PARIS) III tool developed by the US-EPA (United States Environmental Protection Agency) assists users in finding mixtures of solvents with specific properties that have reduced
environmental impacts by performing a comparative analysis of the ‘compound of concern’ with known potential alternatives. On the other hand, the Toxicology Data Network (TOXNET), which is a comprehensive collection of databases managed by the National Institutes of Health (NIH), provides data and references for potentially hazardous chemicals. On entering a chemical ID or associated hazards into the search field, cross-references are made, and the results are returned from the following databases: Toxicology Literature Online (TOXLINE), Developmental and Reproductive Toxicology Database (DART), Carcinogenic Potency Database (CPDB), Genetic Toxicology Data Bank (GENE-TOX), Comparative Toxicogenomics Database (CTD), Chemical Carcinogenesis Research Information System (CCRIS) and International Toxicity Estimates for Risk (ITER). Such a platform only provides the user to check for a given set of properties of a chemical available in the database network.

Apart from governmental and academic institutions, there have been several voluntary initiatives of businesses and industry. For example, the ‘Substitute It Now’ (SIN) List is a NGO List containing substances fulfilling EU’s Registration, Evaluation and Authorization of Chemicals (REACH) regulation criteria of qualifying as ‘Substances of Very High Concern’ (SVHCs). To date, the SIN List consists of some 919 CAS numbers divided into 31 groups. In the SIN List database, for every substance, there is information on hazardous properties, EU regulatory status, producing companies and production volume. When applicable, it also links to substitution case stories. A tool, SINimilarity is available through the SIN List database and it can identify substances that are structurally similar to SIN List substances. SINimilarity includes a reference database of 500,000 substances that have been pre-registered under REACH.

Using the developed tools, several successful and less than successful attempts in reducing the risks associated with hazardous chemicals have been made. GreenScreen® has enabled Hewlett-Packard (HP) to make use of PVC-free polymers in their electronics while Natural Resources Defense Council
(NRDC) has used the same tool to conduct hazard assessment of neonicotinoid pesticides\textsuperscript{11}. However, in the case of nonylphenol ethoxylates used as surfactants in all-purpose cleaners, although two safer substitutes were identified, they are recommended for further assessment due to lack of sufficient data on developmental and reproductive toxicity\textsuperscript{12}. To summarize the capabilities and usefulness of these comprehensive databases and commercial tools individually, Table 1 is prepared and presented below.
Table 1. Comprehensive Databases and Tools developed for Chemical Substitution

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Introduction Year</th>
<th>Developers</th>
<th>Database or Tool</th>
<th>Tool Capabilities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Databases</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>2000</td>
<td>US-EPA</td>
<td>ECOTOX&lt;sup&gt;13&lt;/sup&gt;</td>
<td>Provides single chemical environmental toxicity data on aquatic life, terrestrial plants, and wildlife</td>
</tr>
<tr>
<td>2</td>
<td>2005</td>
<td>National Institutes of Health (NIH)</td>
<td>TOXNET&lt;sup&gt;8&lt;/sup&gt;</td>
<td>Resource for searching multiple databases on toxicology, hazardous chemicals, environmental health, and toxic releases</td>
</tr>
<tr>
<td>3</td>
<td>2008</td>
<td>The International Chemical Secretariat (ChemSec)</td>
<td>SIN List&lt;sup&gt;9&lt;/sup&gt;</td>
<td>List of substances fulfilling REACH SVHC criteria; for every listed substance, there is information on hazardous properties, EU regulatory status, producing companies and production volume. When applicable, it also links to substitution case stories.</td>
</tr>
<tr>
<td>4</td>
<td>2015</td>
<td>Royal Society of Chemistry</td>
<td>ChemSpider&lt;sup&gt;14&lt;/sup&gt;</td>
<td>Provides access to over 59 million chemical structures, properties, and associated information</td>
</tr>
<tr>
<td>5</td>
<td>2015</td>
<td>GreenBlue®</td>
<td>CleanGredients®&lt;sup&gt;15&lt;/sup&gt;</td>
<td>Provides a database of chemical ingredients used primarily to formulate residential, institutional, industrial, and janitorial cleaning products that have been pre-approved to meet the U.S. EPA’s Safer Choice Standard</td>
</tr>
<tr>
<td>6</td>
<td>2015</td>
<td>US-EPA Safer Choice Program</td>
<td>Safer Chemical Ingredients List (SCIL)&lt;sup&gt;16&lt;/sup&gt;</td>
<td>Identifies intrinsic characteristics such as known human health hazards associated with a chemical and prioritizes substances for alternatives assessment based on attributes of interest</td>
</tr>
<tr>
<td>7</td>
<td>2018</td>
<td>US-EPA and OSHA</td>
<td>OSHA Occupational Chemical Database&lt;sup&gt;17&lt;/sup&gt;</td>
<td>Identifies physical properties, known human health hazards, exposure guidelines, and emergency response information</td>
</tr>
<tr>
<td></td>
<td>Year</td>
<td>Organization</td>
<td>Tool/Methodology</td>
<td>Description</td>
</tr>
<tr>
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<td>-------------</td>
</tr>
<tr>
<td>1</td>
<td>2004</td>
<td>Swedish Chemical Inspectorate (KemI)</td>
<td>KemI PRIO</td>
<td>Contains a database of chemicals of high concern to human health and the environment, which are divided into “phase-out” or “priority risk reduction” chemicals; Compares and ranks alternatives based on one or more attributes</td>
</tr>
<tr>
<td>2</td>
<td>2007</td>
<td>Clean Production Action</td>
<td>GreenScreen® for Safer Chemicals</td>
<td>Identifies alternatives based on 18 human health and environmental properties using a database of 40 hazard lists developed by authoritative scientific bodies and ‘predictive property models’</td>
</tr>
<tr>
<td>3</td>
<td>2014</td>
<td>The International Chemical Secretariat (ChemSec)</td>
<td>SINimilarity</td>
<td>Indicates whether the searched substance contains the same group-specific structural elements as SIN List substances and/or if it has structural similarity to any SIN List substances.</td>
</tr>
<tr>
<td>4</td>
<td>2014</td>
<td>Toxic Use Reduction Institute (TURI), University of Massachusetts Lowell</td>
<td>Pollution Prevention Options Analysis System (P2OASys)</td>
<td>Compares options on the basis of acute and chronic human toxicity, physiological impacts, ecological effects, life cycle impacts and physical characteristics</td>
</tr>
<tr>
<td>5</td>
<td>2014</td>
<td>US-EPA Office of Pollution Prevention and Toxics, Risk Assessment Division</td>
<td>OncoLogic 8.0</td>
<td>Qualitative estimation of the potential of the chemical to be a carcinogen. Generation of a report containing a summary of the predicted concern levels (High, High-Moderate, Moderate, Low-Moderate, Marginal, Low) for the compound and the line of reasoning to arrive at the concern level.</td>
</tr>
<tr>
<td>6</td>
<td>2014</td>
<td>US-EPA</td>
<td>Program for Assisting the Replacement of Industrial Solvents (PARIS III)</td>
<td>Quantitative comparative assessment of alternatives with the solvent of concern. Potential environmental impact scores for current formulation, physical properties, infinite dilution activity coefficients, and ranking of potential alternative solvents</td>
</tr>
<tr>
<td>7</td>
<td>2016</td>
<td>German Federal Environment Agency (Umweltbundesamt)</td>
<td>SubSelect</td>
<td>Compares alternatives based on physicochemical properties; human health hazards; skin, eye, and respiratory hazards; ecotoxicity and environmental fate or pathways</td>
</tr>
<tr>
<td>8</td>
<td>2019</td>
<td>DTU Chemical Engineering</td>
<td>Tool based on methodology presented in this work</td>
<td>Reverse-design structurally feasible alternative molecules that satisfy desired physicochemical and EH&amp;S properties using a database of 13000 chemicals and a ‘Property Model Library’ consisting of property models for 45 physicochemical properties and 22 EH&amp;S properties</td>
</tr>
</tbody>
</table>
Gaps and Limitations of Existing Methods and Tools

Experimental data for the eco-(toxicological) properties is not always available for all chemicals. Moreover, when the data is available, the reliability of the data is questionable. One way to fill these data gaps is, to use relevant predictive models. Also, in many cases it is difficult for the user to interpret and use the available data. Therefore, it is important to have a guide that describes these properties qualitatively.

Although several alternatives assessment frameworks have been developed by both academia and regulatory agencies (for example, REACH Guidance on the Preparation of an Application for Authorization\textsuperscript{23}, Design for the Environment (DfE)\textsuperscript{24} by the US-EPA), a versatile methodology that can solve this problem in a systematic manner is required, so that a viable solution can be reached quickly by any user. The Chemical Alternatives Selection Framework by the National Research Council\textsuperscript{25} is one such systematic framework with a clear step-wise procedure. However, like other frameworks, the ‘identification of alternatives’ step is carried out by first screening a list of known, potential alternatives for the desired performance using either experimental data or predictive property models followed by conducting time-consuming experimental procedures on the reduced candidate list to test for the Environmental, Health and Safety (EH&S) properties. Therefore, the use of predictive property models and tools is restricted to property prediction and is not utilized for a rapid generation and design of reliable alternatives. Also, there are chemicals that show poor solubility in water or are unstable at high temperatures. For such chemicals it is difficult to perform experiments and assess their EH&S properties\textsuperscript{26}.

Considering these reasons, we have recognized the need for a model-based methodology for chemical substitution that could help enhance existing alternatives assessment frameworks. A substitution methodology, which can identify and generate promising substitute candidates through both, database-search and model-based techniques, check their economic feasibility and only then make
use of experiments to verify and test their compatibility and applicability, has been developed in this work. Here, the generation of alternatives is carried out using a set of molecular building blocks as the starting point. All structurally feasible molecules are then screened to select those that satisfy the specified target property constraints (Generate and Test approach of CAMD) using property models. This methodology has been used to identify viable and safer chemical alternatives in four case-studies. A detailed analysis of the results obtained has been presented.

**Chemical Substitution Methodology, Associated Property Models and Modeling Tools**

**Scope and Applicability of the Developed Methodology for Chemical Substitution**

The developed methodology can be used to solve a chemical substitution problem, wherein a ‘single molecular chemical product’ or ‘a chemical from multicomponent liquid phase formulations or blends’, which is an environmental hazard, a human-health hazard or both, needs to be substituted. These single molecular products, formulations and blends are useful either as consumer products, process solvents or working fluids in equipment. The versatility of this method lies in the fact that the products and processes being considered can belong to a variety of industrial sectors like automobiles, paints and surface coatings, cosmetics and personal care, agro-chemicals etc.

**A Generic Framework for Model-based Chemical Substitution**

A workflow diagram for the developed methodology for chemical substitution available through the framework, which incorporates model-based methods, databases and tools, is shown in Figure 1. This framework is targeted to researchers, engineers, chemists, environmental scientists, product formulators, that is, anybody who may need to study chemical-based products or processes that use or manufacture these products. The capability of a tool which can be developed based on this framework is mentioned in Table 1.
**Figure 1.** Workflow diagram for the chemical substitution methodology

**Components of Methodology Development**

In order to follow the sequence of tasks in the methodology, three methods and their associated tools have been developed or expanded. These are regarded as the components of methodology development, which are namely, ‘Environmental, Health and Safety (EH&S) Property Criteria Guide’, ‘Property Database, Property Model Library, ProPred and Database Manager in ICAS’, and ‘PROCAMD in ICAS’. Each of these components plays a crucial role in the overall methodology:

- **EH&S Property Criteria Guide**
  - Assists in identifying environmental, health, and safety compliance.
  - Enables the selection of substitute candidates that comply with EH&S standards.

- **Property Database (Pure Component)**
  - Contains detailed information on pure components.
  - Facilitates the selection of substitute candidates that match the desired properties of the pure component.

- **Property Model Library (Mixtures)**
  - Provides information on property models for mixtures.
  - Assists in the selection of substitute candidates that can be used in the specific mixture environment.

- **ProPred and Database Manager in ICAS**
  - Integrates property prediction and database management tools.
  - Supports the efficient selection of substitute candidates by predicting their properties accurately.

- **PROCAMD in ICAS**
  - Offers a computational tool for predicting properties of chemical mixtures.
  - Facilitates the selection of substitute candidates that are compatible with the specific mixture environment.

The integrated use of these tools and methods ensures a comprehensive approach to chemical substitution, considering both the technical and regulatory aspects.
Guide’, ‘Property Model Library’ and ‘Property Database’. It is essential that they are not only very comprehensively available so that a wide range of problems can be solved but are also flexible to allow for the addition of new models, data and criteria.

Environmental, Health and Safety (EH&S) Property Criteria Guide

The EH&S properties enable us to determine whether the ‘compound of concern’ is an environmental hazard or a human health hazard and categorize the compound in terms of the specific problem it can cause, if it is continued to be used. The criteria that aid in selecting the objective for substitution have been defined by making use of the ‘substance classification and labelling’ requirements under REACH regulations\(^{28}\) and the DfE Alternatives Assessment Program by US-EPA\(^{11}\). These criteria have been adapted to the property models available in the ‘Property Model Library’ (second component of methodology development) and a guide to select the ‘objective for substitution’ for a user of this methodology is prepared as shown in Figure 2.

For instance, benzene appears on the REACH Restricted Substance List and SIN List because it is classified as a CMR (carcinogenic, mutagenic and reprotoxic) substance. If a user of this methodology would like to find a substitute for benzene, he/she would first need to identify all the hazards associated with benzene and consequently the objective for substitution. By simply entering the name of the compound of concern i.e. benzene, in this case or its CAS number i.e. 71-43-2, all the values of the EH&S properties for benzene from the ‘Property Database’ can be obtained. In case the experimental values of these properties are not available in this database, they can be predicted using the EH&S property models available in the ‘Property Model Library’. These property values are then required to be checked against the criteria shown in the last row for each section of the guide (the guide consists of two sections namely ‘Environmental Hazard’ and ‘Human Health Hazard’). If one or more of these criteria are fulfilled then the specific objective corresponding to these criteria (available in row above the criteria), is selected. For benzene, the ratio of the biological oxygen
demand for 5 days ($BOD_5$) to the chemical oxygen demand ($COD$) is 0.38 and it is listed in the ‘CMR Database’. Since, in addition to the CMR classification the $BOD_5$ to $COD$ ratio is less than 0.5, the objective for substituting this chemical is that it is ‘carcinogenic, mutagenic, toxic for reproduction’ and ‘persistent in the environment’. Corresponding to the identified objective, the constraints on the values of relevant EH&S properties are set during substitute identification, whereas all other EH&S properties of substitute candidates are checked post substitute generation or identification.

Hence, this ‘EH&S Property Criteria Guide’ is used in three steps of the developed methodology; to select the objective for substitution in Task 1, to guide in selecting the constraints on EH&S properties in Task 3 and in verification of all EH&S properties of the identified substitute candidates in Task 5.
Objective for Chemical Substitution

Environmental Hazard

- Acute Toxicity to Aquatic Environment
  - Fish: \(-\log (LC_{50}) > 3.5 \text{ (log mol.L}^{-1}\)\)
  - Crustacea: \(-\log (TC_{50}) > 3.5 \text{ (log mol.L}^{-1}\)\)
  - Algae / Aquatic Plants: \(-\log (EC_{50}) > 3.5 \text{ (log mol.L}^{-1}\)\)
  - Marine Water: \(-\log (BoD) < 0.5\)
  - Fresh / Estuarine Water: \(t_{\text{degradation}} < 60\) days
  - Soil: \(t_{\text{degradation}} > 120\) days

- Persistance in the Environment
- Bioaccumulative
- Ozone Depleting
- Global Warming

Human Health Hazard

- Acute Ingestion Toxicity
- Acute Inhalation Toxicity
- Exposure Toxicity
- Specific Target Organ Toxicity
- Endocrine Disruption Potential
- Carcinogenic, Mutagenic, Reprotoxic

Single Exposure
- \(-\log (LD_{50}) > 1.5 \text{ (log mol.L}^{-1}\)\)
- \(-\log (LC_{50/ingest}) > 3.0 \text{ (log mol.L}^{-1}\)\)
- B occup : \(\text{Exposure } > \text{OSHA - PEL}\)
- ETS : \(\text{Adversity indicated by EATS - mediated Parameters}\)

Repeated Exposure
- \(-\log (LD_{50}) > 1.5 \text{ (log mol.L}^{-1}\)\)
- \(-\log (LC_{50/ingest}) > 3.0 \text{ (log mol.L}^{-1}\)\)
- B occup : \(\text{Exposure } > \text{OSHA - PEL}\)
- ETS : \(\text{Adversity indicated by EATS - mediated Parameters}\)

Adversity indicated by EATS - mediated Parameters

Listed in CMR Database

Figure 2. EH&S Property Criteria Guide
Property Model Library

The ‘Property Model Library’ (Figure 3) consists of pure component, mixture and product performance property models for a variety of organic compounds. The property models in this library have been developed during the previous works\textsuperscript{29–38} at DTU Chemical Engineering (KT - Consortium).

The primary properties, which depend only on the molecular structure of the compounds, are predicted using Group Contribution (GC) models, for instance the critical properties ($T_c$, $P_c$), physicochemical properties like melting point ($T_m$)\textsuperscript{29–31} and EH&S properties\textsuperscript{32}.

The secondary properties can be predicted either using theoretical definition like critical compressibility factor ($Z_c$) or using correlations of one or more primary properties. The thermodynamic models, specifically, the phase equilibria prediction models to determine the equilibrium compositions of a two-phase, binary or multicomponent mixture, are used in this work. The product performance models, to estimate the mixture properties, are classified according to the class of products i.e. blends of molecules\textsuperscript{23–24}, homogeneous liquid phase formulations\textsuperscript{36}, emulsions\textsuperscript{37} and devices\textsuperscript{38}. This ‘Property Model Library’ is available via the ProPred tool in ICAS\textsuperscript{39}. 
The property database available at DTU Chemical Engineering (KT-Consortium) contains data for 45 pure component properties of different types (primary, secondary and functional) and 9 mixture properties of more than 13,000 compounds classified into nine main categories according to molecular structure: normal fluid, polar associating, polar non-associating, multifunctional (with respect to groups), water, polymer, electrolyte, steroid and amino acids. Data on 22 EH&S properties is also available. The values of all these properties can be obtained by the user via the Database Manager Tool in ICAS. Additionally, a separate database containing CMR substances and biodegradation-related properties has been compiled.

**Figure 3. Property Model Library**

**Property Database**

The property database available at DTU Chemical Engineering (KT-Consortium) contains data for 45 pure component properties of different types (primary, secondary and functional) and 9 mixture properties of more than 13,000 compounds classified into nine main categories according to molecular structure: normal fluid, polar associating, polar non-associating, multifunctional (with respect to groups), water, polymer, electrolyte, steroid and amino acids. Data on 22 EH&S properties is also available. The values of all these properties can be obtained by the user via the Database Manager Tool in ICAS. Additionally, a separate database containing CMR substances and biodegradation-related properties has been compiled.
However, currently no experimental data or predictive models for endocrine disruption, specific target organ toxicity and toxicity to aquatic plants are available in the ‘Property Database’ and ‘Property Model Library’ respectively.

A Model-based Methodology

A ‘compound of concern’, within the scope of this methodology, is a chemical appearing on the regulatory lists like the REACH ‘Substances of Very High Concern’ (SVHC) list and REACH ‘Restricted Substances List’ prepared by the EChA (Echa.europa.eu, 2007), any other regulatory list or organic pollutant list. Now, in order to find a substitute for this ‘compound of concern’ the user can follow the Tasks 1 – 8 outlined in the workflow diagram of Figure 1. The data input required to be provided by the user is the chemical name or CAS Number of the ‘compound of concern’. In the case of substitution from a product, the complete product information in terms of the constituents and their composition along with the product-use conditions are required to be provided by the user. Whereas, in the case of substitution of a chemical used in a process or its operation, the complete process description including process operating parameters are required to be provided. Also, the main functional role of this compound in the product or process must be specified. An elaboration of the eight tasks, in order to arrive at a substitute, is provided below.

Task 1. Identification of Problem Type: Firstly, the ‘objective for substitution’ using the ‘EH&S Property Criteria Guide’ shown in Figure 2 is identified. This in-turn determines the type of substitution problem that is required to-be solved, i.e., whether the ‘compound of concern’ is problematic due to its toxicity to the aquatic environment, persistence in the environment, toxicity on ingestion or a combination of one or more hazards.

Task 2. Problem Definition: Next, the substitution problem is mathematically formulated. The properties which pertain to the physicochemical nature at the product-use or process operating
conditions and the main function of the ‘compound of concern’ are classified as ‘desired’. Besides, the properties to check for the performance of the substituted product mixture or compatibility with other chemicals used in a process are also identified and classified as ‘desired’. While, the properties of the ‘compound of concern’ which pertain to environment, health and safety aspects are classified as ‘undesired’.

**Task 3. Constraint Selection**: The target physicochemical and functional property constraints of the ‘substitute to-be identified’ are selected in such a way that their values are as close as possible to the ‘desired’ pure component and mixture properties of the ‘compound of concern’. The target EH&S property constraints, on the other hand, are set as far as possible from the ‘undesired’ properties of the ‘compound of concern’, such that they comply with the REACH regulations laid down by EChA and other environmental regulations by US-EPA available via the ‘EH&S Property Criteria Guide’.

**Task 4. Identification and Generation of Substitute Candidates**

**4A. Database Search and Literature Review**: The substitute candidates are identified by means of the ‘database-search’ technique using the ‘Property Database’ that includes measured values of physicochemical, performance and EH&S target properties. Additionally, the alternatives that are currently being used as well as the alternatives that are found to be suitable by other research groups are identified via a literature review.

**4B. Generation from Molecular Building Blocks**: Next, the substitute candidates are generated by the Computer-Aided Molecular Design (CAMD) algorithm within the Pro-CAMD tool in ICAS\textsuperscript{39}. This tool makes use of the property model library and target property constraints (on pure-component and mixture properties) together with the structural constraints to identify the alternatives using the molecular building blocks specified by the user. The description of the mathematical model used to formulate a CAMD problem is available in the Supporting Information.
**Task 5. Substitute Candidate Verification:** In the case when it is chosen to generate substitute candidates by CAMD in Task 4B, if some of the property models have known uncertainties, then these properties can be checked at this stage (verification) using a property database or by using rigorous pure component property models. Besides, all the EH&S properties, apart from the ones on which constraints are set in Task 3, are also checked here using either the ‘Property Database’ or ‘Property Model Library’ in order to avoid regrettable substitutions.

**Task 6. Process or Product Compatibility Verification:** After the list of verified substitute candidates is obtained, it is checked whether they fit in the original mixture or are compatible with the original process. In the case of a product, this can be done by first screening the candidates based on mixture properties calculated through linear mixing rules, then by rigorous thermodynamic or other mixture property models, and finally by using the ‘Property Database’. Whereas, in the case of a chemical used in a process or equipment operation, the properties of the substitute candidate at the operating temperature and pressure conditions are tested using rigorous simulation tools or pilot-scale experimental data. If at this stage, the verification fails, then the problem formulation needs to be revised, that is, either some of the properties need to be compromised for other important ones or the constraints need to be relaxed and the tasks 2 – 6 must be repeated.

**Task 7. Economic Feasibility Evaluation of Substitute:** Often potential alternative compounds that are environmentally friendly and safe for human health are priced higher than the ‘compound of concern’. If only the basic economics related to substitution is to be checked, then only the prices of the substituted chemical and the substitute candidates are needed. The objective then would be to find the chemical that has the lower price, assuming all other conditions are unaltered. However, in the more detailed analysis, wherein the government bodies have implemented an environmental tax on polluting chemicals, the effective price of using the ‘compound of concern’ would increase. Apart
from the tax, other economic considerations may also be considered. For example, additional costs for replacing a chemical as opposed to increased profit and acceptable environmental impact.

**Task 8. Final Substitute Selection:** Finally, the substitute is selected after all its properties have been checked through experimental procedures and its life cycle assessment has been performed.

It should be noted that the application range of the computer aided tool implementing the model-based methodology depends on the application range of the property models available in the model library of the tool. However, if sufficient data can be found for the selected target property, the parameters of a required GC model can be estimated, or, a completely new model may be developed through the property modelling tool-box in Pro-CAPD\(^{40}\). This needs to be done before the application of the tool with the implemented model-based methodology.

**Case Studies Applying the Chemical Substitution Methodology**

Using the methodology described in the previous section, four case-studies on substituting hazardous chemicals, have been solved and elaborated below. The experimental verification (Task 8) has not been performed as the objective here is to only show the potential of the methodology to reach a set of reliable substitute candidates.

**Ethylene Glycol in an Engine Coolant**

Several consumer products used for the operation and maintenance of automobiles contain ethylene glycol (EG). EG is used as an anti-freezing agent in brake fluids, windshield washer fluids, engine coolants, automobile wax and polish etc. 45% (by volume) of a particular engine coolant, ‘Prestone Extended Life 50-50 Ready to Use’\(^{41}\), is made up of EG. However, this chemical appears on the groundwater pollutant list of several US states. The high value of negative logarithm of LD\(_{50}\) suggests its acute toxicity to mammals\(^{42}\) and a low ratio of BOD\(_{5}\) to COD indicates its persistence in the environment (Task 1). Therefore, in order to find a substitute for EG, the desired and undesired
properties of EG are identified and converted to target pure component and mixture properties of the substitute (Task 2) as shown in Table 2. The constraints on the desired properties like normal melting point, normal boiling point, heat of fusion etc., associated with the physicochemical nature, function and performance of the additive, are selected as close as possible to those of EG, while the $-\log (LD_{50})$ and $BOD_5$ to $COD$ ratio should conform with the regulatory limits available through the ‘EH&S Property Criteria Guide’. For instance, since the heat of fusion for EG is 9 kJ mol$^{-1}$, the substitute candidate should have a heat of fusion value in between 7 kJ mol$^{-1}$ and 13 kJ mol$^{-1}$ (Task 3).

<table>
<thead>
<tr>
<th>Need</th>
<th>Target Property</th>
<th>Target Property Constraints</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pure component, physicochemical and functional properties of substitute</td>
<td>Heat removal without much rise in its own temperature</td>
<td>Heat Capacity ($C_{p,298K}$) $75$ J mol$^{-1}$ K$^{-1}$ $&lt; C_{p,298K} &lt; 300$ J mol$^{-1}$ K$^{-1}$</td>
</tr>
<tr>
<td>Good heat transfer medium</td>
<td>Thermal Conductivity ($k_{300K}$) $k_{300K} &gt; 0.15$ W m$^{-1}$ K$^{-1}$</td>
<td></td>
</tr>
<tr>
<td>Not freeze in cold climates</td>
<td>Heat of fusion ($\Delta H_{fus}$) $7$ kJ mol$^{-1}$ $&lt; \Delta H_{fus} &lt; 13$ kJ mol$^{-1}$</td>
<td></td>
</tr>
<tr>
<td>Liquid phase</td>
<td>Normal Melting Point (NMP), Normal Boiling point (NBP) $203.2$ K $&lt; NMP &lt; 293.2$ K, $400$ K $&lt; NBP &lt; 570$ K</td>
<td></td>
</tr>
<tr>
<td>Pure component EH&amp;S properties of substitute</td>
<td>Non-toxic to mammals</td>
<td>Lethal Dose ($LD_{50}$) $-\log LD_{50} &lt; 1.5$ log(mol kg$^{-1}$)</td>
</tr>
<tr>
<td>Biodegradable</td>
<td>Ratio of Biochemical Oxygen Demand for 5 days to Chemical Oxygen Demand ($BOD_5 / COD$) $BOD_5 / COD &gt; 0.5$</td>
<td></td>
</tr>
<tr>
<td>Performance properties of substituted mixture</td>
<td>Not freeze in cold climates (1:1 mixture (v/v) of substitute and water)</td>
<td>Freezing point depression (FPD) $5$ K $&lt; FPD &lt; 25$ K</td>
</tr>
<tr>
<td>Miscibility with other constituents in original mixture</td>
<td>Gibbs energy of mixing ($\Delta G_{mix}$), Solubility Parameter ($\delta_{Hild,298K}$) $\Delta G_{mix} / RT &lt; 0$, $25$ MPa$^{1/2} &lt; \delta_{Hild,298K} &lt; 35$ MPa$^{1/2}$</td>
<td></td>
</tr>
</tbody>
</table>

Since GC models$^{29}$ for the prediction of the pure component properties (physicochemical, functional and EH&S) listed in Table 2 and UNIFAC activity coefficient model for checking the miscibility with other constituents of the original product (3 % diethylene glycol, 1 % propanol, 1 % polypropylene glycol, 50 % water by volume) are available in the property model library, CAMD is used to generate substitute candidates with the tool, Pro-CAMD. To have a comparable alternative to EG, the structural constraints are specified by selecting the building blocks of alcohols and ketones.
As a result of this step, four substitute candidates are generated namely 1,2-propylene glycol (PG), 1,2-butanediol and its two isomers (Task 4B). The EH&S properties, besides $LD_{50}$, are estimated for all the four candidates (Task 5) in order to check if they meet other regulatory limits. Although all EH&S properties of 2-methyl-1,3-propanediol are below the regulatory limit, it is more toxic and bioaccumulative compared to EG as seen from the $-\log(LC_{50})_{FM}$, $-\log(LC_{50})_{DM}$ and BCF values available in the Supporting Information.

The remaining three generated candidates are further evaluated for the performance properties (besides miscibility with other original constituents), that characterize the compatibility of the substitute with the original mixture (Task 6). In this case-study, the freezing point depression (FPD) of a mixture substituted with each of these three candidates is required to be checked. To facilitate this check, it is assumed that the substituted mixture is a 1:1 mixture (v/v) of substitute and water. All three substituted mixtures satisfy the FPD target property constraints. But the mixture with (PG) gives the maximum freezing point depression ($FPD$) using the original UNIFAC activity coefficient model (shown in Figure 4). Besides, it also has the lowest toxicity to mammals (quantified by a $-\log LD_{50}$ value of 0.58 log(mol.kg$^{-1}$)) among these screened candidates.
Therefore, if the same volume of EG is desired to be replaced by an equal amount of PG, then a freezing point depression of 12.5 K can be obtained. But if the freezing point depression (20 K) given by a 1:1 mixture of water and EG (or $x = 0.76$ water) is required in the substituted product, then higher amounts of the substitute should be used. The amounts of these three substitute candidates required to prepare the alternative engine coolant formulation are shown in Table 3.

**Table 3.** Mole fraction of substitutes of EG to achieve a FPD of 20 K

<table>
<thead>
<tr>
<th>Substitute of EG</th>
<th>CAS</th>
<th>Molecular Weight (g/mol)</th>
<th>Mole Fraction, $x$</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,2-propylene glycol</td>
<td>57-55-6</td>
<td>76.09</td>
<td>0.30</td>
</tr>
<tr>
<td>1,2-butanediol</td>
<td>504-63-2</td>
<td>90.12</td>
<td>0.36</td>
</tr>
<tr>
<td>1,3-butanediol</td>
<td>107-88-0</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
In conclusion, three viable substitutes to EG have been identified. However, 1,2-propylene glycol gives the maximum freezing point depression, which is the most important performance property characterizing the role of the substitute (anti-freezing agent), while it also has the lowest toxicity to mammals and is readily biodegradable (Table 4).
Table 4. Comparison of all properties of the viable substitutes with EG

<table>
<thead>
<tr>
<th>Compound</th>
<th>$\delta_{HIL,298K}$ (MPa)</th>
<th>k (Wm$^{-1}$K$^{-1}$)</th>
<th>$\rho$ (g/cc)</th>
<th>NMP (K)</th>
<th>NBP (K)</th>
<th>$C_p$ (J mol$^{-1}$ K$^{-1}$)</th>
<th>$\Delta H_{fus}$ (kJ/mol)</th>
<th>-log ($LD_{50}$) (log mol kg$^{-1}$)</th>
<th>BOD$_{5}$/COD</th>
<th>FPD (K)</th>
<th>Miscibility with other constituents in original mixture</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original Compound</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ethylene glycol</td>
<td>33.70</td>
<td>0.258</td>
<td>1.11</td>
<td>260.20</td>
<td>470.80</td>
<td>149.80</td>
<td>9.96</td>
<td>1.52</td>
<td>0.38</td>
<td>20.2</td>
<td>Miscible</td>
</tr>
<tr>
<td>Viable Substitutes</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1,2-propylene glycol</td>
<td>29.74</td>
<td>0.174</td>
<td>1.06</td>
<td>217.89</td>
<td>432.65</td>
<td>237.79</td>
<td>10.86</td>
<td>0.58</td>
<td>0.63</td>
<td>12.5</td>
<td>Miscible</td>
</tr>
<tr>
<td>1,2-butanediol</td>
<td>27.79</td>
<td>0.166</td>
<td>1.02</td>
<td>228.59</td>
<td>454.17</td>
<td>269.18</td>
<td>12.84</td>
<td>0.75</td>
<td>0.56</td>
<td>5.02</td>
<td>Miscible</td>
</tr>
<tr>
<td>1,3-butanediol</td>
<td>27.79</td>
<td>0.166</td>
<td>1.02</td>
<td>228.59</td>
<td>454.17</td>
<td>269.18</td>
<td>11.84</td>
<td>0.69</td>
<td>0.56</td>
<td>5.02</td>
<td>Miscible</td>
</tr>
</tbody>
</table>
Due to higher prices of the three substitute candidates, it may not be an economically feasible option to make use of these chemicals (Task 7). However, detailed economic analysis considering the reduced environmental impact must be conducted.

**Methylene Chloride in a Paint and Epoxy Remover**

More than 60% of the composition of several paint and epoxy removers as well as varnish thinners contain methylene chloride. For instance, the ‘Jasco® Premium Paint and Epoxy Remover’ is made up of 80% methylene chloride, 15 - 20% methanol and less than 5% of petroleum distillates\(^1\). (Methylene chloride is the principle active ingredient in this paint and epoxy remover). However, methylene chloride is listed on the REACH ‘Restricted Substances List’ as it is a Volatile Organic Compound (VOC) with a high vapor pressure of 0.58 bar and high toxicity to humans on vapor inhalation. In a study in Denmark\(^3\), it was reported that, concentrations of methylene chloride in the breathing zone was found to be from 210 ppm (741 mg/m\(^3\)) to 2025 ppm (7148 mg/m\(^3\)) when liquid paint strippers were used indoors under general ventilation conditions. This value is considerably higher than the Permissible Exposure Limit (PEL) of 500 ppm (8h-TWA) established by the OSHA and 100 ppm (8h-TWA) established in most EU countries (OECD, 1994). Therefore, methylene chloride is required to be substituted due to its acute inhalation toxicity (Task 1). Apart from consumers, formulators are also exposed to the vapor inhalation during mixing/blending operations and hence in the US, the ban of methylene chloride-containing paint strippers has also been a subject of discussion recently\(^2\)–\(^7\).

To identify a substitute for methylene chloride using CAMD, the needs are first translated to target pure component and mixture properties of the substitute candidate to-be-identified (Task 2). The target property constraints on all the properties (except Hansen Solubility Parameters) are supplied in the Pro-CAMD tool as given in Table 5 (Task 3). As a result of this step, nine substitute candidates
are generated (Task 4B). These are listed in Table 6. The properties of the substitute candidates were verified using the ‘Property Database’ (Task 5).

Additionally, to check if the substitute together with the other ingredients of the Jasco® stripper would give a similar performance as that of the original product, it has been assumed that the original product is a 20:80 % (v/v) mixture of methanol and methylene chloride. The substituted mixture, where the volume of methylene chloride in the original product is replaced with an equal volume of substitute candidate, should be able to dissolve the epoxy resins. In this case-study, the epoxy resin considered is a polyester-based resin with a radius of solubility = 9 MPa\(^{1/2}\) and Hansen Solubility Parameters, \(\delta_{d1} = 20 \text{ MPa}^{1/2}, \delta_{p1} = 10 \text{ MPa}^{1/2}\) and \(\delta_{h1} = 8 \text{ MPa}^{1/2}\). Consequently, the generated candidates can be considered as viable substitutes only if their mixture with methanol can satisfy the rule of thumb for polymer-solvent solubility shown in Eq.1 (Task 6).

\[
R_a = \sqrt{4(\delta_{d1} - \delta_{d2})^2 + (\delta_{p1} - \delta_{p2})^2 + (\delta_{h1} - \delta_{h2})^2} \leq R_{\text{epoxyResin}}
\]  

\(\text{Table 5. Needs, Target Properties and Constraints for Methylene Chloride Substitution}\)

<table>
<thead>
<tr>
<th>Need</th>
<th>Target Property</th>
<th>Target Property Constraints</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pure component, physicochemical properties of substitute</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Liquid phase</td>
<td>Normal Melting Point (NMP), Normal Boiling point (NBP)</td>
<td>NMP &lt; 253.2 K, NBP &lt; 400 K</td>
</tr>
<tr>
<td>Low volatility</td>
<td>Vapor pressure (VP)</td>
<td>VP &lt; 0.58 bar</td>
</tr>
<tr>
<td>Low flammability</td>
<td>Flash Point (FP)</td>
<td>FP &gt; 240 K</td>
</tr>
<tr>
<td>Pure component, EH&amp;S properties of substitute</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non-toxic in vapor phase</td>
<td>Vapor toxicity (LC(_{50,vapor}))</td>
<td>-log (\text{LC}_{50,vapor}) &lt; 3 log(mol m(^{-3}))</td>
</tr>
<tr>
<td>Performance properties of substituted mixture</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Miscibility in original mixture (miscible with higher alkanes (C(<em>{14}) – C(</em>{15})) and lower alcohols, specifically methanol)</td>
<td>Gibbs energy of mixing ((\Delta G_{\text{mix}})), Solubility Parameter ((\delta_{\text{Hill,298K}}))</td>
<td>(\Delta G_{\text{mix}} / RT &lt; 0,) 18 MPa(^{1/2}) &lt; (\delta_{\text{Hill,298K}}) &lt; 21 MPa(^{1/2})</td>
</tr>
<tr>
<td>Ability to dissolve epoxy resin (20:80 (v/v) mixture of methanol and substitute)</td>
<td>Hansen solubility parameters ((\delta_d, \delta_p, \delta_h))</td>
<td>(\sqrt{4(20 - \delta_{d2})^2 + (10 - \delta_{p2})^2 + (8 - \delta_{h2})^2} \leq 9)</td>
</tr>
</tbody>
</table>
Out of the nine candidates, only the 20:80 (v/v) mixture of methanol and 2-propanone could dissolve the polyester resin as this mixture has a solubility parameter distance, $R_a$ of 8.89 MPa$^{1/2}$ (Table 6).

Besides, the Danish EPA has imposed a tax of approximately €5 per kg of methylene chloride on its use$^{47}$, resulting in the rise of its effective price from €0.82 per kg$^{48}$ to €5.82 per kg. Since, the price for 2-propanone is €0.96 per kg$^{48}$, this compound is not only a safe and effective substitute to methylene chloride (Table 7) but also an inexpensive solution when government regulations are in place (Task 7).
Table 6. Further evaluation of CAMD generated candidates to check substituted-product performance

<table>
<thead>
<tr>
<th>Pure Component Solubility Parameters</th>
<th>Solubility Parameters of a 4:1 v/v mixture with methanol</th>
<th>Performance properties of a 4:1 v/v mixture with methanol</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Hansen D, sol (MPa $^{1/2}$)</td>
<td>Hansen P, sol (MPa $^{1/2}$)</td>
</tr>
<tr>
<td>Original Mixture</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Methanol</td>
<td>15.59</td>
<td>6.96</td>
</tr>
<tr>
<td>Methylene chloride</td>
<td>16.84</td>
<td>7.44</td>
</tr>
<tr>
<td>CAMD Generated Substitute Candidates</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Methyl Acetate</td>
<td>15.59</td>
<td>4.85</td>
</tr>
<tr>
<td>2-Propanone</td>
<td>15.73</td>
<td>8.05</td>
</tr>
<tr>
<td>Ethyl Acetate</td>
<td>15.59</td>
<td>4.70</td>
</tr>
<tr>
<td>Methyl Ethyl Ketone</td>
<td>15.72</td>
<td>7.13</td>
</tr>
<tr>
<td>3-Pentanone</td>
<td>15.69</td>
<td>4.61</td>
</tr>
<tr>
<td>2-Pentanone</td>
<td>15.72</td>
<td>6.98</td>
</tr>
<tr>
<td>2,2-dimethyl 1-propanol</td>
<td>15.31</td>
<td>6.45</td>
</tr>
<tr>
<td>2-methyl 1-butanol</td>
<td>15.50</td>
<td>6.29</td>
</tr>
<tr>
<td>3-pentanol</td>
<td>15.50</td>
<td>6.29</td>
</tr>
</tbody>
</table>

Table 7. Comparison of all properties of the viable substitute with Methylene Chloride

<table>
<thead>
<tr>
<th>Compound</th>
<th>$\delta_{HIL,298K}$ (MPa$^6$)</th>
<th>NMP (K)</th>
<th>NBP (K)</th>
<th>FP (K)</th>
<th>VP (bar)</th>
<th>$-\log LC_{50,super}$ (log mol m$^{-3}$)</th>
<th>Miscibility with original mixture</th>
<th>$R_a$ when mixed with methanol in a ratio of 4:1 v/v</th>
<th>Price (€/kg)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original Compound</td>
<td>Methylene Chloride</td>
<td>18.70</td>
<td>176.43</td>
<td>312.93</td>
<td>260.05</td>
<td>0.580</td>
<td>3.19</td>
<td>Miscible</td>
<td>7.58</td>
</tr>
<tr>
<td>Viable Substitute</td>
<td>2 - propanone</td>
<td>19.16</td>
<td>171.62</td>
<td>305.37</td>
<td>244.42</td>
<td>0.441</td>
<td>0.85</td>
<td>Miscible</td>
<td>8.89</td>
</tr>
</tbody>
</table>
Cetrimonium Bromide in a Hair Conditioner

Cetrimonium bromide is a conventional mono-quaternary ammonium cationic surfactant, used in the hair conditioner, ‘Rejuvenol Keratin after Treatment Conditioner’\(^{41}\). However, it is persistent in the environment and toxic to freshwater crustaceans\(^ {49}\) (Task 1). In order to find a substitute for this surfactant, its desired surface-active and physicochemical properties and the undesired environmental properties are identified (Task 2). Constraints are set on the target property values of the ‘substitute to-be identified’, as given in Table 8 (Task 3).

### Table 8. Needs, Target Properties and Constraints for Cetrimonium Bromide Substitution

<table>
<thead>
<tr>
<th>Need</th>
<th>Target Property</th>
<th>Target Property Constraints</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pure component, physicochemical and surface-active properties of substitute</td>
<td>Ability to form micelles / Solubilize dirt and oils</td>
<td>Critical Micelle Concentration (CMC) (CMC &lt; 0.02 \text{ mol L}^{-1})</td>
</tr>
<tr>
<td>Ability to lower surface tension</td>
<td>Surface Tension at CMC (\sigma_{\text{CMC}})</td>
<td>(\sigma_{\text{CMC}} &lt; 40 \text{ mN m}^{-1})</td>
</tr>
<tr>
<td>Soluble in Water</td>
<td>Water solubility (log (W_s))</td>
<td>(\log W_s &gt; 1.5 \log (\text{mg L}^{-1}))</td>
</tr>
<tr>
<td>Pure component, EH&amp;S properties of substitute</td>
<td>Non-toxic to aquatic environment</td>
<td>Immobilization Concentration (IC_{50}) (-\log IC_{50} &lt; 4.5 \log (\text{mol m}^{-3}))</td>
</tr>
<tr>
<td>Readily biodegradable</td>
<td>% Primary Biodegradation</td>
<td>60% biodegradation in 28 days</td>
</tr>
</tbody>
</table>

The constraints on the physicochemical and surface-active properties are kept as close as possible to those of cetrimonium bromide. While, the constraints on EH&S properties are chosen such that they satisfy the ‘Safer Choice Criteria for Surfactants’ established by US-EPA\(^ {50}\) (also available in the ‘EH&S Property Criteria Guide’).

An acceptable value of primary biodegradation is when 60% of the chemical mineralizes into degradation products which are not of concern in a period less than or equal to 28 days\(^ {32-33}\). Besides, the toxicity to aquatic environment is quantified using the immobilization concentration, \(IC_{50}\). Here, \(IC_{50}\) is the concentration value that causes immobilization in 50% of the \(Daphnia magna\) (fresh-water crustacean) after 24h exposure\(^ {52}\).

Surfactants with building blocks of natural compounds that are readily biodegradable like amino acids, are more likely to qualify as safer surfactants. Considering this fact, two amino acid-based...
surfactants are found to be viable substitutes by means of ‘database search and literature review’ (Task 4A). They have a biodegradation rate of greater than 60% and low toxicity to the aquatic environment. A comparison of the properties of the two identified substitutes with cetrimonium bromide is given in Table 9.

Table 9. Comparison of all properties of viable substitutes with Cetrimonium Bromide

<table>
<thead>
<tr>
<th>Compound</th>
<th>CMC (mol L⁻¹)</th>
<th>σ_{CMC} (mN m⁻¹)</th>
<th>log W_s log(mg L⁻¹)</th>
<th>-log IC₅₀ log(mol m⁻³)</th>
<th>Primary Biodegradation (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original Compound</td>
<td>Cetrimonium Bromide</td>
<td>0.0009</td>
<td>38.0</td>
<td>4.56</td>
<td>6.88</td>
</tr>
<tr>
<td>Viable Substitutes</td>
<td>CAM (Nα-acyl-arginine methyl ester, n=8*)</td>
<td>0.0160</td>
<td>40.0</td>
<td>1.53</td>
<td>4.11</td>
</tr>
<tr>
<td></td>
<td>LKM (Nε-acyl lysine methyl ester, n=10*)</td>
<td>0.0055</td>
<td>31.0</td>
<td>2.16</td>
<td>4.40</td>
</tr>
</tbody>
</table>

*The surfactant is the acyl derivative of an amino acid ester where n denotes the alkyl chain length

Alternatively, to find a substitute to cetrimonium bromide via CAMD (Task 4B) using the building blocks of amino acids, GC models for all the properties listed in Table 8, are required. The ‘property model library’ has been extended to include the GC models for the prediction of three physicochemical properties (normal melting point, $T_m$, aqueous water solubility, $W_s$, octanol water partition coefficient, $K_{ow}$) for ‘amino acids and their derivatives’ using the Marrero and Gani group contribution method (Jhamb, et al., 2018). However, the GC models for EH&S properties ($IC_{50}$ and Biodegradation Rate) and the surface-active properties (Critical Micelle Concentration, CMC and Surface Tension at CMC, $\sigma_{CMC}$) for these compounds are not yet available.

Therefore, the methodology identifies only two amino-acid based surfactants namely Nα-acyl-arginine methyl ester, n=8 and Nε-acyl lysine methyl ester, n=10 as potential substitutes to cetrimonium bromide. The evaluation of other EH&S properties of the substitute candidates (Task 5) has not been performed due to unavailability of data for these properties. Also, the compatibility check using a rigorous property model has not been performed (Task 6) due to unavailability of a relevant model in the ‘Property Model Library’.
Lastly, it is to be noted that amino-acid based surfactants are more expensive than cetrimonium bromide due to the high cost of raw materials including fatty acids and amino acids\textsuperscript{54}. But the benefits offered by these naturally derived surfactants is likely to compensate the high price (Task 7).

1,2,4-Trichlorobenzene used in a Polymer-Spinning Process

Ultra-High Molecular Weight – Polyethylene (UHMW-PE) can yield fibers with high mechanical strength after extrusion in a gel-spinning process. However, due to its high melt viscosity it cannot be directly extruded through a mechanical press. It is required to be dissolved in a solvent before it passes through the extruder and is spun into fibers. 1,2,4-trichlorobenzene is commonly used as a solvent for the dissolution of this polymer\textsuperscript{55}. In a post-extrusion step, an extraction solvent, \textit{n}-hexane is used to remove the solvent (1,2,4-trichlorobenzene) from the polymer gel fibers. However, 1,2,4-trichlorobenzene appears on the REACH ‘Restricted Substances List’ and it therefore needs to be replaced by another environmentally benign, acceptable solvent.

The negative logarithm of \textit{LC}_{50} (-\log(LC_{50,FM})) measured for fathead minnow (FM) – a freshwater fish is 4.7 for this solvent while its bioconcentration factor (BCF) and degradation half-life in soil (\textit{t}_{\text{1/2,soil}}) is 1122 and 194 days respectively. According to the ‘EH&S Property Criteria Guide’ a compound having -\log(LC_{50,FM}) value greater than 3.5 is considered toxic to the aquatic environment. Besides, the logarithm value BCF and \textit{t}_{\text{1/2,soil}} meet the EH&S property criteria for substitution. Consequently, the objective to substitute 1,2,4-trichlorobenzene is its toxicity to the aquatic environment, bioaccumulation and persistence in the environment (soil) (Task 1). With the desired and undesired needs identified, they are converted to target pure component and mixture properties (Task 2) and the constraints are set on them (Task 3) as given in Table 10.

To find a substitute for 1,2,4-trichlorobenzene, firstly a database search is conducted (Task 4A). Decalin, which is a carbocyclic compound with two rings, has satisfactory physicochemical and functional properties for the process considered here\textsuperscript{56} and is commonly used in the gel spinning
process. However, like 1,2,4-trichlorobenzene, this is also toxic to the aquatic environment (with \( \log(LC_{50, FM}) = 4.8 \)) besides being highly volatile. Apart from decalin, aromatic compounds like naphthalene\(^{57} \) and p-xylene\(^{58} \) are the other solvents currently used in this process but do not have satisfactory EH&S properties.

Therefore, since the GC models for the prediction of the pure component properties are available in the property model library, CAMD is used to generate the compounds satisfying the target property constraints (Task 4B). Here, the molecular building blocks of carbocyclic compounds are chosen because these are similar to the repeating unit of the polymer to be dissolved. The building blocks of aromatic compounds are not used in order to avoid hazardous properties associated with these structures. Four compounds are found to satisfy the pure component physicochemical target properties. Out of these only methyl cycloundecane, satisfies the EH&S property constraints.

| Table 10. Needs, Target Properties and Constraints for 1,2,4-Trichlorobenzene Substitution |
|-----------------------------------------------|-----------------------------------------------|
| **Need**                                      | **Target Property**                           | **Target Property Constraints**               |
| Ability to dissolve UHMW-PE at operating      | Solubility Parameter \( (\delta_{\text{Hild,403K}}) \) | \( 16 \text{MPa}^{1/2} < \delta_{\text{Hild,403K}} < 18 \text{MPa}^{1/2} \) |
| Low volatility                                | Vapor Pressure \( (VP_{298K}) \)              | \( 0.0003 \text{bar} < VP_{298K} < 0.0012 \text{bar} \) |
| Not too viscous to allow flowability          | Viscosity \( (\eta) \), Density \( (\rho) \)  | \( \eta < 1.65 \text{cP} \), \( \rho < 1.5 \text{g.cm}^{-3} \) |
| Liquid phase at operating temperature         | Normal Melting Point \( (NMP) \), Normal Boiling point \( (NBP) \) | \( NMP < 293.2 \text{K} \), \( 475.2 \text{K} < NBP < 600 \text{K} \) |

Since, the average absolute error in the \( \log(LC_{50, FM}) \) and \( \log(BCF) \) property prediction models is \( 0.48^{32} \) and \( 0.47^{32} \) respectively, these properties need to be verified using the property database containing experimental values for the EH&S properties (Task 5).
Lastly, it is required that the generated candidate is compatible with all other chemicals used in
the original process (Task 6). This is checked using appropriate mixture property models. Since,
the extraction solvent used to remove 1,2,4-Trichlorobenzene from the extruded polymer is \(n\)-
hexane, the miscibility of the recognized substitute solvent with \(n\)-hexane is checked by
calculating the Gibbs energy of mixing using Eq. (2).

\[
\frac{\Delta G_{\text{mix}}}{RT} = \sum_{i}^{NC} x_i \ln(x_i) + \sum_{i}^{NC} x_i \ln(\gamma_i) < 0
\]

(2)

Here, the activity coefficient (\(\gamma_i\)) is estimated using the original UNIFAC model. The Gibbs
energy of mixing (\(\Delta G_{\text{mix}}\)) at 298.2 K is found to be negative for all molar compositions of the
methyl cycloundecane and \(n\)-hexane mixture (Figure 5), suggesting total miscibility.

![Figure 5: Gibbs energy of mixing for methyl cycloundecane-\(n\)-hexane mixture](image)

Besides this, when the trend in the activity of methyl cycloundecane with increasing weight
fraction in its mixture with UHMW-PE is checked, by using the UNIFAC-FV activity coefficient
model\(^{59}\), it is seen that the activity monotonically increases (Figure 6). This ensures the solubility
of the polymer in methyl cycloundecane.
Figure 6. Methyl cycloundecane activity in methyl cycloundecane-polymer mixture using the UNIFAC-FV model

Therefore, methyl cycloundecane has comparable physicochemical and performance properties to 1,2,4-trichlorobenzene. This compound also does not bioaccumulate, degrades quickly in the soil and is non-toxic to the aquatic environment (Table 11).
Table 11. Comparison of all properties of the viable substitute with 1,2,4-trichlorobenzene

<table>
<thead>
<tr>
<th>Compound</th>
<th>( \delta_{\text{HID,298 K}} ) (MPa(^0))</th>
<th>FP (K)</th>
<th>NMP (K)</th>
<th>NBP (K)</th>
<th>VP at 298 K (bar)</th>
<th>( \rho ) at 293.2 K (g/cc)</th>
<th>( \eta ) at 305 K (cP)</th>
<th>(-\log (L_{C_{50,FM}})) (log mol L(^{-1}))</th>
<th>( \log (BCF) )</th>
<th>( t_{1/2,\text{soil}} ) (days)</th>
<th>Miscibility with ( n )-hexane</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original Compound</td>
<td>20.32</td>
<td>378.2</td>
<td>290</td>
<td>486</td>
<td>0.00061</td>
<td>1.45</td>
<td>1.65</td>
<td>4.80</td>
<td>3.05</td>
<td>194</td>
<td>Miscible</td>
</tr>
<tr>
<td>1,2,4-trichlorobenzene</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Viable Substitute</td>
<td>16.60</td>
<td>341.6</td>
<td>236.5</td>
<td>484.7</td>
<td>0.00043</td>
<td>0.81</td>
<td>1.20</td>
<td>3.40</td>
<td>2.72</td>
<td>43</td>
<td>Miscible</td>
</tr>
<tr>
<td>Methyl cycloundecane</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tbody>
</table>

ACS Paragon Plus Environment
In order to define the range of applicability of the developed methodology, the successfully solved chemical substitution case-studies are categorized based on class of chemical product that contains the ‘compound of concern’. These case-studies mainly belong to single molecular products and single/two-phase liquid formulated products category and are used as process solvents, operating fluids or complex consumer products (Figure 7).

**Figure 7.** Class of chemical products for various substitution problems

**Conclusion**

A systematic model-based methodology for substituting chemicals from consumer products with a liquid delivery system or from processes and their operations, is developed. In addition to the traditional database search of alternative chemicals, the constraints on the desired, physicochemical and functional properties and the undesired EH&S properties of the ‘compound of concern’ can be simultaneously used to generate substitute candidates via ‘Computer-aided Molecular Design’ from a set of given molecular building blocks without manual intervention. These are then checked for the
product or process compatibility, economic feasibility and any other undesired EH&S properties. Such an approach is advantageous as a potentially promising substitute candidate is not missed in the case when the needed data is not available and hence a reliable set of potential substitutes are quickly reached. For the final selection of the substitute compound the experimental efforts are focused only on these reliable candidates.

In practice, however, the scope of using CAMD is limited by the availability, reliability and accuracy of the models employed to predict the target properties. Due to the lack of availability of property prediction models for inorganic compounds in the model library, the computer-aided tool with the implemented methodology cannot currently recognize these compounds as substitute candidates. Consequently, the application of the substitution methodology is only limited to organic chemicals. Additionally, the application range of the methodology depends on the application range of the property models. The application range is constantly being expanded by developing and incorporating new models. Once, the required model parameters are estimated and the accuracy, ease of use, predictive capabilities of a developed model is evaluated, it can be easily added to the ‘Property Model Library’.

Current and future work consists of tackling the substitution of chemicals in other types of consumer-oriented chemical-based products, for instance, pharmaceutical products (tablets, inhalers, etc), cosmetic products (creams, powders, lotions) and food products (pastes, granules) that are very important and have wide applications in modern society. Identification and then substitution of any likely harmful chemicals from these products will advance this technology significantly. But this will also be more challenging than formulations with a liquid delivery system, since care must be taken such that, the introduction of the alternative chemical does not change the lattice structure (e.g. crystalline structure for powders and granules), which in-turn influences the properties like biological activity, friction, adhesion etc. and thermodynamic stability.
SUPPORTING INFORMATION

I. Key scientific methods used in the development of the methodology

II. Results from Task 5 for the Case Studies applying the Developed Methodology

AUTHOR INFORMATION

Corresponding Author

Georgios M. Kontogeorgis. Phone: +45 45 25 28 59, e-mail: gk@kt.dtu.dk.

Notes

The authors declare no competing financial interest.

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ABBREVIATIONS

BCF: Bioconcentration Factor; BOD₅: Biochemical Oxygen Demand for 5 days; CMR: Carcinogenic, Mutagenic, Reprotoxic; C: Guidance value provided by Globally Harmonized System of Classification and Labelling of Chemicals (GHS); COD: Chemical Oxygen Demand; EATS: Estrogen, androgen, thyroid, steroidogenic; EC₅₀: Median Effective Concentration resulting in immobilization of 50% Daphnia magna population; ErC₅₀: Median Effective Concentration resulting in 50% reduction of Algae Growth Rate; GWP: Global Warming Potential; IC₅₀: 50% Immobilization Concentration; Kow: Octanol-Water Partition Coefficient; LC₅₀: 50% Lethal Concentration; LD₅₀: 50% Lethal Dose; ODP: Ozone Depletion Potential; OSHA – PEL: Occupational Safety and Health Administration – Permissible Exposure Limit; tₕ: (Biodegradation) Half – life; TWA: Time-Weighted Average
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Synopsis: The paper presents a methodology to substitute chemicals, which are environmentally hazardous and unsafe to human health, but have an important function in chemical-based products and their manufacturing processes, by means of Computer-aided Molecular Design.