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Inter-calibration of SAR data series for offshore wind resource assessment

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Highlights

• Offshore wind resource assessment requires long-term wind data records.
• Wind speed retrievals from different European SAR sensors are offset.
• Biases vary over time and according to scan modes and incidence angles.
• Inter-calibration can remove biases and improve the accuracy on wind resources.

Abstract

Wind observations in the marine environment are both costly and sparse. This makes wind retrievals from satellite Synthetic Aperture Radar (SAR) an attractive option in connection with planning of offshore wind farms. Because the wind power density is proportional to the wind speed cubed, it is important to achieve the highest possible absolute accuracy on SAR wind speed retrievals for wind energy applications. A method is presented for inter-calibration of SAR observations from Envisat and Sentinel-1A/B. Sensor-specific effects on the SAR-retrieved wind speeds are first quantified through comparisons against collocated ocean buoy observations. Based on global circulation model simulations of wind speed and direction, we retrieve the Normalized Radar Cross Section (NRCS) for different radar incidence angles. Residuals between the retrieved and the observed NRCS are used to inter-calibrate the observed NRCS before reprocessing to SAR wind fields. The inter-calibration
leads to an improved agreement between SAR and buoy wind speeds with biases below 0.2 m s$^{-1}$ for all investigated SAR sensors. Estimates of the wind resource improve with respect to the buoy observations for ten of the twelve sites investigated. The average deviation between wind power densities is reduced from 20% to 8% as the SAR inter-calibration leads to more conservative estimates of the wind resource.

Keywords

Inter-calibration, offshore wind energy, resource, Synthetic Aperture Radar, Sentinel-1, Envisat
1. Introduction

The Sentinel-1 mission by the European Space Agency (ESA) has secured the availability of Synthetic Aperture Radar (SAR) observations for ocean wind mapping for the years to come. Sentinel-1A (2014–present) and Sentinel-1B (2016–present) are designed for continuation of the previous ESA mission Envisat, which delivered SAR data during 2002-12. SAR instruments are active sensors, which transmit and receive pulses in the microwave range. Properties of the ocean surface waves determine the measured return signal. A C-band SAR sensor is sensitive to waves of the cm-scale, which are typically generated by the instantaneous wind stress at the sea surface.

Based on scatterometer observations, empirical relationships have been established between radar backscatter from the sea surface and wind speed at the height 10 m. A similar principle can be applied to retrieve wind speeds from SAR observations at a higher spatial resolution and with full coverage over coastal seas (Karagali et al., 2013). Geophysical Model Functions (GMF) for wind speed retrieval at C-band include CMOD4 (Stoffelen and Anderson, 1997), CMOD-IFR2 (Quilfen et al., 1998), CMOD5 (Hersbach et al., 2007), CMOD5.n (Hersbach, 2010), CMOD6 and CMOD7 (Stoffelen et al., 2017). The CMOD functions are developed for radar observations with vertical polarization in transmit and receive (VV) and a polarization ratio must be applied in order to compensate for the lower signal at HH (Liu et al., 2013; Mouche et al., 2005; Thompson et al., 1998). A new model function called C_SARMOD2 is developed directly from RADARSAT-2 and Sentinel-1 SAR observations (Lu et al., 2018).

Wind speed retrievals from Envisat have been compared to in situ observations in different parts of the world (Chang et al., 2015; Doubrava et al., 2015; Hasager et al., 2015a; 2015b; 2011; Takeyama et al., 2013a; 2013b) and evaluations of wind speeds from Sentinel-1 are
also published (Ahsbahs et al., 2018; Lu et al., 2018; Monaldo et al., 2016). The Root Mean Square Error (RMSE) of the SAR wind speed with respect to reference data sets is typically less than 2.0 m s\(^{-1}\) whereas the bias can vary largely. The temporal and spatial scales of wind data should be considered in any comparison analysis (Hasager et al., 2002). Likewise, care must be taken to compare consistently either the real winds or the Equivalent Neutral Wind (ENW) (Kara et al., 2008; Portabella and Stoffelen, 2009).

The installed wind power capacity is growing rapidly around the world and plans for new installations offshore are ambitious; particularly in Europe and Asia. In order to produce robust estimates of the wind resource, the highest possible number of independent wind speed observations is needed. The sampling frequency, which can be achieved from polar-orbiting satellites, is poor compared to the sampling frequencies of typical in situ sensors or numerical models. The strength of satellite wind fields lie in the observation of large spatial domains over extensive periods. In order to maximize the number of available satellite wind fields for wind resource assessment, the opportunity to combine data series from different sensors is very attractive. However, effects of sensor-specific characteristics need to be taken into account before the data series can be merged.

Satellite data merging is performed in connection with Climate Data Records (CDRs) defined as “time series of measurements of sufficient length, consistency and continuity to determine climate variability and change” (National Research Council, 2004). Merged time-series from various sensors and for different physical parameters such as ocean surface winds from scatterometers (Elyouncha and Neyt, 2013; Wentz et al., 2017), ice sheet elevation from altimeters (Khvorostovsky, 2012), and temperature from microwave sounders (Christy et al., 1998) already exist. Although the record of wind retrievals from space is not yet long enough
to determine climate variability and change, the community effort is to generate consistent
and stable time-series. Inter-calibration ensures consistency between products from different
sensors and it can be performed using reference data sets of in situ observations and inter-
comparison among different products (Zeng et al., 2015).

The objective of this paper is to inter-calibrate SAR observations from Envisat and Sentinel-1
SAR and combine them to a single data series suitable for wind speed retrieval and resource
assessment. Section 2 describes the data sets analyzed and the pre-processing applied. In
Section 3, we present a series of initial comparisons between SAR-retrieved wind speeds and
ocean buoy observations. A method for inter-calibration of the SAR observations is given in
Section 4. In Section 5, comparisons against the reference data set are shown after SAR inter-
calibration. The effect of SAR inter-calibration on wind resource estimation is examined in
Section 6. Our findings are discussed in Section 7 and conclusions are given in Section 8.

2. Data and pre-processing

2.1 Satellite SAR wind maps

This analysis is based on Level-1 SAR data from Envisat and Sentinel-1 A/B, which are
available from the Copernicus Open Access Hub at https://scihub.copernicus.eu/. Our focus is
on scenes acquired in ScanSAR mode i.e. the Envisat Wide Swath Mode (WSM) and the
Sentinel-1 Interferometric Wide Swath (IW) and Extra Wide Swath (EW) Modes. The swath
width is fixed at 400 km for WSM and EW and 250 km for IW whereas the length of scenes
is variable. All available products covering the seas of Northern Europe (Figure 1) have been
downloaded for the period 2002/08/20 to 2018/05/31. Sentinel-1A products generated after
2015/11/25 at 10:40 UTC are processed with a radiometric performance enhancement
whereas only some of the scenes acquired during the commissioning phase of Sentinel-1A have been reprocessed (Miranda, 2015). Calibration inconsistencies are therefore still present for the early Sentinel-1A data. The radiometric accuracy of Sentinel-1B observations has been satisfactory, and also compatible with that of Sentinel-1A, since launch (Schwerdt et al., 2017).

Retrieval of wind speed maps from the Envisat and Sentinel-1 SAR scenes is performed with the SAR Ocean Products System (SAROPS) developed by the Johns Hopkins University, Applied Physics Laboratory and the US National Atmospheric and Oceanographic Administration (NOAA) (Monaldo et al., 2014). The CMOD5.n (Hersbach, 2010) function is chosen for the wind speed inversion and the polarization ratio of Mouche et al. (2005) with incidence angle dependence is applied to the scenes acquired in HH. Regardless of the original resolution of satellite SAR products, we average pixels to a size of 0.5 km prior to the wind retrieval processing to reduce effects of random noise and of surface inclination due to longer-period ocean waves. This is common practice for SAR wind retrievals (Dagestad et al., 2012).

Because several wind speed and direction pairs may correspond to a single value of backscatter intensity from SAR, information about the wind direction is needed in order to retrieve the wind speed. We obtain the wind directions from the Climate Forecast System Reanalysis (CFSR, http://nomads.ncdc.noaa.gov/data.php?name=access#cfs-reanal-data) during 2002-10 and from the Global Forecast System (GFS) at 0.50° resolution during 2010-12 (http://nomads.ncdc.noaa.gov/data/gfsanl) and at 0.25° resolution from 2014 onwards (ftp://ftp.ncep.noaa.gov/pub/data/nccf/com/gfs/prod). The model outputs are interpolated spatially to match the grid cells of the SAR scenes.
Land surfaces are masked out during the SAR wind processing using the Global Self-
consistent, Hierarchical, High-resolution Geography Database (http://www.soest.hawaii.edu/pwessel/gshhg/). Sea ice is detected using the IMS Daily
Northern Hemisphere Snow and Ice Analysis (http://nsidc.org/data/docs/noaa/g02156_ims_snow_ice_analysis/). The collection of SAR
wind maps used as the starting point for our analyses is available at
https://satwinds.windenergy.dtu.dk/.

2.2 Ocean buoy observations
Observations from ocean buoys are gathered for the North Sea and part of the North Atlantic
for the years 2002 to 2018. To prevent biases, the following criteria are set for buoy stations
to be included in this analysis: i) a station must deliver data during the period 2006 to 2017 or
longer; ii) no significant change of the buoy position has occurred over time; and iii) the buoy
is located at least 10 km from the shoreline. A total of 12 buoy stations live up to the criteria
and these datasets are from three institutions: UK MetOffice (personal communication), the
Irish Meteorological Service, Met Éireann (https://erddap.marine.ie/erddap/tabledap/IWBNetwork.html), and the Bundesamt für
Seeschifffahrt und Hydrography, BSH (http://nwsportal.bsh.de/).

The MetOffice and Met Éireann used Ocean Data Acquisition Systems (ODAS) buoys in the
eyear years and some of them have later been replaced with buoys from the manufacturer
Fugro. Data from BSH is obtained from light vessels and one moored buoy. Figure 1 shows
the buoy locations. Position data from the MetOffice buoys are truncated to 0.1°
corresponding to an uncertainty of roughly 10 km on the position. The buoy data are quality
controlled by the respective provider and additional inspection of the time series has been performed in connection with this analysis.

Figure 1. The area investigated and positions of the buoys used in this study. The inner domain shows the area used for SAR inter-calibration in Section 4.

The buoy wind speeds and directions are recorded hourly. Measurement heights vary between 3.5 m and 14 m with the vast majority of the observations at heights lower than 10 m. We extrapolate to 10-m wind speeds using a logarithmic wind profile:

\[
    u(z) = \frac{u_*}{\kappa} \ln \frac{z}{z_0}
\]  

where \( u(z) \) is the wind speed at height \( z \) (m s\(^{-1}\)), \( u_* \) is the friction velocity (m s\(^{-1}\)), \( \kappa \) is the von Kármán constant (~0.4), and \( z_0 \) is the surface roughness length, which we set to a constant of 0.0002 m.
The air-sea temperature difference, which is needed to estimate atmospheric stability effects, is typically missing in the buoy data sets. We can thus expect a bias on the 10-m wind speed due to the lack of stability correction of the buoy observations. We assume this bias is constant across the Envisat and Sentinel-1 sensing periods.

3. Initial comparisons of SAR and buoy observations

We first compare the wind speeds retrieved from SAR to wind speed observations from the ocean buoys in the North Sea and North Atlantic. The selection criterion for buoy observations is that their time stamp must be less than 30 minutes from each SAR data acquisition time. To ensure comparability between spatial averaging of the satellite winds and temporal averaging of the buoy observations, we extract the average SAR wind speeds over an area of 10 km by 10 km around the buoy positions. We exclude data points where the SAR or buoy wind speeds are below 0.5 m s\(^{-1}\).

Buoys provide real wind speeds whereas the SAR wind retrievals are expressed as ENW, which are cleaned for atmospheric stability effects and 0.2 m s\(^{-1}\) higher on average (Kara et al., 2008; Portabella and Stoffelen, 2009). Here, we are primarily interested in the consistency between wind retrievals from Envisat and Sentinel-1. Assuming again that the long-term average stability conditions are similar across sensing periods, we can compare the SAR and buoy wind speeds for this purpose without further correction.

Figure 2 shows scatterplots of the buoy wind speeds versus the wind speeds retrieved from SAR. A total of 3099 collocated pairs of Envisat and buoy wind speeds are available and the comparison shows a RMSE of 2.37 m s\(^{-1}\). The mean wind speed from Envisat is 0.87 m s\(^{-1}\).
higher than from the buoy observations. For wind speeds beyond 20 m s\(^{-1}\), the SAR wind
speeds are lower than the buoy wind speeds.

For the subset of Sentinel-1A scenes acquired during the commissioning phase, the
comparison show a RMSE of 2.01 m s\(^{-1}\) and a positive bias for all wind speed bins up to 16 m
s\(^{-1}\). The SAR wind speeds are on average 0.97 m s\(^{-1}\) higher than the buoy wind speeds. For the
later Sentinel-1A scenes, the RMSE is 1.57 m s\(^{-1}\). Comparisons for Sentinel-1B show almost
similar results with RMSE of 1.58 m s\(^{-1}\). For both Sentinel-1 sensors, SAR wind speeds
overestimate the buoy wind speeds in the low-wind range. When the wind speed is within the
range 7-17 m s\(^{-1}\), SAR and buoy wind speeds are almost equal and beyond that, the buoy wind
speeds are higher. The average bias for Sentinel-1A and B after commissioning is only 0.10-
0.17 m s\(^{-1}\) and wind speeds from these two sets of SAR observations are very consistent with
each other. There is an offset with respect to wind retrievals from Envisat and Sentinel-1A
observations during commissioning.
Figure 2. Comparisons of wind speeds retrieved from SAR against buoy wind speeds for (a) Envisat; (b) Sentinel-1A commissioning phase; (c) Sentinel-1A; and (d) Sentinel-1B.

3.1 Wind speed dependence on the wind direction input

To examine the effect of the wind direction input chosen for the SAR wind retrieval processing, we repeat the comparisons between SAR and buoy wind speeds using a second set of SAR wind speeds retrieved over each of the buoy stations with observed wind
directions from the buoys as input. The buoy wind directions are expected to be more accurate than the model wind directions initially used for the SAR wind retrieval because (i) they are representative for the exact buoy locations, (ii) they are measured in a consistent manner across the Envisat and Sentinel-1 sensing periods, and (iii) they are observed rather than simulated.

Table 1. Summary of comparisons between SAR and buoy wind speeds. The SAR wind speeds are retrieved with wind directions from a model and from buoy observations.

<table>
<thead>
<tr>
<th>Wind direction input</th>
<th>Envisat</th>
<th>Sentinel-1A commissioning phase</th>
<th>Sentinel-1A</th>
<th>Sentinel-1B</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Model</td>
<td>Buoy</td>
<td>Model</td>
<td>Buoy</td>
</tr>
<tr>
<td>N</td>
<td>3099</td>
<td>3099</td>
<td>568</td>
<td>568</td>
</tr>
<tr>
<td>Bias [m s(^{-1})]</td>
<td>0.87</td>
<td>0.92</td>
<td>0.94</td>
<td>0.92</td>
</tr>
<tr>
<td>RMSE [m s(^{-1})]</td>
<td>2.37</td>
<td>2.37</td>
<td>2.01</td>
<td>1.93</td>
</tr>
</tbody>
</table>

Table 1 shows the comparisons of SAR and buoy wind speeds when modelled vs. buoy wind directions are used to drive the wind speed retrieval from SAR. For Envisat, the RMSE is unchanged (2.37 m s\(^{-1}\)) and the positive bias has increased by 0.05 m s\(^{-1}\) with respect to the comparison in Figure 2. For all Sentinel-1 data subsets, a small improvement of the RMSE is seen whereas the bias changes by less than 0.1 m s\(^{-1}\). The offset between winds from Envisat
and Sentinel-1 after commissioning remains around 0.8 m s\(^{-1}\) so the quality of wind direction inputs cannot explain the offsets in wind speed biases between different SAR sensors. Because we find the lowest RMSE for SAR wind speeds retrieved with buoy wind directions, we use these SAR wind retrievals for the remaining part of Section 3.

The comparisons presented above all indicate that SAR winds retrieved systematically with CMOD5.n overestimate the observed wind speed at low to moderate wind speeds. The positive bias is larger for Envisat and Sentinel-1 during commissioning than for the later Sentinel-1 data series. At high wind speeds, SAR winds retrieved from Envisat and Sentinel-1 during commissioning still overestimate the observed wind speeds whereas wind speeds retrieved from the later Sentinel-1 data series match the reference wind speeds well. The wind speed biases, which we find for the different SAR sensors and periods, cannot be explained by inconsistencies in the ancillary data used to drive the SAR wind retrieval. We therefore turn to examine the effect of different SAR sensing properties on the wind speed accuracy.

3.2 Wind speed dependence on the radar polarization

To investigate the effect of radar polarization on the wind retrieval accuracy, we separate SAR scenes acquired in HH and VV. We can expect the best accuracy at VV polarization since CMOD5.n can be applied directly without a polarization ratio. The majority of SAR scenes in our data set have VV polarization.

Table 2 shows results of comparisons between SAR and buoy wind speeds at VV and HH polarization for Envisat and Sentinel-1. The RMSE is significantly lower for VV than HH for all data sets except Sentinel-1B. This is as expected due to the added uncertainty introduced by the polarization ratio we apply to SAR observations acquired with HH-polarization (cf.
A positive bias remains for the VV scenes and there is now an average offset of 0.69-0.73 m s\(^{-1}\) between Envisat and Sentinel-1 retrievals. Envisat scenes acquired in HH show a large RMSE and a positive bias for all wind speed bins. Sentinel-1 scenes acquired in HH are associated with a large uncertainty due to the low number of collocated wind speed samples from SAR and the buoys.

**Table 2. Summary of comparisons between SAR and buoy wind speeds divided according to sensor and polarization.**

<table>
<thead>
<tr>
<th>Polarization</th>
<th>Envisat</th>
<th>Sentinel-1A commissioning phase</th>
<th>Sentinel-1A</th>
<th>Sentinel-1B</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>VV</td>
<td>HH</td>
<td>VV</td>
</tr>
<tr>
<td></td>
<td>2777</td>
<td>322</td>
<td>541</td>
<td>61</td>
</tr>
<tr>
<td>Bias [m s(^{-1})]</td>
<td>0.86</td>
<td>1.43</td>
<td>1.02</td>
<td>0.82</td>
</tr>
<tr>
<td>RMSE [m s(^{-1})]</td>
<td>2.20</td>
<td>3.56</td>
<td>2.00</td>
<td>3.31</td>
</tr>
</tbody>
</table>

3.3 Wind speed dependence on the radar incidence angle

Based on the collocated SAR and buoy wind speed pairs analyzed above, we investigate the dependence on the SAR-buoy wind speed residuals on the radar incidence angle. Visual inspection of the SAR derived wind fields indicate that wind speeds can vary across the radar swath even though the radar incidence angle is taken into account during the SAR wind retrieval. Higher wind speeds typically occur at high incidence angles.
Figure 3 shows the SAR-buoy wind speed residuals as a function of the radar incidence angle. For Envisat, the average wind speed residuals are lower than 1 m s\(^{-1}\) for incidence angles within the range 20-35°. Below and above this interval, we see a change of the wind speed residuals as a function of incidence angle. The residuals are always positive indicating higher SAR wind speeds compared to the buoy wind speeds. The standard deviation, represented by the error bars, is very high for incidence angles lower than 20°. At all other incidence angles, the standard deviation is +/- 2 m s\(^{-1}\) or less.

Most Sentinel-1 samples are obtained within the incidence angle range of 30-45° but a few data points lie within the range of 20-30°. During the commissioning phase of Sentinel-1A, we see large fluctuations of the wind speed residuals and error bars of up to +/- 3 m s\(^{-1}\). Average wind speed residuals for the later Sentinel-1A acquisitions and for Sentinel-1B are always within the range +/- 1 m s\(^{-1}\) and the standard deviation remains within +/- 2 m s\(^{-1}\). A trend of slightly increasing wind speed residuals with increasing incidence angles is seen in Figure 3 c) and d).

Our analyses so far have indicated a consistent difference between wind speed retrievals from Envisat vs. Sentinel-1 A/B, which persists regardless of the wind direction input and the SAR polarization and increases with the SAR incidence angle. To investigate the incidence angle dependence further, we extend the analyses to the Normalized Radar Cross Section (NRCS) input to the SAR wind retrievals.
Figure 3. Residuals between SAR and buoy wind speeds as a function of radar incidence angle for (a) Envisat; (b) Sentinel-1A commissioning phase; (c) Sentinel-1A; and (d) Sentinel-1B.

3.4 NRCS dependence on the radar incidence angle

In the following, we use buoy wind speeds and directions to retrieve the NRCS for different radar incidence angles. To achieve this, we apply CMOD5.n in forward mode i.e. we use the buoy wind speed and direction and the radar incidence angle as input and retrieve the NRCS. We then compare the observed and retrieved NRCS.

Comparisons of observed and retrieved NRCS from buoy winds are shown in Figure 4. For Envisat, the residual of NRCS [dB] is very small at low incidence angles and it increases gradually for incidence angles larger than 20°. The relationship between the incidence angle and the NRCS residuals in dB space is almost linear. For the Sentinel-1A commissioning phase, a linear relationship between NRCS residuals and the incidence angle is seen across
the interval 32-41° and there are very few data points at lower incidence angles. For Sentinel-1 A/B, the incidence angle range is smaller and the observed NRCS is higher than for Envisat. This leads to smaller residuals with respect to the retrieved NRCS and again, we see a linear increase of NRCS with the incidence angle. The results in Figure 4 suggest that changes of NRCS residuals with the radar incidence angle is the source of the wind speed biases reported above. In the following, we present a method for correction of the sensor-specific incidence angle dependence.

**Figure 4.** Residuals between measured and retrieved NRCS using buoy wind speeds and directions together with radar incidence angles as input to the simulation for (a) Envisat; (b) Sentinel-1A commissioning phase; (c) Sentinel-1A; and (d) Sentinel-1B.
4. SAR inter-calibration

Inspired by inter-calibration of scatterometers in Elyouncha and Neyt (2013), where sensors are inter-calibrated using CMOD5.N in forward mode using wind speeds and directions from global circulation models, we calculate sensor-specific corrections of NRCS. These corrections are applied to the NRCS observed by different SAR sensors in order to achieve an inter-calibrated SAR data series.

The starting point for the inter-calibration is the set of SAR wind fields obtained within the domain shown in Figure 1 with a distance of at least 20 km from the shore. In addition to the 10-m wind speed, each data file contains the observed NRCS, radar incidence angle, and look direction as well as wind speeds and directions from a global circulation model (cf. Section 2.1). Since model wind speeds and directions are available for all SAR acquisition times and all locations, it is convenient to use these for the inter-calibration analysis to achieve the largest possible number of data points for the correction of NRCS. All the listed data layers are resampled to 10 km grid cells to make the SAR observations more comparable to the resolution of the model data and to reduce our computational effort. Resolution cells with wind speeds from either model or SAR-derived winds below 2 m s\(^{-1}\) and above 20 m s\(^{-1}\) are filtered out.

NRCS is retrieved from the model wind speed and direction and the radar viewing geometry in a similar fashion as in Section 3.4. Residuals with respect to the observed NRCS (in dB space) are then calculated within incidence angle bins of 1° and a linear fit is made based on the median values. We split our data set according to sensor, polarization, and scan modes. Additionally, we take into account that the calibration of a sensor can change over time by calculating NRCS-corrections on a monthly basis. For each month, data from the previous full
year is used. For the first 12 months a given sensor is in operation, model data covering the
same 12 months are used for correction.

Figure 5 shows examples of the fitted linear functions for one year of data from Envisat, Sentinel-1A commissioning phase, Sentinel-1A, and Sentinel-1B. A clear offset is seen for Envisat, which increases with the incidence angle. The NRCS residuals are less pronounced for Sentinel-1A/B.

Figure 5. Examples showing linear fits to the NRCS residual per incidence angle based on one year of data from (a) Envisat (2008-03 to 2009-03); (b) Sentinel-1A commissioning phase (2014-11 to 2015-11); (c) Sentinel-1A (2017-04 to 2018-04); and (d) Sentinel-1B (2017-04 to 2018-04).
Subtracting the linear fits from the NRCS observations made by Envisat and Sentinel-1 corrects the bias and the slope of NRCS in dB space:

\[ \sigma_{IC}^0(\theta) = \sigma^0(\theta) - \text{fit}(\text{year}, \theta), \]  

(2)

where \( \sigma^0 \) [dB] is the NRCS and \( \theta \) [°] is the radar incidence angle. The subscript ‘IC’ denotes that NRCS is now inter-calibrated between the sensors. Figure 6 illustrates the entire processing chain of the inter-calibration method applied here.

---

**Figure 6. Flow chart showing the processing steps of SAR inter-calibration.**

---

5. Comparisons of SAR and buoy observations after inter-calibration

The SAR inter-calibration procedure presented above relies solely on global circulation model wind speeds and directions. We can therefore return to the ocean buoy observations of wind
speed and use these as an independent reference data set. In the following, we compare the inter-calibrated NRCS and SAR wind speed retrievals to the buoy observations.

Figure 7 shows residuals between measured and retrieved NRCS as a function of the radar incidence angle. The plots are comparable to those in Figure 4; the only difference being that the NRCS measured from SAR is now inter-calibrated. As a result, residuals of NRCS are very close to zero for the entire span of incidence angles. It is remarkable how the large residuals that we found initially for Sentinel-1 during the commissioning phase are now reduced to a level similar to that of the later Sentinel-1 data series.

**Figure 7.** Residuals between measured and retrieved NRCS after inter-calibration of NRCS for (a) Envisat; (b) Sentinel-1A commissioning phase; (c) Sentinel-1A; and (d) Sentinel-1B.
5.1 Wind speed retrieval from corrected NRCS

Once we have inter-calibrated the NRCS, we apply CMOD5.n in inverse mode to retrieve wind speeds once again. Wind speed residuals with respect to the buoy observations are shown as a function of the radar incidence angle in Figure 8. The plots are comparable to plots in Figure 3 made before the SAR inter-calibration. The inter-calibrated SAR observations lead to much smaller wind speed residuals, especially for Envisat, and there is no longer a systematic increase of residuals for increasing incidence angles.

It is evident from Figure 7 and Figure 8 that our linear correction of the NRCS works best for radar incidence angles above 25°. At very low incidence angles, few or no Sentinel-1 A/B samples are available for fitting a linear function between the radar incidence angle and NRCS residuals. The linear relations found for Envisat at very low incidence angles differ from those found at higher incidence angles. To optimize the wind speed accuracy, we recommend eliminating any data obtained with incidence angles lower than 25°. The following results are calculated with this filter in place.
5.2 Effects of inter-calibration on the wind speed accuracy

In Table 2, we saw large differences in the accuracy of default wind speed retrievals from SAR observations acquired with VV and HH polarization. The majority of the HH-polarized SAR scenes in our data set were acquired by Envisat. Table 3 shows how the inter-calibration has removed any wind speed bias for retrievals based on Envisat observations with both VV and HH polarization. RMSE is also reduced for both VV and HH but its absolute value remains higher for scenes acquired with HH polarization.

Figure 8. Residuals between SAR and buoy wind speeds as a function of radar incidence angle after SAR inter-calibration for (a) Envisat; (b) Sentinel-1A commissioning phase; (c) Sentinel-1A; and (d) Sentinel-1B.
Table 3. Summary of comparisons between SAR and buoy wind speeds retrieved from Envisat observations with VV and HH polarization before and after inter-calibration.

<table>
<thead>
<tr>
<th>Polarization</th>
<th>VV</th>
<th>HH</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Default</td>
<td>Inter-calibrated</td>
</tr>
<tr>
<td>N</td>
<td>1978</td>
<td>1978</td>
</tr>
<tr>
<td>Bias [m s(^{-1})]</td>
<td>0.87</td>
<td>0.07</td>
</tr>
<tr>
<td>RMSE [m s(^{-1})]</td>
<td>1.80</td>
<td>1.44</td>
</tr>
</tbody>
</table>

Figure 9 shows scatter plots of the buoy and SAR wind speeds per sensor after inter-calibration of the NRCS. The number of samples given for each plot is a bit lower than in Figure 2, especially for Envisat. This is due to the filtering of low incidence angles, which was applied in connection with the inter-calibration. In contrast to the plots in Figure 2, we now see a consistency between plots for different SAR sensors. All four plots suggest that SAR winds overestimate buoy observations at low wind speeds up to 7-9 m s\(^{-1}\) and underestimate with respect to the buoy observations for higher wind speeds.
Figure 9. Comparisons of wind speeds retrieved from inter-calibrated SAR observations against buoy wind speeds for (a) Envisat; (b) Sentinel-1A commissioning phase; (c) Sentinel-1A; and (d) Sentinel-1B.

In Table 4, we present an overview of statistics per SAR sensor before and after the SAR inter-calibration and using the same set of samples. The inter-calibration consistently leads to a lower RMSE and biases that are close to zero for all sensors.
### Table 4. Summary of comparisons between SAR and buoy wind speeds before and after inter-calibration.

<table>
<thead>
<tr>
<th>Processing choice</th>
<th>Envisat</th>
<th>Sentinel-1A commissioning phase</th>
<th>Sentinel-1A</th>
<th>Sentinel-1B</th>
</tr>
</thead>
<tbody>
<tr>
<td>N</td>
<td>2194</td>
<td>2194</td>
<td>551</td>
<td>1659</td>
</tr>
<tr>
<td>Bias [m s(^{-1})]</td>
<td>0.92</td>
<td>0.07</td>
<td>0.92</td>
<td>-0.20</td>
</tr>
<tr>
<td>RMSE [m s(^{-1})]</td>
<td>1.92</td>
<td>1.49</td>
<td>1.93</td>
<td>1.55</td>
</tr>
</tbody>
</table>

The effect of SAR inter-calibration on wind speed retrievals over time is illustrated in Figure 10. The plot shows how there is a drift of the SAR wind speed accuracy with respect to reference measurements at the buoy stations during Envisat’s lifetime. Our correction of NRCS leads to a significant reduction of wind speed residuals during the entire Envisat era. For Sentinel-1A/B, we see large wind speed residuals for the first two years of operation, which include the commissioning phase of the sensors. The SAR inter-calibration efficiently compensates for wind speed biases so the residuals for Sentinel-1A/B are less than +/-0.2 m s\(^{-1}\) at any given time. From the beginning of 2016, the residuals between SAR and reference wind speeds are small and the need for NRCS correction is less pronounced.
Figure 10. Residuals of the SAR mean wind speed with respect to buoy observations over time. The grey curve is based on default SAR wind retrievals and the black curve is based on wind retrievals from inter-calibrated SAR observations.

Our results indicate that we have successfully removed biases on wind retrievals from the different SAR sensors. The bias removal is crucial for merging of the wind speeds retrieved from Envisat and Sentinel-1A/B to a single time series, which is desired for e.g. wind energy resource assessment. In the following, we will examine the effect of inter-calibration on the wind resource we can estimate for each of the buoy locations.

6. Wind resource assessment

The principle of satellite based wind resource mapping is similar to that of wind resource assessment from time series observations e.g. with a meteorological mast (Troen and
Petersen, 1989) or from outputs of numerical models (Hahmann et al., 2015). For a given grid cell, a time series of SAR wind samples can be constructed and analyzed statistically. A Weibull function is fitted to the frequency distribution of wind speed bins. The function is defined by a scale parameter, \( A \) and a shape parameter, \( k \). From these, the wind power density, \( E \) (W m\(^{-2} \)) is calculated:

\[
E = \frac{1}{2} \rho A^3 \Gamma \left(1 + \frac{3}{k}\right),
\]

where \( \rho \) is the air density (here set to 1.23 kg m\(^{-3} \)). Repeating this analysis for each point in a geographical grid will lead to wind resource maps (Badger et al., 2010; Doubrawa et al., 2015; Hasager et al., 2015).

In order to examine the effect of SAR inter-calibration on wind resource estimates, we calculate the wind power density for each buoy location. The wind power densities are listed in together with the residuals between SAR and buoy wind resources before and after inter-calibration of the SAR data sets. For ten of the 12 buoy locations, we find that the wind power density estimated from SAR after inter-calibration shows a lower bias with respect to the buoy observations. The average numerical deviation from the buoy observations is 20% before and 8% after SAR inter-calibration.
Table 5. Wind power densities (W m⁻²) for the twelve investigated buoy locations.

<table>
<thead>
<tr>
<th>Station</th>
<th>N</th>
<th>E_buoy</th>
<th>E_SAR</th>
<th>E_SAR_IC</th>
<th>E_SAR - E_buoy</th>
<th>E_SAR_IC - E_buoy</th>
</tr>
</thead>
<tbody>
<tr>
<td>BRITTANY</td>
<td>735</td>
<td>559</td>
<td>632</td>
<td>545</td>
<td>73</td>
<td>-14</td>
</tr>
<tr>
<td>62091</td>
<td>644</td>
<td>506</td>
<td>582</td>
<td>486</td>
<td>76</td>
<td>-20</td>
</tr>
<tr>
<td>GASCOIGNE</td>
<td>557</td>
<td>450</td>
<td>466</td>
<td>399</td>
<td>16</td>
<td>-51</td>
</tr>
<tr>
<td>K7</td>
<td>496</td>
<td>825</td>
<td>948</td>
<td>784</td>
<td>123</td>
<td>-41</td>
</tr>
<tr>
<td>TWEms</td>
<td>475</td>
<td>515</td>
<td>595</td>
<td>501</td>
<td>80</td>
<td>-14</td>
</tr>
<tr>
<td>62093</td>
<td>456</td>
<td>638</td>
<td>839</td>
<td>712</td>
<td>201</td>
<td>74</td>
</tr>
<tr>
<td>62094</td>
<td>449</td>
<td>500</td>
<td>611</td>
<td>489</td>
<td>111</td>
<td>-11</td>
</tr>
<tr>
<td>DtBucht</td>
<td>441</td>
<td>461</td>
<td>565</td>
<td>442</td>
<td>104</td>
<td>-19</td>
</tr>
<tr>
<td>NsbII</td>
<td>383</td>
<td>681</td>
<td>598</td>
<td>523</td>
<td>-83</td>
<td>-158</td>
</tr>
<tr>
<td>62092</td>
<td>276</td>
<td>514</td>
<td>719</td>
<td>563</td>
<td>205</td>
<td>49</td>
</tr>
<tr>
<td>K1</td>
<td>260</td>
<td>778</td>
<td>895</td>
<td>727</td>
<td>117</td>
<td>-51</td>
</tr>
<tr>
<td>K5</td>
<td>222</td>
<td>819</td>
<td>1013</td>
<td>885</td>
<td>194</td>
<td>66</td>
</tr>
<tr>
<td>K2</td>
<td>109</td>
<td>770</td>
<td>994</td>
<td>872</td>
<td>224</td>
<td>102</td>
</tr>
</tbody>
</table>

It is not clear why the inter-calibration leads to higher residuals at the two sites Gascoigne and NsbII. One explanation could be that the fitting of a Weibull function introduces some uncertainty to the wind resource estimation. In fact, when we calculate a simple mean value of the wind speed observations, the two stations show better agreement with the reference data after inter-calibration. Other possible reasons for the deviation at the two stations could be issues with the buoy data quality e.g. inaccurate positioning, instrument faults, or biases caused by the vertical extrapolation of wind speed observations.
Figure 11. Weibull distributions for the two sites Brittany (a-b) and 62091 (c-d). The Weibull distributions are shown before and after SAR inter-calibration.

Figure 11 shows examples of the Weibull distribution for one site exposed to open sea conditions (Brittany) and another site in the enclosed Irish Sea (62091). The two sites have the highest number of SAR samples of the sites investigated. The prevailing wind direction for Brittany is from the south-west. The Weibull fit based on inter-calibrated SAR observations fits almost perfectly with that of the buoy observations. This is reflected in the Weibull-$k$ parameter, which changes from 2.12 to 2.17 after inter-calibration. The Weibull-$A$
parameter is also much closer to the buoy observations after inter-calibration and the absolute residual of the wind power density improves from 73 to 14 W m\(^{-2}\).

At the buoy station 62091, prevailing winds are from more southerly directions due to channeling effects within the Irish Sea. The difference between Weibull curves before and after inter-calibration of the SAR data is less pronounced than for Brittany. In fact, the values of Weibull \(k\) are identical to the buoy observations before inter-calibration whereas a difference of 0.08 is found after inter-calibration. As for Brittany, we find that Weibull \(A\) and the wind power density is reduced significantly after the SAR inter-calibration.

Table 6. Summary of the bias, RMSE, and MAE of wind resource assessments averaged for the 12 buoy stations investigated. The mean wind speed (\(U\)), wind power density (\(E\)), Weibull scale (\(A\)), and shape (\(k\)) parameters are calculated before and after the inter-calibration of SAR observations.

<table>
<thead>
<tr>
<th></th>
<th>(U) [m s(^{-1})]</th>
<th>(E) [W m(^{-2})]</th>
<th>(A) [m s(^{-1})]</th>
<th>(k) [-]</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Default</td>
<td>Inter-calibrated</td>
<td>Default</td>
<td>Inter-calibrated</td>
</tr>
<tr>
<td>Bias</td>
<td>0.60</td>
<td>0.05</td>
<td>111</td>
<td>-7</td>
</tr>
<tr>
<td>RMSE</td>
<td>0.67</td>
<td>0.27</td>
<td>138</td>
<td>65</td>
</tr>
<tr>
<td>MAE</td>
<td>0.61</td>
<td>0.21</td>
<td>124</td>
<td>52</td>
</tr>
</tbody>
</table>

The bias, RMSE, and Mean Absolute Error (MAE) averaged for all 12 buoy stations are summarized in Table 6. The bias on \(U\) is reduced to almost zero and this reduces the bias on both \(E\) and Weibull-\(A\) significantly. All three biases change from positive to negative values.
after the SAR inter-calibration and this leads to more conservative estimates of the wind
resource. The bias on Weibull-\(k\) remains the same. The RMSE is also reduced for \(U, E,\) and
Weibull-\(A\) indicating a lower uncertainty of wind resource estimates after the SAR inter-
calibration.

7. Discussion

Our initial processing of wind speed maps from Envisat and Sentinel-1A/B observations lead
to a positive bias for all the SAR sensors investigated but with a large offset between Envisat
and Sentinel-1A/B. This is critical if a long time series based on all available SAR
observations is desired e.g. for wind resource assessment. The RMSE found in our initial
comparisons with buoy observations of wind speed are similar to values found in previous
studies based on Envisat (Chang et al., 2015; Doubrawa et al., 2015; Hasager et al., 2015a;
2015b; 2011; Takeyama et al., 2013a; 2013b) and Sentinel-1A/B (Ahsbahs et al., 2018; Lu et
al., 2018; Monaldo et al., 2016). Our analyses confirm that observations from the two
Sentinel-1 sensors A and B lead to wind speeds having almost the same level of accuracy with
respect to reference data sets if the commissioning phase of the Sentinel-1A data series is
neglected.

Our analyses show for the first time how observations from different SAR sensors can be
inter-calibrated in the same fashion as scatterometer observations are inter-calibrated in
connection with CDR development (cf. Section 1). So far, efforts to inter-calibrate SAR
observations from different sensors have been limited since relatively few users of the
observations see a need for long-term climatological variables. Efforts have instead been
dedicated to determining the most suitable GMF for SAR wind retrieval in different areas of
the world (Christiansen et al., 2006; Hasager et al., 2015; Takeyama et al., 2013b). Our results
indicate that a single GMF cannot retrieve wind speeds from multiple sensors accurately as long as NRCS residuals vary according to sensor type, scan mode, incidence angle, and over the sensor lifetime. It is thus necessary to inter-calibrate the NRCS before wind retrieval processing unless a new GMF is developed specifically for the SAR sensors in question so that inter-calibration is indirectly performed through tuning of the GMF (Lu et al., 2018).

The inter-calibration method presented here leads to a significant reduction of the offset between wind speed retrievals from Envisat and Sentinel-1A/B observations. After inter-calibration, the average wind speed bias does not exceed +/-0.20 m s\(^{-1}\) for any sensor investigated here and the RMSE on wind speeds is less than 1.55 m s\(^{-1}\) with respect to ocean buoy observations. For Sentinel-1A/B, we achieve almost zero wind speed bias and a RMSE as low as 1.24 m s\(^{-1}\). The difference between wind resource estimates from SAR and the buoy wind speeds is reduced as a result of inter-calibration for ten of the 12 sites investigated. The inter-calibration removes positive biases from the SAR observations and this leads to lower and more conservative estimates of the wind power density. From an industry perspective, it is important to operate with conservative rather than over-optimistic resource estimates to ensure that potential new wind farms can deliver on feasibility as expected.

This work relies on several assumptions, which may be investigated further in future research. Wind speed retrievals using CMOD5.n result in the ENW, which is offset from the real wind speed (Kara et al., 2008; Portabella and Stoffelen, 2009). Over the seas of Northern Europe, this offset is found to be smaller than 0.1 m s\(^{-1}\) for the height 10 m and it increases for higher levels in the atmosphere (Badger et al., 2016). Our comparisons between SAR and model wind speeds and the calculation of NRCS corrections do not take the offset between ENW and real winds into account. We assume the offset to be constant over time from the Envisat
to the Sentinel-1A/B era and so, the impact will be constant for all the SAR data sets investigated. In reality, the atmospheric stability has a seasonal variation as it is temperature-driven. A seasonal inter-calibration analysis would be helpful for quantifying the effect of atmospheric stability.

In connection with the fitting of linear functions to calculate NRCS corrections, we also assume that the modelled wind speeds will on average converge to the true mean wind speed (both spatially and temporally); otherwise we are adjusting to an offset wind speed. Comparisons between model and in situ wind speeds (not shown here) indicate that the model simulations are indeed consistent with the real wind speeds in the long-term. Our linear fitting is performed for the wind speed interval 2-20 m s\(^{-1}\). A high uncertainty is anticipated for extremely low and high wind speeds due to lower sampling rates and a saturation problem of GMFs at high wind speeds. Work is ongoing in the satellite wind community to resolve extremely high wind speeds thanks to the availability of new cross-polarized SAR sensors (Mouche et al., 2017; Zadelhoff et al., 2014). Further developments of our inter-calibration method might take high wind speeds better into account.

The spatial and temporal collocation of data sets in our analyses add uncertainties to our findings because: \(i\) model simulations and buoy observations are available every hour and the offset in time from the SAR observations may thus be up to 30 minutes; \(ii\) the exact geo-location of ocean buoys can be difficult to determine from the metadata provided with the wind speed data; and \(iii\) the measurement height for the buoy winds may not be accurate and interpolation to the height of 10 m adds additional uncertainty to wind speed estimates. In order to examine the robustness of our inter-calibration method, it would be valuable to test it for other independent sites where high-quality wind observations are available. The ideal test...
site would provide offshore wind measurements at the height 10 m together with air-sea
temperature differences suitable for atmospheric stability correction.

The successful inter-calibration of SAR data from the European Space Agency presented here
could potentially be extended to cover other SAR sensors and scan modes. As an example,
long C-band SAR data series have been acquired by Radarsat-1/2, which is soon to be
continued with the Radarsat Constellation Mission. Sensors operating at X-band or L-band
represent other possible extensions of the data series investigated here. An added benefit of
using SAR observations from a variety of sensors in combination would be that diurnal wind
speed variability can be better resolved.

At present, the calibration of individual SAR sensors is the responsibility of different space
agencies and it is typically governed by different requirements. The method for inter-
calibration described here can be applied by any end user of SAR data and it is thus promising
for inter-calibration of multiple SAR data sets obtained in the past, present and future.
Potentially, an inter-calibrated long-term record of SAR wind speeds could be established and
offered through publicly available data portals. This would facilitate the best possible
accuracy on long-term average wind speeds offshore for many applications including wind
energy resource assessment.

8. Conclusion
We have presented a method for inter-calibration of SAR observations with the purpose of
constructing a long-term record of wind speed retrievals from SAR. Correction of the NRCS
prior to wind retrieval processing efficiently removes biases on wind speeds from Envisat and
Sentinel-1A/B observations. The correction varies according to the SAR sensor, scan mode,
radar incidence angle, and also over the sensor lifetime. The inter-calibration leads to a significant reduction of wind speed biases and uncertainties expressed through the RMSE. Wind resource estimates become more conservative as a result of the SAR inter-calibration. Our successful calculation of a long-term wind speed record form SAR observations is promising and has a potential for extension using other SAR sensors from the past, present and future. Ultimately, this could lead to establishment of a new derived product offering long-term SAR wind data for wind energy resource assessment and other applications.

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References


