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Photon-weighted barycentric correction and its importance for precise radial velocities

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ABSTRACT

When applying the barycentric correction to a precise radial velocity measurement, it is common practice to calculate its value only at the photon-weighted mid-point time of the observation instead of integrating over the entire exposure. However, since the barycentric correction does not change linearly with time, this leads to systematic errors in the derived radial velocities. The typical magnitude of this second-order effect is of order 10 cm s\(^{-1}\), but it depends on several parameters, e.g. the latitude of the observatory, the position of the target on the sky, and the exposure time. We show that there are realistic observing scenarios, where the errors can amount to more than 1 m s\(^{-1}\). We therefore recommend that instruments operating in this regime always record and store the exposure meter flux curve (or a similar measure) to be used as photon-weights for the barycentric correction. In existing data, if the flux curve is no longer available, we argue that second-order errors in the barycentric correction can be mitigated by adding a correction term assuming constant flux.

Key words: instrumentation: spectrographs – techniques: radial velocities.

1 INTRODUCTION

In order to search for and characterize potential Earth analogues outside our own Solar system, astronomers will need precise radial velocity (PRV) instruments that can deliver a yet unseen precision of a few cm s\(^{-1}\) over time-scales of several years (e.g. Fischer et al. 2016). Among the numerous prerequisites for being able to make such measurements is the ability to accurately transform the measured Doppler shift into a stationary reference frame with respect to the barycentre of our Solar system.

Wright & Eastman (2014) presented a detailed review of how to calculate and perform barycentric corrections to an accuracy better than 1 cm s\(^{-1}\). The authors provided an IDL implementation, ZBARYCORR, which has later been ported to PYTHON (Kanodia & Wright 2018). However, one important question that has not been fully addressed in literature is how to best apply the instantaneous barycentric correction to an exposure that is extended in time. During the integration time of an exposure, usually tens of minutes, there can be a significant change in the barycentric correction, mainly due to Earth’s rotation, and the recorded spectrum will get slightly smeared out on the detector, effectively broadening the stellar absorption lines. Time-variable changes in the flux that reaches the spectrograph, caused by clouds, variable seeing, poor telescope guiding, or other issues, will make this smearing non-uniform, shift the weight of the broadened lines, and introduce spurious Doppler shifts. This type of error, which we will refer to in this paper as the first-order effect, is usually corrected for by monitoring the photon flux through the spectrograph with an exposure meter. From these measurements, the photon-weighted mid-point time of the exposure can be determined, ensuring that the barycentric velocity is calculated at the mean time of arrival of the collected photons instead of the ‘geometric’ mid-point time of the exposure, equidistant from the shutter open and close times. However, this method neglects the fact that the barycentric correction does not change linearly. As we will show in the following, a more correct approach is to weight the corrected velocity with the exposure meter flux curve and in that way capture the higher order variation of the barycentric motion of the observatory. Neglecting what we will refer to as the second-order effect can lead to typical systematic errors of order 10 cm s\(^{-1}\) for exposure times of 30–60 min. In some quite realistic observing scenarios, it will cause errors greater than 1 m s\(^{-1}\).

The problem was first pointed out in Fischer et al. (2016), referring to an early draft version of this manuscript, and it was briefly mentioned again in Blackman et al. (2017), along with...
a description of the chromatic dependence of the barycentric correction. This paper aims to provide a more detailed and formal description of how to compute the barycentric correction for an extended exposure, estimate the error that arises when the second-order effect is neglected, and discuss some recommendations for future observations and existing data.

In Section 2, we describe how to calculate the barycentric correction for an extended exposure with the best possible accuracy, and in Section 3, we estimate the errors that result from using the geometric and photon-weighted mid-point times. In Section 4, we simulate the error using the full barycentric correction algorithm to confirm our analytic estimate and to understand how the error depends on the shape of the exposure meter flux curve. In Section 5, we discuss implications for exoplanet mass measurements, how to optimize observing strategies, and how to deal with and possibly improve existing data.

2 PHOTON-WEIGHTED BARYCENTRIC CORRECTION

Using the methods of Wright & Eastman (2014), we are easily able to calculate the barycentric correction \( z_B \) with a precision better than 1 cm s\(^{-1} \) for a photon arriving from a star to a telescope on Earth at a given point in time. As emphasized in that paper, \( z_B \) is a redshift, and it must be applied multiplicatively to the measurement, \( z_{\text{meas}} \), i.e.,

\[
1 + z = (1 + z_{\text{meas}})(1 + z_B),
\]

where \( z \) is the ‘true’ barycentric-motion-corrected redshift. Thus the corrected radial velocity is calculated as

\[
v = cz = c \left( 1 + \frac{v_{\text{meas}}}{c} \right) \left( 1 + \frac{v_B}{c} \right) - 1,
\]

where we express the barycentric correction as a velocity, \( v_B = c z_B \).

When taking an exposure of length \( \Delta t \) in a spectrograph, one would ideally calculate the instantaneous barycentric correction for each individual photon at the time it arrives to the telescope, then average all the calculated values for the collected photons in each pixel on the detector. If \( f(t) \) is proportional to the flux of photons in one pixel, and \( v(t) \) denotes the barycentric corrected velocity as a function of time, the average corrected velocity of the exposure in that pixel can be expressed as

\[
\langle v \rangle = \frac{\int_{t_0-\Delta t/2}^{t_0+\Delta t/2} v(t)f(t)\,dt}{\int_{t_0-\Delta t/2}^{t_0+\Delta t/2} f(t)\,dt},
\]

where \( t_0 \) is the geometric mid-point time of the exposure.

Depending on the type of detector, \( f(t) \) can be obtained in various ways. When infrared arrays are used with ‘up-the-ramp’ sampling, which means sampling the voltage of each pixel every few (~10) seconds throughout the exposure, it produces a direct measure of the time history of the photon arrivals. This is how instruments like the Habitable-Zone Planet Finder (Mahadevan et al. 2012) are operated. CCD detectors work differently, and it is not possible to directly monitor the flux received by the detector without reading out the image. Instead one can use the exposure meter flux as a proxy. Landoni et al. (2014) and Blackman et al. (2017) describe how to use a multichannel exposure meter to measure \( f(t) \) for a particular wavelength associated with a pixel or a section of the detector.

In this paper, we will refer to \( f(t) \) as the exposure meter flux curve, although our findings also apply to instruments with infrared arrays or other ways of monitoring flux variations. We will limit ourselves to a single wavelength channel (or a single pixel) and instead focus on quantifying the errors that can result from calculating the barycentric correction at a defined mid-point time rather than using equation (3). The difference between the geometric and photon-weighted mid-point times, and how the photon-weighted barycentric correction can differ from what is calculated at the mid-point times, is illustrated by an example in Fig. 1.

3 QUANTIFYING THE ERRORS

On short time-scales (hours), the change in the barycentric correction \( v_B \) is dominated by the diurnal rotation of the Earth. For a telescope at location (lat, lon) observing a star at position (\( \alpha, \delta \)), the projected velocity of the telescope towards the star is given by

\[
v_B(t) = \frac{2\pi R_{\oplus}}{24 \, \text{h}} \cos(\text{lat}) \cos(\delta) \sin(\psi(t)) + \text{constant},
\]

where \( \psi(t) \) is the local hour angle, expressed in radians, written here in terms of the local sidereal time (LST):

\[
\psi(t) = \frac{2\pi}{24 \, \text{h}} \text{LST}(t, \text{lon}) - \alpha.
\]

The hour angle, and thus \( v_B(t) \), is a sinusoidal variation with a period of 23.93 h and amplitude

\[
V_0 = \frac{2\pi R_{\oplus}}{24 \, \text{h}} \cos(\text{lat}) \cos(\delta),
\]

which can be up to 463 m s\(^{-1} \) (equatorial velocity of the Earth). The time derivative of \( \psi(t) \), which we will soon need, can be approximated as \( \tau v_0/(24 \, \text{h}) \). For now, we consider a star with \( v_{\text{meas}} = 0 \), allowing us to set \( v = v_B \).

The photon-weighted mid-point time of an exposure, \( t \), can be defined in the same way as how we defined the average corrected velocity in equation (3):

\[
(t) = \frac{\int_{t_0-\Delta t/2}^{t_0+\Delta t/2} t f(t)\,dt}{\int_{t_0-\Delta t/2}^{t_0+\Delta t/2} f(t)\,dt}.
\]

We can now expand \( v \) around \( t \) with a second-order Taylor polynomial:

\[
v(t) \approx v(t) - 2\pi V_0 \cos(\psi(t)) \frac{t - (t)}{24 \, \text{h}}^2 + 2\pi^2 V_0^2 \sin(\psi(t)) \frac{(t - (t))^2}{24 \, \text{h}}.
\]

If we define the first-order error as the difference between calculating the barycentric correction at the geometric and photon-weighted mid-point times, respectively, it can be approximated as

\[
v(t_0) - v_B(t) \approx -2.0 \, \text{m s}^{-1} \cos(\text{lat}) \cos(\delta) \cos(\psi(t)) \frac{t_0 - (t)}{1 \, \text{mm}}.
\]

A systematic error of up to 2 m s\(^{-1} \) for every minute of difference between the geometric and photon-weighted mid-point times is a quite severe error in PRV context. It becomes largest when observing stars on the meridian, which is where most observers usually try to observe their targets. The community is well-aware of this effect, and it has become a standard procedure at almost every PRV instrument to correct for this by recording the photon-weighted mid-point time for each exposure.

By inserting equation (7) into equation (3), we can estimate the second-order error, which we define as the difference between the
The second-order error is caused by the curvature of \( v \) around the centre of the exposure. In this particular case, there is a difference of 1 barycentric correction curve at the geometric mid-point time, emphasizing the curvature of the barycentric correction function. The right-hand panel shows an enlarged view around the centre of the exposure. In this particular case, there is a difference of 1.25 m \( s^{-1} \) between the photon-weighted barycentric correction (blue) and the barycentric correction at the photon-weighted mid-point time (red).

\[
\langle v(t) \rangle - \langle v \rangle \approx -2 \pi^2 H_0 \sin(\psi(\langle t \rangle)) \frac{(\langle t^2 \rangle - \langle t \rangle^2)}{(24h)^2}.
\]  

The second-order error is caused by the curvature of \( v_B \), as shown in Fig. 1. In principle, we are moving a smoothing filter over the instantaneous barycentric correction function, using the exposure meter flux curve as the smoothing kernel. This means that the point \( \langle t \rangle, \langle v \rangle \) will always land on the inside of the curvature, and the second-order error will thus always have the same sign as the diurnal component of \( v_B \) (positive east of the meridian and negative west of the meridian). We also note that the magnitude of the second-order error will usually have a dependence on \( (\Delta t)^2 \).

Whereas the first-order error reaches its maximum when the target passes over the meridian, the second-order error gets largest at hour angle \( \pm 6^\circ \) and declination zero. This is where the celestial equator intersects with the horizon, and it is thus not observable. A more useful number is the maximum error possible above a certain altitude on the sky, and we therefore transform equation (9) to horizontal (\( \text{alt,az} \)) coordinates. With a bit of spherical trigonometry,\(^1\) one can show that

\[
\cos(\delta) \sin(\psi) = - \cos(\text{alt}) \sin(\text{az}).
\]

If we consider a uniform exposure [i.e. \( f(t) = \text{constant} \)], we can evaluate the integrals contained in \( \langle t \rangle \) and \( \langle t^2 \rangle \):

\[
v(\langle t \rangle) - \langle v \rangle \approx -1.32 \text{ m} \text{s}^{-1} \cos(\text{lat}) \cos(\delta) \sin(\psi) \left( \frac{\Delta t}{1 \text{h}} \right)^2 \\
\approx 1.32 \text{ m} \text{s}^{-1} \cos(\text{lat}) \cos(\delta) \sin(\text{alt}) \sin(\text{az}) \left( \frac{\Delta t}{1 \text{h}} \right)^2.
\]

The error is largest at \( \text{az} = \pm 90^\circ \), i.e. when facing straight east or west. We adapt a lower limit on the altitude of \( 30^\circ \) (airmass = 2.0) based on the common practice we have seen at various PRV instruments. Since we would like to observe the entire exposure above the altitude limit, the actual limit for the ‘alt’ parameter in equation (10) depends on the latitude and exposure time. Therefore, in the following example for Mauna Kea (Hawaii), we set the altitude limit to \( 37^\circ \) for a 60-min exposure and \( 33.5^\circ \) for a 30-min exposure.

As shown in Fig. 2, the majority of current and planned PRV instruments are located at observatories near latitude \( \pm 30^\circ \), with Mauna Kea at latitude \( +20^\circ \) being the closest to equator. If we expose for 30 min at this latitude and employ worst-case altitude and azimuth angles, as described above, the second-order error becomes 0.25 m \( s^{-1} \); if we double the exposure time to 60 min, the error quadruples to 1.0 m \( s^{-1} \). In other words, using the photon-weighted mid-point time for the barycentric correction can lead to significant systematic errors even at the 1 m \( s^{-1} \) RV precision level.

For non-uniform flux curves, the result in equation (10) needs to be altered only by a scaling factor. As an example, it can

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be shown that a V-shaped flux curve, symmetrically dropping to zero at the geometric mid-point time, yields an effect of exactly 1.5 times the uniform flux result. In general, if photons are missing in the middle of the exposure (e.g. due to a cloud passing over the telescope), the second-order error increases. If photons are concentrated towards one end of the exposure, the error second-order error decreases.

We finally note that although we obtained these results for $v_{\text{meas}} = 0$ in equation (2), they can safely be generalized to any $v_{\text{meas}} \ll c$. The factor $(1 + v_{\text{meas}}/c)$ has a significant effect on the absolute value of the barycentric correction (Wright & Eastman 2014), but its influence on the size of the second-order error is negligible even at the 1 cm s$^{-1}$ precision level.

4 SIMULATED OBSERVATIONS

We have used the BARYCORRPY package (Kanodia & Wright 2018) to simulate, under various conditions, the systematic second-order error that would result from not photon-weighting the barycentric correction.

For each set of simulations, we let the same observation happen at the same time on multiple sky positions. We generate an exposure meter flux curve with a given shape, exposure time, and sampling cadence. Then, for a range of sky positions, we calculate the barycentric correction both as the photon-weighted average, $\langle v \rangle$, and at the photon-weighted mid-point time, $v(t)$. The integrals are carried out as simple Riemann sums, evaluated at the geometric mid-point of every exposure meter step. Finally, the second-order error is calculated as $v(t) - \langle v \rangle$.

In Figs 3–5, we use the location of Mauna Kea Observatory (19.8222° N, 155.4749° W, 4205 m) and select the time as 10:20 UTC (local midnight) on an arbitrarily chosen night (2017 September 09). For each curve, we fix the declination and vary the hour angle. We do this for various exposure times (Fig. 3), declinations (Fig. 4), and exposure meter flux curves (Fig. 5). The solid curves show the simulated errors, and the dotted curves in the background show the analytic estimates from equation (10).

In Figs 3 and 5, when the exposure starts at 30° altitude, the declination and the minimum hour angle are chosen such that the rising target is situated at $az = 90°$ at the geometric mid-point time – and vice versa for the maximum hour angle. In Fig. 4, we simulate various declinations, while still choosing the minimum and maximum hour angle such that the exposure starts and ends at 30° altitude. The exposure meter flux is uniform.

The uniform 60-min exposure at $\delta = +11.7°$ is present in all three plots, and the size of its error ranges between $\pm 1.00 \ \text{m s}^{-1}$ in agreement with the analytic estimate from Section 3. In Fig. 5, we simulate different shapes of the exposure meter flux curve, and we verify that the error increases by a factor of 1.5 when the photon flux drops and rises again during the exposure.

In Fig. 6, we provide contour maps of the sky for three observatories at different latitudes, showing for a 30-min uniform exposure
how the second-order error depends on the sky position. The 30-min curve in Fig. 3 corresponds to a horizontal cut through the central diagram in Fig. 6. The area defined by the dashed red line contains all the observations that both start and stop at an altitude higher than 30°. The asymmetric shape of the area gives a visual understanding why the maximum effect is not reached at declination zero.

All the simulations in Figs 3–6 are made with 1 Hz sampling cadence. When we generate the same plots with 0.1 Hz sampling, the results are practically identical. The way we interpret this is that our cadence is sufficiently high that the results are not affected by it. Cadence effects can be analysed in more detail by binning real exposure meter flux curves to different bin sizes. We expect the result of such an analysis to depend on the signal-to-noise level and other specifics of the particular instrument, and it is therefore beyond the scope of this manuscript.

5 DISCUSSION

In the preceding sections, we have demonstrated the dependence and magnitude of a second-order error in the barycentric correction when applied to longer exposures. In the following, we will discuss the possible implications for exoplanet mass measurements, and we will explore some options for mitigating errors when observing and/or analysing data, in cases where the exposure meter is broken or unavailable.

5.1 Implications for exoplanet mass measurements

As a new generation of PRV spectrographs are aiming at the 10 and 1 cm s$^{-1}$ regimes, hopes are that astronomers will soon be able to detect Earth-like planets around Sun-like stars. If we were to observe the gravitational tug that our own planet exerts on the Sun, the RV semi-amplitude would be as little as 9 cm s$^{-1}$. We have shown in this paper that the second-order error on the barycentric correction can easily reach and exceed this level. Next-generation PRV instruments must therefore record the exposure meter flux curve for each observation, allowing the observer or an automated pipeline to calculate the photon-weighted barycentric correction.
Figure 6. Second-order error, $v(\langle t \rangle) - \langle v \rangle$, simulated as function of sky position at three different observatories. We simulate a 30-min exposure with uniform flux, observed at local midnight. The colour indicates the size of the error as a function of the target position at the geometric mid-point time; the dashed red lines indicate the altitude limit that ensures the exposure is completed above 30°, while solid red lines are contours, drawn for every 0.05 m s$^{-1}$. The observatories are Happy Jack (EXPRES), Mauna Kea (e.g. HiRes, KPF, MAROON-X, and SPIROU), and La Silla (HARPS and CORALIE); for a more comprehensive list of instruments, see e.g. Plavchan et al. (2015), Fischer et al. (2016), and Wright & Robertson (2017).

time, the insufficiently corrected velocities could have an apparent ±25 cm s$^{-1}$ ‘curl’ with opposite signs in the beginning and end of the observing season.

A similar situation could happen when a target instead of once per night is visited twice per night. An observer may try to separate the observations in time as much as possible by observing in the beginning and end of the night at the highest feasible airmass. This could lead to systematic barycentric correction errors where the early and late observations each are offset by e.g. 25 cm s$^{-1}$ with opposite signs. Sometimes, this type of data is fitted with a nightly offset to account for trends due to stellar activity (Howard et al. 2013; Pepe et al. 2013). Any RV variation within a night would thus
be interpreted as Keplerian motion, and the barycentric error could propagate to the fitted semi-amplitude.

As long as we calculate and apply the barycentric correction with proper photon weights, the RV errors due to barycentric correction will be limited to less than 1 cm s\(^{-1}\), as in Wright & Eastman (2014), and the situations described above do not cause any particular problems with respect to barycentric correction. Otherwise, there is a risk that data from current-generation PRV instruments near 1 m s\(^{-1}\) precision could sometimes be affected by second-order errors, and we advise caution.

### 5.2 Mitigation strategies

In cases where no flux curve is supplied with an exposure, there are still ways to mitigate the consequent errors in the barycentric correction.

If the observer knows in advance that the exposure meter is broken or for some reason not available, it may be desirable to modify the observing strategy or even switch to other targets. We know from equations (8) and (9) that targets near the celestial equator are more strongly affected by both errors, so targets at high declination would be better suited for a night like that. We also know that the size of the first-order error can be reduced by shortening the exposure time or observing at larger local hour angle; the latter however comes at the price of higher airmass. In order to keep the flux as uniform as possible, observing through clouds without an exposure meter is not advisable.

Observers may also find themselves at an instrument where the exposure meter only delivers the photon-weighted mid-point time, \(\langle t \rangle\), and does not preserve the flux curve. In this case, the first-order effect is accounted for by calculating the barycentric correction at \(\langle t \rangle\), and our only concern is the second-order effect. Since it is proportional to \(\sin \psi\), it can be easily minimized by observing near to the meridian. Decreasing the exposure time or choosing bright targets with shorter exposure times will also help. Fig. 6 gives a visual idea about what areas of the local sky are within a given error margin at three different observatory sites.

When dealing with PRV data that supplies the photon-weighted mid-point time and yet no flux curve, the simplest assumption is that the flux was uniformly distributed in time.\(^2\) This allows us to add a second-order correction to the calculated barycentric correction, \(\psi(\langle t \rangle)\). To obtain \(\psi\), we can either subtract the result of equation (10) or get the difference from a simple numerical simulation as in Section 4. In fact, if we do not apply a second-order correction to \(\psi(\langle t \rangle)\), we implicitly assume that all photons arrived in the same instant at the photon-weighted mid-point time. We argue that the assumption of a uniform flux distribution is much more realistic. Fig. 5 shows how the second-order errors of three different flux scenarios are all closer to the uniform scenario than zero.

In Fig. 7, we calculate the second-order error using real exposure meter data from 1315 observations with EXPRES (see Blackman, Ong & Fischer 2019), combining all colour channels to one. The data was obtained during commissioning between March 2018 and February 2019. No exposures were longer than 20 minutes, and as a result the second-order errors are relatively small. We plot the real second-order errors against the errors estimated with equation (10) for a uniform flux curve. The plot shows that within 1 cm s\(^{-1}\), the uniform flux approximation is indeed a realistic assumption, and much more realistic than setting the error to zero. There is no indication that longer exposure times would change this picture.

In the rare case of dealing with data that completely lacks information about the temporal flux distribution, there is no way of knowing if the individual observations have large first-order barycentric errors. One can in principle use equation (8) to estimate the RV error bars, but it requires a qualified guess at the offset \(\langle t \rangle - t_0\). In our experience, a typical offset is of order 5 percent of the exposure time, with some larger, negative offsets from when the star was lost on the fibre before the end of the exposure. The actual distribution depends on the instrument and the local sky conditions.

### 6 CONCLUSIONS

This paper describes how to correctly apply a barycentric correction to an exposure using the exposure meter flux curve or an equivalent measure. Rather than following the common practice of computing a single value at the photon-weighted mid-point time, we argue why the correct approach is to apply the photon weights to the barycentric correction itself. The systematic difference between the two methods can be described as a second-order effect that leads to systematic RV errors of up to 0.25 m s\(^{-1}\) for a 30-min exposure and 1.0 m s\(^{-1}\) for a 60-min exposure. Certain observing scenarios, when the photon flux drops and rises again during an exposure, can amplify the error by a factor of \(\sim 1.5\).

Considering that next-generation PRV spectrographs are aiming for the 10 cm s\(^{-1}\) regime, and beyond, it will be absolutely necessary to account for this effect. Instruments of less precision (1 m s\(^{-1}\)) are likewise affected for some observations, depending on where on the sky and the exposure time. For instruments that already measure 2One may (rightfully) argue that a uniform flux distribution over the entire exposure time entails that \(\langle t \rangle = t_0\). For a more stringent approach, assume a step function set to zero in the beginning or the end of the exposure, such that the photon-weighted mid-point time \(\langle t \rangle\) is reproduced and the exposure time \(\Delta t\) is effectively shortened by \(2|\langle t \rangle - t_0|\).
the photon-weighted mid-point, it should be somewhat trivial to compute the photon-weighted barycentric correction in order to eliminate unnecessary systematic errors. We also show that it is possible to partially mitigate second-order errors even in archive data that was stored without the flux curve.

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\(^3\)http://astropy.org
\(^4\)http://matplotlib.org

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