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1 **Recovery of microalgal biomass and metabolites from homogenised, swirl flash**
2 **dried microalgae**

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8

9 **Abstract:** Production of intracellular metabolites from microalgae involves various processing steps.
10 Since algal drying and cell disruption are integral processes of these operations, effects of novel
11 swirl flash drying technique and cell cracking by high pressure liquid shear method were tested.
12 Variations in biomass composition (focusing on the fatty acid and pigment composition) of two
13 microalgal species, *Chlorella pyrenoidosa* and *Nannochloropsis salina*, were studied in order to
14 investigate effects of novel drying technique, including whether recovery of bioactive compounds is
15 more efficient on dried or wet biomass. Applying novel swirl flash drying technique showed no
16 significant adverse effect on the fatty acid composition, including heat sensitive eicosapentaenoic acid
17 (EPA), of tested microalgal species. Pigment and tocopherol composition of *C. pyrenoidosa* showed
18 tendency to degrade after applying both cell cracking and drying treatment. Considering these data
19 swirl flash drying technique has a potential as a new drying technique for microalgae biomass.

20 **Key words:** *Chlorella pyrenoidosa*, *Nannochloropsis salina*, algal drying, fatty acids, pigments

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27 **1. Introduction**

28 Growing interest in natural and healthy foods and food ingredients is forcing development of novel
29 products with functional ingredients. Microalgae have been recognized as a potential source for various
30 valuable ingredients with positive health effects for commercial applications. These microorganisms
31 are able to produce polyunsaturated fatty acids, pigments, vitamins, peptides and many other bioactive
32 compounds. Production of intracellular metabolites from microalgae involves upstream and
33 downstream processing steps. Upstream processing includes cultivation during which microalgal
34 biomass is being produced, while downstream processing includes harvesting and recovery of the
35 metabolites. After cultivation biomass needs to be harvested, up-concentrated and dried before further
36 processing. Dewatered algae slurry is being dried in order to facilitate transportation, decrease the risk
37 of unwanted deterioration and to extend the shelf life. However, drying of microalgae biomass is still
38 very challenging and requires an innovative answer. Mostly because drying exerts a major economic
39 constraint in the process and it may constitute up to 75% of the overall cost (Shelef et al. 1984; Show et
40 al. 2013). Common drying methods include either natural traditional method as solar drying or using
41 more advanced techniques such as freeze drying, spray drying, drum drying or fluidized bed drying
42 (Show et al., 2015). Solar drying is cost effective compared to other techniques, but is also a relatively
43 slow and unreliable method, which can result in altered nutritional value and safety of the final product.
44 On the other hand, controlled freeze drying keeps the product temperature low enough during the
45 process to avoid changes in the dried product appearance and characteristics. It is a gentle process in
46 and commonly the best choice in order to preserve the quality of the biomass. However, the
47 predominant deficiency of freeze drying is the high operating cost (Grima et al. 2003). Furthermore, if
48 the biomass is not undergoing a heat treatment before freeze drying, the presence of lipolytic enzymes
49 such as lipase will hydrolyse lipids and lead to formation of high levels of free fatty acids, which is
50 undesirable. Spray drying is the most commonly used technique in commercial production, but despite
51 the fact that it is a very efficient drying method, it may cause unwanted cell rupture and result in
52 microalgae biomass degradation (Show et al. 2015). Shortcoming of spray drying may be its high-
53 pressure atomization process, unless atomization is carried out without the use of nozzles which are
54 susceptible to blockage, high capital and energy demands as well as thermal decomposition of
55 bioactive compounds (Orset et al., 1991; Lin 1985)

56 The main consideration in the selection of the drying technology depends on the production scale and
57 the final purpose for which the microalgae biomass is intended. Important factors to consider are also
58 moisture content, quality requirements and maximum acceptable temperature for preventing thermal
59 damage to the biomass. In this study, a prototype novel swirl flash dryer system, which was designed
60 and constructed in one of our previous projects (Safafar 2017), was used for drying trials. In general,
61 flash drying is achieved by rapid removal of moisture by injecting wet microalgae slurry into a hot air
62 stream, which serves as a carrier for mass transfer of moisture from microalgae slurry to the gases
63 (Debrand 1974). In the novel process design, liquid phase is being removed very fast, which requires
64 less heat as a driving force so that the bioactive cell constituents are preserved. Swirl flash drying in
65 theory is a combination of fluidized and flash drying and this technology has never been used for the
66 drying of microalgae. In swirl flash dryer system, microalgae biomass is introduced to the drying
67 chamber, which is distributed by the scraping paddles (which are moving close to the drying chamber
68 walls) and fluidized in the stream of the spirally-flow stream of hot air. The energy consumption per
69 unit of the drying by swirl flash dryer was estimated to be 28% less than spray drying for the same
70 product (Safafar 2017). It uses less energy per kg of dry biomass, requires less investment and less area
71 for the drying unit comparing to spray drying under the same condition (Katie 2000; Pertick et al.
72 2013). Furthermore, this system could be operated in small scale (10-100 kg of the slurry), which may
73 be desired for the microalgae cultivation systems.

74 Understanding the possible effects of drying methods on microalgae biomass quality is very important
75 to improve the efficiency of algal high value ingredients production. Therefore, in this study effects of
76 two different drying methods (novel swirl flash drying and freeze drying) on the biomass composition
77 of two microalgal specie, *Chlorella pyrenoidosa* (also known as *Auxenochlorella pyrenoidosa*) and
78 *Nannochloropsis salina* (also known as *Microchloropsis salina*), were compared (focusing on the fatty
79 acid and pigment composition), including whether recovery of bioactive compounds is more efficient
80 on dried or wet biomass. In order to enhance extraction of bioactive compounds, microalgae cell walls
81 often needs to be cracked. Since high pressure liquid shear methods such as homogenization have
82 proven to be successful on microalgae cell disruption (Spiden et al. 2013), this technology has been
83 used in order to evaluate whether recovery of metabolites from dry microalgae biomass was more
84 efficient with or without this pre-treatment. During this mechanical process, liquid dispersion of a cell

85 biosuspension is forced by high pressure through a micrometric disruption chamber. As a result, cell
86 suspension is exposed to intense fluid-mechanical stresses, including shear, turbulence, elongation, and
87 cavitation that may cause the physical disruption of the cell wall and other cell constituents (Chisti and
88 Moo-Young, 1986).

89

90 **2. Materials and methods**

91 **2.1. Microalgae biomass**

92 Two microalgae, *Chlorella pyrenoidosa* (SAG 211-8k) and *Nannochloropsis salina* (SAG 40.85) were
93 selected for drying trials and to evaluate its effect on the biochemical composition. *C. pyrenoidosa* was
94 cultivated in industrial scale in Ecoduna (Bruck an der Leitha, Austria) and *N. salina* was cultivated in
95 microalgal pilot plant facilities in Kalundborg (Denmark) (Safafar et al., 2016). Harvesting was done at
96 early stationary phase by cross-flow microfiltration from LiqTech (SiC ceramic membrane, 0.1 μm)
97 and the biomass was up concentrated by centrifugation (6500 $\times g$ for *N. salina* and 3000 $\times g$ for *C.*
98 *pyrenoidosa*) prior to shipment to Technical University of Denmark (DTU). Final dry matter (DM) of
99 *C. pyrenoidosa* and *N. salina* after centrifugation was $12.5 \pm 0.1 \%$ and $17.0 \pm 2.0 \%$ (w/w),
100 respectively.

101 **2.2. Biomass pretreatment – cell rupture**

102 High-pressure homogenization (Rannie 110, SPX Flow Technology, Søborg, Denmark) was applied to
103 the microalgae samples of *C. pyrenoidosa* in order to investigate the effect of cell rupture on the
104 recovery of microalgal biomass and metabolites. Positive displacement piston pump was used to
105 accelerate the slurry flow through an orifice within an assembly of specially designed valves creating
106 high shear forces, which result in cell wall destruction. Around 1.5 L of cooled ($\approx +5 \text{ C}^\circ$) microalgal
107 slurry of *C. pyrenoidosa* (dry solid content 12.5% w/w) passed the nozzles at a pressure of 150 M Pa
108 and flow rate of 250 ml/min. The same sample was running two times through the homogenizer since
109 our preliminary experiments showed that there was almost no cell rupture detected after the first run.
110 After homogenization the degree of cell rupture was evaluated visually by optical microscopy and then
111 the samples were stored at $-20 \text{ }^\circ\text{C}$.

112 2.3. Drying experiments

113 Microalgal biomass samples of *N. salina* and *C. pyrenoidosa* were dried by freeze drying in a pilot
114 scale freeze dryer (Beta 1-8, Martin Christ GmbH, Osterode, Germany) and by swirl flash drying
115 prototype (**Fig. 1**). Freeze drying was carried out by placing the frozen samples (at -20 °C) under a
116 vacuum where heat energy is then added to the product causing the ice to sublime. The drying
117 conditions for the swirl flash drying are shown in **Table 1**. Dry biomass was stored at -20 °C prior to
118 chemical analysis.

119 2.4. Analytical analysis

120 *Protein content*

121 The protein content in the samples was estimated based on total nitrogen content, which was
122 determined using the Dumas method (Elementar, Mt. Laurel, NJ, USA) for the quantitative
123 measurements of protein in different substances. Approximately 1 g of the dried microalgal biomass
124 was used for analyses. The following steps were automated including sample combustion in a chamber
125 at a high temperature (900 °C) in the presence of oxygen. Estimation of the total protein content was
126 done using total nitrogen determination and conversion factor 4.44 (Lopez et al. 2010). Conversion
127 factor value of 4.44 is recommended to be used to estimate the protein content if total nitrogen is
128 measured. It was determined based on testing several microalgae and cyanobacteria undergoing rapid
129 growth. Protein content is reported as % of dry biomass. Analyses were carried out in duplicate.

130

131 *Total lipid content*

132 Lipid extraction was done as described by Bligh & Dyer (1959), but with a reduced amount of solvent
133 (30 mL of each). Approximately 1 g of the dried microalgal biomass was weighted and placed into
134 extraction glass. Before extraction samples were sonicated in sonication bath (Buch & Holm A/S,
135 Herlev, Denmark) for 10 min at 10-15 °C in order to enhance cell rupture. Extraction was carried out
136 by subsequent addition of methanol, chloroform and water while stirring. In order to separate
137 methanol/water phase from chloroform/oil phase, samples were centrifuged at 1400 x g for 10 min.
138 Bligh & Dyer extracts were used for analyses of oil content, fatty acids and tocopherols. Determination
139 of total lipid content was done by weighing 15 g of extract in beakers and keeping it overnight in a
140 fume hood in order to evaporate chloroform. Lipid content was calculated using the equation below (1).

141
$$\% \text{ lipid} = \frac{g(\text{lipid}) \times \text{specified mass on chloroform phase (41 g)} \times 100}{(g(\text{extract}) - g(\text{lipid})) \times g(\text{sample})}$$
 [1]

142

143 *Fatty acids*

144 Fatty acid profile was determined based on the American Oil Chemist's Society (AOCS) official
145 method Ce 1i-07 (Firestone 2009) with some modifications. Approximately 5 g of Bligh & Dyer
146 extract was weighed in methylation glass tube and evaporated under the stream of nitrogen until
147 dryness. A mixture containing 100 µL of internal standard solution (C23:0), 200 µL of heptane with
148 butylated hydroxytoluene (BHT) and 100 µL of toluene was added to the dry extract. Samples were
149 methylated in a microwave oven (Microwave 3000 SOLV, Anton Paar, Ashland, VA, USA) for 10 min
150 at 100 °C and power of 500 watts. After methylation, heptane with BHT (0.7 mL) and saturated salt
151 water (1 mL) were added. The upper phase (heptane) was transferred into GC vials and analyzed by gas
152 chromatography equipped with flame ionization detector (GC-FID). (HP-5890 A, Agilent
153 Technologies, Santa Clara, CA, USA). Fatty acid methyl esters were separated by the GC column
154 Agilent DB wax 127-7012 (10 µm x 100 µm x 0.1 µm) (Agilent technologies, Santa Clara, CA, USA).
155 Standard mix of fatty acids methyl esters (Sigma, St. Louis, MO, USA) was used for fatty acid
156 identification. Fatty acids were quantified as area % of total fatty acids. Analyses were carried out in
157 duplicate.

158

159 *Tocopherols*

160 Approximately 1 g of Bligh & Dyer extract was weighed in glass tube and evaporated to dryness in
161 darkness and under a stream of nitrogen. Dry extract was re-dissolved in a mixture of isopropanol:
162 heptane (0.5:99.5, v/v). The solution was filtered by 0.22 µm PTFE syringe filter and 20 µL of filtrate
163 was injected to HPLC. Analysis was done based on the AOCS official method as (Firestone, 2009)
164 using an Agilent 1100 Liquid Chromatograph equipped with a fluorescence detector, with the
165 excitation wavelength set at 290 nm and emission wavelength at 330. The separation was carried out in
166 isocratic mode by Spherisorb column (150 mm x 46 mm with 3 µm particle size) at room temperature
167 using a mixture of isopropanol: n- heptane (0.5:99.5, v/v) as mobile phase. Elution flow rate was 1 ml
168 min⁻¹. Analyses were carried out in duplicate.

169

170 *Pigments*

171 Pigment analysis was done according to the method described by Safafar et al. (2015). Approximately
172 0.05 g of the dried algal biomass was weighted in centrifugation tubes. Extraction was carried out by
173 methanol containing BHT in a sonication bath (Buch & Holm A/S, Herlev, Denmark) for 15 min at
174 5 ± 2 °C. Pigment analysis was performed by HPLC using Agilent 1100 Liquid Chromatograph with
175 diode array detector (DAD). Separation was carried out on a Zorbax Eclipse C8 column 150 mm x 46
176 mm x 3.5 μ m (Phenomenex Inc. CA, USA) at 60°C. The mobile phase was a mixture of 70% methanol
177 + 30% of 0.028 M tertiary butyl ammonium acetate in water and methanol at a flow rate of 1.1 mL
178 min^{-1} with total acquisition time of 40 min. DHI pigment standard mix (DHI LAB Products, Horsholm,
179 Denmark) was used for identification of peaks. Detection of chlorophylls and carotenoids was done at
180 660 nm and 440 nm, respectively, and for internal standard (BHT) at 280 nm. Pigments are reported as
181 $\mu\text{g g}^{-1}$ dry biomass. Analyses were carried out in duplicate.

182

183 **2.5. Statistical analysis**

184 All compositional analyses were performed in two replicates. The results are given as the mean (\pm
185 standard deviation). Analysis of variance (two-way ANOVA) was used to evaluate the effect of
186 homogenization and drying technique on chemical composition of biomass. Data have met the
187 assumption of normality and homogeneity of variance. Tukey's post hoc test was used to detect
188 significant differences between groups where p values < 0.01 were considered significant. The
189 Statistica v. 13.2 software (USA) was used for all statistical analyses.

190

191 **3. Results**

192 **Effect of drying and high-pressure homogenization**

193 The effect of homogenization on the cell rupture of *C. pyrenoidosa* is shown in **Picture 1**. Detectable
194 cell rupture can be seen within the *C. pyrenoidosa* cells after homogenization. Optical micrographs are
195 indicating that there is a mixture of material associated with cellular fragments and extracellular
196 polymeric substances (EPS) (**Picture 1b**), extracted by the cell under stress conditions (Rossi et al.
197 2008). Results showed significantly higher lipid yield in dried and homogenized samples compared to
198 non-dried and non-homogenized biomass (**Table 2**). On the other hand, protein content did not show
199 any significant deviations between tested samples. The protein determination method that we used is

200 based on the complete combustion at a high temperature (900 °C) (section 2.4) so there is no extraction
201 step included that may be affected by differently treated biomass. Effect of drying by swirl flash dryer
202 on the fatty acid composition of *N. salina* is shown in **Table 3**. Results are showing that there was no
203 significant adverse effect on the heat-sensitive polyunsaturated fatty acids, including EPA. The fatty
204 acid profile of *C. pyrenoidosa* also showed no significant difference among applied treatments (**Table**
205 **4**). Evaluation of the pigment composition of *N. salina* confirmed that there was no significant adverse
206 effect due to the drying process (**Fig. 2**). There was no significant degradation in concentration of heat-
207 sensitive carotenoids in the swirl flash dried biomass compared to the intact, non-dried biomass.
208 Slightly lower concentration of violaxanthin was detected in the dried samples (3217 ± 248 compared
209 to $3882 \pm 21 \mu\text{g g}^{-1}$ DW), which may be the result of the heat-induced degradation. Ryckebosch et al.
210 (2011) found that freeze dried microalgae were more susceptible to lipolysis than spray-dried
211 microalgae, thus spray dried microalgae were more sensitive to pigment oxidation than freeze dried
212 microalgae, possibly due to breakdown of protecting carotenoids upon spray drying. Leach et al. (1998)
213 reported that spray drying affected β -carotene recoveries from *D. salina*, varying between 57% and
214 91%, depending on the drying temperature. Chlorophylls can convert at high temperature to the
215 undesirable degraded products (pheophytins) which function as a photoperoxidant resulting in
216 reduction of the storage time of the dried microalgae (Hosikian et al. 2010). Concentrations of
217 pheophytin and other chlorophyll degradation products were negligible in the present study, which
218 demonstrate that the drying treatment did not alter the chlorophylls structure. Compared to *N. salina*,
219 both freeze and flash drying had an adverse effect on pigment composition of *C. pyrenoidosa* (**Fig. 3**).
220 The highest concentration of total pigments was in non-dried, non-homogenized biomass (**Table 5**).
221 Chlorophylls showed significantly higher recovery in the intact biomass (non-dried, non-homogenized)
222 compared to dried and homogenized samples, which may indicate that both drying and high pressure
223 liquid shear methods reduce chlorophyll content in *C. pyrenoidosa*, specifically when both treatments
224 are subsequently applied. On the other hand, recovery of total carotenoids showed no significant
225 difference between dried and non-dried biomass. Carotenoids are thermo-sensitive and may decompose
226 during the drying process, or any other heating process (Takaichi 2011). However, the concentration of
227 carotenoids was found to be significantly lower in the non-dried biomass, which suggests that drying
228 (both freeze drying and swirl flash drying) may enhance carotenoids extractability. Also, there was no
229 significant reduction in carotenoid content after applying tested drying methods, for both homogenized

230 and non-homogenized samples. Since chlorophylls are not heat-sensitive as carotenoids, oxidation
231 seems to be the reason of low chlorophyll recovery after drying, which is in agreement with
232 Stramarkou et al. (2017) study.

233 Tocopherol content in freeze and swirl flash dried biomass is presented in **Fig. 4**. Tocopherol is a lipid-
234 soluble antioxidant that is light and temperature sensitive (Carballo-Cardenas et al. 2003). Results
235 showed that there was significantly higher tocopherol content in dried, non-homogenized biomass
236 compared to dried-homogenized biomass (both swirl flash and freeze drying), which indicates that
237 cracking the cells before drying may affect degradation of tocopherols. However, swirl flash dried
238 biomass that was not exposed to the homogenization treatment prior to drying showed no significant
239 difference in tocopherol content compared to the freeze dried biomass. Tocopherol content had similar
240 trend as chlorophyll content in tested microalgal biomass, which confirms earlier given explanations.

241

242 **4. Discussion**

243 Homogenizers are using pumps to accelerate the liquid flow to a high velocity creating high shear
244 forces, which can destroy cell walls. Cell disruption is desirable in order to enhance recovery of
245 intracellular metabolites. Mendes-Pinto et al. (2001) reported that three times more astaxanthin from
246 *Haematococcus* was obtained after applying high-pressure homogenization, to disrupt its thick and
247 resistant cell wall, compared to the intact biomass. Intense fluid-mechanical stresses such as shear,
248 elongation, turbulence, and cavitation may cause the physical disruption of the cell wall and
249 membranes, as well as the macromolecules such as proteins. However, if high temperature is being
250 used in dehydration process it can enhance deterioration of these released value-added bioactive
251 compounds.

252

253 *Fatty acids*

254 It has been reported that drying process causes some structural changes in the cells and enables the
255 cellular components such as neutral lipids to be easily extracted (Show et al. 2015, Taher et al. 2014,
256 Ryckebosch 2013). Unchanged cell structure of non-dried biomass holds a resistance to solvent
257 diffusion through the cell membrane, which may lead to low extraction efficiencies of lipids (**Table 2**).
258 However, some previous studies reported identical lipid levels from a given sample both after drying
259 and without any drying (Chatsungnoen and Chisti, 2016). One of the explanations for the contradicting

260 findings could be that existing studies have tested different microalgae species and applied slightly
261 different extraction methods (repeated solvent extractions, different DM concentration in the wet
262 sample, different centrifugal forces used for up concentrating...). Our results indicate that the drying
263 step enhances lipid extractability in *Chlorella pyrenoidosa* but it doesn't necessarily exclude other
264 factors that may interact during the extraction procedure and which could have affected the results.

265 Algal drying and cell disruption are high-cost integral processes when it comes to bioactive compounds
266 recovery. As an example, for a product such as eicosapentaenoic acid (EPA, 20:5(n-3)), which is a
267 nutraceutical having therapeutic benefits in disease management, 60% of the costs arise from the
268 recovery processes (Grima et al. 2003). Results of this study showed no significant change in fatty acid
269 composition of tested microalgae (**Table 3,4**). One of the justifications is a relatively rapid drying
270 process that enables short retention of the biomass at high temperatures (3.3 seconds drying time for *N.*
271 *salina* slurry with 17.0±2.0% of dry matter) that contributes to the bioactive content preservation. For
272 comparison, non-continuous drying process of the thick slurry from *Scenedesmus* sp. took 72 h by sun
273 drying, 12 h by oven drying at 60°C and 24 h by freeze drying (Guldhe et al. 2014). In microalgae,
274 membrane lipids commonly include polyunsaturated fatty acids (PUFAs), such as EPA and DHA
275 (docosahexaenoic acid). Since the results are showing that there was no significant difference in
276 relative composition of the EPA and other fatty acids of *N. salina*, it can be concluded that there was no
277 oxidation caused by the swirl flash drying treatment. Microalgal lipid components, especially PUFAs,
278 have shown swift response to several parameters like temperature, pH, light and nutrient depletion
279 (Borges et al. 2011; Gao et al. 2013). Guldhe et al. (2014) compared different drying methods and their
280 effect on the fatty acid composition in microalgae. His study showed significant differences in
281 percentage of saturated and unsaturated fatty acids among all applied methods. He reported that oven
282 dried and freeze dried biomass had higher percentage of saturated fatty acid than sun dried biomass
283 while, surprisingly, freeze drying showed lowest percentage of PUFAs compared to the other two
284 methods. Spray drying, as an efficient drying method commonly used for microalgae drying, can
285 rupture the algal cells due to high-pressure in the atomizer nozzles, which means that quality of
286 pigments can be destroyed. Ryckebosch et al. (2011) found that freeze dried microalgae were more
287 susceptible to lipolysis than spray-dried microalgae, thus spray dried microalgae were more sensitive to
288 pigment oxidation than freeze dried microalgae, possibly due to breakdown of protecting carotenoids

289 upon spray drying. Leach et al. (1998) reported that spray drying affected β -carotenoid recoveries from
290 *D. salina*, varying between 57% and 91%, depending on the drying temperature.

291 *Pigments*

292 Both freeze and flash drying had an adverse effect on pigment composition of *C. pyrenoidosa* (**Fig. 3**).
293 These deviations between species can be attributed to the morphological differences of the microalgal
294 cell wall. *Chlorella* average cell size is 5-9 μm , compared to the *N. salina*, 2-4 μm so the degree of
295 rupture may be also higher. It is important to point out that the *Nannochloropsis* sp. has thick cell wall
296 that is relatively hard to break down (Beacham et al. 2014). Due to this morphological characteristic,
297 bioactive compounds, such as PUFAs and pigments, are being additionally protected from unwanted
298 degradation during stress condition such as harvesting and drying.

299 Interestingly, drying processes significantly affected pigment composition (mainly chlorophylls) of *C.*
300 *pyrenoidosa* (**Fig. 3**), but the fatty acids profile showed no adverse effect. For the swirl flash drying
301 technique these results could be justified by the fact that the heat treatment of microalgae biomass
302 inactivates enzymes such as lipase and lipoxygenase, which can degrade the cellular lipids. For the
303 freeze dried biomass, presence of various natural antioxidants in the microalgae, including tocopherols
304 and carotenoids, which mostly remain intact during the freeze drying, might inhibit lipid oxidation,
305 which results in the PUFAs preservation. Furthermore, it should be noted that *C. pyrenoidosa* in
306 general contained very high concentrations of pigments and low concentrations of long chain PUFAs.
307 Deviations in the pigment composition of *C. pyrenoidosa* under tested treatments may be affected by
308 several factors. High temperatures during drying process may rupture the cell wall to some extent so
309 the extractability might be enhanced or it may destroy the pigments. However, that would not give an
310 explanation for freeze dried microalgae biomass. For freeze dried samples, ice crystallization, which
311 occurs during the freezing stage of the freeze drying, may have a crucial role. Even though freeze
312 drying is commonly used laboratory scale method and it is considered as a gentle drying technique for
313 microalgae, it should be noted that slow freezing rates between -20 to -60°C will cause development of
314 large ice crystals in the intercellular spaces and result in the displacement of the constituent parts. If the
315 cells are rapidly frozen (e.g. in liquid nitrogen at -196°C), small intercellular ice crystals that would be
316 formed would not affect the cell morphology (Lin 1985). On the other hand, creating large ice crystals
317 may break the cell walls and in that way extractability of the pigments might be enhanced. That would

318 explain significant difference in carotenoid concentration between freeze dried and non-dried
319 homogenized samples (3853 ± 162 compared to $2234 \pm 65 \mu\text{g g}^{-1}$ DW).

320

321 *Tocopherols*

322 Tocopherols are natural antioxidant, known as free radical scavengers, which means they can inhibit or
323 retard lipid oxidation. This suggests that tocopherols may be decomposed as a result of decreasing the
324 instability of PUFA-s by scavenging oxidation induced radicals. Therefore, it is important to preserve
325 these antioxidants also after the drying process for the stability of the microalgal biomass. As
326 mentioned earlier, high temperature during drying may inactivate enzymes responsible for lipid
327 oxidation. However, the enzymes remain intact in freeze-dried microalgae biomass, where antioxidants
328 may have a crucial role in preventing unwanted lipid oxidation.

329

330 **5. Conclusion**

331 Drying technique for microalgae should be designed to eradicate possible deterioration of the sensitive
332 bioactive compounds while being efficient and energy saving. A novel prototype swirl flash dryer
333 tested in this study was shown to be a promising drying technique for a microalgae biomass. Data
334 showed no adverse effect on the fatty acid composition in microalgal biomass of drying by swirl flash
335 dryer (for both *N. salina* and *C. pyrenoidosa*). That indicates that a high temperature and shear force
336 applied during the drying process did not cause any degradation of fatty acids, which could be justified
337 by the short drying time. High pressure homogenization and drying treatments caused degradation of
338 chlorophylls and tocopherols in *C. pyrenoidosa* and, per contra, enhanced carotenoids extraction. The
339 disruption of the microalgal cell wall seems to be the main factor that contributed to the degradation of
340 these bioactive compounds by increasing their accessibility during the drying process.

341 Effect of the swirl flash drying method on the recovery of microalgal biomass and metabolites may
342 differ between different specie depending on the cell morphology and biochemical composition.
343 Therefore, more studies are needed on several different microalgal species, including testing of
344 different drying parameters by swirl flash drying method.

345

346

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351

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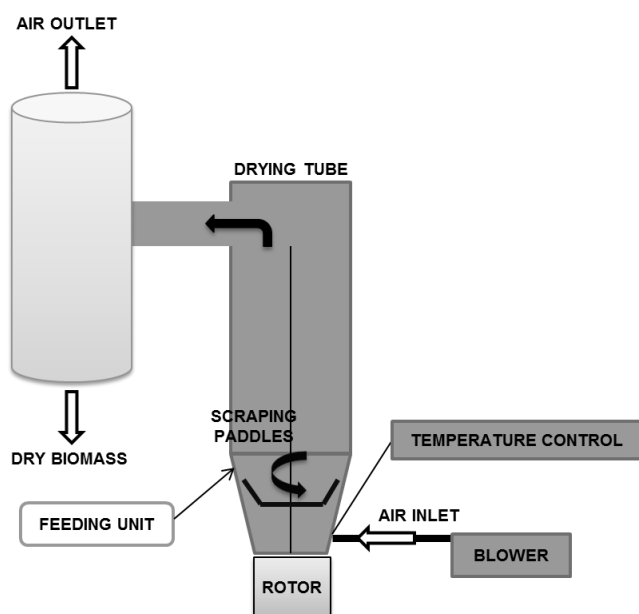
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435 **Tables and figures**



436

437 **Figure 1.** Schematic of prototype swirl flash dryer. Microalgae paste was introduced to the drying tube
 438 by a peristaltic pump. At the conically shaped bottom of the drying tube there is an air inlet, through
 439 which the hot air stream flows spirally into the drying chamber. Rotating scraping paddles with specific
 440 aerodynamic design prevent the feed from adhering to the walls and disperse the feed to smaller
 441 particles by providing the fluidizing spiral flow while moving the dried microalgal biomass to the
 442 outlet.

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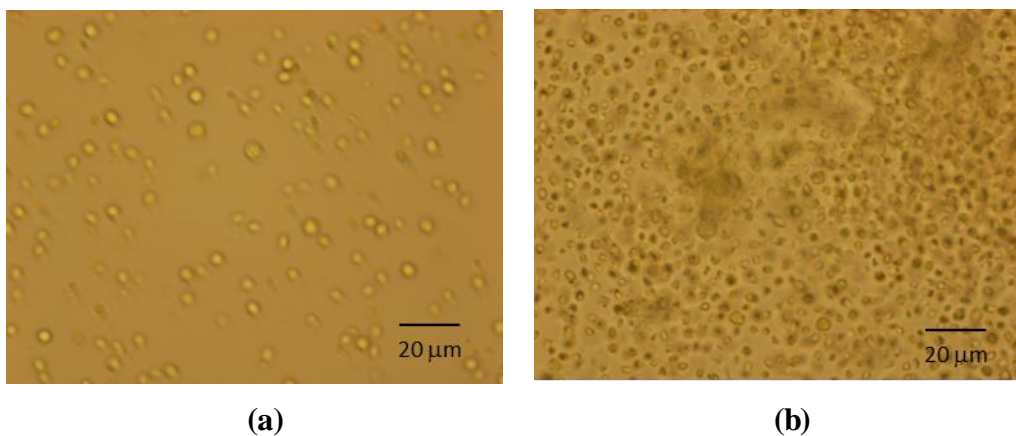
444 **Table 1.** Drying conditions and specifications for prototype novel swirl dryer system.

Parameters	Range	Unit
Feed rate	1-5	kg h ⁻¹
Feed relative moisture content	60-88	%
Feed viscosity	20-50	Pa s
Product moisture content	8	%
Product particle size range	200-1500	nm

Drying air temperature	100-120	°C
Humidity of inlet air	0.003	kg kg ⁻¹ air
Air density	0.7	kg m ⁻³
Volumetric air flow rate	18 (max 120)	m ³ h ⁻¹
Evaporation capacity	max 3.5	kg h ⁻¹
Drying tube length	100	cm
Drying tube diameter	12	cm
Scraping paddle speed	max 1000	rpm

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449 **Picture 1.** *Chlorella pyrenoidosa* (400x); (a) non-homogenized biomass, no cell rupture observed (b)
 450 homogenized biomass, moderate cell rupture observed.

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456 **Table 2.** Effect of drying and high-pressure homogenization on lipid and protein yield (%) from *C.*
 457 *pyrenoidosa* biomass. The results are presented as the means of n = 2 measurements from two
 458 replicates ± standard deviation. Different letters in the same column represent significant differences (p
 459 < 0.01).

	Total lipid (%)	Protein (%)
Flash dried, homogenized	12.12 ± 1.05 ^a	38.49 ± 1.51 ^a
Flash dried, non-homogenized	11.02 ± 0.15 ^a	37.59 ± 0.70 ^a
Freeze dried, homogenized	12.25 ± 0.46 ^a	39.51 ± 0.15 ^a
Freeze dried, non-homogenized	11.38 ± 0.17 ^a	39.98 ± 1.28 ^a
Non-dried, homogenized	12.29 ± 0.01 ^a	39.07 ± 2.27 ^a
Non-dried, non-homogenized	9.04 ± 0.02 ^b	38.98 ± 0.61 ^a

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462 **Table 3.** Fatty acid composition (% of total FA) of swirl flash-dried and non-dried *N. salina*. The
 463 results are presented as the means of n = 2 measurements from two replicates ± standard deviation.
 464 Different letters in the same row represent significant differences (p < 0.01).

Fatty acid	Non-dried	Swirl flash dried
14:00	2.84 ± 0.42 ^a	3.62 ± 0.32 ^a
15:00	0.37 ± 0.02 ^a	0.43 ± 0.00 ^a
16:00	24.00 ± 0.75 ^a	21.14 ± 1.09 ^a
16:1 (n-7)	31.23 ± 0.93 ^a	35.70 ± 1.87 ^a
16:2 (n-4)	1.59 ± 0.07 ^a	1.57 ± 0.10 ^a
16:3 (n-4)	0.51 ± 0.06 ^a	0.30 ± 0.06 ^a
16:4 (n-1)	0.00 ± 0.03 ^a	0.48 ± 0.08 ^b
18:00	0.91 ± 0.01 ^a	0.63 ± 0.03 ^b
18:1 (n-9)	4.58 ± 0.25 ^a	4.14 ± 0.11 ^a
18:1 (n-7)	1.64 ± 0.02 ^a	0.78 ± 0.03 ^b
18:2 (n-6)	2.18 ± 0.05 ^a	2.13 ± 0.01 ^a
18:3 (n-3)	0.90 ± 0.12 ^a	0.00 ± 0.23 ^b
20:1 (n-9)	0.34 ± 0.10 ^a	0.00 ± 0.02 ^b

20:4 (n-6)	1.71 ± 0.06 ^a	2.00 ± 0.05 ^b
20:3 (n-3)	0.26 ± 0.02 ^a	0.00 ± 0.02 ^b
20:5 (n-3)	25.92 ± 0.69 ^a	25.63 ± 1.17 ^a
22:5 (n-3)	0.48 ± 0.05 ^a	0.16 ± 0.03 ^b
22:6 (n-3)	0.23 ± 0.01 ^a	0.12 ± 0.10 ^a
Σ SAFA	28.12 ± 1.20 ^a	25.82 ± 1.44 ^a
Σ MUFA	37.79 ± 1.30 ^a	40.62 ± 2.03 ^a
Σ PUFA	33.77 ± 1.16 ^a	32.40 ± 1.85 ^a

465 SAFA, saturated fatty acids; MUFA, monounsaturated fatty acids; PUFA, polyunsaturated fatty acids

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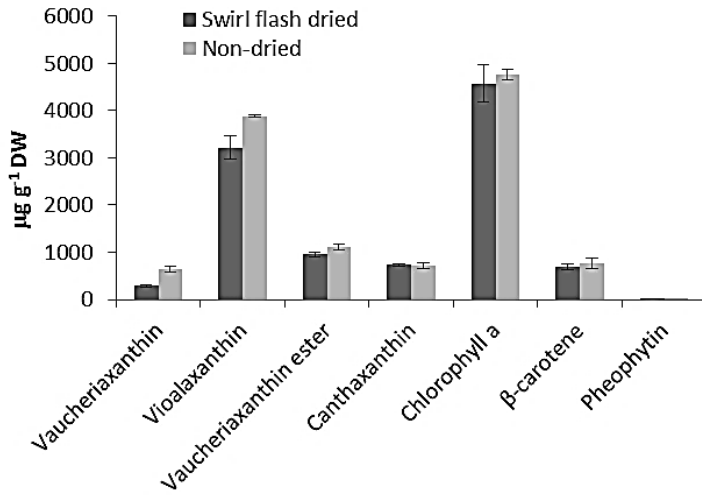
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469 **Table 4.** Fatty acid content (% of total FA) in the biomass of *C. pyrenoidosa* after applying different drying techniques with and
 470 without pre-treatment by high-pressure homogenization. The results are presented as the means of n = 2 measurements from two
 471 replicates ± standard deviation. Different letters in the same row represent significant differences (p < 0.01).

Fatty acid	Flash dried, homogenized	Flash dried, non homogenized	Freeze dried, homogenized	Freeze dried,non homogenized	Non-dried, homogenized	Non-dried, non homogenized
14:0	0.28 ± 0.06 ^a	1.57 ± 0.07 ^b	1.75 ± 0.06 ^b	0.30 ± 0.09 ^a	0.58 ± 0.38 ^a	1.35 ± 0.01 ^b
15:0	0.11 ± 0.01 ^a	0.39 ± 0.01 ^b	0.36 ± 0.01 ^b	0.09 ± 0.01 ^a	0.10 ± 0.00 ^a	0.27 ± 0.01 ^b
16:0	20.74 ± 0.36 ^{ab}	19.87 ± 0.02 ^b	20.08 ± 0.05 ^b	20.85 ± 0.37 ^b	21.70 ± 0.07 ^{ac}	19.63 ± 0.17 ^b
16:1 (n-7)	2.26 ± 0.16 ^a	2.45 ± 0.01 ^a	2.44 ± 0.00 ^a	2.28 ± 0.17 ^a	2.39 ± 0.02 ^a	2.47 ± 0.03 ^a
16:2 (n-4)	8.39 ± 0.22 ^a	8.62 ± 0.45 ^a	8.78 ± 0.01 ^a	8.46 ± 0.20 ^a	8.47 ± 0.06 ^a	8.90 ± 0.03 ^a
16:3 (n-4)	12.37 ± 0.34 ^a	13.20 ± 0.17 ^a	12.95 ± 0.04 ^a	12.46 ± 0.30 ^a	12.33 ± 0.20 ^a	13.14 ± 0.05 ^a
18:0	0.86 ± 0.24 ^a	0.57 ± 0.01 ^a	0.64 ± 0.05 ^a	0.86 ± 0.25 ^a	0.66 ± 0.02 ^a	0.59 ± 0.00 ^a
18:1 (n-7)	6.38 ± 0.72 ^a	5.66 ± 0.48 ^a	5.71 ± 0.05 ^a	6.43 ± 0.74 ^a	5.76 ± 0.02 ^a	5.63 ± 0.16 ^a
18:2 (n-6)	21.29 ± 0.09 ^{ab}	20.83 ± 0.10 ^a	20.72 ± 0.08 ^a	21.45 ± 0.02 ^b	21.33 ± 0.04 ^{ab}	20.94 ± 0.03 ^{ab}
18:3 (n-3)	26.65 ± 0.58 ^a	26.84 ± 0.06 ^a	26.58 ± 0.04 ^a	26.78 ± 0.42 ^a	26.68 ± 0.18 ^a	27.08 ± 0.01 ^a
Σ SAFA	21.98 ± 0.67 ^a	22.40 ± 0.10 ^a	22.83 ± 0.17 ^a	22.11 ± 0.72 ^a	23.04 ± 0.48 ^a	21.84 ± 0.19 ^a
Σ MUFA	8.63 ± 0.87 ^a	8.11 ± 0.49 ^a	8.14 ± 0.05 ^a	8.70 ± 0.91 ^a	8.15 ± 0.05 ^a	8.11 ± 0.20 ^a
Σ PUFA	68.70 ± 1.24 ^a	69.49 ± 0.78 ^a	69.03 ± 0.16 ^a	69.16 ± 0.93 ^a	68.80 ± 0.48 ^a	70.06 ± 0.12 ^a

472 SAFA, saturated fatty acids; MUFA, monounsaturated fatty acids; PUFA, polyunsaturated fatty acids

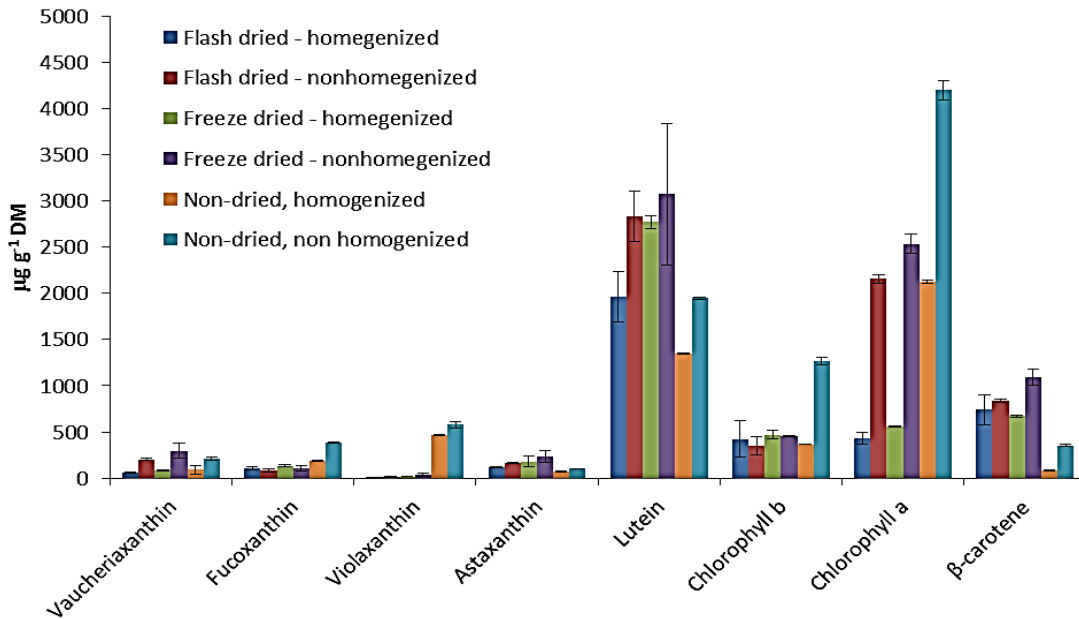
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475 **Figure 2.** Pigment composition ($\mu\text{g g}^{-1}$ dry biomass) of swirl flash-dried and non-dried *N. salina*. The
476 results are presented as the means of $n = 2$ measurements from two replicates; error bars represent
477 standard deviation.

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480 **Figure 3.** Pigment composition ($\mu\text{g g}^{-1}$ dry biomass) of *C. pyrenoidosa* after applying two different
481 drying techniques with and without pre-treatment by high-pressure homogenization. The results are

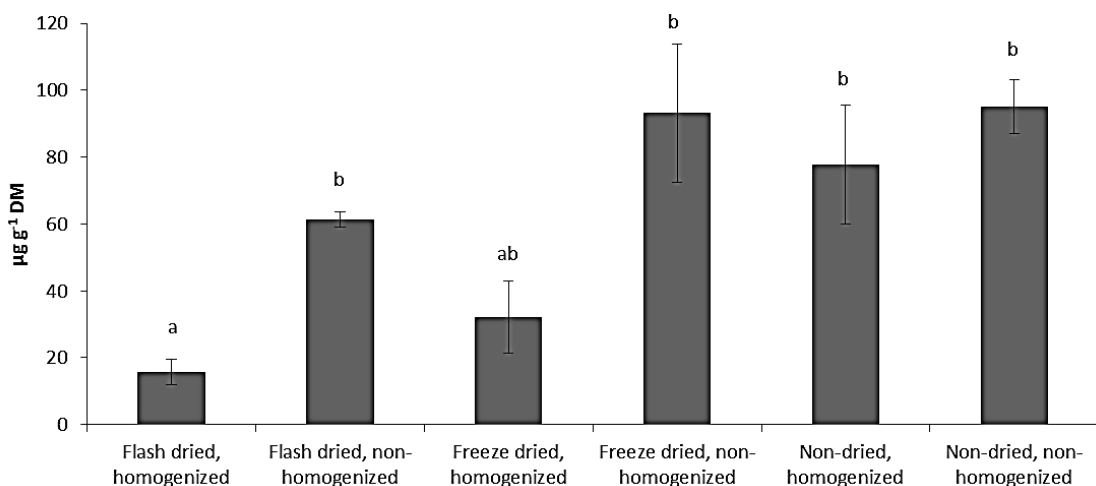
482 presented as the means of $n = 2$ measurements from two replicates; error bars represent standard
 483 deviation.

484 **Table 5.** Content of carotenoids and chlorophylls ($\mu\text{g g}^{-1}$ dry biomass) in *C. pyrenoidosa* after applying
 485 two different drying techniques with and without pre-treatment by high-pressure homogenization. The
 486 results are presented as the means of $n = 2$ measurements from two replicates \pm standard deviation.
 487 Different letters in the same column represent significant differences ($p < 0.01$).

Microalgal biomass	Carotenoids	Chlorophylls	Total pigments
Flash dried, homogenized	3001 \pm 469 ^{ab}	852 \pm 260 ^a	3854 \pm 729 ^a
Flash dried, non-homogenized	4127 \pm 320 ^b	2504 \pm 142 ^b	6631 \pm 463 ^b
Freeze dried, homogenized	3853 \pm 162 ^b	1032 \pm 46 ^a	4885 \pm 209 ^a
Freeze dried, non-homogenized	4836 \pm 1093 ^b	2990 \pm 104 ^b	7826 \pm 1197 ^{bc}
Non-dried, homogenized	2234 \pm 65 ^a	2490 \pm 16 ^b	4725 \pm 81 ^a
Non-dried, non-homogenized	3576 \pm 84 ^b	5458 \pm 141 ^c	9034 \pm 225 ^c

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491 **Figure 4.** Tocopherol content ($\mu\text{g g}^{-1}$ dry biomass) in *C. pyrenoidosa* after applying different drying
 492 techniques with and without pre-treatment by high-pressure homogenization. The results are presented
 493 as the means of $n = 2$ measurements from two replicates; error bars represent standard deviation.
 494 Different letters represent significant differences ($p < 0.01$).