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X-ray Reflectometry of a Platinum Coating as Reference Sample for the ATHENA Coating Development

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ABSTRACT

X-ray reflectivity (XRR) characterization of X-ray mirrors is an essential step for designing space telescopes and instruments. We report on production and characterization of platinum thin films coated onto a flat thick glass substrate for evaluating measurement results obtained using several XRR systems. The main objective of this study is to compare the XRR results measured using facilities at the Technical University of Denmark, DTU Space, and BESSY II for the Advanced Telescope for High-ENergy Astrophysics (ATHENA) mission funded by the European Space Agency, ESA. This sample will be used as a reference sample for testing and calibrating similar measurements at relevant X-ray facilities. This information demonstrates the stable performance of the platinum mirror as a reference sample. Also, the overlayer effect on mirror performance is investigated.

Keywords: Platinum thin film, X-ray mirror, ATHENA, optics, X-ray reflectivity, overlayer, synchrotron measurement

1. INTRODUCTION

ATHENA is an ESA selected large class mission due for launch in the early 2030s\textsuperscript{1}. It is evident that XRR measurements are essential and powerful ways to assess the quality of mirrors for any X-ray instruments, such as X-ray telescopes. XRR characterization provides important information on thickness, roughness, density, and the number of layers.\textsuperscript{2,3} Thus precision and accuracy of each parameter is of importance.

Platinum (Pt) as a heat and chemical resistant coating material is applied in a broad range of applications. The excellent properties of this noble material has been extensively studied in several works\textsuperscript{4–7}. Pt is used as a multilayer component in the optics of the NuSTAR telescope launched in 2012, working in grazing incidence hard X-ray, up to the Pt K-absorption edge around 79 keV\textsuperscript{6}. However, the significant interest in Pt thin films is not limited to space applications and Pt mirrors are widely used in optical elements to focus or cut off high energy synchrotron radiation\textsuperscript{5}. Thomsen-Schmidt et al. have reported on metrological characterization of Pt thin films mainly using XRR and spectral ellipsometry\textsuperscript{8}. In this study, we investigated the reflectivity performance of Pt thin films through both energy and angle XRR scans.

For our purpose, Pt is a suitable coating material to track the reliability and reproducibility of XRR measurements over an extended period of time. In this work, we will report on the design, production, and characterization of a Pt coated mirror applicable in the X-ray facilities where the ATHENA mirrors are (and will be) tested, mainly at DTU Space and the Four-Crystal Monochromator beamline at the Physikalisch-Technische Bundesanstalt (FCM-PTB) at the electron storage ring BESSY II.
2. EXPERIMENTAL

2.1 Design

The design of the Pt thin film is based on X-ray reflectivity simulations of various film thicknesses and roughness parameters which are related to the number and amplitude of Kiessig fringes, respectively. In the simulations, the surface roughness of the Pt film was fixed to 0.5 nm based on the roughness value reported in Soufli et al. A simulation of the selected design of 30 nm Pt thin film is presented in Figure 1a. Using these conditions, results in clear features, Kiessig fringes, for low and high energies. It is necessary to use a very smooth substrate because the fringes become less distinct as substrate roughness increases, as shown in Figure 1b.

![Simulated XRR curves for different energies](image)

Figure 1: (a) Simulated XRR curves at different energies for a 30 nm Pt thin film with a fixed Pt roughness \(\sigma\) of 0.5 nm coated onto SiO\(_2\) (glass) with a roughness of 0.2 nm. (b) The effect of substrate roughness on Kiessig fringes.

2.2 Fabrication

A 30 nm Pt layer was deposited onto a super-polished flat glass substrate made from fused silica (diameter: \(\sim 101\) mm, thickness: 17 mm). The substrate surface roughness provided by the manufacturer (Coastline Optics) is \(\sim 0.1\) nm based on optical profile characterization. Both surfaces are polished to fine ground level. Specifications of the glass substrate with original ID: #SN85 are listed in Table 1. The SN85 glass sample was coated alongside a 10×70 mm\(^2\) witness sample (ID: si6965) diced from a \(\sim 0.7\) mm thick double-side polished Si(100) wafer. The witness sample was exposed to plasma cleaning procedure prior to coating while the glass surface was blown with compressed Ni to preserve the smoothness of the surface. The samples were coated using DC magnetron sputtering facility at DTU Space using a Pt target with purity of 99.99 %. Honeycomb collimation was used to obtain a uniform and smooth thin film. The deposition was performed at a working Ar gas pressure of \((3.0 \pm 0.2)\) mTorr and discharge power of 300 W. The distance between the target and the sample holder inside the chamber was 155 mm. Figure 2 shows the sample SN85 before and after coating.

![Simulated XRR curves at 0.047 keV](image)

Table 1: Specifications list of the glass substrate, provided by the manufacturer.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Specification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Material</td>
<td>Corning 7980 fused silica</td>
</tr>
<tr>
<td>Outer diameter</td>
<td>101.6 mm</td>
</tr>
<tr>
<td>Thickness</td>
<td>17 mm</td>
</tr>
<tr>
<td>Surface flatness</td>
<td>(\leq 0.10) Wave PV @ 633 nm in the Clear Aperture</td>
</tr>
<tr>
<td>Surface roughness</td>
<td>(\leq 0.1) nm measured with Zygo 5500 Optical Profiler</td>
</tr>
<tr>
<td>Surface quality</td>
<td>(10^{-5}) Scratch-Dig in the Clear Aperture</td>
</tr>
</tbody>
</table>
2.3 Characterization

We used the XRR facility at DTU Space equipped with Cu K$_\alpha$ radiation source. In addition, we performed angle scans at 8.047 keV and 3.600 keV, as well as energy scans from 3.4-10.0 keV at the FCM beamline in the PTB laboratory at the synchrotron radiation facility BESSY II\textsuperscript{12}. We performed the low-energy angle scans at 3.600 keV, the lowest energy we could use without changing the optical elements of the beamline setup.

It is worth mentioning that these samples were intentionally not characterized using other techniques in order to minimize additional contamination effects or possible damage of the surface. These samples are stored at the same conditions as the coated mirror samples for ATHENA are at DTU Space.

3. RESULTS AND DISCUSSION

The reflectivity of the samples was measured immediately after coating and after a few months during two beamtimes at the FCM beamline. Consistency of the measured angle scans can be seen in Figure 3. All the XRR curves follow an identical trend revealing the reproducibility of measurements and stability in reflectivity performance of Pt thin films, as expected. A higher intensity of reflected photons and a better angular resolution was obtained using synchrotron radiation, in particular at high angles, due to the brighter beam and the photodiode detector with better sensitivity.

Figure 3: Comparison of the measured XRR of the Pt coated glass SN85 and the witness sample si6965. All scans performed at 8.047 keV using DTU Space and BESSY facilities.

Figure 4a and 4b show angle scan XRR measured for three positions on the Pt coated glass with 40 mm horizontal distance between each measurement, all positions were vertically centered. Overlapping measured
Figure 4: Measured angle scans at different positions on the Pt coated glass at (a) 8.047 keV (b) 3.600 keV. Inset shows the zoomed-in 8.047 keV plot in low-angle region. Overlapping Kiessig fringes confirm the thickness uniformity in the sample. The best-fit curve for the center position measurement of the (c) 8.047 keV XRR and (d) 3.600 keV XRR. The fit parameters are listed in Table 2.

data for the three positions at 8.047 keV and 3.600 keV indicating a very good horizontal thickness uniformity. This thickness uniformity is an important factor for the scans to be performed at various angles and consequently having different beam footprints. The experimental reflectance curves were fitted using the the IMD software.\(^{13}\) The best fit model of the XRR measurement for 8.047 keV and 3.600 keV are depicted in Figures 4c and 4d, respectively. There is a good agreement between the results obtained from two energies measured at different facilities, see Table 2.

By using the major advantage of energy tunability of synchrotron radiation, we obtained a reflectance as a function of energy. Three XRR spectra of the Pt coated glass sample are shown in Figure 5a. The measurements were performed at a grazing angle of 0.6°. As can been seen from the linear and the logarithmic scales (shown in the inset to Figure 5a) all three spectra obtained from three positions are identical in the entire energy range, confirming the coating uniformity across the sample.

The fitted thickness and roughness values reveal that the samples are homogeneous with a \(\sim\) 30 nm Pt layer with roughness smaller than 0.5 nm, also listed in Table 2. The fitted thickness of the witness and the glass samples are 30.2 and 30.5 nm, respectively. The Pt thin film coated on glass is slightly thicker than the witness sample which is due to the thickness of the glass substrate (17 mm) while the Si wafer is \(~\sim\)1 mm thick. Thus, the glass substrate was about 16 mm closer to the Pt target in the chamber and was exposed to more sputtered...
Table 2: Derived parameters from best fitting models of the Pt coated glass SN85 and the witness sample si6965. In the energy XRR model, an overlayer consisting of CHO with fixed density of 1.0 g/cm³ and fitted value of 1.2 nm for thickness has been considered. The sensitivity of the energy XRR model to the substrate roughness value is rather low. A better estimation of this parameter can be done using an angle scan.

<table>
<thead>
<tr>
<th>Sample ID</th>
<th>XRR scan</th>
<th>Facility</th>
<th>z (nm)</th>
<th>σ (nm)</th>
<th>σ (nm)</th>
<th>χ²</th>
</tr>
</thead>
<tbody>
<tr>
<td>SN85</td>
<td>Angle scan: 8.047 keV</td>
<td>DTU Space</td>
<td>30.5</td>
<td>0.32</td>
<td>0.35</td>
<td>9×10⁻³</td>
</tr>
<tr>
<td>SN85</td>
<td>Angle scan: 8.047 keV</td>
<td>BESSY</td>
<td>30.5</td>
<td>0.46</td>
<td>0.23</td>
<td>5×10⁻³</td>
</tr>
<tr>
<td>SN85</td>
<td>Angle scan: 3.6 keV</td>
<td>BESSY</td>
<td>30.6</td>
<td>0.49</td>
<td>0.24</td>
<td>5×10⁻³</td>
</tr>
<tr>
<td>SN85</td>
<td>Energy scan: 3.4-10 keV</td>
<td>BESSY</td>
<td>29.8</td>
<td>0.38</td>
<td>0.57†</td>
<td>6×10⁻⁵</td>
</tr>
<tr>
<td>Witness si6965</td>
<td>Angle scan: 8.047 keV</td>
<td>DTU Space</td>
<td>30.0</td>
<td>0.44</td>
<td>0.25</td>
<td>5×10⁻³</td>
</tr>
<tr>
<td>Witness si6965</td>
<td>Angle scan: 8.047 keV</td>
<td>BESSY</td>
<td>30.2</td>
<td>0.41</td>
<td>0.23</td>
<td>5×10⁻³</td>
</tr>
</tbody>
</table>

Pt particles. The glass substrate roughness of 0.2-0.3 nm is examined through fits to several angle scans XRR measurements. However, fit to the energy measurement gives a higher value of ~0.6 nm. The model for the energy scan considers the overlayer parameters of: fixed C:H:O ratio of 1:1:1, fixed density: 1.0 g/cm³, and fitted thickness of 1.2 nm. The corresponding XRR data together with the fit are shown in Figure 5b. According to the simulation analysis, the effect of substrate roughness leads to a more significant change in angle scans than the energy scans. In an XRR model, mainly the high-energy regime of an energy spectrum (~8 keV and higher) show visible changes to substrate roughness variation. The top layer (in this case Pt) roughness affects the low-energy regime as well as the high energy. Perhaps, using angle scan XRR to determine substrate roughness is a more accurate way where both the slope and the oscillation amplitude of the curve are correlated to substrate roughness and small changes can be seen clearly. Thus, performing XRR measurement as functions of angle and energy are complementary which would provide a better understanding of the mirror structure. Furthermore, the XRR measurements indicate a higher roughness for the glass substrate compared to the optical profiler at 633 nm, resulting in 0.1 nm reported by the manufacturer.

3.1 Overlayer investigation

Hydrocarbon contamination overlayer consisting of light elements is known to appear on samples when exposed to the atmosphere. Figure 5b presents several fits using four different models assuming either no overlayer or...
overlayers of different density and ratio of C, H, and O. The presence of the hydrocarbon layer is visible in energy range below 6-7 keV. This can be better seen in the inset. In that respect, fitting using different combinations of C,H, and O results in nearly identical fit curves and similar Pt thickness and roughness values, results provided in Table 3. The given density values are from the CXRO optical constant database\textsuperscript{13,14}: \( \text{CH}_2: 0.90 \text{ g/cm}^3 \) and \( \text{C}_{10}\text{H}_8\text{O}_4: 1.38 \text{ g/cm}^3 \). In the case of fitting with a CHO overlayer (C:H:O = 1:1:1), the overlayer density and thickness parameters are not constrained as these are dependent parameters. Density is close to the upper limit of the defined fitting range, consequently lowers the overlayer thickness. For example, three sets of fitted values for the overlayer obtained: (1) 0.3 nm thick with density of 5.0 g/cm\(^3\) and (2) a 0.7 nm thick with density of 2.0 g/cm\(^3\), and (3) 1.2 nm thick with density of 1.0 g/cm\(^3\). The latter one is close to the rest of the models using \text{CH}_2 and \text{C}_{10}\text{H}_8\text{O}_4 optical constants, moreover, considering high density of 5.0 g/cm\(^3\) for hydrocarbon is not realistic. Therefore, we fixed the density parameter. All in all, our results are in good agreement with the reported \(~ 1.0 \text{ nm thick with density of 1.0 g/cm}^3\) overlayer on iridium mirrors\textsuperscript{15}.

In brief, we determined that the Pt coated glass has a hydrocarbon overlayer of about 1.0 nm thickness and a density of \(~ 1.0 \text{ g/cm}^3\), regardless of its exact stoichiometry and composition. Gaining a good understanding of the hydrocarbon overlayer, which is present in all our samples, benefits the general coating development by giving a more accurate data modelling and analysis of the mirror structure. The sample will be measured at 1.487 keV at the new Low-Energy X-ray Reflectometer (LEXR) at DTU Space and the result could reveal more information about the hydrocarbon overlayer\textsuperscript{16}.

Table 3: The derived fit parameters corresponding to the fitted curves shown in Figure 5b. The optical constants from CXRO database used for fitting using IMD software\textsuperscript{13,14}. The fitting models consist of an overlayer and a Pt thin film on SiO\(_2\) substrate. The given roughness is the average roughness of these two layers, as these parameters are coupled in the fitting model. * denotes the fixed parameter (not constrained).

<table>
<thead>
<tr>
<th>XRR scan</th>
<th>Overlayer</th>
<th>( \rho (\text{g/cm}^3) )</th>
<th>( z ) (nm)</th>
<th>( z ) (Pt) (nm)</th>
<th>( \sigma ) (nm)</th>
<th>( \sigma ) (nm)</th>
<th>( \chi^2 )</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.4-10.0 keV</td>
<td>CHO</td>
<td>2.0 *</td>
<td>0.7</td>
<td>29.8</td>
<td>0.43</td>
<td>0.58</td>
<td>6E-5</td>
</tr>
<tr>
<td>3.4-10.0 keV</td>
<td>CHO</td>
<td>1.0 *</td>
<td>1.2</td>
<td>29.8</td>
<td>0.38</td>
<td>0.57</td>
<td>6E-5</td>
</tr>
<tr>
<td>3.4-10.0 keV</td>
<td>\text{C}_{10}\text{H}_8\text{O}_4</td>
<td>1.38</td>
<td>0.9</td>
<td>29.8</td>
<td>0.41</td>
<td>0.58</td>
<td>6E-5</td>
</tr>
<tr>
<td>3.4-10.0 keV</td>
<td>\text{CHO}_2</td>
<td>0.90</td>
<td>1.2</td>
<td>29.8</td>
<td>0.39</td>
<td>0.57</td>
<td>6E-3</td>
</tr>
<tr>
<td>3.4-10.0 keV</td>
<td>None</td>
<td>-</td>
<td>-</td>
<td>29.9</td>
<td>0.39</td>
<td>0.48</td>
<td>2E-3</td>
</tr>
</tbody>
</table>

4. SUMMARY

We designed, produced, and characterized a high quality reference mirror, i.e. a homogeneous Pt thin film coated on a very smooth and flat glass substrate. The sample was produced using DC magnetron sputtering facility at DTU Space. This study focused on XRR measurement results obtained at 8.047 keV, 3.600 keV, and energy scan measurements in range of 3.4-10.0 keV. This sample will be used as reference for testing X-ray facilities that are being utilized for characterization of X-ray mirrors for the ATHENA telescope optics. Reflectivity measurements were performed at the 8.047 keV XRR facility at DTU Space and at the FCM-PTB beamline at the BESSY II synchrotron radiation facility in Berlin. We conclude that about 30.5 nm Pt with excellent uniformity and roughness close to 0.5 nm was deposited onto the super-polished glass substrate. A hydrocarbon surface contamination overlayer with \(~ \sim 1 \text{ nm thickness is measured in the energy XRR spectrum. This sample will be tested and used as a reference sample during the next beamtimes and also in any other relevant facilities, e.g. the recently installed reflectometer LEXR at DTU Space, working at 1.487 keV.}

5. ACKNOWLEDGEMENT

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