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X-ray tracking of structural changes during a subnanosecond solid-solid phase transition in cobalt nanoparticles

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This work presents measurements of laser-induced solid-solid phase transitions in Co nanoparticles, using time-resolved wide-angle x-ray scattering with ≤100-ps time resolution. A comparison of the experimental results with scattering simulations indicates a phase transition from the as-synthesized ϵ phase into a mixed phase of 2/3 hcp and 1/3 fcc character during the first 100 ps. The measured scattering signal observed at the shortest investigated time delay of 20 ps has less scattering intensity at the dominant fcc/hcp Bragg peak positions compared to the difference signal acquired at 100 ps. This suggests the formation of a partially disordered solid intermediate phase on the sub-100-ps timescale.

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I. INTRODUCTION

Colloidal metal nanoparticles have been the subject of intense interest in areas such as materials science, biotechnology, chemical synthesis, diagnostic imaging, and catalysis as well as for information storage [1–5]. The research demonstrates significant potential for applications due to the particles unique properties which can, to a large extent, be tailored via different synthesis routes [6–10]. The structure and shape of the nanoparticles is essential for their chemical and magnetic behavior and much effort has been put into techniques to control these properties, both during synthesis and after [11]. As an example of postsynthesis modification of nanoparticle properties utilizing laser illumination of nanoparticle solutions, illumination with intense nanosecond laser pulses exciting plasmon modes at 532 nm has been shown to give rise to highly monodisperse nanoparticles in the case of Au [12]. For characterizing these processes in more detail, the structural dynamics during pulsed laser heating, melting and resolidification of Au nanoparticles have been measured with time-resolved x-ray scattering experiments [13–15], but the direct structural dynamics of a solid-solid phase transition has to our knowledge not been reported. Here we report on synchrotron-based structural studies of a solid-solid phase transformation on the sub-100-ps timescale in Co nanoparticles.

The crystal structure of bulk Co is hexagonal close packed (hcp) at temperatures below 720 K and face-centered cubic (fcc) up to the melting point at 1770 K [16] with a martensitic solid-solid hcp-fcc phase transition, i.e., stress-induced and accommodated by Shockley partial dislocation motions [17,18]. However, the as-synthesized particles in this study exhibit a metastable ϵ phase, a complex cubic primitive resembling the β-Mn cell structure, and for Co nanoparticles only observed in samples produced via the solution-phase synthesis route [19–21] as described in detail by Zacharaki et al. [22]. In general, the small size of the particles and their large surface-to-volume ratio often leads to different phase diagrams than for the corresponding bulk materials. In the present case, the ϵ phase in Co nanoparticles has previously been shown by annealing to irreversibly transform to the hcp phase at ≈600 K and fcc at ≈800 K [20,23–25].

The crystal structure of Co nanoparticles at room temperature has been demonstrated to depend on the synthesis route and processing history of the sample due to almost isosteregetic crystal phases [26]. Apart from the metastable ϵ phase produced by the above-mentioned solution-phase synthesis route, for small nanoparticles (<20 nm) the fcc structure appears to be favored at room temperature in nanoparticles created by sputtering, with mixed-phases observed for medium-sized particles (30–40 nm) and hcp structure for large-size particles (>40 nm) [27]. Studies of small Co nanoparticles (2–11 nm) produced by high-temperature crystallization have suggested mixed phases dominated by the hcp structure at room temperature, with a transition to fcc at 800 K [23].

A study of larger Co nanoparticles (~100 nm) using in situ heating in a transmission electron microscope setup showed a mixed fcc/hcp phase at room temperature, an observation which was concluded to arise from incomplete transformation to the hcp structure during rapid cooling of the nanoparticles formed via a pulsed wire evaporation synthesis method [28]. In the limit of slow cooling, purely fcc nanoparticles can be formed via ion implantation and annealing in solid matrices,

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following which irradiation by energetic heavy ions can induce a transformation to either an amorphous phase [29] or to a crystalline hcp phase, a transformation surmised to take place without the involvement of a liquid-phase intermediate but rather arising from a large transient shear stress due to local heating [26,30]. Further, as also discussed in Ref. [26], on heating the phase transformation from hcp to fcc is generally complete, whereas the fcc-to-hcp transformation on cooling is generally only partial, in line with very recent theoretical studies of the effect of cooling rates on the microstructural evolution of nanocrystalline Co [31]. In the context of these studies the pressure, heating/cooling rate, grain size, and impurities have been identified as sources of the differing results regarding phase transformations [26,28,31].

II. EXPERIMENTAL SETUP

In the present experiments, the Co nanoparticles were synthetized using the organometallic method [19–21] to produce a monodisperse distribution of spherical particles with a diameter of about 7 ± 2 nm (full width at half maximum, FWHM) as measured by transmission electron microscopy (TEM) (Fig. 1).

Following initial synthesis, the reaction mixture was washed with ethanol before the nanoparticles were redisolved in 2-propanol. The initial concentration of Co in the reactants was 83 mM, and for the x-ray experiments 70 ml of this sample was diluted by a further 35 ml of 2-propanol. Just before the x-ray experiments, additional surfactants were added to the solution (~40 mg of trioctylphosphine oxide and ~100 mg of oleic acid) to improve the nanoparticle stability under the experimental conditions. As discussed in further detail below, the structural analysis indicates a final nanoparticle concentration of 0.35 µM, corresponding to 5 mM Co atoms.

To investigate the dynamics of the solvated nanoparticles, we utilized a well-established time-resolved pump-probe wide-angle x-ray scattering (TR-WAXS) approach at the ID09 beamline of the European Synchrotron Radiation Facility (ESRF) as schematically illustrated in Fig. 2.

The experimental procedure for such solution-state investigations has been described in detail previously [32,33]. Briefly, the sample is excited by a short (<2 ps) 390-nm laser pulse (pump) followed by a ~100-ps 18.2-keV pink-beam (FWHM ~3%) x-ray pulse (probe) at a well-defined time delay Δt after the photoexcitation event. A high-speed chopper is used to isolate one x-ray pulse from the synchrotron for each recorded pump-probe event (~1-kHz repetition rate, determined by the optical laser system). The recorded x-ray scattering from this pump-probe event gives a snapshot of the structural configuration after laser excitation at a single time delay. By combining snapshots at different time delays, the dynamics can be followed in time.

The solution prepared as described above was flowing through a vertical free flowing flat liquid jet of 300-µm thickness with a flow rate sufficient to fully replace the sample between successive pump-probe events. The nearly collinear laser beam with 40-µJ pulses and a circular spot size of ~200-µm diameter (64-ml/cm²/pulse) and the x-ray beam with an estimated spot size of 100 × 60 µm were spatially and temporally overlapped in the center of the liquid jet.

The TR-WAXS signal was recorded in the forward direction by a large-area FRReLoN (Fast-Readout, Low-Noise) detector [34] positioned 4.6 cm behind the sample. Integration times were 4 s and several hundred such exposures were acquired per time point. The scattering images were azimuthally integrated to yield one-dimensional S(Q) curves, where $S(Q) = \frac{2}{\lambda^2} \sin(\theta)$ is dependent on the scattering angle θ and the x-ray wavelength λ.

Scattering patterns acquired at Δt = −3 ns were used as laser-off reference measurements to construct difference signals $\Delta S(Q,t) = S_{\text{off}}(Q,t) - S_{\text{on}}(Q,t)$ as shown in Fig. 3 (left). As such, the difference signals record only what was changed by the laser pulse and the approach therefore additionally serves as an efficient background-suppression method. For the experiments presented here, this is highly beneficial as the signal arising from the Co nanoparticles in the solution is less than 1% of the total scattering signal (Supplemental Material [35], Fig. S3).

The overall time resolution of the experiment is determined by the width of the x-ray probe pulses, which are ~100 ps long. However, a fast diamond detector can be used to monitor the pump-probe delay with an accuracy of approximately 5 ps, enabling “slicing” experiments [36,37] as discussed in further detail below.

FIG. 1. An example of a TEM image of the spherical Co nanoparticles along with the measured size distribution from several TEM images of ≈1500 particles. The black curve represents a Gaussian distribution with a center at 7 nm and a FWHM of 4 nm.
FIG. 2. Schematic illustration of the liquid-sheet TR-WAXS setup at beamline ID09 (ESRF). The experiment is designed such that a fresh sample (Co nanoparticles in 2-propanol) is measured for each pump-probe event. Well-defined time delays between the pump (laser) and probe (x-ray) give subnanosecond time resolution. The as-synthesized particles start out in the $\epsilon$ phase which transforms irreversibly on laser excitation to hexagonal close-packed (hcp) and face-centered cubic (fcc) structure. From bulk values the hcp-fcc phase transition is expected at around 720 K in a reversible process.

III. RESULTS

Figure 3 shows the time-resolved x-ray difference scattering signal at different time delays $\Delta t$ after laser excitation as azimuthally integrated $\Delta S(Q, \Delta t)$ curves. These difference signals arise from primarily two different sets of structural changes, namely the difference signal arising from changes...
in the nanoparticle structure ($\Delta S_{\text{NP}}$) and the difference signal arising from changes in the bulk solvent structure ($\Delta S_{\text{solv}}$):

$$\Delta S_{\text{total}} = \Delta S_{\text{NP}} + \Delta S_{\text{solv}}.$$  \hspace{1cm} (1)

Qualitatively, $\Delta S_{\text{solv}}$ arises from structural changes in the bulk solvent which are due to changes in temperature and density of the bulk solvent following photoexcitation of the sample [38]. This contribution to the difference signal is observed to be strongest around and below the so-called liquid peak at $Q = 1.8 \text{Å}^{-1}$ (Supplemental Material [35], Fig. S3) with almost no solvent difference signal above $Q = 2.5 \text{Å}^{-1}$ in full agreement with previous investigations of 2-propanol [39]. The signal arising from the Co nanoparticle dynamics dominates the difference signal at $Q$ values exceeding 3 Å$^{-1}$, allowing robust separation of the solvent- and nanoparticle contributions in the analysis. At timescales longer than 100 ns (not shown) the signal becomes dominated by a strong signal surmised to arise from surface evaporation of the sample jet. At low $Q$, the time evolution is observed to be dominated by a feature growing in on a nanosecond timescale and disappearing on a 10-ns timescale. At higher $Q$, strong features at $Q = 3 \text{Å}^{-1}$ and weaker ones at $Q = 5.5 \text{Å}^{-1}$ appear promptly at the 200-ps time step and remain essentially constant in the entire time range shown. The $Q = 3 \text{Å}^{-1}$ features in the difference scattering signals can be directly compared with the (221), (310), and (311) peaks shown in the simulated $\epsilon$-phase nanoparticle diffraction signals in Supplemental Material Fig. S1 [35] (see also Ref. [40] therein), and the $Q = 5.5 \text{Å}^{-1}$ features appear related to the (510) and (520) peaks. As such, these qualitative observations are consistent with the initial photoexcitation of the Co nanoparticles leading to a change in structure on a <200-ps timescale followed by energy transfer to the surrounding solvent medium in agreement with previous nanoparticle studies using the same methodology [14]. Below we present a more detailed analysis of these general observations, leading to the model fits also shown in Fig. 3.

On the 100-ps to -µs timescales the solvent contribution has been shown to be well described by a linear combination of two difference signals which arise from the molecular rearrangement due to solvent temperature increase ($\Delta T_{\text{solv}} \frac{\partial S}{\partial T}$) and density changes ($\Delta \rho \frac{\partial S}{\partial \rho}$), respectively [38]. These terms were measured in a separate experiment on dye-heated 2-propanol following the procedures outlined in the work of K. Kjær et al. [39].

The difference scattering signal arising from photoexcited nanoparticle dynamics may come from several connected structural changes. Common for all of these possible contributions to the difference signal is that the ground-state scattering pattern ($S_{\text{off}}$) is a powder scattering pattern from randomly oriented 7-nm Co nanoparticles in the $\epsilon$ phase. This was simulated from a Gaussian distribution (FWHM = 4 nm) of $d = 7$ nm Co particles using the full pink-beam U17 undulator spectrum peaked at 18.2 keV using the Debye equation, $S(Q) = \sum_{i,j} f_i f_j \sin Q r_{ij}/Q r_{ij}$, where $f$ denotes the atomic form factor and $r_{ij}$ the distance between atoms $i$ and $j$.

Following photoexcitation and energy transfer from the electron system to the crystal lattice (<10 ps) several structural transformations are simultaneously active: Lattice disorder and lattice expansion due to heating, as well as possible phase transformations from the initial $\epsilon$ phase to either a $fcc$ phase, a $hcp$ phase, a disordered/liquid phase or a mixture of these. A transformation from the $\epsilon$ phase to a disordered phase would give rise to only negative features in the difference signal (diffraction peaks disappearing following photoexcitation) as discussed in further detail below, and as evident from Fig. 3, positive features are present at all the time delays shown, and we therefore focus the initial analysis on the possible solid-solid phase transformations.

To simulate the difference signal arising from solid-solid structural transformations, the scattering patterns for both $fcc$ and $hcp$ 7-nm nanoparticles were calculated (Fig. S2 in the Supplemental Material [35]). As for the $\epsilon$ phase, this was done via the Debye equation, but for each of the two possible structural transformations ($\epsilon \rightarrow hcp$ and $\epsilon \rightarrow fcc$) a two-dimensional set of difference signals were calculated corresponding to a range of values for the nanoparticle lattice expansion ($\Delta a$) and for temperature increase ($\Delta T_{\text{NP}}$), with the latter calculated via the Debye-Waller factor (Fig. S5 in the Supplemental Material [35] and Ref. [41] therein).

From these considerations and suppressing the $Q$ dependence for clarity of presentation, each of the two terms in Eq. (1) can be calculated through the following two expressions:

$$\Delta S_{\text{solv}} = \Delta T_{\text{solv}} \frac{\partial S}{\partial T} \frac{\partial S}{\partial \rho} |_{\text{mol}} + \Delta \rho \frac{\partial S}{\partial \rho} |_{\text{mol}},$$  \hspace{1cm} (2)

$$\Delta S_{\text{NP}} = \alpha S_{\text{hcp}}(\Delta T_{\text{NP}}, \Delta a) + \beta S_{\text{fcc}}(\Delta T_{\text{NP}}, \Delta a) - (\alpha + \beta) S_{\epsilon},$$  \hspace{1cm} (3)

rendering a full model with six parameters ($\alpha$, $\beta$, $\Delta T_{\text{NP}}$, $\Delta a$, $\Delta T_{\text{solv}}$, $\Delta \rho$), where $\alpha$ and $\beta$ denote the relative fractions undergoing a structural change. As the solvent contribution dominates at low-$Q$ and the Co nanoparticle term at high-$Q$ (see Fig. 3), the solute and solvent model parameters were found to be only weakly correlated. Least-squares fitting was used to fit Eqs. (1)–(3) to the acquired difference scattering signals. Figure 3 (left) shows the difference scattering signals acquired (gray curves) and the simulated difference signals (black curves) for 10 time delays in the range from 200 ps to 80 ns. Figure 3 (right) shows the model fit to the data at two time delays in more detail. At 800 ps, the difference signal at $Q > 2.5 \text{Å}^{-1}$ is dominated by the difference signal arising from structural changes in the nanoparticles, in particular the disappearance of the (221), (310), and (311) peaks of the $\epsilon$ structure around $Q = 3$ –3.5 Å$^{-1}$ which is accompanied by the grow-in of the $fcc$ and $hcp$ diffraction peaks slightly below $Q = 3 \text{Å}^{-1}$. From close inspection of the difference signal in the $Q = 2.8$–3.5 Å$^{-1}$ region it is evident how a model comprising a transformation to mixed-phase nanoparticles best captures all features of the signal—a complete transformation to $hcp$ will give rise to a difference signal with a shoulder at $Q = 2.8 \text{Å}^{-1}$ and a very sharp negative feature at $Q = 3.1 \text{Å}^{-1}$, features absent from the $\epsilon \rightarrow fcc$ difference signal. However, inclusion of the $\epsilon \rightarrow fcc$ difference signal allows the model to much better capture the width of the negative $Q = 3.1 \text{Å}^{-1}$ feature. Figure 3 (right bottom panel) illustrates this at 800 ps and shows a lower $\chi^2$ value (2.0) for a mixed phase transition compared to complete $hcp$ ($\chi^2 = 2.6$) or $fcc$ ($\chi^2 = 4.4$) transitions.
At a time delay of 4 ns, the difference signal shape in the high-$Q$ region of the data is essentially the same as at 800 ps (Fig. 3, left) but a strong negative feature has evolved at low $Q$. Figure 3 (right top panel) shows this in more detail, and in general all features of the difference signal are well captured by the solvent response in the model, $\Delta S_{\text{solv}}$, and arises from an increase in solvent temperature as well as increase in solvent density. As discussed in further detail below, this observation is assigned to the formation of vapor bubbles around the nanoparticles as previously seen in similar studies of photoexcited nanoparticles in solution [42,43].

Figure 4 shows the time evolution of all the six free parameters in the model applied to fit the data. In general we find that the difference signal at all time delays is well captured (Fig. 3) by a $\sim 2/3 \epsilon \rightarrow \text{hcp} (\alpha)$ and $\sim 1/3 \epsilon \rightarrow \text{fcc} (\beta)$ transformation (Fig. 4, lower left panel) with the lattice constant of the final structural phases being on the order of 1% larger than determined for bulk samples of Co. The temperature increase of the nanoparticles is determined to be initially on the order of 1000 K (for reference, the melting temperature of bulk Co is 1770 K), decreasing to ambient temperature on a 1- to 10-ns timescale (Fig. 4, upper right panel). This temperature decrease of the nanoparticles is followed by a 0.3 degrees temperature increase of the bulk solvent, along with a transient increase of 0.2 kg/m$^3$ (0.2‰) in the average density of the solvent in the probed volume.

Turning next to the shortest timescales investigated in this set of experiments, Fig. 5 shows difference scattering signals recorded at $\Delta t = 20$ ps and 100 ps, using the “slicing mode” where the short excitation pulse arrives at the sample position within the envelope of the x-ray pulse. The insert illustrates how at 100 ps the full x-ray pulse probes the photoexcited sample (shaded areas), whereas at nominal $\Delta t = 0$ only the latest 50 ps of the x-ray pulse probes photoexcited sample, leading to a smaller difference signal as discussed in full detail in Refs. [36,37,44]. The asymmetric pulse shape arises from wake-field effects in the synchrotron beam pipe [45]. To compensate for the down-scaling of the difference signal magnitude due to only part of the probe pulse interacting with photoexcited sample, the difference signals shown in Fig. 5 have been scaled accordingly and as such can be directly compared. $\Delta S(Q, \Delta t = 100 \text{ ps})$ is almost identical to $\Delta S(Q, \Delta t = 200 \text{ ps})$ shown in Fig. 3 (left), whereas $\Delta S(Q, \Delta t = 20 \text{ ps})$ has a significantly reduced magnitude.
of the positive features around $Q = 2.9 \text{ \AA}^{-1}$, indicating a possible disordered, short-lived precursor state to the fcc/hcp final state(s).

IV. DISCUSSION

Comparison of the calculated difference scattering with the measurements at 0.2–40 ns (Fig. 3) indicates a mixed $\epsilon \rightarrow \text{hcp/fcc} (2/3$ to $1/3$) phase transition following laser excitation. No significant change of the hcp/fcc ratio was observed during the time after the initial excitation indicating that the initially created hcp/fcc structure is stable also following cooling to room temperature.

As reviewed in the Introduction, most previous studies of phase transitions in $\epsilon$ Co nanoparticles were carried out by annealing and resulted in a complete phase transition to hcp at around 570 K and to fcc at around 770 K. The creation of a mixed phase of 1/3 fcc and 2/3 hcp structures is not in contradiction to these results as the near-instantaneous heating by an ultrafast laser pulse is fundamentally different from annealing over a longer time. Recent computational results, however, point to the quenching rate rather than the heating rate being key to determine the properties of the new fcc/hcp phases appearing after ultrafast heating and cooling [31]. In their study, quench rates from $10^{10}$ to $10^{12}$ K/s were investigated, directly comparable to the quench rate of $10^{11}$ K/s in the present study, and in this regime mixed-phase fcc/hcp nanostructures were observed to form, in agreement with the results presented above. Further, the timescale for forming fcc/hcp clusters with $\sim 10^4$ atoms (roughly corresponding to the 7-nm nanoparticles in this study) was estimated from these MD simulations to be $\sim 50$ ps in good agreement with the results presented in Fig. 5.

The appearance of the new crystal phases observed in the difference scattering signals in Figs. 3 to 5 take place on a significantly faster timescale than what has been reported for recrystallization following photoexcitation to a liquid phase. Plech et al. [13] reported a timescale of 0.5–5 ns for resolidification of Au nanoparticles at laser fluences below the ablation threshold, which is in good agreement with a recent study of small ($R = 1.5–4$ nm) CdSe nanoparticles at similar fluences [46], where timescales of 100 to 300 ps were estimated for $R = 1.5$ nm and $R = 3.8$ nm nanoparticles, respectively.

The observed particle cooling time of $\sim 5$ ns as estimated from the Debye-Waller factors (as opposed to most previous studies, which estimated the temperature from the lattice expansion $\Delta a/a$) is somewhat faster than the 10 ns Plech et al. [13] determined for 36-nm gold particles, as expected from the smaller particle size and slower than the 1 ns determined by Kirschner et al. for 3-nm CdSe nanoparticles [46]. As such, the present estimate for the nanoparticle cooling rate is in good agreement with these studies, as the nanoparticle size of 7 nm in our study is intermediate to the nanoparticle sizes in those two previous studies. In all cases, the cooling time is longer than expected from continuum theory [47] and from nanoparticles in solid matrices [48], an observation ascribed to the formation of insulating vapor bubbles around the photoexcited nanoparticles as energy is transferred to the surrounding solvent medium [43]. This nanobubble formation is readily observable and can be studied in the small angle x-ray scattering (SAXS) region [14], and in the present wide-angle x-ray scattering study the effect of the bubble formation can be seen as a transient increase in the solvent density as observed in Figs. 3 and 4. The timescale for the bubble collapse observed in this experiment is somewhat slower than what has been observed for 9 nm ($< 1$ ns) and 36 nm ($\sim 2$ ns) Au particles in water. This point toward factors such as a different solvent density response in 2-propanol compared to water, potentially due to, e.g., lower heat capacity resulting in bigger bubbles, but elucidating these effects will require a dedicated set of experiments.

V. CONCLUSIONS AND OUTLOOK

Time-resolved x-ray wide-angle scattering was successfully performed on 7-nm wide-angle x-ray scattering study the effect of the bubble formation can be seen as a transient increase in the solvent density as observed in Figs. 3 and 4. The timescale for the bubble collapse observed in this experiment is somewhat slower than what has been observed for 9 nm ($< 1$ ns) and 36 nm ($\sim 2$ ns) Au particles in water. This point toward factors such as a different solvent density response in 2-propanol compared to water, potentially due to, e.g., lower heat capacity resulting in bigger bubbles, but elucidating these effects will require a dedicated set of experiments.

With the ongoing improvements in synchrotron performance and instrumentation, several avenues are opening up for further studying phase transformations in nanoparticle systems. In particular, increased brilliance and an extended energy range following machine upgrades [49] will allow studies with a larger $Q$ range and utilizing quasimonochromatic rather than pink-beam x-rays, greatly increasing the fidelity of the analysis and potentially enabling further analysis of the observed peak broadening here ascribed solely to an increase in nanoparticle temperature. Such high-resolution studies suggest the possibility of discerning the formation of various microstructural phases [31] as a function of incident fluence and quench rates. On the $< 50$-ps timescale, x-ray free electron lasers now present an opportunity to study the structural dynamics on the very earliest timescales, from lattice heating via electron-phonon couplings through the formation of potential disordered precursor states to the final, fully crystallized, product state(s). Analysis tools such as x-ray cross correlation analysis (XCCA) allow for measuring ultrafast structural anisotropy induced by the polarized laser pulses [50]. As such, the methodology developed for the present study outlines a path toward studying not only the specific Co nanoparticle system studied here but also diffusion-less (martensitic) solid-solid phase transformations and disorder-disorder/order-order transitions in a wide range of nanoparticle systems.
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Ion-irradiation-induced amorphization of cobalt nanoparticles,


