

Making use of Life Cycle Assessment and Environmental Product Declarations – a survey with practitioners

Galindro, Bruno Menezes; Welling, Sebastian ; Bey, Niki; Olsen, Stig Irving; Soares, Sebastião Roberto; Ryding, Sven-Olof

Published in: Journal of Industrial Ecology

Link to article, DOI: 10.1111/jiec.13007

Publication date: 2020

Document Version Peer reviewed version

Link back to DTU Orbit

Citation (APA):

Galindro, B. M., Welling, S., Bey, N., Olsen, S. I., Soares, S. R., & Ryding, S-O. (2020). Making use of Life Cycle Assessment and Environmental Product Declarations – a survey with practitioners. *Journal of Industrial Ecology*, 24(5), 965-975. https://doi.org/10.1111/jiec.13007

General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

• Users may download and print one copy of any publication from the public portal for the purpose of private study or research.

- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the public portal

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

1	Journal of industrial ecology, 2020
2	Article Type: Forum
3	\mathbf{T} : \mathbf{A} , \mathbf{M} , \mathbf{L} , \mathbf{M} , \mathbf{L} , \mathbf{M} , M
4 5	Title : Making use of Life Cycle Assessment and Environmental Product Declarations – a survey with practitioners
	with practitioners
6 7	
8	Authors: Bruno Menezes Galindro ^{1,2} , Sebastian Welling ³ , Niki Bey ⁴ , Stig Irving Olsen ⁴ ,
9	Sebastião Roberto Soares ² , Sven-Olof Ryding ³
10	Sebastiao Roberto Soares, Sven-Otor Ryding
11	
12	Institutions:
13	¹ Instituto Federal de Educação, Ciência e Tecnologia de Santa Catarina – IFSC – Gaspar, Brazil
14	² Department of Environmental Engineering - Federal University of Santa Catarina – UFSC –
15	Florianópolis, Brazil
16	³ IVL Swedish Environmental Research Institute, Stockholm, Sweden
17	⁴ Sustainability Division, Department of Technology, Management and Economics, Technical
18	University of Denmark - DTU, Kgs. Lyngby, Denmark.
19	
20	
21	Corresponding Authors:
22	Bruno M. Galindro. Rua Adriano Kormann, 510 – 89111009 – Gaspar, Santa Catarina, Brazil.
23	bruno.menezes@ifsc.edu.br
24	
25	Sebastian Welling. Valhallavägen 81, 114 27 Stockholm, Sweden.
26	sebastian.welling@ivl.se
27	
28	Conflict of Interest Statement: The authors declare no conflict of interest.
29 30	Connect of Interest Statement: The authors declare no connect of interest.
31	
32	Keywords: Life Cycle Assessment, Environmental Product Declarations, benchmarking,
33	communication, industrial ecology.
34	communication, maastral coorsgy.
35	
36	Abstract: Life Cycle Assessment (LCA) and Environmental Product Declarations (EPDs)
37	represent important sources of information in applications such as ecodesign and process
38	optimization. However, their use in comparisons and communication is still limited. Therefore,
39	this article aims to understand the use of LCA- and EPD-information from the perspective of the
40	practitioners i.e. professionals with experience in dealing with this type of information. A survey
41	was built consisting of two questionnaires and two webinars, with questions related to core
42	themes: frequency and purpose of use, comparability, advantages and disadvantages for practical
43	use and reliability of different presentation formats. Also, two suggested benchmarking
44	frameworks were presented and discussed, later being commented upon and evaluated. Out of
45	the 55 respondents, 76% stated that they use both LCA- and EPD- information, primarily to
46	fulfill requirements from customers, in environmental management systems and for marketing

- 47 purposes. It was also stated that they use LCA- (73%) and EPD- (56%) information to make
- 48 comparisons but presented different responses and there were no established patterns as to the
- 49 procedures. Methodological limitations and the need of harmonization of Product Category
- 50 Rules (PCRs) were mentioned as limiting factors for comparisons between studies. Regarding
- 51 the benchmarking frameworks, both were indicated to be potentially applicable in
- 52 communication with consumers and between companies. It is concluded that LCA- and EPD-
- 53 information is used by the practitioners in different applications, and that there may be a need to
- 54 increase standardization efforts of benchmarking procedures, in order to improve communication
- 55 with non-specialist audiences.
- 56 57

60

59 **1. INTRODUCTION**

61 International standards for Life Cycle Assessments (LCA) (ISO, 2006b; c) lay the foundation for the calculation of the environmental performance of products and services in 62 63 accordance with a life cycle perspective. This robust set of methodologies, established over more 64 than 30 years of scientific research development, is capable of providing reliable information for 65 the generation of potential environmental impacts of a given production chain (Guinée, 2002; Hauschild, Rosenbaum, & Olsen, 2018). Throughout this period, companies, researchers, 66 67 practitioners and other stakeholders have been using information resulting from LCA in different 68 applications such as research and development, ecodesign, process improvements and the 69 identification of hotspots. However, in terms of communication and enabling the comparability 70 of the results from different studies, LCA information still has issues that need improvement in 71 order for the methodology to be even more recognized and expanded (Molina-Murillo & Smith, 72 2009; Reap, Roman, Duncan, & Bras, 2008; Testa, Nucci, Tessitore, Iraldo, & Daddi, 2016). In 73 this study, the term LCA- information is used for the results from LCA studies and its application 74 to be applied in external communication and comparisons. As stated in the standards for LCA 75 (ISO, 2006b; c), certain criteria shall be fulfilled in order to allow for this type of application. 76 Regarding LCA-information from products, one of the attempts to address these criteria was 77 through the standardization of environmental labelling in the ISO 14020 series of standards (ISO, 78 2000), especially for Type III declarations, called Environmental Product Declarations (EPDs) 79 (Ibáñez-Forés, Pacheco-Blanco, Capuz-Rizo, & Bovea, 2016). EPDs are based on underlying 80 LCA studies, which follow common calculation rules and are verified by a third-party. 81 The principles and requirements to develop and publish EPDs are described in ISO 14025 82 (ISO, 2006a). LCA studies for EPDs must follow specific calculation rules defined in the so-83 called Product Category Rules (PCRs) (Del Borghi, 2013; Ingwersen & Stevenson, 2012). A PCR is developed for a specific product category and contains, for example, criteria for goal and 84 scope definition, allocation procedures, cut-off criteria and selected databases for generic data, 85

which are fundamental elements to ensure the comparability of the EPD-information (Bovea,
Ibáñez-Forés, & Agustí-Juan, 2014; Del Borghi, 2013; Modahl, Askham, Lyng, SkjerveNielssen, & Nereng, 2013; Stevenson & Ingwersen, 2012). The term *EPD-information* is applied
within this article to mean the results of the underlying LCA study, published according to the
rules for EPDs as defined in ISO 14025.

91 Despite the advances made through the criteria established by PCRs, there are still 92 challenges to enable comparability between different products and communication of the results 93 of EPDs. The first issue refers to the need to increase the harmonization of PCRs from different 94 program operators (Del Borghi, 2013; Fet, Skaar, & Michelsen, 2009; Hunsager, Bach, & 95 Breuer, 2014; Ingwersen & Stevenson, 2012; Minkov, Schneider, Lehmann, & Finkbeiner, 2015, Gelowitz & McArthur, 2017; Toniolo, Mazzi, Simonetto, Zuliani & Scipioni, 2019). The second 96 issue is related to the fact that EPDs are technical documents where the information can be 97 98 difficult to understand by a non-specialist audience (Fet & Skaar, 2006; Ibáñez-Forés et al., 99 2016; Modahl et al., 2013; Passer et al., 2015). These issues have also been addressed relating to the use of LCA- information. For example, Rex, Fernqvist and Ryding (2019) indicated that 100 101 further guidance is needed for the interpretation of the results from an LCA study, and Sala and 102 Andreasson (2017) pointed out that the results need to be presented and visualized better and in 103 understandable ways. Considering that ISO 14025 states that EPD-information is primarily

104 intended for business-to-business communication, but nevertheless its use for business-to-

105 consumer communication is also foreseen (ISO, 2006a), it emphasizes the need to improve

interpretation and the understanding of the results, especially when aimed at an audience withoutmuch knowledge about LCA.

108 In this sense, initiatives to benchmark results appear as a possible solution to position the 109 environmental performance of a product among its competitors, thereby facilitating

110 communication. However, Galindro, Zanghelini and Soares (2019) show that such initiatives are

111 still seldom and scattered, meeting the specific demands of each category of products or

112 organizations but resulting in a fragmentation of initiatives for the same product type.

113 Brinkmann, Köhler, Boeth and Metzger (2018) point out that stakeholder' expectations on EPDs

to function as a benchmarking tool are still not fulfilled. New fields of applications for LCA- and

115 EPD- information have recently emerged in the construction sector, with credits and points

116 granted for building certification schemes such as LEED or BREEAM (Bernardi, Carlucci,

117 Cornaro, & Bohne, 2017; Gelowitz & McArthur, 2016). However, the use of EPDs in these

118 certifications in practice is still low (Gelowitz & McArthur, 2016; Bienert, Geiger, & Hirsch,

119 2017).

120 Thus, it is found that in the current scenario, second hand information regarding the results of a product LCA can be obtained in two ways: by analyzing reports, articles and other 121 122 documents published individually; or through EPDs, published through a program operator and 123 developed according to PCRs criteria. Considering that the use of EPD- information is newer 124 compared to LCA- information and that the number of products that have their information 125 presented in EPD form is still growing, it is important to identify the contexts of the use of either 126 information in terms of the possibilities, applications and limitations of each format. Although 127 this is not competing information, the option of using one or the other or its possible use in 128 different situations can contribute to a better understanding of the future perspectives of this 129 field.

130 In order to contribute to the development of solutions to the shortcomings highlighted above, it is important to understand the views of key stakeholders in the production chain, 131 132 especially those directly involved in the elaboration, development and application of LCA- and 133 EPD-information, namely the practitioners. The way information is used by practitioners and 134 how to improve it are still vital aspects to be explored. The engagement of practitioners in such a 135 process is fundamental to a successful outcome, especially for the validation and application of techniques and different presentation formats, and therefore, are the target audience of this 136 137 survey instead of other stakeholders, such as consumers or product designers. Thus, the present 138 article seeks to understand the use of LCA- and EPD- information from the point of view of the 139 practitioners. This was achieved by receiving their comments and suggestions on different types 140 of data presentation as well as feedback on two benchmarking frameworks presented. The 141 stakeholders invited to be part of the survey are familiar with LCA- and EPD-information, thereby having good knowledge and know-how for providing different theoretical and practical 142 143 feedback to the information presented. For this, the paper is structured as follows: Section 2 144 presents the methodology involved in the application of the survey, Section 3 presents and 145 discusses the results regarding the profile of practitioners and their feedback and Section 4 presents the conclusions and final recommendations. 146 147

148

2. HOW THE SURVEY WAS BUILT

A survey was prepared to obtain the opinion of practitioners, basically formed by experienced professionals in working with information from LCAs and EPDs. The group of practitioners covers, for example, researchers, managers, directors, consultants, and advisors from different sectors of society such as business services, government and manufacturing. The practitioners interviewed share similar experiences of using environmental information derived from LCA, either directly or as EPDs.

158 Three audiences were used as starting points: the large network of the International EPD[®] 159 System including collaborators and partner companies in several countries around the world; 160 professional groups related to the subject of LCA and EPD in the platform LinkedIn and 161 personal contacts of the authors of this study, which together made up a total of approximately 162 8000 practitioners. Direct invitations to participate in the survey were sent out by e-mail to 180 163 practitioners with known experience in the field within the network of the authors. From the 164 contact established by e-mail, newsletters or in posts in the LinkedIn groups, practitioners were 165 also asked to share the invitation to the survey with relevant persons in their own networks. The invitation sent to the target audience included descriptive texts of research activities of interest, 166 167 with further explanations of the issues addressed, together with a link to the questionnaires, 168 which were made available online in the Google Forms platform. To facilitate the process of 169 getting feedback, the respondents only needed to answer the questions on the platform and 170 submit the answers online to the researchers.

171 The survey was conducted in four stages: two surveys and two questionnaires, details of 172 which can be found in the Supporting Information section. The first questionnaire contained 26 173 questions related to core themes: the frequency and purpose of the use of the information, 174 comparability of LCAs and EPDs, advantages and disadvantages of using such information, and 175 the reliability of different types of environmental information. In this sense, different question 176 formats were presented, depending on the need and detail of each of the questions, such as 177 multiple choice, open answers and scale assignments. The practitioners were also directed to 178 different questions, for example, when responding to the question "Do you use LCA and / or 179 EPD information?" the answer could be "Yes, I use both,". If so, the practitioner would have to 180 answer follow-up questions for both subjects, while if the answer was "Yes, I use LCA 181 information", the practitioner would only have to answer questions related to the use of LCA 182 information. Therefore, the number of questions and answers given could vary among the 183 participants.

184 Following the survey, practitioners were invited to attend and participate in a webinar in 185 which two suggested benchmarking frameworks were presented and discussed according to 186 Galindro, Bey, Olsen, Fries, and Soares (2019) and Welling and Ryding (2019). The participants 187 were able to chat online during the webinar with the authors of the proposals, including both 188 asking questions and giving suggestions. The second questionnaire contained 25 questions, 189 which referred to analyses of different formats of data presentation, future perspectives of use of 190 LCA and EPD information and feedback on the proposals presented at the first webinar. The 191 feedback from both questionnaires was presented in a second webinar where the participants 192 were again able to ask questions and give their feedback on the study. The webinars were 193 recorded and made available later on for those who were not able to participate in real time. 194 Links are also available in the Supporting Information section. The entire process, including 195 questionnaires and webinars, took place from August 2018 to February 2019.

196 The feedback given on both questionnaires was assessed and analyzed in Excel 197 spreadsheets. For objective responses, the final percentage of respondents was considered for 198 each of the alternatives presented. Questions with open text feedback were analyzed and grouped 199 in categories by the authors.

- 200
- 201 202

3. THE USE OF LCA- AND EPD- INFORMATION

203 The first questionnaire was responded to by a total of 55 practitioners, divided into 204 different sectors of society and their organizational positions. Participants were from 21 different 205 countries with a predominance of Europeans (76% of the respondents). In terms of sectors, 206 business services were the most represented (16%), followed by manufacturing companies (15%) 207 and from governments (11%). It is worth noting that 27% of the practitioners stated to come 208 from other sectors, which were not further specified. As for the organizational positions, most of 209 the practitioners were managers/leaders (33%), heads/CEOs (25%), researchers (18%) or 210 consultants (13%). See Supporting Material for further details about the profile of the 211 practitioners.

Regarding the use of environmental information, 76% of the practitioners stated that they use both LCA- and EPD- information, 10% use LCA- information only, 5% use EPDinformation only and 10% do not use either type of information. When asked about the

215 frequency of using this type of information, 43% stated the use of LCA- and 38% of EPD-

216 information daily. A slightly higher frequency of using LCA-information may be noted

compared to EPD-information. The share of the participants that use EPD-information weekly
(35%) is higher than for LCA-information (25%). Approximately a quarter of the practitioners

stated that they use LCA-information (24%) or EPD-information (21%) on a monthly basis. Only

a minority of practitioners stated that LCA- (8%) or EPD-information (6%) is used yearly.

221 Concerning the purpose of using the information, practitioners were given several options 222 and could choose more than one for using both LCA- and EPD-information. In general, both 223 LCA- and EPD-information were indicated with a similar extent of use with small variations 224 between them. The numbers in terms of total responses and percentages of use in all items are 225 presented in Figure 1. Despite the less frequent use of EPD-information, practitioners indicated 226 that both seem to have similar applications. Specifically, the use to "Fulfill requirements from 227 customers" was the most frequently stated option by practitioners for both LCA- (21%) and 228 EPD-information (23%), followed by "Environmental management systems" (19% and 21% 229 respectively) and "Marketing" (14% and 16%, respectively). Other relevant purposes of use such as "Public procurement", "Requirements on suppliers" and "Providing data within programs" 230 231 were also stated but with less frequent applications. Figure 1 presents the results obtained for the 232 questions regarding the purpose of using the information.

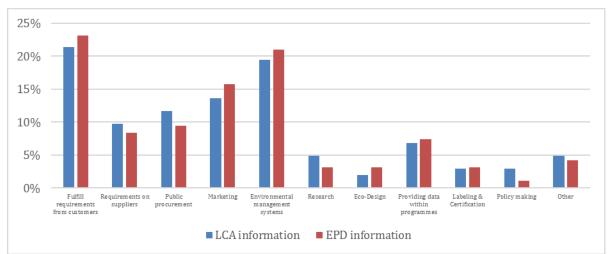


Figure 1. Purpose of using environmental information as stated by the respondents of the
questionnaire (in % of the practitioners). Underlying data used to create this figure can be found
in the Supporting Information.

The reasons for using LCA- and EPD- information for these purposes can be clustered into six main categories. The practitioner's position and role in their organizations (38%) is by far the most relevant motive for using LCA-information, as it is the same for using EPDinformation but not to the same extent (27%), this is followed by the need and requirements from the market (24%) and the quality and credibility of the information (24%). Improvement of processes and products (15%) as well as market needs and requirements (13%) are additional motives for using LCA information.

246 Regarding the use of the information for comparisons of the environmental performances 247 between different products, it is noticed that there is a difference in the perception of the 248 practitioners in relation to LCA- and EPD-information. Most of the practitioners used this 249 information to make comparisons both for LCA- and EPD- information. 73% of the practitioners 250 claimed to use LCA-information for comparisons, while the corresponding use of EPD-251 information was less cited (56%). Methodological limitations, the proliferation of EPD program operators, different calculation assumptions in the studies and the absence of benchmarks were 252 253 mentioned as some of the reasons for not using EPD-information for comparisons. It is worth 254 noting that 73% of practitioners claimed to use EPD-information from more than one EPD 255 program operator. Although the initial objective of using EPD-information is to facilitate and 256 enable comparability between the environmental performance of products (as stated in ISO 257 14025), the results of the questionnaire indicate that the diversity of PCRs created in different 258 EPD programs may contribute to explain the lower use of EPD- information in comparisons. 259 Another possibility that may help explain the stated lower use of EPD-information in 260 comparisons by the practitioners is that they may prefer to make comparisons using their own criteria rather than using what is defined in PCRs. The availability of the types of information 261 262 studied as well as the time of existence on the market may influence the perceived credibility. For those practitioners who answered "Yes" to the question about using the information 263 264 to make comparisons, the questionnaire also included the questions "Is it possible to indicate a 265 product with less impact to the environment through these comparisons?" and "How?". For both

LCA- and EPD- information, approximately 67% of practitioners stated that "Yes" - it is possible, but with different methods and techniques applied by each of the practitioners. The

- answers to the question of how comparisons were performed were clustered into groups based on
- the free-text answers by the practitioners as illustrated in Figure 2. A detailed analysis of the
- underlying report and study is carried out by 40% of the practitioners that use EPD- and 24%
- that use LCA-information to indicate a product with less impact. The use of benchmarks for comparisons, such as average data, best-in-class values and the worst-case scenario, was stated
- by 19% of the practitioners. Comparisons with similar products (19%) and the use of the results
- from the impact assessment (19%), including the use of specific Key Performance Indicators
- 275 (KPIs) determined by the industry or the stakeholders (such as energy use and water
- consumption) were stated by practitioners that use EPD- information to perform comparisons. It
- 277 was noted that practitioners seem to apply the comparisons in a specific way to try to meet this
- 278 demand based on the personal or stakeholders' understanding of how to interpret the LCA- or the
- EPD-information. None of the respondents mentioned any rule or regulations that would havebeen followed in relation to these procedures.
- 281

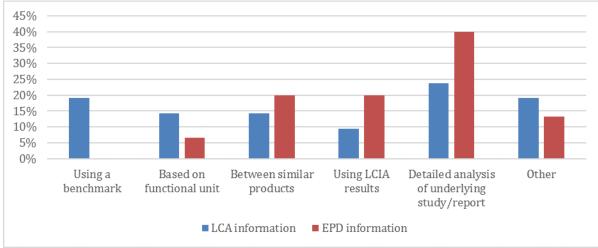


Figure 2. Different methods for performing comparisons based on various environmental
information (in % of the responses). Underlying data used to create this figure can be found in
the Supporting Information.

286

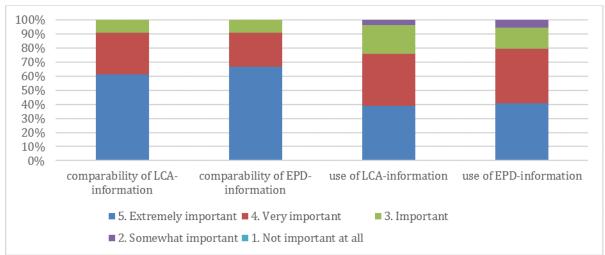
Practitioners were also asked about the importance of common calculation rules for the 287 288 comparability and the use of LCA and EPD information (see Figure 3). For each of the four 289 situations (use of LCA-information; use of EPD-information; comparability of LCA-290 information; and comparability of EPD-information), a ranking was attributed ranging from 1 291 "Not important at all" to 5 "Extremely important". Among all the situations presented, the 292 comparability of EPD-information was the one where the use of common calculation rules was 293 considered the most important, ranked 5 by 67% of practitioners and ranked 4 by 24%. Next, to 294 the comparability of LCA-information, the calculation rules were considered "5 - extremely 295 important" by 61% of practitioners and "4 - very important" by 30%. For the "use of EPD-296 information", the use of common calculation rules was ranked 5 by 39%, 4 by 37% and 3 by 297 20% of the practitioners. In turn, for the "use of LCA-information" 39% practitioners considered 298 the rules "5 - extremely important", 37% "4 - very important", and 20% "3 - important". In 299 general, the use of common calculation rules was considered more important for comparability 300 than for use of LCA- and EPD- information and slightly more important for EPD- than for LCA-301 information. This is reasonable, since EPDs are based on specific PCRs and their comparability

302 is supported by applying the same calculation rules. The results of this question somewhat

303 contrast with the practitioners' earlier answer, since while they consider common calculation

rules to be important, they still use more LCA- than EPD-information in comparisons, asmentioned before.

306



307

311

Figure 3. Importance of the use of common calculation rules, i.e. based on PCRs for the use and
 comparability of LCA- and EPD-information (in % of the responses). Underlying data used to
 create this figure can be found in the Supporting Information.

312 Through the options of free text answers, practitioners were able to leave their opinions 313 on the main advantages, disadvantages, strengths and weaknesses of using LCA- and EPD-314 information. Regarding advantages and strengths, the main aspects indicated were Credibility / 315 Transparency (38% for LCA- and 35% for EPD-information), Decision support / Understanding 316 of the product (21% for LCA and 27% for EPD-information) and Usability for comparisons (15% for LCA- and 31% for EPD-information). Regarding disadvantages and weaknesses, the 317 318 main aspects indicated were Comparability issues (31% for LCA- and 41% for EPD-319 information), Difficulties to understand and interpret results (23% for LCA- and 17% for EPDinformation), Uncertainty of results (21% for LCA- and 7% for EPD-information) and 320 321 Methodological issues (8% for LCA- and 14% for EPD-information). Figure 4 and Figure 5 322 show the detail of the answers given by the practitioners. Although they are indicated as credible 323 and transparent information by many practitioners, it is noticed that once again the limitations of 324 comparability are indicated by practitioners as points to be improved in the future developments 325 of LCAs and EPDs. In addition, limitations in communication and in the understanding of the 326 results and their significance are also mentioned and address issues observed in previous studies, 327 such as Reap et al. (2008), Molina-Murillo and Smith (2009) and Galindro, Zanghelini and 328 Soares (2019). It is worth noting that in relation to the uncertainties of the results, practitioners 329 considered this limitation to be more related to LCA- than to EPD-information, which may 330 indicate that there is a common understanding that PCRs play an important role for a proper 331 understanding of the results.

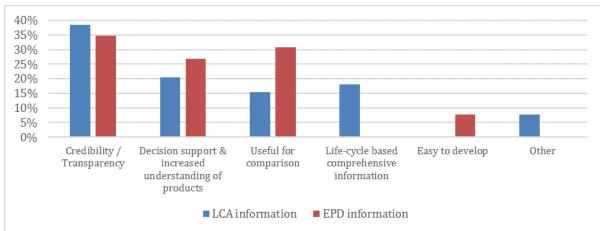
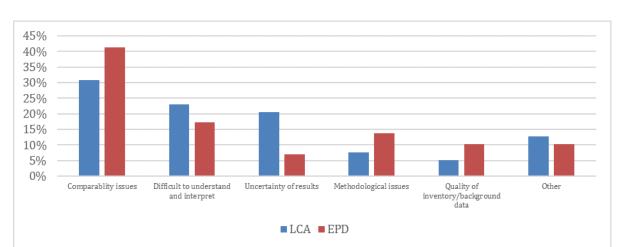


Figure 4. Advantages and strengths of LCA- and EPD-information (in % of the responses). Underlying data used to create this figure can be found in the Supporting Information.



335

336 337



Figure 5. Disadvantages and weaknesses of LCA- and EPD-information (in % of the responses).
Underlying data used to create this figure can be found in the Supporting Information.

342 On the concept of credibility, practitioners were asked to evaluate 5 environmental 343 communication options: LCA-information, EPD-information, environmental labels, own 344 statements / self-declarations and other communication formats. For this, a scale of 5 levels of 345 perceived credibility was presented: 1 - No credibility, 2 - Low credibility, 3 - Partial credibility, 4 - High credibility and 5 - Total credibility. Communication via EPD-information was 346 considered to have the highest credibility, being evaluated positively (ranking 4 and 5 combined) 347 348 by 70% of the practitioners. LCA-information was also positively assessed by 68% of the 349 practitioners. In turn, the environmental labels were considered to have less credibility - 59% of 350 the practitioners. Self-declarations and other forms of communication were evaluated negatively, 351 with rankings 1 and 2 combined by 78% and 68% of practitioners, respectively. The perception 352 of the credibility and the classification into the presented scale may vary among the participants. 353 Figure 6 shows the detail of practitioners' assessments of these communication options. 354

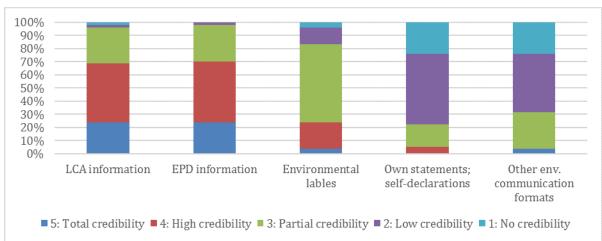


Figure 6. Evaluation of the credibility of different environmental communication options. 357 Underlying data used to create this figure can be found in the Supporting Information. 358

359 Practitioners' views on the credibility of environmental labels as a communication option 360 may be associated with a large proliferation of labels on the market with different approaches and methodologies with no further explanations, as indicated in the reports from the European 361 Commission (2009, 2013a). Perceived credibility of single environmental labels may also differ, 362 and answers could therefore vary for specific labels compared to labels in general. Because the 363 364 respondents are mostly familiar with the LCA field, it is likely that they will consider the 365 environmental communication options that directly involve this methodology as being more 366 credible. However, the result indicates that despite limitations in making use of the comparisons and other related issues mentioned above, practitioners tended to prefer environmental 367 368 communication options that were more closely related to a life-cycle perspective, with no 369 significant variations between LCA- and EPD- information.

- 370
- 371

4. PRESENTATION FORMATS AND BENCHMARKING FRAMEWORKS

372 The second questionnaire was answered by 14 practitioners out of 55 possible 373 respondents from the first questionnaire. This was to some extent expected since it was based on 374 a follow-up from the first webinar where the benchmarking frameworks were presented. 375 Nonetheless, the results may provide an initial indication of the perceptions in this target group. 376 Conducting further studies including a larger number of respondents and broader geographical 377 coverage may give a more comprehensive view to the findings of this study. The composition of 378 the practitioners that responded to the survey showed a majority of European representatives 379 (71%), with organizational positions of researchers (50%) and consultants (14%) working in the 380 education (36%) and manufacturing (29%) sectors.

381 In this second questionnaire, participants were asked to indicate preferred types of 382 application for six different presentation formats of LCA- or EPD-information. Multiple 383 applications types could be chosen for each presentation format (e.g. mass- and energy flows 384 could be indicated for preferred use within B2B communication and internal use). The results are 385 presented Figure 7, where (1) results describe mass- and energy flows in an inventory table, (2) 386 detailed flow schemes covering inputs and outputs to and from all unit processes, (3) information 387 about environmental problems for different so-called environmental impact categories, (4) 388 specific information on "single issue" communication formats such as Carbon Footprint, (5)

389 results from "hot-spot analyses" giving a rough indication of the extent of the potential

environmental impact in the various stages of a product's life cycle, and (6) as an aggregated and
 weighed assessment of the total environmental impact expressed in simple quantitative ways
 indicated by ranges and simple scales using different colors.

In general, the practitioners considered all the presentation formats appropriate for internal use, highlighted as the most appropriate use for five of the six formats. In addition, it

395 may be noted that the simpler presentation formats (e.g. aggregated single scores and hot-spot

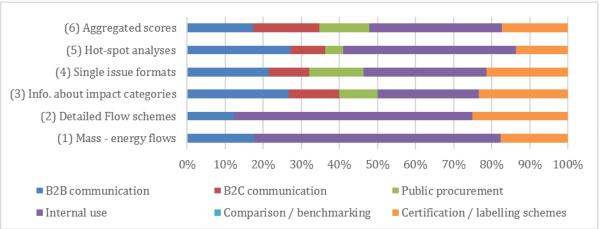
396 analyses) were considered more appropriate to perform comparisons and benchmarking.

397 However, none of the suggested formats was generally recommended by most practitioners for

398 use directed to non-specialist audiences such as certification/labelling schemes, business-to-

399 business (B2B) and business-to-consumer (B2C) communication.





401

Figure 7. Types of applications for different presentation formats for LCA- and EPDinformation. Underlying data used to create this figure can be found in the Supporting
Information.

405

406 When practitioners were asked "Is it able to develop a fair benchmark based on products 407 of the same product category, as defined in product category rules, used to develop EPDs?", the 408 responses were divided in their understanding since 50% answered "Yes" and 50% answered 409 "No". Among the limitations in the development of benchmarks, issues regarding the 410 consistency of the databases used, variations of the boundaries of the evaluated system, 411 methodological choices (such as the adopted emission factors) and the granularity of product 412 categories were all mentioned. In general, some problems pointed out seem to be especially 413 related to the need for harmonization and consistency of PCRs, as well as the understanding of 414 some practitioners that products within the same product category are not comparable because 415 they may have different functions. However, some practitioners claimed that it is not possible to 416 find an ideal solution and that a benchmark initiative can contribute to a better comparability of 417 EPD-information and support decision making, since they have been developed for the same 418 PCRs. 419 Although the number of survey respondents is very small compared to the initial target

420 audience, the overall opinions of the practitioners in the second questionnaire seem to present

similarities with the questions already pointed out by some previous studies such as Fet and

422 Skaar (2006), Ingwersen and Stevenson (2012), Modahl et al. (2013), Hunsager et al. (2014),

423 Minkov et al. (2015) and Ibáñez-Forés et al. (2016) regarding the need to increase efforts to

424 harmonize PCRs. Such an attempt should preferably establish common calculation rules that

425 meet the specifications of each product category and provide a detailed framework of guidelines

426 and procedures to be adopted for robust communication. Despite the efforts already made in the

427 harmonization of the PCRs, such as the publication of the Guidance for Product Category Rule

428 Development (GPCRD) (Ingwersen & Subramanian 2014), the development of the technical

standard ISO/TS 14027:2017 (ISO 2017) and recent initiatives to harmonize Mutual Recognition
 Agreements (MRA), some of the practitioners seem to consider that the use of EPD-information

430 Agreements (MRA), some of the practitioners seem to consider that the use of EPD-inform

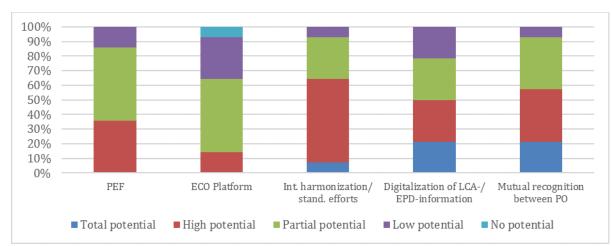
431 for comparison and benchmarking is still somewhat limited.

432 Regarding the feedback of the benchmarking frameworks presented (see Supporting 433 Material), 43% of the respondents considered the work of Welling and Ryding (2019) to be 434 applicable in B2B communication and Eco-design. B2B communication, marketing, policy-435 making, public procurement and research were considered by 36% of the respondents as 436 important application areas for broader applications. Despite the fact that variations in the 437 communication formats facilitate meeting a number of needs for different audiences of the 438 environmental information, the foundation elements for the comparability, e.g. functional unit, 439 system boundaries and other underlying calculation rules of the information were all identified as 440 key aspects for the applicability of the benchmarking proposal. A further regional or product-441 specific division of the properties is suggested by the respondents of the study, as well as 442 consideration of geographical validity and time representativeness of the results. 57% of the 443 practitioners considered the proposal of Welling and Ryding (2019) feasible for application in 444 different product categories. It is stated that due to the current lack of data within other product 445 categories, the applicability may increase with greater availability of LCA- and EPD-446 information.

447 The benchmarking framework suggested in Galindro, Bey et al. (2019) was considered to 448 be applicable in B2C communication by 43% of practitioners, and in product comparisons by 449 36% and in research by 29% of respondents. In general, despite considering the proposal 450 complex and requiring more information for a better understanding of the framework, 64% of practitioners may consider the proposal somewhat applicable for application in different product 451 452 categories. Because the benchmarking framework of Galindro, Bey et al. (2019) is based on a 453 linear programming methodology, there are some procedures and considerations that need to be 454 presented in further details. This may have caused the difficulty in understanding the framework 455 by the practitioners, once they were introduced to the concepts through the webinar presentation. 456 It is expected that further dissemination of the proposal will make its content more clear as well 457 as improve its feasibility for implementation. In any case, this suggested framework was 458 perceived as having the potential to contribute to communication and make the results more clear 459 for non-specialist audiences.

460 In terms of potentials to promote and facilitate the interpretation and use of LCA- and 461 EPD-information in the future, practitioners were asked to evaluate five different options: Product Environmental Footprint (PEF); ECO Platform; International harmonization and 462 463 standardization efforts; Digitalization of LCA and EPD information; and MRA (see Supporting 464 Material for further information and references). Each of the options listed could be evaluated 465 separately, considering that the listed options may cover different aspects and also overlap with each other. Some of the practitioners considered that international harmonization and 466 467 standardization efforts, MRA between program operators and digitalization of LCA- and EPDinformation have potential for practical use, being indicated as high or total potential by 64%, 468 57% and 50% of practitioners, respectively (Figure 8). These initiatives are evaluated to have 469

- 470 greater potential compared to the remaining options, such as PEF (European Commission,
- 471 2013b) and ECO Platform (2019). However, it is worth mentioning that the question of
- 472 harmonization and standardization seems to arise as a very recurrent demand by practitioners,
- 473 together with other initiatives with complementary objectives.
- 474



476 Figure 8. Potentials of selected options to promote future interpretation and use of LCA- and
477 EPD- information. Underlying data used to create this figure can be found in the Supporting
478 Information.
479

5. CONCLUSIONS

481 482

480

This article describes results from a study that intended to understand how environmental information from LCAs and EPDs are used by different practitioners. Through two online questionnaires, stakeholders were able to provide their views about the reliability, use, and application of the results of LCA- and EPD-information. The study also captured practitioners' opinions and suggestions on suitable ways to present the information, as well as their feedback on two suggested frameworks for benchmarking, via two webinars.

489 Regarding the reliability of LCA- and EPD- information, practitioners generally have a 490 positive view regarding the usefulness and applicability of these types of data, being somewhat 491 more pronounced for LCA-information. Results from LCA and EPD studies can be used for 492 different applications, such as marketing, public procurement, communication, environmental 493 management, and strategic development. In these applications, LCA- and EPD- information are 494 generally considered more reliable when compared to other forms of environmental 495 communication, such as ecolabels and self-declarations. LCA is broadly seen as a more robust 496 methodology and is suggested to be used more widely in several practical market applications. 497 The practitioners' general perception showed that the LCA-information is applied even 498 when comparing the environmental performance of products, which is not necessarily the main 499 focus of LCA, according to relevant international standards. EPD-information is also used for 500 such comparisons, but to a lesser extent than for LCA-information, which was claimed as being 501 due to the limited use of common calculation rules. Common calculation rules are very important 502 both for the use and comparability of results from LCA- and EPD-information, emphasizing the 503 need for more harmonization efforts when developing PCRs. This article indicates that

504 comparing the environmental performance of products, despite current limitations, is a recurrent

505 activity among practitioners. The perceived lack of official guidance tends to increase

- 506 diversification of initiatives in terms of the use of specific procedures and techniques for
- 507 calculations and comparisons. It is therefore important that efforts are made to guide
- 508 harmonization of PCRs so that comparisons based on EPD-information can be carried out in an 509 appropriate, transparent and fair manner.

510 The second questionnaire indicated a tendency for agreement among practitioners 511 regarding communication aimed at non-specialist audiences (B2B and B2C, for example), that 512 simpler presentation formats such as aggregated single scores or unique indicators should be 513 preferred. Benchmarking frameworks proposed by the authors in previous studies, were pointed 514 out as having good potential for being implemented for both B2C communication and for use in 515 certification/labelling schemes. Limitations were mentioned also for these types of applications 516 based on EPD data due to the need to increase harmonization and common calculation rules, 517 which underlines the need for progress to overcome some of the limitations. However, the 518 limited number of respondents to the questionnaire does not allow a dedicated in-depth analysis 519 on the validation of the proposals, requiring a greater dissemination and presentation of these

520 proposals for a wider range of practitioners in the future.

521 The comparatively low number of respondents of the first and especially of the second 522 questionnaire is a limiting factor for the analysis of the results drawn in this study. The approach 523 taken in this study, even though it is practical and cost-effective to be able to receive inputs from 524 experts on a global scale, limits responses to participants who are willing to fill the forms and 525 attend webinars. Other approaches such as hosting workshops or organizing side events for 526 specific purposes at conferences could increase response rates but could also result in limiting 527 the study's respondents only to certain stakeholders who attend international events. For future 528 studies, including non-practitioners could provide broader perspectives on the use of LCA- and 529 EPD-information. Nevertheless, this study still allowed to infer that practitioners may demand 530 more comparability. It was also possible to gain some understanding of the practitioners' practice 531 and capture some of their future needs towards LCA and EPD application.

- 532
- 533

534 **REFERENCES**

535

536 Bernardi, E., Carlucci, S., Cornaro, C., & Bohne, R. A. (2017). An analysis of the most adopted

- rating systems for assessing the environmental impact of buildings. Sustainability (Switzerland),
 9(7), 1–27. https://doi.org/10.3390/su9071226
- 539
- 540 Bienert, S., Geiger, P., & Hirsch, J., 2017. Grün kommt! Europäische Nachhaltigkeitsstatistik
 541 2017, Frankfurt am Main: RICS Deutschland.
- 542
- 543 Bovea, M. D., Ibáñez-Forés, V., & Agustí-Juan, I. (2014). Environmental product declaration
- (EPD) labelling of construction and building materials. In Eco-Efficient Construction and
 Building Materials (pp. 125–150).
- 546 https://doi.org/http://dx.doi.org/10.1533/9780857097729.1.125
- 547
- 548 Brinkmann, T., Köhler, S., Boeth, A., Metzger, L., 2018. Environmental Product Declarations.
- 549 Benefits, Expectations and Fulfilments A Stakeholder View. Part 1. Retrieved from:
- 550 https://www.brandsandvalues.com/study1-epd-environmentalproductdecl

552 Del Borghi, A. (2013). LCA and communication: Environmental Product Declaration. 553 International Journal of Life Cycle Assessment, 18(2), 293-295. https://doi.org/10.1007/s11367-554 012-0513-9 555 556 ECO Platform (2019). Welcome to ECO Platform. Retrieved from: https://www.eco-557 platform.org/. 558 559 European Commission. (2009). Europeans' attitudes towards the issue of sustainable 560 consumption and production: analytical report. Luxembourg: Office for Official Publications of 561 the European Communities. 562 563 European Commission. (2013a). Attitudes of Europeans towards building the single market for 564 green products. Luxembourg: Office for Official Publications of the European Communities. 565 566 European Commission. (2013b). Communication from the commission to the European Parliament and the Council. Building the Single Market for Green Products Facilitating better 567 568 information on the environmental performance of products and organisations. Luxembourg: 569 Office for Official Publications of the European Communities. 570 571 Fet, A. M., & Skaar, C. (2006). Eco-labeling, Product Category Rules and certification 572 procedures based on ISO 14025 requirements. The International Journal of Life Cycle 573 Assessment, 11(1), 49-54. https://doi.org/10.1065/lca2006.01.237 574 575 Fet, A. M., Skaar, C., & Michelsen, O. (2009). Product category rules and environmental product 576 declarations as tools to promote sustainable products: Experiences from a case study of furniture 577 production. Clean Technologies and Environmental Policy, 11(2), 201–207. 578 https://doi.org/10.1007/s10098-008-0163-6 579 580 Galindro, B. M., Bey, N., Olsen, S. I., Fries, C. E., & Soares, S. R. (2019). Use of Data Envelopment Analysis to benchmark Environmental Product Declarations - a suggested 581 582 framework. International Journal of Life Cycle Assessment. https://doi.org/ 10.1007/s11367-583 019-01639-1 584 585 Galindro, B. M., Zanghelini, G. M., & Soares, S. R. (2019). Use of benchmarking techniques to 586 improve communication in life cycle assessment: A general review. Journal of Cleaner 587 Production, 213, 143–157. https://doi.org/10.1016/j.jclepro.2018.12.147 588 589 Gelowitz, M. D. C., & McArthur, J. J. (2016). Investigating the Effect of Environmental Product 590 Declaration Adoption in LEED® on the Construction Industry: A Case Study. Procedia 591 Engineering. https://doi.org/10.1016/j.proeng.2016.04.014 592 593 Gelowitz, M. D. C., & McArthur, J. J. (2017). Comparison of type III environmental product 594 declarations for construction products: Material sourcing and harmonization evaluation. Journal 595 of Cleaner Production, 157, 125-133. https://doi.org/10.1016/j.jclepro.2017.04.133. 596

- 597 Guinée, J. B. (2002). Handbook on Life Cycle Assessment, Operational Guide to the ISO
- 598 Standards. Geneva, Switzerland: Springer.
- 599
- 600 Hauschild, M.Z.; Rosenbaum, R.K.; Olsen, S.I. (2018) Life Cycle Assessment: theory and
- 601 practice. Geneva, Switzerland: Springer.
- 602
- Hunsager, E. A., Bach, M., & Breuer, L. (2014). An institutional analysis of EPD programs and a
- global PCR registry. The International Journal of Life Cycle Assessment, 19(4), 786–795.
 https://doi.org/10.1007/s11367-014-0711-8
- 606
- 607 Ibáñez-Forés, V., Pacheco-Blanco, B., Capuz-Rizo, S. F., & Bovea, M. D. (2016).
- 608 Environmental Product Declarations: exploring their evolution and the factors affecting their
- 609 demand in Europe. Journal of Cleaner Production, 116, 157–169.
- 610 https://doi.org/10.1016/j.jclepro.2015.12.078
- 611
- 612 Ingwersen, W. W., & Stevenson, M. J. (2012). Can we compare the environmental performance
- 613 of this product to that one? An update on the development of product category rules and future
- 614 challenges toward alignment. Journal of Cleaner Production, 24, 102–108.
- 615 https://doi.org/10.1016/j.jclepro.2011.10.040
- 616
- Ingwersen, W.W. and V. Subramanian. 2014. Guidance for product category rule development:
 process, outcome, and next steps. The International Journal of Life Cycle Assessment 19(3):
 532–537.
- 620
- 621 ISO 14020:2000 (2000) International standard Environmental labels and declarations –
- 622 General principles. International Organization for Standardization. Geneva, Switzerland
- 623

624 ISO 14025:2006 (2006a) International standard – Environmental labels and declarations. Type

625 III environmental declarations—principles and procedures. International Organization for

- 626 Standardization. Geneva, Switzerland
- 627
- 628 ISO 14040:2006 (2006b) International standard Environmental Management Life Cycle
- Assessment Principles and Framework. International Organization for Standardization. Geneva,
 Switzerland
- 631
- 632 ISO 14044:2006 (2006c) International standard Environmental Management Life Cycle
- Assessment Requirements and Guidelines. International Organization for Standardization.
 Geneva, Switzerland
- 635
- 636 ISO/TS 14027:2017 (2017) International standard Environmental labels and declarations —
- 637 Development of product category rules. International Organization for Standardization. Geneva,
- 638 Switzerland
- 639640 Minkov, N., Schneider, L., Lehmann, A., & Finkbeiner, M. (2015). Type III Environmental
- 641 Declaration Programmes and harmonization of product category rules: Status quo and practical

- 642 challenges. Journal of Cleaner Production, 94, 236–246.
- 643 https://doi.org/10.1016/j.jclepro.2015.02.012
- 644
- 645 Modahl, I. S., Askham, C., Lyng, K. A., Skjerve-Nielssen, C., & Nereng, G. (2013). Comparison
- of two versions of an EPD, using generic and specific data for the foreground system, and some
- methodological implications. International Journal of Life Cycle Assessment, 18(1), 241–251.
 https://doi.org/10.1007/s11367-012-0449-0
- 649
- Molina-Murillo, S. A., & Smith, T. M. (2009). Exploring the use and impact of LCA-based
- 651 information in corporate communications. International Journal of Life Cycle Assessment, 14(2),
- 652 184–194. https://doi.org/10.1007/s11367-008-0042-8
- 653
- 654 Passer, A., Lasvaux, S., Allacker, K., De Lathauwer, D., Spirinckx, C., Wittstock, B.,
- Kellenberger, D., Gschösser, F., Wall, J., Wallbaum, H. (2015). Environmental product
- declarations entering the building sector: critical reflections based on 5 to 10 years experience in
- 657 different European countries. International Journal of Life Cycle Assessment, 20(9), 1199–1212.
- 658 https://doi.org/10.1007/s11367-015-0926-3
- 659
- 660 Reap, J., Roman, F., Duncan, S., & Bras, B. (2008). A survey of unresolved problems in life
- 661 cycle assessment. Part 1: Goal and scope and inventory analysis. International Journal of Life
- 662 Cycle Assessment, 13(4), 290–300. https://doi.org/10.1007/s11367-008-0008-x
- 663
- Rex, E., Fernqvist, N., Ryding, S-O, 2019. Recommendation and context: the missing links for
 increased life cycle impact in large industry organisations. Submitted to International Journal of
 Life Cycle Assessment.
- 667
- 668 Sala, S., & Andreasson, J. (2018). Improving Interpretation, Presentation and Visualisation of
- 669 LCA Studies for Decision Making Support. In: E. Benetto, K. Gericke, & M. Guiton (Eds.),
- Designing Sustainable Technologies, Products and Policies: From Science to Innovation (pp. 337–342). https://doi.org/10.1007/978-3-319-66981-6_37
- 672
- 673 Stevenson, M. J., & Ingwersen, W. W. (2012). Environmental Product Claims and Life Cycle
- 674 Assessment. In M. A. Curran (Ed.), Life Cycle Assessment handbook: a guide to
- 675 environmentally sustainable products (pp. 475–543).
- 676 https://doi.org/10.1002/9781118528372.ch22
- 677
- 678 Testa, F., Nucci, B., Tessitore, S., Iraldo, F., & Daddi, T. (2016). Perceptions on LCA
- 679 implementation: evidence from a survey on adopters and nonadopters in Italy. International
- Journal of Life Cycle Assessment, 21(10), 1501–1513. https://doi.org/10.1007/s11367-016-1106 9
- 681 682
- Toniolo, S., Mazzi, A., Simonetto, M., Zuliani, F., & Scipioni, A. (2019). Mapping diffusion of
- 684 Environmental Product Declarations released by European program operators. Sustainable
- Production and Consumption, 17, 85-94, https://doi.org/10.1016/j.spc.2018.09.004.
- 686

- 687 Welling, S., Ryding, S-O., 2019. Making use of LCA- and EPD-information in Market
- 688 Applications: Statistical Analyses of the distribution of environmental performance of products
- 689 in the food- and construction sector. Submitted to International Journal of Life Cycle
- 690 Assessment.
- 691 692

693 SUPPORTING INFORMATION

694

695 The supporting information provides the two questionnaires that were applied to the 696 practitioners, along with the links to the two webinars in which the benchmarking framework

- 697 (Galindro, Bey et al., 2019; Welling & Ryding, 2019) were presented and discussed.
- 698