

# Heat transfer and flow resistance analysis of a novel freeze-cast regenerator

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*Published in:* International Journal of Heat and Mass Transfer

*Link to article, DOI:* 10.1016/j.ijheatmasstransfer.2020.119772

Publication date: 2020

Document Version Peer reviewed version

Link back to DTU Orbit

Citation (APA):

Liang, J., Deichmann Christiansen, C., Engelbrecht, K., Nielsen, K. K., Bjørk, R., & Bahl, C. (2020). Heat transfer and flow resistance analysis of a novel freeze-cast regenerator. *International Journal of Heat and Mass Transfer*, *155*, Article 119772. https://doi.org/10.1016/j.ijheatmasstransfer.2020.119772

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- Heat transfer and flow resistance analysis of a novel freeze-cast 1 regenerator 2 3 Jierong Liang\*, Cathrine D. Christiansen, Kurt Engelbrecht, Kaspar K. Nielsen, Rasmus Bjørk, Christian 4 5 R.H. Bahl 6 Technical University of Denmark, Department of Energy Conversion and Storage, Anker Engelunds Vej, 7 2800 Kgs. Lyngby, Denmark 8 \*E-mail address: jilia@dtu.dk 9 Abstract: The heat transfer and flow resistance of a novel freeze-cast porous regenerator of the magnetocaloric 10 ceramic La<sub>0.66</sub>Ca<sub>0.27</sub>Sr<sub>0.06</sub>Mn<sub>1.05</sub>O<sub>3</sub> was experimentally characterized. Such a porous architecture may be useful 11 as a regenerator geometry in magnetic refrigeration applications due to the sub-millimeter hydraulic diameters 12 13 that can be achieved. Here the heat transfer effectiveness and friction losses are characterized using experiments 14 and processed with a 1D numerical model. Empirical correlations of the friction factor and Nusselt number are 15 reviewed and chosen for modelling the specific geometry. The experimental results show that the freeze-cast 16 regenerator has increased heat transfer effectiveness and pressure drop compared to reference packed bed 17 regenerators made from epoxy bonded spherical and irregular particles, as well as packed, unbonded spheres. Fixing the pressure drop and regenerator size, the freeze-cast regenerator achieves 10-15% higher heat transfer 18
- 19 performance compared to packed bed regenerators.
- 20
- 21 Keywords: Magnetic regeneration; Thermal regenerator; Freeze-casting; Lamellar microchannel; Thermal
- 22 evaluation

23 Nomenclature
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Abbreviations		$t^*$	Phase angle, [-]
AMR	Active magnetic regenerator	U	Utilization, [-]
Gd	gadolinium	v	Velocity, [m/s]
LCSM	$La_{0.66}Ca_{0.27}Sr_{0.06}Mn_{1.05}O_3$	V	Volume, [m <sup>3</sup> ]
MCE	Magnetocaloric effect	S	Entropy, [J K <sup>-1</sup> ]
MCM	Magnetocaloric material	α	Specific surface area, [m <sup>-2</sup> ]
SEM	Scanning electron microscope	$\beta_1 - \beta_2$	Correlation parameters, [-]
Variable	es	β	Scaling factor, [-]
A <sub>c</sub>	Cross sectional area, [m <sup>2</sup> ]	σ	Standard deviation
<i>C</i> <sub>1</sub> - <i>C</i> <sub>4</sub>	Fitting parameters, [-]	Δ	Difference
С	Specific heat capacity, [J kg <sup>-1</sup> K <sup>-1</sup> ]	ε	Porosity, [-]
D <sub>h</sub>	Hydraulic diameter, [m]	η	Effectiveness, [-]
D <sub>sp</sub>	Particle diameter, [m]	μ	Dynamic viscosity, [Pa·s]
f	Frequency, [Hz]	ρ	Density, [kg m <sup>-3</sup> ]
$f_D$	Darcy friction factor, [-]	τ	Period time, [s]
$f_F$	Fanning friction factor, [-]	r	Tortuosity, [-]
h	Convective heat transfer coefficient, [w m <sup>-2</sup> K <sup>-1</sup> ]	Subscripts	
k	Thermal conductivity, [w m <sup>-1</sup> K <sup>-1</sup> ]	с	Cold end
L	Length, [m]	disp	Dispersion
т	Mass, [kg]	f	Fluid
NTU	Number of transfer units, [-]	h	Hot end

Nu	Nusselt number, [-]	m	Macro
p	Pressure, [Pa]	Max	Maximum
Pe	Péclet number, [-]	Min	Minimum
Pr	Prandtl number, [-]	р	Pore
Re	Reynolds number	r	Regenerator
r	Residual tensor, [-]	S	Solid
Т	Temperature, [K]	stat	Static
t	Time, [s]	W	Wall

#### 3 1. Introduction

4 1.1. Introduction to magnetic refrigeration

5 As one of the promising alternative refrigeration technologies, research in room temperature magnetic 6 refrigeration mainly focusses on: magnetocaloric materials [1-3], magnet arrangements [4-6], and 7 regenerator designs [7–9]. The last issue is to pursue the best practical performance of regenerators 8 fabricated using industrially relevant magnetocaloric materials (MCMs) and processing paths. MCMs can 9 convert energy from magnetic work (field change) to thermal energy (temperature change), based on the magnetocaloric effect [10]. Rare earths (gadolinium and its alloys) [11], intermetallics (La-Fe-Si based) 10 [12] and ceramics (i.e.  $La_{0.66}Ca_{0.33-x}Sr_xMn_{1.05}O_3$ ) [13] are commonly used MCMs for the applications of 11 room temperature magnetic refrigeration. Due to the intrinsic material characteristics, the magnetocaloric 12 effect (MCE), except the giant MCE in the vicinity of the Curie temperature for some MCMs [14], is 13 14 normally small [15,16]. The MCE is characterized by the isothermal entropy difference ( $\Delta S_{iso}$ ) and adiabatic temperature change ( $\Delta T_{ad}$ ). For the benchmark MCM gadolinium (Gd), the value of  $\Delta T_{ad}$  in a 1 15 T magnetic field is about 3.0 - 3.5 K experimentally, depending on the purity of the Gd [17,18]. 16 Thermodynamic cycles without regeneration configuration are restricted by the small  $\Delta T_{ad}$ . The active 17 magnetic regenerative cycle is generally adopted in magnetic refrigeration prototypes [19], which are based 18 19 on progressively creating and maintaining an axial temperature gradient along the MCM regenerator [20]. 20 The thermodynamic cycle for the active magnetic regenerator (AMR), for example the Brayton cycle, consists of four steps: 1) adiabatic magnetization; 2) iso-field flow from cold to hot reservoir through the 21 regenerator; 3) adiabatic demagnetization; and 4) iso-field reverse flow. Each infinitesimally small part of 22 23 the regenerator bed undergoes a unique refrigeration cycle and interacts with the adjacent material via the 24 heat transfer fluid.

25 The AMR characterization involves both the magnetocaloric and heat transfer properties of the 26 regenerator, which are generally characterized based by the temperature span, cooling capacity and 27 efficiency of the AMR system [21]. Other AMR studies have focused on flow profiles [22–25] and working 28 conditions [26,27]. Potential regenerator geometries can be tested with an applied magnetic field as an 29 active regenerator or with no applied magnetic field as a passive regenerator and various test devices of each type have been presented in the literature [28,29]. A passive testing device is essentially a synchronized 30 AMR device with zero applied magnetic field. Passive testing focusses on the heat transfer performance 31 32 and pressure drop characteristics of the geometry and can be performed on materials with or without a magnetocaloric effect. The effectiveness  $(\eta)$  and friction factor are the most important performance metrics 33 34 that reflect the behavior of heat transfer and viscous friction. Lei et al. [30] compared effectiveness and 35 friction factor between two epoxy bonded regenerators with spherical and irregular particles on a passive test rig. Trevizoli et al. [31] performed a parametric  $\eta$ -NTU analysis and viscous friction factor 36 characterization of packed bed regenerators to be used in AMR cycles with different diameters of stainless 37

38 steel spheres using a passive apparatus. Šarlah et al. [32] carried out passive experiments to characterize

the Colburn *j*-factor and the friction factor among six different geometries. Passive characterization is an efficient way to focus on the behavior of heat and mass transfer, especially for a new regenerator morphology while ignoring the magnetocaloric effect.

4 In passive mode, the regenerator serves as a thermal storage heat exchanger with oscillating fluid flow. Intermittent alternating heat transfer takes place between the solid matrix and the fluid in two periods: 1) 5 6 hot blow: fluid from the high-temperature reservoir warms up the solid matrix; 2) cold blow: reversing the 7 fluid flow and the matrix releases the stored heat. From this point of view, an efficient regenerator requires 8 [33]: 1) a large value of the overall heat transfer coefficient times surface area; 2) a large thermal inertia to decrease oscillations of interstitial temperature difference; and 3) a low friction factor to minimize pumping 9 10 power consumption and viscous losses. Over the last decades, various geometries have been developed and tested for AMR applications, such as: 1) packed particle bed [34], 2) parallel plate [35], 3) micro-channel 11 12 [36], and 4) packed screen bed [37]. These geometries have their own advantages and weaknesses. Concerning the heat transfer performance and friction dissipation, the parallel plates and micro-channel 13 matrices normally exhibit small values [38,39]; while packed particle beds exhibit both high values of 14 15 effectiveness and friction factor. Thus, any new geometry of regenerator might exhibit different heat 16 transfer performance and parasitic losses, and needs to be passively characterized prior to being used in an AMR device. A new applicable geometry could be that of a freeze-cast ceramic. 17

18 1.2. Shaping by freeze-casting

Freeze-casting, or ice-templating, is a processing technique used to shape, typically, ceramics into monolithic, anisotropic structures with an aligned channel-geometry of highly tunable dimensions and porosity. The channels are typically on the order of  $\sim 1$  to  $\sim 100 \ \mu m$  wide [40–42]. Freeze-cast structures have great mechanical durability and have been used in a broad range of applications, primarily within biomaterials for tissue engineering or membranes for catalysis, electrodes, filters etc. [43].

24 In freeze-casting, a suspension of ceramic particles in water is frozen directionally by bringing only one side of the suspension into contact with a cooling source. This initiates directional growth of ice crystals 25 along the temperature gradient, causing a segregation of particles resulting in a two-phase structure of 26 27 ceramic and ice. The latter is then removed by sublimation. Subsequent sintering results in a rigid ceramic structure with directional porosity in the form of parallel, well-defined channels as seen in Fig. 1a. The 28 29 morphology and dimensions of these channels strongly depend on freezing conditions [41,44,45], while the porosity depends on the ceramic load of the slurry. The structural and dimensional characteristics, and thus 30 flow properties of the freeze-cast structure, can thus be adjusted and optimized by changing processing 31 parameters. 32

Utilizing this processing route for shaping of MCMs thus results in an attractive regenerator geometry of
 lamellar micro-channels as shown in Fig. 1. The MCM La<sub>0.66,396</sub>Ca<sub>0.27</sub>Sr<sub>0.06</sub>Mn<sub>1.05</sub>O<sub>3</sub> (LCSM) was primarily
 chosen for its low cost and its low reactivity with solvent. The freeze-cast geometry can be summarized as
 follows:

- (1) Narrow, lamellar pores with an ellipsoid cross-section on the micrometer-scale. The lack of square
   corners for this pore shape, compared to other micro-channel geometries, could result in the
   increment in local heat transfer coefficient [46,47].
- 40 (2) Non-ordered orientations of the major axes of the ellipsoidal channels in the plane perpendicular to
   41 the flow direction.
- 42 (3) Low tortuosity, i.e. almost straight channels.
- (4) Tunable porosity and large specific surface area, providing a geometry that will presumably exhibit
   high convection coefficient with reasonable flow resistance.

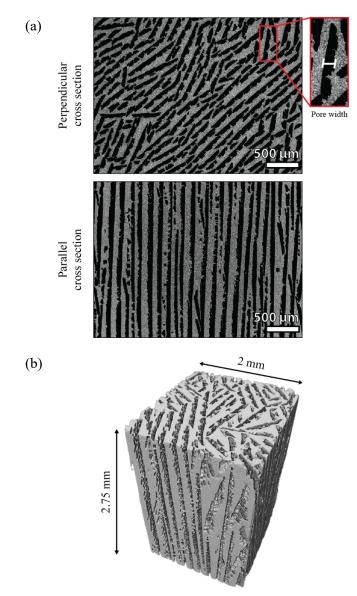


Fig. 1 Geometry of freeze-cast LCSM ceramics. (a) SEM micrographs of cross sections of a freeze-cast sample where grey areas are the ceramic walls and black areas are porosity in the form of aligned, lamellar channels. Micrographs are obtained at cross sections perpendicular and parallel to the freezing direction in the center of the structure. (b) 3D reconstruction of freeze-cast LCSM specimen segment based on interactive segmentation using Avizo software (Thermo Fischer Scientific) of tomography images obtained using an X-ray Microscope (ZEISS Xradia 520 Versa).

In this paper, we propose a novel freeze-cast matrix as a possible regenerator for an AMR and present measurements of regenerator effectiveness and pressure drop for a freeze-cast regenerator. These results are compared with a packed bed of spheres, which is considered a baseline AMR regenerator geometry. Furthermore, a 1D model framework [48] is used to derive the specific empirical correlations of Nusselt number and friction factor by fitting the model to the measured inlet and outlet temperatures and measured pressure drops. The numerical model is validated over a broad range of operating conditions. The model helps to better understand the internal phenomena of heat transfer and flow resistance, which is difficult to

- 13 measure experimentally. The thermal evaluations can be used to assist in the new regenerator development
- 14 of physics-based predictive capabilities, performance metrics, and design guidelines.

## **1 2. Sample fabrication and characterization**

2 2.1. Freeze-casting procedure

3 The fabrication and characterization of freeze-cast ceramics follows the process described by 4 Christiansen *et al.* [45,49], with slight alterations regarding suspension composition and freezing conditions.

5 Freeze-cast ceramics were prepared from suspensions of 30 vol% of LCSM (CerPoTech, Norway) in 6 MiliQ water with 2.5 wt%, solid to ceramic ratio, of dispersant (DURAMAX<sup>™</sup> D-3005, Rohm and Haas, 7 Dow Chemical, USA). Additionally, the pH of the suspension was adjusted from ~8 to ~6.5 with dropwise addition of 1 molar nitric acid to establish a sufficient dispersion of particles, where the final pH was 8 9 verified using a pH-meter (780, Metrohm). The suspension was then mixed on a low energy ball mill with 10 alumina balls (Ø10 mm) for at least 72 hours until a consistent particle size of  $d_{50} = 1.2 \,\mu\text{m}$  was reached. 2 wt%, solid to ceramic ratio, of binder (DURAMAX™ B-1022, Rohm and Haas, Dow Chemical, USA) was 11 added and the suspension was mixed for an additional 24 hours. Both binder and dispersing agent were 12 chosen based on their low viscosity and thus suspensions were easily de-aired immediately before casting 13 14 by brief sonication in order to avoid bubbles.

15 Cylindrical Teflon<sup>™</sup> molds, with inner diameter of 30.5 mm and outer diameter of 50 mm, and a 16 detachable copper bottom were pre-cooled in an ice-bath along with the ceramic suspension prior to casting. 17 The suspension was poured into the mold, which was then attached to the cold finger of a novel freeze-18 casting device utilizing thermoelectric cooling for precise temperature control, making it possible to set a 19 specific temperature profile of the cold finger during casting. By lowering the temperature of the cold finger 20 while keeping the suspension and mold open to ambient conditions of 5 °C, the suspension is frozen directionally from the bottom and up. The temperature of the cold finger is kept at 2.5°C for 300 s prior to 21 22 freezing to equilibrate the temperature of the mold and suspension, and is then decreased at -1 K/min until 23 the entire suspension is frozen solid. A linearly decreasing temperature profile was chosen in order to achieve homogenous channel widths along the sample height in accordance with previous work [45]. 24

25 Ice was subsequently removed from the frozen samples in a freeze-drier (Christ Alpha 1-2 LD plus, Buch

& Holm) for 24 hours. Dry samples were fired in air, initially burning out the organic additives at 250 and
450 °C, with a holdtime of 2 hours at each temperature and a heating rate of 15 K/min, followed by sintering
at 1100 °C for 12 hours with a heating rate of 30 K/min.

29 2.1.1.Structural characterization of freeze-cast ceramics

30 Freeze-cast samples for testing were cut into smaller pieces as described in section 2.1.2. Top and bottom 31 parts were mounted in epoxy (Epofix, Struers, Denmark), and the cross section was then imaged using a scanning electron microscope (TM3000, Hitachi High-Technologies). The analysis of micrographs follows 32 33 that described by Christiansen et al. [45], where channel width (as defined in Fig. 1a), macro porosity and 34 tortuosity are determined. Additionally, the perimeter of channels can be measured in binarized 35 micrographs of cross sections perpendicular to the freezing direction yielding a measurement of the specific surface area. A total of 21 micrographs, each covering ~300 channels in the perpendicular cross section, 36 37 obtained evenly distributed across the cross sections of the freeze-cast samples have been analyzed to calculate the average structural parameters. These parameters have been summarized in Table 1. As 38 measurements of the tortuosity requires imaging of cross sections parallel to the channel direction, and thus 39 40 requires additional destructive procedures, the calculation of tortuosity is based on a sample fabricated from the same suspension and frozen under the same conditions. 41

42 As is seen on Fig. 1, the overall geometry of the channels in freeze-cast structures are lamellar with 43 channels running along the freezing direction. In the horizontal plane the shape of channels are ellipsoidal

- 1 with a pore width as shown on Fig. 1. While the orientation of channels in the horizontal plane is ordered
- 2 in smaller domains, channels are highly aligned in the plane parallel to the freezing direction. Tortuosity is
- 3 a measure of this alignment and curviness of channels and is calculated as the ratio between the direct
- 4 distance across a cross section parallel to the freezing direction and the average distance through a channel.
- 5 Thus, a tortuosity of  $\mathfrak{T} = 1$  describes a direct flow path through the structure, while an increase in tortuosity
- 6 corresponds to an increasingly curved and obstructed flow path.

The total porosity, or sample porosity,  $\varepsilon$ , of ~70 % of the freeze-cast structure is directly related to the ceramic load of the suspension during processing. To omit non-desired distorted channels at the two ends of the specimen, only two thirds of this in length is accounted for by channels in the final structure, i.e. the channel porosity or macro porosity,  $\varepsilon_p$ , as given in Table 1. The remaining porosity consists of micro porosity in the walls. As only the macro porosity is assumed to act as flow paths for fluid,  $\varepsilon_p$  is used for determining pore velocity while  $\varepsilon$  is adopted for solid mass calculations. Additionally, the hydraulic diameter is derived as follows:

14 
$$D_h = 4\varepsilon_m/\alpha$$
 (1)

where  $\alpha$  is the specific surface area. The specific area  $\alpha$  is determined by the sum of total cross sectional perimeter of each channel per area from image analysis, i.e. the cross sectional view in Fig. 1 (a) and ignores the small protrusions that can be seen in some channels.

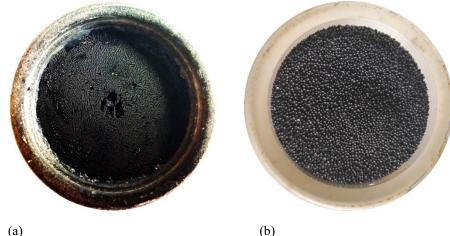
18 2.1.2.Preparation of freeze-cast regenerator

19 A single freeze-cast regenerator matrix is composed of two combined monolithic pieces of freeze-cast 20 ceramic frozen at identical conditions and thus with homogenous structural characteristics. Two pieces are used to ensure a sufficient length and thermal mass of the regenerator for the AMR system used. Each piece 21 of 15 mm is cut from a full freeze-cast sample with a length of approximately 29 mm. Due to the nature of 22 freeze-cast ceramics, the bottom part of the sample does not contain aligned channels [50,51] and thus the 23 24 bottom 8 mm and remaining top of the sample are discarded. The matrix, with a total of 30.0 g, is mounted 25 in a transparent housing ( $\emptyset$ 30 mm  $\times$  40 mm) using silicone glue, sealing possible gaps between the housing and the solid matrix and thus avoiding fluid flowing around the freeze-cast matrix. The transparent housing 26 helps to observe the conditions of bypass flow and residual bubbles. 27

28 2.2. Reference regenerators

Three benchmark regenerators were used for comparison. One is a Gd packed sphere bed regenerator which is used as baseline and tested in this study, as this is the most commonly used type of regenerator for passive and active characterizations in magnetic refrigeration. Furthermore, epoxy bonded regenerators made from irregular or spherical particles of La(Fe,Mn,Si)<sub>13</sub>H<sub>y</sub> referred to as VAC-A and VAC-B, respectively, are used as a mean of testing state of the art magnetocaloric regenerators.

The diameter of particles in the Gd bed is ~0.3 mm, and it uses the same housing as the freeze-cast regenerator. A mesh screen is used to keep the particles in the housing. Although this housing geometry is not optimal for the Gd regenerator, it is important to ensure that the tested regenerators have the same system effects beyond the regenerator, such as dead volume loss and heat leaks. The end views of the freeze-cast and packed bed regenerators are shown in Fig. 2.



3 Fig. 2 End views of (a) freeze-cast regenerator and (b) packed bed regenerator.

4 The epoxy bonded regenerators were not tested in this work. Instead their experimental data are taken from the references [30,48] directly. Note that the epoxy bonded regenerators are loaded in the same tester 5 6 but with a smaller housing diameter, which results in a smaller dead volume. Thus, the effectiveness 7 measure of the epoxy bonded regenerators would not suffer from additional losses caused by the dead 8 volume effect compared to the freeze-cast regenerator. On the other hand, the pressure drop measure 9 between all these regenerators can be assumed as a fair comparison due to the same sensors and tubing. 10 The geometry parameters of the reference regenerators in this paper are included in Table 1.

11 Table 1: Geometry parameters of tested regenerators. Structural characteristics of freeze-cast ceramics are from image analysis of 12 obtained micrographs. The corresponding measurements are based on the analysis of 15 images evenly distributed along the height

13 parallel to the freezing direction of a freeze-cast sample and are given as an average. Data of other reference regenerators are also

14 introduced from the publish works. The size of housing is specified as diameter and length.

Parameters	Freeze-cast	Gd packed bed [48]	VAC-A [30]	VAC-B [30]
Housing (mm×mm)	Ø30×40	Ø30×40	Ø20×70	Ø20×70
Pore width (µm)	$72.0\pm6.4$	/	/	/
Avg. particle diameter (mm)	/	0.3	0.56	0.58
Sample porosity	0.72	0.45	0.46	0.48
Macro porosity	0.42	0.45	0.46	0.48
Hydraulic diameter (mm)	0.102	0.160	0.410	0.380
Specific surface area (m <sup>-1</sup> )	$1.64 \times 10^{4}$	$1.10 \times 10^{4}$	$4.49 \times 10^{3}$	$5.05 \times 10^{3}$
Tortuosity	$1.6\pm0.3$	/	/	/

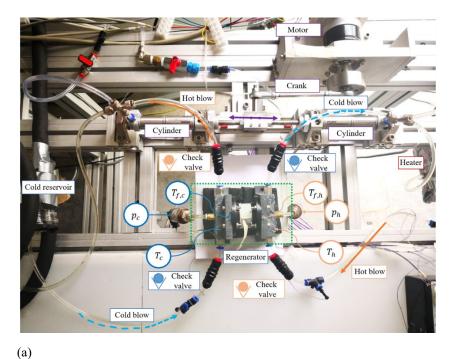
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#### 3. Passive characterization of heat transfer and pressure drop 16

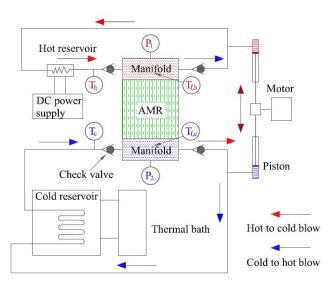
17 3.1. Experimental setup description

18 The infrastructure of the passive regenerator test apparatus was published previously [30]. The 19 instrumentation and connections are shown in Fig. 3, including the regenerator assembly, cold and hot

20 reservoirs, piston and motor assembly and check valves.







3 4

(b)

Fig. 3 (a) Passive test rig with hot blow and cold blow flow directions illustrated. (b) Schematic of the regenerator connected to manifolds at both ends. Each manifold integrates the functions of flow separation, thermocouple and pressure transducer installations. The outflow from the manifold will go through the outlet check valve, piston, cold reservoir or heater, inlet check valve, and then back to the inlet of the manifold.

9 The regenerator assembly consists of regenerative material, 3D-printed resin housing and manifolds with 10 thermocouples (Omega, type E) and pressure transducers (Gems, 2.5 bar). Within the green dashed box 11 shown in Fig. 3, four check valves direct the fluid flow. The end temperature probes are set in  $T_{f,h}$  and  $T_{f,c}$ , 12 which are positioned to almost touch the screens at each end of the regenerator. Another two thermocouples 13 that represent inflow and outflow temperatures, are positioned in  $T_h$  and  $T_c$  outside the dashed box. Two 14 pressure transducers are set at the ends of the regenerators measuring the pressure drop. Data acquisition is 15 performed with a National Instruments (NI) cDAQ9174 system connected to an NI 9213 thermocouple

8

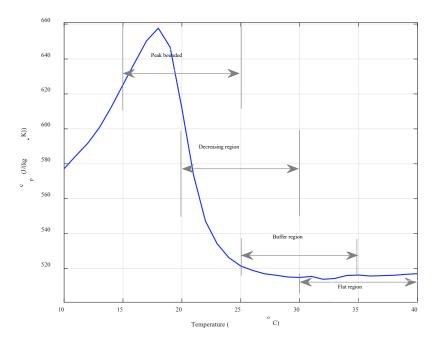
module and an NI 9203 current module (for the pressure transducers). The tests are performed using tap
water as the heat transfer fluid.

The piston and motor assembly is a motor-crank system connected to two cylinders, one for each direction of flow. The motor rotary frequency control and displacement record are implemented by a linear encoder. The oscillating flow is generated by the reciprocating movement of the two cylinders. In Fig. 3, the solid arrows represent the hot blow, while the dashed arrows indicate the cold blow.

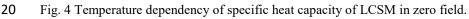
7 All tests performed in this work are carried out for balanced flow conditions in both the freeze-cast 8 regenerator and the Gd packed sphere bed regenerator. The temperature span between the hot and cold end 9 is set as a constant for comparison. With a small temperature span it is not easy to capture the temperature 10 breakthroughs in  $T_{f,h}$  and  $T_{f,c}$  during the blow, and therefore a 10 K span is used here, as this is suitable based on the existing heater capacity. To reveal the heat capacity sensitivity on local temperature and bound 11 the Curie temperature of LCSM (~19°C,), the variation of cold reservoir temperature  $T_c$  tested is from 15 °C 12 to 30 °C, with an increment of 5 °C. Four testing temperature intervals are selected considering the effect of 13 14 the local specific heat variation of LSCM. In Fig. 4, the four intervals indicate the different trends of specific heat, which represent the peak bounded, decreasing region, buffer region and flat region, respectively. 15

During each measurement with fixed reservoir temperatures, the piston stroke was adjusted to control the thermal mass of the fluid, in order to keep the utilization constant. The frequency was varied from 0.50 to

18 2 Hz in steps of 0.25 Hz. The uncertainty analysis is described in Appendix. A.



19



21 3.1.1.Performance metrics

22 To introduce the effectiveness-NTU method for passive characterization, the relationship of effectiveness,

23 utilization (U) and number of transfer unit (NTU) will be investigated experimentally and numerically in

24 the following section. The utilization is a preliminary design parameter to characterize the system

25 configuration [28]. Due to small-scale channels, in the expression for the U we assume that the solid thermal

mass accounts for the total thermal mass, the fluid specific heat capacity is temperature independent andthe flows are balanced.

$$3 \qquad U = \frac{\int_0^{\tau/2} \dot{m}_f c_f dt}{m_s c_s} \quad (2)$$

Here the subscripts f and s denote solid and fluid respectively. Throughout this paper, periods from 0 to  $\tau/2$  and from  $\tau/2$  to  $\tau$  indicates the hot to cold blow and the cold to hot blow, respectively. Note that the background value of the solid specific heat capacity  $c_s = 518 \text{ J} \cdot \text{kg}^{-1} \cdot \text{K}^{-1}$  is used rather than the peak value. As a measure of the intensity of heat transfer, the NTU is defined as:

8 
$$NTU = \frac{h\alpha V_r}{2/\tau \int_{\tau/2}^{\tau} \dot{m}_{f,c} c_{f,c} dt}$$
(3)

9 where h,  $\alpha$  and  $V_r$  are heat transfer coefficient, specific surface area and regenerator volume, respectively. 10  $\dot{m}_{f,c}c_{f,c}$  indicates that the thermal mass rate is taken from the cold blow. Accordingly, the Nu is defined as:

$$11 \qquad Nu = \frac{hD_h}{k_f} \quad (4)$$

where  $D_h$  and  $k_f$  are hydraulic diameter and thermal conductivity of the fluid. As a metric of heat transfer performance, the effectiveness in each blow period (subscripts h and c are for hot and cold blow, respectively) are given by:

15 
$$\eta_h = \frac{T_h - 2/\tau \int_{\tau/2}^{\tau} T_{f,c} dt}{T_h - T_c}$$
 (5)

16 
$$\eta_c = \frac{2/\tau \int_0^{\tau/2} T_{f,h} dt - T_c}{T_h - T_c}$$
 (6)

Flow resistance is characterized by the relationship between the Fanning friction factor  $(f_F)$  and Reynolds number (Re). In oscillatory flow situations, pressure drop amplitude and cycle average pressure drop are adopted to define the oscillatory friction factor  $(f_{osc})$  [52] and cycle average friction factor  $(f_{avg})$  [53] respectively.  $f_{osc}$  is suitable for correlation fitting, flow pattern and system operating range determinations [54,55], while  $f_{avg}$  is directly linked to pumping work and comparison with steady flow [56]. To be consistent with the existing framework of  $f_F$  correlations in Table B1 which will be discussed below,  $f_{osc}$ is selected to define the friction factor.

$$24 \qquad f_F = \frac{\Delta p_{max}}{L_r} \frac{D_h}{2\rho_f(v_{f,max})^2} \quad (7)$$

Regarding the definition of *Re*, the velocity term can be superficial velocity, pore velocity or angular velocity. Here the Reynolds number based on the pore velocity is chosen also to be consistent with the correlations in Table B1:

28 
$$Re_p = \frac{\rho_f(v_{f,max}/\varepsilon_p)D_h}{\mu_f} \qquad (8)$$

- 29 where  $v_f$  and  $\mu_f$  are fluid superficial velocity and dynamic viscosity, respectively.
- 30 3.2. Data analysis tools

As a novel micro-channel matrix, there has been little research effort to model the solid-fluid heat transfer and flow resistance in freeze-cast sample like architectures. Developing the specific correlations for heat transfer and friction dissipation to be used in porous media models, is therefore necessary to derive the internal parameters i.e. Nu and NTU. It is also an effective tool for model predictions in the future.

5 3.2.1.Numerical model

Since a freeze-cast regenerator as a random geometry cannot be fully addressed in a two-dimensional
space, a 1D model is suitable here. The energy and mass governing equations are taken from Lei 2016 [48]
to characterize the interior transient temperature distribution, as well as the pressure drop. The two
equations are coupled by means of the heat convection term.

10 The energy balance on the fluid:

11 
$$\underbrace{\frac{\partial}{\partial x} \left( k_{disp} A_c \frac{\partial T_f}{\partial x} \right)}_{\text{Heat conduction}} - \underbrace{\frac{m_f}{\partial x} \frac{\partial h_f}{\partial x}}_{\text{Enthalpy flow}} - \underbrace{\frac{Nuk_{disp}}{D_h} a_s A_c (T_f - T_s)}_{\text{Heat convection with solid}} - \underbrace{\frac{h_{w,f} a_{w,f} A_c (T_f - T_w)}_{\text{Heat convection with housing}}}_{\text{Heat convection with solid}} + \underbrace{\frac{\partial p}{\partial x} \frac{m_f}{\rho_f}}_{\text{Viscous dissipation}} = \underbrace{A_c \varepsilon \rho_f c_f \frac{\partial T_f}{\partial t}}_{\text{Thermal storage rate}} (9)$$

13 The solid energy equation:

14 
$$\underbrace{\frac{\partial}{\partial x} \left( k_{stat} A_c \frac{\partial T_s}{\partial x} \right)}_{\text{Heat conduction}} + \underbrace{\frac{Nuk_{disp}}{D_h} a_s A_c \left( T_f - T_s \right)}_{\text{Heat convection}} = \underbrace{A_c (1 - \varepsilon) \rho_s c_s \frac{\partial T_s}{\partial t}}_{\text{Thermal storage rate}}$$
(10)

where T, p,  $A_c$ ,  $\dot{m}$ , h,  $\rho$ , c,  $\varepsilon$ , a and  $D_h$  are temperature, pressure, cross sectional area, mass flowrate, specific enthalpy, density, specific heat, sample porosity, specific area and hydraulic diameter, respectively. Subscripts f, s and w refer to fluid, solid and housing wall, respectively. The static conductivity ( $k_{stat}$ ) and fluid dispersion ( $k_{disp}$ ) conductivity, which are considering the 3-D conduction and axial dispersion effect in porous AMR beds, respectively, are illustrated in Eq. (11-12) [57].

20 
$$k_{stat} = (1 - \varepsilon)k_s + \varepsilon k_f$$
 (11)

21 
$$k_{disp} = k_f \frac{Pe^2}{210}$$
 (12)

where Pe is the Péclet number. This 1D model integrates all the major terms of (1) temperature dependence
of fluid properties (dynamic link to CoolProp [58]), interpolation from experimental data in magnetocaloric
effect and demagnetization factor [59], (3) temperature dependent heat capacity of MCM, (4) axial thermal
conduction, (5) pressure drop and (6) thermal dispersion.

26 3.2.2.Form of Nusselt number and friction factor correlations

The specific correlations can be derived from the existing correlations of laminar flow with microchannels in Table 2. These correlations typically show a difference between the flow conditions of steady state or oscillatory flow, the region of developing or developed flow, and different channel shapes. The following correlation forms are chosen considering the flow characteristics of developing and oscillatory within the narrow shape micro-channels and use the same form as [60] for the friction factor and *Nu*:

32 
$$f_{\rm F} = c_1 R e_p^{-1} + c_2$$
 (13)

33  $Nu = c_3 [L_r / (D_h Re_p Pr)]^{c_4}$  (14)

- 1 where  $c_1 \sim c_4$  are fitting parameters that are assumed to depend on the matrix geometry. Since only average
- 2 geometrical parameters are available in this study, parameters  $c_1 c_4$  are fitted by averaging. This implies
- 3 that the geometrical parameters and therefore fitting parameters are spatially and temporally uniform. Only
- 4 operating conditions, such as temperatures and velocities, cause the variations of local  $f_{\rm F}$  and Nu. Note that
- 5 the correlations are valid for liquid laminar flow.
- 6  $[c_1, c_2, c_3, c_4] = f(D_h, \mathfrak{T})$  (15)
- 7  $\mathfrak{T}$  is the channel tortuosity:
- 8  $\mathfrak{T} = L_p / L_r$  (16)

9 where  $L_p$  is the average length of geometrical flow path of pores,  $L_r$  is the straight-line length of the 10 regenerator sample. When one specific matrix is designated, the parameters of  $c_1 \sim c_4$  can be treated as 11 constants and fitted from experimental results.

12 Table 2: Correlations for the average Nusselt number and friction factor in micro-channels matrix. The Reynolds number in the table is based on the pore velocity. Heat transfer fluid is assumed to be incompressible

References	Boundary condition	Correlations	Fitting form
Shih [61]	Developed laminar flow, rectangular	$f_F = 24(1 - 1.3553 \propto +1.9467 \propto^2 - 1.7012 \propto^3 + 0.9564 \propto^4 - 0.2537 \propto^5)/Re$ , $\propto$ is aspect ratio.	$f_F = P(\propto) / Re$ <i>P</i> is a polynomial function.
Jiang et al. [60]	Developed laminar flow, rectangular	$f_D = 68.53/Re$ , smooth. $f_D = 1639/Re^{1.48}$ , $Re < 600$ , roughness. $f_D = 36.4/Re + 0.45$ , porous. $f_D$ is the Darcy friction factor, which is four times of the Fanning friction factor: $f_D = 4f_F$ .	$f_D = aRe^b + c$
Hornbeck [62]	Developing laminar flow, circular	$f_{app} = \frac{D_h}{4L} (13.74(x^+)^{1/2} + (1.25 + 64x^+ - 13.74(x^+)^{1/2})/(1 + 0.0021(x^+)^{-2})), f_{app} \text{ is apparent friction factor. } x^+ \text{ is non-dimensionalized length: } x^+ = L_r/(D_h Re_p)$	As left
Steink and Kandlikar [63]	Developing laminar flow, rectangular	$K(\infty) = 0.6796 + 1.2197 \propto +3.3089 \propto^2 -$ 9.5921 $\propto^3 + 8.9089 \propto^4 - 2.9959 \propto^5$ , $K(\infty)$ is Hagenbach's factor.	$f_{app} = f + \frac{D_h}{4x} P(\alpha)$
Lorenzini and Morini [64]	Developed laminar flow, trapezoidal and rectangular with rounded corners	For each fixed $\propto$ , $f_F = 14.226Re^{-1}\sum_{i=0}^{5}A_i \left(\frac{R_c}{H_{r_1}}\right)^i$ , $Nu = 3.608\sum_{i=0}^{5}A_i \left(\frac{R_c}{H_{r_1}}\right)^i$ . $R_c$ is the curvature of the channel corner. $H_{r_1}$ is the height of a trapezoid or rectangle.	$f_F = P(\frac{R_c}{H_{r1}}) Re^b$ $Nu = P(\frac{R_c}{H_{r1}})$
Liou et al. [65]	Laminar, parallelogram serpentine	$f_F = Re^{-0.8} \sum_{j=0}^5 \sum_{i=0}^5 A_{i,j} \propto^i \theta^j$ $Nu = Re^{0.5} \sum_{j=0}^5 \sum_{i=0}^5 B_{i,j} \propto^i \theta^j$ $\theta$ is included angle	$f = P(\alpha, \theta) Re^{a}$ Re = P(\alpha, \theta) Re^{b}
Choi et al. [66]	Laminar, circular	$Nu = 0.000972Re^{1.17}Pr^{1/3}$ Re < 2000	$Nu = aRe^b Pr^c$
Hausen et al. [67]	Laminar, circular	$Nu = \frac{3.657+0.19(x^*)^{-0.8}}{1+0.117(x^*)^{-4.67}}, x^* \text{ is dimensionless}$ length: $x^* = L_r/(D_h Re_p Pr).$	$Nu = \frac{a+b(x^*)^d}{1+c(x^*)^e}$

Jiang et al. [60]	Laminar, rectangular	$Nu = 0.52(x^*)^{-0.62}, x^* < 0.05.$ $Nu = 2.02(x^*)^{-0.31}, x^* > 0.05.$	$Nu = a(x^*)^b$
Kandlikar et al.[47]	Developed laminar flow, rectangular	$Nu = 8.235(1 - 10.6044 \propto +61.1755 \propto^2 - 155.1803 \propto^3 + 176.9203 \propto^4 - 72.9236 \propto^5)$	$Nu = P(\propto)$
Sadeghi et al. [68]	Developed laminar flow, arbitrary cross section	Characteristic length: $\sqrt{A}$ instead of $D_h$ . $Nu_{\sqrt{A}} = C_1 \left(\frac{I_p}{A^2}\right)^{C_2} \left(\frac{\sqrt{A}}{P}\right)^{C_3}$ , $I_p$ is polar moment of inertia, <i>P</i> is perimeter, A is cross sectional area.	$Nu_{\sqrt{A}} = C_1 \left(\frac{l_p}{A^2}\right)^{C_2} \left(\frac{\sqrt{A}}{p}\right)^{C_3}$
Shah and London[69]	Developing laminar flow, rectangular	$Nu = 4.363 + 8.68(10^3 x^*)^{-0.506} e^{-41x^*}$	$Nu = a + b(10^3 x^*)^c e^{dx^*}$
Lee and Garimella [70]	Developing laminar flow, rectangular	$Nu = \frac{1}{C_1(x^*)^{C_2} + C_3} + C_4$ For $1 \le \alpha \le 10, x < L_t$ $C_1 = P_3(\alpha), C_2 = const$ $C_3 = P_2(\alpha), C_4 = P_3(\alpha)$	$Nu = \frac{1}{C_{1}(x^{*})^{C_{2}} + C_{3}} + C_{4}$ For $1 \le \alpha \le 10, x < L_{t}$ $C_{1,3,4} = P(\alpha)$ $C_{2} = const$

2 Experimental data for a number of frequencies and utilizations are used to fit the parameters in Eq. (13-3 14). In the friction factor fit, the oscillatory friction factor defined in Eq. (7) can be treated as temporal independent and can be fitted all through the experimental operating conditions. The Nu is sensitive to the 4 fluid flowrate and therefore it is time dependent. Multi objective fitting as shown in Eq. (17) is implemented 5 6 by using the numerical model to find the set of parameters that best matches the experimental temperature 7 outlet curves to those predicted by the model:

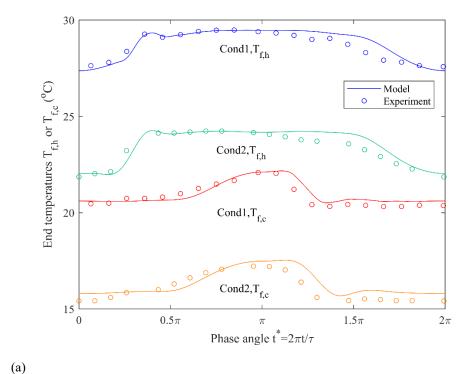
8 
$$\min_{c_{3} > 0, c_{4} \in R} (\mathbf{r}), \quad \mathbf{r} = \begin{bmatrix} r_{1}(c_{3}, c_{4}) \\ r_{2}(c_{3}, c_{4}) \\ r_{3}(c_{3}, c_{4}) \end{bmatrix}, \quad r_{1} = \|\mathbf{T}_{f,c,model}(f_{min}, U_{min}) - \mathbf{T}_{f,c,exp}(f_{min}, U_{min})\| \\ r_{2} = \|\mathbf{T}_{f,c,model}(f_{max}, U_{min}) - \mathbf{T}_{f,c,exp}(f_{max}, U_{min})\| \\ r_{3} = \|\mathbf{T}_{f,c,model}(f_{min}, U_{max}) - \mathbf{T}_{f,c,exp}(f_{min}, U_{max})\| \\ r_{4} = \|\mathbf{T}_{f,c,model}(f_{max}, U_{max}) - \mathbf{T}_{f,c,exp}(f_{max}, U_{max})\|$$
(17)

9 where r is the residual tensor for least squares fitting. In detail, the magnitude of the residual, r, is determined by the absolute value of difference between modelling and measurement values of  $T_{\rm f,h}$  and  $T_{\rm f,c}$ 10 at 20 equidistant points for each blow. The condition of residual  $r_1$  is derived from the case when the 11 frequency and utilization are set at the minimum values within the operating range; while  $r_2 \sim r_4$  correspond 12 to other extreme cases for the variables frequency (f) and utilization (U). A nonlinear least-squares solver 13 14 named lsqnonlin in MATLAB is applied to solve the parameters  $c_3 \sim c_4$ .

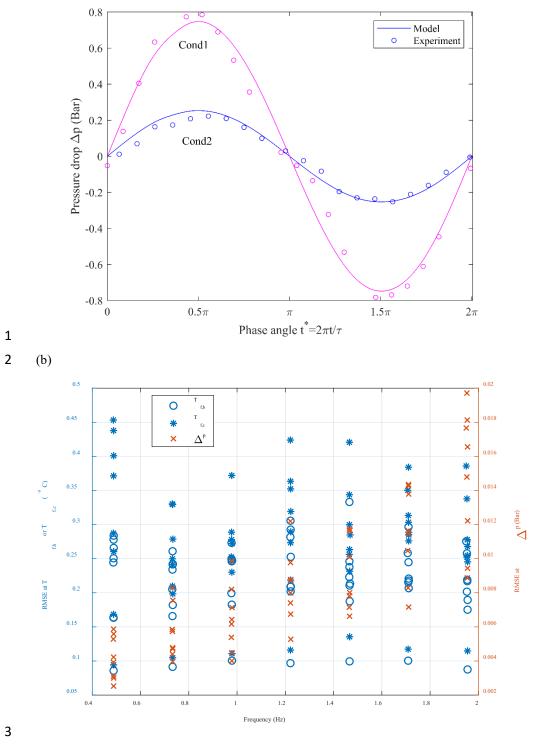
- 15 3.2.3. Model validation
- 16 Since new correlations are implemented, the parameters can be fitted with experiments. Neglecting the effect of fluid viscosity due to the temperature,  $c_1$  and  $c_2$  in Eq. (13) are fitted by pressure drop 17 measurements. Based on the correctness of material properties, end temperature readings reflect the internal 18 19
- heat transfer conditions. Therefore the parameters  $c_3$  and  $c_4$  in Eq. (14) are fitted by  $T_{f,h}(t)$  and  $T_{f,c}(t)$
- using the residual defined in Eq. (17). The modelling validation results with 20 experimental points in each 20
- 21 curve are shown in Fig. 5 (a) and (b) for temperature and pressure drop respectively, for a number of 22 different operating conditions. The model fits the overall behavior of the experiments without significant
  - 13

trend differences. In addition, the root mean square error (RMSE) in 84 operating conditions is summarized in Fig. 5 (c). Comparing the fit and experimental data, the deviation is < 0.5 °C at temperature and < 0.02 bar at pressure drop, which indicates that the simulation results are in good agreement with the experimental readings, and thus that the model can be used to reveal the internal characteristics of a freeze-cast regenerator.

6 In order to reveal the sensitivity in terms of the heat transfer coefficient, Fig. 5 (d) presents the temperature 7 responses of  $T_{f,c}$  with the artificial perturbation of the heat transfer coefficient (scaling factor  $\beta$ ) in the hot to cold blow. Since the errors of most correlations in the area of heat transfer are normally within 50%, 8 9 perturbations of  $0.5 < \beta < 1.5$  are adopted here to observe the system response. A perturbation in the decreasing direction ( $\beta < 1$ ) is sensitive to temperature response, especially when  $\beta < 0.8$ . When the 10 11 perturbation is in the increasing direction ( $\beta > 1$ ), the scaling of the heat transfer coefficient is insensitive to temperature response, due to the small interface temperature differences. In this state, heat transfer 12 performance is sufficient enough that over-scaling in heat transfer coefficient does not result in better 13 performance significantly. 14

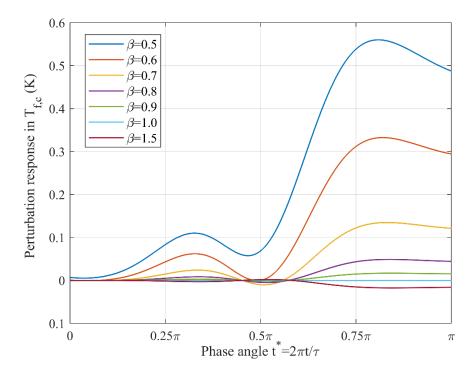






4 (c)

15





2 (d)

Fig. 5 Validations of (a) temperature and (b) pressure drop temporal evolution, Cond1 and Cond2 are different operating conditions corresponding to  $T_{c1} = 20 \text{ °C}$ ,  $f_1 = 0.75 \text{ Hz}$  and  $T_{c2}=15 \text{ °C}$ ,  $f_2 = 2 \text{ Hz}$  respectively. The model results are based on the fitted parameters  $c_1 - c_4$ . Figure (c) is the RMSE between the fit and experimental data under different frequencies. Figure (d) is the impact of heat transfer coefficient perturbation on the temperature profiles of cold end in the hot blow; y-axis is the temperature perturbation response of  $T_{f,c}$  using  $T_{f,c}(\beta = x) - T_{f,c}(\beta = 1)$ , the legend is showing the value x of perturbation factors.

# 9 4. Results and discussions

The regenerator has been tested for nearly 700 hours in the passive experiment setup. It is noted that in the first sets of experiments, fine powder was observed coming out of the regenerator and was intercepted in the meshes. With the operation time increasing, the amount of powder coming out of the regenerator became less and less, until finally no powder could be seen with the naked eye. This illustrates both the somewhat brittle nature of LCSM materials but also the fact that residual "loose" material might be present within the freeze-cast structures after sintering.

16 4.1. Nusselt-Reynolds correlations

17 The overall spatial and temporal average Nusselt number  $(\overline{Nu} = 1/L_r \int_0^{L_r} (1/\tau \int_0^{\tau} Nu(t, x) dt) dx)$  is

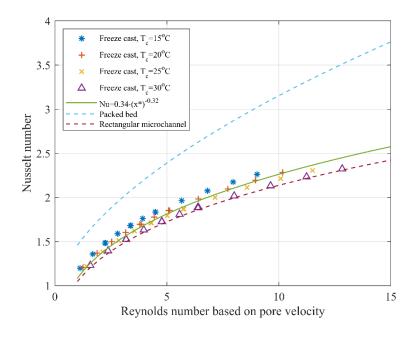
18 plotted as a function of cycle average  $\overline{Re}_p$  in Fig. 6. The local Nusselt number Nu(t, x) varies due to

19 variations in the local Reynolds number, which is caused by temperature and fluid velocity variations. In

20 general, the trend of  $Nu \sim Re$  is a sublinear relationship, which is similar to the cases of packed sphere beds

- 21 [71], but different from the constant relationship in macro parallel plates [72]. For comparison, the fitted
- correlation for local Nu from Eq. (14) with  $c_3 = 0.34$  and  $c_4 = -0.32$  is plotted in the same figure. The
- 23 correlation for local Re~Nu also fits the overall  $\overline{Re} \sim \overline{Nu}$  trend well, which is convenient for overall
- evaluations of the freeze-cast regenerator. In comparison with the cases of the packed bed and rectangular

- micro-channel, also shown in Fig. 6, the absolute values of  $\overline{Nu}$  for the freeze-cast regenerator are relatively 1
- 2 lower than the packed bed one, but slightly higher than the rectangular micro-channel one. The main reasons
- 3 for this are:
- 4 (1) Small hydraulic diameter: referring to the definition of Nu (Eq. (4)), a small hydraulic diameter results 5 in a relatively small Nu value based on the same heat transfer coefficient. Recalling Table.1, the 6 hydraulic diameter of the packed bed regenerator is significantly larger than that of the freeze-cast 7 regenerator. In Fig. 6, the Nu in the packed bed regenerator outperforms that of the freeze-cast 8 regenerator. Note that high Nu does not absolutely result in high heat transfer performance, because 9 heat transfer area is another key factor.
- (2) Limitation in oscillating blow evaluation: like single blow characterizations [73], temperature 10 breakthroughs are insignificant when the interstitial temperature difference is tiny. In these situations, 11 12 heat transfer enhancements only cause a slight change in temperature difference of solid-liquid, and therefore only small variations in temperature breakthroughs at the two ends of the regenerator. As 13 illustrated in Fig. 5 (d), heat transfer coefficient is probably under-estimated due to this insensitivity. 14
- (3) Different characterization methodologies: The Nu in the packed bed is from an ideal situation of 15 steady state, no dead volume or maldistribution of the flow. In the case of rectangular micro channels 16 in Fig. 6, the fit is from the steady state counterblow experiments without the effect of dead volume. 17 18 For the characterization of a freeze-cast regenerator, factors of dead volume and uneven flow are unavoidably brought into the testing due to the nature of the passive rig. In principal, curves for Fig. 19 6 are not exactly in the same level of characterization. The values in the Nu fit on freeze-cast 20 21 regenerator are further under-estimated due to these external influences.
- 22 According to the fit of Eq. (14), when  $Re_p$  approaches 0 in the limit, the Nu is unphysically approaching 23 0. However, in no-flow periods of (de)magnetization ( $Re_{p} = 0$ ) in AMR characterization, Nu should rather 24 attain a positive value [74] considering the interstitial heat transfer via conduction. Thus, the fit extrapolated 25 to near  $Re_p = 0$  may not be valid. The experimental  $Re_p$  in this study ranged from 1 to 15. Thus, the Nu
- 26 fit in this paper is reasonably confined in the passive characterization within the operating range.



1 Fig.6 Nusselt number as a function of Reynolds number. The markers are  $(\overline{Re_p}, \overline{Nu})$  from the numerical model with

2 fitted correlations under different operations. The plotting of packed bed is taken from the correlations of Ref. [71]

with the same parameters as the reference Gd regenerator. The fit of rectangular micro-channel heat exchanger is
 taken from Ref. [60] based on the counterblow experiment of steady state. The width and depth of the rectangular

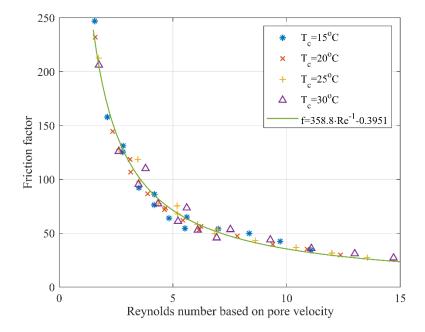
5 micro channels are 0.2 mm and 0.6 mm, respectively.

6 4.2. Friction factor correlations

The behavior of  $f_F \sim \text{Re}$  is depicted in Fig. 7 at different operating conditions. The absolute values of fare relatively high due to the small hydraulic diameter. The general trend of the  $f_F \sim \text{Re}$  relationship collapses into one single curve. These relations are picked up by model fitting from Eq. (13) ( $c_1 = 358.8$ and  $c_2 = 0.3951$ ) and also fit the form of the Ergun equation ([75], rewritten in Eq.(18)). The model profiles are in qualitative agreement with the experimental behavior. The physical relations of  $f_F \sim Re$  from packed beds are still suitable for freeze-cast regenerators with different regression parameters.

13 
$$f_F = \frac{1}{4} f_D = \frac{1}{4} \left[ \underbrace{\beta_1 \frac{(1-\varepsilon)^2}{\varepsilon^4} \cdot \left(\frac{6D_h}{D_{sp}}\right)^2}_{c_1 \text{ regression}} \cdot Re_p^{-1} + \underbrace{\beta_2 \frac{1-\varepsilon}{16\varepsilon^3} \cdot \left(\frac{6D_h}{D_{sp}}\right)}_{c_2 \text{ regression}} \right]$$
(18)

14 where  $f_D$  is Darcy friction factor,  $D_{sp}$  is the particle diameter and  $\beta_1 \approx 2.5$  and  $\beta_2 = 2.4$  in Ref.[75].



15

Fig.7 Experimental friction factor as a function of Reynolds number under different conditions for the freeze-castregenerator.

18 4.3. Heat transfer versus flow resistance

19 To evaluate the whole regenerator, the curves of  $\eta \sim (U, \text{NTU})$  are presented in Fig. 8 for both the freeze-

20 cast regenerator and the baseline Gd packed sphere regenerator. Theoretically, the regenerator can only

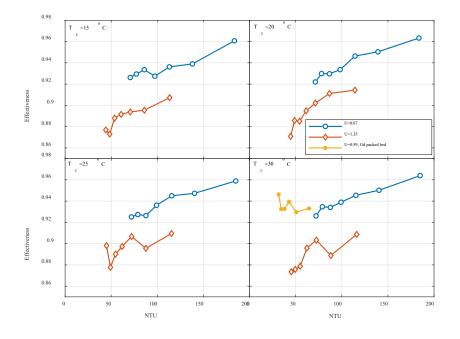
21 reach the ideal 100% effectiveness when the utilization is lower than or equal to unity. Considering the

22 limitations of the discrete numbers in piston stroke and piston seal capacity at high frequencies, the

23 utilization of the Gd regenerator is set at  $\sim 0.59$ , compared to the value of 0.67 in the freeze-cast regenerator.

1 Based on the fixed utilization and housing size but different  $T_c$  in the freeze-cast regenerator, the 2 experiments lead to different  $\eta$  ~NTU curves. The reasons are attributed to the loss differences between the 3 different regenerators, as well as the impact of temperature dependent properties of the regenerator material 4 (Fig. 4). In general, the effectiveness is observed to increase with NTU. Note that within the operating conditions' range, increasing the operating frequency decreases the NTU. The reason can be identified from 5 6 the definition in Eq. (3); the fluid thermal mass increments are more significant than the heat transfer coefficient enhancement. In some utilization regions, the effectiveness is decreasing with increasing NTU. 7 From our extra testing in this passive rig, and combined with previous work [76], we summarize the reasons 8 9 of this decreasing trend as: (1) Trade-off between heat transfer improving rate and heat transfer cycle time; (2) Axial heat conduction when operating at low utilization; (3) Dead void effect, especially in high NTU 10 (low frequency); and (4) Equipment problems, such as the piston working at high frequency (low NTU) 11 and long stroke (high utilization) would breathe in some air, reducing the local utilization or causing some 12 miscalculation due to the out-of-phase of  $T_{fh}$  and  $T_{fc}$ . The values of effectiveness of the Gd and freeze-13 cast regenerators are compared at  $T_c = 30$  °C to ensure the temperature is far away from the peak value of 14 the specific heat in both LCSM and Gd. Although the Gd regenerator has the advantage of  $\sim 10\%$  lower 15 16 value of utilization than the freeze-cast regenerator, the absolute values of effectiveness in the freeze-cast regenerator are still slightly higher than the Gd one on average (0.942 compared to 0.935). NTU in the 17 18 freeze-cast regenerator is also higher than for the Gd regenerator. That means that the freeze-cast

19 regenerator shows excellent heat transfer performance.



20

21 Fig.8 Effectiveness curves for freeze-cast regenerator and baseline regenerator.

Regarding the utilization impact, most regenerators follow the conventional behaviors that effectiveness increases when the utilization decreases. High effectiveness requires a small difference of regenerator temperature profile between hot blow and cold blow, which indicates a small utilization with lower penetration of inflow fluid into the material matrix. In Fig. 9, we compare the effectiveness versus the utilization among different regenerators. In this dimension, the freeze-cast regenerator also has the best value of effectiveness among other regenerators.

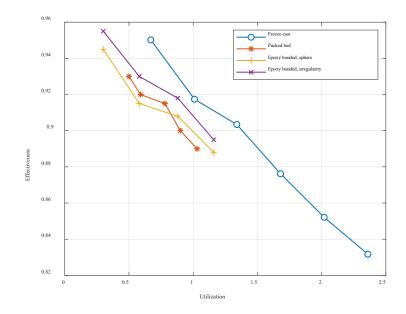




Fig.9 Effectiveness comparison for the freeze-cast regenerator, Gd packed bed regenerator and epoxy bonded regenerators with spherical and irregular particles. All the regenerators operate at the frequency of 1Hz. The cold reservoir temperatures are set to 30 °C for packed bed and freeze-cast regenerators and 22-24 °C for epoxy bonded regenerators, which are all above the Curie temperature.

6 As a preliminary test for the freeze-cast regenerator, it is valuable to provide the data of pressure drop 7 and compare them to other reference regenerators in Table 1 tested in the same tester. Despite the fact that 8 regenerators with different hydraulic diameters result in various values of pressure drop, the pressure drop 9 data for freeze-cast regenerators can give a rough comparison of pumping work for further investigation. From Fig.A1, the pressure drop signal is approximately a sinusoidal waveform. The minor deviations are 10 probably caused by the check valves' response, inertial velocity and sensor fluctuations. More important 11 concerns are the relation of maximum pressure drop and pore velocity under different conditions. We 12 compare the viscous dissipation effect of the freeze-cast regenerator and other regenerators by using the 13 curve of pressure drop versus pore velocity in Fig. 10. From the curve the trend of the freeze-cast 14 regenerator is captured as a nearly linear behavior, which is similar to the parallel plate regenerators [76]. 15 In this case, most of the working conditions are in the Darcy regime. The variations are mainly caused by 16 the temperature dependence of the fluid viscosity. Higher temperature values result in a relatively lower 17 pressure drop. On the other hand, the absolute values of pressure drop in the freeze-cast regenerator are 18 19 larger than in the Gd packed bed and epoxy bonded regenerators with spherical particles, because of the 20 small hydraulic diameter. In the case of the epoxy bonded regenerator with irregular particles, its curve is 21 close to that of the freeze-cast regenerator. However, the housings in the epoxy bonded regenerators are thinner and longer than in the freeze-cast and Gd packed bed regenerators, which is shown in Table 1. 22 23 Consequently, the measured pressure drop in the freeze-cast regenerator is larger than in epoxy bonded and

24 Gd packed bed regenerators based on the similar housing.

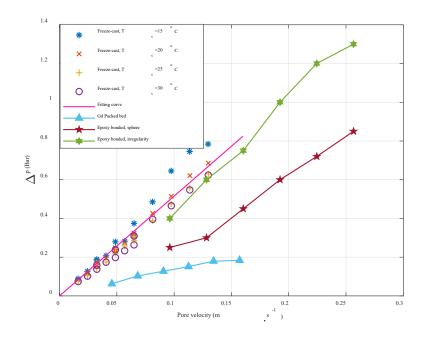




Fig.10 Comparison of pressure drop versus pore velocity among freeze-cast regenerator, Gd packed bed regenerator
 and epoxy bonded regenerators with irregular and spherical particles.

4 In order to connect heat transfer and flow resistance and highlight the characteristics of the target 5 regenerator, the data of UA (heat transfer coefficient times heat transfer area) versus pressure drop between 6 the freeze-cast regenerator and the baseline Gd packed bed regenerator are plotted in Fig. 11. It should be 7 noted that adopting UA as a representative of heat transfer performance is due to its small error as well as 8 including not only values of Nu but also the surface area of the regenerator. From the general heat transfer 9 rate equation of  $\dot{Q} = UA \Delta T$ , the total heat transfer area A is obtained from the image analysis mentioned previously with unquantified error. However, the terms of  $\dot{Q}$  and  $\Delta T$  are derived indirectly from 10 temperature data through the numerical modelling. Since the errors of modelling and temperature 11 measurements are validated to be small, the errors of  $\dot{Q}$ ,  $\Delta T$  and therefore the UA, can be treated as being 12 small. In general, UA increases with the pressure drop. Some variations are captured based on the same  $\Delta p$ , 13 because different working temperatures result in a variation of viscosity values and therefore different 14 values of  $Re_n$ . The freeze-cast regenerator exhibits higher values of heat transfer performance for a given 15 pressure drop compared to the packed Gd sphere regenerator. The main contributions are large specific area 16 and small hydraulic diameter. When fixing the pressure drop, the value of UA in the freeze-cast regenerator 17 18 is approximate 10-15% higher than that in Gd regenerator. Based on existing features, this regenerator is 19 more suitable for running in the situations of low flowrate and high performance requirements. On the other 20 hand, it is necessary to develop variable freeze-cast regenerators that fit in different flow conditions. For 21 instance, freeze-cast regenerators with large pore size and porosity may exhibit relatively low flow resistance. 22

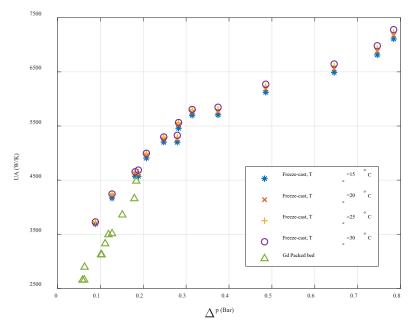


Fig.11 UA and pressure drop between freeze-cast and Gd packed bed regenerators.



# 4 5. Conclusion

5 In this study, a novel type of regenerator fabricated by the technique of freeze-casting was thermally and 6 hydraulically characterized. A passive test rig was used to obtain the effectiveness and friction factor, as 7 well as the modelling correlation validations of heat and mass transfer. Numerical analysis was performed 8 to reveal the in-depth thermodynamic parameters of NTU and heat transfer coefficients. The following 9 features are obtained due to the thermal evaluations:

- (1) The overall Nusselt number matches the local fitted Nusselt number correlation well, and the increasing trend with Reynolds number is sublinear. The absolute value of the Nusselt number is relatively low due to the small hydraulic diameter, limitation of oscillating blow evaluation and external thermal loss interference.
- (2) The relationship of friction factor and Reynolds number fit in the Ergun equation form, but withdifferent regression parameter values.
- (3) The freeze-cast regenerator has both higher effectiveness and pressure drop than in the packed
   gadolinium sphere bed and epoxy bonded regenerators.
- (4) The pressure drop shows a nearly linear behavior due to the low Reynolds numbers. The curve trend is fitting in the situation of Darcy regime.
- (5) The combined characteristics of heat transfer performance and flow resistance of the freeze-cast
   regenerator are high. When the pressure drop is held constant, the *UA* values for the freeze-cast
   regenerators are 10-15% higher than the values in the packed bed regenerator. Further, the pore
   size and porosity could be tunable within certain range for different potential applications.
- The characterization and modelling correlations are only based on the current pore size and tortuosity of the sample. Sensitivity studies on the parameters of hydraulic diameter, porosity and channel tortuosity, as
- 26 well as the parametric passive and active characterizations, will be covered in future work.

## 2 Acknowledgments

J. Liang is grateful for financial support of the CSC (China Scholarship Council) scholarship. C. D.
Christiansen, R. Bjørk and K. K. Nielsen wish to acknowledge the financial support of the Independent

5 Research Fund Denmark—Technologies and Productions Sciences, project no. 6111 - 00073B.

6

# 7 Appendix A: Uncertainty analysis

8 To carry out uncertainty analysis, temperature and pressure measurements are regarded as observations 9 here and designated as X. Extensive repeatability cycles are performed to validate the experimental 10 procedure. To correct the reading oscillations, average treatments between cycles are taken into the data 11 reduction for deriving the mean values:

12 
$$\bar{X}(t) = \frac{1}{n} \sum_{i=0}^{n} X(t+i \cdot \tau), \ X = T_h, \ T_c, T_{f,h}, T_{f,c}, p_1, p_2$$
 (A.1)

13 Where repeatability number n = 20; t and  $\tau$  represent the time readings and cycle period, respectively. 14 For small sets of data, the standard deviation of repeatability is defined by averaging the cycle deviations 15 [77]:

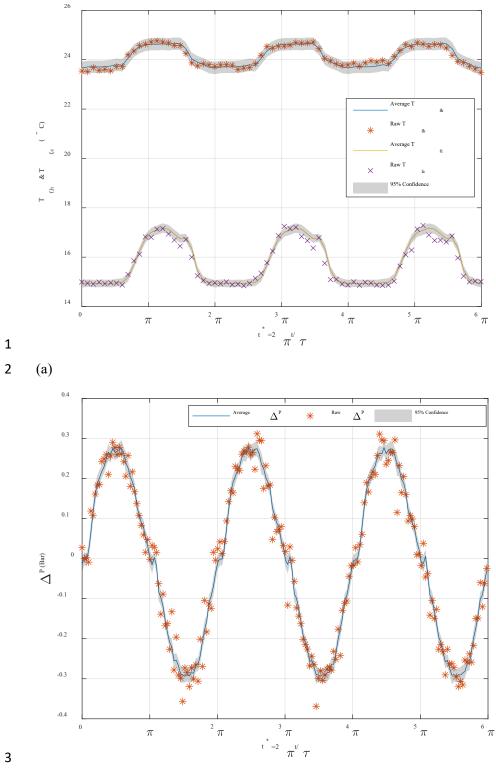
16 
$$\sigma_1(t) = \sqrt{\sum_{i=1}^n (X(t+i\cdot\tau) - \bar{X}(t))^2 / (n-1)}$$
 (A.2)

Assuming the measurement uncertainties are only due to issues with the repeatability, the overall standarddeviation is written from the error propagation principle:

19 
$$\sigma(t) = \sqrt{\sigma_1^2(t) + \sigma_2^2(t)}$$
 (A.3)

20 Where  $\sigma_2(t)$  is the instrument deviation based on current local temperature of 0.5% and pressure of 21 0.25%. We extract four cycles of temperature and pressure measurements with 95% confidence level 22 region  $X \pm 2\sigma$  in Fig.A1. Less than 3% uncertainties are obtained from both temperature and pressure

23 measurements.





(b)

Fig.A1 Temperature (a) and pressure drop (b) measurements of a single operating condition compared with the average
 data over 20 cycles

7

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