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# A Systematic investigation of the effects of process parameters on heat and fluid flow and metallurgical conditions during laser-based powder bed fusion of Ti6Al4V alloy

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- 6 Abstract

Additive manufacturing (AM) of metals faces a growing number of applications in different industries e.g. aerospace, medical, automotive, etc. Although metal AM outweighs current conventional production methods in some certain areas, the exact effect of processing conditions on the final quality and microstructure of the parts is still not well understood. An efficient way of understanding the effect of these processing conditions on a part's quality is via a calibrated and validated numerical model. Hence, in the current work a finite element model for analyzing the heat and fluid flow along with metallurgical conditions during Laser-based Powder Bed Fusion (L-PBF) of a titanium alloy has been developed and implemented in the commercial software code COMSOL Multiphysics. The thermal effect of the laser is modelled via a novel conico-Gaussian moving heat source, based on the concept of modified optical penetration depth. Analytical expressions for the geometrical distribution of the heat source are derived to obtain the heat source's effective depth. The model has been both verified and validated through mesh sensitivity analysis and comparison with experimental results. Furthermore, a detailed description about the role of the various driving forces for fluid flow has been given based on a thorough analysis using relevant dimensionless numbers. A systematic procedure to study the influence of neglecting the fluid flow inside the melt pool on the thermal field has also been devised. Moreover, a parametric study has been carried out to understand the effect of varying beam size and laser travel speed on heat and fluid flow conditions along with the final microstructures. The results show that changing the beam size or travel speed highly influences the grain sizes, dendritic growth directions and also the grain morphologies. To study the metallurgical conditions of the process, a microstructural sub-model has been developed. It is shown that by choosing different process parameters, one can manipulate the direction of the dendritic growth and change the grain sizes. Specifically, it is found that the overall effect of changing beam size on grain morphology is less pronounced than changing the travelling speed.

7 Keywords: Conical heat source, L-PBF process, heat and fluid flow, liquid metal, microstructure.

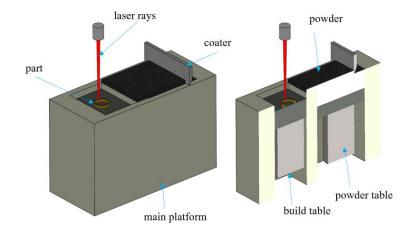
# 8 1. Introduction

9 In Metal Additive Manufacturing (MAM), parts are manufactured in a sequential layer by layer
10 manner. This technology is widely used in various industries such as medical, aerospace,
11 automotive, etc [1], largely due to its unique capability of producing complex parts within an
12 acceptable timespan and with low material waste (unlike subtractive manufacturing processes). In
13 L-PBF which belongs to the group of MAM technologies [2], a laser with a very tiny spot size

L-PBF which belongs to the group of MAM technologies [2], a laser with a very tiny spot size
(below 200 µm diameter [3]) is used as the heating source to melt down the powder particles and

- 15 subsequently fuse them together.
- 16 A schematic view of a typical L-PBF machine is shown in Figure 1. In the L-PBF process, first
- 17 the coating mechanism spreads a layer of fine metallic spheres (whose sizes are in the range of 20-
- 18 50  $\mu$ m typically [3]) on the building table. Then the laser starts to scan the predefined locations
- 19 based on the input CAD file [4]–[6]. In this way the powder particles get fused together and at the
- 20 end of the scanning step, a coherent layer of the part will be formed. Then after a cooling time of

- 21 1-2 seconds, the build table moves one increment down while the powder table moves an increment
- 22 up (each increment is roughly equal to the thickness of a powder layer). Then the coating and
- 23 scanning steps start sequentially and this cycle continues until the whole part is manufactured.



25 Figure 1. Schematic 3D view of a typical L-PBF machine along with the components. On the right side see the cross-section.

26 The L-PBF process is very fast and typically the laser scans parts with sizes of 1-30 mm, with a 27 significant speed of 50-3000 mm.s<sup>-1</sup> [3]. However, there still exists a lot of uncertainties about the 28 morphology and microstructural patterns of the produced parts, because of the unsteady nature of 29 the process. Experimental investigation of a large number of samples made with different process 30 parameters would typically be the straightforward and conventional way of understanding the 31 effects of these parameters on the characteristics of the parts produced [7]–[11]. Melt pool size 32 and its geometry, location of hotspot zones, grain morphologies and void positions, would 33 typically be the characteristics of highest importance.

There is, however, an elegant alternative way to investigate the impact of the process parameters on the mentioned part characteristics, and that is via a calibrated numerical model [12]. Such calibrated and validated model, can be implemented as a cheap, reliable and powerful tool for studying the thermal behaviour, grain morphologies and fluid dynamics inside the melt pool during the L-PBF, laser welding or any other similar laser-based process [13]–[20]. In the recent years, a substantial amount of research has been carried out in the modelling of MAM including the L-PBF process, spanning from thermal models to microstructural models, mechanical models and

41 complex computational fluid dynamics (CFD) models [21]–[30].

24

42 Conduction heat transfer models, mainly developed in the Finite Element (FE) framework (one 43 case with finite volume method [31]), have been widely used so far for simulation of the thermal 44 behavior of the L-PBF process [24]–[29]. Criales et al. [24] performed a comprehensive sensitivity 45 analysis on the effects of both material properties and process parameters on the thermal conditions **46** during the L-PBF process. They developed a 2D heat conduction model for this purpose and 47 showed that the powder packing's density and its reflectivity have the highest influence on the **48** peak temperatures formed during the process [24]. Huang et al. [25] also developed an FEM-based thermal model for the Ti6Al4V alloy and studied the effects of laser input power and its scanning 49

- 50 speed on the shape and size of the melt pool for a single track L-PBF process. Liu et al. [30] studied
- 51 the effects of thermal cycling during the course of a single-track multi-layer process and found

52 that the size of the melt pool increases with the number of layers, mainly due to accumulation of 53 heat from previous layers. In a recent work, Loh et al. [26] included the volume shrinkage in their 54 heat conduction model and showed that neglecting this effect will result in a small overestimation 55 of peak temperatures. Also some work has been dedicated to coupled thermo-metallurgical models 56 of MAM. Bontha et al. [32] studied the effect of varying laser input power and its scanning speed 57 on the grain morphology of the samples produced by means of MAM. They implemented an 58 analytical moving point source (based on the classical Rosenthal's thick plate solution) to model 59 the thermal effects during the process. Raghavan et al. [33] and Nie et al. [34] have separately 60 studied the effects of process parameters on the grain morphology of Nickle-based alloys in

- 61 Electron Beam Melting (EBM) with each their conduction-based model.
- 62 All of the aforementioned contributions use a thermal model based on heat conduction only and without any strong argument, apart from simplicity and computational efficiency, have excluded 63 64 the fluid flow from their simulations [24]–[30], [32]–[34]. However, in the recent years a number of researchers have developed multiphysics models by taking the fluid motion into account [35]-65 66 [38] both for MAM and welding. For example, Leitz et al. [39] have developed a multiphysics **67** numerical model based on the FEM and included the fluid motion in their calculations. In another **68** recent work, Lee and Zhang [40] developed a multiphysics numerical model in the Finite Volume 69 Method (FVM) framework and considered the deformation of the exposed surface of the metal as 70 well. The mentioned thermo-fluid models mostly focused on just one single set of process 71 parameters and have not as such been used for studying the metallurgical characteristics of the 72 samples [22], [35]–[38], except for [40] and [41] where the authors showed that the grain 73 morphology would remain columnar for their specific L-PBF process involving the Inconel 718 74 alloy and Ti6Al4V, respectively.

75 In this work, a thermo-fluid-metallurgical model based on the FEM framework has been developed 76 in COMSOL Multiphysics for the single track L-PBF process of Ti6Al4V. The model includes all 77 modes of heat transfer, namely conduction, convection and radiation. To model the fluid flow 78 during the solidification, solidification drag forces have been inserted as volumetric forces into the 79 Navier-Stokes equations. The thermo-capillary effect has been taken into account as well. 80 Furthermore, a novel moving volumetric heat source based on the concept of optical penetration depth has been introduced and by just adjusting one parameter associated with the shape of the 81 82 heat source, the model can be easily calibrated. The results of the current model have both been 83 numerically verified and experimentally validated. A thorough analysis on the role of the different 84 driving forces on the fluid flow and the mode of heat transfer on the temperature fields has been 85 carried out by means of dimensionless numbers. Moreover, a parametric study has been performed 86 to analyze the impact of varying the laser beam radius and its travelling speed on melt pool size and geometry, fluid dynamics, grain morphology, solidification patterns and dendritic growth 87 88 directions. For this, a microstructural sub-model has been developed and coupled to the thermo-89 fluid model.

# 90 2. Finite element model

91 The developed numerical model is based on the FEM framework and has been implemented in92 COMSOL Multiphysics 5.3a. The domain is considered to be a rectangular parallelepiped with 3

- 93 mm length, 0.75 mm width and 1.5 mm height, see Figure 2. The model is meshed with tetrahedral
- 94 elements and as shown in Figure 2, the laser starts scanning the powder layer from the point "S"
- 95 all the way to the point "F". The powder layer with thickness  $\chi$  (seen on figure 3) is situated right
- **96** above the bulk material and has its top boundary exposed to the surroundings. Since the area close
- 97 to the laser path experiences extremely large spatio-temporal changes in both temperature and fluid
- 98 flow, it is meshed with a much finer mesh.

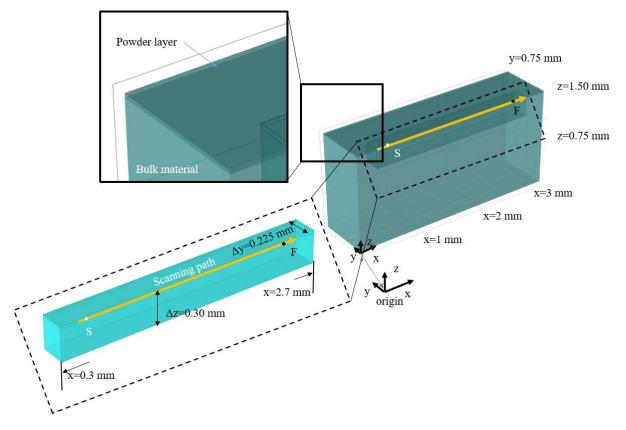


Figure 2. Model geometry along with the part dimensions. The scanning line starts from point "S" all the way to point "F" along the scanning path arrow shown in orange color. On the top of the bulk material the powder layer is set. The parallelepiped shown in cyan blue is the zone which is meshed with very fine mesh elements. The symmetry plane is the y=0 plane where the symmetry boundary condition is set as well.

- 104 Due to the symmetrical nature of the problem shown in Figure 2, a symmetry boundary condition
  105 is used on the y=0 plane for both thermal and fluid dynamics models. The main assumptions made
  106 are listed below
- The flow is assumed to be incompressible.
- The fluid is Newtonian and the flow is laminar regime.
- The powder layer is modelled as a continuum domain with effective thermo-physical properties.
- The free surface of the fluid is assumed to be flat.
- Mass loss due to evaporation is ignored.

• Mass-averaged thermo-physical properties are used.

#### 114 2.1. Heat transfer model

115 The transient temperature distribution over the computational domain can be found by solving the 116 general energy equation accounting for both conduction and convection [42], [43].

$$\frac{\partial}{\partial t} (\rho C_p T) + \frac{\partial}{\partial x_j} (\rho C_p T u_j) 
= \frac{\partial}{\partial x_j} \left[ k \left( \frac{\partial T}{\partial x_j} \right) \right] - \rho \Delta H_{fl} \left[ \frac{\partial}{\partial t} (f_l) + \frac{\partial}{\partial x_j} (f_l u_j) \right] + \dot{Q}_{\forall}^{'''}.$$
(1)

**117** The velocity vector is denoted  $u_i$  (m.s<sup>-1</sup>) in equation (1) while  $C_p$  (J.kg<sup>-1</sup>.K<sup>-1</sup>) and  $\rho$  (kg.m<sup>-3</sup>) are

**118** specific heat capacity and density of the metal, respectively. k (W.m<sup>-1</sup>.K<sup>-1</sup>) and  $\Delta H_{fl}$  (J.kg<sup>-1</sup>) are **119** thermal conductivity and latent heat of fusion and  $Q^{''}_{V}$  (W.m<sup>-3</sup>) is the volumetric heat source

120 caused by the laser irradiation.  $f_l$  is the fraction of the liquid phase which for simplicity is assumed

121 to be a linear function of temperature.

$$f_{l} = \begin{cases} 1 & T \ge T_{l} \\ (T - T_{sol})/(T_{liq} - T_{sol}) & T_{s} < T < T_{l}, \\ 0 & T \le T_{s} \end{cases}$$
(2)

where  $T_s$  and  $T_l$  respectively stand for solidus and liquidus temperatures. The required thermophysical properties of Ti6Al4V are given in Table 1.

**124** Table 1. Thermo-physical properties of Ti6Al4V for the CFD calculations [25], [38], [32].

Property	Symbol	Value	Unit
Solidus temperature	$T_{sol}$	1893.2	(K)
Liquidus temperature	$T_{liq}$	1927.2	(K)
Solid specific heat capacity	$C_{ps}$	543	(J.kg <sup>-1</sup> .K <sup>-1</sup> )
Liquid specific heat capacity	$C_{pl}$	750	(J.kg <sup>-1</sup> .K <sup>-1</sup> )
Viscosity at melting point	μ	0.005	(Pa.s)
Temperature dependency of surface tension	γ	-0.0002	$(N.m^{-1}.K^{-1})$
Solid thermal conductivity	$k_s$	13	$(W.m^{-1}.K^{-1})$
Liquid thermal conductivity	$k_l$	33	$(W.m^{-1}.K^{-1})$
Latent heat of fusion	$\Delta H_{fl}$	280000	(J.kg <sup>-1</sup> )
Laser absorption coefficient	α	0.3	(-)

- 125 In this work mass-averaged material properties have been used for the calculations [21]. For the 126 bulk material, the effective values of density, specific heat capacity and thermal conductivity can 127 be determined with a simple mass-averaging between liquid and solid properties as shown in
- **128** equations (3)-(5):

$$\rho_{bulk} = f_l \rho_l + \left(1 - f_l\right) \rho_{s'} \tag{3}$$

$$C_{P,bulk} = \frac{1}{\rho_{bulk}} \Big( f_l \rho_l C_{Pl} + (1 - f_l) \rho_s C_{Ps} \Big), \tag{4}$$

$$k_{bulk} = f_l k_l + \left(1 - f_l\right) k_s.$$
<sup>(5)</sup>

129 Similarly the effective thermal properties of the powder layer can be found by mass averaging of130 bulk metal and air properties, as well:

$$\rho_{powder} = \phi \rho_{air} + (1 - \phi) \rho_{bulk'} \tag{6}$$

$$C_{P,powder} = \frac{1}{\rho_{powder}} \Big( \phi \rho_{air} C_{P,air} + (1 - \phi) \rho_{bulk} C_{P,bulk} \Big), \tag{7}$$

$$k_{powder} = (1 - \phi)^2 k_{bulk},\tag{8}$$

131 where  $\phi$  in equations (6)-(8) is the initial packing porosity of the powder layer and in this study is 132 assumed to be 0.4 [24], [25]. The subscript ()<sub>air</sub> stands for air properties in the mentioned equations.

#### **133** Thermal boundary conditions

134 The boundary conditions required for the thermal calculations are shown in Figure 3. According 135 to this figure, the top boundary is subjected to radiation and convection via the ambient, i.e.:

$$-k\frac{\partial T}{\partial z} = h_{\infty}(T - T_{\infty}) + \varepsilon\sigma(T^4 - T_{\infty}^4) \quad , \quad z = 1.5 \ mm \text{ or } \partial\Omega_{top} \tag{9}$$

136 The  $h_{\infty}$  (W.K<sup>-1</sup>.m<sup>-2</sup>) and  $T_{\infty}$  are the ambient convection heat transfer coefficient (found for the case

137 of natural convection from a hot lower surface [44]) and the surrounding temperature respectively.

138  $\varepsilon$  and  $\sigma$  (W.m<sup>-2</sup>.K<sup>-4</sup>) are surface emissivity and the Stephan-Boltzmann constant. As shown in 139 Figure 3, the bottom boundary condition is set to be adiabatic in order to represent the very low

140 thermal gradients at this distant boundary as compared to where the laser affects the material, i.e.:

$$-k\frac{\partial T}{\partial z} = 0$$
 ,  $z = 0.0 \text{ or } \partial\Omega_{bottom}$  (10)

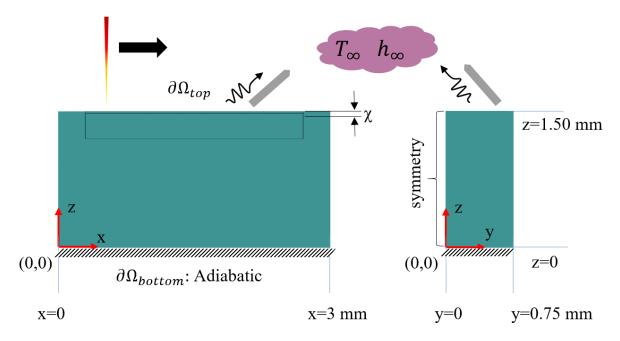




Figure 3. Thermal boundary conditions for the FE model. The bottom boundary is adiabatic and the top boundary transfers heat via convection and radiation towards the ambient. The left plane at y=0 on the yz plane shown on the right side of the figure is a symmetry boundary condition. The thickness of the powder layer on the top is denoted  $\chi$ .

#### 145 Initial condition

146 The initial temperature of both the powder layer and the bulk material is set to be 300 K with a147 uniform distribution.

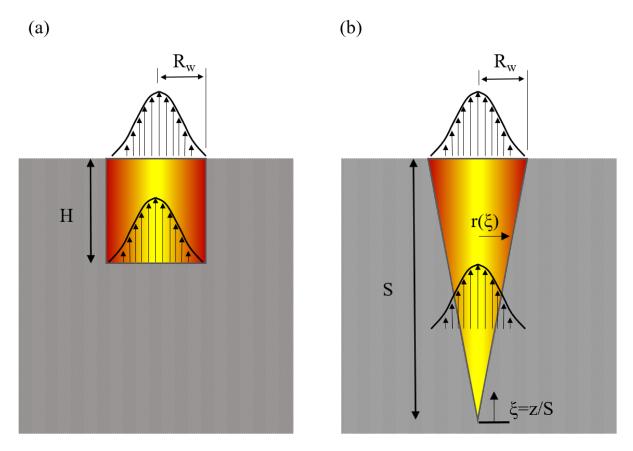
$$T(x, y, z, t) = 300$$
,  $t = 0.0 \& (x, y, z) \in \Omega_{\text{bulk}} \cup \Omega_{\text{powder}}$  (11)

148 Ω stands for the computational domain whereas  $\partial \Omega$  denotes the corresponding boundaries of that 149 domain.

#### 150 2.2. Conical equivalent heat source

151 In order to model the laser effect on the material, several options exist for MAM and welding 152 simulations, such as moving point sources [32], moving surface heat flux [45], moving volumetric 153 heat source [46], the ray-tracing method [40], etc. In this work a volumetric heat source with a 154 conico-Gaussian distribution is introduced which is based on the concept of Optical Penetration 155 Depth (OPD) [47]. The OPD concept is based on the fact that the laser rays in laser-based MAM can infiltrate to a certain depth into the powder layer, this way resulting in a heat generation in the 156 domain up to a certain depth from the top surface [47]. The original OPD method was introduced 157 158 for pure conduction problems and assumes a Gaussian spatial (in x-y plane) distribution with a 159 uniform vertical (along z) distribution for a finite depth which is defined as the OPD. A schematic view of the aforementioned cylindrical-OPD heat source is shown in Figure 4 (a). Although the 160 161 cylindrical OPD might give satisfactory results for pure conduction problems, in CFD models with 162 inclusion of fluid flow, it will lead to large and unrealistic width to depth ratios for the melt pools [48]–[50]. Hence, in the present work, a modified version of the OPD heat source is developed 163

which has a Gaussian planar (x-y) and a linear vertical distribution (along the depth) as shownschematically in Figure 4 (b).



166

167 Figure 4. The schematic view of (a) cylindrical OPD and (b) conical OPD. Note that the planar distribution for both cases remains 168 Gaussian and for the case (b) the heat is just generated until the fridges of the heat source which is denoted by  $r(\xi)$ . Both (a) and 169 (b) release the same amount of heat as the input energy  $\alpha P_w$ .

170 The necessary requirement for this volumetric heat source is that it should release the same amount 171 of energy as the laser input energy, which is  $\alpha P_w$ , i.e.:

$$\iiint_{V_h} \dot{Q}_{\forall}^{'''} dV = \alpha P_w, \tag{12}$$

172 and the Gaussian heat flux is given by

$$\dot{q}_{laser}^{\prime\prime} = \frac{2\alpha P_w}{\pi R_w^2} e^{-\frac{2(x^2 + y^2)}{R_w^2}},\tag{13}$$

173 where  $q_{laser}$  (W.m<sup>-2</sup>) in equation (13) is the Gaussian heat flux and  $R_w$  (m) is the distance from the

174 center of the heat source of which the heat flux reaches  $e^{-2}$  of its peak value. Now, the cylindrical-

175 OPD heat source is simply found by dividing the Gaussian heat flux by the OPD depth which

176 according to Figure 4 (a) is denoted H(m).

$$\dot{Q}_{cyl}^{\prime\prime\prime} = \frac{\dot{q}_{laser}^{\prime\prime}}{H} = \frac{2\alpha P_w}{H\pi R_w^2} e^{-\frac{2(x^2+y^2)}{R_w^2}}.$$
(14)

177 The subscript ()<sub>cyl</sub> stands for cylindrical in equation (14). The conical-OPD heat source is defined

178 by multiplying the cylindrical-OPD heat source introduced in equation (14) by a dimensionless 179 vertical distribution function  $\xi$  (-) in which the  $\xi$  is a dimensionless coordinate from the bottom of

180

the conical heat source towards the top plane, as shown in Figure 4 (b).

$$\xi = z/S \tag{15}$$

$$\dot{Q}_{cone}^{\prime\prime\prime} = \frac{2\alpha P_w}{H\pi R_w^2} e^{-\frac{2(x^2+y^2)}{R_w^2}} \cdot \xi$$
(16)

181 z (m) in equation (15) is assumed to be zero at the bottom of the heat source and S at its top and is 182 considered as a relative coordinate, which will be used later on for integration. Now in order to

find the relation between the cylindrical and conical OPD depths, respectively denoted by H and 183

184 S, one must set the volume integral of equation (16) equal  $\alpha P_w$ .

$$\iiint_{V_{cone}} \dot{Q}_{cone}^{'''} dV = \alpha P_w, \tag{17}$$

where  $V_{cone}$  stands for the domain inside the conical OPD. Now we set the bounds of the integral 185 186 in equation (17), i.e.:

$$\iiint_{V_{cone}} \dot{Q}_{cone}^{'''} dV = \int_{0}^{2\pi} \int_{0}^{r(\xi)} \int_{0}^{s} \dot{Q}_{cone}^{'''} dz. r dr. d\theta,$$
(18)

187  $r(\xi)$  is schematically shown in Figure 4 (b) and varies from 0 to  $R_w$  for z in the interval of 0 to S.

188 By introducing  $r(\xi)$  into equation (18) the bounds of the integral are defined

$$\iiint_{V_{cone}} \dot{Q}_{cone}^{'''} dV = \int_{0}^{2\pi} \int_{0}^{z.R_{W}} \int_{0}^{S} \dot{Q}_{cone}^{'''} dz.rdr.d\theta.$$
<sup>(19)</sup>

189 And now by integration over  $\theta$ , r and z, the net power produced with the conical-OPD heat source, which will be a function of *H* and *S*, can be determined 190

$$2\pi \int_{0}^{\frac{z.R_w}{S}} \int_{0}^{S} \left[ \frac{2\alpha P_w}{H\pi R_w^2} e^{-\frac{2(r^2)}{R_w^2}} \cdot \xi \right] dz. r dr = \alpha P_w.$$

$$\tag{20}$$

191 By integrating equation (20) and substituting the bounds of the integral, we obtain

$$\frac{\alpha.S.P_w}{H} \left[ \frac{\xi^2}{2} + \frac{1}{4} e^{-2\xi^2} \right]_0^1 = \alpha P_w, \tag{21}$$

192 and by inserting the upper and lower bounds, the following expression is obtained

$$0.283834 \frac{\alpha. S. P_w}{H} = \alpha. P_w, \tag{22}$$

**193** from which the following relation between *S* and *H* is determined:

$$S \cong 3.52 \, H. \tag{23}$$

Having obtained this relationship between S and H, it is sufficient to find one of them in order to
adjust the shape of the predicted melt pool profile to that of the experiments. In this study, the S
value is changed and used as an independent variable for finding and calibrating the shape of the

197 heat source.

#### **198 2.3.** Computational Fluid Dynamics (CFD)

As the laser heats up the powder layer and subsequently the underneath bulk material beyond their melting points, the material becomes liquid and hence highly deformable. In the presence of the concentrated heat source, extremely high temperature gradients will also form which will consequently lead to thermally-induced shear stresses that cause the liquid to flow and circulate within the melt pool.

204 To find the velocity field inside the melt pool it is necessary to solve the continuity and momentum205 equations, respectively, see e.g. [51].

$$\frac{\partial(\rho \, u_i)}{\partial x_i} = 0 \tag{24}$$

$$\frac{\partial}{\partial t}(\rho u_{i}) + \frac{\partial}{\partial x_{j}}(\rho u_{i}u_{j}) 
= -\frac{\partial p}{\partial x_{i}} + \frac{\partial}{\partial x_{j}}\left[\mu\left(\frac{\partial u_{i}}{\partial x_{j}} + \frac{\partial u_{j}}{\partial x_{i}} - \frac{2}{3}\delta_{ij}\frac{\partial u_{k}}{\partial x_{k}}\right)\right] - \frac{K_{C}(1 - f_{l})^{2}}{C_{K} + f_{l}^{3}} \cdot u_{i}$$
(25)
$$- \rho g_{i}\beta(T - T_{l})$$

- The divergence of the velocity field on the right hand side of equation (25) vanishes because of the incompressibility of the flow shown in equation (24). The derivative on the left hand side of the linear momentum balance equation is the total derivative in a Eulerian framework and the velocity field components are described by the vector  $u_i$  (m.s<sup>-1</sup>).
- **210** The third term in equation (25) is the solidification drag force where the terms c (kg.m<sup>-3</sup>.s<sup>-1</sup>) and B
- are Carman-Kozeny constants, which are numerically big and very small, respectively [52]–[55].
- **212** Based on equation (25), when the liquid fraction goes to zero and the material solidifies, the drag
- 213 force will become a significant number. On the other hand, when the liquid fraction is one, the

- drag force will vanish. In the current study *c* and *B* are set to 4e5 (kg.m<sup>-3</sup>.s<sup>-1</sup>) and 1e-4 respectively.
- **215** Furthermore, the last term in equation (25) expresses the force caused by the buoyancy effect
- 216 which in this work is modelled based on the Boussinesq approximation and  $\beta$  (K<sup>-1</sup>) is the thermal
- **217** expansion coefficient in equation (25).

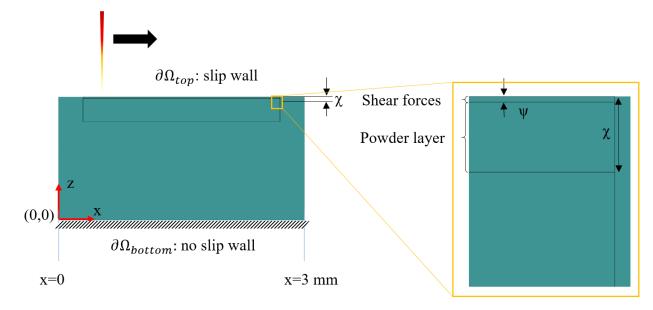
#### 218 CFD boundary conditions

All of the boundaries are assumed to be no-slip walls except for the top boundary which is set toa slip-wall condition, i.e.:

$$u_i = 0$$
 ,  $\partial \Omega_{sides} \cup \partial \Omega_{bottom}$  (26)

$$u_i \cdot n_i \partial_{\Omega} = 0$$
 ,  $\partial \Omega_{top}$  (27)

Based on equation (27), only the z-direction of the velocity will become zero and the CFDboundary conditions are shown in Figure 5.



223

Figure 5. Boundary conditions for the CFD model. The top surface is assumed to be a slip-wall while all other faces are no slip walls. The domain in which the thermally-induced shear stresses are introduced has the depth  $\psi$  and is set on the top of the whole domain.

227 The thermally-induced shear stresses are active as surface tractions on the top boundary and more228 generally, wherever large thermal gradients prevail in the liquid, i.e.:

$$\boldsymbol{\sigma}_{\boldsymbol{x}\boldsymbol{z}} = -\gamma \frac{\partial T}{\partial \boldsymbol{x}} \quad , \quad \partial \Omega_{top} \tag{28}$$

$$\boldsymbol{\sigma}_{\boldsymbol{y}\boldsymbol{z}} = -\gamma \frac{\partial T}{\partial \boldsymbol{y}} \quad , \quad \partial \Omega_{top} \tag{29}$$

229  $\sigma_{xz}$  and  $\sigma_{yz}$  (Pa) are top surface shear stresses in the x and y directions.  $\gamma$  (N.m<sup>-1</sup>.K<sup>-1</sup>) is the linear 230 dependency of the surface tension on the temperature. From a numerical point of view, imposing both a slip wall condition along with shear stresses on the same boundary is unphysical and in this regard an additional volumetric force has been introduced on a very thin subdomain (with thickness  $\psi$ ) beneath the top boundary, in such a way that it will produce an equal amount of shear force close to that boundary, as shown in Figure 5.

$$\boldsymbol{F}_{\psi,\boldsymbol{x}} = -\frac{\gamma}{\psi} \frac{\partial T}{\partial \boldsymbol{x}} \quad , \quad \Omega_{\psi} \tag{30}$$

$$\boldsymbol{F}_{\psi,\boldsymbol{y}} = -\frac{\gamma}{\psi} \frac{\partial T}{\partial \boldsymbol{y}} \quad , \quad \Omega_{\psi} \tag{31}$$

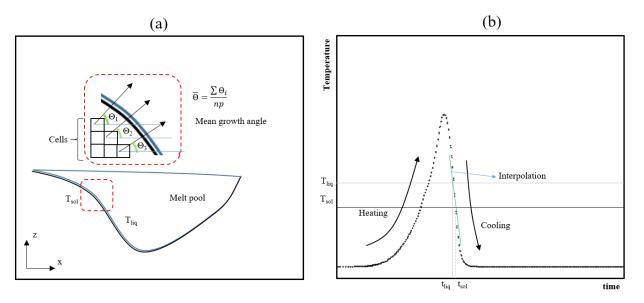
**235**  $F_{\psi,x}$  and  $F_{\psi,y}$  (N.m<sup>-3</sup>) are volumetric forces related to the thermo-capillary effect that are imposed **236** inside the top subdomain  $\Omega_{\psi}$ . This a standard procedure for implementing the Marangoni effect in **237** CFD model [17].

#### 238 2.4. Metallurgical sub-model

239 In order to study the metallurgical phenomena of the process, a metallurgical sub-model has been

240 developed and subsequently linked to the CFD model described earlier in sections 2.1. and 2.3.

- 241 The methodology used to derive the important metallurgical indicators, are schematically shown
- **242** in Figure 6.



243

Figure 6. Schematics of the methodologies used to find (a) the growth direction and (b) metallurgical conditions. np in (a) stands for the number of computational cells.

A schematic view of a cross-section of the melt pool profile, parallel to the laser track, is shown
in Figure 6 (a). The black arrows show the temperature gradient vectors in the x-z plane and as
expected, they are perpendicular to the melt pool borders. The growth direction for each
computational element is found via the following expression [40], [56]

$$\theta = \arctan\left(\frac{G_z}{G_x}\right),\tag{32}$$

where  $G_z$  and  $G_x$  in equation (32) are the temperature gradients in z and x directions, respectively. According to Figure 6 (a), the mean growth direction is the average value of the cells' growth angles. In this way, first, the growth direction for every individual cell is calculated with equation (32), then the mean growth direction is found via an averaging on all studied cells.

**254** The cooling rate can be found via [32], [33]

$$Cr = \frac{T_{liq} - T_{sol}}{t_{liq} - t_{sol}},\tag{33}$$

And  $t_{liq}$  and  $t_{sol}$ , are the times for start and end of solidification. Due to very large cooling rates, a linear interpolation is performed to find the times at which the temperature intersects the liquidus and solidus lines, as shown in Figure 6 (b).

**258** The solidification temperature gradient is found at the start of the solidification (at  $t = t_{liq}$ ) [33]. **259** The growth velocity R (m.s<sup>-1</sup>) is, however, a dependent variable and is defined as [15], [16]

$$R = \frac{Cr}{G}.$$
(34)

260 There is another metallurgical condition, denoted the morphology factor which serves as an261 indicator of the morphology of the grains, which is also a dependent variable e.g.

$$F = \frac{G}{R}.$$
(35)

All of the four aforementioned metallurgical conditions along with the growth direction arecalculated based on the CFD model data and have been reported in the subsequent sections.

#### 264 3. Mesh sensitivity analysis and validation

265 The current model has been numerically verified by means of mesh independency analysis and266 experimentally validated by comparing the predicted melt pool with those found in experiments.

#### 267 Mesh independency

The process parameters chosen for the mesh independency analysis are given in Table 2. Five different cases for the size and number of elements are selected. Based on Table 2, by increasing the number of elements to about 2 million from 800,000, the average temperature of the domain increased 0.04 K and the melt pool size became about 1% larger. Hence, in the current study we use the case 3 configuration for the calculations henceforth in the paper, due to its sufficient accuracy and lower required CPU time, compared to the cases 4 and 5.

274	Table 2. Process parameters for all three different cases for mesh independency analysis along with the calculated average
275	domain temperature.

Case		Process J	paramete	r	Elements count	Mean temperature (K)	Melt pool volume (×10 <sup>-14</sup> m <sup>3</sup> )
	Input power	Scan speed	Beam radius	Layer thickness			
	$P_w$	$V_w$	$R_w$	ψ			
	(W)	(mm.s <sup>-1</sup> )	(µm)	(µm)			
1					385398	402.95	43.6
2					584736	403.16	50.1
3	200	800	50	20	803966	403.17	50.8
4					1151773	403.20	51.2
5					1906215	403.21	51.4

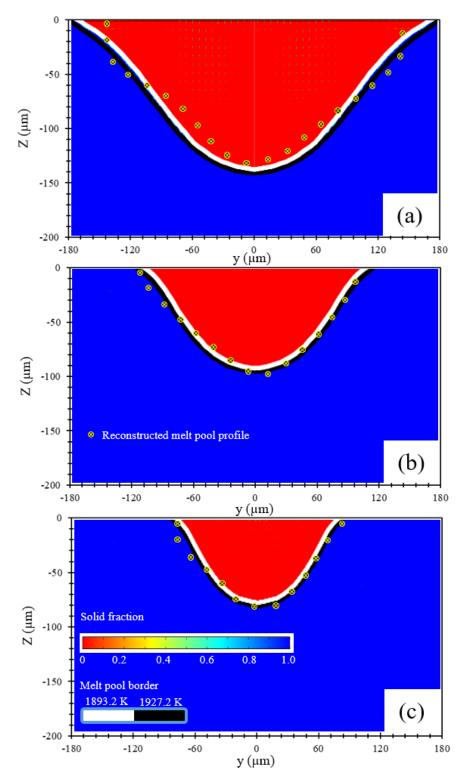
276 Moreover, the current model has been calibrated by varying the scanning speed and comparing the
277 size of the predicted melt pool with the ones measured experimentally [57]. The process
278 parameters used for this validation are given in Table 3.

279

Table 3. Process parameters for the validation [57]–[59].

Case	Process parameter					
	Input power	Scan speed	Beam radius	Layer thickness		
-	$P_{w}$	$V_w$	$R_w$	ψ		
	(W)	(mm.s <sup>-1</sup> )	(µm)	(µm)		
А		200				
В	200	300	50	20		
С		400				

280 The comparison between the numerically predicted and experimentally measured molten zones281 for all three different cases gathered in Table 3, is shown in Figure 7.



**283** Figure 7. Comparison between predicted and experimentally measured shapes of the melt pool [57] for (a)  $V = 200 \text{ mm.s}^{-1}$ , (b)  $V = 300 \text{ mm.s}^{-1}$  and (c)  $V = 400 \text{ mm.s}^{-1}$ . The red color shows the molten region while the blue stands for the solid zone. White and black lines shown in the numerical contours respectively show the liquidus and solidus lines.

286 The reconstructed melt pool profiles which were measured experimentally are shown with markers287 in Figure 7. According to Figure 7 (a), the predicted melt pool profile is slightly wider than the

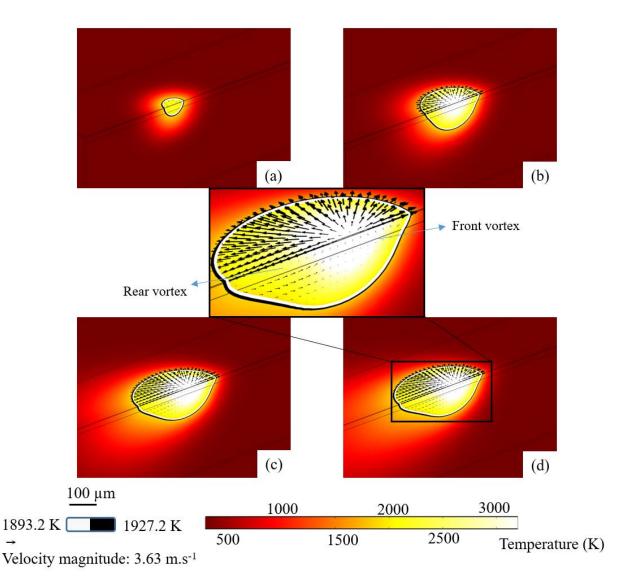
288 experimental one. The predicted depth of the melt pool is in an even better agreement with the 289 experiments, see Figure 7 (a). When the scanning speed is increased to 300 mm.s<sup>-1</sup>, the melt pool becomes smaller in both depth and width, as expected. This was also experimentally shown by 290 291 Wang et al. [60] for stainless steel. For 300 mm.s<sup>-1</sup>, shown in Figure 7 (b), the predicted melt pool 292 profile is in better agreement with the experimental one. According to Figure 7 (b), the predicted width and depth of the melt pool in case B are 240 µm and 100 µm respectively, which matches 293 294 well with the reconstructed experimental micrographs. Moreover, it is observed that further 295 increase in travel speed leads to even smaller width and depth of the melt pool, which is due to 296 shorter contact time between laser and the material (see Figure 7 (c)). It is also visually seen in 297 Figure 7 that when the laser speed is increased from 200 mm.s<sup>-1</sup> to 400 mm.s<sup>-1</sup>, the melt pool depth is reduced from 140 µm to less than 90 µm. The resulting melt pool shape based on the 298 299 conventional cylindrical OPD-based heat source (originally devised for pure conduction models 300 [47]) will have an unrealistically high width to depth ratio [48]–[50], unlike the proposed conico-301 Gaussian heat source which can capture the shape of the melt pool more correctly.

# **302 4.** Results and discussions

### **303** Thermal behavior

**304** The temperature profile along with the calculated velocity field are shown for four different times

in Figure 8. The corresponding laser input power, scanning speed and beam radius are respectively
 200 W, 300 mm.s<sup>-1</sup> and 90 μm.



307

Figure 8. Temperature contour for four different instants in time (calculated from when the laser starts moving): (a) 0.1 ms, (b) 0.4 ms, (c) 1.2 ms and (d) 2.0 ms. The melting lines are shown in black and white colors. Note the progressive transition of the shape of the melt pool from symmetrical to elongated in the x-direction.

311 Based on Figure 8 (a) and (b), the melt pool at the onset of the process grows equally to the sides, 312 while becoming also deeper towards the bulk material. As time passes further, the melt pool 313 obtains its final egg-shaped morphology, according to Figure 8 (c) and will keep it for the rest of 314 the process. The velocity field on the top surface shown in Figure 8 is radially outward and due to 315 incompressibility of the liquid zone, two vortices are spotted on front and back of the melt pool. 316 The relative size of these two vortices are highly dependent on the process parameters and will be 317 discussed later.

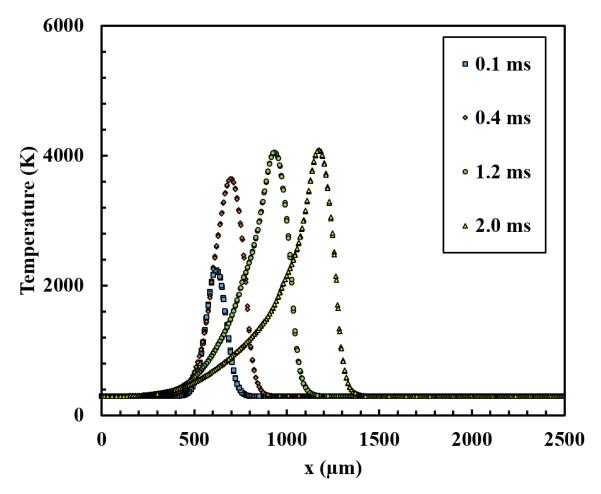
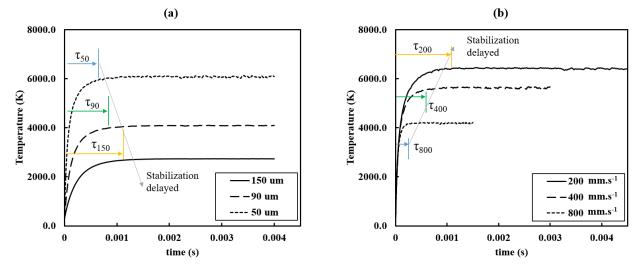


Figure 9. T-x profile measured at the scanning line at four different times. Note that the maximum temperature reaches a stable condition from which it remains constant throughout the rest of the process.

What is also interesting is that, not only the shape of the melt pool will not change after 1 ms, but
also the peak temperature will remain the same after 1 ms, according to Figure 9. In other words,
the process becomes stable from this point on and this relaxation or stabilization time might vary
depending on the imposed boundary conditions or input parameters.

325 To further investigate the effect of laser speed and beam size on this stabilization time, the peak326 temperature is plotted against time for different processing conditions in Figure 10.



**328** Figure 10. Plot of peak temperature against time for (a) varying beam size ( $v = 300 \text{ mm.s}^{-1}$ ) and (b) varying scanning speeds ( $R = 50 \mu \text{m}$ ). The laser power is set to 200 W.

330 According to Figure 10 (a) when the beam size is increased, lower peak temperatures are obtained,

331 which is because of a lower and more uniform distribution of laser heat flux over the beam area.

332 It is also noticed that choosing bigger beam sizes lead to a delay in the peak temperature profiles

333 shown in Figure 10 (a). The same trend is observed for varying scanning speeds where lower laser

travel speeds will cause a delay in stabilization of the process, since a bigger melt pool is formed

335 due to longer laser-material interaction, hence more time is required to reach the stable condition,

**336** see Figure 10 (b),.

327

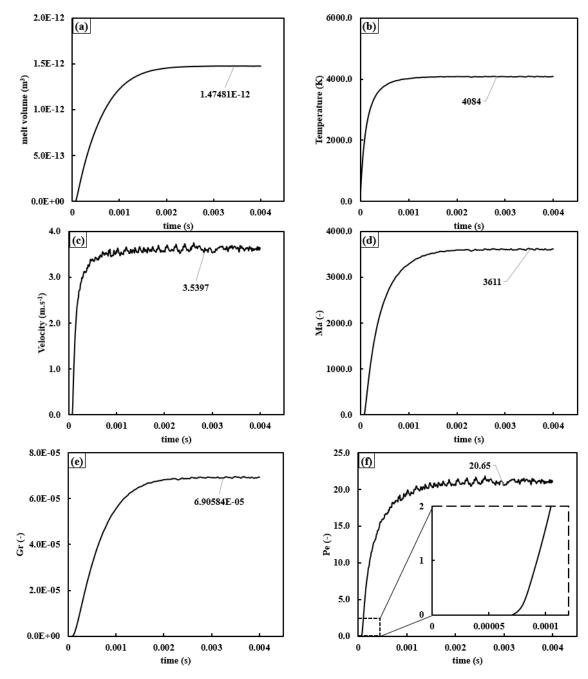
# 337 Melt pool evolution and dimensionless analysis

338 The volume of the melt pool versus time has been plotted in Figure 11 (a) where it is well observed339 that like the peak temperature which reaches a stable condition after some time, shown in Figure

340 11 (b), the melt pool size will also converge to a specific value as well. The same trend is moreover

341 seen in Figure 11 (c) where the maximum velocity magnitude also approaches a stable level after

342 some time from the onset of the process.



343

Figure 11. (a) Melt pool volume, (b) peak temperature, (c) maximum velocity magnitude, (d) Marangoni number, (e) Grashof number and (f) Peclet number versus time. The input laser power and travel speed are 200 W and 300 mm.s<sup>-1</sup>. The beam radius is set to be 90 μm.

347 To understand the effect of the thermally-induced shear stresses on the fluid flow, the Marangoni348 number is used

$$Ma = \frac{\rho L_M \Delta T_{max} |\gamma|}{\mu^2},\tag{36}$$

- 349 which is a dimensionless number expressing the relative strength of thermally-induced shear
- **350** stresses to the viscous stresses in a liquid.  $L_M$  (m) is the characteristic length of the melt pool which
- is assumed to be one-eighth of the apparent melt pool radius [20]. Apparent melt pool radius is in
- **352** turn the radius of a hemisphere with the same volume as the melt pool.  $\Delta T_{max}$  (K) is the difference
- **353** between the solidus and peak temperature.
- 354 Moreover, Grashof's number is used to study the effect of the buoyancy force on the fluid flow

$$Gr = \frac{\rho^2 \beta g L_B^3 \Delta T_{max}}{\mu^2}.$$
(37)

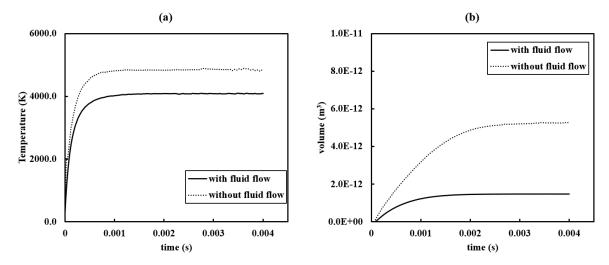
355 Grashof's number scales the relative strength of the buoyancy forces to the viscous forces. A low 356 order of magnitude of Grashof's number (O(Gr) < 1) means that the buoyancy forces have an 357 insignificant effect on the fluid flow motion, while a higher order of magnitude implies the 358 opposite.

According to Figure 11 (d) and (e), while the Marangoni number reaches a significant value of 360 3611, the Grashof number converges to a negligible number of 6.9e-5. This comparison reveals 361 that the buoyancy effect has a minimal impact on the fluid dynamics inside the melt pool, 362 compared to the viscous forces. On the other hand, a much bigger order of magnitude of the 363 Marangoni number means that the thermally-induced shear forces outweigh the viscous forces in 364 the melt region, meaning that the melt flow is mostly driven by the thermo-capillary effect. Finally, 365 in order to study the dominant mode of heat transfer, the Peclet number is applied [16]

$$Pe = \frac{\rho C_P U L_M}{k}.$$
(38)

A Peclet number smaller than one indicates conduction as the dominant mode of heat transfer whereas a value greater than one, indicates convection being more dominant. According to Figure 11 (f), the Peclet number, except for the very beginning of the process, will be considerably greater than one and within 1 millisecond it reaches the value of 20.65 which underlines the dominant role of fluid flow in the transfer of heat in the melt pool. As will be shown in the next section, the dominance of convection in heat transfer will highly govern melt pool size and its peak temperature, which will consequently affect the predicted metallurgical conditions as well.

To further understand the importance of the convective heat transfer, or in other words, the impactof neglecting the fluid flow inside the melt pool, a simplified model without the fluid flow andhence only considering conductive heat transfer was developed.



**377** Figure 12. Plot of (a) peak temperature and (b) melt pool volume versus time. The dashed lines are the results of the pure conduction model without the fluid flow. Beam radius 90  $\mu$ m, laser speed 300 mm.s<sup>-1</sup> and power set to 200 W.

387

As seen in Figure 12 (a), neglecting the fluid flow will result in higher maximum temperatures and
it will also dramatically affect the melt pool size and its geometry as well, see Figure 12 (b). More
specifically, the numerical results show that neglecting the fluid flow inside the melt pool will lead
to an almost +20% higher peak temperatures as well as a 3-4 times bigger melt region, based on
Figure 12.

384 The main reason that the peak temperature and also the melt pool size are lower in the case with385 inclusion of the fluid flow is not surprisingly that, the fluid flow will highly increase the rate of386 heat transfer between the melt pool borders and the colder bulk material.

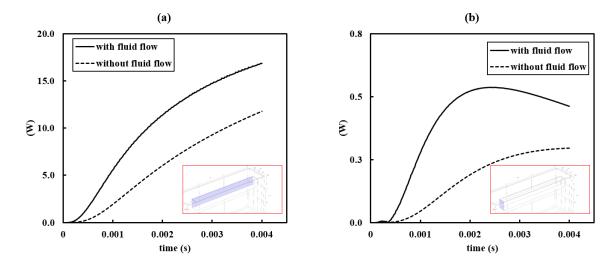


Figure 13. The net outwards power calculated on (a) a plane at y=0.225 mm (at the left side of the melt pool) and (b) a plane at x=0.3 mm (at the back of the melt pool), versus time. Dashed lines stand for pure conduction calculations and continuous lines belong to the thermo-fluid model. The planes of which the net output power is calculated, are shown with blue color in the red boxes.

In order to analyze this phenomenon, the rate of heat transfer towards the colder bulk material
through the melt pool borders has been calculated on two planes adjutant to the laser track, see
Figure 13. According to Figure 13 (a), the net output power predicted by the thermo-fluid model

reaches 16 W within 3 milliseconds while for the pure conduction model this value would be around 10 W, which is one-third lower. The same trend is also observed on the y-z plane at the back of the melt pool, according to Figure 13 (b). Hence, the inclusion of fluid flow inside the melt pool will highly increase the rate of heat transfer which will ultimately lead to lower peak temperatures and smaller melt pool sizes.

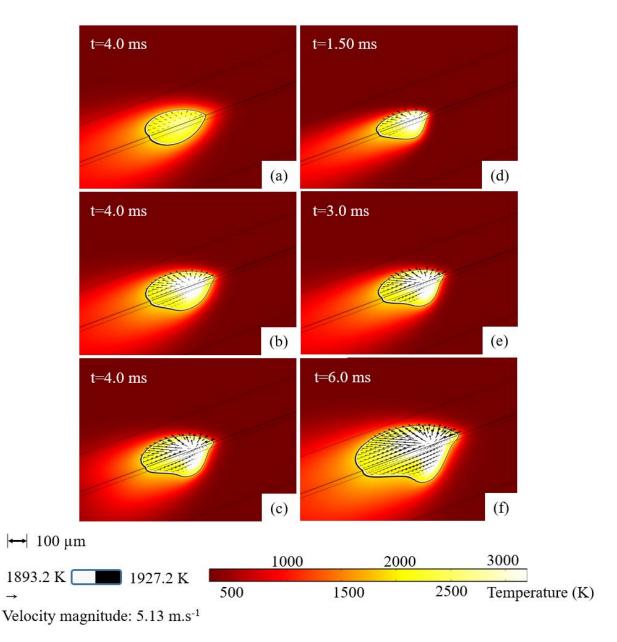
# 400 Parametric study

401 A parametric study has been performed to separately investigate the effect of the laser beam radius
402 and its travel speed on shape and size of the melt pool and its peak temperature. The process
403 parameters for the parametric study are given in Table 4. Two different cases have been analyzed
404 here, one group with varying beam radius and another group with varying scanning speeds,
405 denoted the R-group and V-group, respectively.

group	Case id	Process parameter					
		Power (W)	Scan speed (mm.s <sup>-1</sup> )	Beam radius (µm)	Layer thickness (µm)		
d	V200		200				
V-group	V400	200	400	50	20		
<b>`</b>	V800		800				
d	R50			50			
R-group	R90	200	300	90	20		
Ŗ	R150			150			

**406** Table 4. Process parameters and case ids for the parametric study.

407 The stable melt pool temperature contour and velocity fields are shown for all six different cases408 in Figure 14 (a)-(f) and at the end of each process.



- 418 distributed.
- 419 It is also seen from Figure 14 (a)-(c) that for smaller beam sizes, because of the existence of larger420 temperature gradients, the maximum velocity magnitude will be higher as well, which is directly

<sup>Figure 14. Temperature contour and velocity field along with the melt pool borders shown for case: (a) R150, (b) R90, (c) R50, (d) V800, (e) V400 and (f) V200, at the end of the process. Note that for larger beam sizes, the melt pool will have a more uniform shape.</sup> 

<sup>413</sup> It is clearly seen in Figure 14 (a)-(c) that increasing the laser beam radius will lower the peak 414 temperature and the overall molten zone. Furthermore, it is revealed that by reducing the size of 415 the laser beam radius from 150  $\mu$ m to 90  $\mu$ m and finally 50  $\mu$ m, the melt pool's geometry becomes 416 more asymmetric, while the speed is kept constant. The reason behind this transformation can be 417 attributed to the fact that for case R150, the heat flux has lower peak values and is more uniformly

421 linked to formation of elevated thermally-induced shear stresses in the fluid. On the other hand,

422 increasing the laser travel speed will result in a more asymmetrical melt pool, with shorter tails,

423 according to Figure 14 (d)-(f). For further analysis regarding the melt pool size, peak temperature

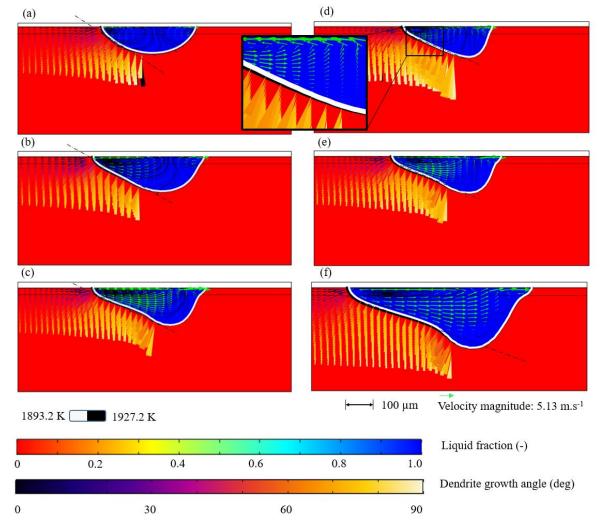
424 and opposing forces, relevant quantities have been calculated and presented in Table 5.

Case id		Melt pool data			<b>Dimensionless numbers</b>				
	Volume (m <sup>3</sup> )	Peak Temp. (K)	Max. Velocity (m.s <sup>-1</sup> )	Vorticity (s <sup>-1</sup> )	Gr (-)	Ma (-)	Pe (-)	Ar (-)	Ec (-)
V200	2.2E-12	6388.9	5.1	2174.0	2.1e-04	8414.7	33.9	2.4e-09	7.7e-06
V400	1.1E-12	5672.1	4.9	768.2	9.3e-05	5710.0	26.3	1.7e-09	8.5e-06
V800	5.1E-13	4220.5	4.1	308.2	2.5e-05	2676.5	16.7	1.2e-09	9.6e-06
R50	1.5E-12	6091.9	5.3	1033.6	1.4e-04	6966.5	31.1	1.8e-09	8.8e-06
R90	1.5E-12	4091.7	3.6	661.8	6.9e-05	3610.3	21.1	2.0e-09	7.9e-06
R150	9.8E-13	2730.5	2.0	282.3	1.8e-05	1200.0	10.0	2.3e-09	6.1e-06

425 Table 5. Data regarding melt pool information and the corresponding dimensionless numbers for the parametric study.

426 It can be seen that lower travel speeds will lead to higher peak temperatures and bigger melt pools 427 and one can say that there is a linear relation between the inverse travel speed and the melt pool 428 size, which goes well in hand with the classical effect of linear heat input [27]. On the other hand, 429 an increase in beam size will lead to a sharp decrease in the peak temperature. Moreover, from the 430 table, it is evident that the Marangoni number is directly linked with the input parameters and that 431 a reduction in beam size and travel speed will lead to bigger thermally-induced shear forces, which 432 will directly impact the mode of heat transfer, via changing the fluid flow patterns. Accordingly, 433 the associated Peclet number will also rise, as either the beam size or travel speed decreases, hence 434 resulting in a more pronounced convective heat transfer. On the other hand, the role of the 435 buoyancy forces are very negligible in both heat and fluid flows, which is seen from low levels of 436 the Grashof's number given in Table 5. However, it is the Archimedes number  $(Gr.Re^{-2})$  that 437 decides the relative importance of free convection (due to buoyancy) to forced convection (due to 438 thermally-induced shear stresses). This number, according to Table 5 is very negligible, so the 439 dominant mode of convection heat transfer is the forced convection. Furthermore, to study the **440** relative weight of kinetic energy to the internal energy, the Eckert number is calculated (defined 441 as  $V^2 \cdot C_P^{-1} \cdot \Delta T^{-1}$ ) and given in Table 5. As expected, it is observed that by reducing the travel speed, 442 the Eckert number goes down as well. Moreover, according to the table, bigger beam sizes lead to 443 lower Eckert numbers, which is also expected, since lower speed levels are found. Finally, the 444 calculated average vorticity inside the melt pool is also provided in Table 5 and accordingly lower 445 beam size and travel speed will lead to stronger circulations and vortices, which is directly linked 446 to higher thermally-induced shear forces.

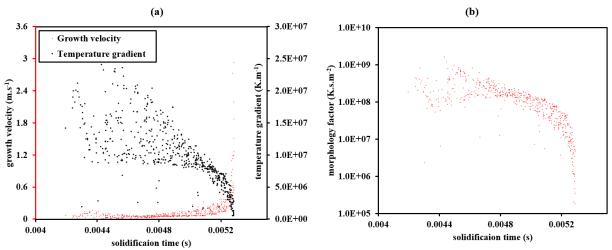
- 447 The melt pool profile in the x-z plane, including the liquid fraction contour, solidus and liquidus
- 448 lines, velocity vectors, stream lines and more importantly, the vectors of direction of dendrite
- **449** growth for all six mentioned cases are illustrated in Figure 15.



451 Figure 15. Contour of liquid fraction and vectors of plane velocity field along with the vectors of direction of dendritic growth (shown with cones) for case: (a) R150, (b) R90, (c) R50, (d) V800, (e) V400 and (f) V200 after reaching their corresponding stabilization times. Note the formation of the two vortices on the front and back of the melt pool.

454 Several interesting informations regarding the fluid dynamics and microstructure can be deduced 455 from Figure 15. It is clearly seen in Figure 15 (a)-(c) that by increasing the size of the laser beam 456 radius, the melt pool becomes more symmetric. According to Figure 15 (a), for a relatively large 457 beam radius, the melt pool profile becomes elliptical in the x-z plane and two almost equally-sized 458 vortices are formed on the front and back of the melt pool. However, by reducing the beam size, 459 hence imposing higher heat fluxes on the domain, the front vortex gets much smaller with higher **460** x-direction velocities while the rear circulation expands dramatically. Furthermore, based on 461 Figure 15 (d)-(f), by reducing the scanning speed of the laser, the size of the melt pool increases 462 in all directions.

- 463 The effect of process parameters on the dendritic growth directions is interesting as well. It is very
- 464 well established in the literature that the dendrites grow parallel to the solidification temperature
- **465** gradient [56]. The colored cones shown in Figure 15 represent the direction of the dendritic growth,
- 466 which is parallel to the heat flow direction [61], and it is seen that these lines are all perpendicular
- to the tail of the melt pool (see blowup for clarification). It is moreover observed from Figure 15
- 468 (a)-(c) that reducing the size of the laser beam, the mean dendritic growth direction angle with469 respect to the horizontal plane decreases. Moreover, based on Figure 15 (d)-(f), the reduction in
- 470 scanning speed results in a more horizontal tail of the melt pool (is quantified later on in Table 6)
- 471 which will consequently lead to lower angles of dendritic growth as well.
- 472 The solidification parameters, including cooling rate, morphology factor, temperature gradient and
- 473 solidification growth speed are calculated by means of a microstructural sub-model described in
- 474 section 2.4. The solidification temperature gradient and solidification growth velocity are plotted
- 475 against time for a cross-section of the melt pool in a y-z plane, at x=1.4 mm, in Figure 16 (a). Each
- 476 point in Figure 16 corresponds to a solidified finite element node in the model in the noted cross-
- 477 section.

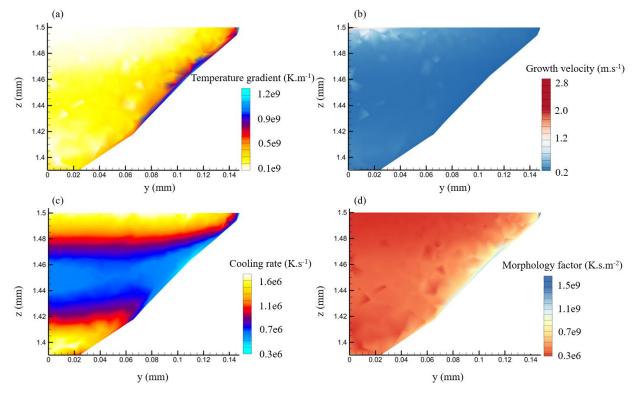




479 Figure 16. (a) Solidification growth velocity and temperature gradient versus time and (b) the plot of calculated morphology factor against time. The calculation is carried out on a y-z plane perpendicular to the laser path at x=1.4 mm for V200 case.

481 According to Figure 16 (a), the solidification growth velocity increases steadily with time and it is 482 observed that at the end of solidification, the growth velocity rises dramatically to a significant 483 amount of 3 m.s<sup>-1</sup>. The underlying reason for this sudden increase can be explained by the fact that **48**4 at the final phase of the solidification, the ratio of the melt pool total area to its remaining volume 485 will be very big. The area-volume ratio is also an indicator of the cooling capability to the 486 remaining energy inside the melt pool due to the latent heat of fusion. In this regard, at the end of 487 the solidification, the solidification speed grows dramatically. Moreover, based on Figure 16 (a), 488 the temperature gradient experiences a different trend compared to that of the growth velocity and 489 decreases during the course of the solidification process. It is noteworthy to mention that the same **490** trend is observed for both solidification thermal gradient and growth speed, for the EBM process 491 of metals as well [33]. The morphology factor which is defined as the ratio of the solidification 492 thermal gradient to the solidification growth speed is determined for the mentioned cross-section

- 493 and has been plotted against time in Figure 16 (b) and it is well observed that the morphology
- 494 factor decreases during the solidification process continuously. A lower morphology factor is an
- 495 indicator of dominant equiaxed microstructure while higher levels stand for columnar morphology
- **496** [17]. Typically the columnar microstructures lead to an unwanted anisotropy in mechanical
- **497** properties of the parts which require an additional post-process (heat treatment) to remove it [62].
- 498 The contours of the mentioned solidification temperature gradient and growth speed are shown in
- **499** Figure 17 (a) and (b), respectively.



501 Figure 17. Contours of: (a) solidification temperature gradient, (b) growth speed, (c) cooling rate and (d) morphology factor at a y-502 z cross-section at x=1.4 mm at t=6 ms for V200 case. Due to the symmetry only half of the data are shown.

503 Based on Figure 17 (a), the maximum value of the solidification temperature gradient is found on 504 the initial melt pool borders and it decreases steadily as the melt pool front moves upwards. The 505 solidification growth speed is observed to be highest on the top of the melt pool and in the center 506 line, based on Figure 17 (b), where both findings are consistent with numerical results of the laser 507 welding process as well [17]. The contour of the cooling rate is shown in Figure 17 (c), where the 508 cooling rate is highest at the top and bottom of the initial melt pool's borders and has its lowest 509 values in the middle of the centerline. The cooling rate is a good indicator for the size of the grains 510 or dendrites forming during solidification where lower cooling rates lead to bigger grain or 511 dendrite sizes [15], [16]. Thijs et al. [63] reported that the microstructure at the bottom of the melt 512 pool is much finer than the one found in the internal region, which is in accordance with the cooling 513 rate contour shown in Figure 17 (c). On the other hand, the morphology factor is a tool to study the morphology of the grains formed. In general, a decrease in the morphology factor results in 514 515 formation of columnar and even equiaxed grains. In Figure 17 (d), the contour of the morphology factor is shown at the cross-section. The maximum value of the morphology factor occurs at the 516

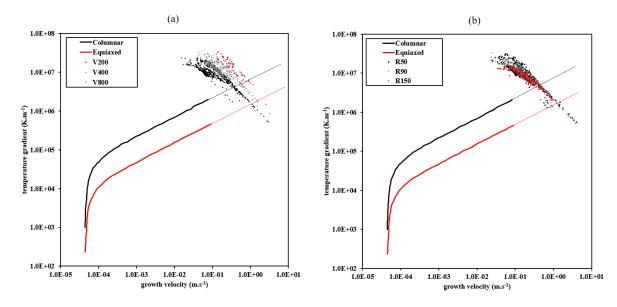
517 borders of the melt pool and lowest on the center line, leading to higher probability of formation 518 of equiaxed grains on this line and at the final phase of the solidification, which is also seen for 519 laser welding [17], [64]. The calculated mean solidification parameters for all the six different 520 cases are given in Table 6. The values for the solidification thermal gradient and growth velocity 521 are in the same order as the ones reported for another L-PBF process in the literature [40]. 522 According to this table, the cooling rate and solidification growth velocity, both increase with 523 increasing laser travel speed, leading to smaller grains. The same observation was also reported 524 for laser welding and EBM [17], [58]. One the other hand, the morphology factor drops as the 525 scanning speed goes up, hence increasing the probability of formation of more equiaxed grains. 526 Also, the calculated dendrite direction angle, with respect to the horizon, will increase by 527 increasing the beam size. In this way, one can manipulate the direction, size and morphology of 528 the dendrites formed during the L-PBF process, by changing the process parameters such as travel 529 speed and beam size.

Case id	Solidification parameters							
	C (K.s <sup>-1</sup> )	G (K.m <sup>-1</sup> )	F (K.s.m <sup>-2</sup> )	R (m.s <sup>-1</sup> )	Θ (deg)			
	Cooling rate	Temperature gradient	Morphology factor	Growth velocity	Dendritic direction angle			
V200	1.05E+06	1.00E+07	1.52E+08	0.174	19.97			
V400	2.02E+06	1.23E+07	1.00E+08	0.249	23.06			
V800	4.59E+06	1.60E+07	7.69E+07	0.399	22.75			
R50	1.53E+06	1.14E+07	1.25E+08	0.237	25.51			
R90	1.56E+06	1.02E+07	9.97E+07	0.238	29.25			
R150	1.46E+06	7.84E+06	6.34E+07	0.302	32.87			

530 Table 6. Average value of the calculated solidification parameters.

531 Furthermore, to investigate the morphology of the grains formed during the mentioned process, a

532 plot of temperature gradient versus solidification growth speed has been made for varying scan 533 speeds in Figure 18 (a) and varying beam sizes in Figure 18 (b).



534

Figure 18. G-R map for varying (a) scan speed and (b) beam size. The red and black lines respectively show the approximate borders of the equiaxed and columnar regions for Ti6Al4V. Above the black line it is purely columnar, whereas below the red line it is purely equiaxed. The dashed lines stand for extrapolated data. The map is based on the data found in [32].

538 According to Figure 18 (a) and (b), most of the solidified region lies in the columnar zone, which 539 is in agreement with experimental findings for L-PBF [62]. It is also observed that the morphology 540 of the solidified zones moves towards the equiaxed zone at the end of the solidification where low 541 thermal gradients along with high growth speeds are predicted, according to Figure 18 (a). 542 Interestingly, a similar trend is observed for the EBM process, where at the end of the process, 543 lower thermal gradients and higher growth speeds are obtained [32], [33]. This is also in agreement 544 with the decrease in the morphology factor during the course of the solidification noted earlier. 545 Overall, one can conclude from Figure 18 (a) that higher scanning speeds lead to higher thermal 546 gradients and growth speeds, while the effect of changing beam size on the final solidification 547 morphology is much lower as compared to varying the travel speed and in this way the morphology **548** of the samples are much more sensitive to scanning speed than to beam size.

549 On the other hand, based on Table 6 and Figure 18 (a), higher laser beam speeds will cause higher
550 solidification cooling rates. In this context, it should be mentioned that Zhang et al. introduced a
551 simple expression that relates the cooling rate to the laser beam speed for the L-PBF process [65]

$$Cr(K.s^{-1}, mm.s^{-1}) = (2.07 \times 10^4) V_{beam}^{1.2}.$$
(39)

552 Where  $V_{beam}$  (mm.s<sup>-1</sup>) in the above expression is the laser beam speed and according to this 553 equation, higher cooling rates are obtained for higher scanning speeds, which shows the same trend 554 as the results given in Table 6. Also, another simple equation which relates the grain sizes to the 555 solidification cooling rate, based on a rapid solidification assumption, has been suggested by 556 Broderick et al. [66]

$$d(\mu m) = (3.1 \times 10^6) Cr(K.s^{-1})^{-0.93 \pm 0.1}.$$
(40)

557 Based on this, higher cooling rates will cause smaller grain sizes and based on what was mentioned
558 earlier, one can obtain smaller grain sizes by simply choosing higher laser speeds. Furthermore,
559 the tensile strength of the material is highly dependent on the grain sizes of the domain. As
560 indicated by the following Hall-Petch-like empirical correlation [67]

$$Y(MPa) = 802.66 + \frac{1236.5}{d(\mu m)^{0.5}},$$
(41)

which relates Ti6Al4V's tensile strength, denoted Y (MPa), to the average beta grain size d. 561 562 According to equation (41) finer grain sizes will lead to higher tensile strength. Thus, one can 563 improve a part's mechanical strength by simply increasing the laser beam speed, which causes the 564 formation of finer grains during the solidification process. However, according to both Figure 18 565 and Table 6, changing the laser beam will not have a similar significant effect on the cooling rate, 566 hence it influences the mechanical strength and grain morphology to a lesser extent. Moreover, it 567 should be noted that the dominant grain morphology is still columnar for all cases studied and 568 accordingly mechanical anisotropy is inevitable, which necessitates a post-process such as heat 569 treatment to remove it.

# 570 Conclusion

571 In this work, a numerical model based on the FEM framework has been developed in COMSOL 572 Multiphysics to study the heat and fluid flow along with metallurgical conditions during the L-573 PBF process of the Ti6Al4V alloy. A systematic investigation regarding the impact of neglecting 574 fluid flow inside the melt pool on the heat flow and melt pool dimensions is presented, alongside 575 with a thorough analysis in terms of relevant dimensionless numbers. Also, a novel conico-576 Gaussian heat source is developed to model the thermal interaction between the part and the laser, 577 which relies on the concept of optical penetration depth (OPD). An analytical expression is derived 578 which can be used to adjust the shape and geometry of the melt pool for validation. Furthermore, 579 the model is both numerically verified through mesh independency analysis and validated with 580 experimental results. The results show that neglecting the fluid flow will result in overestimated 581 temperature fields and unrealistically large melt pools. Also the results show that the dominant 582 mode of heat transfer is convection, as the Peclet number is significantly larger than one. 583 Moreover, it is shown that the role of the buoyancy effect on heat and fluid flow is negligible **584** compared to the much more pronounced effect of the thermally-induced shear forces. A parametric 585 study is carried out in the second part of the paper to study the effect of varying beam size and 586 travel speed on melt pool shape, solidification pattern and size and morphology of the grains. To 587 study the metallurgical conditions, a microstructural sub-model is developed and coupled to the 588 CFD model. It is observed that at the end of the solidification process, the morphology tends to 589 become more equiaxed, compared to the onset of the process where it is fully columnar. Also, it 590 is found that by choosing different process parameters, one can manipulate the direction of the 591 dendrites' growth. Specifically, it is found that the overall effect of changing beam size on grain 592 morphology is less pronounced than changing the travelling speed.

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