



## Evaluating multiple bioclimatic risks using Bayesian belief network to support urban tree management under climate change

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# Evaluating multiple bioclimatic risks using Bayesian Belief Network to support urban tree management under climate change

## 1 Abstract

2           Understanding the vulnerability of trees affected by climate change is a key  
3 requirement for identifying management priorities and suggesting suitable urban tree species.  
4 To measure such vulnerability under changing climate conditions, indicators of bioclimatic  
5 characteristics should be identified and evaluated using past and current geographic growth  
6 ranges. However, although climate events often occur simultaneously (e.g., frost and drought),  
7 and management issues in this regard need to be clarified, it is challenging to consider  
8 multiple risks in a climate change vulnerability assessment. Therefore, we applied a Bayesian  
9 belief network (BBN) to interlink the bioclimatic requirements of species and seasonal  
10 climate risk of the study site to comprehensively assess the multiple risks. In particular, we  
11 integrated expert knowledge and supporting evidences from relevant studies to construct the  
12 BBN. The developed BBN revealed vulnerability to frost considering occurrences of  
13 cascading and co-occurring climatic risks such as warmer winters and droughts throughout  
14 the phenological cycle. As a case study, two tree species, *Zelkova serrata* and *Camellia*  
15 *japonica* from Seoul, Republic of Korea, were evaluated. Among the climatic risks  
16 considered, the BBN revealed that shortened frost hardening and the occurrence of spring  
17 frost right after an extraordinarily warm winter would mainly affect vulnerability to frost of  
18 the two species. In particular, *C. japonica* had high vulnerability due to its high susceptibility  
19 to coldness, though growing temperature will be perfectly satisfied under climate change.  
20 Generally, this study provides insights to consider multiple bioclimatic risks for guiding  
21 urban tree management under climate change.

22

23 **Keywords:** *Bayesian belief network; Bayesian Network; climate change vulnerability*  
24 *assessment; seasonal climate impact; multiple bioclimatic risk; urban tree management*

## 25 1. Introduction

26 Changing climate has modified the vegetation composition and biodiversity in many  
27 regions (Kareiva et al., 1993; Skov and Svenning, 2004; Woodward, 1987). In particular, an  
28 increase in extreme weather events, such as severe frost and drought, has negatively affected  
29 climate-sensitive plants (IPCC, 2014). However, plant species provide multiple ecosystem  
30 services and biodiversity conservation, which are necessary for human well-being (Roloff *et*  
31 *al.* 2009). Accordingly, understanding the effects of climate change on plants and how plants  
32 respond to changing climates is required for the effective management of trees to maintain  
33 ecosystem services.

34 Describing the climatic niche of species has been fundamental in ecology and has  
35 received renewed attention to assess the impact of climate change on species distributions  
36 (McKenzie *et al.* 2003). Based on the geographic growth range, the species-specific climate  
37 niche (e.g., temperature range for growing season on target species) can be estimated, and has  
38 enabled the prediction of vulnerability affected by climate change (Al-Qaddi *et al.* 2016,  
39 Hellmann *et al.* 2016, Deb *et al.* 2017, Barbosa 2016). The identified bioclimatic  
40 requirements cannot exactly predict future tree mortality, since it is difficult to fully consider  
41 the adaptive capacity of target species. However, they can estimate the potential risk that may  
42 occur, based on deviation from the past growth range. To evaluate such risks, advanced  
43 models such as generalized linear models and random forest have been applied (Koo et al.  
44 2017). Nevertheless, it is still challenging to jointly consider multiple bioclimatic hazards and  
45 complex seasonal risks under climate change, which restrict the identification of effective  
46 management priorities (Barry and Elith, 2006).

47 Moreover, trees exhibit a fatal response to changing climate when multiple bioclimatic  
48 risks occur simultaneously or sequentially (Anderegg et al., 2012; Breshears et al., 2013;  
49 Manion, 1981; McDowell, 2011). Previous research identified that combined climatic events  
50 decreased the capacity of trees to adapt to a changing climate. For example, an analysis of  
51 long-term species data from 1993 to 2012 in a temperate climate showed that late spring  
52 frosts followed by early spring and a warm winter increased tree mortality (Augsburger,  
53 2013). Drought stress in interactions with other extreme climate events also increased tree  
54 mortality. Early spring, severe frosts, and droughts synthetically decreased the growth of  
55 vegetation, which resulted in a decrease in net primary productivity (Arnold et al., 2014).

56 That is, consideration of interactions between extreme events and the response of trees along  
57 with seasonal change is critical for suitable tree management. Therefore, consideration of  
58 simultaneous occurrences regarding multiple impacts is required, to improve the predictive  
59 power for future bioclimatic responses and promote suitable urban tree management (Case  
60 and Lawler, 2017).

61 There are limited methodologies that account for cascading and overlapping climatic  
62 impacts to systematically reflect the overlapping occurrence of bioclimatic factors. The  
63 Bayesian Belief Network (BBN) – so called Bayesian Network- has been known for its  
64 advantages in systematically combining all available information by structuring a causal  
65 probabilistic network among multiple kinds of evidence and knowledge (Barton et al., 2012).  
66 In particular, BBN is known for its usefulness as it (i) reflects the complexity of an ecosystem  
67 by flexibly illustrating a network among factors, (ii) applicable for data-rich and data-poor  
68 conditions, and (iii) incorporates diverse knowledge by reflecting the opinions of experts and  
69 other stakeholder (Mccann et al., 2006). BBN ultimately supports strategic decision making  
70 for environmental management and modeling by graphically expressing complex  
71 relationships in an ecosystem (Mccann et al., 2006, Barton et al., 2012). Specifically, the  
72 BBN associates variables via conditional probability distributions and uses inference  
73 algorithms using Bayes' law to calculate posterior probabilities of the outcome states. The  
74 BBN, with a structured framework for combining the diverse risks of an ecosystem, could be  
75 useful to consider coincidental climatic impacts by linking bioclimatic factors as a network.  
76 Therefore, in this study, an analytical framework applying the BBN was developed to reflect  
77 the occurrence of multiple bioclimatic risks in a climate change vulnerability assessment for  
78 individual tree species. Based on expert knowledge and related known information regarding  
79 previous studies, we developed the BBN to reflect multiple risks to assess vulnerability to  
80 frost. Identified risks and their chronological sequence in a temperate climate were  
81 investigated for *Zelkova serrata* and *Camellia japonica*, one of the most widely used street  
82 trees in urban areas, as a case study. We expect that the suggested methodology and results  
83 will provide insights to consider multiple impacts of climate change for supporting effective  
84 tree management.

85

## 86 **2. Method**

87 In this study, a BBN was developed to reflect multiple bioclimatic impacts in a

88 climate change vulnerability assessment. The BBN can be constructed by defining and  
89 linking a set of nodes including the parent (i.e., variable set by user with no external  
90 influences) and child nodes (i.e., variable that is conditional upon the values of its parent  
91 nodes) (Webster and McLaughlin, 2014). Here, to reflect multiple risks, we defined a node as  
92 an individual climatic risk (e.g., the occurrence of drought), and the linkage of nodes  
93 represented the cascading and simultaneous occurrence sequence of such individual risks (Fig.  
94 1). Conditional probability tables were generated regarding the rate of occurrence of  
95 simultaneous impacts. Overall, nodes and linkages were identified based on expert  
96 knowledge and documented knowledge regarding relevant studies. To develop the BBN, *Z.*  
97 *serrata* and *C. japonica*, two widely planted urban tree species in Seoul, were evaluated as a  
98 case study.

99 [Figure 1] please refer to the back page of this manuscript

### 100 ***2.1. Study site and species for case study***

101 The study site was Seoul, the capital city of the Republic of Korea, which has a  
102 temperate climate with four distinct seasons. The yearly mean temperature of Seoul is 12.5°C.  
103 The mean temperature in August (summer) is 25.7°C, and mean temperature in January  
104 (winter) is -2.4°C, which shows extreme temperature differences (Korea Meteorological  
105 Administration, www.kma.go.kr). The modeled species for case study are *Z. serrata* –*zelkova*  
106 *serrata*- and *C. japonica* –*camelia japonica*-, which are the major tree species of the Republic  
107 of Korea and are widely distributed over the Korean Peninsula. The two species were selected,  
108 as those were one of the most frequently used street trees in urban areas having higher  
109 economic importance. Two species can be found in study site, but show different distribution  
110 range across Republic of Korea.

### 111 ***2.2. Data***

112 To consider climatic hazards that could occur based on a business-as-usual state,  
113 climate projection from the RCP 8.5 scenario was evaluated. The RCP scenarios predict  
114 future climates depending on actions to curb greenhouse gas emissions according to changes  
115 in policy and the level of anthropogenic impacts (Symon, 2013). RCP 8.5 reflects high levels  
116 of global warming, which hypothesizes high future demand for energy (Deb et al., 2017;  
117 Moss et al., 2010). We used climate data projected by the HadGEM3 model, which was  
118 developed by the Met Office Hadley Centre. In particular, an official national downscaled

119 regional climate model, HadGEM3-RA (Korea Meteorological Administration,  
120 www.kma.go.kr) with a  $1 \times 1$  km spatial resolution, was used to reflect climate variations on  
121 the Korean Peninsula. Data for the current and past climate was obtained from the Korea  
122 Meteorological Administration, including meteorological observatory data (Korea  
123 Meteorological Administration, www.kma.go.kr).

124 Species occurrence data was acquired from the Third National Ecosystem Survey  
125 conducted by the Ministry of Environment of the Republic of Korea (www.me.go.kr) from  
126 2006 to 2012. For the WI, based on expert's interview particularly regarding the heat  
127 requirement of a species that was distributed beyond the Korean peninsula, we considered the  
128 natural distribution range of the species studied by Yim and Kira (1991) regarding the wide  
129 range of the heat requirement of the species studied (S1).

### 130 **2.3. Development of a Bayesian Belief Network (BBN)**

#### 131 ***2.3.1. Selecting and linking indicators***

132 [Table 1] please refer to the back page of this manuscript

133

134 Expert knowledge was integrated for selecting, confirming and linking the nodes.  
135 Five experts (local managers and scientists) with a minimum of 20 years' experience on tree  
136 management were individually interviewed for the indicator selection on main bioclimatic  
137 risks and its confirmation. Furthermore, plant's annual phenological cycle (Burton and  
138 Cumming 1995), and the relevant researches clarifying bioclimatic requirements of trees,  
139 Yim and Kira (1975), Cannell and Smith (1986), Prentice *et al.* (1992), Urban *et al.* (1993),  
140 Burton and Cumming (1995), McKenzie *et al.* (2003), Skov and Svenning (2004), Schwartz  
141 *et al.* (2006), Normand *et al.* (2007), McDowell *et al.* (2008), Nitschke and Innes (2008), and  
142 Arnold *et al.* (2014), were considered to identify the nodes (Table 1).

143 In specific, based on the plant's phenological cycle from Burton and Cumming  
144 (1995) and relevant research, we identified general bioclimatic requirements of tree species  
145 as an indicator: thermal requirement for the growing season (Warmth Index; WI), chill  
146 requirement for adequate frost hardening (Chilling Requirement; CR), and optimum  
147 minimum temperature in winter (Minimum Temperature; MinT). Furthermore, based on  
148 expert's opinion, not only species bioclimatic requirements (WI, CR, and MinT), but also  
149 risks of occurrence on spring drought (SD), extra-ordinary warmer winter (WW), and spring  
150 frost (SF) were regarded as a node in BBN.

151 The structuring of a network with selected indicators was performed based on expert  
152 knowledge and relevant studies demonstrating such linkages. In summary, major risks were  
153 identified as frost that occurs in winter and spring. The nodes were linked to discern  
154 “vulnerability to winter frost” and “vulnerability to spring frost.” Specifically, the following  
155 principles were applied:

- 156 (i) The most crucial phenological stage was determined to budburst. Trees can be most  
157 susceptible in such stage, as the first appearance of spring foliage often has a strong  
158 response to temperature change (White et al., 1997; Schwartz et al., 2006). The  
159 failure of proper budburst can ultimately impact a species abundance (GRUBB,  
160 1977; Nitschke and Innes, 2008). Therefore, the BBN was structured with a  
161 particular focus on the budburst stage, hence the network started with the timing of  
162 “after budburst”, and the last part of the BBN was concentrated on the timing of  
163 “budburst” to measure the risks at bud flushing.
- 164 (ii) The major climate risk was investigated as SF, and the related risks that were  
165 causally increasing vulnerability were investigated as WW and SD. That is, recent  
166 warming in winter often caused earlier bud sprouting, which increased the  
167 vulnerability of the following SF. Moreover, not only warmer winter, but also co-  
168 occurring drought was observed to increase susceptibility to the occurrence of SF. In  
169 line with expert knowledge, Arnold et al., (2014), Augspurger (2013), and Schwartz  
170 et al (2006) empirically demonstrated such a mechanism.
- 171 (iii) Frost that occurred in winter was also regarded as a major threat prior to SF. It has  
172 long been known that if trees are exposed to temperatures below their normal  
173 minimum temperature, the distribution of trees may change over time (Sakai and  
174 Weiser, 1973; Woodward, 1987; Prentice *et al.*, 1992). Specifically, such a threat can  
175 increase when an adequate temperature range in the growing season and appropriate  
176 frost hardening period are not satisfied beforehand (Cannell and Smith 1986; Burton  
177 and Cumming 1995; Nitschke and Innes 2008). Hence, vulnerability to winter frost  
178 was determined regarding multiple impacts related to WI, CR, and MinT.
- 179 (iv) This study hypothesized that if the vulnerability to winter frost was high, the  
180 subsequent vulnerability to spring frost would increase.

181 **[Figure 2] please refer to the back page of this manuscript**

182  
183 Each indicator was basically classified and ordered based on the chronological order  
184 and co-occurring features regarding the above principles (Fig. 2). To constitute the BBN, we  
185 used Netica software ([www.norsys.com/netica](http://www.norsys.com/netica)). Though there were several tools for  
186 developing the BBN such as Netica, Hugin, xBaies, and JavaBayes, Netica was identified as  
187 the most frequently and widely applied tool in ecosystem management (Pérez-Miñana, 2016),  
188 because it has the strengths of a user-friendly GUI, computational power, and good  
189 performance (Zou and Yue, 2017). Hence, we applied Netica for construction of the BBN.

### 190 *Calculating probabilistic suitability of tree species on projected years*

191 To evaluate species suitability affected by climate change, we quantified satisfaction  
192 rate of the species bioclimatic requirement and occurrence rate of extreme climate at the  
193 target site. For the climate from current to future (2016–2099), representing climate change,  
194 the overall probabilistic suitability value was quantified. This shows the degree of how the  
195 projected climate will be suitable for tree growth.

196 First, species bioclimatic requirements, WI, CR, and MinT, were calculated by  
197 comparing species-specific threshold values and the target site's projected climate.  
198 Specifically, depending on the geographic range of the target species, threshold values of WI,  
199 CR, and MinT were identified as shown in Table 2. Second, satisfaction and dissatisfaction  
200 rates of such threshold values of the target site climate were calculated. For instance, when  
201 the threshold value of MinT was exceeded in the whole evaluated period from 2016 to 2100,  
202 the satisfaction and dissatisfaction rates were quantified as 0% and 100%, respectively.

203 **[Table 2] please refer to the back page of this manuscript**

204  
205 The risks on occurrences of warm winter, spring drought, and spring frost were  
206 calculated for the parent nodes, WW, SF, and SD. These represent the occurrences of extreme  
207 events at the target site for the projected years. As such, the occurrence rate of each event for  
208 the evaluated period (a total of 84 years) was quantified. For instance, when spring drought  
209 occurred for 2020, 2030, and 2050, the occurrence rate was quantified as 3.6% (84 divided by  
210 3).

### 211 *2.3.2. Generating a conditional probability table to integrate the bioclimatic impact*

212 We generated a Conditional Probability Table (CPT) or link matrix, when multiple  
213 nodes were integrated in a causal relationship. We applied two main rationale to integrate the



214 nodes: 1. conditional probability (%) for multiple nodes -occurrence rate, satisfaction rate- is  
215 quantified; 2. discrete choice -high, middle, low- is made for two discrete nodes, that are  
216 vulnerability to winter and vulnerability to spring frost. Expert knowledge was applied to  
217 identify the discrete nodes.

## 218 **2.4. Sensitivity analysis**

219 We applied the entropy reduction (mutual information) function in Netica to evaluate the  
220 node with greater influence on the target nodes “vulnerability to winter frost and spring frost”  
221 in the case of the two species. The entropy reduction (mutual information) function, which is  
222 symmetric between nodes, indicates how much of the variation on the target node is  
223 explained by the rest of the nodes in the network (Pearl, 1988; Dlamini, 2010), hence it  
224 indicates which part of the network most affects the target node (Norsys Software Corp,  
225 2012). As such, for the model evaluation process, the function was applied to identify the  
226 most influential factors.

227

## 228 **3. Results of the case study**

### 229 ***3.1. Projected climate change of the study site***

230 Climate projection shows that the study site would experience a constant temperature  
231 increase during the growing season, 11 ~ 33°C (monthly mean temperature from April to  
232 September). Coldness in winter would show high variability, ranging from -15 ~ 9.5°C  
233 (minimum temperature from December to January). The risk on extreme climate, including  
234 WW, SF, and SD in Seoul, was highest for WW, and 48% of the projected years showed the  
235 mean daily temperature of early spring exceeding its mean daily temperature of the past 30  
236 years (Table 3). The spring frost and spring drought was projected to occur at 24% and 35%  
237 until 2099, respectively (Table 3).

238 [Table 3] please refer to the back page of this manuscript

239

### 240 ***3.2. Evaluated management priorities for target species***

241 Risks on bioclimatic factors were identified for the study site and target species  
242 (Table 3). By constituting the conditional probability table (CPT) depending on Bayes rule,  
243 the rationale to combine the values on individual risks identified in Table 3 was determined

244 (See Table 4, Table 5, S2, and S3).

245 [Table 4] please refer to the back page of this manuscript

246 [Table 5] please refer to the back page of this manuscript

247 [S2] please refer to the back page of this manuscript

248 [S3] please refer to the back page of this manuscript

249 [Figure 3] please refer to the back page of this manuscript

250

251 As a result, two BBNs were generated as shown in Fig. 3. In the growing season for  
252 *Z. serrata*, the results showed that the required optimum range for growing temperature will  
253 be unsatisfied for 38.1% until 2099 (Fig. 3). In comparison, since *C. japonica* had a broader  
254 threshold range for growing temperature, especially high temperature (Table 3), it showed  
255 100% satisfaction until 2099 (Fig. 3). Therefore, by comparing the current growing  
256 temperature range with projected climate, it shows that high temperatures in the growing  
257 season should be carefully managed for *Z. serrata*.

258 We hypothesized that if the vulnerability to winter frost was high, the subsequent  
259 vulnerability to spring frost would increase. The evaluated satisfaction rate on CR and MinT  
260 indicated that cautious supervision on coldness is necessary, especially for *C. japonica*. That  
261 is, *Z. serrata* and *C. japonica* presented similar dissatisfaction rates to the frost hardening  
262 requirement, 59.5% and 60%, respectively (Fig. 3). However, *C. japonica* showed high  
263 vulnerability to extreme coldness; 79.1% of the measured years exceeded the species-specific  
264 threshold of minimum temperature (Table 3). The constituted BBN model indicated that a  
265 lack of satisfaction of the heat requirement, chilling requirement, and limiting minimum  
266 temperature would impact vulnerability to winter frost. As such, the vulnerability to winter  
267 frost was determined based on the satisfaction condition of child nodes, as illustrated in  
268 Tables 4 and S2. Consequently, the highest vulnerability values of *Z. serrata* and *C. japonica*  
269 to winter frost were 3.67% and 47.5%, respectively (Fig. 3). That is, *Z. serrata* distinctively  
270 demonstrated a low vulnerability to winter frost. However, *C. japonica* exhibited a high  
271 vulnerability to winter frost because the prior lack of satisfaction of the chilling requirement  
272 and limiting minimum temperature reduced its adaptability to winter frost.

273 One of major climate hazards, vulnerability to spring frost, was evaluated based on the  
274 previously defined vulnerability to winter frost and occurrence risks of spring drought, warm  
275 winter, and spring frost. In the study site, the results showed that warm winter will occur for  
276 approximately half of the projected years (Table 3). However, the occurrence of spring frost  
277 immediately after the occurrence of warm winter (cascading occurrence) was about 40% (S3).

278 Regarding spring drought, it was estimated that the study site would have a spring drought  
279 occurrence rate of around 35% until 2099 (Table 3). Consequently, vulnerability to spring  
280 frost was determined by integrating all the prior responses to climatic events before the stage  
281 of budburst (Table 5). *C. japonica* was analyzed to have a higher vulnerability to spring frost  
282 than *Z. serrata* owing to its low adaptability to coldness. *C. japonica* was in a high and  
283 middle vulnerable state for about 22.5% of the projected years (Fig. 3). Since spring frost  
284 decreased in the future, the projected management requirement for spring frost was lower  
285 than the vulnerability to winter frost.

### 286 **3.3. Influence of bioclimatic factors on vulnerability to frost**

287 The entropy reduction analysis on two target nodes, vulnerability to spring and  
288 winter frost, indicates the bioclimatic elements with the greatest influence (Fig. 4). The  
289 results for both evaluated species showed that the CR satisfaction rate was the main factor  
290 influencing vulnerability to winter frost. As for *C. japonica*, the dissatisfaction rate of MinT  
291 was also an important bioclimatic factor. For the target node, vulnerability to spring frost, the  
292 rate of occurrence of spring frost after a warmer winter was the major element that influenced  
293 the variance of vulnerability. In the case of *Z. serrata*, vulnerability to winter frost and  
294 occurrence of spring drought were also evaluated to have a greater influence.

295

296 [Figure 4] please refer to the back page of this manuscript

297

## 298 **4. Discussion**

299 Climate change increases extreme weather events, which accelerates the mortality of  
300 trees (Bonan, 2008; Kurz et al., 2008). Accordingly, it is necessary to assess how tree species  
301 will respond to climate change for effective tree management practices. The vulnerability of  
302 vegetation regarding climate change has been quantified mostly based on an empirical  
303 relationship between the geographical distribution of species and climate variables, which is  
304 called a climatic niche (Hutchinson, 1957; Pearson and Dawson, 2003). However, it has been  
305 challenging to reflect co-occurring and cumulative climate risks for evaluating a tree's  
306 vulnerability (McDowell et al., 2008, Adams et al., 2013). Therefore, in this study, an  
307 analytical framework based on a Bayesian network was developed and applied, which

308 identified the priorities of management issues by modeling the occurrence of cascading and  
309 co-occurring bioclimatic risks under climate change.

#### 310 ***4.1. Effectiveness and strength of the BBN to reflect multiple bioclimatic risks***

311 By reviewing existing applications of BBNs on climate change assessment, Sperotto  
312 *et al.* (2017) identified its effectiveness and strength: it can include multiple stressors or  
313 elements with great flexibility. Although few studies have applied the BBN approach to  
314 evaluate the impact of climate change on natural resources, most of the applications  
315 considered multiple risks (Catenacci and Giupponi, 2013; Dyer *et al.*, 2011; Gutierrez *et al.*,  
316 2011; Kelly *et al.*, 2013; Kotta *et al.*, 2009; Sperotto *et al.*, 2017), as it has the capability to  
317 integrate diverse factors based on conditional probability. Accordingly, in this study, multiple  
318 factors affecting “vulnerability to winter frost” and “vulnerability to spring frost” were  
319 included as a network. We could consider how often multiple bioclimatic risks such as warm  
320 winter and spring frost would occur simultaneously under climate change by constituting the  
321 BBN based on insights from expert knowledge and previous studies. When we only  
322 considered climate risk individually, there was a risk of exaggeration in projecting the  
323 vulnerability of trees affected by climate change. The lack of reality in the model could  
324 increase the uncertainty (IPCC, 2014). For instance, when we only considered vulnerability  
325 to MinT, we could conclude that *C. japonica* may have a vulnerability rate of 79% under  
326 climate change, which indicated that for 79% of the projected years it would be vulnerable  
327 for proper growth (Table 3). However, not only an individual impact, but also the overlapping  
328 impact of multiple stressors should be considered to offer more useful and abundant  
329 information that supports urban tree management.

330 In line with that, multi-risk assessment requires the conceptualization of interactions  
331 and processes relevant to an objective (Dawson, 2015). The graphical representation ability  
332 of the BBN was a powerful function for conceptualizing the possible relations among nodes,  
333 and it helped to systematically understand the confused structure (Aguilera *et al.*, 2011). As a  
334 result, the graphical function effectively illustrated what cascading and co-occurring  
335 bioclimatic risks could occur, and how they are linked.

#### 336 ***4.2. Guiding tree management***

337 The network identified among selected bioclimatic risks informed how tree managers  
338 can perform proactive monitoring and make preparations to reduce vulnerability under

339 climate change. Overall, the BBN stressed the importance of monitoring throughout the year-  
340 round phenological cycle. As for winter frost, continuous monitoring of heat requirements,  
341 duration of chilling and excess of species-specific coldness tolerance are required to be  
342 fulfilled. In particular, the results clearly emphasized the risk of occurrence of an inadequate  
343 frost hardening period for the two species (Fig 4). That is, an insufficient chilling period due  
344 to temperature rise should be monitored as a priority; also, precautionary actions on covering  
345 trees should be taken depending on the monitored duration of the chilling period. Specifically,  
346 in the case of *C. japonica*, as susceptibility to winter frost is notably high, damages due to  
347 extensive coldness in winter would be continuously problematic, although the temperature  
348 range throughout the growing season is adequate. On the other hand, *Z. serrata* may face  
349 increasing heat stress (e.g., leaf scorch) during the growing season (Fig 3), as intense heat  
350 would occur, emphasizing the importance of careful measures such as proper watering to  
351 avoid heat injury (Roloff, 2016). To reduce vulnerability to spring frost, the occurrence of  
352 related risks in regard to an extraordinarily warmer winter and co-occurring drought was  
353 evaluated. The results showed that about 50% of projected years were assessed to have  
354 warming in winter, and related occurrences of spring frost and drought were quantified as  
355 about 24% and 35%, respectively (Fig 3). That is, even though mean temperature would  
356 increase in winter, trees may face sudden freezing due to an increase in temperature variance  
357 (IPCC, 2014). Along with the occurrence of spring drought, monitoring of the duration and  
358 rate of warming is required to perform precautionary frost management. As even moderate  
359 frost can significantly damage vegetation at the timing of budburst (Schwartz et al., 2006),  
360 such a cautious approach is highly required for urban tree management.

### 361 **4.3. Limitations and next steps**

362 An analytical framework that reflected multiple bioclimatic risks and their causal  
363 relation to vulnerability to frost can be applied to other regions or species. However, the  
364 duration and sequence of bioclimatic impacts could differ, thus detailed climatic conditions  
365 and its network could be modified for each region. Specifically, in this study, representative  
366 bioclimatic factors that frequently affect urban trees were primarily selected, which reflected  
367 major phenological events and the notable climatic risk of Seoul. That is, we identified and  
368 applied several important factors integrating expert knowledge. However, as ecological  
369 response can be more complex, and other non-ecological factors (e.g., location of a tree) can  
370 affect vulnerability, for further research, more nodes can be identified and applied to develop

371 the BBN. For instance, management practices such as frequency of irrigation or  
372 characteristics of the urban environment can affect the degree of vulnerability. Thus, as  
373 uncertainty is present in a vulnerability assessment, an adaptive approach is required to  
374 improve the BBN (Landis et al., 2013). That is, improved knowledge and observations of  
375 reactions of a system are recommended to be continuously reviewed and applied, as BBN is  
376 highly flexible (Sperotto et al., 2017).

377           Specifically, the BBN is fundamentally limited in considering the dynamic response  
378 of trees and feedback loop of the sequence of vulnerability. Compared to a system dynamics  
379 model, another model based on a systematic approach that supports causal loops reflecting  
380 positive and negative feedback (Reynolds and Holwell, 2010), BBN generally do not assist  
381 the dynamics and feedback effects in the system (Sperotto et al., 2017). In line with that, in  
382 this study, we posed static assessment, rather than dynamic assessment that reflects dynamic  
383 responses reflecting each tree's resilience. Dynamics such as a tree's changing resilience  
384 regarding age or management options were not considered in the BBN. Though the dynamic  
385 resilience of species to multiple risks is hard to be reflected due to limitations in data  
386 availability and limited known information, a feedback loop is often important in ecology  
387 (Nyberg et al., 2006; Mccann et al., 2006). Hence, a Dynamic Bayesian Network (DBN) can  
388 be considered for analyzing a tree's vulnerability based on multiple impacts, as it supports the  
389 function to monitor and update the system over time (Murphy and Russell, 2002). Otherwise,  
390 a simple solution can be applied to improve the BBN structure by adding nodes that reflect  
391 different types of possible responses to multiple risks.

392           However, though such limitations exist, this study provided insights to consider  
393 multiple chronological impacts in a climate change vulnerability assessment regarding a  
394 tree's phenological cycle. There are a lot of possibilities with climate risks and their  
395 combinations that affect a tree's adequate growth. The evaluation of such sequences is hardly  
396 performed due to difficulties in identifying systematic sequences and collecting available  
397 empirical data. In this context, a BBN's advantage in systematically integrating knowledge in  
398 data-poor condition and its strength in supporting optimum decision making can be further  
399 applied to consider multiple hazards in urban tree management.

400

## 401 **5. Conclusion**

402 Assessments of future impacts of climate change on ecosystems are rapidly developing.  
403 However, attempts to consider multiple climate hazards in urban tree management are often a  
404 challenge. There should be an attempt to develop methodologies to comprehensively consider  
405 each climatic event. In this respect, this study suggested that a BBN could be used as an  
406 effective tool to consider multiple climate hazards for a climate change vulnerability  
407 assessment. The assessment framework suggested a method to conditionally interlink the  
408 suitability of each bioclimatic requirement and risk in the occurrence of simultaneous  
409 climatic threats regarding the phenological cycle. Heat requirement, frost hardening, coldness,  
410 and major climate risks (e.g., warmer climate in winter) were systematically evaluated as a  
411 network. The results of this study identified prioritized management issues such as a  
412 subsequent reduction of chilling period and simultaneous occurrence of spring frost after a  
413 warmer winter to reduce vulnerability to frost for two species, *Z. serrata* and *C. japonica*.  
414 Furthermore, we suggested the strengths and limitations of BBN to consider multiple  
415 stressors and their complex influence. In the end, even though it is a challenge to apply  
416 multiple causal risks along with the phenological cycle in predicting vulnerability to climate  
417 change, as precautionary and proactive tree management is required, further consideration  
418 and implications are necessary.

419

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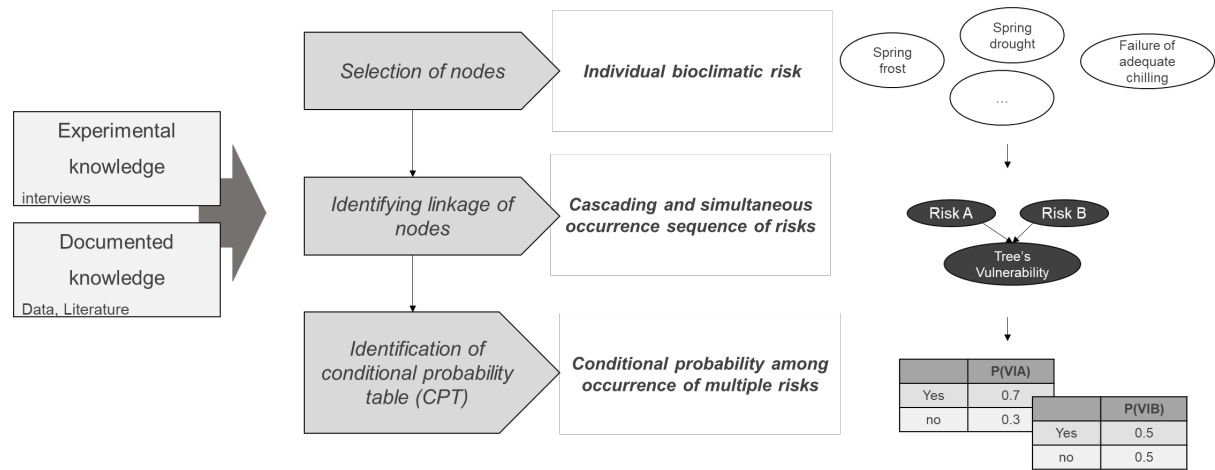
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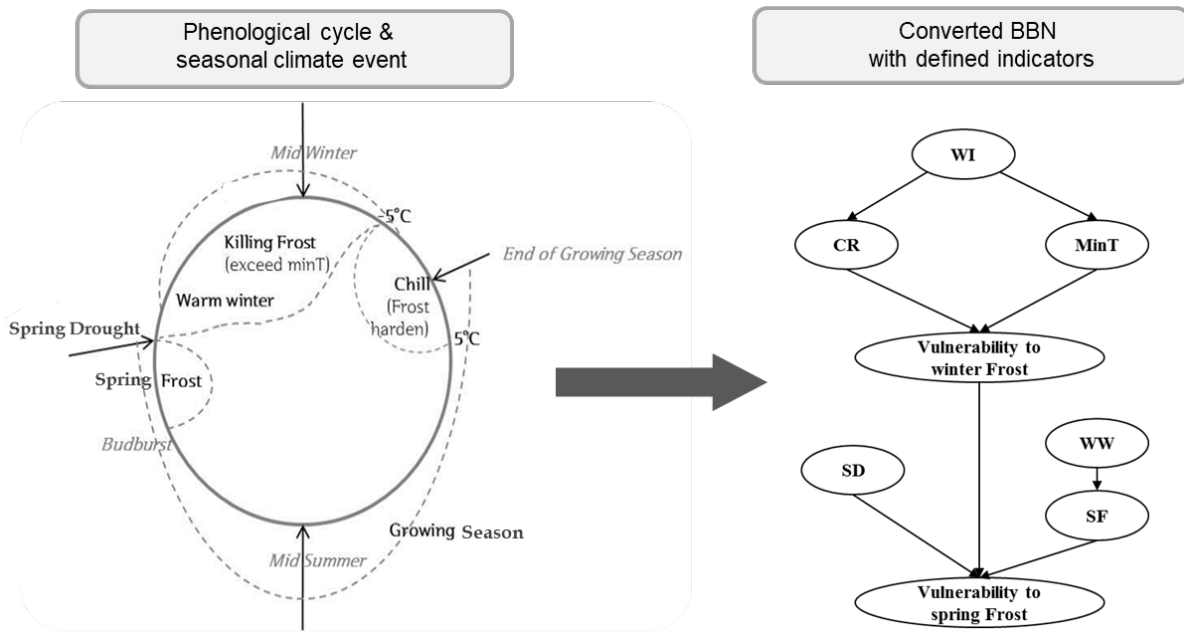
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**Figure 1. Conceptual framework to develop BBN.** Individual bioclimatic risk was defined as node and structured to BBN. Selection of nodes, identification of linkage, and development of related conditional probability table were performed.



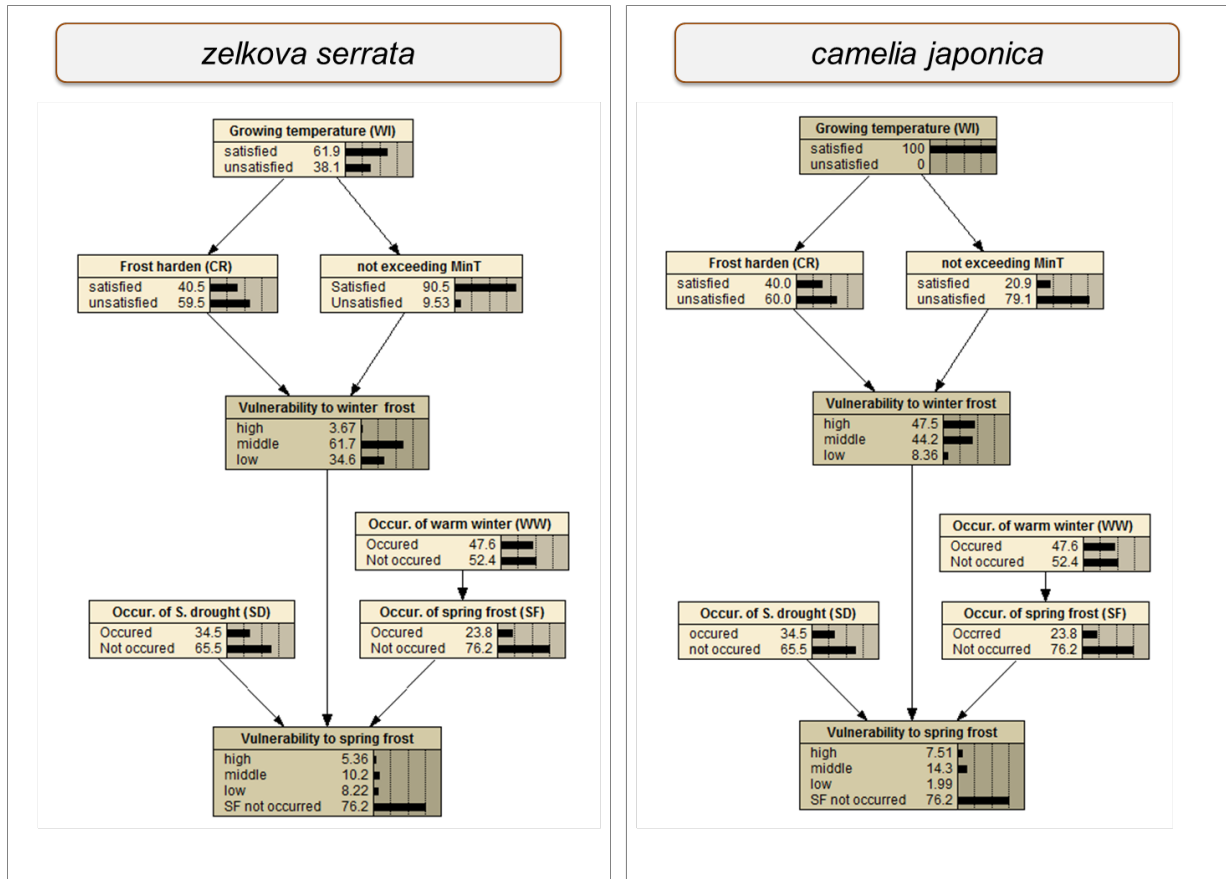
**Figure 2. Conceptual diagram for linkage of nodes.** Based on the phenological cycle and identified seasonal climate events, chronological sequences and co-occurrences of bioclimatic factors were identified to link the nodes. Such identified linkages are organized as a network for constituting Bayesian belief network (BBN). The dotted line indicates conceptual duration for each noted bioclimatic event.



WI (warmth index); CR (chilling requirement); MinT (minimum temperature); WW (warm winter); SF (spring frost); SD (spring drought)

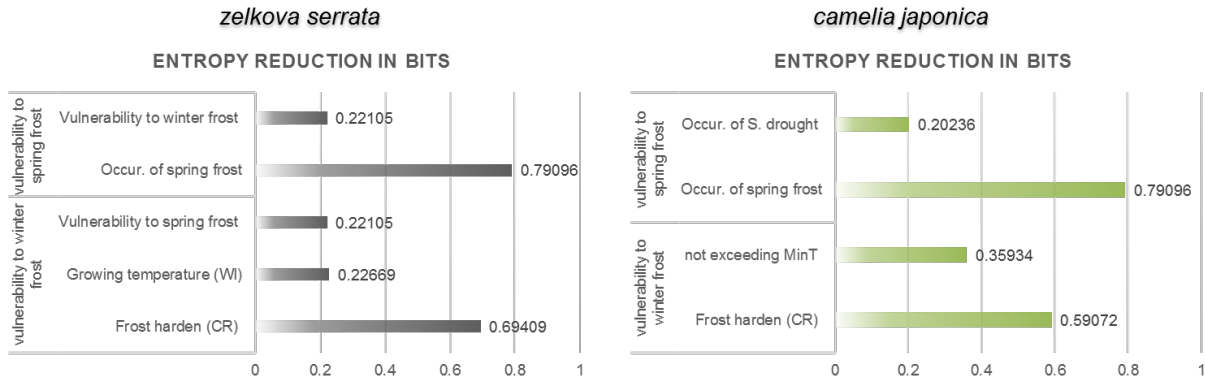
The figure (left) was modified based on Burton and Cumming (1995).

**Figure 3. Developed Bayesian belief network (BBN) for target species.** Based on the defined Conditional Probability Table (CPT), the value for parent and child nodes were identified as follows. It represents final assessed values on multiple risks.





**Figure 4. Sensitivity on nodes ‘vulnerability to winter frost’ and ‘vulnerability to spring frost’ using the entropy reduction (mutual information) analysis in Netica. The larger the value, the greater the influence on the target node ‘vulnerability to spring frost and winter frost’.**



Bar chart indicates influence of each factor, which was measured in bits (unit for entropy). Nodes with influence < 0.2 bits are not shown. WI (warmth index); CR (chilling requirement)

**Table 1. Selected bioclimatic indicators and quantification methods**

Indicators are selected based on species bioclimatic requirement and occurrence risk of extreme climate. Quantification method and criteria for selection are as follows.

Category	Indicator		Quantification methodology		Selection criteria		
					L	E	P
Species' bioclimatic requirement	Warmth Index (WI)	Minimum and maximum heat requirement for growing season	$\sum (T_d > 5^\circ\text{C})$	Species-specific threshold value was calculated based on species present geographic range	⊙	⊙	⊙
	Chilling Requirement (CR)	Chilling requirement for frost harden	$\sum (-5^\circ\text{C} < T_w < 5^\circ\text{C})$		⊙	⊙	⊙
	Minimum Temperature (MinT)	Threshold optimum temperature on coldness	$0.006 T_c^2 + 1.316 T - 21.9$ See Müller (1982)		⊙	⊙	⊙
Occurrence risk of extreme climate event	Spring Drought (SD)	Occurrence of spring drought	the ratio of potential evapotranspiration (PET) to actual evapotranspiration (AET), See Thornthwaite and Mather (1957)	Occurrence of target climate event at study site was quantified	⊙	⊙	
	Spring Frost (SF)	Occurrence of frost in spring	$T_d < -2^\circ\text{C}$		⊙	⊙	⊙
	Warm Winter (WW)	Occurrence of extraordinary warmer winter	$T_d > T_{d30}$		⊙	⊙	

$T_d$ : mean daily temperature;  $T_w$ : mean weekly temperature;  $T_c$ : mean temperature of the coldest month;  $T$ : mean monthly temperature;  $T_{d30}$ : mean daily temperature of past 30 years; L: literature review; E: expert interview; P: general phenological cycle for temperate climate

**Table 2. Threshold value on species bioclimatic requirements**

Bioclimatic threshold values are identified based on the geographic range of two species. Regarding the warmth index, a wide range of bioclimatic thresholds on the heat requirement, and geographic distribution, including Japan, were considered (See S1).

<b>Species</b>	<b>WImax</b>	<b>WImin</b>	<b>MinT</b>	<b>CR</b>
<i>Zelkova serrata</i>	140°C	63°C	-34°C	12 weeks
<i>Camelia japonica</i>	180°C	68°C	-26°C	12 weeks

WImax: maximum warmth index; WImin: minimum warmth index; MinT: minimum temperature;  
CR: chilling requirement

**Table 3. Individual risk on considered bioclimatic factors**

Occurrence risk of extreme climates for the study site and dissatisfaction rate (%) on defined species bioclimatic thresholds is illustrated. When the threshold (See Table 2) is exceeded, it indicates the conditions for optimum growth is not met. Shaded cell indicates the maximum rate (%) among considered factors.

Occurrence risk of extreme climate event	Study site	Occurrence rate (%)			Maximum value
		WW	SF	SD	
		48%	24%	35%	
Risk on species' bio-climatic requirement	Target Species	Dissatisfaction rate (%)			Maximum value
		WI	CR	MinT	
	<i>Zelkova serrata</i>	38%	60%	10%	60%
	<i>Camellia japonica</i>	0%	60%	79%	79%

WW (warm winter); SF (spring frost); SD (spring drought); WI (warmth index); CR (chilling requirement); MinT (minimum temperature)

**Table 4. Conditional probability table (CPT) on WI, CR, and MinT**

CPT illustrates conditional relationship depending on Bayes' rule between parent node and child node. WI is the parent node for child nodes including CR and MinT. Prior satisfaction rate on parent node (WI) influences the child nodes' satisfaction rate, and each original value (See Table 3) is combined as follows.

<i>Zelkova serrate</i>			<i>Camellia japonica</i>		
	Satisfying CR	Unsatisfying CR		Satisfying CR	Unsatisfying CR
Satisfying WI	61.5%	38.5%	Satisfying WI	40%	60%
Dissatisfying WI	6.3%	93.7%	Dissatisfying WI	-	-
<b>value</b>	<b>40.5%</b>	<b>59.5%</b>	<b>value</b>	<b>40%</b>	<b>60%</b>
	Satisfying MinT	Unsatisfying MinT		Satisfying MinT	Unsatisfying MinT
Satisfying WI	84.6%	15.4%	Satisfying WI	20.9%	79.1%
Dissatisfying WI	100%	-	Dissatisfying WI	-	-
<b>value</b>	<b>90.5%</b>	<b>9.5%</b>	<b>value</b>	<b>20.9%</b>	<b>79.1%</b>

WI (warmth index); CR (chilling requirement); MinT (minimum temperature)

**Table 5. Conditional probability table (CPT) on discrete node ‘vulnerability to SF’**

The value on discrete node ‘vulnerability to spring frost’ is determined based on the conditional relationships among the nodes ‘occurrences of SF’, ‘vulnerability to winter frost’, and ‘occurrences of spring drought’.

<b>Occurrences of SF</b>	<b>Vulnerability to WF</b>	<b>Occurrences of SD</b>	<b>Value</b>
Occurred	High	Occurred	<b>high</b>
Occurred	High	Not occurred	<b>middle</b>
Occurred	Middle	Occurred	<b>high</b>
Occurred	Middle	Not occurred	<b>middle</b>
Occurred	Low	Occurred	<b>low</b>
Occurred	Low	Not occurred	<b>low</b>

SF (spring frost); WF (winter frost); SD (spring drought)

### S1. Values of Warmth Index (WI)

Species	WI (Korea)		Reference	WI(Japan)		Reference
	min	max		min	max	
<i>Zelkova serrata</i>	63	123	Yim (1977)	55	140	Kira (1991)
<i>Camellia japonica</i>	68	125	Yim (1977)	85	180	Kira (1991)

WI (warmth index); min (minimum value); max (maximum value)

## S2. Conditional probability table (CPT) on discrete node ‘vulnerability to WF’

The value on discrete node ‘vulnerability to winter frost’ is determined based on the conditional relationship between CR and MinT.

<b>Satisfying CR</b>	<b>Not exceeding MinT</b>	<b>Value</b>
dissatisfied	Unsatisfied	<b>high</b>
satisfied	Unsatisfied	<b>middle</b>
dissatisfied	Satisfied	<b>middle</b>
satisfied	Satisfied	<b>low</b>

WF (winter frost); CR (chilling requirement); MinT (minimum temperature)



### S3. Conditional probability table (CPT) on the node ‘occurrences of SF’

Conditional value between occurrences of WW and SF from 2016 to 2099 is illustrated.

	SF occurred	SF un-occurred
WW occurred	40%	60%
WW un-occurred	9%	91%
value	<b>23.8%</b>	<b>76.2%</b>

SF (spring frost); WW (warm winter)