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Evaluating multiple bioclimatic risks using Bayesian Belief Network to support urban tree management under climate change

1 Abstract

Understanding the vulnerability of trees affected by climate change is a key 2 3 requirement for identifying management priorities and suggesting suitable urban tree species. 4 To measure such vulnerability under changing climate conditions, indicators of bioclimatic characteristics should be identified and evaluated using past and current geographic growth 5 6 ranges. However, although climate events often occur simultaneously (e.g., frost and drought), 7 and management issues in this regard need to be clarified, it is challenging to consider 8 multiple risks in a climate change vulnerability assessment. Therefore, we applied a Bayesian belief network (BBN) to interlink the bioclimatic requirements of species and seasonal 9 climate risk of the study site to comprehensively assess the multiple risks. In particular, we 10 integrated expert knowledge and supporting evidences from relevant studies to construct the 11 BBN. The developed BBN revealed vulnerability to frost considering occurrences of 12 13 cascading and co-occurring climatic risks such as warmer winters and droughts throughout the phenological cycle. As a case study, two tree species, Zelkova serrata and Camellia 14 japonica from Seoul, Republic of Korea, were evaluated. Among the climatic risks 15 considered, the BBN revealed that shortened frost hardening and the occurrence of spring 16 frost right after an extraordinarily warm winter would mainly affect vulnerability to frost of 17 18 the two species. In particular, C. japonica had high vulnerability due to its high susceptibility 19 to coldness, though growing temperature will be perfectly satisfied under climate change. Generally, this study provides insights to consider multiple bioclimatic risks for guiding 20 21 urban tree management under climate change.

22

Keywords: Bayesian belief network; Bayesian Network; climate change vulnerability
assessment; seasonal climate impact; multiple bioclimatic risk; urban tree management

1

25 **1. Introduction**

Changing climate has modified the vegetation composition and biodiversity in many 26 regions (Kareiva et al., 1993; Skov and Svenning, 2004; Woodward, 1987). In particular, an 27 28 increase in extreme weather events, such as severe frost and drought, has negatively affected climate-sensitive plants (IPCC, 2014). However, plant species provide multiple ecosystem 29 services and biodiversity conservation, which are necessary for human well-being (Roloff et 30 al. 2009). Accordingly, understanding the effects of climate change on plants and how plants 31 32 respond to changing climates is required for the effective management of trees to maintain 33 ecosystem services.

34 Describing the climatic niche of species has been fundamental in ecology and has received renewed attention to assess the impact of climate change on species distributions 35 (McKenzie et al. 2003). Based on the geographic growth range, the species-specific climate 36 niche (e.g., temperature range for growing season on target species) can be estimated, and has 37 enabled the prediction of vulnerability affected by climate change (Al-Qaddi et al. 2016, 38 Hellmann et al. 2016, Deb et al. 2017, Barbosa 2016). The identified bioclimatic 39 requirements cannot exactly predict future tree mortality, since it is difficult to fully consider 40 41 the adaptive capacity of target species. However, they can estimate the potential risk that may 42 occur, based on deviation from the past growth range. To evaluate such risks, advanced models such as generalized linear models and random forest have been applied (Koo et al. 43 2017). Nevertheless, it is still challenging to jointly consider multiple bioclimatic hazards and 44 45 complex seasonal risks under climate change, which restrict the identification of effective management priorities (Barry and Elith, 2006). 46

47 Moreover, trees exhibit a fatal response to changing climate when multiple bioclimatic risks occur simultaneously or sequentially (Anderegg et al., 2012; Breshears et al., 2013; 48 Manion, 1981; McDowell, 2011). Previous research identified that combined climatic events 49 50 decreased the capacity of trees to adapt to a changing climate. For example, an analysis of 51 long-term species data from 1993 to 2012 in a temperate climate showed that late spring 52 frosts followed by early spring and a warm winter increased tree mortality (Augspurger, 2013). Drought stress in interactions with other extreme climate events also increased tree 53 54 mortality. Early spring, severe frosts, and droughts synthetically decreased the growth of vegetation, which resulted in a decrease in net primary productivity (Arnold et al., 2014). 55

That is, consideration of interactions between extreme events and the response of trees along with seasonal change is critical for suitable tree management. Therefore, consideration of simultaneous occurrences regarding multiple impacts is required, to improve the predictive power for future bioclimatic responses and promote suitable urban tree management (Case and Lawler, 2017).

61 There are limited methodologies that account for cascading and overlapping climatic impacts to systematically reflect the overlapping occurrence of bioclimatic factors. The 62 63 Bayesian Belief Network (BBN) - so called Bayesian Network- has been known for its advantages in systematically combining all available information by structuring a causal 64 probabilistic network among multiple kinds of evidence and knowledge (Barton et al., 2012). 65 In particular, BBN is known for its usefulness as it (i) reflects the complexity of an ecosystem 66 by flexibly illustrating a network among factors, (ii) applicable for data-rich and data-poor 67 68 conditions, and (iii) incorporates diverse knowledge by reflecting the opinions of experts and other stakeholder (Mccann et al., 2006). BBN ultimately supports strategic decision making 69 70 for environmental management and modeling by graphically expressing complex 71 relationships in an ecosystem (Mccann et al., 2006, Barton et al., 2012). Specifically, the 72 BBN associates variables via conditional probability distributions and uses inference algorithms using Bayes' law to calculate posterior probabilities of the outcome states. The 73 74 BBN, with a structured framework for combining the diverse risks of an ecosystem, could be useful to consider coincidental climatic impacts by linking bioclimatic factors as a network. 75 76 Therefore, in this study, an analytical framework applying the BBN was developed to reflect the occurrence of multiple bioclimatic risks in a climate change vulnerability assessment for 77 78 individual tree species. Based on expert knowledge and related known information regarding 79 previous studies, we developed the BBN to reflect multiple risks to assess vulnerability to 80 frost. Identified risks and their chronological sequence in a temperate climate were investigated for Zelkova serrata and Camellia japonica, one of the most widely used street 81 trees in urban areas, as a case study. We expect that the suggested methodology and results 82 will provide insights to consider multiple impacts of climate change for supporting effective 83 84 tree management.

85

86 **2. Method**

87

In this study, a BBN was developed to reflect multiple bioclimatic impacts in a

88 climate change vulnerability assessment. The BBN can be constructed by defining and 89 linking a set of nodes including the parent (i.e., variable set by user with no external influences) and child nodes (i.e., variable that is conditional upon the values of its parent 90 91 nodes) (Webster and McLaughlin, 2014). Here, to reflect multiple risks, we defined a node as 92 an individual climatic risk (e.g., the occurrence of drought), and the linkage of nodes 93 represented the cascading and simultaneous occurrence sequence of such individual risks (Fig. 1). Conditional probability tables were generated regarding the rate of occurrence of 94 95 simultaneous impacts. Overall, nodes and linkages were identified based on expert knowledge and documented knowledge regarding relevant studies. To develop the BBN, Z. 96 97 serrata and C. japonica, two widely planted urban tree species in Seoul, were evaluated as a

98 case study.

99 [Figure 1] please refer to the back page of this manuscript

100 2.1. Study site and species for case study

The study site was Seoul, the capital city of the Republic of Korea, which has a 101 temperate climate with four distinct seasons. The yearly mean temperature of Seoul is 12.5°C. 102 The mean temperature in August (summer) is 25.7°C, and mean temperature in January 103 (winter) is -2.4°C, which shows extreme temperature differences (Korea Meteorological 104 105 Administration, www.kma.go.kr). The modeled species for case study are Z. serrata -zelkova serrata- and C. japonica – camelia japonica-, which are the major tree species of the Republic 106 of Korea and are widely distributed over the Korean Peninsula. The two species were selected, 107 as those were one of the most frequently used street trees in urban areas having higher 108 109 economic importance. Two species can be found in study site, but show different distribution range across Republic of Korea. 110

111 *2.2. Data*

To consider climatic hazards that could occur based on a business-as-usual state, climate projection from the RCP 8.5 scenario was evaluated. The RCP scenarios predict future climates depending on actions to curb greenhouse gas emissions according to changes in policy and the level of anthropogenic impacts (Symon, 2013). RCP 8.5 reflects high levels of global warming, which hypothesizes high future demand for energy (Deb et al., 2017; Moss et al., 2010). We used climate data projected by the HadGEM3 model, which was developed by the Met Office Hadley Centre. In particular, an official national downscaled

- regional climate model, HadGEM3-RA (Korea Meteorological Administration,
- 120 www.kma.go.kr) with a 1 × 1 km spatial resolution, was used to reflect climate variations on
- 121 the Korean Peninsula. Data for the current and past climate was obtained from the Korea
- 122 Meteorological Administration, including meteorological observatory data (Korea
- 123 Meteorological Administration, www.kma.go.kr).

124 Species occurrence data was acquired from the Third National Ecosystem Survey 125 conducted by the Ministry of Environment of the Republic of Korea (www.me.go.kr) from

126 2006 to 2012. For the WI, based on expert's interview particularly regarding the heat

127 requirement of a species that was distributed beyond the Korean peninsula, we considered the

- 128 natural distribution range of the species studied by Yim and Kira (1991) regarding the wide
- 129 range of the heat requirement of the species studied (S1).

130 **2.3. Development of a Bayesian Belief Network (BBN)**

131 2.3.1. Selecting and linking indicators

132 [Table 1] please refer to the back page of this manuscript133

134 Expert knowledge was integrated for selecting, confirming and linking the nodes. Five experts (local managers and scientists) with a minimum of 20 years' experience on tree 135 136 management were individually interviewed for the indicator selection on main bioclimatic risks and its confirmation. Furthermore, plant's annual phenological cycle (Burton and 137 138 Cumming 1995), and the relevant researches clarifying bioclimatic requirements of trees, Yim and Kira (1975), Cannell and Smith (1986), Prentice et al. (1992), Urban et al. (1993), 139 140 Burton and Cumming (1995), McKenzie et al. (2003), Skov and Svenning (2004), Schwartz et al. (2006), Normand et al. (2007), McDowell et al. (2008), Nitschke and Innes (2008), and 141 Arnold et al. (2014), were considered to identify the nodes (Table 1). 142

In specific, based on the plant's phenological cycle from Burton and Cumming (1995) and relevant research, we identified general bioclimatic requirements of tree species as an indicator: thermal requirement for the growing season (Warmth Index; WI), chill requirement for adequate frost hardening (Chilling Requirement; CR), and optimum minimum temperature in winter (Minimum Temperature; MinT). Furthermore, based on expert's opinion, not only species bioclimatic requirements (WI, CR, and MinT), but also

- risks of occurrence on spring drought (SD), extra-ordinary warmer winter (WW), and spring
- 150 frost (SF) were regarded as a node in BBN.

The structuring of a network with selected indicators was performed based on expert knowledge and relevant studies demonstrating such linkages. In summary, major risks were identified as frost that occurs in winter and spring. The nodes were linked to discern "vulnerability to winter frost" and "vulnerability to spring frost." Specifically, the following principles were applied:

- (i) The most crucial phenological stage was determined to budburst. Trees can be most 156 susceptible in such stage, as the first appearance of spring foliage often has a strong 157 158 response to temperature change (White et al., 1997; Schwartz et al., 2006). The failure of proper budburst can ultimately impact a species abundance (GRUBB, 159 1977; Nitschke and Innes, 2008). Therefore, the BBN was structured with a 160 particular focus on the budburst stage, hence the network started with the timing of 161 "after budburst", and the last part of the BBN was concentrated on the timing of 162 "budburst" to measure the risks at bud flushing. 163
- (ii) The major climate risk was investigated as SF, and the related risks that were
 causally increasing vulnerability were investigated as WW and SD. That is, recent
 warming in winter often caused earlier bud sprouting, which increased the
 vulnerability of the following SF. Moreover, not only warmer winter, but also cooccurring drought was observed to increase susceptibility to the occurrence of SF. In
 line with expert knowledge, Arnold et al., (2014), Augspurger (2013), and Schwartz
 et al (2006) empirically demonstrated such a mechanism.
- 171 (iii) Frost that occurred in winter was also regarded as a major threat prior to SF. It has 172 long been known that if trees are exposed to temperatures below their normal minimum temperature, the distribution of trees may change over time (Sakai and 173 174 Weiser, 1973; Woodward, 1987; Prentice et al., 1992). Specifically, such a threat can increase when an adequate temperature range in the growing season and appropriate 175 176 frost hardening period are not satisfied beforehand (Cannell and Smith 1986; Burton 177 and Cumming 1995; Nitschke and Innes 2008). Hence, vulnerability to winter frost 178 was determined regarding multiple impacts related to WI, CR, and MinT.
- (iv) This study hypothesized that if the vulnerability to winter frost was high, the
 subsequent vulnerability to spring frost would increase.
- 181 [Figure 2] please refer to the back page of this manuscript

Each indicator was basically classified and ordered based on the chronological order and co-occurring features regarding the above principles (Fig. 2). To constitute the BBN, we used Netica software (www.norsys.com/netica). Though there were several tools for developing the BBN such as Netica, Hugin, xBaies, and JavaBayes, Netica was identified as the most frequently and widely applied tool in ecosystem management (Pérez-Miñana, 2016), because it has the strengths of a user-friendly GUI, computational power, and good performance (Zou and Yue, 2017). Hence, we applied Netica for construction of the BBN.

190 Calculating probabilistic suitability of tree species on projected years

To evaluate species suitability affected by climate change, we quantified satisfaction rate of the species bioclimatic requirement and occurrence rate of extreme climate at the target site. For the climate from current to future (2016–2099), representing climate change, the overall probabilistic suitability value was quantified. This shows the degree of how the projected climate will be suitable for tree growth.

First, species bioclimatic requirements, WI, CR, and MinT, were calculated by
comparing species-specific threshold values and the target site's projected climate.
Specifically, depending on the geographic range of the target species, threshold values of WI,
CR, and MinT were identified as shown in Table 2. Second, satisfaction and dissatisfaction
rates of such threshold values of the target site climate were calculated. For instance, when
the threshold value of MinT was exceeded in the whole evaluated period from 2016 to 2100,
the satisfaction and dissatisfaction rates were quantified as 0% and 100%, respectively.

[Table 2] please refer to the back page of this manuscript

The risks on occurrences of warm winter, spring drought, and spring frost were calculated for the parent nodes, WW, SF, and SD. These represent the occurrences of extreme events at the target site for the projected years. As such, the occurrence rate of each event for the evaluated period (a total of 84 years) was quantified. For instance, when spring drought occurred for 2020, 2030, and 2050, the occurrence rate was quantified as 3.6% (84 divided by 3).

211 2.3.2. Generating a conditional probability table to integrate the bioclimatic impact

We generated a Conditional Probability Table (CPT) or link matrix, when multiple nodes were integrated in a causal relationship. We applied two main rationale to integrate the 214 nodes: 1. conditional probability (%) for multiple nodes -occurrence rate, satisfaction rate- is

quantified; 2. discrete choice -high, middle, low- is made for two discrete nodes, that are

216 vulnerability to winter and vulnerability to spring frost. Expert knowledge was applied to

217 identify the discrete nodes.

218 2.4. Sensitivity analysis

We applied the entropy reduction (mutual information) function in Netica to evaluate the 219 node with greater influence on the target nodes "vulnerability to winter frost and spring frost" 220 in the case of the two species. The entropy reduction (mutual information) function, which is 221 symmetric between nodes, indicates how much of the variation on the target node is 222 explained by the rest of the nodes in the network (Pearl, 1988; Dlamini, 2010), hence it 223 224 indicates which part of the network most affects the target node (Norsys Software Corp, 2012). As such, for the model evaluation process, the function was applied to identify the 225 226 most influential factors.

227

228 **3. Results of the case study**

229 3.1. Projected climate change of the study site

Climate projection shows that the study site would experience a constant temperature 230 increase during the growing season, $11 \sim 33^{\circ}$ C (monthly mean temperature from April to 231 September). Coldness in winter would show high variability, ranging from $-15 \sim 9.5^{\circ}$ C 232 (minimum temperature from December to January). The risk on extreme climate, including 233 WW, SF, and SD in Seoul, was highest for WW, and 48% of the projected years showed the 234 mean daily temperature of early spring exceeding its mean daily temperature of the past 30 235 236 years (Table 3). The spring frost and spring drought was projected to occur at 24% and 35% until 2099, respectively (Table 3). 237

[Table 3] please refer to the back page of this manuscript

240 3.2. Evaluated management priorities for target species

241 Risks on bioclimatic factors were identified for the study site and target species

242 (Table 3). By constituting the conditional probability table (CPT) depending on Bayes rule,

the rationale to combine the values on individual risks identified in Table 3 was determined

244 (See Table 4, Table 5, S2, and S3).

- 245[Table 4] please refer to the back page of this manuscript246[Table 5] please refer to the back page of this manuscript247[S2] please refer to the back page of this manuscript
- 248 [S3] please refer to the back page of this manuscript

[Figure 3] please refer to the back page of this manuscript

As a result, two BBNs were generated as shown in Fig. 3. In the growing season for *Z. serrata*, the results showed that the required optimum range for growing temperature will be unsatisfied for 38.1% until 2099 (Fig. 3). In comparison, since *C. japonica* had a broader threshold range for growing temperature, especially high temperature (Table 3), it showed 100% satisfaction until 2099 (Fig. 3). Therefore, by comparing the current growing temperature range with projected climate, it shows that high temperatures in the growing season should be carefully managed for *Z. serrata*.

258 We hypothesized that if the vulnerability to winter frost was high, the subsequent 259 vulnerability to spring frost would increase. The evaluated satisfaction rate on CR and MinT indicated that cautious supervision on coldness is necessary, especially for C. japonica. That 260 is, Z. serrata and C. japonica presented similar dissatisfaction rates to the frost hardening 261 262 requirement, 59.5% and 60%, respectively (Fig. 3). However, C. japonica showed high vulnerability to extreme coldness; 79.1% of the measured years exceeded the species-specific 263 threshold of minimum temperature (Table 3). The constituted BBN model indicated that a 264 lack of satisfaction of the heat requirement, chilling requirement, and limiting minimum 265 temperature would impact vulnerability to winter frost. As such, the vulnerability to winter 266 frost was determined based on the satisfaction condition of child nodes, as illustrated in 267 Tables 4 and S2. Consequently, the highest vulnerability values of Z. serrata and C. japonica 268 to winter frost were 3.67% and 47.5%, respectively (Fig. 3). That is, Z. serrata distinctively 269 demonstrated a low vulnerability to winter frost. However, C. japonica exhibited a high 270 vulnerability to winter frost because the prior lack of satisfaction of the chilling requirement 271 272 and limiting minimum temperature reduced its adaptability to winter frost.

One of major climate hazards, vulnerability to spring frost, was evaluated based on the previously defined vulnerability to winter frost and occurrence risks of spring drought, warm winter, and spring frost. In the study site, the results showed that warm winter will occur for approximately half of the projected years (Table 3). However, the occurrence of spring frost immediately after the occurrence of warm winter (cascading occurrence) was about 40% (S3). Regarding spring drought, it was estimated that the study site would have a spring drought
occurrence rate of around 35% until 2099 (Table 3). Consequently, vulnerability to spring
frost was determined by integrating all the prior responses to climatic events before the stage
of budburst (Table 5). *C. japonica* was analyzed to have a higher vulnerability to spring frost
than *Z. serrata* owing to its low adaptability to coldness. *C. japonica* was in a high and
middle vulnerable state for about 22.5% of the projected years (Fig. 3). Since spring frost
decreased in the future, the projected management requirement for spring frost was lower

than the vulnerability to winter frost.

286 3.3. Influence of bioclimatic factors on vulnerability to frost

287 The entropy reduction analysis on two target nodes, vulnerability to spring and 288 winter frost, indicates the bioclimatic elements with the greatest influence (Fig. 4). The results for both evaluated species showed that the CR satisfaction rate was the main factor 289 290 influencing vulnerability to winter frost. As for C. japonica, the dissatisfaction rate of MinT was also an important bioclimatic factor. For the target node, vulnerability to spring frost, the 291 rate of occurrence of spring frost after a warmer winter was the major element that influenced 292 the variance of vulnerability. In the case of Z. serrata, vulnerability to winter frost and 293 294 occurrence of spring drought were also evaluated to have a greater influence.

295

296 [Figure 4] please refer to the back page of this manuscript

297

298 4. Discussion

Climate change increases extreme weather events, which accelerates the mortality of 299 300 trees (Bonan, 2008; Kurz et al., 2008). Accordingly, it is necessary to assess how tree species will respond to climate change for effective tree management practices. The vulnerability of 301 vegetation regarding climate change has been quantified mostly based on an empirical 302 relationship between the geographical distribution of species and climate variables, which is 303 304 called a climatic niche (Hutchinson, 1957; Pearson and Dawson, 2003). However, it has been challenging to reflect co-occurring and cumulative climate risks for evaluating a tree's 305 vulnerability (McDowell et al., 2008, Adams et al., 2013). Therefore, in this study, an 306 analytical framework based on a Bayesian network was developed and applied, which 307

identified the priorities of management issues by modeling the occurrence of cascading andco-occurring bioclimatic risks under climate change.

310 4.1. Effectiveness and strength of the BBN to reflect multiple bioclimatic risks

By reviewing existing applications of BBNs on climate change assessment, Sperotto 311 et al. (2017) identified its effectiveness and strength: it can include multiple stressors or 312 elements with great flexibility. Although few studies have applied the BBN approach to 313 314 evaluate the impact of climate change on natural resources, most of the applications considered multiple risks (Catenacci and Giupponi, 2013; Dyer et al., 2011; Gutierrez et al., 315 2011; Kelly et al., 2013; Kotta et al., 2009; Sperotto et al., 2017), as it has the capability to 316 integrate diverse factors based on conditional probability. Accordingly, in this study, multiple 317 318 factors affecting "vulnerability to winter frost" and "vulnerability to spring frost" were included as a network. We could consider how often multiple bioclimatic risks such as warm 319 320 winter and spring frost would occur simultaneously under climate change by constituting the BBN based on insights from expert knowledge and previous studies. When we only 321 considered climate risk individually, there was a risk of exaggeration in projecting the 322 323 vulnerability of trees affected by climate change. The lack of reality in the model could increase the uncertainty (IPCC, 2014). For instance, when we only considered vulnerability 324 to MinT, we could conclude that C. japonica may have a vulnerability rate of 79% under 325 climate change, which indicated that for 79% of the projected years it would be vulnerable 326 327 for proper growth (Table 3). However, not only an individual impact, but also the overlapping impact of multiple stressors should be considered to offer more useful and abundant 328 information that supports urban tree management. 329

In line with that, multi-risk assessment requires the conceptualization of interactions and processes relevant to an objective (Dawson, 2015). The graphical representation ability of the BBN was a powerful function for conceptualizing the possible relations among nodes, and it helped to systematically understand the confused structure (Aguilera et al., 2011). As a result, the graphical function effectively illustrated what cascading and co-occurring bioclimatic risks could occur, and how they are linked.

336 *4.2. Guiding tree management*

The network identified among selected bioclimatic risks informed how tree managerscan perform proactive monitoring and make preparations to reduce vulnerability under

339 climate change. Overall, the BBN stressed the importance of monitoring throughout the year-340 round phenological cycle. As for winter frost, continuous monitoring of heat requirements, duration of chilling and excess of species-specific coldness tolerance are required to be 341 fulfilled. In particular, the results clearly emphasized the risk of occurrence of an inadequate 342 frost hardening period for the two species (Fig 4). That is, an insufficient chilling period due 343 to temperature rise should be monitored as a priority; also, precautionary actions on covering 344 trees should be taken depending on the monitored duration of the chilling period. Specifically, 345 346 in the case of C. japonica, as susceptibility to winter frost is notably high, damages due to extensive coldness in winter would be continuously problematic, although the temperature 347 348 range throughout the growing season is adequate. On the other hand, Z. serrata may face increasing heat stress (e.g., leaf scorch) during the growing season (Fig 3), as intense heat 349 350 would occur, emphasizing the importance of careful measures such as proper watering to avoid heat injury (Roloff, 2016). To reduce vulnerability to spring frost, the occurrence of 351 352 related risks in regard to an extraordinarily warmer winter and co-occurring drought was evaluated. The results showed that about 50% of projected years were assessed to have 353 warming in winter, and related occurrences of spring frost and drought were quantified as 354 about 24% and 35%, respectively (Fig 3). That is, even though mean temperature would 355 increase in winter, trees may face sudden freezing due to an increase in temperature variance 356 357 (IPCC, 2014). Along with the occurrence of spring drought, monitoring of the duration and 358 rate of warming is required to perform precautionary frost management. As even moderate 359 frost can significantly damage vegetation at the timing of budburst (Schwartz et al., 2006), such a precautious approach is highly required for urban tree management. 360

361 *4.3. Limitations and next steps*

An analytical framework that reflected multiple bioclimatic risks and their causal 362 relation to vulnerability to frost can be applied to other regions or species. However, the 363 duration and sequence of bioclimatic impacts could differ, thus detailed climatic conditions 364 365 and its network could be modified for each region. Specifically, in this study, representative bioclimatic factors that frequently affect urban trees were primarily selected, which reflected 366 major phenological events and the notable climatic risk of Seoul. That is, we identified and 367 applied several important factors integrating expert knowledge. However, as ecological 368 response can be more complex, and other non-ecological factors (e.g., location of a tree) can 369 370 affect vulnerability, for further research, more nodes can be identified and applied to develop the BBN. For instance, management practices such as frequency of irrigation or

372 characteristics of the urban environment can affect the degree of vulnerability. Thus, as

373 uncertainty is present in a vulnerability assessment, an adaptive approach is required to

improve the BBN (Landis et al., 2013). That is, improved knowledge and observations of

- 375 reactions of a system are recommended to be continuously reviewed and applied, as BBN is
- 376 highly flexible (Sperotto et al., 2017).

Specifically, the BBN is fundamentally limited in considering the dynamic response 377 378 of trees and feedback loop of the sequence of vulnerability. Compared to a system dynamics model, another model based on a systematic approach that supports causal loops reflecting 379 positive and negative feedback (Reynolds and Holwell, 2010), BBN generally do not assist 380 the dynamics and feedback effects in the system (Sperotto et al., 2017). In line with that, in 381 this study, we posed static assessment, rather than dynamic assessment that reflects dynamic 382 responses reflecting each tree's resilience. Dynamics such as a tree's changing resilience 383 regarding age or management options were not considered in the BBN. Though the dynamic 384 resilience of species to multiple risks is hard to be reflected due to limitations in data 385 availability and limited known information, a feedback loop is often important in ecology 386 387 (Nyberg et al., 2006; Mccann et al., 2006). Hence, a Dynamic Bayesian Network (DBN) can be considered for analyzing a tree's vulnerability based on multiple impacts, as it supports the 388 389 function to monitor and update the system over time (Murphy and Russell, 2002). Otherwise, a simple solution can be applied to improve the BBN structure by adding nodes that reflect 390 391 different types of possible responses to multiple risks.

However, though such limitations exist, this study provided insights to consider 392 multiple chronological impacts in a climate change vulnerability assessment regarding a 393 394 tree's phenological cycle. There are a lot of possibilities with climate risks and their 395 combinations that affect a tree's adequate growth. The evaluation of such sequences is hardly performed due to difficulties in identifying systematic sequences and collecting available 396 397 empirical data. In this context, a BBN's advantage in systematically integrating knowledge in data-poor condition and its strength in supporting optimum decision making can be further 398 applied to consider multiple hazards in urban tree management. 399

400

401 **5. Conclusion**

Assessments of future impacts of climate change on ecosystems are rapidly developing. 402 403 However, attempts to consider multiple climate hazards in urban tree management are often a challenge. There should be an attempt to develop methodologies to comprehensively consider 404 405 each climatic event. In this respect, this study suggested that a BBN could be used as an 406 effective tool to consider multiple climate hazards for a climate change vulnerability 407 assessment. The assessment framework suggested a method to conditionally interlink the suitability of each bioclimatic requirement and risk in the occurrence of simultaneous 408 409 climatic threats regarding the phenological cycle. Heat requirement, frost hardening, coldness, and major climate risks (e.g., warmer climate in winter) were systematically evaluated as a 410 411 network. The results of this study identified prioritized management issues such as a subsequent reduction of chilling period and simultaneous occurrence of spring frost after a 412 warmer winter to reduce vulnerability to frost for two species, Z. serrata and C. japonica. 413 Furthermore, we suggested the strengths and limitations of BBN to consider multiple 414 stressors and their complex influence. In the end, even though it is a challenge to apply 415 multiple causal risks along with the phenological cycle in predicting vulnerability to climate 416 change, as precautionary and proactive tree management is required, further consideration 417 and implications are necessary. 418

419

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Figure 1. Conceptual framework to develop BBN. Individual bioclimatic risk was defined as node and structured to BBN. Selection of nodes, identification of linkage, and development of related conditional probability table were performed.



Figure 2. Conceptual diagram for linkage of nodes. Based on the phenological cycle and identified seasonal climate events, chronological sequences and co-occurrences of bioclimatic factors were identified to link the nodes. Such identified linkages are organized as a network for constituting Bayesian belief network (BBN). The dotted line indicates conceptual duration for each noted bioclimatic event.



WI (warmth index); CR (chilling requirement); MinT (minimum temperature); WW (warm winter); SF (spring frost); SD (spring drought) The figure (left) was medified based on Purton and Cumming (1005)

The figure (left) was modified based on Burton and Cumming (1995).

Figure 3. Developed Bayesian belief network (BBN) for target species. Based on the defined Conditional Probability Table (CPT), the vale for parent and child nodes were identified as follows. It represents final assessed values on multiple risks.



Figure 4. Sensitivity on nodes 'vulnerability to winter frost' and 'vulnerability to spring frost' using the entropy reduction (mutual information) analysis in Netica. The larger the value, the greater the influence on the target node 'vulnerability to spring frost and winter frost'.



Bar chart indicates influence of each factor, which was measured in bits (unit for entropy). Nodes with influence < 0.2 bits are not shown. WI (warmth index); CR (chilling requirement)

Table 1. Selected bioclimatic indicators and quantification methods

Indicators are selected based on species bioclimatic requirement and occurrence risk of extreme climate. Quantification method and criteria for selection are as follows.

Category	Category Ind		Quantification methodology			Selection criteria		
					L	Е	Р	
	Warmth Index (WI)	Minimum and maximum heat requirement for growing season	$\sum (T_d > 5^\circ C)$	Species- specific	\odot	\odot	\odot	
Species' bioclimatic requirement	Chilling Requirement (CR)	Chilling requirement for frost harden	$\frac{\sum (-5^{\circ}C < T_w}{<5^{\circ}C})$	threshold value was calculated based on species present	\odot	\odot	\odot	
	Minimum Temperature (MinT)	Threshold optimum temperature on coldness	0.006 T _c ² + 1.316 T-21.9 See Müller (1982)	range	O	\odot	\odot	
Occrrence risk of extreme climate	Spring Drought (SD)	Occurrence of spring drought	the ratio of potential evapotranspiratio n (PET) to actual evapotranspiratio n (AET), See Thornthwaite and Mather (1957)	Occurrence of target climate event at study site was	O	O		
event	Spring Frost (SF)	Occurrence of frost in spring	$T_d < -2^{\circ}C$	quantified	\odot	\odot	\odot	
	Warm Winter (WW)	Occurrence of extraordinary warmer winter	$T_d > T_{d30}$		\odot	\odot		

 T_d : mean daily temperature; T_w : mean weekly temperature; T_c : mean temperature of the coldest month; T: mean monthly temperature; T_{d30} : mean daily temperature of past 30 years; L: literature review; E: expert interview; P: general phenological cycle for temperate climate

Table 2. Threshold value on species bioclimatic requirements

Bioclimatic threshold values are identified based on the geographic range of two species. Regarding the warmth index, a wide range of bioclimatic thresholds on the heat requirement, and geographic distribution, including Japan, were considered (See S1).

Species	WImax	WImin	MinT	CR
Zelkova serrata	140°C	63°C	-34°C	12 weeks
Camelia japonica	180°C	68°C	-26°C	12 weeks

WImax: maximum warmth index; WImin: minimum warmth index; MinT: minimum temperature; CR: chilling requirement

Table 3. Individual risk on considered bioclimatic factors

Occurrence risk of extreme climates for the study site and dissatisfaction rate (%) on defined species bioclimatic thresholds is illustrated. When the threshold (See Table 2) is exceeded, it indicates the conditions for optimum growth is not met. Shaded cell indicates the maximum rate (%) among considered factors.

		0	ccurrence rate		
Occurrence risk					Maximum value
of extreme	Study site	WW	SF	SD	
climate event		48%	24%	35%	48%
					+
		Dissatisfaction rate (%)			
	Town (Courses				M
	Target Species				Maximum value
Risk on species'	Target Species	WI	CR	MinT	Maximum value
Risk on species' bio-climatic	Target Species	WI	CR	MinT	Maximum value
Risk on species' bio-climatic requirement	Target Species Zelkova serrata	WI 38%	CR 60%	MinT 10%	Maximum value
Risk on species' bio-climatic requirement	Target Species Zelkova serrata	WI 38%	CR 60%	MinT 10%	Maximum value
Risk on species' bio-climatic requirement	Target Species Zelkova serrata Camellia japonica	WI 38%	CR 60% 60%	MinT 10% 79%	Maximum value

WW (warm winter); SF (spring frost); SD (spring drought); WI (warmth index); CR (chilling requirement); MinT (minimum temperature)

Table 4. Conditional probability table (CPT) on WI, CR, and MinT

CPT illustrates conditional relationship depending on Bayes' rule between parent node and child node. WI is the parent node for child nodes including CR and MinT. Prior satisfaction rate on parent node (WI) influences the child nodes' satisfaction rate, and each original value (See Table 3) is combined as follows.

Zelkova serrate			Camellia japonica		
	Satisfying	Unsatisfying		Satisfying	Unsatisfying
	CR	CR		CR	CR
Satisfying WI	61.5%	38.5%	Satisfying WI	40%	60%
Dissatisfying WI	6.3%	93.7%	Dissatisfying WI	-	-
value	40.5%	59.5%	value	40%	60%
	Satisfying	Unsatisfying		Satisfying	Unsatisfying
	MinT	MinT		MinT	MinT
Satisfying WI	84.6%	15.4%	Satisfying WI	20.9%	79.1%
Dissatisfying WI	100%	-	Dissatisfying WI	-	-
value	90.5%	9.5%	value	20.9%	79.1%

WI (warmth index); CR (chilling requirement); MinT (minimum temperature)

Table 5. Conditional probability table (CPT) on discrete node 'vulnerability to SF'

The value on discrete node 'vulnerability to spring frost' is determined based on the conditional relationships among the nodes 'occurrences of SF', 'vulnerability to winter frost', and 'occurrences of spring drought'.

Occurrences of SF	Vulnerability to WF	Occurrences of SD	Value
Occurred	High	Occurred	high
Occurred	High	Not occurred	middle
Occurred	Middle	Occurred	high
Occurred	Middle	Not occurred	middle
Occurred	Low	Occurred	low
Occurred	Low	Not occurred	low

SF (spring frost); WF (winter frost); SD (spring drought)

S1. Values of Warmth Index (WI)

Species	WI (Korea)		Reference	WI(Japan)		Reference	
	min	max		min	max		
Zelkova serrata	63	123	Yim (1977)	55	140	Kira (1991)	
Camellia japonica	68	125	Yim (1977)	85	180	Kira (1991)	

WI (warmth index); min (minimum value); max (maximum value)

S2. Conditional probability table (CPT) on discrete node 'vulnerability to WF'

The value on discrete node 'vulnerability to winter frost' is determined based on the conditional relationship between CR and MinT.

Satisfying CR	Not exceeding MinT	Value
dissatisfied	Unsatisfied	high
satisfied	Unsatisfied	middle
dissatisfied	Satisfied	middle
satisfied	Satisfied	low

WF (winter frost); CR (chilling requirement); MinT (minimum temperature)

S3. Conditional probability table (CPT) on the node 'occurrences of SF'

	SF occurred	SF un-occurred
WW occurred	40%	60%
WW un-occurred	9%	91%
value	23.8%	76.2%

Conditional value between occurrences of WW and SF from 2016 to 2099 is illustrated.

SF (spring frost); WW (warm winter)