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Electrochemistry of complex molecular and biomolecular scale entities

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Structural mapping of intermediate size and large molecules and biomolecules at ultra-high resolution using single-crystal electrodes and *in situ* scanning tunnelling microscopy continues to disclose surprising findings. *In situ* scanning tunnelling spectroscopy (STS) has also recently disclosed new electrochemical conductivity features at the level of the single molecule. We overview briefly elements of this development over the last few years, with focus on three recent discoveries: (1) a new packing mode of a core monolayer target thiol, the amino acid cysteine (Cys) on Au(100)-electrodes, quite different from Cys packing on Au(111)- and Au(110)-electrodes; (2) transition of a core ferrocene *in situ* STS probe from stochastic single-molecule to macroscopic behaviour, a concept at the heart of nanoscience; (3) unexpected behaviour of the large molybdenum enzyme sulfite oxidase, when going from macroscopic to single-molecule protein conductivity and molecular scale inorganic nanostructures.

Keywords: Single-entity electrochemistry; Scanning tunnelling spectroscopy; Cysteine on Au(100); Stochastic conductivity of redox molecules; Sulfite oxidase.

1 An Electrochemical and Bioelectrochemical Renaissance

A new era transforming electrochemistry into highly sophisticated condensed matter physical science began in the late 1970's, [1-3]. Core notions were "clean", atomically planar electrode surfaces and a range of spectroscopies, supported by statistical physics and electronic structure theories [4-8]. Well-defined microenvironments [9,10] paved the way for electrochemical

scanning tunnelling and atomic force microscopy (*in situ* STM and AFM), [11-14] bringing electrochemical surface mapping to atomic and sub-molecular resolution [3,13-19]. Similar boundary-traversing efforts were introduced in bioelectrochemistry where large and fragile redox metalloproteins directly in aqueous biological media can now be mapped to single-molecule resolution [3,17]. Single-molecule electrochemistry has prompted new concepts of electron transfer (ET) relating to the finite-size system nature (stochastic vs. statistical) [18], and to new single-molecule ET *phenomena* [17,19-21].

2. Molecular Scale Electrochemical Science

Nanoscale objects display novel size-dependent properties, with quantum mechanical phenomena such as electron tunnelling or interference, and physical laws such as the renowned Ohm's law taking new forms. Metal and semiconductor nanoparticles (NPs), and 2D and 3D graphene are non-traditional materials in electrocatalysis, new battery types, and (bio)fuel cells [22]. New metalloenzyme and DNA electrochemistry also qualify as nanoscale (bio)electrochemistry [23-29]. Single-molecule optics monitor truly single-molecule signals [28], but single-molecule electrochemistry such as *in situ* STM strictly speaking monitors successive ET events. Single-ET events may, however, be observed in collision electrochemistry, where signals from individual colliding molecular scale entities exchange electrons [30]. Single-molecule electrochemistry combined with optical processes has led to other single-event electrochemistry [28,31-34].

We address here three chosen cases of single-molecule electrochemistry, developed over the last few years: (1) Single-molecule structure and reactivity of thiol-based SAMs, with novel focus on the amino acid cysteine. (2) Single-molecule structure and function of "smarter", redox molecules that can be brought to "do something" under electrochemical control. (3) Extension of (2) to redox metalloproteins, with new focus on the intriguing molybdenum enzyme human sulfite oxidase (hSO). In the Conclusion Section we note some other systems that qualify as "electrochemistry of molecular scale single-entities".



Figure 1. (a) Principal scheme of *in situ* (*in operando*) STM. The electrode/solution and the tip/electrode potentials are controlled independently relative to a common reference electrode, with the tip coated with an insulating material except at the very end. (b) Analogous electrochemical *in situ* AFM. Components not drawn to scale.

2.1 Electrochemical In Situ/Operando STM and AFM

In situ ("in operando") electrochemical STM and AFM [17,21], Figure 1, offer tunnelling current/overpotential and tunnelling current/bias voltage correlations, and are now powerful tools for mapping single-molecule structure and function of organic and inorganic molecules [2,15-17,32,35,36], and biomolecules such as redox (metallo)proteins [17,23-27]. Methodology [18,23-25,27,35] and phenomenological theory [19,21,37] of *in situ* STM of complex redox molecules are described in detail and overviewed elsewhere [17,26,32]. NP single-ET charging [33,34] and "nanoimpacts" [30] are other new electrochemical single-entity phenomena.

Following Tao's early work (1996) [35], a number of redox molecules in aqueous and ionic liquid media have been mapped to single-molecule resolution [2,14-17,23-29,32]. In redox molecules, an electronic level is electrochemically accessible, potentially imposing "hopping" character on the *in situ* STM process. Two-step "hopping", with successive ET between tip and molecule (rate constants $k_{tip,mol}$, $k_{mol,tip}$) and between molecule and electrode ($k_{electr,mol}$) [17,19-21], is represented by the current density, $i[(E-E_0); V_{vias}]$, or conductivity $\langle G \rangle = dI[(E-E_0)]/dV_{bias}$, form

$$i\left[\left(E - E_{0}\right); V_{bias}\right] = en \frac{k_{tip,mol}k_{electr,mol}}{k_{mol,tip} + k_{electr,mol}}$$
(1)

E is the substrate potential, E_0 the equilibrium potential, V_{bias} the bias voltage, *e* the electronic charge, and *n* a coarse-grained measure of the number of electrons transferred in a single two-step

in situ STM event [17,21]. This form gives a peak in the $i[(E-E_0)]$ correlation, observed by a variety of complex molecules, but with noted challenges. Transition metal complexes and metalloproteins show for example significantly higher single-molecule conductivity compared to what is expected from the macroscopic electrochemical rate constant [3,17,26]. Solvent "freezing", resonance effects in transitions between superexchange and "hopping", and strong, adiabatic contact between target molecule and enclosing electrodes are possible clues [37,38]. The latter would be represented by large values of the parameter n (n >> 1), also recast as the broadening factor of Anderson-Newns theory, Δ [17, 37]. n is determined by the electronic coupling between the target redox molecule and the enclosing electrodes [17,21,38]. Notably therefore, the electronic coupling factor appears explicitly in the *in situ* STM tunneling current form, also in the adiabatic limit of strong electronic coupling.

A different, sigmoidal Nernstian-like correlation has also been observed [18],

$$\langle G \rangle = G_{red} + \frac{1}{1 + \exp\left[\frac{e}{k_B T} \left(E - E_0\right)\right]} \left(G_{ox} - G_{red}\right)$$
(2)

where G_{ox} and G_{red} are the conductivities of the oxidized and reduced form respectively, k_{B} Boltzmann's constant, and *T* the temperature. This form will be in our focus below.

3 Imaging and Packing of Non-redox Molecules – Thiol-based SAMs

3.1 The Au-S Bond in Electrochemical thiol-based SAMs

Au-surfaces and AuNPs are widely used in heterogeneous catalysis and electrocatalysis, and in medical diagnostics [39, 40]. AuNPs must be protected by SAMs to prevent clogging, and SAMs can tailor Au-surfaces for catalytic and other purposes. Functionalized thiols, R-SH have come to an almost "iconic" status as Au-protecting/functionalizing SAMs. The electronically "soft" -S• ligand binds well to the "soft" Au-surface in a strong Au(0)-S(0) van der Waals unit [41-43]

$$\operatorname{Au}(0) + \operatorname{HS}(-I) - R \rightleftharpoons \operatorname{Au}(0) - S(0) - R + \frac{1}{2}H_2$$
(3)

The reverse process is reductive desorption, associated with sharp voltammetric peak(s). The R-residues can be hydrophobic, hydrophilic, electrostatically charged or neutral, and structurally

large or small. Au-thiyl SAM structures are determined not only by the Au-S bond, but also by lateral interactions, the solvent and electrolytes, and by the atomic structure of the metallic (gold) substrate itself [44,45]. Single-crystal electrochemistry, surface spectroscopies, and *in situ* STM, with strong computational support, have led to high-resolution mapping ranging from the single, chiral or achiral molecule to whole, also chiral or achiral thiol SAM domains, and the whole SAM formation process [43]. We discuss here a particular thiol target, cysteine, the only natural amino acid with a free thiol side group. Recent data have added novel insight in the multifarious ways this metabolically important biomolecule binds to Au-electrode surfaces, Figure 2 [45].

3.2 Cysteine Packing on Au(111)-, Au(110)- and Au(100)-electrode Surfaces

Cys on Au(111) forms highly ordered monolayers with $(\sqrt{3} \times \sqrt{3})R30^\circ$, $(3\sqrt{3} \times 6)R30^\circ$, or $(4 \times \sqrt{7})R19^\circ$ cluster-like networks depending on electrolyte and pH, but a c(2×2) SAM on Au(110)-surfaces, Figure 2 [44,45]. Cys on Au(100)-electrode surfaces shows a SAM pattern further quite different from Cys on Au(111) and Au(110), Figure 2. Novel observations were:

• Two voltammetric reductive desorption peaks testify to *two* Cys Au-S binding modes. This is contrary to Cys on Au(111)- and Au(110)- surfaces, for which only a single peak is apparent, but accords with Surface Plasmon (SP) data [46] and DFT computations [45,47,48].

• In situ STM discloses "stripe-like" packing, as opposed to two-dimensional Cys networks on Au(111) and Au(110) [44,45]. This is intriguing in view of the feature-less Au(100) structure compared to the Au(110) missing row structure. The SAM matches two unit cells, (11×2) -2Cys and (7×2) -2Cys, according with two different Cys orientations or a double-SAM, as suggested by SPS.

• As opposed to all previous *in situ* STM studies of Cys on Au-electrode surfaces, both L- and Denantiomers as well as racemic L/D were mapped. Separate L- and D-enantiomer voltammetry and *in situ* STM were virtually indistinguishable, whereas racemic L/D showed a number of identified irregularities. Similar differences were reported for the chiral R- and S-2-butane thiols [49].

• The time evolution of the striped layer formation was followed by a novel Monte Carlo approach [45], and the controlling physical forces identified, bearing lines to earlier studies of the dynamics of cysteamine [50] and homocysteine SAMs [51] on Au(111).



Figure 2. Overview of Cys SAM patterns on electrochemical Au(100)-, Au(111)- and Au(110)- electrode surfaces. (a) Molecular structure of the dominating zwitterionic Cys form. (b) Chronoamperometric traces following Cys adsorption on Au(100)-electrode surfaces; (c) Reductive Cys desorption from Au(100) with *two* peaks and from Au(111) with *a single peak*. High-resolution Cys *in situ* STM images on Au(100)- (d), Au(111)- (e), and Au(110)-electrode surface (f). Single-molecule and even sub-molecular resolution ((Au(110)) is apparent. (g) Optimized DFT structure of zwitterionic Cys on Au(100)-electrochemical electrode and (h) Monte Carlo illustration of the time evolution of Cys adsorption on the electrochemical Au(100) surface. Data from Refs.[44,45,47,48].

Altogether the Cys SAM structure and formation dynamics on Au(100) thus testify to continued prodigious importance and new discoveries in Au-S based single-molecule electrochemistry.

4 Single-molecule Electrochemical Function of "Smart" Non-biological Molecules

Tao's study of Fe-protoporphyrin IX reported the first *in situ* STS $j_{tunn}/(E-E_0)$ correlation with a maximum around the equilibrium potential [35]. Single-molecule reports of other organic and inorganic redox molecules, with up to two orders of magnitude on-off current ratios have followed as noted [17,32,36]. Other single-molecule issues are reported over the last few years, for example: Mechanical effects on the conductivity [52]; the solvent and the electrochemical double layer in non-traditional micro-environments [53]; comparison between aqueous and ionic liquid media [32,53]; quantum interference [54,55]; resonance effects [56] (cf. early report [57]); porphyrin metal complexes in catalytic action [58,59]; and stochastic effects [18]. Recent reports on multi-electronic level polyoxometalates [60] add other single-molecule mechanistic aspects.

In a quite recent study, Li, Tao, and associates introduced a novel approach to stochastic vs. bulk molecular conductivity [18]. The target system was ferrocene in acetonitrile solution covalently linked to Au(111) and a Au-tip by variable-length alkanethiols, Figure 3. The study reports single-molecule *in situ* STS, the average of which displays a sigmoidal pattern as for viologen in aqueous solution [32], and the polyoxometalate in ionic liquid [60]. Nernstian-like equilibrium prevails for the averaged conductivity of 3C-Fc and 8C-Fc traces, but the single-molecule traces showed subtle tunnelling current fluctuations between low and high single-molecule conductivity ("telegraphic noise") beginning close to the equilibrium potential. The slower the interfacial rate process, the stronger the fluctuations. The study thus illuminates strikingly transition from stochastic single-molecule to macroscopic behaviour, and may offer clues to bridging the limits represented by eq.(1) and eq.(2).

5 Redox metalloproteins and metalloenzymes

5.1 Single-molecule Bioelectrochemistry of Simple Redox Metalloproteins

Single-molecule *in situ* STM was early brought to include large biomolecules, with hemecontaining horse heart cytochrome c [61] and horseradish peroxidase [62] in focus. What has later become a "paradigmatic" *in situ* STM metalloprotein, the blue copper protein azurin (*Pseudomonas aeruginosa*) was introduced slightly later [23,63,64], followed by studies of protein immobilization, single-crystal electrochemistry, and *in situ* STM/STS [23,24,64]. Bacterial cytochrome (cyt) b_{562} is another well-characterized single-molecule *in situ* STM/STS electrochemical redox metalloprotein target [25].

5.2 Electrochemical STM and AFM of Metalloenzymes, and Some "Puzzles"

Other single-molecule proteins and protein/DNA complexes have been studied and reviewed [26,27,65-67]. The blue copper *enzymes*, laccase (Lc) and nitrite reductase (CuNir) have been focus enzymes. A common observation is that metalloenzymes show no voltammetry of their own, but once substrate, say dioxygen or nitrite is let in, strong catalytic signals appear. In CuNiR and Lc electrons are let in at the type 1 Cu centre, and out towards dioxygen or nitrite reduction at the Type 2/3 or Type 2 centre. This requires a conductivity channel between the two centres, where CuNiR and Lc offer a single-molecule clue. A direct link between the Cu-ligands connect the Type 1 and Type 2 or type 2/3 centres. *In situ* STM of CuNiR and Lc both give single-molecule resolution, but only when $NO_2^-(O_2)$ is present, as if $NO_2^-(O_2)$ indeed triggers single-molecule

conductivity from a resting to an active state. A related intramolecular ET pattern is displayed by the "asymmetric" voltammetry of the two-centre heme protein cytochrome c_4 [68]. We refer to recent reviews [17,26,64,67] and address instead a different class of metalloenzyme targets, the sulfite oxidases (SOs) [69], which are novel in single-molecule enzyme electrochemistry.



Figure 3. (a) Ferrocene target compounds; (b) Scheme of charge transport through a ferrocene molecule. (c) Averaged conductance vs. overpotential, 3-Carbon and (d) 8-Carbon linker. The blue curves are Nernstian fittings. (e-g) Single-molecule conductance vs. potential for 3-Carbon-Fc. Different fluctuation patterns around the equilibrium potential: (e) Discrete two level, (f) intermediate, and (g) continuous variations of conductance with potential. Red, blue and black colors represent different potential sweeps. Adapted from [18].

5.3 A Novel Bioelectrochemical Single-molecule Enzyme Player –Human Sulfite Oxidase

The SOs are dimeric metalloenzymes with a catalytic molybdenum cofactor (Moco) and a cytochrome b_5 domain, separated by a flexible ten-residue polypeptide, Figure 4. Electrons liberated by sulphite oxidation at the Moco centre are relayed by heme b_5 to an external electron acceptor or a solid electrode [70-72]. Conformational gating between an open and a closed

conformation is a core step (Figure 4b). Electrochemistry of SO from chicken (cSO) and humans (hSO) have been extensively reported and the mechanism mapped in detail.

hSO on Au(111)-electrode surfaces modified by an ω -amino octanethiol (AOT) SAM gives attractive electrocatalytic voltammograms [69]. hSO also offers single-molecule *in situ* STM challenges: (*a*) Moco reflects the complex chemical properties of molybdenum with several oxidation states, and high electronic density expectedly suitable for *in situ* STM; (*b*) as for the blue copper enzymes, the substrate is a small molecule, sulfite. Binding is not structurally detectable by STM, but can trigger electronic changes in the enzyme reflected in the *in situ* STM/STS patterns; (*c*) The conformational change between a "closed" and an "open" state, triggered by sulfite offers new *in situ* STM/STS challenges.



Figure 4 (a) Schematic view of hSO binding on electrode surface modified by a positively charged SAM (PDB: 1SOX). (b) Schematic view of switch between open and closed hSO conformations of electrochemical surface bound hSO. (c) Apparent height variation with the overpotential of hSO on AOT SAM modified Au(111)-electrode surfaces in the absence and presence of 5 mM sulfite. Details in [69].

In situ STM discloses a 2-5 % coverage of potential dependent molecular scale strong contrasts on the AOT SAM, with a clear transition from a low-conduction state at high potentials to a high-conduction state at low potentials. *In situ* STM/STS of *h*SO differs in two major respects from the Cu-enzymes [65-67] and the simpler ET copper protein azurin [17,26,67] by sigmoidal *in situ* STS, indicative of either Nernstian equilibration [18] or "gated" tunnelling [73]. The "flip-flop" mechanism could favour the latter. A third rationale could be the large bias voltage needed (-0.4 V). A mechanism of coherent, multi-ET would then prevail in an intermediate overpotential range, before tunnelling current decline sets in at high negative overpotentials [74]. A second observation is that *in situ* STM/STS in the absence and presence of sulfite is almost indistinguishable. This

suggests that single-molecule enzyme activity is not directly monitored as for the Cu-enzymes, but a tunnelling process through one or both of the enzyme domains, independently of sulfite. The electronic origin of the *in situ* STM/STS contrasts remains an issue. The contrast resolution is not presently at a level, where the two domains can be distinguished, and the midpoint potentials of the two centres are too close, -173 and -193 mV, vs. SCE, respectively [69] for *in situ* STS to

enable resolution into separate heme b_5 and Moco contributions. The *in situ* STS profile therefore reflects a composite process, in which both Moco and heme b_5 may be involved. Tunnelling current/bias voltage correlations and recording of current rectification [75] may here offer clues.

6 Some Concluding Notes and Perspectives

New conceptual, theoretical, and experimental notions in high-resolution electrochemistry of complex molecules seem to be underway:

Conceptual and theoretical challenges. Issues relating to the "elementary" *in operando* STM process presently are: coupling of the target molecule to the electrodes; the stochastic nature of small molecular assemblies; and, the molecular scale solvent environment. Challenges relating to strong-coupling adiabatic electrochemical ET are conveniently addressed by Anderson-Newns formalism [17,37]. Strong electronic overlap appears at first solely as a lowered activation free energy, but adiabatic ET extends to other properties including long-range ET across SAMs, and further to magnetic properties, say in dioxygen reduction, or transition metal complexes involving spin changes. To this adds the need for large-scale theoretical efforts in solvation dynamics.

Non-traditional molecular scale chemical target systems. Au-, Pt- and core-shell NPs, used biomedically, in catalysis, electrochemical sensing, and in fuel cells are prospective novel molecular scale single-entity targets [39,40]. When catalytic reactant, product, and reaction intermediates are adsorbed on the NPs, it is expectable that surface electronic structures can invoke catalytic effects, but PdNPs, PtNPs and AuNPs also catalyse simple (bio)electrochemical ET processes, presently in strong focus [40,59,76,77]. Along this line, nanoporous metals, say nanoporous gold (NPG) are in focus as new electrode materials with interesting properties [78].

Overviews of carbon materials are prodigiously available [22,79,80]. Even pristine graphene is electrochemically attractive due to unique electronic properties (the Dirac point, near linear ascending and descending DOS around the Fermi level). Complex ("crumbled") 2D and 3D graphene, and graphene doping offer theoretical and *in situ* STM challenges with catalytic properties attributed to defects, the catalytic role of which is, however, presently poorly understood

[79,81]. Entirely new electrochemical materials, e.g. layered perovskites [82], metal-organic-frameworks ("MOFs") [83], and molecular magnetic materials [84], "smarter" than graphene are, finally underway and could be probed, using spintronics notions and magnetic STM/AFM.

Prospective challenges and single-biomolecule systems. The confined *in situ* STM space poses recognized challenges. The molecular target and electrolyte ionic dimensions are comparable to the tunnelling gap width, and common notions such as Gouy-Chapman length need new approaches [85], and confinement can impose "freezing" of solvent configurational fluctuations, viewed as tunnelling barrier indentations or temporary sites for electron hopping.

In new single-molecule conductivity studies of redox and non-redox proteins, Lindsay and associates [86,87], and Gorostizas and associates [88] offered evidence that single-molecule and inter-protein *in situ* STM based ET can extend to longer distances with slower distance fall-off than according with common views of "long-range" ET. The actual distances in question, up to 10 nm are, however, in fact comparable to the dimensions of, say two contacting protein molecules or a single large protein complex including hydration layers. Transferred to the electrochemical interface, use of the powerful quantum molecular dynamics methodology successful in the mapping long-range proton-coupled ET in other proteins might therefore be warranted [89].

The electrochemical interface is complex, non-uniform, and anisotropic. Comparison between the reviews of Schmickler [90] (1996) and of Magnussen and Gross [91] (2019) illuminates development in this understanding. With this background, we discussed three single-molecule systems relating to different aspects of recent molecular and biomolecular single-molecule electrochemistry: (1) Cysteine adsorption on the three low-index Au-electrode surfaces, showing an unusual Cys pattern on Au(100), rationalized by DFT and Monte Carlo computations; (2) stochastic *in situ* STS, disclosing a bridge between single-molecule and macroscopic behaviour as a core nanoscience concept; (3) the first *in situ* STM/STS of a large molybdenum enzyme, hSO. The three systems each offer entirely new electrochemical molecular scale insight, perhaps extendable to molecular electronics concepts, and warranting new theoretical efforts.

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