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Hypothesis

Copper Imbalance in Alzheimer's Disease and Its Link with the Amyloid Hypothesis: Towards a Combined Clinical, Chemical, and Genetic Etiology

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Abstract. The cause of Alzheimer's disease (AD) is incompletely defined. To date, no mono-causal treatment has so far reached its primary clinical endpoints, probably due to the complexity and diverse neuropathology contributing to the neurodegenerative process. In the present paper, we describe the plausible etiological role of copper (Cu) imbalance in the disease. Cu imbalance is strongly associated with neurodegeneration in dementia, but a complete biochemical etiology consistent with the clinical, chemical, and genetic data is required to support a causative association, rather than just correlation with disease. We hypothesize that a Cu imbalance in the aging human brain evolves as a gradual shift from bound metal ion pools, associated with both loss of energy production and antioxidant function, to pools of loosely bound metal ions, involved in gain-of-function oxidative stress, a shift that may be aggravated by chemical aging. We explain how this may cause mitochondrial deficits, energy depletion of high-energy demanding neurons, and aggravated protein misfolding/oligomerization to produce different clinical consequences shaped by the severity of risk factors, additional comorbidities, and combinations with other types of pathology. Cu imbalance should be viewed and integrated with concomitant genetic risk factors, aging, metabolic abnormalities, energetic deficits, neuroinflammation, and the relation to tau, prion proteins, α -synuclein, TAR DNA binding protein-43 (TDP-43) as well as systemic comorbidity. Specifically, the Amyloid Hypothesis is strongly intertwined with Cu imbalance because amyloid- β protein precursor (A β PP)/A β are probable Cu/Zn binding proteins with a potential role as natural Cu/Zn buffering proteins (loss of function), and via the plausible pathogenic role of Cu-A β .

Keywords: Alzheimer's disease, amyloid- β , amyloid- β protein precursor, ATP7B, copper, dementia, meta-analysis, Wilson's disease

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INTRODUCTION

In Alzheimer's disease (AD) and dementia in general, a change is needed to overcome the current dogmatic view of the disease and achieve a better understanding of its etiology to develop effective treatments [1]. AD is a multifactorial condition in which amyloid- β (A β) accumulation and misfolding of other proteins converge with many other genetic, environmental, vascular, metabolic, and inflammatory factors promoting the disease state [2]. In this complex interplay, aging constitutes the leading risk factor and the orchestrator of the neurodegenerative process [1].

The objective of this hypothesis article is to provide a focused overview of the role of copper (Cu) as supported by preclinical, genetic, biochemical, clinical, epidemiological, and meta-analytic data, and its relation to aging, and to discuss a consistent biochemical etiology of the disease. Our hypothesis posits that age-aggravated Cu imbalance involves a gradual shift from protein-bound metal ion pools, associated with both loss of energy production and antioxidant function [3], to pools of loosely bound metal ions that produce gain-of-function oxidative toxicity contributing to dementia risk. The proposed mechanism is particularly relevant in a subset of individuals, defined as CuAD, in which Cu dysregulation is modulated by *ATP7B* genetic risk variants [4–7].

While the dysregulation of calcium (Ca²⁺), zinc (Zn²⁺), iron (Fe^{2+/3+}), and Cu^{+/2+} has been known for decades to associate with the (onset and) progression of AD [8], we propose an updated model centered on processes deriving from the shift of Cu from strongly protein-bound pools with essential function, to loosely-bound toxic pools [9]. We will also discuss cellular mechanisms of metal-related brain damage occurring at different sites (glia, neurons, synapses) as well as metal-related alteration of A β metabolism.

BRIEF HISTORY OF ALZHEIMER'S DISEASE ETIOLOGY

Recent studies have shown that many neurodegenerative determinants act synergistically to produce neuronal loss, which in AD ends with severe cognitive and behavioral impairment [10]. A brief description of AD, its histopathological and biochemical manifestations, the history of the disease etiology, and the emerging role of metal ion imbalance, is reported herein.

AD affects approximately 30–35 million people worldwide (50 million dementia cases, with 60–70% being AD cases) [11]. The disease produces a gradual deficit of episodic memory; deficits are then extended to all the cognitive domains, and behavioral disorders eventually ensue, thereby deeply impacting on activities of daily living. The detrimental effects of AD span beyond the diagnosed individual and pose challenges also to caregivers and healthcare providers.

The histopathological hallmarks of AD include neurofibrillary tangles of hyperphosphorylated tau protein common to many diseases, and extracellular insoluble deposits of senile plaques consisting of metal-enriched, oxidized, and various isoforms of A β . There is broad consensus that age is the primary risk factor for AD onset, making developed countries with a high life expectancy particularly vulnerable to a dementia outbreak [12], while the pathology is emerging in developing countries with a dramatic incidence.

Although the disease has a multifactorial etiology there are causal genetic risk factors of AD. A β is generated by the proteolytic cleavage of the amyloid- β protein precursor (A β PP), a protein involved in important physiological functions like synapse maturation, neural plasticity, and metal-export activity [13]. Fully penetrant mutations on the *APP* and on the *PSEN1* and *PSEN2* genes, which encode for the catalytic subunit of the γ -secretase enzyme that produces A β from A β PP, strongly contribute to the early-onset, familial form of AD (< 65 years) (see [14] for a recent review of the topic). Genetics also play a role in the late-onset, sporadic forms of dementia. In that regard, harboring the *APOE4* allele, encoding the Apolipoprotein E4 isoform involved in cholesterol and A β metabolism, is a common high genetic risk factor in individuals > 65 years [15].

Growing evidence supports the notion that many patients present with neuropathological heterogeneity and that mixed neuropathology is typical of the cognitive decline [10]. Thus, dementia results from person-specific combinations of many molecular determinants that work in synergy to produce different clinical entities, modulated by additional comorbidities including, but not limited to, cardiovascular disorders, Type 2 diabetes, and dyslipidemia. Accordingly, more effort is required to explore the molecular granularity of dementia subtypes. For instance, besides the different array of neurotoxic proteins [A β , tau, prion proteins (PrP), α -synuclein, TAR DNA binding protein-43 (TDP-43)], the AD brain is also characterized by bioenergetic

138 abnormalities, oxidative stress, inflammation, Ca
139 dyshomeostasis, and heterogeneously disturbed Zn,
140 Fe, and Cu levels [2, 16, 17].

141 Drugs have been mainly developed within the
142 Amyloid Hypothesis. The construct posits that the
143 aberrant accumulation of A β assemblies is the critical
144 initial step of the AD process [18]. The hypothe-
145 sis is supported by genetic risk factors of familial
146 AD (*PSEN1/2* and *APP* genes) as well as preclinical
147 studies [19]. Therapeutic efforts have focused on
148 either limiting the A β accumulation and/or forma-
149 tion of toxic oligomers by antibodies or the peptide
150 production by enzyme inhibitors or modulators, but it
151 appears clear that addressing a single molecular deter-
152 minant is not a sufficient approach [20]. Indeed, no
153 mono-causal treatment has so far reached its primary
154 clinical endpoints, and only one has just been granted
155 food and drug administration (FDA) approval in 2021
156 (aducanumab, based on the early version of the Amy-
157 loid Cascade Hypothesis assuming that senile plaques
158 are pathogenic); critics claim that this lack of success
159 is because the Amyloid Hypothesis is too simplistic
160 [21, 22].

161 The scenario is further complicated by several
162 studies arguing for a protective role played by A β
163 deposits. Amyloid enriched plaques can be, in fact,
164 envisioned as net traps in which toxic oligomeric
165 species [23], infectious agents [24], or dysregulated,
166 and thus cytotoxic metals are entrapped [25–28]. It
167 is well-established that plaques contain substantial
168 amounts of essential trace metals such as Zn, Fe, and
169 Cu and that metal transport and storage protein [i.e.,
170 metallothioneins (MTs), ferritin, Zn transporters] are
171 consistently affected in AD [2, 29]. In addition, chem-
172 ical and biochemical studies have demonstrated that
173 A β is a metalloprotein and that Cu²⁺ (as well as other
174 biologically relevant metal ions, like Zn and Fe^{2+/3+})
175 binding dramatically changes the peptide aggregation
176 propensity, structure, and toxicity [29–31]. There is
177 broad consensus that A β has a well-defined medium-
178 affinity Cu-binding site ($K_d \sim 0.1$ nM) [29, 30]. Taken
179 together, metal ion imbalance seems to be a cen-
180 tral player of AD etiology and in a close but not
181 completely understood relationship with the Amyloid
182 Hypothesis.

183 THE CUAD HYPOTHESIS

184 The brain is particularly sensitive to aging; our
185 Hypothesis stems from the assumption that aging pro-
186 duces, among other disrupting processes, oxidative

187 stress [32], which is enhanced by the dysregulation
188 of metal ions and particularly to Cu imbalance [2,
189 29]. We also explore the concept that some AD indi-
190 viduals are particularly susceptible to disturbances
191 in Cu ion balance, a phenomenon that accelerates
192 neuronal aging, increases the cost of neuronal main-
193 tenance, exhausts neurons and thereby reduce the
194 energy available for their primary function as 50%
195 of the neuronal energy budget is spent on cognitive
196 processing [9].

197 The Metal Ion Hypothesis [8] stresses that the
198 homeostasis of d-transition metals like Zn, Fe, and Cu
199 is perturbed in AD, and the process plays a contribut-
200 ing causative role, rather than being a side phenom-
201 enon [2, 8]. Here, we sharpen this hypothesis into
202 a specific etiology for Cu. The primary role played
203 by the metal is in line with the Amyloid Hypoth-
204 esis, since A β PP/A β are well-established Cu (and
205 Zn) binding proteins (*in vitro*) [29, 30] (Fig. 1).
206 The importance of Cu dysregulation is supported by
207 recent studies showing the different activity played by
208 the diverse species (bound and non-bound to proteins)
209 of peripheral Cu [2] as well as by growing genetic evi-
210 dence [33]. About 75–95% of total serum Cu binds
211 strongly and inertly to ceruloplasmin, while about
212 5–10% circulates in a weaker and more labile form,
213 being exchanged among various protein compounds
214 [34]. On this basis, these Cu complexes have been
215 defined as non-ceruloplasmin Cu (non-Cp Cu), a clin-
216 ical biomarker applied to Wilson’s disease (WD), a
217 paradigmatic disorder of Cu toxicosis and accumula-
218 tion [35]. Non-Cp Cu has been historically defined as
219 ‘free’ Cu, yet really ‘free’ Cu does not exist (it is prob-
220 ably at the attomolar levels at best). This non-Cp Cu
221 pool is likely superimposable with the exchangeable
222 Cu-pool, i.e., the pool that exchanges with added Cu
223 (radioactive tracer or stable isotope) or can be with-
224 drawn with a chelator such as EDTA in minutes/hours
225 time frame [36]. The main constituent of this non-Cp
226 pool is serum albumin [36]. It has a N-terminal Cu-
227 binding site with a K_d of 0.1 pM [37] and Cu can
228 be removed with a stronger chelator in minutes to
229 hours [38]. Thus Cu(II)-binding is moderately strong
230 and kinetically labile compared to Cp [39]. The ter-
231 minology of non-Cp Cu is tissue specific and refers
232 to serum/plasma. It represents the primary species
233 responsible for Cu transport from blood into the brain,
234 crossing the blood-brain barrier (BBB) [40]. To main-
235 tain the link with its historical and clinical application
236 in WD, we will use the term non-Cp Cu referring to
237 serum/plasma and ‘labile’ Cu for the same biological
238 entity in the brain.

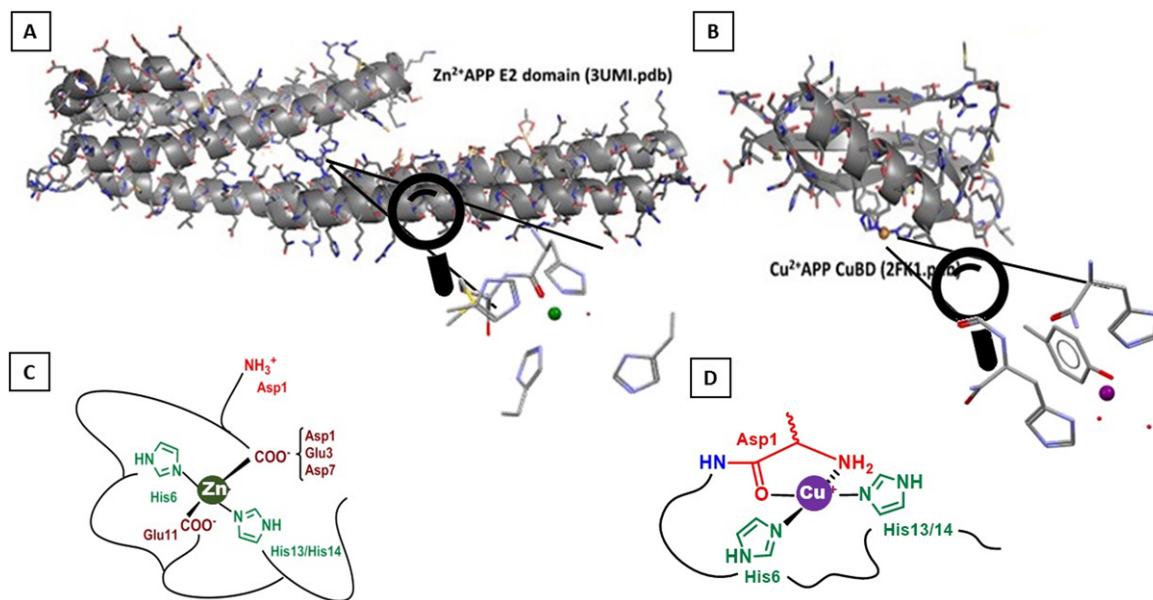


Fig. 1. Examples of structurally established Cu- and Zn-binding sites in A β PP and A β . A) Zn²⁺ site in E2 domain of A β PP (3UMI) and zoom on the Zn²⁺ binding site (made of three His residues and one water molecule). B) Cu-Binding Domain (CuBD) of A β PP (2FK1) and zoom on the Cu²⁺ binding site (made of two His, one Tyr residues, and two water molecules). C) Zn²⁺ binding site in A β (made of two His residues, and two carboxylate-containing residues). D) Cu²⁺ binding site in A β (made of two His residues, the N-terminal amine and the adjacent CO form the peptide bond). Green dot: Zn²⁺, purple dot: Cu²⁺ (models proposed based on spectroscopic studies as recently reviewed in [102]).

Cu IN PHYSIOLOGY: FOCUS ON THE BRAIN

Cu is an essential metal necessary for brain development and physiology. Severe Cu deficiencies are associated with immune, cardiac, bone, and central nervous system conditions, whereas Cu chronic excess is primarily associated with liver damage. Like Fe, Cu is a transition metal, that can transfer electrons and, as a redox catalyst, is necessary for the activity of many enzymes. Cells make use of Cu for mitochondria respiration, blood cell line maturation, immune responses, wound healing, myelin sheath formation, and it is an important mediator for neurotransmitter synthesis and synaptic activity modulation [41].

Cu balance is determined by the rates of dietary absorption from food, supplements, drinking water and other beverages, and excretion through bile and stools [2]. Cu absorption, distribution, and homeostasis in the brain are tightly controlled, with the neurovascular unit and the BBB playing an essential role in the process (Fig. 2). Human Cu transporter 1 (hCTR1), Cu-transporting P-type ATPase 7A (ATPase7A), and ATPase7B Cu-transporting P-type (ATPase 7B) regulate brain Cu levels. *The choroid*

plexus harvests and releases Cu in the cerebrospinal fluid (CSF), the fluid that surrounds the brain (range between 0.5 and 2.5 μ mol/L). In rat *choroid plexus*, Cu is up-taken from the non-Cp Cu(II)-pool in the blood into the brain in its ionic Cu(I) form. Hence a reduction step from Cu(II) to Cu(I) is needed [40]. hCTR1 transports Cu(I) from the bloodstream to endothelial cells and astrocytes. On the contrary, ATPase7A and ATPase7B extrude Cu from endothelial cells to the interstitial fluid or the bloodstream, respectively. ATPase7B also contributes to Cu loading into glycosylphosphatidylinositol-linked ceruloplasmin (GPI-Cp), thereby keeping intracellular Cu concentrations under control [2, 42] (Fig. 2).

Cu DYSHOMEOSTASIS: HUMAN GENETIC DISORDERS AND COMPLEX DISEASES

Mutations in the genes encoding for proteins involved in the Cu pathway results in several hereditary diseases (Table 1). Menkes disease, typified by Cu deficiency, and WD, featured by Cu excess, are caused by mutations in *ATP7A* and gene

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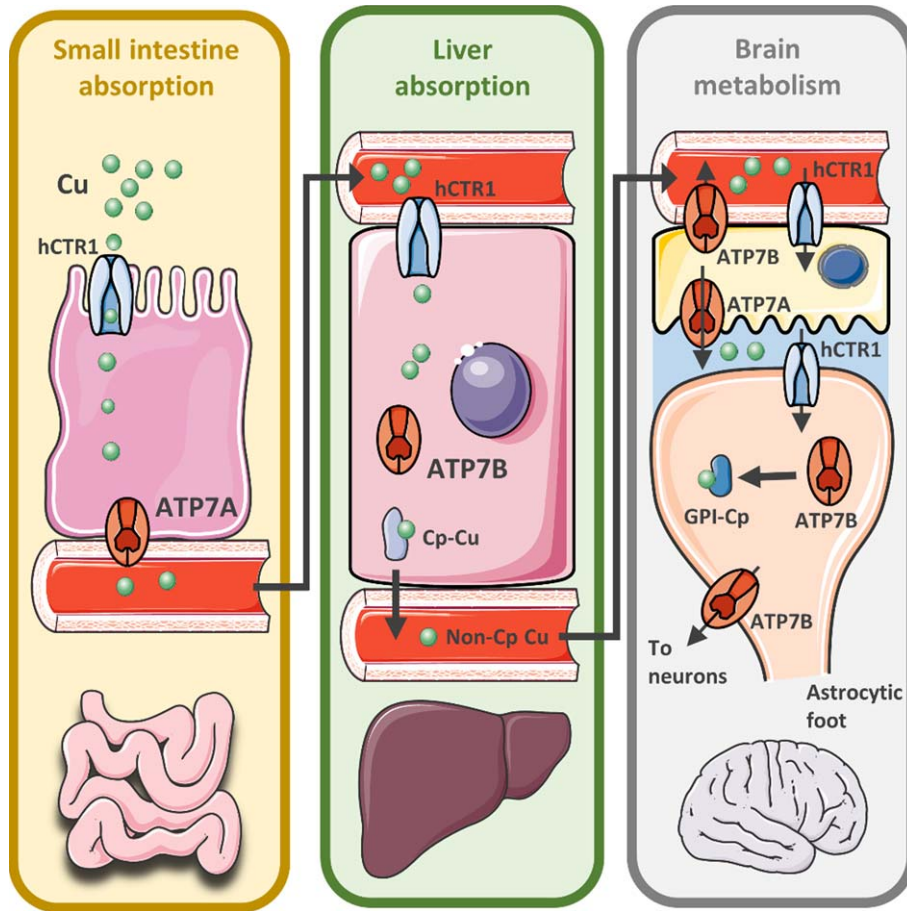


Fig. 2. Mechanisms of Cu absorption and distribution in physiology. The pictogram illustrates a concise overview of Cu metabolism in humans. Small intestine absorption (left box). Cu ingested through the diet is absorbed by small intestine enterocyte. The integral membrane protein hCTR1 imports Cu. The metal is then loaded onto Cu-dependent enzymes via several chaperone proteins (not shown). ATPase7A (ATP7A) pumps Cu out of the enterocyte basolateral membrane. Cu, bound to albumin, $\alpha 2$ macroglobulin, or amino acids, is then transported to the liver through the portal vein. Liver absorption (middle box). The liver plays an essential role in Cu storage, metabolism, and distribution. hCTR1 promotes hepatocytes Cu uptake. In the liver, ATPase7B (ATP7B), the homologue of enterocyte ATPase7A, incorporates Cu into ceruloplasmin (Cp). Under physiological conditions 85–95% of total Cu is bound to Cp. Cp-Cu is then released into the bloodstream for systemic distribution. 10–15% of Cu is released in bloodstream as non-Cp Cu. Brain metabolism (right box). The intersection between the blood-brain barrier (BBB) and the neurovascular unit (NU) is critical for brain Cu regulation. In close analogy with the small intestine and the liver, hCTR1 controls Cu absorption into endothelial cells and astrocytes. Conversely, ATPase7A and ATPase7B regulate metal efflux from endothelial cells to the interstitial fluid or the blood flow, respectively. Within the astrocytic feet, ATPase7B loads Cu into glycosylphosphatidylinositol-linked ceruloplasmin (GPI-Cp), a process that is instrumental for controlling intracellular Cu concentrations. The pump is also involved in Cu extrusion from astrocytes for metal distribution to neurons.

286 *ATP7B*, respectively. These human genetic disorders
 287 of Cu transport reveal the importance of main-
 288 taining an appropriate Cu homeostasis and provide
 289 insight into abnormalities in the Cu pathway in AD
 290 (Fig. 3).

291 Abnormalities in Cu, ceruloplasmin, and non-Cp
 292 Cu levels are also associated to several complex dis-
 293 eases, spanning from myocardial infarction, stroke,
 294 cardiovascular death [43], heart failure [44], ischemic
 295 heart disease [45], acute aortic dissection [46], and
 diabetes mellitus type 2 [47].

296 **A β DYSREGULATION, AND Cu** 297 **TARGETING TREATMENTS IN AD**

298 Many findings indicate that Cu dyshomeostasis
 299 plays a critical part in AD [2, 48]. The metal has
 300 a direct role in amyloid pathology by promoting A β
 301 aggregation. On the other hand, Cu sequestration by
 302 plaques may render the cation unavailable for key
 303 biological functions [27]. This scenario is further
 304 complicated by Cu-related loss and gain of function
 305 mechanisms.

Table 1
Hereditary diseases associated to genes encoding for proteins involved in Cu pathway

Copper disease	Gene (inheritance)	Protein function	Cu status	Symptoms
Wilson's disease [129]	<i>ATP7B</i> (autosomal recessive inheritance)	Cu transporter/metallochaperone	Low levels of ceruloplasmin, low level serum Cu, high levels of non-Cp Cu	Jaundice, dystonic rigidity dysarthria, dysphagia, fatigue, tremor
MENDIK Syndrome [130]	<i>AP1S1</i> (autosomal recessive inheritance)	Trafficking of ATP7aseA or ATPase7B	Low levels of serum Cu and ceruloplasmin	Brain atrophy, mental retardation enteropathy, deafness, keratoderma, peripheral neuropathy, ichthyosis, and cholestatic hepatopathy
Menkes disease [131]	<i>ATP7A</i> (X-linked recessive inheritance)	Cu transporter/metallochaperone	Low levels of serum Cu and ceruloplasmin	Intellectual disability and developmental delay, seizures, lack of muscle tone, floppiness, kinky hair
Occipital Horn Syndrome [132]	<i>ATP7A</i> (X-linked recessive inheritance)	Cu transporter/metallochaperone	Low levels of serum Cu and ceruloplasmin	Cutis laxa, coarse hair, cerebral calcification exostoses, hyperextensible skin, mild cognitive deficits, global developmental delay, and loose joints
Huppke-Brendel Syndrome [133]	<i>SLC33A1</i> (autosomal recessive inheritance)	Acetyl CoA transporter protein	Very low serum Cu and ceruloplasmin levels	Cataracts, developmental delay, cerebral atrophy, hypacusis, hearing loss, and nystagmus
X-Linked Distal Hereditary Motor Neuropathy [134]	<i>ATP7A</i> (X-linked recessive inheritance)	ATPase7A	Low levels of serum Cu and ceruloplasmin	Weakness of distal muscles, motor neuron syndrome, muscle atrophy, and abnormal sensory examination affected peripheral nerves
Infantile Cardioencephalomyopathy with severe deficiency of cytochrome C oxidase in heart, brain, and muscle [135]	<i>SCO2</i> (<i>SCO1</i>) (autosomal recessive inheritance)	Metallochaperones involved in the assembly and Cu delivery to the catalytic core (CuA site) of cytochrome C oxidase, complex IV of the mitochondrial respiratory chain and result in cytochrome C oxidase deficiency	Severe Cu deficiency	Abnormalities in the nervous system, heart, and skeletal muscle (including Leigh syndrome), hypertrophic cardiomyopathy, lactic acidosis, stridor with ventilator insufficiency, and a spinal muscular atrophy

306 An early and seminal discovery was that Cu can
 307 enhance A β -driven oxidative stress [49], and pro-
 308 mote A β aggregation under conditions of acidic pH
 309 (i.e., <pH 6) [49]. At physiological pH, Cu can also
 310 inhibit the Zn-mediated aggregation of A β by com-
 311 peting with Zn for the peptide histidine residues
 312 [50]. Furthermore, A β can trigger neurotoxic effects
 313 by promoting deficits of intracellular Cu ([Cu]_i).
 314 Very importantly, it has been found that A β PP,
 315 from which A β is produced, can bind Cu(I) and
 316 Cu(II) with picomolar affinity *in vitro* [51]. A β PP-
 317 KO mice exhibit increased Cu levels in the cerebral
 318 cortex, whereas the over-expression of A β PP leads
 319 to significantly reduced brain levels of Cu in a pre-
 320 clinical model of AD [52]. Moreover, Cu levels can
 321 also significantly affect the neuronal redox state,
 322 thereby indicating a pathogenic link between A β dys-
 323 metabolism, oxidative stress, and Cu dyshomeostasis
 324 [53].

In line with the Amyloid Hypothesis, β -site A β PP-
 cleaving enzyme (BACE1), the enzyme that catalyzes
 the rate-limiting step in the amyloidogenic process-
 ing of A β PP, binds strongly to the Cu Chaperone
 for Superoxide Dismutase (CCS) [54]. CCS is the
 chaperone that delivers Cu to superoxide dismutase-
 1 (SOD-1) a Cu-dependent cytosolic scavenging
 enzyme; therefore, high levels of BACE1, by binding
 to CCS, can decrease the amount of CCS available for
 SOD1 activation [55], thereby reducing the antioxi-
 dant capability of neurons.

A Cu-enriched diet was initially reported to
 increase the brain metal levels and counteract the
 decreased SOD-1 activity observed in A β PP trans-
 genic mice [56]. In the same transgenic animals,
 Cu treatment lowers brain A β production long
 before the induction of detectable reductions in
 A β plaques, implying that A β PP functions/assists
 in Cu export [56]. However, a phase II clinical

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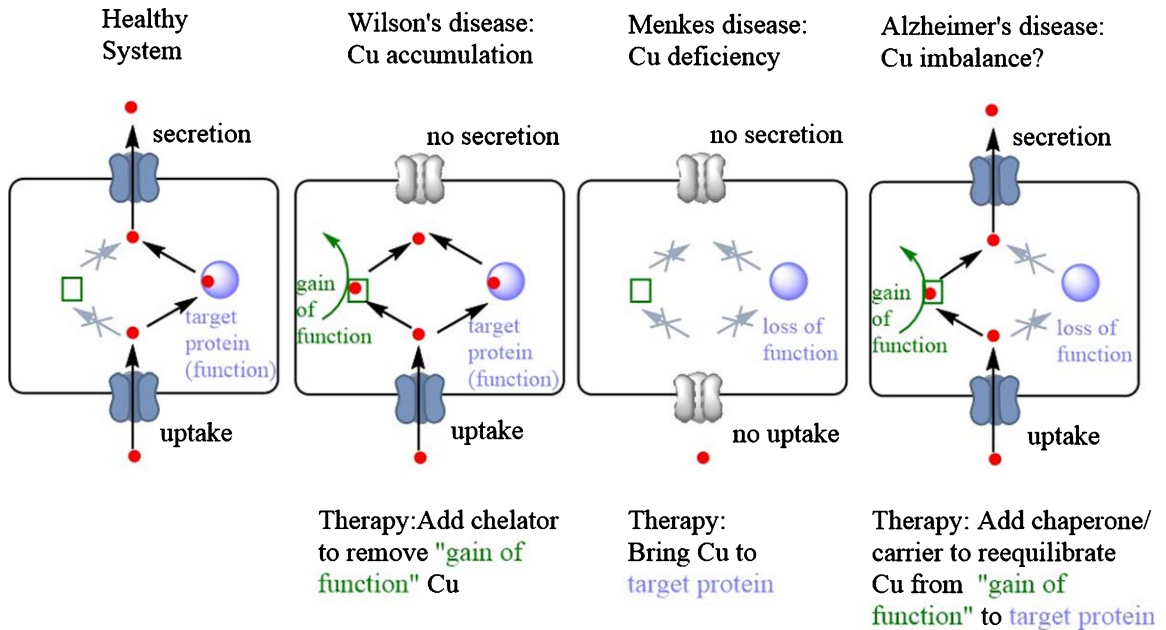


Fig. 3. Model of differences in Cu (red dots) pathway among normal, Wilson's, Menkes, and Alzheimer's disease. Under healthy conditions, a biological system (black rectangle, e.g., a cell, an organism or organ) needs Cu bound to proteins (target protein, purple) for essential functions. An uptake and secretion system assures the correct Cu concentration in the system and correct Cu trafficking (plain arrows). In Wilson's disease, the secretion is reduced. An accumulation of Cu in the system occurs and Cu ions bind to non-target proteins (hollow green square), where it gains function, e.g., Cu catalyzed production of reactive oxygen species (ROS). In Menkes disease, Cu deficiency occurs due to impaired Cu-uptake. No Cu arrives on the target proteins and a loss of essential function is observed. In AD, Cu uptake and secretion seem to be less affected and keep a certain control over total bulk Cu-content of the system. But a Cu-imbalance occurs in the system, by moving Cu from target proteins (loss of essential function) to pools of loosely bound Cu ions (gain of toxic function, e.g., ROS production). The therapeutic approach would be to re-equilibrate by transferring Cu back to the essential Cu-target proteins.

344 trial supplying AD patients with Cu (Cu(II)-orotate-
345 dihydrate; 8 mg Cu daily) failed to meet its primary
346 outcomes [57]. Treatment with the Cu/Zn ionophore
347 clioquinol inhibited amyloid plaque formation [58].
348 PBT2, a second generation Cu/Zn binding molecule
349 intended to prevent Cu-facilitated A β aggregation
350 has been tested in a phase II clinical trial that met
351 its primary endpoints of safety and tolerability and
352 showed a reduction of A β in the CSF and benefi-
353 cial effect in a subset of cognitive tests [59, 60].
354 A recent phase II molecular imaging study evaluat-
355 ing A β levels in PBT2-treated patients did not show
356 beneficial effects on amyloid deposition [61]. The
357 study was underpowered, and the smaller placebo
358 group showed large variability, and unexpectedly
359 remain stable over the 12-month observation period.
360 This precluded evaluation towards the efficacy of the
361 drug.

362 Together, these studies suggest that A β PP is in-
363 volved in Cu transport [62], and that Cu promotes
364 amyloid aggregation, but that exogenic Cu control
365 has little effect on Cu balance, likely because the
366 Cu homeostatic machinery is quite robust. Still, Cu

367 levels in the brain can be altered long-term as implied
368 by a study taking into account the different species
369 of peripheral Cu [63]. Preclinical models suggest
370 that chronic exposure affects balance and cognitive
371 decline [64]. This dynamic implies a causal connec-
372 tion first demonstrated by seminal studies employing
373 dietary based experimental models [63, 65, 66], then
374 confirmed in more recent similar studies [64, 67–69]
375 and further confirmed by AD transgenic models
376 employing the same paradigm (review in [70]). The
377 paradigm consists of 9–12 months exposure to 1.3
378 ppm Cu in drinking water that raises non-Cp Cu
379 in bloodstream [63, 64, 67, 69] and in brain cap-
380 illary, thus mimicking the non-Cp Cu excess noted
381 in AD patients (Fig. 3), and resulting in cognitive
382 deterioration [63–69] (recently review in [71]). The
383 parallel with preclinical models is particularly evi-
384 dent for a subset of AD patients [61], typified by
385 non-Cp Cu levels higher than 1.6 $\mu\text{mol/L}$ and with
386 an increased frequency of *ATP7B* rs1061472 and
387 rs732774 (reviewed in [48]) that affect *ATPase7B*
388 protein amount and reduce its trafficking in response
389 to high Cu levels [5].
390

390 Previous results on the toxic effect of Cu on A β
 391 plaques and learning deficits [66, 72] reinforced
 392 the association between the production of cognitive
 393 impairments and the presence of excess non-Cp Cu
 394 as shown by previous clinical studies in AD patients
 395 (reviewed in [2]). The discovery that genetic vari-
 396 ants of *ATP7B*, the gene encoding for ATPase7B,
 397 a Cu pump located in hepatocytes and endothelial
 398 cells of the BBB, are involved in AD, unraveled a
 399 more complex scenario [33]. The ATPase7B pump
 400 is essential for proper Cu homeostasis (reviewed in
 401 [48]) and defects in the process can lead to a buildup
 402 of non-Cp Cu in the blood and its transport across the
 403 BBB [28, 63], and activate cell-damaging oxidative
 404 events in the brain [73]. This mechanism is centered
 405 on the shift towards a prevalent fraction of Cu that
 406 is not firmly bound to proteins, and in this form,
 407 promotes cytotoxic effects [48]. We believe that the
 408 clinical, biochemical, and genetic data cited above
 409 are consistent with this etiology.

410 CHEMICAL FORMALIZATION OF THE 411 CuAD HYPOTHESIS

412 The data indicate that Cu-related dysregulation in
 413 a subset of AD patients manifests as a shift towards
 414 a labile (and weaker bound) Cu pool that is made
 415 available outside neurons and non-neuronal cells.
 416 Reduced total Cu levels in the brain are associ-
 417 ated with the soluble fraction (bound strongly and
 418 inertly to proteins), while its content within insoluble
 419 plaques is increased [27, 74, 75], as well as that of
 420 labile Cu [73]. The Hypothesis has been chemically
 421 formalized by using a location-dependent Cu dissoci-
 422 ation constant (K_{dc}) that identifies the shift from the
 423 pool of functional Cu that is strongly bound to pro-
 424 teins to pools of loosely bound, toxic Cu (e.g., non-Cp
 425 Cu and labile Cu) [48]. This shift has strong causal
 426 implications as discussed below. We also note that
 427 partial pathways, e.g., Cu deficiency resembling only
 428 the loss of function pathway, or Cu toxicity represent-
 429 ing mainly the gain of function pathway, is consistent
 430 with our hypothesis under some conditions (Fig. 3).
 431 In this sense, the *Cu-Hypothesis* by G.J Brewer may
 432 represent a source of some of the gain of function
 433 etiology via exogenous oxidized Cu(II) excess [76,
 434 77], as supported by some studies linking Cu excess
 435 to cholesterol and amyloid pathology in rabbit and
 436 mice [63, 66].

437 Cu brain deficiency may involve deposition of Cu
 438 outside the neuron together with amyloid sorting

439 and segregation within the lipid rafts [78], or excess
 440 Zn as illustrated by some studies [2]. Further-
 441 more, ischemic episodes in the brain might trigger
 442 mechanisms of exporting Cu from the brain to the
 443 blood mediated by *COMMD1* as recently depicted
 444 in myocardial infarction [45]. However, the main
 445 hypothesis explaining Cu imbalance as it emerges
 446 from the meta-analysis data (Fig. 3) is consistent
 447 with WD. AD and WD have diverse etiologies with
 448 WD being a monogenic, Cu-dependent disease [79].
 449 Complex diseases, however, such as AD, have a
 450 global susceptibility that is influenced by genetic het-
 451 erogeneity. The heterogeneity can explain ‘portions’
 452 of susceptibility. The evidence that genetic variants
 453 identified on *ATP7B* gene are statistically associated
 454 with an increased AD risk [4] in a subset of patients
 455 [4, 7], is consistent with a genetic heterogeneity in
 456 AD that might explain Cu susceptibility.

457 IMPLICATIONS OF NON-CP Cu EXCESS

458 The Hypothesis posits that an age-driven Cu imbal-
 459 ance resulting in a gradual shift from protein-bound
 460 metal ion pools to pools of loosely bound metal ions
 461 drives a bloodstream non-Cp Cu excess in AD [48].
 462 Non-Cp Cu is bound with moderate affinity ($K_d \sim 0.1$
 463 pM) and relatively labile mainly to albumin, but also
 464 $\alpha 2$ -macroglobulin, peptides (45 kDa proteins with
 465 unknown identity referred as small Cu carriers), and
 466 amino acids and exchanged among them [2]. This Cu
 467 can be redox-active, and if expanded ($> 1.6 \mu\text{mol/L}$)
 468 becomes toxic, crossing the BBB, as exemplified in
 469 WD (Fig. 3) [2, 35]. In AD patients, levels of non-Cp
 470 Cu reach values that are commonly found in WD [80]
 471 (Table 2), and the amount of Cu in the CSF appears
 472 modulated by the non-Cp Cu concentrations ($1 \mu\text{M}$
 473 non-Cp Cu accounted for $0.03 \mu\text{M}$ increase of Cu
 474 content in the CSF [28]). We can then hypothesize
 475 a blood-to-brain inward flux of Cu, fueled by non-
 476 Cp Cu that can diffuse [40, 81] or be transported
 477 across the BBB by Cu transporter hCTR1, and by
 478 ATPase7A (labile Cu) and an opposite brain-to-blood
 479 outward flux driven by ATP7aseB or by A β PP, based
 480 on A β PP properties as a regulator of neuronal Cu
 481 homeostasis [62]. The Cu efflux associated to A β PP
 482 function could in principle contribute to the reduc-
 483 tion of A β concentrations in the CSF [62], and to the
 484 decreased levels of Cu observed in the brain (Fig. 4).
 485 A β buffering for Cu labile excess—binding and pre-
 486 cipitating the metal in amyloid plaques—removing
 487 it from the CSF [25–28], and decreasing A β levels

Table 2
A subset of Alzheimer's disease patients shares biochemical features/clinical traits with Wilson's disease

Biochemical features/clinical traits	WD	Cu AD subset of patients
Non-Cp Cu in serum; normal reference range: 0.1–1.6 μM	> 1.6 $\mu\text{mol/L}$; a cut-off of 2.3 $\mu\text{mol/L}$ is diagnostic of probable WD; a cut-off of 3.9 $\mu\text{mol/L}$ is diagnostic of WD [136]	> 1.6 $\mu\text{mol/L}$; a cut-off of 1.61–2.4 μM is supportive of MCI and AD patients with CuAD subtype [2, 80]
ATP7B gene variants	more than 700 <i>ATP7B</i> variations are disease-causing mutations; additional 800 SNPs have been described [136]	Increased frequencies of the functional SNPs rs732774 and rs1061472 and of rs1801243, rs2147363, rs7334118 associated with an increased risk of AD [5]
Ceruloplasmin; normal reference range: 23–50 mg/dL	< 20 mg/dL is suggestive of probable WD [136]	Decreased values of ceruloplasmin specific activity associated with an increased risk of AD [83]
Apo-ceruloplasmin ^a fragmentation	Increased values	Increased values in CSF [137] and in serum [138]
Liver disease	Highly variable, ranging from asymptomatic, with only biochemical abnormalities, to hepatic cirrhosis [136]	Biochemical abnormalities: decreased values of albumin, longer prothrombin time associated with Non-Cp Cu [139]
Cu excretion in the urine; normal reference range: < 40 $\mu\text{g}/24\text{ h}$	> 40 $\mu\text{g}/24\text{ h}$ (ULN) [136]	AD patients have 24 h urinary excretion higher than healthy control [80]
D-penicillamine test ^b ; Cut-off 200 $\mu\text{g}/24\text{ h}$	87% [80]	78% [80]
Kayser-Fleischer rings ^c	Present in 44–62% [136]	Present in an AD patient positive to ¹¹ C-labeled Pittsburgh Compound-B PET and [¹⁸ F] fluorodeoxyglucose PET [140]
Paradoxical effect under D-penicillamine treatment ^d	Present in 10–20% WD [136]	Present in < 50% [2]
Copper in the brain	Preclinical model of WD Long Evans Cinnamon rat, and toxic milk show decreased or normal levels of Cu in the brain coexisting with Non-Cp Cu excess in the bloodstream and excess labile Cu in the brain [2]	AD have 20% increase in brain labile Cu, coexisting with an overall decreased level of Cu in the AD brain [73], and Non-Cp Cu excess (Fig. 4)

^aApo-ceruloplasmin: The incorrect loading of Cu into nascent hepatic ceruloplasmin because of *ATP7B* mutations causing a defective ATPase7B protein generates the inactive serum apo-form of ceruloplasmin that is rapidly fragmented. ^bD-penicillamine challenge test is a screening test for asymptomatic WD patients (generally in pediatric age); cut-off of 200 $\mu\text{g}/24\text{ h}$ (5x ULN). ^cKayser-Fleischer rings: Cu deposition in the Descemet's membrane of the cornea pathognomonic of WD. ^dParadoxical effect upon D-penicillamine treatment: serious "iatrogenic" deterioration with increase of the neurological symptoms, thought to be caused by a frantic mobilization and redistribution of Cu which results in high Cu level in the brain and in the blood. AD, Alzheimer's disease; CSF, cerebrospinal fluid; Cu, copper; MCI, mild cognitive impairment; Non-Cp, non-ceruloplasmin; PET, positron emission tomography; ULN, upper limit of normal; WD, Wilson's disease.

in the CSF can be envisaged as an additional process that might restrain the increase in labile Cu if the inward Cu flux in the AD brain would be considered an enduring condition, mirroring WD, resulting in a continuous supply of the Cu brain reservoir.

Of note, Cu dysregulation also affects Fe metabolism via crosstalk with ceruloplasmin as the protein manages both metal ions [2].

In contrast to WD, there is no evidence of a general drop of ceruloplasmin serum levels in AD [80]. However, reduced activity [82] has been reported, also in association with non-Cp Cu excess [83]. This phenomenon might be explained by loss of functional Cu sites required for iron oxidation, or by oxidation-induced changes such as asparagine deamination of ceruloplasmin occurring with aging [84]. In support of this view, variant alleles in the functional single

nucleotide polymorphisms (SNPs) *ATP7B* rs732774 and rs1061472 [5], and still unknown *ATP7B* variants might contribute to the global susceptibility to AD [85], also altering the Fe/Cu balance and triggering cell death. According to these new findings, a shift from bound to labile Cu may be pathogenic in several ways:

- 1) By its participation in uncontrolled redox-cycling reactions, Cu- $\text{A}\beta$ complex promotes oxidative stress: Cu- $\text{A}\beta$ has the ability to produce reactive oxygen species (ROS) on its own, [86] due to an ill-controlled environment, while Cu in enzymes, such as Cu,Zn SOD, have a tightly controlled coordination sphere and thus participate in ROS detoxification. Hence, Cu- $\text{A}\beta$ complex participates in oxidative stress via

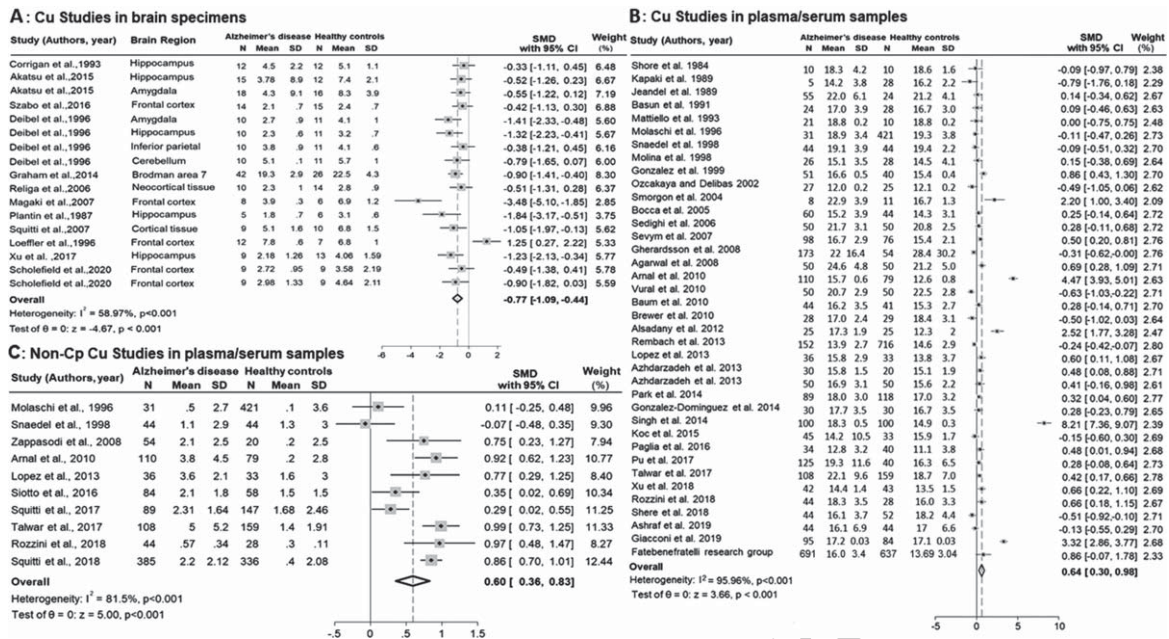


Fig. 4. Meta-analyses of Cu in AD. The table depicts standardized mean difference (SMD) computed from studies performed in AD patients and healthy controls on Cu brain specimens ($\mu\text{g/g}$; A); Cu serum/plasma levels ($\mu\text{mol/L}$; B); and serum non-Cp Cu ($\mu\text{mol/L}$; C). SMDs between patients and controls are represented by squares, whose sizes are proportional to the sample size of the relative study. The whiskers represent the 95% confidence interval (CI). The diamond represents the pooled estimate based on the random-effects model, with the center representing the point estimate and the width the associated 95% CI. A) Results indicate that AD subjects had lower levels of Cu in the brain than healthy controls [SMD = -0.77 (95% CI -1.09, -0.44); $p < 0.001$]; there was substantial heterogeneity among the included studies ($I^2 = 58.97\%$; $p < 0.001$). B) AD subjects had higher levels of Cu in serum than healthy controls [SMD = 0.64 (95% CI 0.30, 0.98); $p < 0.001$]; there was considerable heterogeneity among the included studies ($I^2 = 95.96\%$; $p < 0.001$). Studies from the Fatebenefratelli research group [83, 106, 141, 142] were pooled together and considered as a single study. C) Results indicate that AD subjects had higher levels of non-Cp Cu than healthy controls (SMD = 0.60 (95% CI 0.36, 0.83); $p < 0.001$); there was considerable heterogeneity among the included studies ($I^2 = 81.5\%$; $p < 0.001$).

Fenton-type chemistry, driving the generation of ROS formation, including the highly toxic hydroxyl radical ($\text{HO}\bullet$), a hallmark of both AD [86], and aging of the human brain [32];

- 2) By reducing energy production in mitochondria. Cu loss may impair the electron transport chain function (cytochrome C oxidase requires Cu) and deplete neuronal energy. Loss of Cu from SOD-1 impairs oxidative stress defenses with an impact on aging and mitochondrial efficiency. Conversely, abnormal accumulation of labile Cu can increase ROS generation and damage mitochondria [16, 48];
- 3) By affecting the aggregation of amyloid peptides [29] with possible stabilization of oligomeric species [87], as well as increasing intracellular accumulation of phosphorylated tau [88], even though only *in vitro* evidence have been collected so far;
- 4) By altering synaptic function: labile Cu is released in the synaptic cleft (up to 100 $\mu\text{mol/L}$)

and may have a dual role at the glutamatergic synapse: labile Cu can inhibit the activity of the glutamate receptor NMDA (N-methyl-D-aspartate), thereby protecting neurons from glutamatergic excitotoxicity (extreme stimulation of glutamatergic signaling that leads to neuronal cell death [89]), or catalyze Fenton-type reaction thus producing ROS. Furthermore $\text{A}\beta\text{PP}$, α -synuclein and PrP have been proposed to modulate neurotransmission as buffering proteins that control Cu(II) within the synaptic space [48];

- 5) By affecting neuroinflammation: excess Cu can drive abnormal pro-inflammatory microglial activation; alternately, limiting Cu can lead to impaired early (beneficial) responses of microglia and astrocytes [90];
- 6) By accelerating advanced glycation end-products (AGEs) formation. AGE formation is a known feature of AD. Fe and Cu accelerate AGEs formation that also promotes protein

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glycooxidation. AGEs damage the arterial walls in diabetes and facilitate progressive Cu-trapping. In AD, most of the A β in plaques is found in the form of AGEs [91, 92].

- 7) By affecting the immune system: Cu, both directly and via its relationship with Zn²⁺, plays a role also in the immune response [93–95]. Infection history of bacterial and viral pathogens is increasingly considered involved in AD pathogenesis [24, 95–97]: steady surge in the evidence linking AD with *Porphyromonas gingivalis* infection is largely attributed to many preclinical studies—also associated with the formation of extracellular A β ₄₂ in young adult wild type mice [96]—seminal systematic reviews and some epidemiological studies [98]. There is also growing evidence that Cu toxicity, among the chemical mechanisms, is used as a weapon by the immune system [99, 100]. Although not fully understood, these roles reinforce and complement the pathways previously described.

OBSERVATIONAL DATA SUPPORTING THE CuAD HYPOTHESIS

The extent of Cu imbalance in AD can be appreciated through meta-analysis of the multiple AD studies performed on Cu in serum/plasma and brain specimens from 1984 till July 2020 (Fig. 4). The meta-analysis showed decreased values of Cu in the brain (pooled total of 182 AD and 166 healthy controls, Fig. 4A), coexisting with increased values of non-Cp Cu in the blood (pooled total of 985 AD and 1325 healthy controls, Fig. 4C), a phenomenon that can explain the reported increased serum values of total Cu (pooled total of 2,749 AD and 3,394 healthy controls, Fig. 4B). On average, data extrapolated from the literature indicate a decrease of Cu in the brain by about 24% and an increase of Cu in the blood by about 7%, while non-Cp Cu doubles, consistent with an overall Cu imbalance that supports our Hypothesis of a shift away from functional protein-bound Cu to pools of loosely bound metal ions (i.e., non-Cp Cu) that is toxic and should almost certainly have a biological effect. Interestingly, these alterations resemble those occurring in WD (Table 2) [2]. Some WD-like Cu-related alterations are present in a subset of AD patients who can be set apart from the general pool of AD subjects by taking into account non-Cp Cu levels that are higher than 1.6 μ mol/L, the designed cut-off

(Table 2) [2]. CuAD patients, when compared to AD individuals, show distinct electroencephalographic (EEG)-derived cortical brain rhythms, further supporting the idea of an AD subtype characterized by Cu abnormalities. Moreover, CuAD individuals display less severe burden of global atrophy, and increased frequency of *ATP7B* rs732774 and rs1061472 [2].

MAIN CHALLENGES FOR THE CuAD HYPOTHESIS

In recent years, we have acquired new experimental and theoretical tools to address several of the challenges of the Hypothesis, even though a lot of questions remain open.

Addressing current knowledge gaps in metal imbalance: future experiments and validation studies

Meta-analysis is a quantitative, formal, epidemiological study design tool employed to systematically assess the results of previous research and infer conclusions about that body of research. Future meta-analysis studies focused on Zn and Fe in human serum/plasma and brain specimens may expand further the imbalance of these two metals that act in close synergy with Cu, i.e., via ceruloplasmin and MTs [29].

Another gap relies in the exact role played by the genes encoding for enzymes/transporters that control Cu balance. The metal gene scouting of patients exhibiting Cu imbalance, using a sequencing hypothesis-driven approach can be a valid tool to disentangle their real prevalence in the disease, weighing for the relative frequencies of more and less pathogenic (and penetrant) variants. Our Hypothesis posits that variants in *ATP7B* and other gene pertaining to the Cu pathway may infer a percentage of the risk of sporadic AD [85]. Previous genome-wide association studies [101], failed to identify a significant association between AD and the above-mentioned SNPs in the *ATP7B* chromosomal region. This can be at least partly explained by the tendency of genome-wide association studies to be less effective in detecting these types of multiple rare variants, yet these are variants plausibly exist as they are required to account for the missing heritability of complex diseases, as discussed elsewhere for the *ATP7B* gene [85].

We also expect that chemical and biochemical studies focused on the interplay between Cu and A β

will continue to expand our knowledge of the link between these two molecular AD drivers. We expect Cu to bind to many peptides with moderate affinity as those reported for A β (in the nM range) due to flexible environment provided by peptides. However, A β is a known Cu-binding peptide in amyloid plaques and tends to localize in the synapses where the pathology primarily occur, thereby supporting a possible (although still hypothetical) Cu-A β relationship *in vivo* [29, 102]. Developing Cu-targeting molecules can also document, although indirectly, the relation between A β and Cu.

Disease progression and biomarkers

A key, and still unmet challenge in AD is the identification of biomarkers for early diagnosis or for patient stratification as a personalized medicine approach aimed to *stratify individuals for tailoring the right therapeutic strategy for the right person at the right time* [103]. Metabolic defects are among the first events in AD, according to current biomarker models [104], with early glucose utilization and positron emission tomography anomalies, followed later by tau dysfunction. We propose that metal imbalance may be part of this sequence of events. However, the temporal dynamics remain largely unexplored. It is still unclear if metal ion dyshomeostasis precedes amyloid pathology as well as other AD-related abnormalities. To monitor metal imbalance, we assume a continuous disease progression from a state in which almost all Cu is bound as functional pools to a state in which more Cu becomes “free” and toxic (e.g., non-Cp Cu and labile Cu). Longitudinal studies evaluating A β pathology together with metal biomarkers such as non-Cp Cu, Cu/Cp ratio, Cu/SOD-1, and MTs levels are warranted. Along this line, alterations of ceruloplasmin levels in the CSF have been shown to predict cognitive decline and brain atrophy in people with underlying A β pathology [105]. Furthermore, previous studies reported that non-Cp Cu is a stratification biomarker [106], and can be employed as a prognostic biomarker for conversion from mild cognitive impairment (MCI) to symptomatic AD [2]. On this basis non-Cp Cu can serve as an inclusion criterion for eligibility assessment in early clinical trials testing anti-Cu based therapy.

Treatment

The ultimate challenge in AD is to treat causally. Mirroring WD, a Zn-based therapy could be used

to correct the Cu imbalance: a proof-of-concept phase II clinical in MCI trial using non-Cp Cu > than 1.6 $\mu\text{mol/L}$ as an inclusion criterion for eligibility is started in April 2021 (ZINCAiD, EudraCT 2019-000604-15, funded by the Alzheimer’s Association). An additional benefit associated with the administration of Zn is that the metal is a powerful driver for neurotrophic signaling and neuronal plasticity as it promoted the maturation of the brain-derived neurotrophic factor (BDNF) from pro-BDNF through the activation of Zn-dependent Matrix metalloproteinases [107, 108]. It has also become clear that it is important to leave the Zn in place and hence in chelation therapy targeting weakly and A β -bound Cu, selective Cu chelators are required [109, 110]. Other approaches envisage the normalization of brain cell Cu homeostasis through the development of targeted Cu delivery drugs. High-affinity, cell-permeable Cu chelators have the potential to enter brain cells and interfere with normal metal homeostasis [111]. The major challenge of any such therapeutics is to control and fine-tune the localization of effects like, for instance, avoiding unwanted stripping of Cu from essential proteins or mitochondria or the delivery of Cu to amyloid.

Despite plausible answers to these critical questions, the new Hypothesis is not able to provide a definitive answer for the selective vulnerability that implies an anatomical brain region specificity associated with the syndrome, although we note that the hippocampus is one of the most vulnerable brain regions, particularly fragile to ischemia insults [112] and tends to be enriched in metal ions and in cytochrome C oxidase that is located in the two thirds of molecular layer dental gyrus. The same holds for the pattern of progression of the disease and for how and when the clinical manifestations become related to the pathophysiology; these questions remain hypothetical at this point and substantial work is required to explore them.

LINKAGE TO OTHER MAJOR THEORIES

We have argued that metal ion and amyloid dyshomeostasis are manifestations of the same underlying etiology. As we discussed above, they are, in fact, strongly intertwined because of the key interaction between A β PP/A β and Cu and Zn. As metal ions need to be tightly controlled, it is not surprising that the delicate A β PP/A β balance is a connecting link between the Amyloid and the Metal Ion Hypotheses.

756 *The Metal Hypothesis*

757 As previously reported, A β has the ability to bind
758 Cu and *in vivo* Cu is bound to A β in the amyloid-
759 plaques (Fig. 1) [30], and mutations in the
760 genes involved in its buildup and processing, A β PP,
761 PSEN1/PSEN2 coalesce with other molecular deter-
762 minants to favor the disease development. We
763 propose that mutations in these risk genes would
764 disturb the metal-buffering A β PP/A β system, which
765 implies both loss and gain of function risks [29, 113].

766 *The Amyloid Hypothesis*

767 The self-assembly of A β has been regarded as
768 an important process in AD etiology and the ori-
769 gin of the toxicity has shifted from the amyloid
770 plaques to intermediate-size aggregates via a vari-
771 ety of mechanisms [114]: Cu might stabilize such
772 oligomeric species [87, 115]. This might provide such
773 an explanation of the failure of clinical trials aiming
774 at eliminating the peptide since the disappearance of
775 amyloid plaques could drive the equilibrium between
776 deposits and the soluble state towards the oligomeric
777 species regarded as more toxic.

778 *Relation to tau, prions, α -synuclein, and TDP-43*

779 Tau dysfunction severely affects many key aspects
780 of neuronal functioning like axonal transport, mito-
781 chondria respiration, synapse integrity and protein
782 turnover [116]. Cu can also contribute to Tau
783 pathology. In that regard, Cu dysfunction alters tau
784 phosphorylation through several mechanisms and
785 primarily by chronic Cu exposure that accelerates
786 tau phosphorylation by inducing hydrogen peroxide
787 production [117].

788 Cu is also involved in prion-like mechanisms of
789 disease progression. The PrP is a glycoprotein located
790 at synapses. PrP^{Sc} is the aggregated form and consid-
791 ered the agent of prion disease [118]. PrP can bind
792 up to five Cu(II) atoms, and the Cu(II)-PrP complex
793 facilitates PrP internalization within the cell as well
794 as PrP conversion to PrP^{Sc}. At glutamatergic synapses,
795 the Cu-PrP complex modulates neurotransmission
796 and also binds to A β [48]. Furthermore, Cu can affect
797 α -synuclein functioning, the protein is localized at
798 the synapse, and Cu²⁺, via oxidative stress, can con-
799 tribute to its oligomerization and fibrillation as well
800 as the formation of Lewy's bodies [119].

801 Finally, TDP-43 is a pathological protein asso-
802 ciated with sporadic amyotrophic lateral sclerosis,

frontotemporal lobar degeneration with ubiquitinated
803 inclusions (FLTD-U), and the Limbic-predominant
804 Age-related TDP-43 Encephalopathy, or LATE, a
805 newly discovered form of dementia [120]. The
806 abnormal C-terminal fragments of TDP-43 are ubiq-
807 uitinated, hyperphosphorylated, and accumulate as
808 cellular inclusions in neurons and glia, thereby
809 helping the production of neurodegenerative pro-
810 cesses. Changes in metal homeostasis of Zn, Cu, and
811 Mn (manganese) have been described in the TDP-
812 43A315T mouse model that exhibits increased metal
813 levels, specifically in the spinal cord [121].
814

The aging factor

815 Accumulation of Cu, as well as Zn and Fe, upon
816 aging, can affect a variety of concurring pathogenic
817 pathways, including the antioxidant stress defense,
818 synaptic plasticity, vesicular transport, and mito-
819 chondrial function [32]. Age driven loss of function is
820 implied in the Hypothesis from improper recruit-
821 ment of Cu and Zn into the key protein SOD-1, the
822 scavenging enzyme protecting cells from oxidative
823 metabolism byproduct generated by mitochondria
824 and one of the few proteins known to enhance rodent
825 life span [122–124]. Impaired anti-aging defenses,
826 exemplified by direct loss of SOD-1 function, is an
827 appealing, simple etiology that immediately includes
828 the age risk factor [9, 29].
829

830 Our hypothesis may also help to find a causative
831 link between disease progression and oxidative stress:
832 In addition to the loss of SOD-1 function, labile Cu
833 can also directly trigger oxidative stress by Fenton-
834 type reactions, and thus the proposed shift from
835 functional protein-bound Cu to labile Cu is a two-
836 edged sword against oxidative stress balance, a key
837 feature of aging. The axonal terminals and secre-
838 tory granules, as well as the synaptic cleft, is highly
839 enriched with Cu (up to 100 μ mol/L) upon gluta-
840 matergic neurotransmission and is a primary and
841 early target of AD-related pathogenic mechanisms
842 [125]. These processes have been linked to non-Cp
843 Cu excess associated neurophysiological abnormali-
844 ties [2, 126].

Systemic commonalities to other diseases

845 As mentioned above, most sporadic cases of AD
846 are probably driven by a combination of neuro-
847 pathological, vascular, metabolic, and neuroinflam-
848 matory pathogenic pathways, which may have an
849 age-aggravated systemic feature in common: energy
850

deficits. Neurons are among the most energy-demanding cells in the body. Thus, if Cu and Fe are lost from cytochrome C oxidase and SOD-1, mitochondrial energy production can be severely impaired, thereby leaving less energy available for protein turnover, which could explain both the presence of various protein lesions as well as the accumulation of lysosomal proteins in AD and related diseases, but also provide a causal relationship to the ultimate culprit of AD which may be the extremely energy-demanding neuronal execution [127, 128].

The main “zero hypothesis” against our hypothesis would be that the Amyloid Hypothesis is correct and that Cu imbalance is not causative but rather a downstream consequence of a global neurodegenerative cascade. We note that there are many theories (oxidative stress, tau, amyloid, different metal ions, metabolism, inflammation) and our view is that none of them is entirely wrong or right, but reflect a complex pathology with multifaceted clinical manifestations; we expect the underlying biochemical pathways to overlap and be aggravated by aging. Cu plays a role in at least a subset of these cases but not necessarily all. A direct counterargument could be that no Cu transporters are direct genetic risk factors of AD. We argue that this is not ruling out the hypothesis because *ATP7B* variants do confer risk in a subset of patients and *AβPP/PS1* variants relate to the processing of a peptide that could be a Cu binding peptide if the hypothesis is right—indeed the work by Multhaup and coworkers suggests that *AβPP* functions as a Cu transporter so that would counter the “lack of genetic support argument” as *AβPP* variants are a main cause of familial AD [49].

In summary, the accumulated body of evidence supports the idea that metal dysregulation is a crucial player in the neurodegeneration associated with dementia, not just a consequence, although much remains to be done to explore this hypothesis further. Metal ion imbalances, energy depletion of high-energy demand neurons, oxidative stress, and protein misfolding work in concert to produce disease phenotypes (Fig. 5). Cu imbalance has a very strong and appealing explanatory power both in terms of loss of physiological function and gain of pathological function etiologies. We expect substantial individual variations in clinical presentation and etiology, depending on the pathology that first occurs and confounding risk modifiers, but the already observed strong evidence for Cu imbalance cannot reasonably be assumed to have zero effect on the already vulnerable patient, regardless of the exact contribution

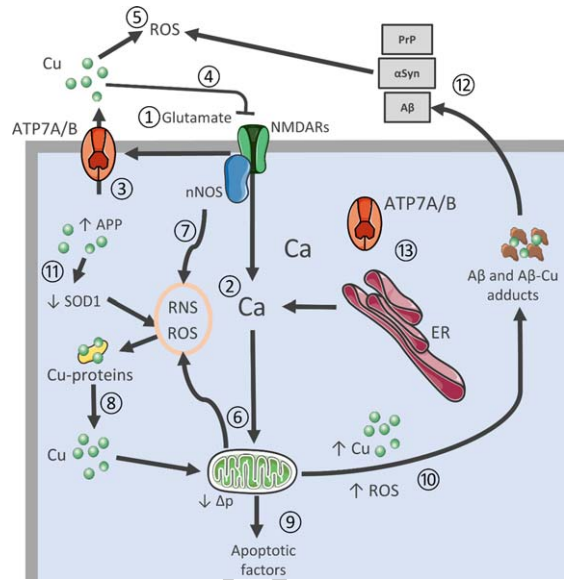


Fig. 5. Model of $A\beta$, glutamate, oxidative stress, and ionic dyshomeostasis in AD pathogenesis. The figure depicts $A\beta$, oxidative stress, excitotoxicity, and Cu^{+2+} dyshomeostasis acting synergistically to promote synaptic dysfunction and neuronal loss. Excessive glutamate builds up in the synaptic cleft (1) leads to prolonged activation of NMDA receptor, aberrant neuronal Ca^{2+} accumulation (2), and induces Cu-ATPase7A/B (ATP7A/B) translocation (3) at synapses where vesicular Cu is released. The released Cu^{2+} (in concentrations up to $100 \mu\text{mol/L}$) may inhibit NMDA receptors, thereby protecting neurons from glutamatergic excitotoxicity (4), or catalyze Fenton-type reaction, thereby promoting reactive oxygen species (ROS) generation (5). Enhanced ROS production damages proteins, lipids, nucleic acids, and eventually leads to cell death (5). Ca^{2+} overload increases superoxide anion ($O_2^{\bullet-}$) production from mitochondria (6), and nitric oxide (NO) generation via Ca^{2+} -dependent activation of NO synthase (NOS) (7). Reactive oxygen and nitrosative (RNS) species, as well as ROS, mobilize Cu from Cu-proteins [such as Atox1, metallothionein 3 (MT3)] increasing intracellular toxic Cu concentrations (8), and promoting mitochondrial dysfunction and the release of pro-apoptotic factors from the organelles (9). ROS-driven Cu mobilization from Cu-proteins and metal release from mitochondria can further aggravate oxidative stress and initiate Ab oligomerization (10). Altered trafficking of *AβPP* and/or elevated *Aβ* oligomer secretion can generate intracellular Cu^+ deficiency, thereby causing oxidative stress by loss of SOD-1 function (11). $A\beta$, α -synuclein, and PrP can modulate neurotransmission as [Cu^{2+}] buffers within the synaptic cleft or amplify the vicious cycle by further promoting ROS generation (12). Excess Non-Cp Cu in the bloodstream is a source for the buildup of labile Cu^{2+} in the interstitial space promoting ATPase7A/B translocation of Cu^{2+} into vesicles of the trans-Golgi network and endoplasmic reticulum (ER) (13). These processes critically occurring at the level of dendritic spines, within the synaptic cleft, and in the neurovascular unit, can be the *primum movens* of synaptic dysfunction, neuronal deafferentation, and eventually cell death.

to overall pathogenesis. It is our hope that future research will define this contribution much more precisely, as outlined above.

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