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Squitti, Rosanna; Faller, Peter; Hureau, Christelle; Granzotto, Alberto; White, Anthony R.; Kepp, Kasper Planeta

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## Hypothesis

# Copper Imbalance in Alzheimer's Disease and Its Link with the Amyloid Hypothesis: Towards a Combined Clinical, Chemical, and Genetic Etiology

<sup>6</sup> Rosanna Squitti<sup>a,\*</sup>, Peter Faller<sup>b</sup>, Christelle Hureau<sup>c</sup>, Alberto Granzotto<sup>d,e,f</sup>,

<sup>7</sup> Anthony R. White<sup>g</sup> and Kasper P. Kepp<sup>h</sup>

- <sup>8</sup> <sup>a</sup>Molecular Markers Laboratory, IRCCS Istituto Centro San Giovanni di Dio Fatebenefratelli, Brescia, Italy
- <sup>9</sup> <sup>b</sup>Institut de Chimie, UMR 7177, CNRS, Université de Strasbourg, Strasbourg, France
- <sup>10</sup> <sup>c</sup>LCC-CNRS, Université de Toulouse, CNRS, Toulouse, France
- <sup>11</sup> <sup>d</sup>Sue and Bill Gross Stem Cell Research Center, University of California, Irvine, Irvine, CA, USA
- <sup>12</sup> <sup>e</sup>Center for Advanced Sciences and Technology (CAST), University "G. d'Annunzio" of Chieti–Pescara, Chieti, <sup>13</sup> Italy
- <sup>14</sup> <sup>f</sup>Department of Neuroscience, Imaging, and Clinical Sciences (DNISC), Laboratory of Molecular Neurology,
- <sup>15</sup> University "G. d'Annunzio" of Chieti–Pescara, Chieti, Italy
- <sup>19</sup> <sup>g</sup>Mental Health Program, QIMR Berghofer Medical Research Institute, Queensland, Australia <sup>h</sup>Technical University of Denmark, DTU Chemistry, Denmark

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Abstract. The cause of Alzheimer's disease (AD) is incompletely defined. To date, no mono-causal treatment has so far 20 reached its primary clinical endpoints, probably due to the complexity and diverse neuropathology contributing to the neu-21 rodegenerative process. In the present paper, we describe the plausible etiological role of copper (Cu) imbalance in the disease. 22 Cu imbalance is strongly associated with neurodegeneration in dementia, but a complete biochemical etiology consistent 23 with the clinical, chemical, and genetic data is required to support a causative association, rather than just correlation with 24 disease. We hypothesize that a Cu imbalance in the aging human brain evolves as a gradual shift from bound metal ion pools, 25 associated with both loss of energy production and antioxidant function, to pools of loosely bound metal ions, involved in 26 gain-of-function oxidative stress, a shift that may be aggravated by chemical aging. We explain how this may cause mito-27 chondrial deficits, energy depletion of high-energy demanding neurons, and aggravated protein misfolding/oligomerization 28 29 to produce different clinical consequences shaped by the severity of risk factors, additional comorbidities, and combinations with other types of pathology. Cu imbalance should be viewed and integrated with concomitant genetic risk factors, aging, 30 85 metabolic abnormalities, energetic deficits, neuroinflammation, and the relation to tau, prion proteins,  $\alpha$ -synuclein, TAR DNA binding protein-43 (TDP-43) as well as systemic comorbidity. Specifically, the Amyloid Hypothesis is strongly intertwined 86 with Cu imbalance because amyloid- $\beta$  protein precursor (A $\beta$ PP)/A $\beta$  are probable Cu/Zn binding proteins with a potential 33 role as natural Cu/Zn buffering proteins (loss of function), and via the plausible pathogenic role of Cu-AB. 34

Keywords: Alzheimer's disease, amyloid- $\beta$ , amyloid- $\beta$  protein precursor, ATP7B, copper, dementia, meta-analysis, Wilson's disease

<sup>\*</sup>Correspondence to: Rosanna Squitti, Molecular Markers Laboratory, IRCCS Istituto Centro San Giovanni di Dio- Fatebenefratelli, 25125 Brescia, Italy. Tel.: +39 030 3501725; Fax: +39 030 3501592; E-mail: rosanna.squitti@afar.it.

#### 37 INTRODUCTION

In Alzheimer's disease (AD) and dementia in gen-38 eral, a change is needed to overcome the current 39 dogmatic view of the disease and achieve a better 40 understanding of its etiology to develop effective 41 treatments [1]. AD is a multifactorial condition in 42 which amyloid- $\beta$  (A $\beta$ ) accumulation and misfolding 43 of other proteins converge with many other genetic, 44 environmental, vascular, metabolic, and inflamma-45 tory factors promoting the disease state [2]. In this 46 complex interplay, aging constitutes the leading risk 47 factor and the orchestrator of the neurodegenerative 48 process [1]. 49

The objective of this hypothesis article is to pro-50 vide a focused overview of the role of copper (Cu) as 51 supported by preclinical, genetic, biochemical, clini-52 cal, epidemiological, and meta-analytic data, and its 53 relation to aging, and to discuss a consistent biochem-54 ical etiology of the disease. Our hypothesis posits 55 that age-aggravated Cu imbalance involves a gradual 56 shift from protein-bound metal ion pools, associated 57 with both loss of energy production and antioxidant 58 function [3], to pools of loosely bound metal ions 59 that produce gain-of-function oxidative toxicity con-60 tributing to dementia risk. The proposed mechanism 61 is particularly relevant in a subset of individuals, 62 defined as CuAD, in which Cu dysregulation is mod-63 ulated by ATP7B genetic risk variants [4-7]. 64

While the dysregulation of calcium (Ca<sup>2+</sup>), zinc (Zn<sup>2+</sup>), iron (Fe<sup>2+/3+</sup>), and Cu<sup>+/2+</sup> has been known 65 66 for decades to associate with the (onset and) pro-67 gression of AD [8], we propose an updated model 68 centered on processes deriving from the shift of 69 Cu from strongly protein-bound pools with essential 70 function, to loosely-bound toxic pools [9]. We will 71 also discuss cellular mechanisms of metal-related 72 brain damage occurring at different sites (glia, neu-73 rons, synapses) as well as metal-related alteration of 74 Aβ metabolism. 75

# 76 BRIEF HISTORY OF ALZHEIMER'S 77 DISEASE ETIOLOGY

Recent studies have shown that many neurodegen-78 erative determinants act synergistically to produce 79 neuronal loss, which in AD ends with severe cognitive 80 and behavioral impairment [10]. A brief description 81 of AD, its histopathological and biochemical man-82 ifestations, the history of the disease etiology, and 83 the emerging role of metal ion imbalance, is reported 84 herein. 85

AD affects approximately 30–35 million people worldwide (50 million dementia cases, with 60–70% being AD cases) [11]. The disease produces a gradual deficit of episodic memory; deficits are then extended to all the cognitive domains, and behavioral disorders eventually ensue, thereby deeply impacting on activities of daily living. The detrimental effects of AD span beyond the diagnosed individual and pose challenges also to caregivers and healthcare providers.

The histopathological hallmarks of AD include neurofibrillary tangles of hyperphosphorylated tau protein common to many diseases, and extracellular insoluble deposits of senile plaques consisting of metal-enriched, oxidized, and various isoforms of A $\beta$ . There is broad consensus that age is the primary risk factor for AD onset, making developed countries with a high life expectancy particularly vulnerable to a dementia outbreak [12], while the pathology is emerging in developing countries with a dramatic incidence.

Although the disease has a multifactorial etiology there are causal genetic risk factors of AD. AB is generated by the proteolytic cleavage of the amyloid- $\beta$  protein precursor (A $\beta$ PP), a protein involved in important physiological functions like synapse maturation, neural plasticity, and metal-export activity [13]. Fully penetrant mutations on the APP and on the PSEN1 and PSEN2 genes, which encode for the catalytic subunit of the  $\gamma$ -secretase enzyme that produces A  $\beta$  from A  $\beta$  PP, strongly contribute to the early-onset, familial form of AD (<65 years) (see [14] for a recent review of the topic). Genetics also play a role in the late-onset, sporadic forms of dementia. In that regard, harboring the APOE4 allele, encoding the Apolipoprotein E4 isoform involved in cholesterol and A $\beta$  metabolism, is a common high genetic risk factor in individuals > 65 years [15].

Growing evidence supports the notion that many patients present with neuropathological heterogeneity and that mixed neuropathology is typical of the cognitive decline [10]. Thus, dementia results from person-specific combinations of many molecular determinants that work in synergy to produce different clinical entities, modulated by additional comorbidities including, but not limited to, cardiovascular disorders, Type 2 diabetes, and dyslipidemia. Accordingly, more effort is required to explore the molecular granularity of dementia subtypes. For instance, besides the different array of neurotoxic proteins [A $\beta$ , tau, prion proteins (PrP),  $\alpha$ -synuclein, TAR DNA binding protein-43 (TDP-43)], the AD brain is also characterized by bioenergetic 114

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abnormalities, oxidative stress, inflammation, Ca
dyshomeostasis, and heterogeneously disturbed Zn,
Fe, and Cu levels [2, 16, 17].

Drugs have been mainly developed within the 141 Amyloid Hypothesis. The construct posits that the 142 aberrant accumulation of AB assemblies is the critical 143 initial step of the AD process [18]. The hypothe-144 sis is supported by genetic risk factors of familial 145 AD (PSEN1/2 and APP genes) as well as preclini-146 cal studies [19]. Therapeutic efforts have focused on 147 either limiting the AB accumulation and/or forma-148 tion of toxic oligomers by antibodies or the peptide 149 production by enzyme inhibitors or modulators, but it 150 appears clear that addressing a single molecular deter-151 minant is not a sufficient approach [20]. Indeed, no 152 mono-causal treatment has so far reached its primary 153 clinical endpoints, and only one has just been granted 154 food and drug administration (FDA) approval in 2021 155 (aducanumab, based on the early version of the Amy-156 loid Cascade Hypothesis assuming that senile plaques 157 are pathogenic); critics claim that this lack of success 158 is because the Amyloid Hypothesis is too simplistic 159 [21, 22]. 160

The scenario is further complicated by several 161 studies arguing for a protective role played by AB 162 deposits. Amyloid enriched plaques can be, in fact, 163 envisioned as net traps in which toxic oligomeric 164 species [23], infectious agents [24], or dysregulated, 165 and thus cytotoxic metals are entrapped [25-28]. It 166 is well-established that plaques contain substantial 167 amounts of essential trace metals such as Zn, Fe, and 168 Cu and that metal transport and storage protein [i.e., 169 metallothioneins (MTs), ferritin, Zn transporters] are 170 consistently affected in AD [2, 29]. In addition, chem-171 ical and biochemical studies have demonstrated that 172 AB is a metallopeptide and that  $Cu^{2+}$  (as well as other 173 biologically relevant metal ions, like Zn and  $Fe^{2+/3+}$ ) 174 binding dramatically changes the peptide aggregation 175 propensity, structure, and toxicity [29-31]. There is 176 broad consensus that AB has a well-defined medium-177 affinity Cu-binding site (K<sub>d</sub>~0.1 nM) [29, 30]. Taken 178 together, metal ion imbalance seems to be a cen-179 tral player of AD etiology and in a close but not 180 completely understood relationship with the Amyloid 181 Hypothesis. 182

#### **183 THE CUAD HYPOTHESIS**

The brain is particularly sensitive to aging; our
 Hypothesis stems from the assumption that aging pro duces, among other disrupting processes, oxidative

stress [32], which is enhanced by the dysregulation of metal ions and particularly to Cu imbalance [2, 29]. We also explore the concept that some AD individuals are particularly susceptible to disturbances in Cu ion balance, a phenomenon that accelerates neuronal aging, increases the cost of neuronal maintenance, exhausts neurons and thereby reduce the energy available for their primary function as 50% of the neuronal energy budget is spent on cognitive processing [9].

The Metal Ion Hypothesis [8] stresses that the 197 homeostasis of d-transition metals like Zn, Fe, and Cu 198 is perturbed in AD, and the process plays a contribut-199 ing causative role, rather than being a side pheno-200 menon [2, 8]. Here, we sharpen this hypothesis into 201 a specific etiology for Cu. The primary role played 202 by the metal is in line with the Amyloid Hypoth-203 esis, since ABPP/AB are well-established Cu (and 204 Zn) binding proteins (in vitro) [29, 30] (Fig. 1). 205 The importance of Cu dysregulation is supported by 206 recent studies showing the different activity played by 207 the diverse species (bound and non-bound to proteins) 208 of peripheral Cu [2] as well as by growing genetic evi-209 dence [33]. About 75-95% of total serum Cu binds 210 strongly and inertly to ceruloplasmin, while about 211 5-10% circulates in a weaker and more labile form, 212 being exchanged among various protein compounds 213 [34]. On this basis, these Cu complexes have been 214 defined as non-ceruloplasmin Cu (non-Cp Cu), a clin-215 ical biomarker applied to Wilson's disease (WD), a 216 paradigmatic disorder of Cu toxicosis and accumula-217 tion [35]. Non-Cp Cu has been historically defined as 218 'free' Cu, yet really 'free' Cu does not exist (it is prob-219 ably at the attomolar levels at best). This non-Cp Cu 220 pool is likely superimposable with the exchangeable 221 Cu-pool, i.e., the pool that exchanges with added Cu 222 (radioactive tracer or stable isotope) or can be with-223 drawn with a chelator such as EDTA in minutes/hours 224 time frame [36]. The main constituent of this non-Cp 225 pool is serum albumin [36]. It has a N-terminal Cu-226 binding site with a K<sub>d</sub> of 0.1 pM [37] and Cu can 227 be removed with a stronger chelator in minutes to 228 hours [38]. Thus Cu(II)-binding is moderately strong 229 and kinetically labile compared to Cp [39]. The ter-230 minology of non-Cp Cu is tissue specific and refers 231 to serum/plasma. It represents the primary species 232 responsible for Cu transport from blood into the brain, 233 crossing the blood-brain barrier (BBB) [40]. To main-234 tain the link with its historical and clinical application 235 in WD, we will use the term non-Cp Cu referring to 236 serum/plasma and 'labile' Cu for the same biological 237 entity in the brain.

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Fig. 1. Examples of structurally established Cu- and Zn-binding sites in A $\beta$ PP and A $\beta$ . A) Zn<sup>2+</sup> site in E2 domain of A $\beta$ PP (3UMI) and zoom on the Zn<sup>2+</sup> binding site (made of three His residues and one water molecule). B) Cu-Binding Domain (CuBD) of A $\beta$ PP (2FK1) and zoom on the Cu<sup>2+</sup> binding site (made of two His, one Tyr residues, and two water molecules). C) Zn<sup>2+</sup> binding site in A $\beta$  (made of two His residues). D) Cu<sup>2+</sup> binding site in A $\beta$  (made of two His residues, the N-terminal amine and the adjacent CO form the peptide bond). Green dot: Zn<sup>2+</sup>, purple dot: Cu<sup>2+</sup> (models proposed based on spectroscopic studies as recently reviewed in [102]).

## Cu IN PHYSIOLOGY: FOCUS ON THEBRAIN

Cu is an essential metal necessary for brain devel-240 opment and physiology. Severe Cu deficiencies are 241 associated with immune, cardiac, bone, and cen-242 tral nervous system conditions, whereas Cu chronic 243 excess is primarily associated with liver damage. Like Fe, Cu is a transition metal, that can transfer electrons 245 and, as a redox catalyst, is necessary for the activity of 246 many enzymes. Cells make use of Cu for mitochon-247 dria respiration, blood cell line maturation, immune 248 responses, wound healing, myelin sheath formation, 249 and it is an important mediator for neurotrans-250 mitter synthesis and synaptic activity modulation 251 [41]. 252

Cu balance is determined by the rates of dietary 253 absorption from food, supplements, drinking water 254 and other beverages, and excretion through bile and 255 stools [2]. Cu absorption, distribution, and home-256 ostasis in the brain are tightly controlled, with the 257 neurovascular unit and the BBB playing an essential 258 role in the process (Fig. 2). Human Cu trans-259 porter 1 (hCTR1), Cu-transporting P-type ATPase 7A 260 (ATPase7A), and ATPase7B Cu-transporting P-type 261 (ATPase 7B) regulate brain Cu levels. The choroid 262

*plexus* harvests and releases Cu in the cerebrospinal fluid (CSF), the fluid that surrounds the brain (range between 0.5 and 2.5  $\mu$ mol/L). In rat *choroid plexus*, Cu is up-taken from the non-Cp Cu(II)-pool in the blood into the brain in its ionic Cu(I) form. Hence a reduction step from Cu(II) to Cu(I) is needed [40]. hCTR1 transports Cu(I) from the bloodstream to endothelial cells and astrocytes. On the contrary, ATPase7A and ATPase7B extrude Cu from endothelial cells to the interstitial fluid or the bloodstream, respectively. ATPase7B also contributes to Cu loading into glycosylphosphatidylinositol-linked ceruloplasmin (GPI-Cp), thereby keeping intracellular Cu concentrations under control [2, 42] (Fig. 2).

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#### Cu DYSHOMEOSTASIS: HUMAN GENETIC DISORDERS AND COMPLEX DISEASES

Mutations in the genes encoding for proteins involved in the Cu pathway results in several hereditary diseases (Table 1). Menkes disease, typified by Cu deficiency, and WD, featured by Cu excess, are caused by mutations in *ATP7A* and gene



Fig. 2. Mechanisms of Cu absorption and distribution in physiology. The pictogram illustrates a concise overview of Cu metabolism in humans. Small intestine absorption (left box). Cu ingested through the diet is absorbed by small intestine enterocyte. The integral membrane protein hCTR1 imports Cu. The metal is then loaded onto Cu-dependent enzymes via several chaperone proteins (not shown). ATPase7A (ATP7A) pumps Cu out of the enterocyte basolateral membrane. Cu, bound to albumin,  $\alpha 2$  macroglobulin, or amino acids, is then transported to the liver through the portal vein. Liver absorption (middle box). The liver plays an essential role in Cu storage, metabolism, and distribution. hCTR1 promotes hepatocytes Cu uptake. In the liver, ATPase7B (ATP7B), the homologue of enterocyte ATPase7A, incorporates Cu into ceruloplasmin (Cp). Under physiological conditions 85–95% of total Cu is bound to Cp. Cp-Cu is then released into the bloodstream for systemic distribution. 10-15% of Cu is released in bloodstream as non-Cp Cu. Brain metabolism (right box). The intersection between the blood-brain barrier (BBB) and the neurovascular unit (NU) is critical for brain Cu regulation. In close analogy with the small intestine and the liver, hCTR1 controls Cu absorption into endothelial cells and astrocytes. Conversely, ATPase7B and ATPase7B regulate metal efflux from endothelial cells to the interstitial fluid or the blood flow, respectively. Within the astrocytic feet, ATPase7B loads Cu into glycosylphosphatidylinositol-linked ceruloplasmin (GPI-Cp), a process that is instrumental for controlling intracellular Cu concentrations. The pump is also involved in Cu extrusion from astrocytes for metal distribution to neurons.

*ATP7B*, respectively. These human genetic disorders of Cu transport reveal the importance of maintaining an appropriate Cu homeostasis and provide insight into abnormalities in the Cu pathway in AD (Fig. 3).

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Abnormalities in Cu, ceruloplasmin, and non-Cp Cu levels are also associated to several complex diseases, spanning from myocardial infarction, stroke, cardiovascular death [43], heart failure [44], ischemic heart disease [45], acute aortic dissection [46], and diabetes mellitus type 2 [47].

#### Aβ DYSREGULATION, AND Cu TARGETING TREATMENTS IN AD

Many findings indicate that Cu dyshomeostasis plays a critical part in AD [2, 48]. The metal has a direct role in amyloid pathology by promoting Aβ aggregation. On the other hand, Cu sequestration by plaques may render the cation unavailable for key biological functions [27]. This scenario is further complicated by Cu-related loss and gain of function mechanisms.

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Copper disease	Gene (inheritance)	Protein function	Cu status	Symptoms
Wilson's disease [129]	ATP7B (autosomal recessive inheritance)	Cu transporter/ metallochaperone	Low levels of ceruloplasmin, low level serum Cu, high levels of non-Cp Cu	Jaundice, dystonic rigidity dysarthria, dysphagia, fatigue, tremor
MENDIK Syndrome [130]	AP1S1 (autosomal recessive inheritance)	Trafficking of ATP7aseA or ATPase7B	Low levels of serum Cu and ceruloplasmin	Brain atrophy, mental retardation enteropathy, deafness, keratoderma, peripheral neuropathy, ichthyosis, and cholestatic hepatopathy
Menkes disease [131]	ATP7A (X-linked recessive inheritance)	Cu transporter/ metallochaperone	Low levels of serum Cu and ceruloplasmin	Intellectual disability and developmental delay, seizures, lack of muscle tone, floppiness, kinky hair
Occipital Horn Syndrome [132]	ATP7A (X-linked recessive inheritance)	Cu transporter/ metallochaperone	Low levels of serum Cu and ceruloplasmin	Cutis laxa, coarse hair, cerebral calcification exostoses, hyperextensible skin, mild cognitive deficits, global developmental delay, and loose joints
Huppke-Brendel Syndrome [133]	SLC33A1 (autosomal recessive inheritance)	Acetyl CoA transporter protein	Very low serum Cu and ceruloplasmin levels	Cataracts, developmental delay, cerebral atrophy, hypacusis, hearing loss, and nystagmus
X-Linked Distal Hereditary Motor Neuropathy [134]	ATP7A (X-linked recessive inheritance)	ATPase7A	Low levels of serum Cu and ceruloplasmin	Weakness of distal muscles, motor neuron syndrome, muscle atrophy, and abnormal sensory examination affected peripheral nerves
Infantile Cardioen- cephalomyopathy with severe deficiency of cytochrome C oxidase in heart, brain, and muscle [135]	SCO2 (SCO1) (autosomal recessive inheritance)	Metallochaperones involved in the assembly and Cu delivery to the catalytic core (CuA site) of cytochrome C oxidase, complex IV of the mitochondrial respiratory chain and result in cytochrome C oxidase deficiency	Severe Cu deficiency	Abnormalities in the nervous system, heart, and skeletal muscle (including Leigh syndrome), hypertrophic cardiomyopathy, lactic acidosis, stridor with ventilator insufficiency, and a spinal muscular atrophy

Table 1 Hereditary diseases associated to genes encoding for proteins involved in Cu pathway

An early and seminal discovery was that Cu can 306 enhance AB-driven oxidative stress [49], and pro-307 mote AB aggregation under conditions of acidic pH (i.e., <pH 6) [49]. At physiological pH, Cu can also inhibit the Zn-mediated aggregation of AB by competing with Zn for the peptide histidine residues [50]. Furthermore, A $\beta$  can trigger neurotoxic effects 312 by promoting deficits of intracellular Cu ([Cu]<sub>i</sub>). 313 Very importantly, it has been found that ABPP, 314 from which  $A\beta$  is produced, can bind Cu(I) and 315 Cu(II) with picomolar affinity in vitro [51]. ABPP-316 KO mice exhibit increased Cu levels in the cerebral 317 cortex, whereas the over-expression of ABPP leads 318 to significantly reduced brain levels of Cu in a pre-319 clinical model of AD [52]. Moreover, Cu levels can 320 also significantly affect the neuronal redox state, 321 thereby indicating a pathogenic link between AB dys-322 metabolism, oxidative stress, and Cu dyshomeostasis 323 [53]. 324

In line with the Amyloid Hypothesis, β-site AβPPcleaving enzyme (BACE1), the enzyme that catalyzes the rate-limiting step in the amyloidogenic processing of A $\beta$ PP, binds strongly to the Cu Chaperone for Superoxide Dismutase (CCS) [54]. CCS is the chaperone that delivers Cu to superoxide dismutase-1 (SOD-1) a Cu-dependent cytosolic scavenging enzyme; therefore, high levels of BACE1, by binding to CCS, can decrease the amount of CCS available for SOD1 activation [55], thereby reducing the antioxidant capability of neurons.

A Cu-enriched diet was initially reported to increase the brain metal levels and counteract the decreased SOD-1 activity observed in ABPP transgenic mice [56]. In the same transgenic animals, Cu treatment lowers brain AB production long before the induction of detectable reductions in AB plaques, implying that ABPP functions/assists in Cu export [56]. However, a phase II clinical

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Fig. 3. Model of differences in Cu (red dots) pathway among normal. Wilson's, Menkes, and Alzheimer's disease. Under healthy conditions, a biological system (black rectangle, e.g., a cell, an organism or organ) needs Cu bound to proteins (target protein, purple) for essential functions. An uptake and secretion system assures the correct Cu concentration in the system and correct Cu trafficking (plain arrows). In Wilson's disease, the secretion is reduced. An accumulation of Cu in the system occurs and Cu ions bind to non-target proteins (hollow green square), where it gains function, e.g., Cu catalyzed production of reactive oxygen species (ROS). In Menkes disease, Cu deficiency occurs due to impaired Cu-uptake. No Cu arrives on the target proteins and a loss of essential function is observed. In AD, Cu uptake and secretion seem to be less affected and keep a certain control over total bulk Cu-content of the system. But a Cu-imbalance occurs in the system, by moving Cu from target proteins (loss of essential function) to pools of loosely bound Cu ions (gain of toxic function, e.g., ROS production). The therapeutic approach would be to re-equilibrate by transferring Cu back to the essential Cu-target proteins.

trial supplying AD patients with Cu (Cu(II)-orotate-344 dihydrate; 8 mg Cu daily) failed to meet its primary 345 outcomes [57]. Treatment with the Cu/Zn ionophore clioquinol inhibited amyloid plaque formation [58]. PBT2, a second generation Cu/Zn binding molecule intended to prevent Cu-facilitated AB aggregation has been tested in a phase II clinical trial that met its primary endpoints of safety and tolerability and showed a reduction of AB in the CSF and beneficial effect in a subset of cognitive tests [59, 60]. A recent phase II molecular imaging study evaluating AB levels in PBT2-treated patients did not show beneficial effects on amyloid deposition [61]. The study was underpowered, and the smaller placebo group showed large variability, and unexpectedly remain stable over the 12-month observation period. This precluded evaluation towards the efficacy of the drug.

Together, these studies suggest that ABPP is in-362 volved in Cu transport [62], and that Cu promotes 363 amyloid aggregation, but that exogenic Cu control 364 has little effect on Cu balance, likely because the 365 Cu homeostatic machinery is quite robust. Still, Cu 366

levels in the brain can be altered long-term as implied 367 by a study taking into account the different species 368 of peripheral Cu [63]. Preclinical models suggest 369 that chronic exposure affects balance and cognitive 370 decline [64]. This dynamic implies a causal connec-371 tion first demonstrated by seminal studies employing 372 dietary based experimental models [63, 65, 66], then 373 confirmed in more recent similar studies [64, 67-69] 374 and further confirmed by AD transgenic models 375 employing the same paradigm (review in [70]). The 376 paradigm consists of 9-12 months exposure to 1.3 377 ppm Cu in drinking water that raises non-Cp Cu 378 in bloodstream [63, 64, 67, 69] and in brain cap-379 illary, thus mimicking the non-Cp Cu excess noted 380 in AD patients (Fig. 3), and resulting in cognitive 381 deterioration [63-69] (recently review in [71]). The 382 parallel with preclinical models is particularly evi-383 dent for a subset of AD patients [61], typified by 384 non-Cp Cu levels higher than 1.6 µmol/L and with 385 an increased frequency of ATP7B rs1061472 and 386 rs732774 (reviewed in [48]) that affect ATPase7B 387 protein amount and reduce its trafficking in response 388 to high Cu levels [5]. 389

Previous results on the toxic effect of Cu on AB 300 plaques and learning deficits [66, 72] reinforced 391 the association between the production of cognitive 392 impairments and the presence of excess non-Cp Cu 393 as shown by previous clinical studies in AD patients 394 (reviewed in [2]). The discovery that genetic vari-395 ants of ATP7B, the gene encoding for ATPase7B, 306 a Cu pump located in hepatocytes and endothelial 397 cells of the BBB, are involved in AD, unraveled a 398 more complex scenario [33]. The ATPase7B pump 399 is essential for proper Cu homeostasis (reviewed in 400 [48]) and defects in the process can lead to a buildup 401 of non-Cp Cu in the blood and its transport across the 402 BBB [28, 63], and activate cell-damaging oxidative 403 events in the brain [73]. This mechanism is centered 404 on the shift towards a prevalent fraction of Cu that 405 is not firmly bound to proteins, and in this form, 406 promotes cytotoxic effects [48]. We believe that the 407 clinical, biochemical, and genetic data cited above 408 are consistent with this etiology. 409

# 410 CHEMICAL FORMALIZATION OF THE 411 CUAD HYPOTHESIS

The data indicate that Cu-related dysregulation in 412 a subset of AD patients manifests as a shift towards 413 a labile (and weaker bound) Cu pool that is made 414 available outside neurons and non-neuronal cells. 415 Reduced total Cu levels in the brain are associ-416 ated with the soluble fraction (bound strongly and 417 inertly to proteins), while its content within insoluble 418 plaques is increased [27, 74, 75], as well as that of 419 labile Cu [73]. The Hypothesis has been chemically 420 formalized by using a location-dependent Cu dissoci-421 ation constant  $(K_{dc})$  that identifies the shift from the 422 pool of functional Cu that is strongly bound to pro-423 teins to pools of loosely bound, toxic Cu (e.g., non-Cp 424 Cu and labile Cu) [48]. This shift has strong causal 425 implications as discussed below. We also note that 426 partial pathways, e.g., Cu deficiency resembling only 427 the loss of function pathway, or Cu toxicity represent-428 ing mainly the gain of function pathway, is consistent 429 with our hypothesis under some conditions (Fig. 3). 430 In this sense, the Cu-Hypothesis by G.J Brewer may 431 represent a source of some of the gain of function 432 etiology via exogenous oxidized Cu(II) excess [76, 433 77], as supported by some studies linking Cu excess 434 to cholesterol and amyloid pathology in rabbit and 435 mice [63, 66]. 436

<sup>437</sup> Cu brain deficiency may involve deposition of Cu <sup>438</sup> outside the neuron together with amyloid sorting

and segregation within the lipid rafts [78], or excess Zn as illustrated by some studies [2]. Furthermore, ischemic episodes in the brain might trigger mechanisms of exporting Cu from the brain to the blood mediated by COMMD1 as recently depicted in myocardial infarction [45]. However, the main hypothesis explaining Cu imbalance as it emerges from the meta-analysis data (Fig. 3) is consistent with WD. AD and WD have diverse etiologies with WD being a monogenic, Cu-dependent disease [79]. Complex diseases, however, such as AD, have a global susceptibility that is influenced by genetic heterogeneity. The heterogeneity can explain 'portions' of susceptibility. The evidence that genetic variants identified on ATP7B gene are statistically associated with an increased AD risk [4] in a subset of patients [4, 7], is consistent with a genetic heterogeneity in AD that might explain Cu susceptibility.

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## IMPLICATIONS OF NON-CP Cu EXCESS

The Hypothesis posits that an age-driven Cu imbal-458 ance resulting in a gradual shift from protein-bound 459 metal ion pools to pools of loosely bound metal ions 460 drives a bloodstream non-Cp Cu excess in AD [48]. 461 Non-Cp Cu is bound with moderate affinity ( $K_d \sim 0.1$ 462 pM) and relatively labile mainly to albumin, but also 463  $\alpha$ 2-macroglobulin, peptides (45 kDa proteins with 464 unknown identity referred as small Cu carriers), and 465 amino acids and exchanged among them [2]. This Cu 466 can be redox-active, and if expanded (>  $1.6 \mu mol/L$ ) 467 becomes toxic, crossing the BBB, as exemplified in 468 WD (Fig. 3) [2, 35]. In AD patients, levels of non-Cp 469 Cu reach values that are commonly found in WD [80] 470 (Table 2), and the amount of Cu in the CSF appears 471 modulated by the non-Cp Cu concentrations (1 µM 472 non-Cp Cu accounted for 0.03 µM increase of Cu 473 content in the CSF [28]). We can then hypothesize 474 a blood-to-brain inward flux of Cu, fueled by non-475 Cp Cu that can diffuse [40, 81] or be transported 476 across the BBB by Cu transporter hCTR1, and by 477 ATPase7A (labile Cu) and an opposite brain-to-blood 478 outward flux driven by ATP7aseB or by ABPP, based 479 on ABPP properties as a regulator of neuronal Cu 480 homeostasis [62]. The Cu efflux associated to ABPP 481 function could in principle contribute to the reduc-482 tion of A $\beta$  concentrations in the CSF [62], and to the 483 decreased levels of Cu observed in the brain (Fig. 4). 484 Aß buffering for Cu labile excess—binding and pre-485 cipitating the metal in amyloid plaques-removing 486 it from the CSF [25–28], and decreasing A $\beta$  levels 487

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Biochemical features/clinical traits	WD	Cu AD subset of patients
Non-Cp Cu in serum; normal reference range: 0.1–1.6 µM	> 1.6 µmol/L; a cut-off of 2.3 µmol/L is diagnostic of probable WD; a cut-off of 3.9 µmol/L is diagnostic of WD [136]	>1.6 μmol/L; a cut-off of 1.61–2.4 μM is supportive of MCI and AD patients with CuAD subtype [2, 80]
ATP7B gene variants	more than 700 <i>ATP7B</i> variations are disease-causing mutations; additional 800 SNPs have been described [136]	Increased frequencies of the functional SNPs rs732774 and rs1061472 and of rs1801243, rs2147363, rs7334118 associated with an increased risk of AD [5]
Ceruloplasmin; normal reference range: 23–50 mg/dL	<20 mg/dL is suggestive of probable WD [136]	Decreased values of ceruloplasmin specific activity associated with an increased risk of AD [83]
Apo-ceruloplasmin <sup>a</sup> fragmentation	Increased values	Increased values in CSF [137] and in serum [138]
Liver disease	Highly variable, ranging from asymptomatic, with only biochemical abnormalities, to hepatic cirrhosis [136]	Biochemical abnormalities: decreased values of albumin, longer prothrombin time associated with Non-Cp Cu [139]
Cu excretion in the urine; normal reference range: $<40 \mu g/24 h$	>40 µg/24 h (ULN) [136]	AD patients have 24 h urinary excretion higher than healthy control [80]
D-penicillamine test <sup>b</sup> ; Cut-off 200 µg/24 h	87% [80]	78% [80]
Kayser-Fleischer rings <sup>c</sup>	Present in 44-62% [136]	Present in an AD patient positive to <sup>11</sup> C-labeled Pittsburgh Compound-B PET and [ <sup>18</sup> F] fluorodeoxyglucose PET [140]
Paradoxical effect under D-penicillamine treatment <sup>d</sup>	Present in 10-20% WD [136]	Present in < 50% [2]
Copper in the brain	Preclinical model of WD Long Evans Cinnamon rat, and toxic milk show decreased or normal levels of Cu in the brain coexisting with Non-Cp Cu excess in the bloodstream and excess labile Cu in the brain [2]	AD have 20% increase in brain labile Cu, coexisting with an overall decreased level of Cu in the AD brain [73], and Non-Cp Cu excess (Fig. 4)

Table 2 subset of Alzheimer's disease patients shares biochemical features/clinical traits with Wilson's disease

<sup>a</sup>Apo-ceruloplasmin: The incorrect loading of Cu into nascent hepatic ceruloplasmin because of *ATP7B* mutations causing a defective ATPase7B protein generates the inactive serum apo-form of ceruloplasmin that is rapidly fragmented. <sup>b</sup>D-penicillamine challenge test is a screening test for asymptomatic WD patients (generally in pediatric age); cut-off of  $200 \mu g/24 h$  (5x ULN). <sup>c</sup>Kayser-Fleischer rings: Cu deposition in the Descemet's membrane of the cornea pathognomonic of WD. <sup>d</sup>Paradoxical effect upon D-penicillamine treatment: serious "iatrogenic" deterioration with increase of the neurological symptoms, thought to be caused by a frantic mobilization and redistribution of Cu which results in high Cu level in the brain and in the blood. AD, Alzheimer's disease; CSF, cerebrospinal fluid; Cu, copper; MCI, mild cognitive impairment; Non-Cp, non-ceruloplasmin; PET, positron emission tomography; ULN, upper limit of normal; WD, Wilson's disease.

in the CSF can be envisaged as an additional process that might restrain the increase in labile Cu if the inward Cu flux in the AD brain would be considered an enduring condition, mirroring WD, resulting in a continuous supply of the Cu brain reservoir.

Of note, Cu dysregulation also affects Fe metabolism via crosstalk with ceruloplasmin as the protein manages both metal ions [2].

In contrast to WD, there is no evidence of a general drop of ceruloplasmin serum levels in AD [80]. However, reduced activity [82] has been reported, also in association with non-Cp Cu excess [83]. This phenomenon might be explained by loss of functional Cu sites required for iron oxidation, or by oxidationinduced changes such as asparagine deamination of ceruloplasmin occurring with aging [84]. In support of this view, variant alleles in the functional single nucleotide polymorphisms (SNPs) *ATP7B* rs732774 and rs1061472 [5], and still unknown *ATP7B* variants might contribute to the global susceptibility to AD [85], also altering the Fe/Cu balance and triggering cell death. According to these new findings, a shift from bound to labile Cu may be pathogenic in several ways:

 By its participation in uncontrolled redoxcycling reactions, Cu-Aβ complex promotes oxidative stress: Cu-Aβ has the ability to produce reactive oxygen species (ROS) on its own, [86] due to an ill-controlled environment, while Cu in enzymes, such as Cu,Zn SOD, have a tightly controlled coordination sphere and thus participate in ROS detoxification. Hence, Cu-Aβ complex participates in oxidative stress via

A : Cu Studies in brain specimens								B: Cu Studies in plasma/serum samples													
Study (Authors, year)	Brain	Region	A	Izheim N	er's dis Mean	ease SD	Healthy N Mei	controls in SD		SMD with 95% CI	Weight (%)	<sup>t</sup> Study (Authors, year)	Alzh	eimer's d Mean 3	isease	Health	hy controls Mean SD			SMD We with 95% CI (9	hight %)
Corrigan et al.,1993	Hippoc	ampus		12	4.5	2.2	12 5.	1 1.1	-100-	0.33 [-1.11, 0.45]	6.48	Shore et al. 1984	10	19.2 4	2 1	0 1	196 16	11		0.09 ( 0.97 0.79) 3	2 28
Akatsu et al.,2015	Hippoc	ampus		15	3.78	8.9	12 7.	4 2.1	-8-	-0.52 [-1.26, 0.23]	6.67	Kapaki et al. 1989	5	14.2 3	8	8 1	16.2 2.2			-0.79 [-1.76 0.18] 2	2 29
Akatsu et al.,2015	Amygd	lala		18	4.3	9.1	16 8.	3 3.9	-8-	-0.55 [-1.22, 0.12]	7.19	Jeandel et al. 1989	55	22.0 6	1	4 2	21.2 4.1	- 41		0.14 [-0.34, 0.62] 2	2.67
Szabo et al.,2016	Fronta	I cortex		14	2.1	.7	15 2	4 .7	-100-	-0.42 [-1.13, 0.30]	6.88	Basun et al. 1991	24	17.0 3	.9	8 1	16.7 3.0	÷		0.09 [-0.46, 0.63] 2	2.63
Deibel et al., 1996	Amygd	lala		10	2.7	.9	11 4.	1 1		-1.41 [-2.33, -0.48]	5.60	Mattiello et al. 1993	21	18.8 0	2 1	0 1	18.8 0.2	-++		0.00 [-0.75, 0.75] 2	2.48
Deibel et al., 1996	Hippod	ampus		10	2.3	.6	11 3.	2 .7	-*+	-1.32 [-2.23, -0.41]	5.67	Molaschi et al. 1996	31	18.9 3	4 43	1 1	19.3 3.8	+!		-0.11 [-0.47, 0.26] 2	2.73
Deibel et al., 1996	Inferior	r parietal		10	3.8	.9	11 4.	1.6	-100	-0.38 [-1.21, 0.45]	6.16	Snaedel et al. 1998	44	19.1 3	.9 4	14 1	19.4 2.2	+		-0.09 [-0.51, 0.32] 2	2.70
Deibel et al., 1996	Cereb	ellum		10	5.1	.1	11 5.	7 1	-*-	-0.79 [-1.65, 0.07]	6.00	Molina et al. 1998	26	15.1 3	.5 2	8 1	14.5 4.1	ť		0.15 [-0.38, 0.69] 2	2.64
Graham et al.,2014	Brodm	an area	7	42	19.3	2.9	26 22	5 4.3	-18-	-0.90 [-1.41, -0.40]	8.30	Gonzalez et al. 1999	51	16.6 0	.5 4	10 1	15.4 0.4	17		0.86 [ 0.43, 1.30] 2	2.70
Religa et al.,2006	Neoco	rtical tiss	ue	10	2.3	1	14 2	8.9	-30-	-0.51 [-1.31, 0.28]	6.37	Ozcakaya and Delibas 2002	27	12.0 0	.2 3	15 1	12.1 0.2	*1		-0.49 [-1.05, 0.06] 2	2.62
Magaki et al.,2007	Fronta	l cortex		8	3.9	.3	6 6.	9 1.2		-3.48 [-5.10, -1.85]	2.85	Smorgon et al. 2004	8	22.9 3	.9 1	1 1	16.7 1.3	•	-	2.20 [ 1.00, 3.40] 2	2.09
Plantin et al., 1987	Hippod	campus		5	1.8	.7	6 3.	1.6		-1.84 [-3.17, -0.51]	3.75	Bocca et al. 2005	60	15.2 3	.9 4	14 1	14.3 3.1	ň		0.25 [-0.14, 0.64] 2	2.72
Squitti et al.,2007	Cortica	al tissue		9	5.1	1.6	10 6.	8 1.5		-1.05 [-1.97, -0.13]	5.62	Sedigni et al. 2006	50	21.7 3	.1 !	0 7	20.8 2.5	ň		0.28 [-0.11, 0.68] 2	2.72
Loeffler et al., 1996	Frontal	I cortex		12	7.8	.6	7 6.	8 1		1.25 [ 0.27, 2.22]	5.33	Gerardsson et al. 2008	98	16.7 2	.9	6 1	15.4 2.1	1		0.50 [ 0.20, 0.81] 2	2.76
Xu et al. ,2017	Hippod	ampus		9	2.18 1	26	13 4.0	6 1.59		-1.23 [-2.13, -0.34]	5.77	Ananyal et al. 2008	173	22 16	4	4 2	28.4 30.2	1		-0.31 [-0.62,-0.00] 2	2.76
Scholefield et al.,2020	Frontal	cortex		9	2.72	95	9 3.5	8 2.19		-0.49 [-1.38, 0.41]	5.78	Amal et al. 2010	50	24.6 4	8 3	0 1	21.2 5.0	1		0.69 [ 0.28, 1.09] 2	2.71
Scholefield et al.,2020	Frontal	l cortex		9	2.98 1	33	9 4.6	4 2.11		-0.90 [-1.82, 0.03]	5.59	Vural et al. 2010	110	15.7 0		9 1	12.6 0.8	-li		4.47 [ 3.93, 5.01] 2	2.63
Overall									\$	-0.77 [-1.09, -0.44]	Ĕ.	Baum et al. 2010	50	20.7 2	.9		15 2 2 7	-U		-0.63 [-1.03,-0.22] 2	2.71
Heterogeneity: I <sup>2</sup> = 58.97	%, p<0.0	001							1			Brewer et al. 2010	28	17.0 7			19.4 21	-0		0.28 [-0.14, 0.71] 2	2.70
Test of 0 = 0: z = -4.67, p	< 0.001								1			Alsadany et al. 2012	25	173 1	9	5	123 2		-	-0.50 [-1.02, 0.05] 2	2.04
-												Rembach et al. 2013	152	13.9 2	7 7	6 1	146 29	11		0.24 [0.42.0.07] 2	2.90
C: Non-Cp Cu St	udies	in pla	sma	/sei	rum s	am	ples	-6	4 2 0	2		Lopez et al. 2013	36	15.8 2	9	13 1	13.8 3.7	1.		0.60 [ 0.11 1.08] 2	2.67
	Alzhe	imer's c	liseas	e He	althy	ont	rols			SMD V	Veight	Azhdarzadeh et al. 2013	30	15.8 1	5	0 1	15.1 1.9	4		0.48 ( 0.08 0.88) 2	2 71
Study (Authors, year)	N	Mean	SD	N	Met	n	SD			with 95% Cl	(%)	Azhdarzadeh et al. 2013	50	16.9 3	1 1	0 1	15.6 2.2	- H-		0.41 60 16 0.981 2	2.61
		moun						_	1		(10)	Park et al. 2014	89	18.0 3	.0 1	8 1	17.0 3.2	8		0.32 [ 0.04, 0.60] 2	2.77
Molaschi et al., 1996	31	.5	2.7	421	· .	1	3.6			0.11 [ -0.25, 0.48]	9.96	Gonzalez-Dominguez et al. 2014	30	17.7 3	5 3	10 1	16.7 3.5	÷.		0.28 [-0.23, 0.79] 2	2.65
Snaedel et al., 1998	44	1.1	2.9	44	1.3	3	3 -		- :	-0.07 [ -0.48, 0.35]	9.30	Singh et al. 2014	100	18.3 0	.5 10	10 1	14.9 0.3	1		** 8.21 [7.36, 9.07] 2	2.39
Zappasodi et al., 2008	54	2.1	2.5	20	6 3	2	2.5			0.751 0.23, 1.271	7.94	Koc et al. 2015	45	14.2 1	0.5	13 1	15.9 1.7	- 11		-0.15 [-0.60, 0.30] 2	2.69
Amal et al 2010	110	3.8	45	70		,	28			0921 062 1231	10 77	Pagila et al. 2016	34	12.8 3	.2 4	10 1	11.1 3.8	lf -		0.48 [0.01, 0.94] 2	2.68
Find Crui, 2010		0.0	4.0				2.0		1	0.021 0.02, 1.20]	0.10	Talwar et al 2017	125	19.3 1	1.6	0 1	16.3 6.5	Ū.		0.28 [-0.08, 0.64] 2	2.73
Lopez et al., 2013	30	3.0	2.1	33	1.0	2	3			0.77[ 0.29, 1.25]	8.40	Xu et al. 2018	42	14.4 1	4 4	13 1	135 15	C.		0.42 [0.17, 0.66] 2	2.78
Siotto et al., 2016	84	2.1	1.8	58	1.3	>	1.5			0.35 [ 0.02, 0.69]	10.34	Rozzini et al. 2018	44	18.3 3	5 3	8	16.0 3.3	4		0.66 [0.12, 1.10] 2	2.67
Squitti et al., 2017	89	2.31	1.64	147	1.68	3 2	.46	H	•—;	0.29 [ 0.02, 0.55]	11.25	Shere et al. 2018	44	16.1 3	7 1	2	18.2 4.4	• i		-0.51 [-0.92 -0.10] 2	2.71
Talwar et al., 2017	108	5	5.2	159	1.4	\$ 1	.91			0.99 [ 0.73, 1.25]	11.33	Ashraf et al. 2019	44	16.1 6	.9 4	14	17 6.6	+		-0.13 [-0.55, 0.29] 2	2.70
Rozzini et al., 2018	44	.57	.34	28	1 .3	3	.11			- 0.97 [ 0.48, 1.47]	8.27	Giacconi et al. 2019	95	17.2 0.	03 1	14 1	17.1 0.03	- li -	*	3.32 [2.86, 3.77] 2	2.68
Squitti et al., 2018	385	2.2	2.12	336		1 2	.08		-181-	0.86 [ 0.70, 1.01]	12.44	Overall	691	16.0 3	4 6	37 1	3.69 3.04	-		0.86 [-0.07, 1.78] 2	2.33
Overall									$\diamond$	0.60 [ 0.36, 0.83]		Heterogeneity: 12 = 95.96%, p<0.00	1					Y		0.64 [0.30, 0.98]	
Heterogeneity: 12 = 81	% n<0	001							T			Test of 0 = 0: z = 3.66, p < 0.001						1			
Test of 0 = 0; z = 5 00									1												
105000 = 0; Z = 5.00,	p~0.001						_			7							-5	0	5	10	
							5	0	.5 1	1.5		A		-							

Fig. 4. Meta-analyses of Cu in AD. The table depicts standardized mean difference (SMD) computed from studies performed in AD patients and healthy controls on Cu brain specimens ( $\mu$ g/g; A); Cu serum/plasma levels ( $\mu$ mol/L; B); and serum non-Cp Cu ( $\mu$ mol/L; C). SMDs between patients and controls are represented by squares, whose sizes are proportional to the sample size of the relative study. The whiskers represent the 95% confidence interval (CI). The diamond represents the pooled estimate based on the random-effects model, with the center representing the point estimate and the width the associated 95% CI. A) Results indicate that AD subjects had lower levels of Cu in the brain than healthy controls [SMD = -0.77 (95% CI -1.09, -0.44); p < 0.001]; there was substantial heterogeneity among the included studies ( $I^2 = 58.97\%$ ; p < 0.001). B) AD subjects had higher levels of Cu in serum than healthy controls [SMD = 0.64 (95% CI 0.30, 0.98); p < 0.001]; there was considerable heterogeneity among the included studies ( $I^2 = 95.96\%$ ; p < 0.001). Studies from the Fatebenefratelli research group [83, 106, 141, 142] were pooled together and considered as a single study. C) Results indicate that AD subjects had higher levels of non-Cp Cu than healthy controls (SMD = 0.60 (95% CI 0.36, 0.83; p < 0.001); there was considerable heterogeneity among the included studies ( $I^2 = 81.5\%$ ; p < 0.001).

Fenton-type chemistry, driving the generation of ROS formation, including the highly toxic hydroxyl radical (HO•), a hallmark of both AD [86], and aging of the human brain [32];

- By reducing energy production in mitochondria. Cu loss may impair the electron transport chain function (cytochrome C oxidase requires Cu) and deplete neuronal energy. Loss of Cu from SOD-1 impairs oxidative stress defenses with an impact on aging and mitochondrial efficiency. Conversely, abnormal accumulation of labile Cu can increase ROS generation and damage mitochondria [16, 48];
- By affecting the aggregation of amyloid peptides [29] with possible stabilization of oligomeric species [87], as well as increasing intracellular accumulation of phosphorylated tau [88], even though only *in vitro* evidence have been collected so far;
- By altering synaptic function: labile Cu is released in the synaptic cleft (up to 100 μmol/L)

and may have a dual role at the glutamatergic synapse: labile Cu can inhibit the activity of the glutamate receptor NMDA (N-methyl-D-aspartate), thereby protecting neurons from glutamatergic excitotoxicity (extreme stimulation of glutamatergic signaling that leads to neuronal cell death [89]), or catalyze Fentontype reaction thus producing ROS. Furthermore  $A\beta PP, \alpha$ -synuclein and PrP have been proposed to modulate neurotransmission as buffering proteins that control Cu(II) within the synaptic space [48];

- By affecting neuroinflammation: excess Cu can drive abnormal pro-inflammatory microglial activation; alternately, limiting Cu can lead to impaired early (beneficial) responses of microglia and astrocytes [90];
- 6) By accelerating advanced glycation endproducts (AGEs) formation. AGE formation is a known feature of AD. Fe and Cu accelerate AGEs formation that also promotes protein

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glycoxidation. AGEs damage the arterial walls in diabetes and facilitate progressive Cutrapping. In AD, most of the A $\beta$  in plaques is found in the form of AGEs [91, 92].

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7) By affecting the immune system: Cu, both di-567 rectly and via its relationship with  $Zn^{2+}$ , plays 568 a role also in the immune response [93–95]. 569 Infection history of bacterial and viral patho-570 gens is increasingly considered involved in 571 AD pathogenesis [24, 95-97]: steady surge in 572 the evidence linking AD with Porphyromonas 573 gingivalis infection is largely attributed to 574 many preclinical studies-also associated with 575 the formation of extracellular  $A\beta_{42}$  in young 576 adult wild type mice [96]-seminal systematic 577 reviews and some epidemiological studies [98]. 578 There is also growing evidence that Cu toxic-579 ity, among the chemical mechanisms, is used 580 as a weapon by the immune system [99, 100]. 581 Although not fully understood, these roles rein-582 force and complement the pathways previously 583 described. 584

## OBSERVATIONAL DATA SUPPORTING THE CUAD HYPOTHESIS

The extent of Cu imbalance in AD can be appre-587 ciated through meta-analysis of the multiple AD 588 studies performed on Cu in serum/plasma and brain 589 specimens from 1984 till July 2020 (Fig. 4). The 590 meta-analysis showed decreased values of Cu in the 591 brain (pooled total of 182 AD and 166 healthy con-592 trols, Fig. 4A), coexisting with increased values of 593 non-Cp Cu in the blood (pooled total of 985 AD and 594 1325 healthy controls, Fig. 4C), a phenomenon that 595 can explain the reported increased serum values of 596 total Cu (pooled total of 2,749 AD and 3,394 healthy 597 controls, Fig. 4B). On average, data extrapolated from 598 the literature indicate a decrease of Cu in the brain by 599 about 24% and an increase of Cu in the blood by 600 about 7%, while non-Cp Cu doubles, consistent with 601 an overall Cu imbalance that supports our Hypothesis 602 of a shift away from functional protein-bound Cu to 603 pools of loosely bound metal ions (i.e., non-Cp Cu) 604 that is toxic and should almost certainly have a biolog-605 ical effect. Interestingly, these alterations resemble 606 those occurring in WD (Table 2) [2]. Some WD-like 607 Cu-related alterations are present in a subset of AD 608 patients who can be set apart from the general pool of 609 AD subjects by taking into account non-Cp Cu levels 610 that are higher than 1.6 µmol/L, the designed cut-off 611

(Table 2) [2]. CuAD patients, when compared to AD612individuals, show distinct electroencephalographic613(EEG)-derived cortical brain rhythms, further supporting the idea of an AD subtype characterized by Cu614abnormalities. Moreover, CuAD individuals display616less severe burden of global atrophy, and increased617frequency of ATP7B rs732774 and rs1061472 [2].618

### MAIN CHALLENGES FOR THE CUAD HYPOTHESIS

In recent years, we have acquired new experimental and theoretical tools to address several of the challenges of the Hypothesis, even though a lot of questions remain open.

Addressing current knowledge gaps in metal imbalance: future experiments and validation studies

Meta-analysis is a quantitative, formal, epidemiological study design tool employed to systematically assess the results of previous research and infer conclusions about that body of research. Future metaanalysis studies focused on Zn and Fe in human serum/plasma and brain specimens may expand further the imbalance of these two metals that act in close synergy with Cu, i.e., via ceruloplasmin and MTs [29].

Another gap relies in the exact role played by the genes encoding for enzymes/transporters that control Cu balance. The metal gene scouting of patients exhibiting Cu imbalance, using a sequencing hypothesis-driven approach can be a valid tool to disentangle their real prevalence in the disease, weighing for the relative frequencies of more and less pathogenic (and penetrant) variants. Our Hypothesis posits that variants in ATP7B and other gene pertaining to the Cu pathway may infer a percentage of the risk of sporadic AD [85]. Previous genomewide association studies [101], failed to identify a significant association between AD and the abovementioned SNPs in the ATP7B chromosomal region. This can be at least partly explained by the tendency of genome-wide association studies to be less effective in detecting these types of multiple rare variants, yet these are variants plausibly exist as they are required to account for the missing hereditability of complex diseases, as discussed elsewhere for the ATP7B gene [85].

We also expect that chemical and biochemical studies focused on the interplay between Cu and  $A\beta$ 

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will continue to expand our knowledge of the link 660 between these two molecular AD drivers. We expect 661 Cu to bind to many peptides with moderate affinity as 662 those reported for A $\beta$  (in the nM range) due to flexible 663 environment provided by peptides. However, AB is 664 a known Cu-binding peptide in amyloid plaques and 665 tends to localize in the synapses where the pathol-666 ogy primarily occur, thereby supporting a possible 667 (although still hypothetical) Cu-AB relationship in 668 vivo [29, 102]. Developing Cu-targeting molecules 669 can also document, although indirectly, the relation 670 between AB and Cu. 671

#### 672 Disease progression and biomarkers

A key, and still unmet challenge in AD is the 673 identification of biomarkers for early diagnosis or 674 for patient stratification as a personalized medicine 675 approach aimed to stratify individuals for tailoring 676 the right therapeutic strategy for the right person at 677 the right time [103]. Metabolic defects are among the 678 first events in AD, according to current biomarker 679 models [104], with early glucose utilization and 680 positron emission tomography anomalies, followed 681 later by tau dysfunction. We propose that metal imbal-682 ance may be part of this sequence of events. However, 683 the temporal dynamics remain largely unexplored. It 684 is still unclear if metal ion dyshomeostasis precedes 685 amyloid pathology as well as other AD-related abnor-686 malities. To monitor metal imbalance, we assume a 687 continuous disease progression from a state in which 688 almost all Cu is bound as functional pools to a state 689 in which more Cu becomes "free" and toxic (e.g., 690 non-Cp Cu and labile Cu). Longitudinal studies eval-691 uating AB pathology together with metal biomarkers 692 such as non-Cp Cu, Cu/Cp ratio, Cu/SOD-1, and MTs 693 levels are warranted. Along this line, alterations of 694 ceruloplasmin levels in the CSF have been shown to 695 predict cognitive decline and brain atrophy in people 696 with underlying A $\beta$  pathology [105]. Furthermore, 697 previous studies reported that non-Cp Cu is a strati-698 fication biomarker [106], and can be employed as a 699 prognostic biomarker for conversion from mild cog-700 nitive impairment (MCI) to symptomatic AD [2]. On 701 this basis non-Cp Cu can serve as an inclusion crite-702 rion for eligibility assessment in early clinical trials 703 testing anti-Cu based therapy. 704

#### 705 Treatment

The ultimate challenge in AD is to treat causally. Mirroring WD, a Zn-based therapy could be used

to correct the Cu imbalance: a proof-of-concept 708 phase II clinical in MCI trial using non-Cp Cu > than 709 1.6 µmol/L as an inclusion criterion for eligibility 710 is started in April 2021 (ZINCAiD, EudraCT 2019-711 000604-15, funded by the Alzheimer's Association). 712 An additional benefit associated with the administra-713 tion of Zn is that the metal is a powerful driver for 714 neurotrophic signaling and neuronal plasticity as it 715 promoted the maturation of the brain-derived neu-716 rotrophic factor (BDNF) from pro-BDNF through 717 the activation of Zn-dependent Matrix metallopep-718 tidases [107, 108]. It has also become clear that it is 719 important to leave the Zn in place and hence in chela-720 tion therapy targeting weakly and AB-bound Cu. 721 selective Cu chelators are required [109, 110]. Other 722 approaches envisage the normalization of brain cell 723 Cu homeostasis through the development of targeted 724 Cu delivery drugs. High-affinity, cell-permeable Cu 725 chelators have the potential to enter brain cells and 726 interfere with normal metal homeostasis [111]. The 727 major challenge of any such therapeutics is to con-728 trol and fine-tune the localization of effects like, for 729 instance, avoiding unwanted stripping of Cu from 730 essential proteins or mitochondria or the delivery of 731 Cu to amyloid. 732

Despite plausible answers to these critical questions, the new Hypothesis is not able to provide a definitive answer for the selective vulnerability that implies an anatomical brain region specificity associated with the syndrome, although we note that the hippocampus is one of the most vulnerable brain regions, particularly fragile to ischemia insults [112] and tends to be enriched in metal ions and in cytochrome C oxidase that is located in the two thirds of molecular layer dental gyrus. The same holds for the pattern of progression of the disease and for how and when the clinical manifestations become related to the pathophysiology; these questions remain hypothetical at this point and substantial work is required to explore them.

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#### LINKAGE TO OTHER MAJOR THEORIES

We have argued that metal ion and amyloid dyshomeostasis are manifestations of the same underlying etiology. As we discussed above, they are, in fact, strongly intertwined because of the key interaction between  $A\beta PP/A\beta$  and Cu and Zn. As metal ions need to be tightly controlled, it is not surprising that the delicate  $A\beta PP/A\beta$  balance is a connecting link between the Amyloid and the Metal Ion Hypotheses.

#### The Metal Hypothesis 756

As previously reported,  $A\beta$  has the ability to bind 757 Cu and in vivo Cu is bound to AB in the amy-758 loid-plaques (Fig. 1) [30], and mutations in the 759 genes involved in its buildup and processing, ABPP, 760 PSEN1/PSEN2 coalesce with other molecular deter-761 minants to favor the disease development. We 762 propose that mutations in these risk genes would 763 disturb the metal-buffering ABPP/AB system, which 764 implies both loss and gain of function risks [29, 113]. 765

#### The Amyloid Hypothesis 766

The self-assembly of  $A\beta$  has been regarded as 767 an important process in AD etiology and the ori-768 gin of the toxicity has shifted from the amyloid 769 plaques to intermediate-size aggregates via a vari-770 ety of mechanisms [114]: Cu might stabilize such 771 oligomeric species [87, 115]. This might provide such 772 an explanation of the failure of clinical trials aiming 773 at eliminating the peptide since the disappearance of 774 amyloid plaques could drive the equilibrium between 775 deposits and the soluble state towards the oligomeric 776 species regarded as more toxic. 777

#### Relation to tau, prions, $\alpha$ -synuclein, and TDP-43 778

Tau dysfunction severely affects many key aspects 779 of neuronal functioning like axonal transport, mito-780 chondria respiration, synapse integrity and protein 781 turnover [116]. Cu can also contribute to Tau 782 pathology. In that regard, Cu dysfunction alters tau 783 phosphorylation through several mechanisms and 784 primarily by chronic Cu exposure that accelerates 785 tau phosphorylation by inducing hydrogen peroxide 786 production [117]. 787

Cu is also involved in prion-like mechanisms of 788 disease progression. The PrP is a glycoprotein located 789 at synapses. PrPSc is the aggregated form and consid-790 ered the agent of prion disease [118]. PrP can bind 791 up to five Cu(II) atoms, and the Cu(II)-PrP complex 702 facilitates PrP internalization within the cell as well as 793 PrP conversion to PrPSc. At glutamatergic synapses, 794 the Cu-PrP complex modulates neurotransmission 795 and also binds to AB [48]. Furthermore, Cu can affect 796  $\alpha$ -synuclein functioning, the protein is localized at 797 the synapse, and  $Cu^{2+}$ , via oxidative stress, can con-798 tribute to its oligomerization and fibrillation as well 799 as the formation of Lewy's bodies [119]. 800

Finally, TDP-43 is a pathological protein associated with sporadic amyotrophic lateral sclerosis, 802

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frontotemporal lobar degeneration with ubiquitinated inclusions (FLTD-U), and the Limbic-predominant Age-related TDP-43 Encephalopathy, or LATE, a newly discovered form of dementia [120]. The abnormal C-terminal fragments of TDP-43 are ubiguitinated, hyperphosphorylated, and accumulate as cellular inclusions in neurons and glia, thereby helping the production of neurodegenerative processes. Changes in metal homeostasis of Zn, Cu, and Mn (manganese) have been described in the TDP-43A315T mouse model that exhibits increased metal levels, specifically in the spinal cord [121].

### The aging factor

Accumulation of Cu, as well as Zn and Fe, upon aging, can affect a variety of concurring pathogenic pathways, including the antioxidant stress defense, synaptic plasticity, vesicular transport, and mitochondrial function [32]. Age driven loss of function is implied in the Hypothesis from improper recruitment of Cu and Zn into the key protein SOD-1, the scavenging enzyme protecting cells from oxidative metabolism byproduct generated by mitochondria and one of the few proteins known to enhance rodent life span [122–124]. Impaired anti-aging defenses, exemplified by direct loss of SOD-1 function, is an appealing, simple etiology that immediately includes the age risk factor [9, 29].

Our hypothesis may also help to find a causative link between disease progression and oxidative stress: In addition to the loss of SOD-1 function, labile Cu can also directly trigger oxidative stress by Fentontype reactions, and thus the proposed shift from functional protein-bound Cu to labile Cu is a twoedged sword against oxidative stress balance, a key feature of aging. The axonal terminals and secretory granules, as well as the synaptic cleft, is highly enriched with Cu (up to 100 µmol/L) upon glutamatergic neurotransmission and is a primary and early target of AD-related pathogenic mechanisms [125]. These processes have been linked to non-Cp Cu excess associated neurophysiological abnormalities [2, 126].

#### Systemic commonalities to other diseases

As mentioned above, most sporadic cases of AD are probably driven by a combination of neuropathological, vascular, metabolic, and neuroinflammatory pathogenic pathways, which may have an age-aggravated systemic feature in common: energy

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deficits. Neurons are among the most energy-851 demanding cells in the body. Thus, if Cu and Fe 852 are lost from cytochrome C oxidase and SOD-1, 853 mitochondrial energy production can be severely 854 impaired, thereby leaving less energy available for 855 protein turnover, which could explain both the pres-856 ence of various protein lesions as well as the 857 accumulation of lysosomal proteins in AD and related 858 diseases, but also provide a causal relationship to the 859 ultimate culprit of AD which may be the extremely 860 energy-demanding neuronal execution [127, 128]. 861

The main "zero hypothesis" against our hypothe-862 sis would be that the Amyloid Hypothesis is correct 863 and that Cu imbalance is not causative but rather a 864 downstream consequence of a global neurodegener-865 ative cascade. We note that there are many theories 866 (oxidative stress, tau, amyloid, different metal ions, 867 metabolism, inflammation) and our view is that none 868 of them is entirely wrong or right, but reflect a 869 complex pathology with multifaceted clinical man-870 ifestations; we expect the underlying biochemical 871 pathways to overlap and be aggravated by aging. Cu 872 plays a role in at least a subset of these cases but 873 not necessarily all. A direct counterargument could 874 be that no Cu transporters are direct genetic risk fac-875 tors of AD. We argue that this is not ruling out the 876 hypothesis because ATP7B variants do confer risk in 877 a subset of patients and ABPP/PS1 variants relate to 878 the processing of a peptide that could be a Cu binding 879 peptide if the hypothesis is right-indeed the work by 880 Multhaup and coworkers suggests that ABPP func-881 tions as a Cu transporter so that would counter the 882 "lack of genetic support argument" as ABPP variants 883 are a main cause of familial AD [49]. 884

In summary, the accumulated body of evidence 885 supports the idea that metal dysregulation is a cru-886 cial player in the neurodegeneration associated with 887 dementia, not just a consequence, although much 888 remains to be done to explore this hypothesis further. 889 Metal ion imbalances, energy depletion of high-890 energy demand neurons, oxidative stress, and protein 891 misfolding work in concert to produce disease phe-892 notypes (Fig. 5). Cu imbalance has a very strong and 893 appealing explanatory power both in terms of loss 894 of physiological function and gain of pathological 895 function etiologies. We expect substantial individ-896 ual variations in clinical presentation and etiology, 897 depending on the pathology that first occurs and con-898 founding risk modifiers, but the already observed 899 strong evidence for Cu imbalance cannot reasonably 900 be assumed to have zero effect on the already vul-901 nerable patient, regardless of the exact contribution 902



Fig. 5. Model of AB, glutamate, oxidative stress, and ionic dyshomeostasis in AD pathogenesis. The figure depicts AB, oxidative stress, excitotoxicity, and Cu<sup>+/2+</sup> dyshomeostasis acting synergistically to promote synaptic dysfunction and neuronal loss. Excessive glutamate builds up in the synaptic cleft (1) leads to prolonged activation of NMDA receptor, aberrant neuronal Ca<sup>2+</sup> accumulation (2), and induces Cu-ATPase7A/B (ATP7A/B) translocation (3) at synapses where vesicular Cu is released. The released  $Cu^{2+}$  (in concentrations up to 100  $\mu$ mol/L) may inhibit NMDA receptors, thereby protecting neurons from glutamatergic excitotoxicity (4), or catalyze Fenton-type reaction, thereby promoting reactive oxygen species (ROS) generation (5). Enhanced ROS production damages proteins, lipids, nucleic acids, and eventually leads to cell death (5).  $Ca^{2+}$  overload increases superoxide anion  $(O_2^{\bullet})$  production from mitochondria (6), and nitric oxide (NO) generation via Ca<sup>2+</sup>-dependent activation of NO synthase (NOS) (7). Reactive oxygen and nitrosative (RNS) species, as well as ROS, mobilize Cu from Cu-proteins [such as Atox1, metallothionein 3 (MT3)] increasing intracellular toxic Cu concentrations (8), and promoting mitochondrial dysfunction and the release of pro-apoptotic factors from the organelles (9). ROSdriven Cu mobilization from Cu-proteins and metal release from mitochondria can further aggravate oxidative stress and initiate Ab oligomerization (10). Altered trafficking of ABPP and/or elevated Aβ oligomer secretion can generate intracellular Cu<sup>+</sup> deficiency, thereby causing oxidative stress by loss of SOD-1 function (11). AB,  $\alpha$ -synuclein, and PrP can modulate neurotransmission as [Cu<sup>2</sup> + ] buffers within the synaptic cleft or amplify the vicious cycle by further promoting ROS generation (12). Excess Non-Cp Cu in the bloodstream is a source for the buildup of labile  $Cu^{2+}$  in the interstitial space promoting ATPase7A/B translocation of Cu<sup>2+</sup> into vesicles of the trans-Golgi network and endoplasmic reticulum (ER) (13). These processes critically occurring at the level of dendritic spines, within the synaptic cleft, and in the neurovascular unit, can be the primum movens of synaptic dysfunction, neuronal deafferentation, and eventually cell death.

to overall pathogenesis. It is our hope that future research will define this contribution much more precisely, as outlined above.

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