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Diabatic decomposition perspective on the role of charge transfer and local excitations in TADF

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Thermally activated delayed fluorescence (TADF) is a phenomenon that relies on the up-conversion of triplet excitons to singlet excitons by means of reverse intersystem crossing (rISC). It has been shown both experimentally and theoretically that the TADF mechanism depends on the interplay between charge transfer and local excitations. However, the difference between the diabatic and adiabatic character of the involved excited states is rarely discussed in the literature. Here, we develop a diabaticization procedure to implement a 4-state model Hamiltonian to a set of TADF molecules. We provide physical interpretation for the Hamiltonian elements and show their dependence on the electronic state of the equilibrium geometry. We also demonstrate how vibrations affect TADF efficiency by modifying the diabatic decomposition of the molecule. Finally, we provide a simple model that connects the diabatic Hamiltonian to the electronic properties relevant to TADF and show how such relationship translates into different optimization strategies for rISC, fluorescence and overall TADF performance.

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I. INTRODUCTION

Recent research in organic light-emitting diodes (OLEDs) materials has increasingly focused on the phenomenon of thermally activated delayed fluorescence (TADF) as a means to improve device efficiency^{7,29,49,53}. This phenomenon is important because due to spin-statistics, only 25% of excitons generated upon electron-hole recombination in a device are singlet and thus emissive⁴². The remaining 75% are composed of triplet excitons that usually decay non-radiatively, constituting an efficiency loss mechanism. In this sense, the TADF mechanism comes as a possible solution for this efficiency limitation. It is based on a thermal up-conversion process in which triplet excitons are converted into singlets, allowing them to decay radiatively at a later time. Except for some rare cases^{3,11}, the first excited triplet state (T_1) is lower in energy than the first excited singlet state (S_1). Therefore, this up-conversion process, dubbed reverse intersystem crossing (rISC), is limited by the capacity of the available thermal energy to overcome the energy barrier (the singlet-triplet gap). Furthermore, as the process involves a spin flip, it also depends on a non-zero spin-orbit coupling (SOC) between the T_1 and S_1 states. These requirements put some constraints on molecular design.

Early attempts at making TADF efficient enough for OLED applications focused on a molecular design that could minimize the singlet-triplet gap^{19,48,51}. This approach has led to the ubiquitous donor-acceptor architecture, in which electron donor and electron acceptor fragments are attached in such a way as to localize orbitals in either fragment, reducing their spatial overlap and, consequently, their exchange energy. This arrangement results in S_1 and T_1 states that have strong charge transfer (CT) character and whose energy gap is minimized, as it is given by twice the exchange energy. In this sense, the understanding of TADF was initially focused on this picture of a 2-state model composed of two CT states.

An unwanted consequence of the strong CT character of the S_1 state is the low oscillator strength associated with the $S_1 \rightarrow S_0$ transition⁴⁷. Such low oscillator values, in turn, translate into low fluorescence rates, partially denying the benefits of successful triplet exciton recycling. Better emissive properties, however, are characteristic of transitions from excited states with a local excitation (LE) character. More recently, the role of such local states has come forward as fundamental to the better understanding of the TADF phenomenon, indicating that the 2-state model approach was insufficient to paint the whole picture^{10,16,22,23,28,45}. This realization resulted from experimental^{8,15,21} works that pointed at the LE character of the T_1 state in some molecules

and also from theoretical works that suggested the importance of vibronic coupling between CT and LE triplet states to explain observed rISC rates^{17,40}.

The CT and LE state labels are mostly used in literature to denote the dominant character of the adiabatic excited states. However, these states can generally have a mixed character with relative compositions that can be further modified by molecular vibrations. Therefore, a more rigorous way of discussing the CT/LE states is to treat them as diabatic representations^{14,24,33,52}, i.e. states of a well-defined electronic character that does not depend on nuclear configuration. In contrast, the adiabatic states are those that diagonalize the electronic Hamiltonian, therefore have well-defined electronic energies. Since adiabatic states can be generally written as linear combinations of some diabatic states, the latter are useful to discuss the differences in the electronic character of various potential energy surfaces.

Following these observations, a theoretical framework for describing electronic states relevant for TADF was presented in the form of a 4-state model Hamiltonian that accounted for CT and LE diabatic states⁴. This model was able to provide new insights into the rISC mechanism, but still lacked a better physical interpretation of its elements as well as a proper connection to the electronic properties relevant to the mechanism. To fill this gap it is necessary to make the bridge between a model written in terms of diabatic (CT/LE) states and molecular properties that can be obtained by means of quantum chemical calculations that are, however, usually conducted in the adiabatic representation.

The translation process between adiabatic to diabatic representation can be performed by means of a diabaticization procedure. Several diabaticization methods are available and can be roughly divided into those that start by explicitly building diabatic states and those that resort to a transformation from adiabatic to diabatic representation. Among the former are methods based on constrained density functional theory^{9,43,54,55} or Δ SCF^{25,30}. The latter type includes projection methods in which the adiabatic to diabatic transformation is performed by projecting the adiabatic states onto a set of reference diabatic states^{31,32,56}.

In this work, we make use of a projection-based diabaticization procedure that is able to extract general N -state models from time-dependent density functional theory (TD-DFT) calculations performed in the adiabatic representation for several TADF molecules. We first focus our analysis on the molecules for which 4-state models are found suitable and we explore the connections between the elements of the model Hamiltonians and the molecules' electronic properties. In addition, we analyze the effects of excited versus ground state equilibrium geometries in the models and show

its dependence on the diabatic decomposition of the electronic states. Furthermore, we consider vibration effects by applying the model to a vast sample of molecular conformations and show how vibrations act by modifying the diabatic character of the states relevant to TADF. Finally, we use the generated data to find the relationship between the elements of the model Hamiltonian and electronic properties such as the singlet-triplet gap and the oscillator strength, which allows us to uncover different optimization strategies for maximizing rISC, fluorescence and overall TADF performance.

II. METHODS

A. The 4-state and N-state models of TADF

In the simplest 2-state model of TADF, two adiabatic states S_1 and T_1 have a pure CT character and can be written as linear combinations of two spin-mixed configuration state functions $|CT1\rangle$ and $|CT2\rangle$ that differ by a spin flip of unpaired electrons. The 4-state model⁴, in contrast, comes up when one considers the possibility of adiabatic states being composed of a mixture between CT and LE diabatic states. In this case, one aims at writing the adiabatic states as a combination of two CT and two LE states with opposite spin. In this new ($|CT1\rangle, |CT2\rangle, |LE1\rangle, |LE2\rangle$) basis, the Hamiltonian has the following form

$$\mathbf{H}_d = \begin{pmatrix} 0 & K_{CT} & t & K_X \\ K_{CT} & 0 & K_X & t \\ t & K_X & \Delta E & K_{LE} \\ K_X & t & K_{LE} & \Delta E \end{pmatrix}. \quad (1)$$

The energy of the CT states is subtracted from the diagonal, which means that ΔE is the energy difference between CT and LE states. The remaining terms are couplings between the different CT and LE states: K_{CT} is the coupling between the two CT states, K_{LE} couples the two LE states while t and K_X are the couplings between CT and LE states of equal and opposite spin configurations, respectively.

The 4-state model is clearly more general than its 2-state counterpart, and can explain the role of LE states in TADF which enable appreciable rISC and fluorescence. However, it is by no means an ultimate diabatic Hamiltonian as more than a single CT or LE configuration may be present in low-lying adiabatic states of TADF molecules. Therefore, one may further extend it by including

any number N of diabatic states, in which case we may see these two aforementioned models as particular cases of a more general N -state model. The appropriate number N of states necessary to well describe a particular system could in principle vary from molecule to molecule, as these models rely on the assumption that the diabatic basis is able to span the space corresponding to some set of N adiabatic states. The challenge then becomes finding the minimal basis necessary to analyze TADF for a given molecule. Here, we present an algorithm that aims at finding such a minimal basis.

B. Diabatization Scheme

1. Defining Diabatic States

The diabatzation procedure starts by running a single point TD-DFT calculation on the donor-acceptor molecule to obtain the energies of a total of 10 singlet and triplet excited states. From the same single point calculation we also obtain the set of ground state Natural Localized Molecular Orbitals⁴⁴ (NLMO). Once this calculation is done, the NLMOs are classified as belonging either to the donor fragment, to the acceptor fragment or to the bond between the two fragments. This classification is done by first writing a vector whose components are the NLMO coefficients in the atomic orbital basis (\vec{C}_{NMLO}). This vector is then decomposed into three vectors, each corresponding to donor (\vec{C}_D), acceptor (\vec{C}_A) or bond (\vec{C}_B) contributions. The decomposition is made by setting to zero all coefficients in the NMLO vector that do not correspond to atomic orbitals of the desired fragment. As such, we have

$$\vec{C}_{NMLO} = \vec{C}_D + \vec{C}_A + \vec{C}_B \quad (2)$$

The square of the inner product between \vec{C}_{NMLO} and each individual component gives each fragment's contribution to the NLMO and the largest contribution is used to classify each orbital. Since we are dealing with molecules that follow a donor-acceptor architecture, the selection of fragments is very natural and unambiguous. For more involved architectures this classification may not be as straightforward.

The next step involves the decomposition of the adiabatic states (Ψ_i^a) in terms of the different diabatic components (Ψ_j^d).

$$|\Psi_i^a\rangle = \sum_{j=1}^4 c_{ij} |\Psi_j^d\rangle \quad (3)$$

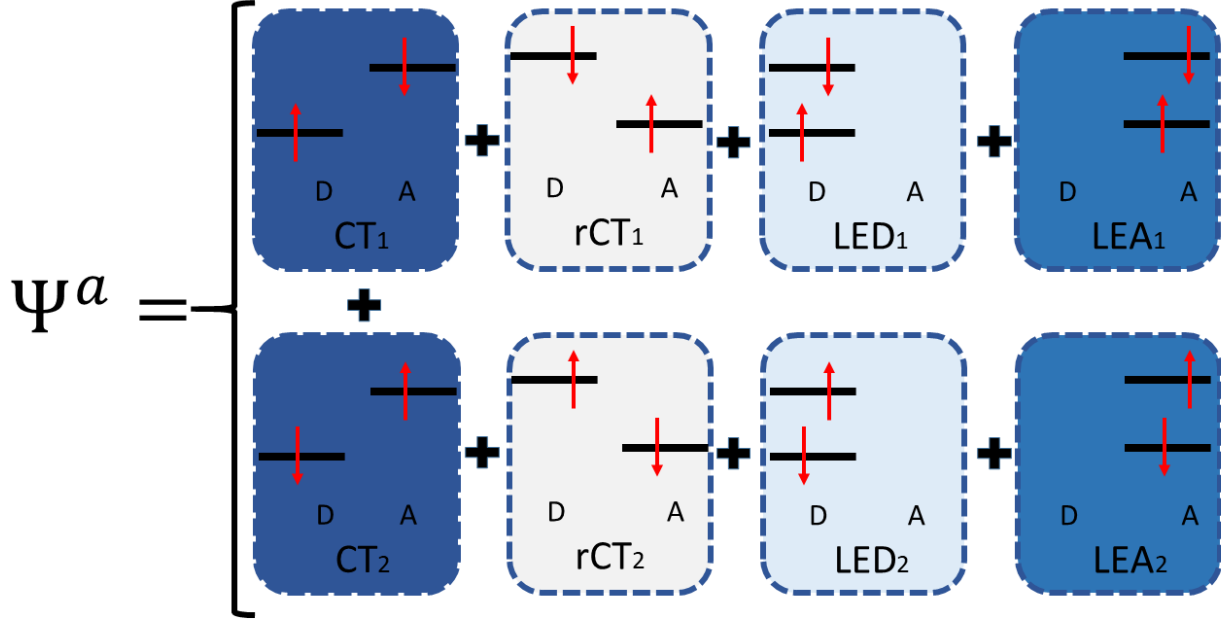


FIG. 1. Schematic representation of the decomposition of an adiabatic state Ψ^a into a set of diabatic states (Equation 3). Color intensity represents the magnitudes of the expansion coefficients. Notice that there are two spin configurations for each diabatic state, identified by the numbers.

The four diabats correspond to the following states, represented in Figure 1: donor-to-acceptor charge transfer (CT), reverse (acceptor-to-donor) charge transfer (rCT), local excitations in the donor (LED) and local excitations in the acceptor (LEA). These diabats are defined as linear combinations of configuration state functions composed of all possible combinations of transitions between orbitals with given classifications. For example, the CT diabats are composed of all combinations of transitions from orbitals localized in the donor fragment to orbitals localized in the acceptor fragment. The coefficients of this decomposition are obtained by projecting the adiabatic state onto each of the diabats

$$c_{ij} = \langle \Psi_j^d | \Psi_i^a \rangle \quad (4)$$

Calculation of these coefficients allows us to quantify the contribution of each diabatic state to the overall norm of each adiabatic state. This quantification will be necessary to select the

appropriate diabatic states that are going to make up our N -state models.

In an N -state model, N is necessarily an even number, as we include both spin configurations of every selected diabatic state. Furthermore, we require that among all diabatic states, at least one state with charge transfer character (either CT or rCT) and one with local character (either LED or LEA) be present. The goal then is to find the minimal set of N diabatic states that is able to reproduce at least a fraction p^2 of the squared norm of each of the corresponding N adiabatic states ($N/2$ singlet and $N/2$ triplet states). The higher the p^2 criterion, then typically the larger the number N of states that must be included in the model. As such, this p^2 criterion serves as a measure of the model's quality, as it indicates the lower bound estimate of how well the selected diabatic states are able to describe their adiabatic counterparts.

2. *Selecting Model States*

The selection of the adiabatic and diabatic states that are going to compose the model is done in two parts, starting with a p^2 value of 0.9. The first part goes as follows:

1. Sort the adiabatic states by alternating singlets and triplets starting from S_1 and T_1 .
2. Following the order, decompose the adiabatic state into its diabatic components.
3. Select two adiabatic states: one for which the CT component amounts to at least $(1 - p^2)$ of the total norm squared and one for which an LE component satisfies this requirement.
4. For the selected adiabatic state, sort its diabatic contributions to the norm from largest to smallest. Add to the model the minimum number of diabats (including both spin configurations) such that their contributions when combined with the contributions from any previously selected diabats add up to at least p^2 . If the previously selected diabats are already able to account for p^2 of the norm, do not add any other diabatic state.

Once the first two states are selected, the remaining states are chosen by following the following procedure:

1. Decompose all the adiabatic states that have not been selected into the diabats that have been included so far in the model.

2. Calculate the fraction of the norm that the available diabats are able to reproduce for each state.
3. Take the adiabatic state with the highest norm. If by adding this state, the number of singlet and triplet adiabatic states in the model differs by more than 1, skip it. Otherwise, select this state to the model.
4. For the selected adiabatic state, sort its diabatic contributions to the norm from largest to smallest. Add to the model the minimum number of diabats (including both spin configurations) such that their contributions when combined with the contributions from any previously selected diabats add up to at least p^2 . If the previously selected diabats are already able to account for p^2 of the norm, do not add any other diabatic state.
5. Repeat the process for the remaining adiabatic states until the number of selected adiabatic states matches the number of selected diabats. If this cannot be achieved, reduce the p^2 by 0.1 and repeat the entire procedure.

3. *The Diabatic Hamiltonian*

With N adiabatic and N diabatic states selected, we can use the transformation matrix \mathbf{C} whose components are the coefficients c_{ij} , to transform the adiabatic Hamiltonian (\mathbf{H}_a) into the diabatic one (\mathbf{H}_d).

To do so, we first orthogonalize the transformation matrix by computing^{34,56}

$$\mathbf{T} = \mathbf{C}(\mathbf{C}^\dagger \mathbf{C})^{-1/2} \quad (5)$$

Then, the diabatic Hamiltonian is given by

$$\mathbf{H}_D = \mathbf{T} \mathbf{H}_A \mathbf{T}^\dagger \quad (6)$$

C. Computational Details

Density functional theory (DFT) and its time-dependent counterpart (TD-DFT) were used to calculate all the electronic properties of interest. The long range corrected functional ω B97XD² was used throughout along with the 6-31G(d,p) basis set. The range parameter (ω) was tuned

for each molecule following the procedure described in Ref. 2. The tuned functionals were then used to calculate optimized geometries at the S_0 , S_1 and T_1 states. To calculate all the electronic properties relevant to the diabaticization procedure, the same level of theory was used but with a fixed average value for the range parameter for all molecules (0.154 bohr^{-1}). The parameter's value is fixed to facilitate the interpretation of different results.

All calculations were run with the Gaussian 16¹³ software, except for spin-orbit couplings, which were calculated with QChem 5.2⁴⁶. Finally, the NLMOs were calculated with the NBO 3.1 package¹⁸.

D. Molecules

A set of diverse donor-acceptor TADF molecules was chosen for application of the diabaticization procedure. This set is composed of 1,3,6,8-tetramethylcarbazole-xanthone (MCZ-XT)²⁶, 4-(9H-carbazol-9-yl)-3-(trifluoromethyl)benzonitrile (NCFCZ)²⁰, phenothiazine-dibenzothiophene-S,S-dioxide (PTZ-DBTO2),³⁷ phenothiazine-benzophenone (PTZ-BP)¹, phenothiazine-xanthone (PTZ-XT)¹, spiro[acridan-9,9'-xanthene]-chromone (XAC-CM)²⁷ and 10-phenyl-10H,10'H-spiro[acridine-9,9'-anthracen]-10'-one (ACRSA)³⁶

III. RESULTS

Table I shows the N -state models selected by the algorithm when applied for various molecules using optimized geometries from the S_0 , S_1 and T_1 states. These results correspond to the cases for which at least 80% of the norm of each of the selected adiabatic states is managed to be reproduced by the diabatic decomposition, which signals that the N -state model is appropriate. It is worth mentioning that these particular excited state geometries were selected because they are expected to be the most relevant geometries when considering ISC and rISC processes.

It can be seen in Table I that, in most cases, a 4-state model is able to be extracted from the adiabatic states. For MCZ-XT, PTZ-XT, XAC-CM and ACRSA the number of states in the diabaticization procedure does not change when the electronic state of the optimized geometry is modified from S_0 to S_1 or T_1 . The same cannot be said of NCFCZ, PTZ-BP and PTZ-DBTO2. The first two molecules switch to a 6-state model when T_1 geometries are used, meaning that 4 states are not enough to reproduce at least 0.8 of the norms of the adiabats. For the S_1 geometry of

Molecule	State Geometry	Number of States	Lowest Norm
MCZ-XT	S_0	4	0.863
	S_1	4	0.983
	T_1	4	0.983
NCFCZ	S_0	4	0.872
	S_1	4	0.922
	T_1	6	0.961
PTZ-DBTO2	S_0	4	0.849
	S_1	8	0.882
	T_1	4	0.962
PTZ-BP	S_0	4	0.953
	S_1	4	0.924
	T_1	6	0.934
PTZ-XT	S_0	4	0.924
	S_1	4	0.948
	T_1	4	0.952
XAC-CM	S_0	4	0.884
	S_1	4	0.967
	T_1	4	0.975
ACRSA	S_0	4	0.957
	S_1	4	0.981
	T_1	4	0.981

TABLE I. N -state models selected by the diabaticization algorithm for different TADF molecules at S_0 , S_1 and T_1 state geometries. The lowest norm column shows the norm of the least well described adiabatic state used in the model and serves as a measure of quality of the selected model.

PTZ-DBTO2, another 2 states are required, resulting in an 8-state model.

These examples show that changes in geometry can modify considerably the diabaticization results. This geometry dependence can be mapped back to changes in the diabatic decomposition of the various adiabatic states and corroborates the importance of vibronic effects in the TADF mechanism. For example, the NCFCZ T_1 state is mostly a local excitation in the acceptor unit

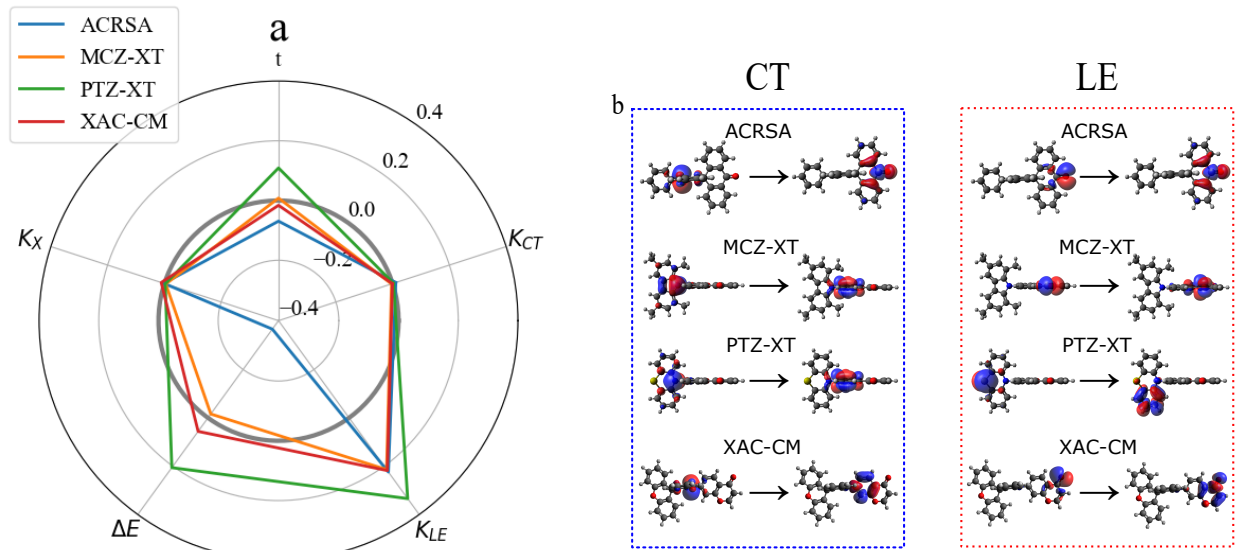


FIG. 2. a) Elements of the diabatic Hamiltonian (in eV) obtained for four TADF molecules using their optimized ground state geometry. b) Orbital transitions that contribute the most to CT and LE diabatic state in the four TADF molecules.

when calculated at S_0 and T_1 geometries. At the S_1 geometry it becomes a charge transfer state. A more dramatic example of such effect comes when considering the two equilibrium conformations of PTZ-DBTO2, the quasi-equatorial (whose results are shown in Table I) and quasi-axial conformations. Whereas for the quasi-equatorial conformation the algorithm manages to find suitable N -state models, for the quasi-axial conformer the lowest norm is at most 0.5 regardless of the geometry used, meaning that no subset of diabatic states was able to reproduce well the adiabatic states. The reason is that for the quasi-axial PTZ-DBTO2, the S_1 state does not possess a well defined electronic character, displaying rather a balanced mixture between CT and LEA diabats that are not necessarily present in other higher-lying states. Interestingly, the change from quasi-equatorial to quasi-axial conformation is associated with a decreased TADF performance⁴¹.

With these issues in mind, we focus then on the molecules for which the algorithm produces suitable 4-state models for all geometries considered, namely ACRSA, MCZ-XT, PTX-XT and XAC-CM. Figure 2-a shows the five unique elements of the diabatic Hamiltonian obtained by applying the diabaticization scheme to the S_0 geometries of the aforementioned molecules. The polar plot allows us to have a comprehensive view of how the diabatic Hamiltonian varies for each molecule. It stands out at first that two of the elements, the coupling between CT states

(K_{CT}) and the coupling between CT and LE states of opposite spin configuration (K_x), are close to zero for all molecules. This is justified by the fact that these couplings rely on the existence of overlap between orbitals localized in distinct parts of the molecule. Figure 2-b shows the orbital transition that contributes the most to each diabatic state. As both K_x and K_{CT} depend on overlaps between orbitals localized in the donor and the acceptor, these couplings end up close to zero when compared to the remaining terms.

The other two coupling terms, K_{LE} and t , can have nonzero values. The former is the coupling between local states, and it is seen to amount to around 0.2 eV for all but the PTZ-XT molecule, for which the coupling is 0.334 eV. It is worth to mention that PTZ-XT is also the only molecule among the four for which the local state is located in the donor fragment, as it can be seen in Figure 2-b. The last coupling, t , is given by the sum of a hopping integral between the LE and CT states of same spin configuration and a two-electron term that is typically close to zero as it also involves overlaps between orbitals localized at different molecular fragments. As such, the first term dominates, and t can be interpreted as the coupling for electron transfer between donor and acceptor.

Figure 2-a shows that for MCZ-XT and XAC-CM the t coupling is around zero, but the other two molecules, ACRSA and PTZ-XT, have non-zero t values. The difference can be explained in terms of the diabatic decomposition of the adiabatic states that make up their 4-state models. In Figure 3 this decomposition can be seen. It stands out that for the two molecules for which t is close to zero, the lowest energy states that have opposite diabatic character (CT vs LE for S_1 and T_1 , in the case of MCZ-XT and XAC-CM) present practically no mixture of diabatic components, rather being almost pure CT and LE states. The opposite can be said of ACRSA and PTZ-XT. For these two, the lowest energy CT and LE states (S_1 and S_2 for ACRSA; S_1 and T_1 for PTZ-XT) present significant admixture of the opposite diabatic component. As such, mixed CT/LE states are associated with higher absolute values of the t coupling. It is worth noting that the sign of the coupling should not be important, as its contribution to transfer rates, for instance, depends on its square. Finally, the remaining term of the diabatic Hamiltonian, ΔE , displays greater variability, going as high as 0.206 eV for PTZ-XT and as low as -0.367 eV for ACRSA, as seen in Figure 2-a. Negative ΔE values are sure to be obtained when the higher energetic singlet and triplet states of the model have prevalent CT character. This is the case for ACRSA, in which S_2 and T_5 are the states with largest CT character. Conversely, to ensure positive ΔE values would require that the higher energy singlet and triplet states be of LE character. If this alignment does not take place

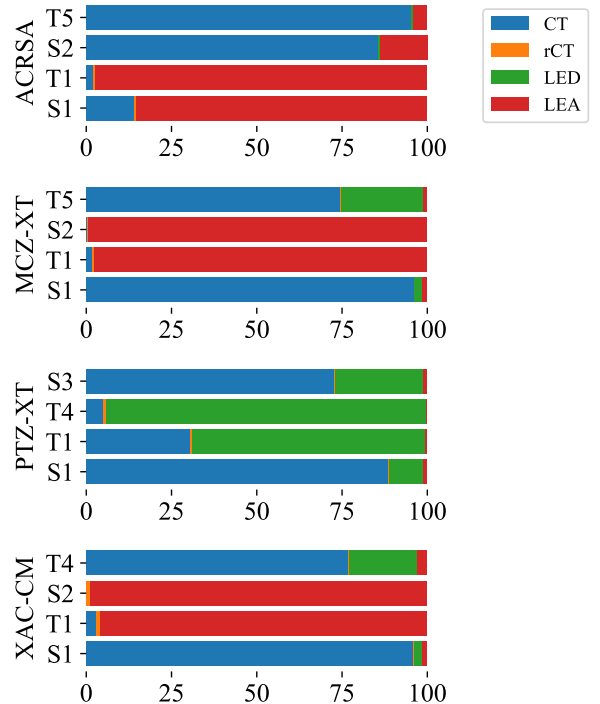


FIG. 3. Diabatic decomposition of each of the states that make up the 4-state models for ACRSA, MCZ-XT, PTZ-XT and XAC-CM under S_0 optimized geometries.

either way, then it is possible to find both positive and negative ΔE values, as well as values closer to zero, as is the case for both MCZ-XT and XAC-CM. The result will depend on the energies of the particular adiabatic states involved.

With a better understanding of the physical meaning of the elements of the diabatic Hamiltonian, we turn to question of how molecular geometries may affect the diabaticization procedure. We start by comparing the diabatic Hamiltonians computed at S_0 , S_1 and T_1 optimized geometries of the four molecules analyzed so far. These two excited states are expected to be even more relevant for the study of TADF molecules, as these are the states that mostly take part in the intersystem crossing. This comparison is shown in Figure 4. First, we note that K_X and K_{CT} couplings remain close to zero regardless of geometry for the reasons explained above. A second point that stands out is the fact that the K_{LE} coupling appears to be independent of geometry, which indicates that the LE states used in the diabaticization are very similar. The similarity results from the fact that the individual donor/acceptor fragments in these molecules are rather rigid, and the geometry optimization preserves their shape, even if its relative orientation with respect to the other fragment may have changed. As such, the local excited states experience little change from one optimized

geometry to the other. In this sense, it is expected to find greater variability in the components of the diabatic Hamiltonian that depend on the CT states. This is indeed the case for t and especially ΔE .

Concerning the t coupling, we mentioned that it approaches zero when the adiabatic states present little mixture between diabatic components. This situation is clearly seen for both ACRSA and PTZ-XT. In the case of the former, only at the S_0 geometry we observe some mixture between CT and LE states (Figure 3), whereas in the other geometries we see two pure CT and two pure LE states. This difference can be seen in the decomposition diagrams for the 4-state models at the S_1 and T_1 geometries that are present in the Supporting Information file (Figures S1-a and S1-b). For PTZ-XT, t has its highest absolute values at the S_0 geometry, which is the case where we observe the largest mixing of diabatic components among all three geometries. The remaining molecules show no significant changes neither in the values of t nor in the degree of mixture of diabatic states in the composition of the adiabatic states that make up their 4-state model.

As to ΔE , we note two distinct behaviors. For ACRSA and MCZ-XT, ΔE decreases to similar values when the 4-state model is obtained at the S_1 and T_1 geometries in comparison to the result at the S_0 geometry. At these geometries the higher energy singlet and triplet state of the 4-state model have predominant CT character, whereas S_1 and T_1 have LEA character (see Figures S1-a and S1-b). On the other hand, PTZ-XT and XAC-CM display increased ΔE values when comparing results at the S_0 and S_1 geometries and lower values at the T_1 geometries. In both cases we have an increase in CT character of the S_1 and T_1 states at the S_1 geometry and, conversely, an increase in LE character of these same states at the T_1 geometry.

The above results show that the electronic state of a molecule may affect significantly its diabatic Hamiltonian, which, in turn, may change the electronic properties relevant to TADF. The relationship between electronic properties and the Hamiltonian requires further investigation. One such example of an electronic property is the singlet-triplet gap (ΔE_{ST}), which can be calculated from the diabatic Hamiltonian by means of the following expression⁴:

$$\begin{aligned} \Delta E_{ST} = & K_{LE} + K_{CT} \\ & \pm \sqrt{1/4(\Delta E + K_{LE} + K_{CT})^2 - (\Delta E + K_{LE})K_{CT} + (t + K_X)^2} \\ & \pm \sqrt{1/4(\Delta E - K_{LE} - K_{CT})^2 - (\Delta E - K_{LE})K_{CT} + (t - K_X)^2} \quad (7) \end{aligned}$$

If we account for our observations that K_X and K_{CT} should be close to zero and neglect these

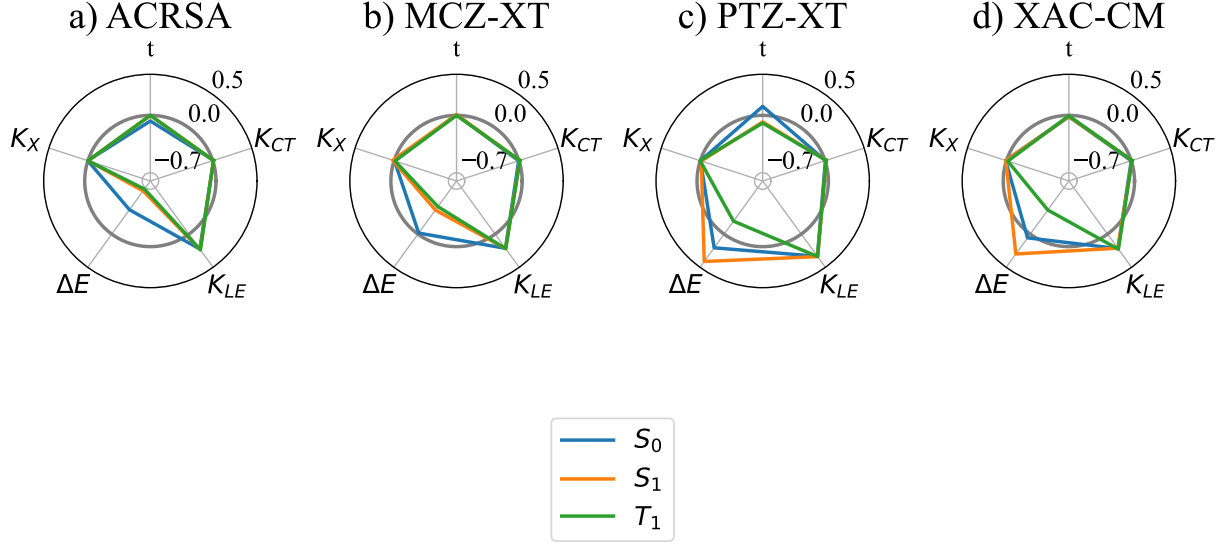


FIG. 4. Comparison between diabatic Hamiltonians calculated using the optimized geometries at the S_0 , S_1 and T_1 states. All values in eV.

terms, we obtain a simplified version of the expression:

$$\Delta E_{ST} = K_{LE} \pm \sqrt{1/4(\Delta E + K_{LE})^2 + t^2} \pm \sqrt{1/4(\Delta E - K_{LE})^2 + t^2} \quad (8)$$

An interesting result follows for the case in which the coupling t (or rather t^2) can also be neglected. As mentioned above, this would be the case for systems in which the adiabatic states that make up the 4-state model correspond to practically pure CT or LE states. If we account for the four possible sign configurations, we get four possible values for the singlet-triplet gap

$$\Delta E_{ST} = \begin{cases} 0 \\ K_{LE} - \Delta E \\ K_{LE} + \Delta E \\ 2K_{LE} \end{cases} \quad (9)$$

These possibilities correspond to the four possible pure diabatic configurations of the S_1/T_1 states, namely, CT/CT, CT/LE, LE/CT and LE/LE. When both states are of the CT character, the energy difference between them is given by $2K_{CT}$, which tends to zero since K_{CT} is negligible.

Conversely, when both states are local excitations, the energy gap is given by $2K_{LE}$. The two remaining cases are the mixed CT/LE and LE/CT configurations, which result in energy gaps given by $K_{LE} - \Delta E$ and $K_{LE} + \Delta E$, respectively. These observations are confirmed by calculating these different possible gap values and comparing them to the actual S_1 - T_1 gaps obtained directly from DFT calculations. These results are shown in Table II, where it can be seen that in the cases in which t is negligible, the proper singlet-triplet gap is reproduced by one of the four possibilities in Equation 9 according to their S_1/T_1 diabatic configuration. Importantly, reported experimental singlet-triplet gaps in solid films are typically much lower than the values shown in Table II: 0.03 eV for ACRSA,³⁵ 0.011 eV for MCZ-XT²⁶, 0.071 eV for PTZ-XT¹ and 0.11 eV for XAC-CM²⁷. Direct comparison of calculated singlet-triplet gaps with experimental ones suffer from a series of issues, however. For instance, we computed gaps at different optimized reference geometries. As it has been previously shown⁵, such calculations may not be representative of actual singlet-triplet gaps, given the role played by vibrations in TADF. A second important point concerns the fact that experimental gaps are reported either in solution or solid state, conditions in which CT states tend to undergo deeper energetic stabilization which affects the gaps. Here, our calculations are performed in vacuum as we are interested in intrinsic molecular properties rather than those derived from interaction with the environment.

After having analyzed the behavior of the diabatic Hamiltonian under different equilibrium geometries, we turn to the issue of vibrations. Spin-vibronic effects have been proposed to affect considerably the ISC rates in TADF molecules^{12,17}. In this framework, the non-adiabatic coupling between the two lowest triplet states, one with CT and the other with LE character, mediates the coupling between S_1 and T_1 states, allowing for efficient rISC. Recently, we have proposed an alternative approach to include vibrational effects in the calculations of ISC rates. Instead of evaluating non-adiabatic couplings between adiabatic states at a reference geometry, we resort to direct sampling of the potential energy surface using the distribution for a set of quantum harmonic oscillators at temperature T with frequencies and masses given by the molecule’s normal modes⁵. The distorted geometries have excited states with a varying diabatic composition when compared to their equilibrium counterparts, such that spin-orbit couplings between the lowest singlet and triplet state can be non-zero even if both states have the same electronic configuration at the equilibrium geometry. In line with this idea, we have sampled 1000 geometries corresponding to vibrations around the optimized geometry at the S_1 state of the NCFCZ molecule. As it can be seen in Table I, for this electronic state the NCFCZ molecule can be well represented by a 4-state

Molecule	Geometry	t	ΔE_{ST}	S_1/T_1	$K_{LE} - \Delta E$	$K_{LE} + \Delta E$	$2K_{LE}$
ACRSA	S_0	-0.069	0.432	LE/LE	0.591	-0.143	0.448
	S_1	-0.002	0.471	LE/LE	0.886	-0.416	0.470
	T_1	0.000	0.475	LE/LE	0.915	-0.441	0.474
MCZ-XT	S_0	0.008	0.227	CT/LE	0.230	0.198	0.428
	S_1	0.008	0.433	LE/LE	0.580	-0.144	0.436
	T_1	-0.001	0.439	LE/LE	0.634	-0.194	0.440
PTZ-XT	S_0	0.109	0.178	CT/LE	0.128	0.540	0.668
	S_1	-0.080	0.053	CT/CT	-0.073	0.751	0.678
	T_1	-0.094	0.516	CT/LE	0.533	0.143	0.676
XAC-CM	S_0	-0.016	0.165	CT/LE	0.162	0.274	0.436
	S_1	-0.015	0.025	CT/CT	-0.087	0.511	0.424
	T_1	-0.006	0.451	LE/LE	0.590	-0.136	0.454

TABLE II. Comparing DFT calculated singlet-triplet gaps (ΔE_{ST}) with three possible gaps taken from Equation 9 for 4-state models calculated with 3 different geometries for 4 molecules. The prevalent diabatic character of the S_1 and T_1 states in each case are also shown. Values for the t couplings are presented so that the appropriateness of the $t = 0$ approximation may be evaluated. All numerical values are in units of eV.

model. The temperature for the distribution was set to 500 K so that we could explore a larger portion of the configuration space. Next, the diabaticization procedure was applied to all conformations, but the algorithm restricted the model size to 4-state models only. This restriction is done to make comparisons across all conformations. Importantly, the overall quality of the generated models is high, as measured by the portion of each state’s norm that is able to be reproduced by the model (Figure S2).

Figure 5-a shows the elements of the diabatic Hamiltonian for each of the sampled geometries (in blue) along with the results for the optimized geometry at S_1 (in red). We first observe that the Hamiltonian of the optimized geometry presents near zero t coupling and high ΔE . Following the discussion above, we may infer two things from these observations: first, that the adiabatic states composing the model have almost pure diabatic character, meaning no mixture of diabatic states. Second, since ΔE is high, then the lower energy states (S_1 and T_1) are more likely to be of CT character. Comparing the results of the optimized geometry with those from the various

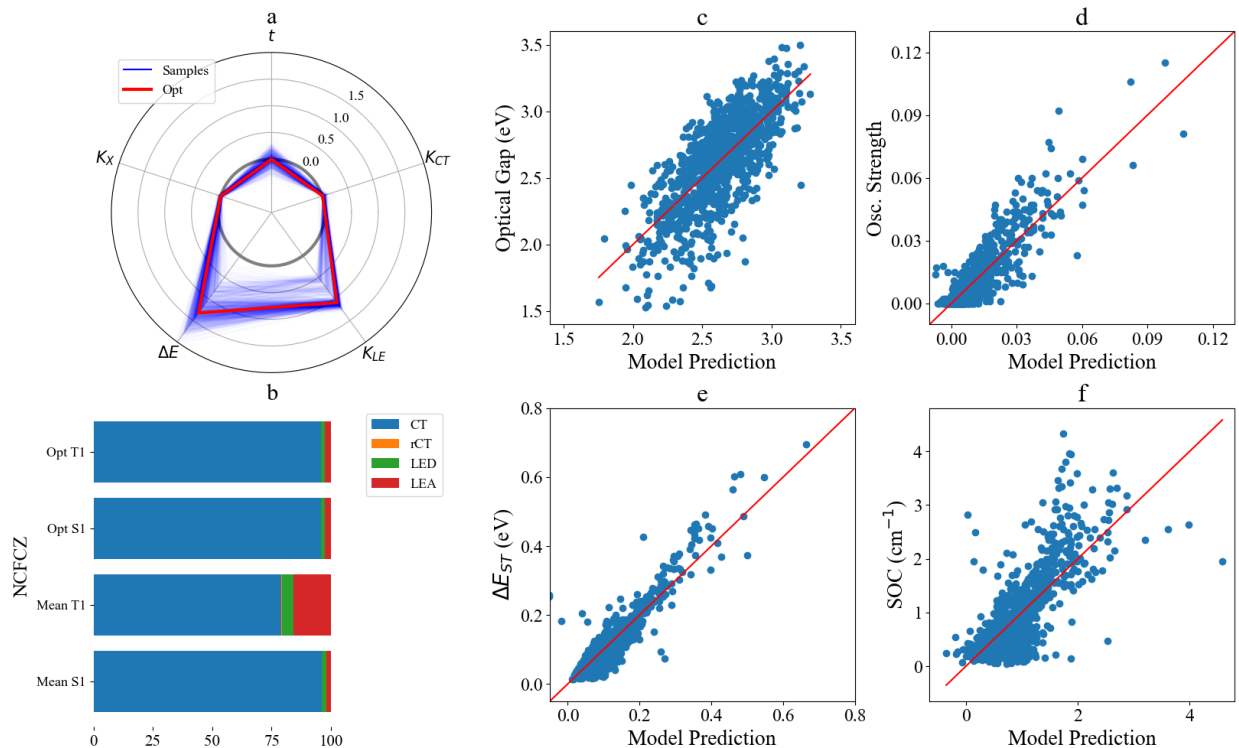


FIG. 5. a) Elements of the diabatic Hamiltonian (in eV) for the NCFCZ molecule in its S_1 state optimized geometry (red) and for 1000 sampled conformations produced by molecular vibrations (blue). b) Diabatic decomposition of the S_1 and T_1 states in the optimized S_1 geometry (Opt S1 and Opt T1) and mean diabatic decomposition of the 1000 sampled conformations (Mean S1, Mean T1). c) Calculated vs predicted values for optical gap, d) oscillator strength, e) singlet-triplet gap and f) spin-orbit coupling. The red lines mark the diagonal.

sampled configurations (see Figure S3 for the distributions of parameters) we note that these non-equilibrium geometries produce significant deviations in the t and K_{LE} couplings as well as in ΔE . The t couplings moving away from zero indicates that the adiabatic states present some degree of mixture of CT and LE characters, which, in turn, affects the ΔE values. These observations are confirmed by comparing the diabatic decomposition of S_1 and T_1 states in the optimized geometry versus the mean decomposition that accounts for all sampled conformations. These are shown in Figure 5-b. As expected, the states at the optimized geometries (Opt T1 and Opt S1) have an almost pure CT character. In addition, the average diabatic configuration of the ensemble of geometries does not change very much for the S_1 state (Mean S1). On the contrary, for the T_1 state the average decomposition gains a 15% contribution of LEA states. Such higher mixing between CT and

LE characters in the triplet when compared to the singlet state has been observed previously and it is justified by stronger stabilization of triplet states by exchange interactions³⁸. The T_1 state also displays an almost 5% contribution of LED states, meaning that for some configurations, the local diabatic state shifts from the acceptor to the donor. This shift is responsible for the region with low color density in Figure 5-a that presents both lower K_{LE} and lower ΔE . As these two parameters play a key role in the determination of the singlet-triplet gap, this result shows that vibrational effects may affect ISC rates in part by altering the singlet-triplet gap by changing the diabatic composition of the S_1 and T_1 states. This observation prompts the question of what kind of changes could be expected for other relevant features.

In devices whose operation rely on TADF, two properties are paramount: the rates of reverse ISC and fluorescence. These, in turn, depend mainly on two electronic properties each. For fluorescence, the relevant properties are the optical gap (ΔE_{opt}) and the oscillator strength (f) of the $S_1 \rightarrow S_0$ transition. For rISC, these are the singlet-triplet gap (ΔE_{ST}) and the spin-orbit coupling (SOC) between S_1 and T_1 states. We calculated these properties for all the sampled conformations of the NCFCZ molecule. To analyze the results, we applied a simple LASSO linear regression model^{39,50} with 5-fold cross validation using as model features the elements of the diabatic Hamiltonian and all possible quadratic combinations thereof (regression coefficients are presented in Table S1). The comparison between model results and calculated values can be seen in Figures 5-c to f. This simple model manages to capture the correct tendency for all features, but shows better predictive power for oscillator strengths ($R^2 = 0.79$) and ΔE_{ST} ($R^2 = 0.84$), than for the optical gap ($R^2 = 0.55$) and SOC ($R^2 = 0.57$). In possession of a working relationship between the diabatic Hamiltonian and the electronic properties, it is worth investigating how the diabatic Hamiltonian looks like when seeking to optimize TADF performance.

Maximizing TADF performance entails optimizing fluorescence (k_f) and rISC (k_{rISC}) rates simultaneously. As such, we look for the diabatic Hamiltonians that are able to maximize the product between k_f and k_{rISC} . We also look for diabatic Hamiltonians that are able to maximize each of the rates individually, so we may understand the trade-offs involved and we also attempt optimization of the individual underlying features. To do so, we employ a genetic algorithm coupled to the models shown in Figure 5-c to f. A detailed account of this procedure is provided in the SI file.

Figure 6-a shows diabatic Hamiltonians that maximize the three criteria (rISC, fluorescence and overall TADF). When the optimization procedure is applied to k_f (green lines), it pushes

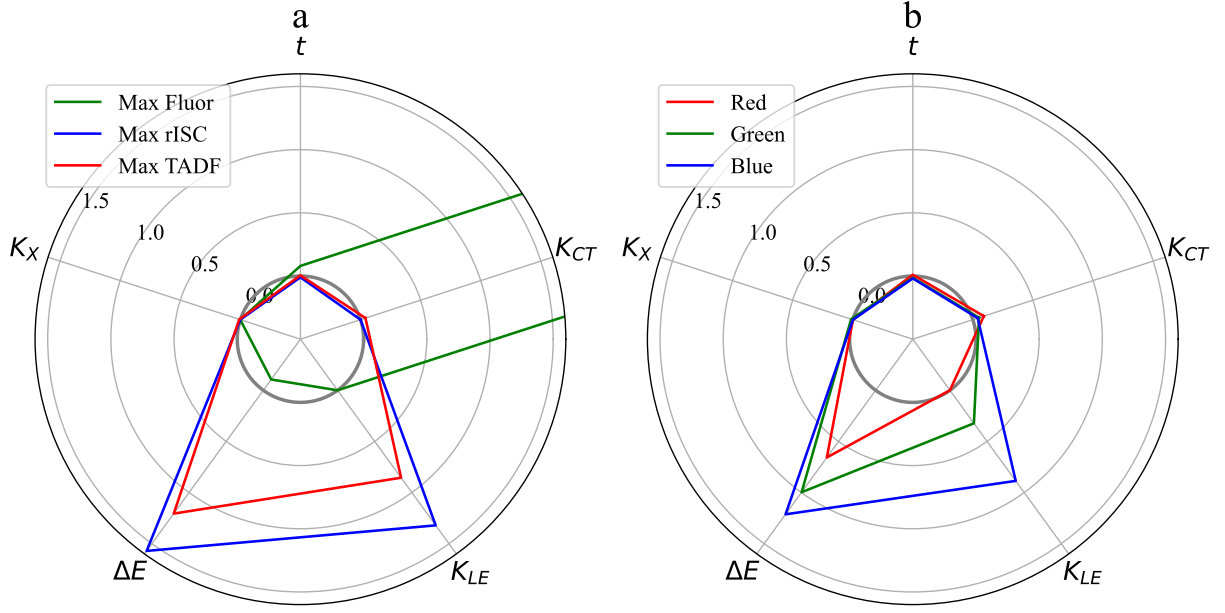


FIG. 6. a) Diabatic Hamiltonians that maximize fluorescence and rISC rates, as well as overall TADF performance. b) Diabatic Hamiltonians that maximize TADF performance constrained by emission color. All values in eV.

K_{CT} values higher indefinitely. The same behavior is observed when we attempt to maximize the oscillator strength (see Figure S4), which allows us to conclude that K_{CT} is a major driver of k_f . Increasing K_{CT} , however, amounts to minimizing the spatial separation of CT orbitals. As such, the maximization of K_{CT} effectively means that the state in question is rather turning into an LE state. In addition, the optimized Hamiltonian presents negative ΔE (-0.106 eV), which means that LE states should be the lower energy ones. Such behavior is expected, as CT states are known to display low transition dipole moments, so for efficient emission it is better that S_1 states have the LE character. The optimized Hamiltonian also shows an elevated t coupling (0.08 eV), which is associated with increased admixture of LE and CT states in the S_1 state. We associate larger t with increased optical gaps (ΔE_{opt}), but since t does not grow without bounds, we can also infer that excessive values affect oscillator strengths negatively.

More interesting results come from the maximization of k_{rISC} (blue lines in Figure 6-a). In this case, the best Hamiltonian has $K_{CT} = 0$ eV, which is associated with decreased ΔE_{ST} . In addition, it has $t = -0.01$ eV, which again suggests some degree of mixture in the diabatic character of the model's adiabatic states. The purpose of this is increasing SOC values, as revealed by the fact that strict SOC optimization is obtained by increasing t indefinitely (Figure S4). We also note large

values of ΔE (1.571 eV) and K_{LE} (1.321 eV) in the rISC optimized Hamiltonian, pointing to a requirement that at least one of the adiabatic states with predominant LE character must be of high energy. A similar result is found when optimizing ΔE_{ST} (Figure S4), but not SOC, so we may connect higher ΔE with lower singlet-triplet gaps. Finally, this particular diabatic Hamiltonian produces as features a 2.253 eV optical gap, but 0 oscillator strength. The intensity of the transition is sacrificed for the sake of a low singlet-triplet gap of 0.028 eV (basically equivalent to thermal energy at room temperature) and a SOC of 0.89 cm^{-1} .

The strict optimization of rISC rates could be relevant for applications such as hyperfluorescence, in which the responsibility for emission is transferred to other molecules⁶. For pure TADF applications, simultaneous optimization of fluorescence and rISC rates are required. In this case, the best Hamiltonian found (red lines in Figure 6-a) has $K_{CT} = 0.041\text{ eV}$. This slightly elevated value signals a compromise was necessary to improve the oscillator strength. The t coupling in this Hamiltonian was found to be zero. As such, we expect the adiabatic states composing the 4-state model to be nearly pure in character. Similar to the case of pure rISC optimization, the high values of the K_{LE} coupling (0.855 eV) and of ΔE (1.206 eV) allow us to infer the presence of a high energy state in the model that must correspond to an LE state. Such combination of Hamiltonian elements results in an optical gap of 2.757 eV, in the blue region of the spectrum, and this transition becomes allowed with an oscillator strength of 0.012, which is far from being particularly efficient. The singlet-triplet gap doubles with respect to the pure rISC optimization case to 0.054 eV. This value is closer to K_{CT} , so considering the options in Equation 9, it becomes clear that we are closer to the first case, which tells us that both S_1 and T_1 states in this 4-state model have CT character. The remaining states have LE character, and considering the high K_{LE} coupling, we expect a large energy difference between the two remaining singlet and triplet states. Finally, a SOC of 0.672 cm^{-1} is produced, lower than when only rISC maximization was the aim.

Finally, we repeat the optimization procedure for TADF performance but now we also enforce a constraint on the optical gap so as to investigate changes in optimization strategy for different OLED colors. Figure 6-b shows optimal diabatic Hamiltonians for ΔE_{opt} in the 1.65–2.19 eV (red), 2.19–2.56 eV (green) and 2.56–2.75 eV (blue) range. It can be seen that the three Hamiltonians are similar in shape, but a progressive decrease in ΔE (from 1.213 eV to 0.657 eV) and K_{LE} (from 0.886 eV to 0.000 eV) can be observed when going from blue to red emission. Conversely, an increase in K_{CT} from 0.040 eV to 0.091 eV also takes place. These changes point to a continuous move towards making CT and LE states more similar. In addition, with the energy

constraints in place, the optimal value for t drift away from zero (-0.019 eV, -0.014 eV and 0.010 eV for blue, green and red, respectively). This result points to the necessity of increasing CT/LE mixing for maximum TADF performance. It is also worth mentioning that as one moves from blue to red, the objective function that serves as proxy for TADF performance decreases as well. This decrease indicates that TADF performance is expected to be lower the more redshifted the emission energies are.

An important feature of our optimization procedure is that it relies on a regression model fitted to data obtained for multiple conformations of a single emitter. While it allowed us to efficiently explore the parameter space of the Hamiltonian, caution needs to be taken when extending the conclusions to TADF molecules in general as the objective function based on a linear regression is not necessarily an appropriate model. In such a case, more capable machine learning algorithms trained on a significantly larger amount of data, perhaps along with additional descriptors beyond the Hamiltonian parameters, might be needed. Nevertheless, our approach captures the relationship between model Hamiltonians, structures, and electronic properties. It clearly indicates the necessity of tuning CT/LE admixture in S_1 and T_1 states to allow for the TADF mechanism to work efficiently, highlights the role of vibrations and confirms the 4-state model as an adequate framework for modeling such systems.

IV. CONCLUSIONS

In summary, we have presented a diabaticization procedure that generates N -state models from $N/2$ singlet and $N/2$ triplet adiabatic states. The algorithm finds the minimal set of adiabatic states that is able to be well described by CT and LE diabats. The search is done for each molecule by attempting to maximize the norm of the adiabatic states that is able to be reproduced by the diabats considered. The procedure was applied to several TADF molecules using as input optimized geometries at different electronic states.

Focusing on the cases that could be well described by a 4-state model, we have been able to provide physical meaning to the different elements of the diabatic Hamiltonian and showed how knowledge of these elements allows for inference on several properties of the model’s underlying states. Furthermore, we showed that applying the diabaticization procedure to equilibrium geometries of excited states affects mainly the energy difference between CT and LE states and may also modify the amount of CT/LE mixture in the adiabatic states, but does not affect the remaining

couplings.

Using a sampling procedure to generate molecular conformations from vibrational motion showed that vibrations are capable of changing importantly the diabatic decomposition of the model states, including changing the nature of the LE state from localized in the acceptor to the donor. Such changes affect all the Hamiltonian elements and consequently all the relevant electronic properties.

With the data from the vibrational analysis we used a simple LASSO model to extract the connections between the Hamiltonian and the electronic properties. Revealing these connections allowed us to determine optimization strategies for maximizing rISC, fluorescence, and overall TADF performance. Results showed that the optimization of rISC differs from overall TADF optimization because that the latter requires non-zero K_{CT} and t to prevent zero oscillator strengths. It means that there is an optimum amount of mixture between CT and LE diabats in the S_1 and T_1 states that is able to achieve the balance between low singlet-triplet gaps and non-zero fluorescence efficiency.

V. ACKNOWLEDGMENTS

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VI. SUPPORTING INFORMATION

Diabatic decomposition of 4-state models in S_1 and T_1 optimized geometries; distribution of reproduced norms and of diabatic Hamiltonian elements from the vibration analysis; and details on the regression and optimization procedures are available as Supporting Information. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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