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Anomalously high thermoelectric power factor in epitaxial ScN thin films

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ABSTRACT

Thermoelectric properties of ScN thin films grown by reactive magnetron sputtering on Al₂O₃(0001) wafers are reported. X-ray diffraction and elastic recoil detection analyses show that the composition of the films is close to stoichiometry with trace amounts (~1 at.% in total) of C, O, and F. We found that the ScN thin-film exhibits a rather low electrical resistivity of ~2.94 μΩ·m, while its Seebeck coefficient is approximately -86 μV/K at 800 K, yielding a power factor of ~2.5 × 10⁻³ W/m·K². This value is anomalously high for common transition-metal nitrides.

Keywords: Transition-metal nitride, Seebeck coefficient, X-ray diffraction, Electron microscopy

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26 Thermoelectric generators using thermoelectric materials directly convert heat into electricity
27 by generating a potential difference in response to a temperature gradient (or vice versa). The
28 conversion efficiency of a thermoelectric device depends on the thermoelectric figure of merit
29 (ZT) at a certain temperature (T), where $Z = S^2/(\rho \cdot \kappa)$ and S , ρ , and κ are the Seebeck
30 coefficient, the electrical resistivity, and the thermal conductivity, respectively. Since S , ρ ,
31 and κ are interdependent, it is a challenging task to improve ZT .^{1,2} For typical thermoelectric
32 materials, κ is dominated by the lattice thermal conductivity; the maximum ZT is then close
33 the maximum of the parameter S^2/ρ , called the power factor. Here, we report a thermoelectric
34 power factor of $2.5 \times 10^{-3} \text{ W}/(\text{m} \cdot \text{K}^2)$ at 800 K for epitaxial ScN thin films due to a relatively
35 high Seebeck coefficient of $\sim 86 \mu\text{V}/\text{K}$ with low electrical resistivity ($\sim 2.94 \mu\Omega \cdot \text{m}$). This is
36 an anomalously high power factor for transition-metal nitrides and may place ScN-based
37 materials as promising candidates for high temperature thermoelectric applications.

38
39 Transition-metal nitrides have not been commonly considered for thermoelectric applications.
40 Yet, they are much appreciated as wear-resistant coatings and electronic contacts materials
41 because of their thermal and mechanical stability, electrical conductivity, and chemical
42 inertness. Like many other transition-metal nitrides, ScN has high hardness and high melting
43 point $\sim 2900 \text{ K}$.^{3,4} It possesses a NaCl (B1) crystal structure with a lattice parameter of 4.521
44 \AA . For electrical properties, theoretical studies reported that ScN is an indirect semiconductor
45 with energy gap in the range of $0.9\text{-}1.6 \text{ eV}$.⁵⁻⁹ Measurements on as-deposited ScN show n-
46 type behavior^{10,11} and the carrier concentration of ScN has been reported to vary from 10^{18} to
47 10^{22} cm^{-3} with electron mobility of $100\text{-}180 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$.^{9,12-14} These numbers of the carrier
48 concentrations span the typical ideal range for thermoelectrics¹ while retaining a high carrier
49 mobility;¹³ a fact relevant to their thermoelectric power factor reported here.

50 ScN films were grown onto Al₂O₃(0001) substrates using reactive magnetron sputtering in an
51 ultrahigh vacuum chamber with a base pressure of $\sim 10^{-7}$ Pa. The chamber is described
52 elsewhere.¹⁵ The Sc target (99.99% purity specified as the amount of Sc divided by the total
53 rare-earth metals in the target) has a diameter of 5 cm. The substrates were one-side polished
54 Al₂O₃(0001) wafers. Prior to deposition, the substrates were degreased in an ultrasonic bath
55 with trichloroethylene, acetone, and isopropanol for 5 min. each, and subsequently blown dry
56 with N₂. Before deposition, the substrates were heated in vacuum to the deposition
57 temperature 800 °C (for 1 h for temperature stabilization and degassing). The Sc target was
58 operated in dc mode (power-regulated) at a power of 80 W. The substrate was rotated during
59 deposition in order to obtain uniform films. The depositions were performed in Ar/N₂ (flow
60 ratio 87% Ar / 13% N₂) with the total gas pressure at 0.2 Pa. Structural characterization of as-
61 deposited films was performed by X-ray diffraction (XRD) using CuK_α radiation. θ -2 θ scans
62 were measured in a Philips PW 1820 diffractometer; ϕ -scans and pole figures were measured
63 in a Philips X'pert Materials Research Diffractometer operated with point focus, primary
64 optics of 2 × 2 mm cross slits, and secondary optics with parallel-plate collimator. The ϕ -scan
65 of ScN 200 peak was scanned with a fixed 2 θ angle of 40.16°, a fixed tilt angle (ψ) of 54.7°,
66 and azimuth-angle (ϕ) range 0-360° with step size 0.1°. Cross-sectional specimens for
67 transmission electron microscopy (TEM) were prepared by gluing two pieces of the sample
68 face to face and clamped with a Ti grid, polishing down to 50 μ m thickness. Ion milling was
69 performed in a Gatan Precision Ion Polishing System (PIPS) at Ar⁺ energy of 5 kV and a gun
70 angle of 5°, with a final polishing step with 2 kV Ar⁺ energy and angle of 2°. TEM
71 characterization was performed using a Tecnai G2 TF20UT with a field-emission gun (FEG).
72 Compositional analysis of as-deposited film was performed by time-of-flight elastic recoil
73 detection analysis (ToF-ERDA). Here, a 30 MeV ¹²⁷I⁹⁺ beam was directed to the films at an
74 incident angle of 67.5° with respect to the surface normal, and the target recoils were detected

75 at an angle of 45° . The spectra was analyzed using the CONTES code for conversion to
76 composition depth profile.^{16,17} The Seebeck coefficient and in-plane electrical resistivity of
77 the film were simultaneously measured from room temperature up to ~ 800 K by an ULVAC-
78 RIKO ZEM3 system in vacuum with a low-pressure helium atmosphere. The substrate
79 contribution to the Seebeck coefficient and electrical resistivity is negligible. Hall-effect
80 measurements were done at room temperature in van der Pauw configuration with four
81 symmetrical electrodes and platinum contacts bonded by gold wires to the electrodes.

82

83 Figure 1(a) shows a $\theta - 2\theta$ XRD pattern from an as-deposited ScN film. The pattern shows the
84 ScN 111 diffraction peak at a 2θ angle of 34.33° corresponding very well to ICDD PDF 45-
85 0978 as well as the $\text{Al}_2\text{O}_3(0001)$ substrate peak. From the 111 peak position of the ScN film,
86 the lattice parameter was determined to be 4.51 \AA . The inset of Fig. 1 shows a ϕ -scan of ScN
87 200 at 40.16° . The six peaks are due to diffraction from planes of the $\{200\}$ family. The three-
88 fold symmetry of the $[200]$ orientation in a cubic crystal should give three peaks; the fact that
89 there are six shows that there are twin-domains because of different stacking sequences in
90 which ScN(111) can be grown on $\text{Al}_2\text{O}_3(0001)$. The expected epitaxial relationship for the
91 ScN(111) grown onto the $\text{Al}_2\text{O}_3(0001)$ surface would be $\langle 1\bar{1}0 \rangle_{\text{ScN}} \parallel \langle 10\bar{1}0 \rangle_{\text{Al}_2\text{O}_3}$ in-plane
92 and $(111)_{\text{ScN}} \parallel (0001)_{\text{Al}_2\text{O}_3}$ out of plane. However, XRD shows that the $\langle 110 \rangle$ directions of the
93 ScN domains are here rotated in average $\pm 4^\circ$ compare to the $\langle 10\bar{1}0 \rangle$ direction on the
94 sapphire surface. This effect may be due to minimize the stresses resulting from the 17%
95 positive mismatch between the ScN and sapphire lattices and weak interaction from second or
96 third nearest neighbor of rhombohedral/cubic stacking.

97

98 Figure 2(a) is an overview cross-section TEM image of a typical ScN film. It can be seen that
99 the film has columnar domains and a thickness of ~ 180 nm. Fig. 2(b) shows a high-resolution

100 image of the interface area of film and substrate. The image shows the epitaxial growth of
101 ScN on Al₂O₃, consistent with XRD. Fig. 2(c) shows a high resolution TEM image with a
102 lattice parameter a of ScN which agrees with that observed by XRD. ERDA showed that the
103 film composition is 49.6±1.5 at.% of Sc and 49.3±1.5 at.% of N, i.e., close to stoichiometric.
104 There are trace amounts of F, O, and C (~0.7 at.%, ~0.3 at.%, and ~0.1 at.%, respectively).
105 The source of the fluorine is from the Sc target due to the production process. The appearance
106 of the films is transparent orange, which indicates that the composition is close to
107 stoichiometric.^{10,12}

108
109 The thermoelectric properties of ScN are shown in Figure 3(a) and (b). At 800 K, the Seebeck
110 coefficient is ~-86 $\mu\text{V/K}$ and the in-plane electrical resistivity is ~2.94 $\mu\Omega\cdot\text{m}$, giving a power
111 factor of 2.5×10^{-3} $\text{W/m}\cdot\text{K}^2$. By assuming the literature value for the thermal conductivity of
112 ScN,⁴ the ZT value can be estimated to ~0.2 at 800 K. This should be considered a lower limit
113 of ZT. Even so, it is comparable to such established thermoelectric materials as
114 polycrystalline Ca₃Co₄O₉.¹⁸ In comparison with other transition-metal (like CrN), the ScN is
115 five times larger in ZT value.¹⁹ The measurements were performed in several cycles from
116 room temperature to 800 K to ensure the obtained results are reproducible. Fig. 3(b) shows the
117 repeated power factor measurement; the values are virtually identical. The diffraction pattern
118 of the ScN was also unchanged after three cycles from room temperature to 800 K,
119 confirming the structural stability of the ScN films in this temperature range.

120
121 The results show that our ScN films have a relatively high (negative) Seebeck coefficient for
122 transition-metal nitrides in combination with a high electrical conductivity, resulting in a
123 remarkably high thermoelectric power factor. In order to tentatively explain this phenomenon,
124 we note that the conductivity is metallic-like both in magnitude and temperature-dependence.

125 Hall measurements at room temperature yielded an electron concentration of $1.0 \times 10^{21} \text{ cm}^{-3}$
126 and an electron mobility of $30.0 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$. This may be due to small contamination from
127 oxygen, fluorine or nitrogen vacancies acting as dopants to increase carrier concentration.
128 Additionally, the impurities might cause rapidly changing features in the density of states near
129 the Fermi level. It has been theoretically predicted that nitrogen vacancies have this role in
130 ScN and it is reasonable that dopants could yield a similar effect.⁷ Preliminary calculations
131 support this notion.²⁰ Such features in the density of states would correspond to the Mahan
132 and Sofo prediction of the transport-distribution function that maximizes ZT.²¹
133
134 Additional samples (not shown) with higher oxygen contents (1-3 at.%) and/or
135 substoichiometric in nitrogen, exhibited Seebeck coefficients somewhat lower, but of the
136 same order as shown in Fig. 3(a). However, they also exhibited large difference in electrical
137 resistivity, i.e., up to one order of magnitude higher electrical resistivity for 1-3 at.% O
138 content than the ScN films with ~0.3 at.% O content. Hall measurements for ScN with ~1-3
139 at.% O show an electron concentration increase to $1.25 \times 10^{21} - 1.75 \times 10^{21} \text{ cm}^{-3}$ and electron
140 mobilities in the range $0.5 - 1.6 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$. This may be due to either incorporation of O in
141 ScN or formation of secondary phases, e.g., amorphous oxides. According to the Mott
142 equation, the Seebeck coefficient is independent of mobility if the mobility is energy-
143 independent, therefore these data are consistent with the large reduction in conductivity (due
144 to reduced mobility) and limited reduction in Seebeck coefficient. These observations of large
145 variation in properties emphasize the importance of impurities and defects. The only previous
146 report on thermoelectric properties of ScN reported a relatively modest power factor for “bulk
147 ScN” without providing any information about the samples or their purity.²²

148

149 In conclusion, the thermoelectric properties of epitaxial ScN thin films have been studied in
150 detail. It is possible to obtain ScN exhibiting a remarkably high power factor 2.5×10^{-3}
151 $\text{W}/(\text{m} \cdot \text{K}^2)$ at 800 K which corresponds to a relatively high Seebeck coefficient of $\sim -86 \mu\text{V}/\text{K}$
152 while retaining a rather low and metallic-like electrical resistivity ($\sim 2.94 \mu\Omega \cdot \text{m}$). The
153 estimated lower limit of ZT is ~ 0.2 at 800 K, which suggests that the ScN-based materials as
154 candidates for high-temperature thermoelectrics application.

155

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159

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192

193 FIGURE CAPTIONS

194 FIG 1. θ - 2θ x-ray diffraction pattern from a ScN film deposited onto an $\text{Al}_2\text{O}_3(0001)$
195 substrate. The inset shows a ϕ -scan plot of (solid line) the ScN 200 plane and (dot line) the
196 $\text{Al}_2\text{O}_3 10\bar{1}4$ plane.

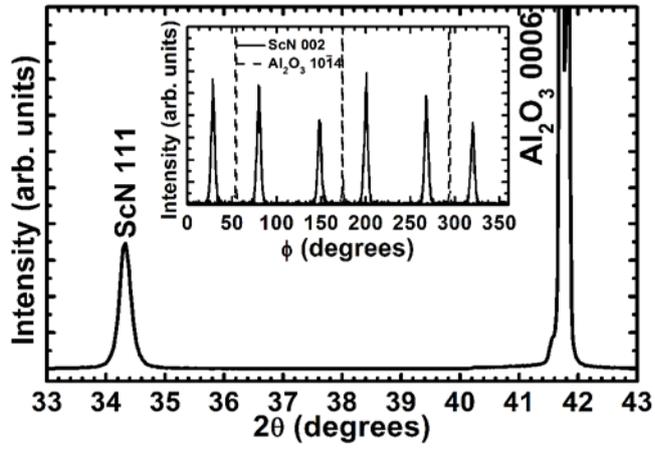
197

198 FIG 2. Cross-sectional TEM micrographs of a ScN film on $\text{Al}_2\text{O}_3(0001)$ substrate in (a)
199 overview and (b) high resolution of the film/substrate interface, and (c) high-resolution of a
200 region in the bulk of the film.

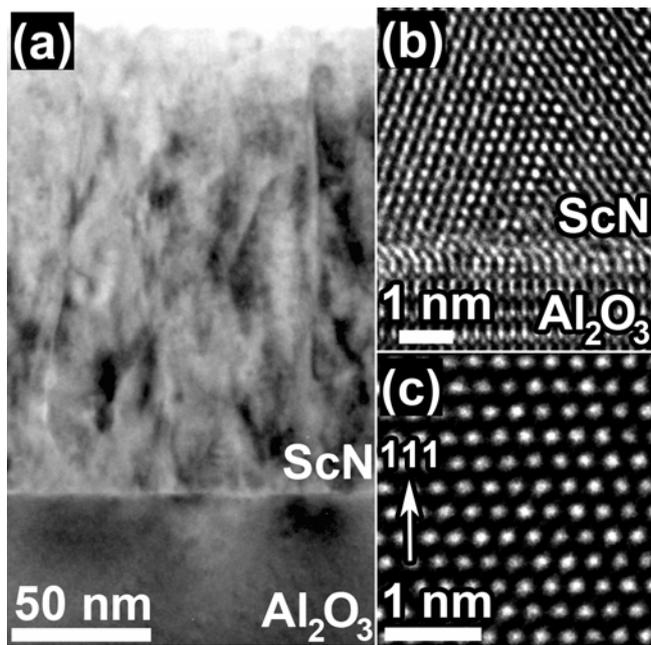
201

202 FIG 3. (color online) Thermoelectric properties of a ScN film was measured from room
203 temperature to 800 K, (a) Seebeck coefficient (*left*) and electrical resistivity (*right*) as
204 functions of temperature, and (b) Power factor S^2/ρ vs. temperature from 300 – 800 K for
205 three measured cycles.

206

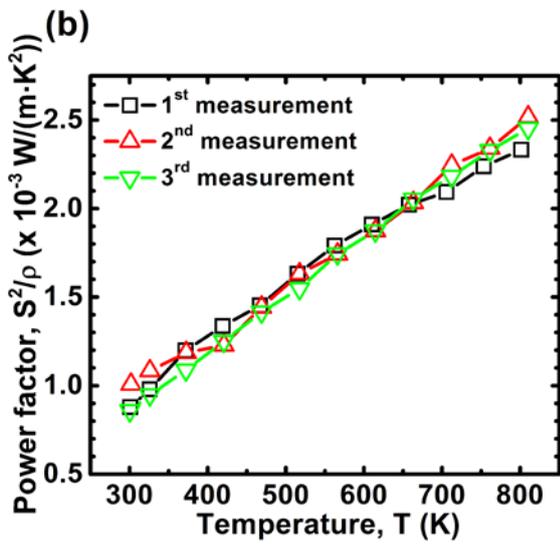
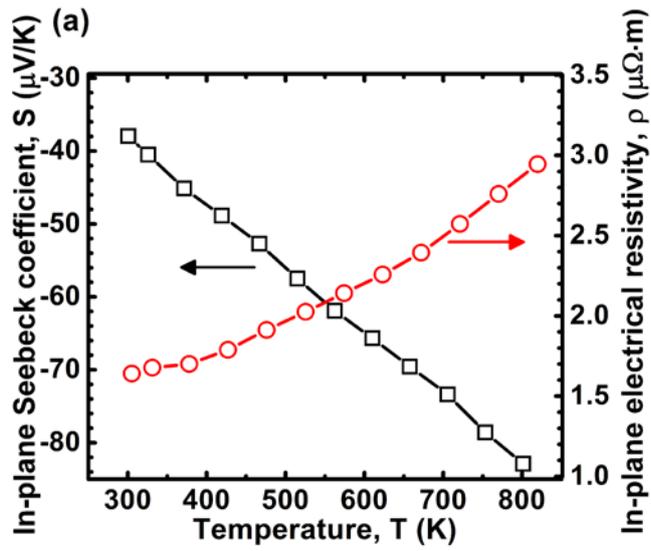


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