Injectable Colloidal Gold for Use in Intrafractional 2D Image-Guided Radiation Therapy

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In the western world, approximately 50% of all cancer patients receive radiotherapy alone or in combination with surgery or chemotherapy. Image-guided radiotherapy (IGRT) has in recent years been introduced to enhance precision of the delivery of radiation dose to tumor tissue. Fiducial markers are often inserted inside the tumor to improve IGRT precision and to enable monitoring of the tumor position during radiation therapy. In the present article, a liquid fiducial tissue marker is presented, which can be injected into tumor tissue using thin and flexible needles. The liquid fiducial has high radio-opacity, which allows for marker-based image guidance in 2D and 3D X-ray imaging during radiation therapy. This is achieved by surface-engineering gold nanoparticles to be highly compatible with a carbohydrate-based gelation matrix. The new fiducial marker is investigated in mice where they are highly biocompatible and stable after implantation. To investigate the clinical potential, a study is conducted in a canine cancer patient with spontaneously developed solid tumor in which the marker is successfully injected and used to align and image-guide radiation treatment of the canine patient. It is concluded that the new fiducial marker has highly interesting properties that warrant investigations in cancer patients.

1. Introduction

Nanomaterials offer unique properties that can provide society with new healthcare technologies for treatment of disease. With the increasing aging of the population in the western world, cancer incidence continues to rise creating a crucial demand for efficient and cost-effective therapies. Radiation therapy is a cost-effective treatment modality and approximately 50% of cancer patients receive radiation therapy after being diagnosed with cancer. Modern radiation oncology relies on advanced, high-precision imaging techniques such as computed tomography (CT), positron emission tomography (PET), and magnetic resonance (MR) to enable the delivery of high radiation doses to defined targets. However, tumors rarely display a fixed position during irradiation or within the treatment period...
due to breathing motion, changes in organ filling, and tumor size. To compensate for variations in tumor position frequently imaging (kilovoltage radiographs or fluoroscopy)—also referred to as 2D X-ray imaging during the course of treatment or image-guided radiation therapy (IGRT) is now being used in the clinic to improve radiation precision and accuracy, thereby reducing the treatment toxicity and optimizing therapeutic efficiency. When the tumor position cannot be correlated with internal reference points such as the skeleton, tumor localization can be enhanced by alignment to radiographic markers implanted in or near the tumor. Such markers can improve treatment through optimized planning, tumor positioning accuracy, and tumor tracking resulting in more precise dose delivery and enable treatment of cancers, which are presently not eligible for radiotherapy. Tissue markers currently used in the clinic are metal-based solid implants with large physical dimensions, which require complicated insertion procedures and therefore the risk of complications. Lung tumor insertion is especially problematic, with pneumothorax (reported in 33%–68% of patients) as well as bleeding being reported as primary complications. In order to increase the usability and patient compliance of radiographic markers, we recently described the concept of a liquid injectable fiducial marker by exploiting polyethylene glycol (PEG)-coated gold nanoparticles (AuNPs) in a nonsolvent-induced phase separation (NIPS) gelation matrix. This system was found to be biocompatible and clearly visible on 3D X-ray imaging. However, due to the chemical properties of the encapsulated AuNPs only 10 mg AuNPs mL\(^{-1}\) providing approximately 200 Hounsfield units (HU) of contrast could be included, which is insufficient for 2D X-ray visualization. For gating-based radiation procedures, often exploited in for example lung cancer patients, 2D X-ray visualization is required for intrafractional real-time imaging of moving tumors. Additionally, fiducial marker visualization using 2D X-ray techniques lowers the X-ray exposure level to the patients and may reduce treatment time compared to 3D X-ray-based procedures. Furthermore, with the increasing focus on stereotactic radiation procedures and the clinical introduction of proton therapy, visualization of tumor position and motion becomes crucial to optimize irradiation of cancerous tissue. Therefore, radiopaque fiducial markers continue to be in high demand and development of new marker systems is of paramount importance.

In the present work, we have investigated a novel liquid fiducial marker (nanogel) system based on sucrose acetate isobutyrate (SAIB) and uniform poly(N-isopropyl acrylamide) (PNIPAM)-coated AuNPs with radio-opacity adequate for 2D X-ray visualization. SAIB, a fully acylated derivative of sucrose, is a biodegradable nonpolymeric compound, which has been approved for oral consumption and is generally regarded as safe (GRAS) by the FDA. SAIB mixtures with ethanol (EtOH) behaves as Newtonian liquids and have a low viscosity (50–200 mPa s) suited for injection using thin hypodermic needles. Upon hydration, an amorphous (gel-like) solid is formed, which efficiently encapsulates high concentrations (>100 mg Au mL\(^{-1}\)) of PNIPAM-coated AuNPs providing contrast levels sufficient for visualization in 2D X-ray imaging techniques such as fluoroscopy and ExacTrac imaging (Brainlab AG, Feldkirchen, Germany). The developed nanogel has been characterized in vitro and the in vivo stability and visibility was evaluated in immunocompetent mice over a period of 8 weeks. Proof-of-concept in a clinical canine cancer patient with a spontaneous growing tumor was additionally established and the developed nanogel with PNIPAM-coated AuNPs was applied in IGRT in a canine cancer patient where marker visibility, stability, and marker migration were evaluated over time during the course of radiotherapy. The developed nanogel fulfills the requirements for use in guidance of radiation therapy as it is easy to inject, remains stable over the full time course of radiation treatment (6 weeks), shows no sign of migration, and is the first liquid fiducial marker to enable visualization using both 2D and 3D X-ray-based imaging techniques.

2. Results and Discussion

Visualization of fiducial markers in 2D X-ray imaging requires high electron density within the nanogel to possess sufficient X-ray attenuation/radio-opacity, which can be achieved by doping the injectable gel with colloid AuNPs. We recently exploited the use of PEG-coated AuNPs but due to the high hydrophilicity of the PEG-coated AuNPs only 10 mg AuNPs mL\(^{-1}\) could be included in the liquid marker, which was insufficient for 2D X-ray visibility. Higher AuNP-concentrations resulted in a significant burst release due to the higher preference of the hydrophilic PEG-coated AuNPs for the surrounding aqueous phase.

2.1. Engineering AuNPs for Enhanced Nanogel Compatibility

In order to increase the concentration of AuNPs and enhance the compatibility of the AuNPs with the hydrophobic SAIB-based gelation matrix, two different strategies were investigated: i) Masking the AuNPs by chemisorption of a dithiolane SAIB derivate ((SH)\(_2\)-SAIB) (5) (see Section 2.1.1, and Supporting Information) and ii) using commercially available thiol-functionalized PNIPAM with a molecular weight of 3.500 Da (SH-PNIPAM\(_{5,000}\)). Both strategies resulted in hydrophobic AuNPs, which was anticipated to reduce the previously observed AuNP burst release from the nanogels. Hence, we hypothesized that realization of one or both of these strategies should enable formulation of nanogels with higher concentrations of AuNPs resulting in higher X-ray contrast levels.

2.1.1. Synthesis of SAIB-Based Capping Agent for AuNP Functionalization

The dithiolane-functionalized SAIB derivate 5 was prepared as outlined in Scheme S1 (Supporting Information) starting from sucrose (1). Mono silyl protection of the 6′-OH position of the fructofuranose unit was achieved using tert-butyl(chloro)diphenylsilane (TBDPS-Cl) and 4-dimethylaminopyridine (DMAP) yielding 6'-O-TBDPS-sucrose (2). The remaining hydroxyl groups were isobutyrylated using isobutyric anhydride and DMAP resulting hepta-isobuteryl-6′-O-TBDPS-sucrose (3). Initial attempts of deprotection of the TBDPS group using...
tetra-n-butylammonium fluoride (TBAF) indicated a high degree of isobutyryl migration; fortunately, this could be suppressed by addition of acetic acid leading to hepta-isobutyryl-sucrose (4). Finally, the dithiolane moiety was introduced by N-\((3\text{-dimethylaminopropyl})\)-N’-ethylcarbodiimide (EDC) activation of lipoic acid in the presence of DMAP yielding the dithiolane-functionalized SAIB derivate 5 (a detailed description of synthesis and compound characterization is available as Supporting Information).

2.1.2. AuNP Synthesis, Functionalization, and Characterization

Citrate-stabilized AuNPs were synthesized using a seeding procedure as previously described resulting in uniform electrostatic-stabilized AuNPs with a diameter of approximately 40 nm\(^{[17]}\). Subsequently, chemisorption of SH-PEG\(_{5000}\), SH-PNIPAM\(_{3500}\), or (SH)\(_2\)-SAIB (5) to the citrate-stabilized AuNPs was conducted in aqueous solution in order to increase the colloid compatibility with SAIB-based gels (Figure 1A). Increasing the compatibility of the AuNPs with the SAIB-matrix includes increasing the hydrophobicity of the particles. However, a delicate balance exists where the hydrophobicity of the particles is increased without compromising their stability in aqueous solution during synthesis. As evident from the UV–vis (Figure 1B) and dynamic light scattering (DLS) (Figure 1C) analysis, AuNP coating with PEG and PNIPAM was successful. Only a slight increase in the surface plasmon resonance was observed, which is expected due to the microenvironmental changes on the surface of the particles. Additionally, DLS confirmed the presence of the two polymers at the colloid interface since an increase of 15.1 and 12.9 nm was observed for the PEG-coated and PNIPAM-coated AuNPs, respectively. However, chemisorption of (SH)\(_2\)-SAIB (5) to the surface of the electrostatic-stabilized AuNPs caused considerable aggregation due to the poor aqueous solubility of the formed particles, even under the very diluted conditions used. The aggregates formed from SAIB-coated AuNPs were isolated but redispersion in organic solvents such as absolute ethanol was not possible; thus, this class of particles was not investigated further. Synthesis and
subsequently coating of AuNPs with (SH)$_2$SAIB (5) in pure organic solvent using the Burst–Shiffin method may be feasible, but this was not attempted due to the small size of particles formed by this method (<10 nm) and the fact that non-biodegradable solvents such as toluene and toxic phase-transfer agents have to be used, which could limit their use in biological settings.\cite{27}

Additionally, handling of PNIPAM-coated AuNPs was found to be superior compared to PEG-coated AuNPs as these could be lyophilized and stored for prolonged time as an air stable powder. It should be noted that by simply adding NaCl to the solution, the hydrophilic, but inorganic AuNPs suspended in the soluble organic solvent can be converted into AuNPs suspended in MQ-H$_2$O. This provides the possibility of increasing AuNP concentration, storage, and nanogel formulation compared to the previous described method where AuNPs were transferred into anhydrous EtOH by centrifugation prior to use.

2.2. Contrast Evaluation for 2D X-Ray Visualization of Nanogel in Humans

In order to evaluate the required concentration of AuNPs within the nanogel for visibility on 2D X-rays images in humans, a simulation of 2D digitally reconstructed radiographs (DRRs) was performed based on clinical 3D CT-data for a patient diagnosed with lung cancer. A lung tumor model was chosen as this region is considered as a worst-case scenario due to the high concentration of bone structure in the thoracic region. A simulated nanogel (500 µL) was digitally placed inside the lung tumor of the patient and the specified HU contrast level was varied from 500 to 2000 HU with increments steps of 500 HU on the 3D CT-data. 2D DRRs were created for all angles entering perpendicular around the patient in steps of 5° using a ray-tracing algorithm\cite{28,29} resulting in 72 simulated projections for each contrast level (Figure 2). The center position of the DRRs was chosen to be in the center of the simulated nanogel placed centrally within the lung tumor of the patient. On the resulting DRRs, the visibility for each contrast level was evaluated by a contrast-to-noise ratio (CNR) approach, where a region of interest (ROI) for one projection and contrast level was contoured to define the nanogel and then transferred to all other projections. The mean intensity ($M_{m}$) and standard deviation ($\sigma_{m}$) of ROIs were calculated and compared to the mean ($M_{b}$) and standard deviation ($\sigma_{b}$) from a ROI on a DRR with no simulated nanogel present according to a CNR evaluation (Equation (1)).

$$\text{CNR} = \frac{|M_{m} - M_{b}|}{\sigma_{m} + \sigma_{b}}$$

The CNR level can be considered a quantitative measurement of the visibility of the object measured compared to the background contrast from the surroundings. Generally, high CNR translate to increased visibility of the marker. We considered the simulated nanogel visible in the 2D DRR if CNR > 2. This value corresponds to a significance level of 0.05 obtained from a two-tailed t-test with a large number of degrees-of-freedom.\cite{30}

As evident from Figure 2, some angles require a higher X-ray contrast level of the nanogel in order to obtain a CNR > 2 as these penetrate bone structure prior to reaching the nanogel. Other angles are more easily accessible and thereby result in lower background contrast, thus making the nanogel more visible on the 2D DRRs. Based on the lung cancer patient projection simulation on the DRRs, it was evident that the contrast level required for CNR > 2 in 95% of all perpendicular angles was approximately 1000 HU (spherical nanogel with a total volume of 500 µL) corresponding to a nanogel with approximately 30 mg AuNPs mL$^{-1}$. A comparison of the 2D X-ray contrast level from PNIPAM-coated AuNPs (10, 30, 60, and 90 mg mL$^{-1}$) and clinically used solid fiducial markers is provided in Figure S2, Supporting Information.

2.3. In Vitro Evaluation of Nanogel Performance

In vitro release kinetics of encapsulated PEG-coated and PNIPAM-coated AuNPs in SAIB nanogels doped with polylactic acid (PLA) with the composition SAIB/EtOH/PLA (75:20:5) was examined by placing small droplets (200 µL) of nanogels into MQ-H$_2$O at physiological temperature. Small aliquots of MQ-H$_2$O were removed at specific time intervals and replaced with fresh MQ-H$_2$O to mimic the sink effect in vivo. The amount of released AuNPs was determined by UV–vis spectroscopy using a standard curve of individual synthesized and coated AuNPs with a known concentration (Figure S3, Supporting Information). Injection of nanogel into aqueous solution causes an efflux of EtOH from the nanogel into the aqueous compartment. Efflux of EtOH results in rapid increase in gel viscosity\cite{24} but may also induce a burst release of encapsulated AuNPs.\cite{24} Indeed, a rapid burst release of PEG-coated AuNPs from nanogels composed of SAIB/EtOH/PLA (75:20:5) + 30 mg PEG-coated AuNPs mL$^{-1}$ was observed. In contrast, the use of PNIPAM-coated AuNPs resulted in highly stable nanogels with no (<1%) AuNP release over time in vitro despite the high AuNP concentration in the gel (Figure S3, Supporting Information). Concentrations as high as 100 mg PNIPAM-coated AuNPs mL$^{-1}$ were evaluated without induced burst release of encapsulated PNIPAM-coated AuNPs (data not shown). The enhanced compatibility of the PNIPAM-coated AuNPs with the SAIB matrix compared to the PEG-coated counterpart can be explained by the enhanced hydrophobicity and potential hydrogen bonding interactions between

![Figure 2. Contrast to noise (CNR) level versus angle of entrance for a simulated nanogel (500 µL) with various contrast levels (500–2000 HU) placed digitally inside a lung tumor.](image-url)
2.4. In Vivo Evaluation of Nanogel Performance

2.4.1. Evaluation of Nanogel in Immunocompetent Healthy NMRI-Mice

Nanogels (200 µL) composed of SAIB/EtOH/PLA (75:20:5) + 30 mg PNIPAM-coated AuNPs mL⁻¹ were injected subcutaneously in immunocompetent NMRI-mice (n = 5) at the upper left flank using a 25G needle under general anesthesia. The nanogel was visualized using micro-CT imaging over a period of 8 weeks (images acquired directly after injection and again after 24, 48 h, 1, 2, 4, and 8 weeks) using a dedicated small-animal scanner (Figure 3). Based on the recorded micro-CT images, the nanogel X-ray contrast level, degradation rate, and homogeneity were evaluated as a function of time (Figure 4). No significant changes in the nanogel volume were observed after the initial EtOH efflux phase, which caused approximately 20% reduction of nanogel volume in good correlation with the known EtOH content of the nanogel prior to injection. The nanogel doped with 30 mg PNIPAM-coated AuNPs mL⁻¹ provided a mean X-ray contrast level in the range of 1200 HU, which is sufficient for 2D X-ray visualization as previously described. A reduction of the mean contrast level of the nanogel was observed over time possible due to some AuNP leakage or aggregation of particles within the nanogel. Figure 4B clearly displays the increased maximum contrast level within the nanogel during the first week following intra-gel AuNP aggregation resulting in very high contrast levels (>15,000 HU) (Figure S4, Supporting Information). The homogeneity of the nanogel in terms of PNIPAM-coated AuNP distribution within the gel matrix was analyzed further by investigating each voxel of the micro-CT images as illustrated in Figure 4C. As evident from the cumulative histograms for a representative mouse, the PNIPAM-coated AuNPs were found to gradually aggregate within the nanogel as previously observed for PEG-coated AuNPs within the same gel matrix. In fact, no significant difference was found in the variance of the PEG-coated and PNIPAM-coated AuNPs within the nanogels as analyzed by the Wilcoxon rank-sum test (p = 0.0734). The inhomogeneous PNIPAM-coated AuNPs distribution within the nanogel could be visualized in the mice due to the high resolution and small slice thickness (0.092 × 0.092 × 0.092 mm³) of the micro-CT scanner. The aggregation phenomenon of the PNIPAM-coated AuNPs within the nanogel has no clinical impact as human clinical scanners operate with significant larger slice thickness (2–3 mm) and lower resolution (1 × 1 mm²) causing the AuNP microstructure aggregation to be below the resolution of recorded images.

2.4.2. Evaluation of Nanogel in a Canine Cancer Patient with Spontaneously Developed Solid Tumor

In order to fully validate the concept of liquid injectable fiducial markers for IGRT, the nanogel was evaluated in a canine cancer patient suffering from spontaneously developed malignant solid tumor (mast cell tumor). Solid tumors often show an increased interstitial fluid pressure (IFP) possibly due to vessel abnormalities, fibrosis, and contraction of the interstitial matrix mediated by stromal fibroblasts. Increased IFP may serve as an obstacle for intratumoral injection of the developed nanogel as the available intracellular space for marker assembly post-injection is limited and the increased IFP may repel the nanogel into adjacent areas. Additionally, migration of the nanogel over time may compromise the accuracy of fiducial...
marker-based IGRT and potentially result in misguidance of the external radiation beam, which, in turn, leads to poor tumor coverage and increased radiation to healthy adjacent tissue.\textsuperscript{16,34} Such obstacles are not encountered for subcutaneous injections of the nanogel as evaluated in immunocompetent NMRI-mice, hence the canine cancer patient with a spontaneously developed solid tumor (mast cell tumor) served as a clinical animal model with high translational value for evaluating the clinical potential of the developed nanogel.

A companion dog (Male, Rhodesian Ridgeback, 10 years, body weight 38 kg) diagnosed with a subcutaneous mast cell tumor (maximum distance ($x \times y \times z$); $1.82 \times 5.81 \times 5.32$ cm$^3$, CT volume; $31.64$ cm$^3$) adherent to the underlying soft tissue located over the dorsal aspect of the left shoulder blade was given an intratumoral injection of nanogel (300 µL) composed of SAIB/EtOH/PLA (75:20:5) + 30 mg PNIPAM-coated AuNPs mL$^{-1}$ under general anesthesia (Figure 5) prior to radiation therapy. Approximately 24 h after the injection of the nanogel, a diagnostic planning CT-scan of the tumor/nanogel region was performed and the therapeutic regimen consisting of four fractions of 6 Gy radiation therapy planned accordingly. Radiation therapy was delivered over 16 d on a clinical linear accelerator equipped with on-board imaging (OBI) capabilities (3D cone beam CT (CBCT) and 2D X-ray imaging).

Prior to each fraction of radiotherapy, CBCT (Figure 6) images and 2D X-ray imaging (Figure S5, Supporting Information) were acquired and used for positioning based on nanogel and tumor. Radiation therapy was delivered according to the nanogel position. The size (Table 1) and stability of the nanogel in terms of migration and deformation were evaluated based on the recorded CBCT images (Figure 6) by manually contouring the nanogel using 300 HU as a lower threshold. The nanogel size remained constant over the time of treatment with no change in overall volume was observed. The small variations observed in Table 1 are a consequence of motion artifacts from respiratory movement on the recorded CBCT images. Additionally, image analysis revealed that the nanogel retained its 3D shape and was found to be immobilized/associated with the tumor as no migration of the nanogel was observed during the course of radiation therapy despite the canine patient exercising and resting on the tumor inducing mechanical stress on the nanogel. Finally, exposure to four fractions of 6 Gy each was not found to alter the nanogel morphology as evaluated based on the CBCT images. The nanogel was well tolerated and no clinical side effects were observed throughout the study.

3. Conclusion

A novel nanogel composition based on PNIPAM-coated AuNPs formulated in a mixture of SAIB/EtOH/PLA (70:20:5) has been developed. PNIPAM-coated AuNPs were found to be highly compatible with the hydrophobic SAIB-based gel matrix facilitating encapsulation of high concentration of colloidal gold, which enables 2D X-ray visualization of the nanogel. This was confirmed by a simulation of 2D DRRs of a patient suffering from lung cancer. The developed nanogel is the first injectable fiducial marker that can be visualized in 2D X-ray imaging thereby enabling intra- and interfractional IGRT by referencing the nanogel position. The nanogel performance was evaluated
in vivo in immunocompetent mice by subcutaneous injection. Excellent visibility of the nanogel by micro-CT imaging was observed with a mean contrast level of 1200 HU after injection. Over time, the PNIPAM-coated AuNPs were found to form microaggregates within the gel matrix as confirmed by image analysis of the high-resolution micro-CT images. This phenomenon was found to have no clinical impact due to the larger slice thickness of human clinical scanners and lower resolution compared to small animal micro-CT scanners.

The concept of an injectable fiducial marker for use in IGRT was finally validated in a canine cancer patient with a large spontaneous solid tumor. The canine patient provides translational understanding and allowed us to evaluate the clinical potential of the nanogel. The nanogel displayed high image contrast on in vivo in immunocompetent mice by subcutaneous injection. Excellent visibility of the nanogel by micro-CT imaging was observed with a mean contrast level of 1200 HU after injection. Over time, the PNIPAM-coated AuNPs were found to form microaggregates within the gel matrix as confirmed by image analysis of the high-resolution micro-CT images. This phenomenon was found to have no clinical impact due to the larger slice thickness of human clinical scanners and lower resolution compared to small animal micro-CT scanners.

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Canine: A privately owned companion dog (Male, Rhodesian Ridgeback, 10 years, bodyweight 38 kg) suffering from a spontaneously developed subcutaneous mast cell tumor (maximum distance ($x \times y \times z$): 1.82 x 5.81 x 5.32 cm$^3$, CT volume: 31.64 cm$^3$) adherent to the underlying soft tissue located over the dorsal aspect of the left shoulder blade was used as a clinical relevant model. In conjunction with the standard diagnostic procedures, nanogel (300 µL) was manually injected centrally in the tumor using a 23 G needle and 1 mL syringe. Approximately 24 h after the injection of the nanogel, a diagnostic CT scan (Biograph 40, Siemens Medical Solutions, Erlangen, Germany) of the tumor region was performed with the dog under general anesthesia using the following acquisition parameters: 120 kV, 150 mAs, pixel size 0.977 x 0.977 x 1.00 mm$^3$. As part of the therapeutic procedure radiation therapy was planned according to the tumor histology and imaging characteristic, and a therapeutic regimen consisting of four fractions of 6 Gy radiation treatments was chosen. Radiation therapy was delivered by a linear accelerator (Novalis TX, Varian Medical Systems, Palo Alto, CA, USA) with on-board imaging capabilities (Cone Beam CT and 2D X-ray imaging) and orthogonal ExcactTrac radiography (Brainlab AG, Feldkirchen, Germany). No side effects were observed throughout the study. The canine cancer patient study was approved by the Ethics and Administrative Committee at the Department of Veterinary Clinical and Animal Sciences, Faculty of Health and Medical Sciences, University of Copenhagen, Denmark.

Analysis of Nanogel Homogeneity: The nanogels were delineated by hand using ITK-SNAP.[11] All data analyses were done in MATLAB (The MathWorks Inc., Natick, MA, USA) using in-house-developed software. Based on the voxel values in the gels mean and max values, as well as cumulative histograms were calculated. The cumulative histograms were used for homogeneity evaluation, as changes in the AuNP distribution are reflected in the voxels values and thus the histograms.

Supporting Information
Supporting Information is available from the Wiley Online Library or from the author.

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