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CLINICAL EVALUATION OF SYNTHETIC APERTURE HARMONIC IMAGING FOR SCANNING FOCAL MALIGNANT LIVER LESIONS

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Abstract—The purpose of the study was to perform a clinical comparison of synthetic aperture sequential beamforming tissue harmonic imaging (SASB-THI) sequences with a conventional imaging technique, dynamic receive focusing with THI (DRF-THI). Both techniques used pulse inversion and were recorded interleaved using a commercial ultrasound system (UltraView 800, BK Medical, Herlev, Denmark). Thirty-one patients with malignant focal liver lesions (confirmed by biopsy or computed tomography/magnetic resonance) were scanned. Detection of malignant focal liver lesions and preference of image quality were evaluated blinded off-line by eight radiologists. In total, 2,032 evaluations of 127 image sequences were completed. The sensitivity (77% SASB-THI, 76% DRF-THI, p = 0.54) and specificity (71% SASB-THI, 72% DRF-THI, p = 0.67) of detection of liver lesions and the evaluation of image quality (p = 0.63) did not differ between SASB-THI and DRF-THI. This study indicates the ability of SASB-THI in a true clinical setting. (E-mail: andreaskr5@gmail.com) © 2015 World Federation for Ultrasound in Medicine & Biology.

Key Words: Synthetic aperture, Sequential beamforming, Tissue harmonic imaging, Image evaluation, Liver lesion.

INTRODUCTION

Ultrasound plays a major role in medical imaging and is used for diagnosis and assessment in a variety of medical specialties. Hence, the improvement of ultrasound techniques will benefit a large group of patients and health care workers. Tissue harmonic imaging (THI) is an ultrasound technique that improves image resolution and contrast and provides gray-scale imaging with fewer artifacts (Averkiou et al. 1997; Tranquart et al. 1999; Ward et al. 1997). Combining conventional ultrasound algorithms with THI is therefore a standard method to improve the image quality of gray-scale imaging (Desser and Jeffrey 2001; Hann et al. 1999; Shapiro et al. 1998; Tranquart et al. 1999). However, conventional B-mode imaging techniques have several technical constraints, as images are acquired sequentially one image line at a time. The frame rate is limited by the speed of sound in tissue, the scanning depth and the number of image lines. The high image resolution with a large number of image lines is, thus, obtained at the expense of frame rate. Image generation is further affected by a fixed transmit focus, causing the image to be optimally focused at only one depth. This can be improved by using multiple transmit foci, but the weakness of this solution is an increased number of emissions, which reduces the frame rate even further (Holm and Yao 1997).

High image resolution and high frame rate can be obtained with synthetic aperture (SA) (Sherwin et al. 1962). SA was originally developed from radar systems for geologic and sonar applications, but has been modified for medical imaging (Burckhardt et al. 1974). The basic idea underlying SA is generation of a high-resolution image from a number of low-resolution images (Jensen et al. 2006). An active element is selected stepwise through the array. At each step, an unfocused
beam is emitted, and all the elements in the array receive echoes to create the low-resolution images.

Several different implementations of SA exist. However, a common disadvantage hindering real-time implementation on a commercial scanner is the high system requirements (Behar and Adam 2005; Gammelmark and Jensen 2003; Karaman et al. 1995). Synthetic aperture sequential beamforming (SASB) was introduced to reduce the system requirements of SA. SASB is a dual-stage procedure using two separate beamformers (Kortbek et al. 2008). The first beamformer reduces the data throughput requirement to that of a single output signal, that is, a factor of 64 for a 64-channel receive system. The second beamformer recombines a set of emissions to create the final high-resolution image (Hemmsen et al. 2012a; Kortbek et al. 2013). Previous studies have evaluated the image quality of SASB against conventional dynamic receive focusing (DRF) and reported equally good image quality, indicating that SASB is applicable to medical imaging (Hemmsen et al. 2011, 2012b, Hansen et al. 2014). SASB can generate an acoustic field intense enough to create harmonics for THI, and it has been suggested that these techniques be combined to improve the image quality of SASB even further. The pulse inversion technique was used to generate THI, and the beamforming steps for the final SASB-THI image are illustrated in Figure 1 (Hemmsen et al. 2014b; Rasmussen et al. 2012; Yigang et al. 2011). In a preliminary study in which healthy volunteers were scanned, two radiologists evaluated the image quality of SASB-THI as equal to that of a conventional imaging technique combined with THI (DRF-THI), indicating that SASB-THI can be used for medical imaging (Rasmussen et al. 2013).

The purpose of this study was to perform a clinical comparison of DRF-THI and SASB-THI using liver scans of patients with confirmed malignant focal liver cancer. The image sequences generated, SASB-THI and DRF-THI videos, were evaluated by radiologists for detection of malignant focal liver lesions and to assess the image quality of SASB-THI compared with that of DRF-THI in a clinical setting.

METHODS

Patients

Forty-three patients with different kinds of malignant focal liver cancer (primary liver tumor or liver metastasis) were asked to participate in the study. All patients were included after providing informed consent and on approval by the Danish National Committee on Biomedical Research Ethics (Journal No. H-1-2011-124). Before the study, liver lesions were diagnosed by biopsy or computed tomography/magnetic resonance (CT/MR). Surgery was scheduled the day after the ultrasound examination for all patients. Before the experimental scan, an orientation scan was performed with a conventional ultrasound scanner (UltraView 800, BK Medical, Herlev, Denmark), and if available, CT/MR was used to ensure correct scan position. Included were only patients in whom the pathology was visible on the orientation scan, which was performed without contrast enhancement. Twelve patients were excluded because the pathology was not visible; thus, a total of 31 patients with focal liver cancer (28 colorectal liver metastases and 3 hepatocellular carcinomas) were examined with the experimental setup. Among the patients examined were 10 women and 21 men, ranging in age from 37 to 82 y (mean ± standard deviation [SD]: 65.1 ± 10.4 y) and in body mass index from 16.8 to 33.0 kg/m² (mean ± SD: 24.7 ± 4.4 kg/m²).

Scanning

The patients were scanned in three positions where the liver lesions were visible and in three areas where no pathology was visible. The patients were positioned supine and were told to hold their breath and lie still during recording. All scans were performed by P.M.H. and A.H.B. The aim was to record six sequences for each patient, but because of technical challenges, this was possible for only 28 patients. One patient had only three recordings, and two patients had seven recordings because of errors made while saving and noticed after the scan session. A total of 185 image sequences were recorded.

The acoustic output of SASB-THI was determined before scanning. Intensities must be those recommended by the Food and Drug Administration (FDA) for abdominal scanning. The limits are given by the mechanical index, MI ≤ 1.9; the derated spatial peak, pulse average intensity, \( I_{\text{sppa}} \leq 190\ \text{W/cm}^2 \); and the derated spatial peak, temporal average intensity, \( I_{\text{spta}} \approx 94\ \text{mW/cm}^2 \) (Food and Drug Administration 2008). As SASB-THI and DRF-THI use the same transmit profile equal acoustic outputs are obtained. The intensities were MI = 0.9, \( I_{\text{sppa}} = 81.2\ \text{W/cm}^2 \) and \( I_{\text{spta}} = 16.2\ \text{mW/cm}^2 \) and, hence, were lower than the FDA limit.

Equipment and data acquisition

Experimental scans were performed with a conventional ultrasound scanner (UltraView 800, BK Medical, Herlev, Denmark) equipped with a research interface and an abdominal 3.5-MHz CL192-3 ML convex array transducer (Sound Technology, State College, PA, USA). The ultrasound scanner was connected to a stand-alone PC. With the experimental setup, images generated with SASB-THI and DRF-THI were recorded.
interleaved. One frame generated with SASB-THI followed one frame generated with DRF-THI. Images from the same anatomic location were thereby recorded almost simultaneously with both techniques, and ideal sequences for comparison were generated (Hemmsen et al. 2010, 2012c).

The first beamforming of the dual-stage beamforming of SASB-THI was performed on the conventional scanner, and data were then recorded on the PC. The second beamforming was performed on the PC using MATLAB (The MathWorks, Natick, MA, USA) and the in-house developed beamformation toolbox BFT3.
(Hansen et al. 2011). DRF-THI images were entirely generated by the conventional scanner. Field-of-view, time-gain compensation, frame rate (8 frames/s), apodization and depth (14.6 cm) were identical for the two techniques. Three-second image sequences were generated. During the recording, only images for navigational purposes from the first beamforming were visualized on the scanner.

The navigational image had a low frame rate and poor image quality and was therefore merely used for guidance during collection of data. The final image sequences were available off-line after second-stage beamforming. To ensure that clinically valuable image sequences were acquired, a subsequent selection was performed before the image evaluation. Images defined as not clinically valuable were (i) sequences in which no liver tissue was visible, (ii) sequences in which malignant focal liver cancer was not visible even though it had been reported and (iii) sequences in which patient movement made the sequence impossible to assess. The selection was done blinded to knowledge of image technique. Nevertheless, both SASB-THI and DRF-THI sequences were affected, as both techniques were processed from the same data. A total of 58 image sequences with the same number of images for each technique were removed. This corresponds to 31.4% of all recorded sequences; therefore, 127 image sequences remained for image evaluation (Fig. 2).Patients in the excluded sequences were similar in age (45–78 y, mean ± SD: 66.5 ± 7.8 y) and body mass index (18.6–33.0 kg/m², mean ± SD: 24.6 ± 4.4 kg/m²) to the patients whose sequences were included.

Image evaluation

Eight radiologists (examiners 1–8) blinded to the technical information evaluated all image sequences. The radiologists were asked to evaluate whether images contained malignant focal liver lesions, so that detection rates (sensitivity and specificity) could be determined. They were informed that some of the images contained malignant focal liver lesions. An in-house developed software program (IQap) was used for the evaluation (Hemmsen et al. 2010). Images obtained with the two techniques were shown separately, resulting in 254 evaluations by each radiologist for a total of 2,032 evaluations.

The same eight radiologists also compared the image quality of both imaging techniques. This was similarly performed with the IQap. For each image sequence, the images were displayed side-by-side. The evaluating radiologist had the option of viewing the sequences in real time and as single frames. Each sequence was shown twice and randomly switched from left to right, displaying each technique twice. By placing a sliding bar on a visual analogue scale (VAS) (Freyd 1923) (Fig. 3), radiologists indicated the sequence with their preferred image quality as previously described by Hansen et al. (2014). The VAS ranged from −50 to 50, and positive values always favored SASB-THI, regardless of the side on which the SASB-THI image was placed. The values on the VAS scale were not shown on the scale during the evaluation and were therefore arbitrary for the evaluator. By sliding the bar further to one side or the other, the evaluator indicated his or her preference for that technique. By placing the bar in the middle, the evaluator indicated no difference between the techniques. Each evaluated image sequence was given an integer or numbered zero if the evaluators found no difference.

Statistics

Detection of focal malignant liver lesions was assessed by calculating sensitivity and specificity. Confidence intervals for sensitivity and specificity, as well as p values for differences, were computed by bootstrapping to respect the complex dependence structures in the data. Inter-observer variability was calculated using Fleiss’ κ statistic, and κ values were interpreted as proposed by Landis and Koch (1977) for strength of agreement: ≤0 = poor, 0.01–0.20 = slight, 0.21–0.40 = fair, 0.41–0.60 = moderate, 0.61–0.80 = substantial and 0.81–1 = almost perfect.

Fig. 2. Overview of image sequences included and excluded in the image evaluation.
In the evaluation of image quality, a non-parametric Wilcoxon signed rank test with bootstrapping was used to test the hypothesis of no difference in preference. This test takes into account that the same pair of images is displayed twice to the same radiologists and that the same image pairs are shown to different radiologists. Furthermore, the test handles the difficulties resulting from each radiologist having his or her own interpretation of the VAS scale. A linear mixed model was applied to test the same hypothesis, in the subgroups of radiologists who seemed to use the VAS similarly. As all image pairs were shown with SASB-THI images both on the left side and on the right side, it was not necessary to control for left/right differences. For the evaluation of image quality, inter-observer and intra-observer variability was determined using Fleiss’ $\kappa$ statistic.

Data management was performed using Excel (Microsoft, Redmond, WA, USA) and MATLAB. Statistical analyses were performed using the statistical data analysis language R, Version 2.12.2 (http://www.r-project.org/).

RESULTS

A focal malignant liver lesion was present in 55 image sequences, whereas 72 image sequences revealed only healthy liver tissue. The sensitivity and specificity of detection of focal malignant liver lesions are illustrated in Figure 4. Both imaging techniques had similar sensitivity and specificity; that is, there were no significant differences in mean sensitivity and specificity between SASB-THI (sensitivity: 77%, 95% confidence interval [CI]: 70%–84%; specificity: 71%, 95% CI: 66%–77%) and DRF-THI (sensitivity: 76%, 95% CI: 69%–82%; specificity 72%, 95% CI: 67%–77%) ($p = 0.54$ [sensitivity] and 0.67 [specificity]). Inter-observer variability between the radiologists indicated moderate agreement ($k = 0.48$) when rating image sequences generated by SASB-THI and fair agreement ($k = 0.37$) when rating images generated with DRF-THI.

The image quality preference evaluation of each radiologist is illustrated in Figure 5. There was no preference for SASB-THI or DRF-THI in 63% (1,271/2032) of the evaluations, SASB-THI was favored by 16% (329/2032) and DRF-THI was favored by 21% (432/2032). The average rating for all radiologists was $0.10$ (95% CI: $-0.15$ to $0.26$), indicating no difference in preference for an imaging technique ($p = 0.63$). Inter-observer variability indicated poor agreement ($k = 0.0045$), and intra-observer variability indicated slight agreement ($k = 0.11$).

Radiologist 8 (Fig. 5) used the VAS scale more broadly then radiologists 1–7. An additional analysis, excluding radiologist 8, yielded an average rating of 0.045 (95% CI: $-0.15$ to 0.24), again indicating no preference for one technique ($p = 0.62$).

DISCUSSION

To our knowledge, this is the first study to examine use of the combination of SASB and THI on patients. Thirty-one patients with focal malignant liver lesions diagnosed by biopsy or MR/CT and scheduled for surgery...
the day after the experimental examination were included in the study. Eight radiologists evaluated SASB-THI and DRF-THI sequences of livers with and without focal malignant liver lesions to assess diagnostic sensitivity and specificity, as well as image quality. The findings from this study, together with previous theoretical and experimental reports (Hemmsen et al. 2014a; Rasmussen et al. 2013), suggest that SASB-THI can be used for medical imaging.

With respect to sensitivity and specificity, the techniques performed equally well (Fig. 4), indicating that SASB-THI has the same detection rate as a conventional imaging technique when evaluating images for malignant focal liver lesions. Reasonable sensitivity and specificity values were obtained with both techniques, as the rate of detection of metastases with unenhanced ultrasound has been reported to have a sensitivity of 50%–76% and specificity of 60%–96% (Beissert et al. 2000; Cantisani et al. 2014; Glover et al. 2002) and detection of hepatocellular carcinoma has been reported to have a sensitivity as low as 33%–57% and a specificity of 80%–92% (Kim et al. 2001; Shapiro et al. 1996). Sensitivity and specificity can be improved by the use of ultrasound contrast (Cantisani et al. 2014), which will be pursued in future studies.

With respect to image quality, 63% of the evaluations were rated alike, and statistical analysis indicated that the radiologists did not have a preference for one technique ($p = 0.63$). One radiologist used the VAS differently than the other examiners. To compensate for this, the analysis was conducted both with and without this radiologist, with no change in the results. The similar image quality of SASB-THI and DRF-THI may explain the low inter-observer and intra-observer variability, as radiologists were unable to distinguish images obtained with SASB-THI and DRF-THI, and their choices were thus solely coincidences.

Until now, few clinical studies have been performed with SA as the imaging technique. Previous studies have evaluated the quality of still images of patients with focal breast pathology (Kim et al. 2012, 2013). The major advantage of the present study is the possibility of reviewing real-time sequences and single frames. In our opinion, this is a more reliable evaluation, because ultrasound is a dynamic examination. Furthermore, in this setup, the sequences were recorded interleaved and the same anatomic areas were compared, as opposed to previous studies in which the different images were recorded one after the other (Sodhi et al. 2005; Yen et al. 2008).

In a previous pre-clinical study with SASB-THI as the imaging technique, only healthy slim volunteers were scanned (Rasmussen et al. 2013). Scanning heavy or obese patients is more difficult, because of the thicker abdominal fat layers and higher heart rates (Hansen et al. 2014). Several patients in this study were hard to scan as they had discomfort lying on their backs, an altered anatomic layout because of previous surgery, trouble holding their breath and trouble lying still. Combined with the coarse navigation image, which was displayed while data were recorded, these problems made scanning difficult and were the main reasons for excluding 31.4% of the sequences from the final experimental data. In our opinion, however, this does not diminish the results of this study, as both SASB-THI and DRF-THI images were similarly affected, and the decisions to exclude images were made without knowledge of the imaging technique used.

A disadvantage of synthetic aperture imaging systems is tissue motion artifacts, although these artifacts have been found to have a minor impact on image quality (Jensen et al. 2006; Pedersen et al. 2007). Requesting patients to hold their breath and lie still most likely reduced these artifacts. Some tissue motion was evident in the image sequences evaluated and no degradation of image quality was seen, which is consistent with previous findings with clinical SASB imaging (Hansen et al. 2014). However, this study did not evaluate tissue motion with beamformed SASB-THI images, which should be evaluated in future clinical studies.
All images were recorded at a relatively low frame rate without any image-improving algorithms, for example, speckle reduction filter, or image compounding. The images containing malignant focal liver lesions were therefore not optimized for diagnostic evaluation. Radiologists were told not to appraise the correct diagnosis, but only to detect the presence of malignant focal liver lesions. Future studies including different image-improving algorithms and using ultrasound contrast with SASB-THI will reveal more about the diagnostic accuracy of SASB-THI.

Apart from the high frame rate and high resolution achieved, the predominant advantage of SASB-THI is a factor of 64 times lower data transmission between the probe and processing unit compared with conventional imaging. This reduction in data transmission does not lower the quality of the image and supports the use of SASB-THI for clinical imaging. The reduction in data transmission indicates the possibility of implementing a synthetic aperture technique on a commercially available hand-held tablet and producing wireless transducers (Hemmsen et al. 2014a). A wireless transducer can improve clinical conditions, as it makes it easier to scan directly at the trauma site, makes it easier to maintain sterile conditions, simplifies ultrasound-guided intervention and peri-operative scanning, improves freedom of movement and optimizes awkward and ergonomically challenging positions (Munoz and Zamorano 2014). Combining the wireless transducer with a commercial tablet would spread the use of ultrasound tremendously, as tablets are relatively inexpensive and widely available. Moreover, easily maneuverable hand-held devices, like tablets, have proven to be useful in numerous clinical conditions (Lapostolle et al. 2006). In cardiology, in particular, hand-held devices have facilitated rapid diagnosis and patient screening in good agreement with conventional ultrasound systems (Biais et al. 2012).

CONCLUSIONS

Synthetic aperture sequential beamforming tissue harmonic imaging has successfully been used in a clinical setting. Patients with malignant focal liver cancer were scanned, and interleaved image sequences were recorded with both SASB-THI and DRF-THI. In a double-blinded setup, eight ultrasound-experienced radiologists rated SASB-THI equal to DRF-THI with respect to ability to detect malignant focal liver lesions and image quality. This indicates that SASB-THI can be used in the clinical setting. The advantage of the reduction in data transmission can be used to implement wireless real-time SASB-THI on a commercial available hand-held tablet.

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