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Published in:
Natural Product Reports

Link to article, DOI:
10.1039/C6NP00025H

Publication date:
2016

Document Version
Publisher's PDF, also known as Version of record

Citation (APA):

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The evolution of genome mining in microbes – a review

Nadine Ziemert,*ab Mohammad Alanjaryab and Tilmann Weber*c

Covering: 2006 to 2016

The computational mining of genomes has become an important part in the discovery of novel natural products as drug leads. Thousands of bacterial genome sequences are publicly available these days containing an even larger number and diversity of secondary metabolite gene clusters that await linkage to their encoded natural products. With the development of high-throughput sequencing methods and the wealth of DNA data available, a variety of genome mining methods and tools have been developed to guide discovery and characterisation of these compounds. This article reviews the development of these computational approaches during the last decade and shows how the revolution of next generation sequencing methods has led to an evolution of various genome mining approaches, techniques and tools.

After a short introduction and brief overview of important milestones, this article will focus on the different approaches of mining genomes for secondary metabolites, from detecting biosynthetic genes to resistance based methods and “evo-mining” strategies including a short evaluation of the impact of the development of genome mining methods and tools on the field of natural products and microbial ecology.

1. Introduction

The fast development of genome sequencing methods revolutionized almost every aspect of biology including natural product research. We have come a long way during the last three decades from the identification and manipulation of the first secondary metabolite genes to whole genome sequencing of thousands of bacterial genomes and metagenomes for a fast and automated discovery of promising new natural products and their role in the environment. With the wealth of genetic data available these days, there is no shortage of secondary metabolite gene clusters anymore; the challenge is now to effectively mine the data, connect whenever possible detected Biosynthetic Gene Clusters (BGC) to the vast amount of already known molecules and predict the ones that encode the most promising compounds. Plenty of tools are available to enable researchers to computationally mine genetic data and connect them to known secondary metabolites, and plenty of reviews are available that describe those tools and their applications. This review is focused on the development of genome mining methods over the last 10 years and the various strategies to detect and prioritize secondary metabolite gene clusters (Fig. 1). Rather than presenting extensive examples we focus on the rapid evolution of genome mining approaches and strategies and give some examples of when and how they were used for compound discovery, and which directions and challenges are remaining in the near future.
2. A short history of genome mining

Drug discovery efforts have traditionally been based on bioactivity screening efforts of natural sources such as plants, fungi or bacteria. Bioactive isolated chemical structures, so called natural products or derivatives of those have been used as drug leads for new antibiotics, anticancer agents or immunotherapeutics.\(^1,2\) Ignited by the discovery of penicillin and streptomycin, the golden age of antibiotics began and researchers discovered microbial secondary metabolites as an important source for new antibacterial compounds. Today, natural products remain the main source for new therapeutic agents. The genus *Streptomyces* has especially been chemically exploited for decades in search for new drugs.\(^3\)

With the establishment of *Streptomyces* genetics and the discovery of the first biosynthetic genes in the 70s and 80s, researchers started to understand the biosynthetic logic and genetic basis for the production of these compounds.\(^4,9\) Using classical genetics or reverse genetics approaches, it was possible to map and connect many biosynthetic gene clusters to known molecules.\(^9–12\)

Beginning with the new millennium and the full genome sequences of two well studied natural product producing strains *Streptomyces coelicolor*\(^13\) and *Streptomyces avermitilis*\(^14\) a scientist noticed the unexplored potential hidden in bacterial genomes. A *Streptomyces* genome contains on average about 30 secondary metabolite gene clusters and only two or three were known at the time.

At this time the classical idea of genome mining was born: predicting and isolating natural products based on genetic information without a structure at hand. Inspired by the observation that even well studied strains contain the genetic potential to synthesize many more compounds than detected analytically, the genome mining concept was expanded to other microbes where genome information became available, such as cyanobacteria,\(^19,40\) myxobacteria,\(^41–43\) and anaerobes.\(^44,45\) Nowadays, thousands of bacterial genomes have become available, whole culture collections are currently being sequenced, and new technologies like single cell genomics and metagenomics generate massive data to be analyzed.

The current largest collection of automatically mined gene clusters is the “Atlas of Biosynthetic gene Clusters”, a component of the “Integrated Microbial Genomes” Platform of the Joint Genome Institute (JGI IMG-ABC).\(^46\) As of February 2016, IMG-ABC contains entries for more than 960 000 putative gene clusters identified in JGI’s huge genome and metagenome datasets and public databases. However, only a very small fraction of these predicted BGCs are characterized and their products described. In a recent community effort within the “Minimum Information for Biosynthetic Gene clusters” (MIBig) standardization initiative a manual re-annotation of ~1300 BGCs has been carried out now providing a highly curated reference dataset.\(^37\)

3. Classical genome mining: search for enzymes involved in the biosynthesis of secondary metabolites

Mining for enzymes, or more precisely genes encoding enzymes putatively involved in secondary metabolite biosynthesis of...
interest is the most “classical” variant of genome mining. Although the diversity of secondary metabolites is huge, the biosynthetic principles and thus the biosynthetic machineries for many of these compounds are often strikingly conserved. This is reflected in the high amino acid sequence similarity of many of the core biosynthetic enzymes. Examples for classes of secondary metabolites using such conserved machineries are polyketides (PK), biosynthesized by polyketide synthases (PKS), non-ribosomally synthesized peptides (NRPs), produced by non-ribosomal peptide synthetases (NRPS), ribosomally and post-translationally modified peptides (RiPPs), aminoglycosides, and many more.

Even before large-scale genome sequence data were available, this sequence conservation was used in reverse genetics approaches to screen genetic libraries of producers for the presence of core biosynthetic genes. In fact, the first tool for automated cluster mining was DECEIPHER®, a proprietary pipeline and database developed around 2001 by the former company Ecopia Biosciences Inc. In the following years additional tools were developed and became freely available including BAGEL, CLUSEAN and antiSMASH. To provide a comprehensive overview on such software and databases, the “The Secondary Metabolite Bioinformatics Portal” was recently launched at http://www.secondarymetabolites.org. The community-driven website provides a regularly updated and maintained catalogue of available secondary metabolism specialized software and databases and direct links to the

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**Fig. 1** Evolution of genome mining. Overview about approaches and strategies to mine microbial genomes for novel secondary metabolites including selected developments in methods: A;4–8 B;15 C;9–12 D;16–18 E;19 F;20 G;21,22 H;23,24 I;25 J;26 K;27,28 L;29,30 M;31 N;32 O;33 P;34 Q;35 R;36 S;37,38
Table 1  Examples of novel compounds identified by mining for core biosynthetic enzymes. Only examples where the BGC directed the identification of the novel metabolites are included.

<table>
<thead>
<tr>
<th>Compound name</th>
<th>Gene cluster ID (MIBiG)/Genbank</th>
<th>Means of identification</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type I polyketides</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Asperfuranone</td>
<td>BGC0000022</td>
<td>Search for PKS genes in <em>A. nidulans</em> whole genome sequence</td>
</tr>
<tr>
<td>Stambomycins</td>
<td>BGC0000151</td>
<td>Search for modular PKS genes in <em>S. ambifaciens</em></td>
</tr>
<tr>
<td>Salinilactam</td>
<td>BGC0000142</td>
<td>Search for PKS/NRPS domain sequences (and other secondary metabolite biosynthesis related genes) in <em>Salinispora tropica</em> CNB-440</td>
</tr>
<tr>
<td>ECO-02301</td>
<td>BGC0000052</td>
<td>Genome scanning for PKS genes</td>
</tr>
<tr>
<td><strong>trans-AT polyketides</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rhizopodin</td>
<td>BGC0001111</td>
<td>Search for PKS/NRPS genes in <em>S. aurantiaca</em> Sg a15 using pipeline96</td>
</tr>
<tr>
<td><strong>Type II polyketides</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hexacins</td>
<td>Genbank: KT713752</td>
<td>Search for type II gene cluster with antiSMASH 2 (ref. 77)</td>
</tr>
<tr>
<td><strong>Type III polyketides</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Isogermicidin</td>
<td>Genbank: AL645882</td>
<td>Analysis of genes encoding type III PKS of <em>S. coelicolor</em> A3(2)</td>
</tr>
<tr>
<td><strong>NRPS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Aureusimines</td>
<td>BGC0000308</td>
<td>Search for conserved NRPS genes in <em>S. aureus</em> and other <em>Staphylococcus</em> strains</td>
</tr>
<tr>
<td>Coelichelin</td>
<td>BGC0000325</td>
<td>Search for NRPS genes in <em>S. coelicolor</em> M145</td>
</tr>
<tr>
<td>Poeamidine</td>
<td>BGC0001208</td>
<td>Search for NRPS genes in <em>Pseudomonas poae</em> RE*1-1-14</td>
</tr>
<tr>
<td>Orfamidine</td>
<td>BGC0000399</td>
<td>Search for NRPS genes in <em>P. fluorescens</em> Pf-5</td>
</tr>
<tr>
<td>Viscosin-family lipopeptide</td>
<td>Genbank: AM181176, Locus: PFLU_2552, 2553, 4007</td>
<td>Search for NRPS genes encoding cyclic lipopeptides</td>
</tr>
<tr>
<td><em>S. peucetius</em> siderophores</td>
<td>Not publicly available</td>
<td>Search for NRPS genes, analysis with NRPS–PKS</td>
</tr>
<tr>
<td>Thanapeptin</td>
<td>Genbank: CBLV010000330, Locus: BNV44_0667-0664</td>
<td>Search for NRPS genes with PKS/NRPS predictor, NP.searcher and antiSMASH2</td>
</tr>
<tr>
<td><strong>Hybrid PKS–NRPS</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Isolavipucone/dihydroisolvipucone</td>
<td>BGC0001122</td>
<td>Identification of the hybrid PKS/NRPS gene with SMUR365</td>
</tr>
<tr>
<td>Aspyridones</td>
<td>BGC0000959</td>
<td>Search for hybrid PKS/NRPS genes</td>
</tr>
<tr>
<td>Pyranonigrin E</td>
<td>BGC0001124</td>
<td>Search for hybrid PKS/NRPS genes; comparison with pyna of <em>A. niger</em> CBS 513.88</td>
</tr>
<tr>
<td>Halliamide</td>
<td>RefSeq: NC_013440, Locus: HOCH_RS34665-03960</td>
<td>Search for hybrid PKS/NRPS genes with antiSMASH28</td>
</tr>
<tr>
<td>Carlusic acid, carlusic acid methyl ester</td>
<td>Genbank: ACJE01000021, Locus: ASPNDRAFT_176722</td>
<td>Search for hybrid PKS/NRPS genes</td>
</tr>
<tr>
<td>Agglomerin F</td>
<td>Genbank: ACJE01000021, Locus: ASPNDRAFT_176722</td>
<td>Search for hybrid PKS/NRPS genes</td>
</tr>
<tr>
<td>Mutanobactin</td>
<td>Genbank: AE014133, Locus: SMU_1334-1349</td>
<td>Search for PKS and NRPS genes, analysis with NRPS–PKS362</td>
</tr>
<tr>
<td>Clarepoxcin A–E</td>
<td>BGC0001203, Locus: SMU_1334-1349</td>
<td>Search for specific KS domain responsible for synthesizing the epoxyketone warhead with eSNAPD37</td>
</tr>
<tr>
<td>Landepoxcin A/B</td>
<td>BGC0001202</td>
<td>Search for specific KS domain responsible for synthesizing the epoxyketone warhead with eSNAPD37</td>
</tr>
<tr>
<td><strong>RiPPs: lanthipeptides</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Venezuelin</td>
<td>BGC0000563</td>
<td>Search for genes encoding enzymes with N-terminal Ser/Thr kinase and C-terminal LanC-type domain</td>
</tr>
<tr>
<td>Streptocollin</td>
<td>BGC0001226</td>
<td>Search for lanthipeptide gene clusters</td>
</tr>
<tr>
<td>Informatipeptin</td>
<td>BGC0000518</td>
<td>Using automated genome mining for RiPPs and mass spectrometric analysis using RiPPquest116</td>
</tr>
<tr>
<td><strong>RiPPs: lasso peptides</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Capistruin</td>
<td>BGC0000572</td>
<td>Search for homologues of microcin J25 biosynthetic genes mgfBCD</td>
</tr>
</tbody>
</table>
In the following section, several examples – from the beginning of genome mining, where most sequence analysis steps had to be carried out manually – until the present, where comprehensive bioinformatic software packages aid the scientists to identify novel compounds, are discussed for important families of known and highly conserved biosynthetic enzymes (e.g., PKS domains) and evaluate the results using pre-defined manually curated rules. The most comprehensive platform to perform such analyses currently is antiSMASH. As there have been multiple recent publications reviewing these tools, here we focus primarily on the application aspects. Several tools exist focusing on specific classes of secondary metabolite biosynthetic pathways, mostly PKS and/or NRPS or RiPPs. All these tools screen genomic data using profiles of known and highly conserved biosynthetic enzymes and evaluate the results using pre-defined manually curated rules. The most comprehensive platform to perform such analyses currently is antiSMASH. In the current version 3.04, antiSMASH can identify 44 different gene cluster types based on hits against a library of enzymes/protein domains commonly observed in secondary metabolite biosynthetic pathways.

### 3.1 Mining for genes encoding core-biosynthetic enzymes

In the following section, several examples – from the beginning of genome mining, where most sequence analysis steps had to be carried out manually – until the present, where comprehensive bioinformatic software packages aid the scientists to identify novel compounds, are discussed for important families of bioactive secondary metabolites. A more extensive list of compounds, where genome mining has directly led to the identification of the metabolites, is included in Table 1.

<table>
<thead>
<tr>
<th>Compound name</th>
<th>Gene cluster ID (MIBiG)/Genbank</th>
<th>Means of identification</th>
<th>Ref.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Caulosegnins</td>
<td>BGC000574</td>
<td>Search for homologues of lasso peptide biosynthetic enzymes (B-/C-proteins)</td>
<td>174</td>
</tr>
<tr>
<td>Astexin-1</td>
<td>BGC000570</td>
<td>Search for conserved patterns in lasso peptide precursor peptide using MEME and MAST</td>
<td>177</td>
</tr>
<tr>
<td>Burhizin</td>
<td>BGC000571</td>
<td>Search for homologues of lasso peptide biosynthetic enzymes (B-/C-proteins)</td>
<td>178</td>
</tr>
<tr>
<td>Caulonodins</td>
<td>BGC000573</td>
<td>Search for homologues of lasso peptide biosynthetic enzymes (B-/C-proteins)</td>
<td>178</td>
</tr>
<tr>
<td>Rubrivinodin</td>
<td>BGC000576</td>
<td>Search for homologues of lasso peptide biosynthetic enzymes (B-/C-proteins)</td>
<td>178</td>
</tr>
<tr>
<td>Sphingonodins</td>
<td>BGC000577</td>
<td>Search for homologues of lasso peptide biosynthetic enzymes (B-/C-proteins)</td>
<td>178</td>
</tr>
<tr>
<td>Xanthomonins</td>
<td>BGC000580</td>
<td>Search for homologues of lasso peptide biosynthetic enzymes (B-/C-proteins)</td>
<td>179</td>
</tr>
<tr>
<td>Chaxapeptin</td>
<td>BGC0001307</td>
<td>Search for homologues of B-protein LarB (lariatin biosynthesis)</td>
<td>180</td>
</tr>
</tbody>
</table>

### 3.2 Polyketides

Even before whole genome sequencing became a routine endeavor, genomics guided approaches were used to identify novel biosynthetic pathways and new molecules. One of the first approaches was published in 2003, when researchers of the company Ecopia Biosciences Inc. reported the identification of 11 new enediyne BGCs. Enediynes are interesting drug candidates as their high cytotoxicity makes them promising tools for antibody–drug conjugates to treat cancer. It was not affordable at that time to simply sequence whole genomes of the studied actinomycetes, Zazopoulos et al. generated plasmid libraries of the producers. By Sanger sequencing of 1000 plasmids per library, they were able to generate ~700 bp long “sequence tags” covering the whole genome. The tags then were compared to sequences of genes involved in warhead formation of enediyne BGCs that were already known using Ecopia’s DECIPHER® tool and database. Using this strategy, it was possible to identify 8 out of 50 strains that contain BGCs encoding enediyne biosynthesis pathways. Although no analytical proof of enediyne formation was provided in the original work, results of prophage induction assays indeed indicated that all of these strains have the potential to produce DNA damaging agents (as the enediyne are). A similar
strategy was also applied to identify the antifungal agent ECO-02301, which is biosynthesized via a modular type I PKS complex.\(^8\)

With the easy and cheap availability of whole genome sequence data, many other gene clusters have been identified and associated with chemical products. One noteworthy example is the polyketide stambomycin. In the course of the analysis of the \textit{Streptomyces ambofaciens} genome, which was known to code for the congoidine and spiramycin biosynthetic gene clusters,\(^81,82\) a 150 kb gene cluster was identified, which codes for 25 genes, among them 9 encode type I PKS, making this one of the largest PKS gene clusters known so far.\(^83\) The analysis with the software SEARCHPKS\(^84\) indicated that the PKS genes code for 112 enzymatic domains organized in 25 PKS modules, including a KS\(^\circ\) domain at the starter module and a TE domain at the last module. Based on the domain organization, specificity predictions for the AT domains and stereochemistry predictions of KR domains the authors were able to predict a planar structure of the PK product synthesized by the PKS – with only one ambiguity as the substrate for the AT of module 12 (corresponding to the PK-unit C-25–C-26) could not be predicted. A transcriptional analysis revealed that this gene cluster was not expressed under normal laboratory growth conditions. However Laureti \textit{et al.} were able to activate the expression by constitutively expressing \textit{samR0484}, a LuxR-type regulator. With this engineered strain it was now possible to isolate the biosynthesis product stambomycin and perform an NMR-based structure elucidation. The experimentally determined structure of stambomycin was in very good accordance with the theoretically predicted product of the PKS. However, several features were not predicted \textit{in silico}: the NMR studies revealed that at position C-26 of the polyketide (corresponding to the ambiguous AT in module 12) extender units with diverse sidechains are used (leading to stambomycins A–D) and thus explains the lack of predictions for this module. In addition, the sites of macrolactonization, glycosylation and hydroxylations could not be predicted only based on the sequence analysis.\(^83\) However, this work nicely demonstrates the power of the genome mining approach and that genome sequence data can be used to predict structures of secondary metabolites.

A nice example where genetic data and structural data go hand in hand is the polyene lactam salinilactam. The salinilactam gene cluster is the biggest gene cluster that was identified in silico for the biosynthesis of the compound, which facilitated assembly and therefore closure of the genome. The subsequent bioinformatic analysis of the AT domains on the other hand facilitated the final structure elucidation of the compound. This example aided the discovery of a whole family of macrolactams by implementing a “molecules-to-genomes-to-molecules” approach by Schulze \textit{et al.}, who where able to detect the lobosamides and mirilactams by comparative genomic analysis.\(^86\)

One important group of PKS enzymes are the trans-AT PKSs.\(^87,88\) These owe their name due to the lack of AT domains in each module; instead they encode one or more AT domains in \textit{trans}, usually within each BGC. Genome mining efforts have shown that this group of enzymes is wide spread in bacteria, especially in chemically less studied genera.\(^89\) trans-AT PKS systems have evolved independently from \textit{cis}-AT PKSs\(^90\) and often include unusual biosynthetic enzymes leading to unique chemistry. Therefore, trans-AT PKS have been targeted by genome mining strategies in the past and led to the discovery of new compounds such as thailandamides,\(^91\) rhizopodin\(^91\) or tolytoxin.\(^92\) For an in depth review about these systems, we refer to Helfrich \textit{et al.}\(^93\)

Mining for PKS core enzymes is not restricted to \textit{cis}-AT and trans-AT modular type I PKS; the technique can also be highly efficiently applied for other types of PK pathways: using the antiSMASH software, 20 gene clusters were predicted in the rare actinomycete \textit{Streptosporangium} sp. CGMCC 4.7309, among them the \textit{hex}-cluster coding for a type II PKS.\(^91\) Phylogenetic analyses indicated that the gene product of \textit{hex}23, which encodes the KS\(\beta\) of the type II PKS, can be assigned to a group of pathways producing pentangular polyphenols. Indeed, Tian and colleagues were able to detect and elucidate a new family of polyketides, named hexaricins and experimentally confirmed by gene knock-out that the gene cluster identified is responsible for the biosynthesis of the compound.

In the case of type II PKS pathways, the consideration of phylogenetic data can give more accurate predictions on the putative products on metagenomic datasets\(^94\) (for details, see Section 5).

3.3 Non-ribosomally synthesized peptides (NRPs)

The high degree of conservation of the core-enzymes involved in NRP biosynthesis, the good co-linearity of the modular domain organization of NRP enzymes with their biosynthetic products, the possibility to predict substrate specificities, \textit{e.g.} using software like NRPSpredictor,\(^71,72\) SEQL-NRPS\(^71,72\) or other A-domain specificity predictors\(^96–98\) have made this family of secondary metabolites interesting candidates for genome mining approaches.\(^89\) This is further supported by the availability of cheminformatic approaches like MS/MS networking\(^99\) or iSNAP\(^100\) that allow the automatic mapping of identified and \textit{in silico} analyzed NRP (and RIPP) BGCs to mass spectrometric data.\(^73,101–104\)

Genome mining, for example, led to the identification of NRP secondary metabolites also from organisms, such as \textit{Staphylococcus aureus},\(^105\) which usually are not regarded as prolific secondary metabolite producers. In the case of the biosynthesis of the siderophore coelichelin from the model actinomycete \textit{Streptomyces coelicolor} M145, genome mining revealed new biochemical insights about NRP biochemistry. The coelichelin pathway, which was identified in the \textit{S. coelicolor} genome by searching for NRPs-like genes, codes for a 3-module NRPS, but was experimentally confirmed to generate a tetrapeptide,\(^106\) indicating exceptions of the co-linearity rule.

In a global view, mining for genes encoding NRPs or NRPS-related enzymes has revealed a wide distribution of these
pathways in *Bacteria* and *Eukarya*. In a comprehensive study by Wang *et al.*, more than 3300 BGCs coding for more than 16 500 NRPS-like enzymes have been identified. Interestingly, a significant number of the *in silico* identified enzymes do not follow the classical modular organization of NRPSs. Only few of these pathways have currently been studied experimentally, for example the pathway for congocidin biosynthesis. This makes it very challenging to interpret these BGCs and develop bio-informatics algorithms to predict their products.

### 3.4 Ribosomally synthesized and post-translationally modified peptides (RiPPs)

Lanthipeptide/lantibiotics have also been subject to successful genome mining approaches. One of the first examples, where the identification of the biosynthetic gene cluster preceded the discovery of the compound is lichenicidin. Begley *et al.* used PSI-BLAST to mine publicly available microbial genome sequences for homologues of the LanM lanthipeptide dehydratase/cyclase, which catalyzes the dehydration and cyclization of the lanthipeptide prepeptide using the sequence of LtnM1 involved in lacticin 3147 biosynthesis as query. Using this strategy, they were able to identify 89 strains. 61 of these strains were not previously described as lanthipeptide producers. Among these hits was *Bacillus licheniformis* ATCC 14580, which inhibited growth of Gram-positive bacteria, including *S. aureus*, methicillin resistant *S. aureus* and vancomycin resistant enterococci. Indeed a lanthipeptide named lichenicidin, which had the predicted molecular weight could be detected peptides (RiPPs)

tyrosine-phosphatase 1B, a potential target to treat type 2 diabetes or obesity, was observed.

Similar to NRPs, the combination of genome mining with computational mass spectrometry approaches provides valuable tools for the identification of novel compounds. One of the first peptides identified with this method was the type III lanthipeptide informatipeptin produced by *Streptomyces viridochromogenes* DSM 40736.

Lasso peptides are another very interesting family of RiPPs where genome mining has contributed much knowledge. Like most RiPPs, biosynthesis of lasso peptides starts with the ribosomal synthesis of a precursor peptide (A-peptide). This precursor is post-translationally modified by an ATP-dependent cysteine protease (B-protein), which cleaves-off the leader peptide, and an ATP-dependent asparagine synthetase B-like protein (C-protein), which catalyzes the lactam formation between the N-terminal amino group and the side chain of an aspartate or glutamate residue in the peptide leading to a cyclized molecule. The latter reaction is catalyzed in a way such that the C-terminal peptide tail is placed inside the ring thus leading to the name-giving lasso structure. This structure is usually stabilized by bulky plug residues of the peptide tail that prevent the slip-out and disulfide bonds that stabilize the structure.

The first gene cluster of a lasso peptide was microcin J25 of *E. coli* AY25, where it was demonstrated that the precursor peptide along with the genes *mcjB* and *mcjC*, which code for the B- and C-proteins respectively, are responsible for microcin J25 biosynthesis. In addition, the microcin J25 gene cluster contains *mcjD*, which codes for an ABC transporter that is involved in conferring immunity. In the first genome mining study that targeted novel lasso peptides, the sequences of McjB, McjC and McjD were used as *in silico* probes to mine genomic databases. Knappe *et al.* were able to detect genes coding for homologous enzymes in the genome sequence of *Burkholderia thailandensis* E264. In a manual reinvestigation of the DNA sequence upstream of the identified homologs the authors could identify an un-annotated open reading frame that was proposed to encode the precursor peptide. Indeed, the authors were successful in detecting traces of the predicted lasso peptide, named capistruin, in culture extracts of the strain. By optimizing the fermentation media and purification procedure, it was possible to obtain capistruin yields of 0.7 mg L⁻¹, which provided sufficient amounts to confirm the structure of capistruin *via* NMR-based methods. Finally, it was possible to clone the capistruin BGC comprising of *capABCD* and express the pathway in *E. coli* with yields of 0.2 mg L⁻¹, which is ~30% of the *B. thailandensis* wild type yield. In the meantime, many additional lasso peptide biosynthetic gene clusters have been identified by genome mining (Table 1). Similar approaches have also been carried out for other classes of RiPPs, for example thiazole/oxazole modified microcins (TOMMs).

#### 3.5 Terpenoids/isoprenoids

With almost 400 distinct structural families comprising in total more than 55,000 described compounds, terpenoid/isoprenoid
secondary metabolites are one of the largest class of bioactive metabolites, many produced by plants, fungi and also bacteria.122 The core units of terpenoids are assembled from a varying number of linked isoprene units (catalyzed by terpene synthases) that can undergo a multitude of intramolecular cyclizations, catalyzed by terpene cyclases, often followed by extensive tailoring steps. Although terpene synthases/cyclases are often not as highly conserved as, for example, NRPS or PKS it is possible to use individual characterized sequences as probes. Using these strategies, a variety of isoprenoids have been firstly identified and characterized by genome mining, e.g., the monoterpane cineole,123 the diterpene cembrane,124 or the sesterterpene stellatic acid.125

To cover a wide sequence space and to identify more distantly related enzymes, a profile-HMM-based approach, that uses HMM-profiles trained with 140 bacterial terpene synthases, was also successfully used to screen novel pathways and identify a diverse set of new terpenoids.126,127

3.6 Screening for tailoring enzymes

Currently, most genome mining approaches focus on identifying core biosynthetic enzymes in genomic or metagenomic data.128 However, also so-called tailoring enzymes, that are involved in modifying precursor molecules, can be valuable targets to identify new BGCs. Already in the pre-genome mining era, reverse genetics approaches have been carried out to identify, for example, pathways encoding halogenases.129

With the availability of whole genome sequences, this strategy has expanded in silico. For example, sequences of bacterial desaturases/acytelanases were used as genome mining probes to identify biosynthetic gene clusters synthesizing compounds containing very rarely found alkyne groups.130,131 Other examples are the identification of BGCs encoding the biosynthesis of epoxyketone biosynthetic pathways in metagenomic datasets that led to the discovery of the clarepoxcins and landepoxcins, potent 20S proteasome inhibitors.132

4. Comparative genome mining

“Classical” genome mining, i.e., the specific search for genes encoding enzymes involved in the biosynthesis of secondary metabolites in (meta)genomic sequences, and sophisticated software aiding the scientists for this task, were one of the main innovations within the history of natural products research in the recent years.44,133 The method can be further refined by not only focusing on single genes, but on partial or complete gene clusters. This functionality is, for example, included in the software antiSMASH,79 which can compare the identified BGCs in user-submitted genomes with a huge collection of BGCs of other microorganisms and the curated MiBiG database,47 and thus indicate whether there are similar pathways in other organisms. The MultiGeneBlast algorithm, that provides such information, is also available as a stand-alone software,134 which can be used to identify similar gene clusters/operons for any given sequence. Another approach to assess novelty of identified BGCs is to use Genome Neighborhood Networks (GNNs),135 which are extended variants of sequence similarity networks136 (Fig. 2) that also take into account the neighborhood of the “target” gene. Such a study has recently been carried out to comprehensively study enediyne biosynthetic pathways and provide means for in silico prioritization.137

Although targeted genome mining approaches are very powerful and have led to the identification of new compounds and associations between biosynthetic pathways and molecules, they have one important limitation. As this approach requires sequences of known homologous enzymes or explicitly defined rules on what is considered a BGC, the results are limited to known biosynthetic types and families. Often, a difficult task is to connect new structural classes of compounds to their respective BGCs. Once the BGC and biochemistry is identified, homologous clusters and corresponding compounds can be detected in other organisms as well. A powerful example for this is the patellamide family of compounds.138 These highly modified cyclic peptides have been isolated from the marine ascidian Lissoclinum patella and were shown to be produced by cyanobacterial symbionts.139 Due to their highly modified amino acids, they were suspected to be produced by an NRPS dependent machinery for a long time. In contrast, Schmidt and colleagues were able to link the production to a class of ribosomal pathways140 later called cyanobactins that added to the great variety of RiPP pathways and led to the discovery of many more related molecules.141–143 Similarly, the microviridin BGCs have been discovered.56 These tricyclic peptides are biosynthesized by previously unknown classes of ATP grasp domain containing enzymes,56,144,145 which were shown to be widespread within free-living cyanobacteria.146,147
One approach to find unknown types of biosynthetic gene clusters more systematically was recently reported by Takeda et al. The algorithm used to identify BGCs in filamentous fungi is based on identifying homologous and orthologous genes in related species, and evaluate syntenic and non-syntenic regions. Unfortunately, the implementation of the algorithm or a web server is not yet publicly available.

Another strategy was developed by Cimermancic et al. The ClusterFinder algorithm uses a two-state hidden Markov model trained on strings of contiguous Pfam domains identified in 677 validated gene clusters (BGC state) and strings of Pfam domains of random genome regions (non-BGC state). This probabilistic model then was used to screen 1154 prokaryotic genomes, leading to the identification of more than 33,000 putative BGCs, 10,700 of the hits with high confidence scores. The most abundant class of biosynthetic gene clusters identified in this study were saccharides, which comprised 40% of all hits. This was unexpected as this class of molecules is only represented with 13% of the validated test data set and thus indicates a huge potential for finding new molecules. A global similarity analysis of the high-confidence hits revealed several "cliques"/families of BGC that have not yet experimentally studied so far. Experimental studies on selected members of this family (comprising 811 BGCs) revealed that one family codes for the biosynthesis of aryl polyene lipids. ClusterFinder was also used in a study analyzing data from the Human Microbiome Consortium. In the human microbiome, saccharide and RfPP gene clusters were also observed frequently, whereas NRPS and PKS gene clusters were significantly depleted. Based on these data, Donia et al. were able to identify a novel thiopeptide (RfPP) lactocillin, associate it with the corresponding BGC, and demonstrate that the biosynthesis pathway is actually transcribed in the human body.

In a large-scale genome mining approach for type I and II polyketides, NRPS, NRPS-independent siderophores, lanthipeptides and TOMMs in more than 800 actinobacterial genomes Doroghazi et al. were able to identify more than 11,000 gene clusters which could be grouped into 4122 gene cluster families (GCFs). The GCF network was calculated based on the combination of three distance metrics on the number of shared homologous genes, the proportion of nucleotides involved in pairwise alignment and the amino acid sequence identity of domains of modular enzymes. 77 of these GCFs included at least one already known and characterized gene cluster. Based on these, the authors identified 1193 uncharacterized gene clusters in a subset of 344 genomes, which likely code for the biosynthesis of novel derivatives of known compounds. The GCF network then was correlated with large-scale high-resolution liquid chromatography/mass-spectrometry data of a subset of 178 strains allowing the link between metabolites and gene clusters. These datasets now are a valuable source for further studies, such as a global analysis of lanthipeptide biosynthetic pathways (see above).

These and other recent examples nicely demonstrate that especially combining genome mining with metabolomics/cheminformatics approaches, i.e. the automatic evaluation of mass spectrometric data using peptide- or glycometabolomics, molecular networking, self-organizing metabolomic maps, or comprehensive MS/MS fragment databases, provide very powerful tools to identify novel secondary metabolites.

5. Phylogeny based mining methods

The idea of comparing multiple bacterial genomes to detect and prioritize gene clusters is strongly connected to the idea of using phylogenetic methods to find promising secondary metabolite genes. As Dobzhansky stated: "Nothing in biology makes sense except in the light of evolution." Understanding how nature creates the amazing structural diversity of chemicals observed and appreciated by natural product chemists is not just a basic research question, but gives important insights into bioprospecting efforts, ecological function of these molecules and synthetic biology approaches.

The often modular structure of secondary metabolite gene clusters rendered the idea of a rapidly evolving defence system that develops new molecules by randomly shuffling and swapping domains and modules and develops analogous to an adaptive immune system. The first phylogenetic studies with selected PKS and NRPS systems clearly showed significant amounts of homologous recombination and gene duplication. Most of these studies, however, are limited case studies that include only a few related gene clusters that code for very similar molecules. The limited amount of data available at the time and the intensive computational demands on phylogenetic algorithms impeded more systematical analysis. With the diversity of tools for genome mining available now, more systematic approaches to infer the evolutionary history of nature's chemistry are available. One recent analysis of the evolution of about 10,000 biosynthetic gene clusters revealed significantly higher rates of insertions, deletions and duplications in secondary metabolism compared to primary metabolism.

Evolution and phylogenetic approaches have been used to guide genome mining efforts for a couple of years now. Two major approaches can be distinguished in natural product research. One uses species trees of natural product producing organisms based on conserved housekeeping genes or core genomes, and maps compound production subsequently on the tree. This way, talented chemistry producing lineages can be traced to develop more efficient sampling, isolation and genome mining techniques. The genome mining of 75 closely related S. pacifica strains for example revealed major differences in the metabolic diversity of the three distinct species within the genus, proving S. pacifica as the most diverse compared to its sister taxa S. tropica and S. arenicola. In contrast, another study that compared secondary metabolite gene cluster diversity within the Streptomyces species S. pratesis revealed no differences in natural product BGCs, even when isolated from various distant geographic locations. An improved understanding of these phylogenetic patterns can guide bioprospecting efforts, especially in combination with the discovery of new families and clades within bacteria through directed cultivation efforts or metagenomic sequencing.
However, species patterns and taxonomical correlations have to be interpreted carefully considering the tremendous amount of horizontal gene transfer, which proves to play a vital role in the evolution of secondary metabolites.²⁹⁰,²⁹¹,²⁹²,²⁹³ A second approach uses gene trees of secondary metabolite genes directly. These gene trees infer the evolutionary history of biosynthetic genes and gene clusters, and can often be used to infer biosynthetic functions of the enzymes more precisely than simple sequence similarity approaches.²⁹⁶,²⁹⁹

For more in depth information about evolution and the use of phylogenetic methods of natural products, we refer to other reviews.²⁹¹,²⁹² Here we focus on examples of phylogeny based mining techniques. Ketosynthase (KS) domains in PKS gene clusters have been one of the first enzyme families where phylogenetic trees have been used to predict structures. Instead of sequencing full genomes, at a time when this was not even yet feasible, degenerated primers were constructed and amplified PCR products sequenced.²⁸⁶,²⁹⁰,²⁹¹ Based on the phylogeny of these short sequence tags compound families and structures could be predicted. If characterized known KS domains claded closely with amplified PCR products, lineages without any known characterized KS domain indicated unknown or novel chemistry.

This concept was further developed by the Natural Product Domain Seeker (NaPDoS), a bioinformatic pipeline that allows the automated detection of KS and C domains from NRPS and PKS gene clusters and subsequently constructs a phylogenetic tree to infer novelty and potential of secondary metabolites from bacterial genetic data.²⁷ NaPDoS works for PCR products but also draft and full bacterial genomes as well as assembled metagenomic data sets (http://napdos.ucsd.edu). The sequence tag approach is especially useful for screening complex environmental data such as soil and sediments, where complete gene cluster assemblies are still challenging using recent sequencing technologies, and can be used to infer diversity and genetic potential of natural products in specific environments.²³,²³²–²³⁴ Brady and colleagues developed the webtool esNaPD²⁷ and phylogeo, a specialized R package to facilitate richness and diversity analysis of NRPS and PKS sequence tags in soil and direct the discovery of bioactive natural products from metagenomes as shown for a novel pentagonal polyphenol type II polyketide.²⁴

This general concept of phylogeny of sequence tags as a guide for secondary metabolite genome mining was also expanded to other enzyme families such as the chromopprotein acid synthase responsible for the biosynthesis of rare tryptophan dimers²⁰⁵,²⁰⁶ and the epoxysketone family of compounds.²⁰²,²⁰³

A completely new aspect of using phylogeny and evolutionary distances for genome mining purposes has been recently developed by Barona-Gomez and colleagues.³¹ Their EvoMining approach is based on the concept that enzymes involved in secondary metabolism evolved by duplication and subsequent expansion of substrate specificity of primary enzymes and these expanded and repurposed enzyme families are detectable with phylogenetic methods. They developed a genome mining pipeline that detects homologues of certain classes of housekeeping genes and compared the average number and phylogenetic distance of each enzyme family.

As proof of principle two previously uncharacterized enzymes could be identified, an argininosuccinate lyase involved in the biosynthesis of leupeptin and an unusual AroA family enzyme involved in the biosynthesis of a putative novel arseno-organic compound. Both compounds in this case have been linked to known biosynthetic machineries such as NRPS and PKS based systems. However, this approach has not only the potential to detect novel highly unusual and previously uncharacterized enzyme families but could be useful to detect non-canonical secondary metabolic pathways, where no known machinery has been previously described.

### 6. Resistance/target based mining methods

Methods and tools to detect and extract secondary metabolite gene clusters are nowadays quite well established, and with all the microbial genomes available the biggest database at this point, the JGI IMG-ABC database, contains more than 960 000 detected gene clusters,⁴²⁶ the majority are orphan. Analysing and characterizing each and every one of these orphan gene clusters in real wet-lab experiments is clearly not feasible. The challenge is now to prioritize and focus on the most promising gene clusters and gene cluster families. Which of the gene clusters is most interesting certainly depends on what exactly researchers are looking for and ranges from novel biosynthetic machineries, novel structures or derivatives of compounds to new bioactivities and modes of actions. However, predicting bioactivities and mechanisms of actions in silico remains one of the challenges of computational methods in drug discovery.

Resistance based and target based mining techniques are relatively recently developed genome mining approaches that aim to detect secondary metabolite gene clusters based on the self-resistant mechanisms of an antibiotic producing organism. The idea is based on the experience that BGCs not only contain the biochemical enzymes for compound production, but also encode additional important information such as regulatory elements, transporter proteins and resistance mechanisms. A bacterium that produces an antibiotic compound needs to develop self-resistant mechanisms in order to avoid suicide.²⁰⁷ The resistant mechanisms vary and include efflux pumps, degrading enzymes to remove toxic compounds, and modified target proteins to prevent binding of antibiotics to the active site of their targets.²⁰⁸ The gene clusters responsible for the biosynthesis of the antibiotics novobiocin,²⁰⁹ platensin²¹⁰ and griselimycins²¹¹ are some examples were second copies of resistant housekeeping genes (gyrB, fabF/F and dnaN respectively) are directly encoded within the gene cluster.

The first proof of principle that resistance can be used as a discriminating criterion in antibiotic producing organisms has been published in 2013. Wright and co-authors were able to show that organisms resistant to glycopeptide and ansamycin-like antibiotics are more likely to produce similar chemical compounds,²¹² and an antibiotic resistance based discovery platform was developed for the isolation of scaffold-specific antibacterial producers.²¹³ Moore and colleagues took this
approach one step further and developed a target-directed genome mining approach.22 By screening 86 highly related strains of the marine actinomycete genus *Salinispora* for second copies of housekeeping genes and relating those to their presence in biosynthetic gene clusters, they were able to identify a duplicated bacterial fatty acid synthase in the direct vicinity of an non-canonical hybrid PKS–NRPS gene cluster. Using direct cloning, homologous expression and mutational analysis, the gene cluster was linked to the biosynthesis of thiolactomycin, a known fatty acid synthase inhibitor. Thus, correlating putative resistance genes with orphan secondary metabolite gene clusters can be one way to mine bacterial genomes specifically for antibacterial compounds, at least in those cases, where resistance is mediated by target modification.

With the rise of antibiotic resistant pathogens and the urgent need for new antibiotics with novel mode of actions, resistance-based genome mining techniques will be an important toolkit for the discovery of specifically antibiotic compounds and mechanism of action studies in the future. Well-curated databases of resistance genes such as CARD44 and ARDB24 will play an important part in these efforts. A recent example for an automated online tool that can connect genomic data, chemical structures and resistance genes is PRISM.25 Originally created to connect structural information and BGCs in a high throughput fashion, a resistance-determinant library of known antibiotic resistance has been added in order to enable the targeted search for compounds with uncommon modes of action.204 As proof of principle Johnston and colleagues investigated the telomycin family of natural products and identified a new mode of action for these compounds binding to the phospholipid cardiolipin.

# 7. Mining for regulators

Understanding the complex regulation of secondary metabolites in bacteria has been an important part of in the history of genetics of secondary metabolites.226 Especially after whole genome sequences unveiled the large amount of silent gene clusters not active under normal laboratory conditions, researchers are looking for effective ways to activate and optimize production of encoded compounds. Specifically bacteria of the genus *Streptomyces* have been extensively studied for their complex regulatory networks involved in secondary metabolism.216–218 Global and pathway specific regulators,216,219 precursors from primary metabolism,219 and small molecules220,221 including N-acetylglicosamine222 have been shown to play a role in regulation of natural products biosynthesis. Various tools and databases have been developed to predict regulatory elements in bacterial genomes and facilitate drug discovery and compound optimization.223,224

One of the best-known systems of globally regulated secondary metabolites present in a wide range of bacteria are metal-chelating agents, including the well known iron binding siderophore compounds.225 Siderophores are produced when iron is limited to solubilize and facilitate the uptake of iron into the cells. Other metal chelators are able to bind zinc or copper, respectively.226 Involved in production regulation of these metal–chelating compounds are two large families of global regulators, the Fur and the IdeR family of transcriptional repressors.227,228 If enough of the respective trace metal is present in the cell, the repressor–metal complex binds upstream of the BGC and silences transcription and production of chelators. If metal concentration in the cytoplasm drops, the metal–regulator complex disintegrates and is no longer able to bind DNA, and production of compounds are activated. Using this knowledge Stegmann and colleagues recently developed a method called INBEKT (Identification of Natural compound Biosynthesis pathways by Exploiting Knowledge of Transcriptional regulation) to mine the genome of *Amycolatopsis japonica*um MG417-CF17 for the BGC for ethylenediamine-disuccinate (EDDS).229 EDDS is a biodegradable zinc–chelating alternative for the widely industrially applied ethylenediamine-tetraacetate (EDTA). No biosynthetic genes could be detected for EDDS using canonical genome mining tools. However, screening the genome of *Amycolatopsis japonica*um for zinc-binding regions led to the identification and characterization of the *aes* genes responsible for EDDS production. Considering that certain classes of regulators are more often connected to secondary metabolites than others, this approach could be further developed in the future to mine genomes for non-canonical secondary metabolite pathways.

Consideration of regulators is also an important technique to study fungal secondary metabolite biosynthetic gene clusters. Many fungal gene clusters encode cluster-specific regulators, which result in activation of the gene cluster when artificially overexpressed.217 As the regulator binding sites are often highly conserved, they can be used to identify genes belonging to fungal gene clusters by computational methods, such as implemented in the software CASSIS.229 Especially in fungi, genes belonging to the same biosynthetic gene cluster are highly co-regulated. Thus integrating transcriptomics data into the genome mining workflows can provide additional means to identify BGCs and define gene cluster borders.220–222 While the first approaches to integrate such data have involved many manual steps, recently easy-to-use web-based implementations of this approach have become available.222

# 8. Culture independent mining: single cells and metagenomes

The amount and complexity of metagenomic data makes mining them for secondary metabolites a specifically challenging task. Especially large and highly repetitive gene clusters such as NRPS and PKS pathways are rarely fully assembled. However mining environmental DNA for natural products opens the possibility to explore the large microbial world present that is not yet cultured and study diversity and distribution of these compounds directly in their environment. Heterologous expression and synthetic biology approaches allow subsequent capturing of sequenced pathways and enable compound discovery.24 During the last decade assembly problems were bypassed by sequence tag approaches and phylogenetic classification to dereplicate and identify
promising novel biosynthetic gene clusters. Brady and colleagues used sequence tag approaches to discover new compounds directly from soil DNA, a diverse environment full of yet uncultured bacterial diversity and hidden natural product potential. 

How much potential the so-called microbial dark matter really contains in terms of chemistry and novel biosynthetic machineries, has been demonstrated by genome mining studies from obligate symbiotic bacteria of ascidians and sponges. Schmidt and colleagues were able to identify the biosynthetic machinery of the patellamides by screening fosmid libraries from enriched Prochloron DNA, the cyanobacterial symbiont of the ascidian Lissoclinum patella. Heterologous production in E. coli proved a highly unusual RiPP pathway was responsible for the biosynthesis of these cyclic peptides (chapter 4). Sequencing the microbiome of further ascidians and bryozoans allowed the assembly of genome sequences of symbiotic proteobacteria, led to the identification of the patellazole and bryostatin gene clusters, and showed the important role of secondary metabolites in these organisms.

A combination of metagenomic sequencing and single cell genomics of a sponge microbiome recently revealed a whole new candidate phylum ‘Tectomicrobia’ widely distributed in sponges. Two different genomes of the candidate genus ‘Entotheonella’ were assembled with genomes larger than 9 megabases and multiple biosynthetic gene clusters including the highly unusual polytheonamide pathway.

With sequencing cost constantly falling and improving read length from technologies such as PacBio and Nanopore, assembly and mining of single cells and complex environments will be easier in the future and help to uncover more of the microbial dark matter and the enormous amounts of cryptic gene clusters in unusual environments such as the human microbiome.

9. Conclusions

Enabled by the fast development of genome sequencing technologies, genome mining techniques rapidly evolved during the last decade and are currently an important part of drug discovery efforts. Depending on focus and interest of researchers, different mining techniques and approaches have been developed to detect, dereplicate and prioritize secondary metabolite gene clusters. The sheer amount of data available is, and will be challenging in the future, and will drive the constant development of effective computational tools and algorithms to guide wet lab experiments. Sequencing of uncultured organisms and whole environments, hand in hand with the improvements in “omics” technologies, systems biology approaches and synthetic biology will drive automated high-throughput analysis connecting genomic, transcriptomic and metabolomic data to connect genes more efficiently to molecules and vice versa (Fig. 3). Furthermore, the possibilities of studying diversity and distribution of secondary metabolites in their direct environment provides the chance to learn more about the impact of these compounds in their natural habitat. Fascinating examples of complex symbiotic interactions of microbes and their environments regulated by small molecules and secondary metabolites prove their important impact on shaping environmental niches. A true understanding of natural products, their evolution and role in the environment will give important insights in regulation, distribution and mode of action studies and therefore facilitate and maintain their use as human therapeutics.

10. Acknowledgements

The authors thank Harald Gross, Evi Stegmann, Yvonne Mast, Bradley Moore, and Michelle Schorn for helpful comments. NZ is funded by the German Center for Infection Biology (DZIF). The work of TW is funded by a grant of the Novo Nordisk Foundation.

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