



## Health effects assessment of exposure to particles from wood smoke

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# Health effects assessment of exposure to particles from wood smoke

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# Preface

In recent years there has been an increased awareness regarding the health effects linked to pollution with particulate matter in the air. Special funds have been allocated to further research and development in this area by the Danish EPA. This project is a contribution to this work and the focus in this report is to assess human hazards and health impacts in connection with wood smoke emissions, as emissions from wood burning by far constitutes the largest source to the overall particulate matter emissions in Denmark.

The report has been prepared by Elsa Nielsen (Department of Toxicology and Risk Assessment, National Food Institute, Technical University of Denmark), Marianne Dybdahl (Department of Toxicology and Risk Assessment, National Food Institute, Technical University of Denmark – until 30 June 2005), and Poul Bo Larsen (Danish Environmental Protection Agency), as a contract work for the Danish Environmental Protection Agency.

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The authors are solely responsible for all the assessments and conclusions presented in the report, and do not necessarily reflect the positions of the involved institutions.

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# Summary

The number of residential wood burning devices has increased in Denmark during the latest years and it has been estimated that there in 2005 were about 551,000 wood stoves and about 48,000 wood boilers in Denmark. This has resulted in an increased exposure of the general Danish population to pollutants associated with residential wood smoke. New Danish monitoring results on particulate matter (PM) in ambient air have shown elevated PM levels in areas with many wood stoves, particularly during wintertime when wood burning is common. Due to the size distribution of wood smoke particles essentially all will be contained in the PM<sub>2.5</sub> fraction. It has been estimated that about 17,665 tonnes PM<sub>2.5</sub> per year (2005) in Denmark come from residential wood combustion. Therefore, there is an increasing concern that adverse human health effects might be associated with the increased exposure to residential wood smoke.

This project has been set up in order to review the scientific literature concerning adverse health effects of pollutants associated with residential wood smoke with the main focus on particulate matter and to quantify and evaluate, if possible, the impact on human health of the increased exposure to particles in residential wood smoke.

Human exposure to particles from wood smoke

There is only very limited information on population exposure to wood smoke particles in Denmark.

Measurements during a 6-week winter period (2002 and 2003-2004) in a Danish residential area with no district heating and many wood stoves showed that the contribution from wood combustion to ambient PM<sub>2.5</sub> was comparable to the contribution from a heavily trafficked road to PM<sub>2.5</sub> at the sidewalk. The average local PM<sub>2.5</sub> contribution from wood combustion was about 4 µg/m<sup>3</sup>. In another residential area with natural gas combustion as the primary heating source and wood combustion as a secondary heating source, the average PM<sub>2.5</sub> concentration was elevated by about 1 µg/m<sup>3</sup> compared to background measurements during four winter weeks. An increase in annual average PM<sub>2.5</sub> of 1 µg/m<sup>3</sup> is a best maximum estimate of the whole Danish population exposure based on the data from the measurements in these two residential areas.

Based on the total particle emission from residential wood burning, model calculations have been used to estimate the contribution to the annual PM<sub>2.5</sub> levels. The results showed an increase in annual PM<sub>2.5</sub> of 0.2 µg/m<sup>3</sup> (as a best minimum estimate) for the whole Danish population exposure.

In conclusion, the annual average PM<sub>2.5</sub> exposure from wood smoke is roughly estimated to be 0.2-1 µg/m<sup>3</sup> for the whole Danish population with a best estimate of about 0.6 µg/m<sup>3</sup>.

The sub-micrometer particles can easily penetrate into the indoor environment, especially if air filtration does not occur. A recent Swedish study has revealed that the outdoor-generated particle levels were major contributors to the indoor particle concentration when no strong internal source was present and the determined indoor-outdoor ratios varied between 0.5 and 0.8.

#### Human health effects

The association of PM with adverse health effects has long been recognised, especially in relation to respiratory and cardio-vascular diseases and primarily in the elderly and in individuals with pre-existing respiratory and/or cardiac diseases. The experience is mainly based on epidemiological studies (cohort studies and time-series studies). A limitation with both study designs is the exposure characterisation, which is usually based on data from a single monitoring site in the area, and assumed to be representative for all individuals in the area. Another limitation is that most of the exposure information is on fine particles ( $PM_{2.5}$ ), or the sum of fine and coarse particles ( $PM_{10}$ ), whereas the information on ultrafine particles is limited.

#### Human non-cancer health effects from exposure to particles from wood smoke

The emission of particles from residential wood burning and their impact on human health has received much attention lately.

Several early studies (Table 5) have focused on the presence of a wood stove in the home as a risk factor. While these studies strongly suggest that there are adverse health impacts in form of more respiratory symptoms and diseases associated with wood smoke exposure, their crude exposure assessments preclude more specific conclusions.

In addition, a number of studies (Table 7) have reported associations of adverse health impacts in the airways with use of biomass fuels. All these studies are observational and very few have measured exposure directly, while a substantial proportion have not dealt with confounding. As a result, risk estimates are poorly quantified and may be biased.

A number of studies (Table 6) have evaluated adverse health effects from ambient air pollution in relation to residential wood combustion in communities where wood smoke was a major, although not the only, source of ambient air particulate. The studies indicate a consistent relationship between  $PM_1$ ,  $PM_{2.5}$  and/or  $PM_{10}$  and increased respiratory and asthmatic symptoms, and decreased lung function. The studies have mainly focused on children, but the few studies focusing on adults as well have shown similar results. There are also indications from several of the studies that asthmatics are a particularly sensitive group. The studies giving an indication of the dose-response relationship are summarised in Table 8 (section 7.2.2.1). The relative risk (RR) between an increase in ambient  $PM_{10}$  with  $10 \mu\text{g}/\text{m}^3$  and different health outcomes varied between 1.01 and 1.12. An RR for increased asthma hospital admissions of 1.15 and 1.04 has been reported for an increase in ambient  $PM_{2.5}$  with 11 and  $12 \mu\text{g}/\text{m}^3$ , respectively. An RR for increased asthma symptoms in children of 1.17 has been reported for an increase in ambient  $PM_1$  with  $10 \mu\text{g}/\text{m}^3$ .

Overall, these studies showed that an increased risk of experiencing adverse health effects in the respiratory tract from exposure to particles in wood smoke (RR 1.04-1.17) is associated with an increase in ambient PM ( $PM_{10}$ ,  $PM_{2.5}$  and  $PM_{10}$ ) of about  $10 \mu\text{g}/\text{m}^3$ . None of the available studies have indicated a threshold concentration for effects. However, it should be noted that due to differences in the statistical analyses and presentation of the results in the various studies, it is difficult to compare the results from different studies.

Boman et al. (2003) compared the results from the five wood smoke studies in which residential wood combustion was mentioned as an important air pollution source with estimations for the association between PM and health effects in the general environment (Figure 3). All the included studies showed significant positive associations for respiratory symptoms evaluated. In comparison with the estimations concerning ambient PM and health effects in the general environment, the RR were even stronger in the studies in which residential wood combustion was considered a major PM source. A very recently published review (Naehler et al. 2007), which is based on an extended list of references, confirms the overall picture presented by Bomann et al. (2003).

In conclusion, the available studies indicate that exposure to wood smoke PM is associated with the same kind of non-cancer health effects known from exposure to PM in general and that the health effects associated with PM in areas polluted with wood smoke are not weaker than elsewhere. However, the uncertainties about the actual contribution from wood smoke to ambient concentrations of PM preclude, for the time being, precise characterisations of specific dose-response relationships for wood smoke PM and whether differences exist compared to the known dose-response relationships from PM in general. Therefore, a more precise evaluation of the impact on human health of air pollution related to residential wood combustion is not possible for the time being.

#### Human carcinogenic effects from exposure to particles from wood smoke

There is limited information regarding the human cancer risks associated with biomass air pollution, including wood smoke. The Chinese studies on an association between wood smoke exposure and lung cancer risk do not indicate an increased risk even after long-term exposure to very high levels of biomass smoke ( $PM_{10}$   $22 \text{ mg}/\text{m}^3$ ) from open-fire domestic cooking. Two more recent case-control studies from Mexico and Southern Brazil are suggestive of a small increased risk of lung cancer due to long-term exposure to wood smoke from cooking; however, these studies are limited by the lack of exposure assessments. The most recent case-control study found an increased risk for lung cancer among Canadian women in homes with wood stove or fireplace heating and with gas or wood stove cooking facilities.

In conclusion, the available studies do not provide a sufficient basis in order to evaluate whether there is an association between wood smoke exposure and increased risk of lung cancer. However, cohort studies on cancer risk associated with particles in the general environment have suggested an excess risk of lung cancer associated with long-term exposure to PM. Known chemical carcinogens, e.g. PAHs, associated with the PM might be

responsible for the excess risk of lung cancer; however, it can not be excluded that PM in itself is capable of causing lung cancer.

It should be noted that the International Agency for Research on Cancer (IARC 2008) has recently evaluated that indoor emissions from household combustion of biomass fuel (primarily wood) are probably carcinogenic to humans (Group 2A).

Data from studies in experimental animals

Most studies on adverse health effects of wood smoke particles in experimental animals have used relatively high exposure levels compared to the levels generally measured in the environment.

In one study, minor but significant changes in the airways of rats (mild chronic inflammation and squamous metaplasia in the larynx; alveolar macrophage hyperplasia and pigmentation, and slightly thickened alveolar septae) were observed following exposure (whole-body, 3 hours/day, 5 days/week for 4 or 12 weeks) to 1 or 10 mg/m<sup>3</sup> wood smoke particles (size distribution of 63-74% in the < 1 µm fraction and 26-37% in the > 1 µm fraction).

A very recent study has summarised health effects of subchronic exposure to environmental levels of hardwood smoke in rats and mice exposed (whole-body, 6 hours/day, 7 days/week) for 1 week or 6 months) to dilutions of whole emissions based on particulate (30-1000 µg/m<sup>3</sup> total PM, mass median aerodynamic diameter of approximately 0.3 µm). Exposure to these concentrations presented little to small hazard with respect to clinical signs, lung inflammation and cytotoxicity, blood chemistry, haematology, cardiac effects, and bacterial clearance, and carcinogenic potential. However, parallel studies demonstrated mild exposure effects on broncho-alveolar lavage parameters and in mouse and rats models of asthma. Lung carcinogenesis measured as either the percentage of young mice with tumours (incidence) or the number of tumours per tumour-bearing mouse (multiplicity) yielded no significant differences from the control group and there was no evidence of a progressive exposure-related trend.

Risk characterisation

In order to assess the health impacts from the wood smoke PM, the dose-response relationship from the epidemiological studies on ambient PM in general (i.e., the relative risk RR) has been used as the available epidemiological studies indicate that wood smoke PM does not seem to be less harmful than ambient PM in general.

The health impact of PM from wood smoke emissions in Denmark has been assessed for mortality, and for hospital admissions for respiratory and cardiovascular diseases based on the known dose-response relationships for ambient PM in general as assessed from the available epidemiological studies as well as from the data from the few studies where wood smoke was indicated to be a major source.

The increase in the RR for a health endpoint related to ambient PM in general is used to estimate the increase in RR for the specific health endpoint due to the contribution from wood smoke PM. Then this RR is used to estimate the

number of cases for this specific health endpoint, which is associated to wood smoke PM.

From the estimated increase of 6% (95% CI: 2-11%) in the mortality rate for an increase of  $10 \mu\text{g}/\text{m}^3$  of  $\text{PM}_{2.5}$  as concluded by WHO (2005), the overall contribution of  $0.6 \mu\text{g}/\text{m}^3$  of  $\text{PM}_{2.5}$  from wood smoke to the annual population exposure is estimated to be associated with an increase in the mortality rate of 0.36% (95% CI: 0.12-0.66%). This increase in mortality rate corresponds to about 200 deaths each year (95% CI: 66-360). It should be noted, however, that the most recent studies by Jerret et al. (2005) and Laden et al. (2006) found a nearly 3 times higher increase in the mortality rate per  $10 \mu\text{g}/\text{m}^3$  increase in  $\text{PM}_{2.5}$  than the dose-response used by WHO and thus, the use of the WHO estimate may result in an underestimation of the mortality rate.

From the estimated increase of 3.3% in respiratory hospital admissions for an increase of  $10 \mu\text{g}/\text{m}^3$  of  $\text{PM}_{2.5}$  from the Sheppard et al. (1999) study, the overall contribution of  $0.6 \mu\text{g}/\text{m}^3$  of  $\text{PM}_{2.5}$  from wood smoke to the annual population exposure is estimated to be associated with an increase in respiratory hospital admissions of 0.2%. This increase in respiratory hospital admissions corresponds to about 156 cases each year. It should be noted, however, that using an estimate for increase in respiratory hospital admissions from a short-term time-series study in relation to an annual increase in PM most probably will underestimate the actual health impact.

From the estimated increase of 14% for new cases of chronic bronchitis for an annual increase of  $10 \mu\text{g}/\text{m}^3$  of  $\text{PM}_{2.5}$  as assessed by the European Commission (2005), the overall contribution of  $0.6 \mu\text{g}/\text{m}^3$  of  $\text{PM}_{2.5}$  from wood smoke to the annual population exposure is estimated to be associated with an increase of 0.84% for new cases of chronic bronchitis. This corresponds to about 60 new cases of chronic bronchitis each year.

No epidemiological data on wood smoke exposure in relation to cardiovascular diseases are available neither from time-series studies nor from cohort studies. However, the high increased risks for cardiovascular events (coronary heart disease, cerebro-vascular disease, myocardial infarction, coronary re-vascularisation, stroke) and cardiovascular mortality found in a very recent study by Miller et al. (2007) in a subgroup of women (above the age of 50 years and without previous cardiovascular disease) in relation to long-term particle exposure (general ambient air PM) indicate that even an annual increase of  $0.6 \mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$  would have a significant health impact for the Danish population with respect to cardiovascular events and mortality. However, due to the specific design of this study a general health impact assessment for the whole population cannot be made.

It should be remembered that this preliminary health impact assessment of woodsmoke PM is hampered by the limited exposure data available as well as by the absence of specific dose-response relationships for the selected health impacts due to long-term exposure to wood smoke PM. It should also be noted that the approach taken to assess the selected health impacts for wood smoke PM is in general considered to underestimate the health impacts as the dose-response relationship for mortality most likely is underestimated.

## Recommendations

More information is needed for a more precise health impact assessment of wood smoke PM in Denmark, particularly in relation to assess the *exposure* to wood smoke PM in the general population as well as to assess the *effects* from long-term exposure of wood smoke PM on morbidity and mortality including a characterisation of the specific dose-response relationships for the various adverse health effects related to wood smoke PM exposure.

It might thus be recommended to conduct one or more studies in a selected residential area with many wood stoves aiming at 1) assessing the exposure to wood smoke PM including measurements of chemical markers for wood smoke in order to evaluate the contribution of wood smoke PM to the ambient general PM, 2) characterising wood smoke PM as well as wood smoke composition, 3) investigating indoor exposure to wood smoke, and 4) investigating the relationship between exposure to wood smoke PM and the health outcomes including a characterisation of the specific dose-response relationships for the various adverse health effects related to wood smoke PM exposure.

In addition there is still a need for further experimental studies aiming at understanding the effects and underlying mechanisms of wood smoke exposure in order to evaluate the implication in relation to human health risks as well as investigating chemical and physical particle properties and health effects in relation to the different phases of the combustion cycle and type of combustion device including modern stoves.

# Sammenfatning

I Danmark er privat opvarmning med træ steget i løbet af de seneste år, og det anslås, at der i 2005 var ca. 551.000 brændeovne og ca. 48.000 brænde kedler. Dette betyder umiddelbart, at befolkningen i øget grad vil blive udsat for partikler fra brænderøg. Nyere målinger af luftens indhold af partikler i vinterhalvåret har vist klart forhøjede partikelniveauer i områder med mange brændeovne som for eksempel i villakvarterer. Som følge af brænderøgspartiklernes ringe partikelstørrelse vil stort set hele partikelmængden kunne måles ved  $PM_{2,5}$ -målinger, som omfatter måling af fine partikler, dvs. partikler med en diameter under  $2,5 \mu m$ . Det er vurderet, at der i Danmark årligt udsendes omkring 17.665 tons partikler i form af  $PM_{2,5}$  som følge af fyring med træ i boligområder. Derfor har der i de senere år været en øget opmærksomhed rettet mod de mulige sundhedsskadelige effekter og helbredskonsekvenser, der kan være forbundet med den stigende udsættelse for partikler fra brænderøg.

Befolkningens udsættelse for partikler fra brænderøg

På nuværende tidspunkt er der kun begrænset viden vedrørende den danske befolknings samlede udsættelse for partikler fra brænderøg.

I vinteren 2002 og 2003-2004 blev der målt i en seks ugers periode i et dansk parcelhusområde uden fjernvarme og med mange brændeovne. Partikelniveauet (målt som  $PM_{2,5}$ ) i området var sammenligneligt med partikelniveauet målt på fortovet ved en meget stærkt trafikeret vej. Det gennemsnitlige partikelbidrag fra brændeovnene blev målt til ca.  $4 \mu g/m^3$ . I et andet beboelsesområde med naturgas som hovedopvarmningsskilde og brændeovne som den sekundære opvarmningsskilde var partikelniveauet i luften forøget med ca.  $1 \mu g/m^3$  i forhold til baggrundsniveauet. Målingerne blev foretaget i en 4-ugers vinterperiode. På baggrund af disse to målekamper vurderes et årsvægtet gennemsnit på ca.  $1 \mu g/m^3$  at være det bedste skøn for den samlede befolknings maksimale merbelastning med partikler fra brændeovne.

Modelberegninger baseret på den totale partikelemission fra brændeovne og betydningen af dette for det gennemsnitlige  $PM_{2,5}$ -niveau over Danmark har vist, at befolkningens gennemsnitlige årlige partikeludsættelse herved forøges med ca.  $0,2 \mu g/m^3$ . På baggrund heraf vurderes et årsvægtet gennemsnit på ca.  $0,2 \mu g/m^3$  at være det bedste skøn for den samlede befolknings minimale merbelastning med partikler fra brændeovne.

Sammenfattende konkluderes det, at partikelbidraget fra brænderøg kan medføre en forøgelse af befolkningens gennemsnitlige årlige  $PM_{2,5}$  på mellem  $0,2$  og  $1 \mu g/m^3$ , hvor et bidrag på  $0,6 \mu g/m^3$  må anses at være det bedste skøn for den samlede befolknings merbelastning med partikler fra brændeovne.

Partikler på omkring  $1 \mu m$  og derunder kan let trænge ind i boligerne, især hvis luften ikke filtreres undervejs. En nyere svensk undersøgelse har vist, at partikler i udeluften kan bidrage betydeligt til partikelniveauet inde i boligerne,

hvor niveauet af partikler, der trængte ind i boligen, udgjorde mellem 50 og 80% i forhold til niveauet af partikler i udeluften.

Helbredseffekter, data fra befolkningsundersøgelser

Partikler generelt

Sammenhængen mellem forøgede niveauer af partikler i udeluften og sundhedsskadelige effekter i befolkningen er veletableret. Der er især set øget forekomst af hjertekarsygdomme og luftvejslidelser, primært blandt ældre og personer der i forvejen lider af luftvejs- og/eller hjertekarsygdomme. Denne viden er opnået fra befolkningsundersøgelser udført enten som kohorte undersøgelser, hvor en afgrænset og veldefineret befolkningsgruppes helbred og udsættelse for partikler følges gennem længere tid, eller som tidsserie undersøgelser, hvor betydningen af kortvarige dag til dag variationer i partikelniveauet undersøges i forhold til sygelighed og dødelighed i et givent område. Fælles for de to former for undersøgelser er, at der mangler en mere præcis karakterisering af hvilke partikelniveauer, de enkelte personer har været udsat for, da udsættelsen for hele befolkningsgruppen ofte beskrives ved en enkelt eller få målestationsmålinger. En anden begrænsning er, at eksponeringen ofte er angivet som fine partikler ( $PM_{2,5}$ ) eller summen af fine og grove partikler ( $PM_{10}$ ), hvorimod informationer om de mindre, ultrafine partikler ( $PM_{0,1}$ ) ofte savnes.

Sundhedsskadelige effekter af partikler fra brænderøg

Som nævnt har der i de senere år været en øget opmærksomhed rettet mod de mulige sundhedsskadelige effekter og helbredskonsekvenser, der kan være forbundet med den stigende udsættelse for partikler fra brænderøg.

En række tidlige undersøgelser (angivet i tabel 5) har belyst tilstedeværelsen af en brændeovn i hjemmet som en risikofaktor. Selvom disse undersøgelser peger på, at der er sundhedsskadelige effekter i form af luftvejssymptomer og luftvejslidelser, er det på grund af manglende eksponeringsvurderinger i de pågældende undersøgelser ikke muligt at drage mere præcise konklusioner.

Endvidere har flere undersøgelser (angivet i tabel 7) peget på sammenhænge mellem luftvejslidelser og anvendelsen af biomasse som varmekilde. Alle disse undersøgelser er observations undersøgelser, der har den begrænsning, at der ikke i tilstrækkeligt omfang er taget højde for andre betydende faktorer, samtidig med at kun meget få af undersøgelserne angiver mål for eksponeringen. Risikoestimerne er derfor usikre, især da de kan være påvirket af andre faktorer end biomassen.

Endelig har man i en række undersøgelser (angivet i tabel 6) sammenholdt de sundhedsskadelige effekter forårsaget af partikler i udeluften i områder, hvor partikler fra brænderøg var en betydelig kilde til det totale partikelniveau i udeluften. Disse undersøgelser peger samstemmende på en sammenhæng mellem partikelniveauet ( $PM_{10}$ ,  $PM_{2,5}$  og/eller  $PM_{10}$ ) i udeluften og en forøget forekomst af luftvejs- og astmasymptomer herunder nedsat lungefunktion hos befolkningen i de pågældende områder. Undersøgelserne har primært fokuseret på børn, men de få undersøgelser, hvor voksne er involveret, viser tilsvarende resultater. Flere af disse undersøgelser peger også på, at astmatikere er en særlig sårbar gruppe i forhold til øget forekomst af partikler i udeluften. Dosis-respons sammenhænge kan vurderes i en række af disse

undersøgelser (angivet i tabel 8). Den relative risiko (RR) for de forskellige helbredseffekter udtrykt for en stigning i  $PM_{10}$  i udeluften på  $10 \mu\text{g}/\text{m}^3$  varierede mellem 1,01 og 1,12. I de undersøgelser, hvor  $PM_{2,5}$  blev målt, fandt man ved forøgede niveauer på 11 og  $12 \mu\text{g}/\text{m}^3$  i udeluften en RR på 1,15 henholdsvis 1,04 for hospitalsindlæggelser forårsaget af astma. I en undersøgelse, hvor man målte  $PM_{10}$ , fandt man ved et forøget niveau på  $10 \mu\text{g}/\text{m}^3$  en RR på 1,17 for stigning i astmasymptomer hos børn.

Samlet viser disse undersøgelser en forøget risiko for sundhedsskadelige effekter i luftvejene hos personer, der er udsat for partikler fra brænderøg med en relativ risiko på 1,04-1,17 ved en stigning på omkring  $10 \mu\text{g}/\text{m}^3$  PM ( $PM_{10}$ ,  $PM_{2,5}$  eller  $PM_{10}$ ) i udeluften. Ingen af de anførte undersøgelser har kunnet identificere et nedre partikelniveau uden effekt (tærskel). Der skal dog gøres opmærksom på, at på grund af forskelle i forsøgsdesign, herunder de statistiske analyser, samt måden hvorpå data præsenteres i de forskellige undersøgelser, er det vanskeligt at foretage en direkte sammenligning mellem de kvantitative sammenhænge undersøgelserne imellem.

En svensk undersøgelse (Boman et al. 2003) sammenlignede dosis-respons sammenhænge fra de fem undersøgelser, hvor brænderøg var anført som en betydelig kilde til partikelniveauet i udeluften, med de dosis-respons sammenhænge der kendes fra undersøgelser omhandlende partikler i udeluften generelt (figur 3). Sammenlignet med risikoestimerne for partikler i udeluften generelt viste risikoestimerne i undersøgelserne med brænderøg som en betydelig kilde til partikelniveauet i udeluften generelt en større effekt, dvs. en større effekt pr.  $\mu\text{g}/\text{m}^3$ . Denne vurdering understøttes også af den meget omfattende og senest opdaterede oversigtsartikel af Naeher et al. (2007).

Sammenfattende kan det ud fra de ovenstående undersøgelser konkluderes, at partikler fra brænderøg må forventes at kunne medføre de samme typer sundhedsskadelige effekter, som er kendt fra undersøgelserne af partikler i udeluften generelt, og at effekterne ikke tyder på at være mindre udtalte, når der er tale om partikler fra brænderøg.

Der skal dog gøres opmærksom på, at på grund af mangel på præcis viden om andelen af partikler fra brænderøg i det totale partikelniveau i udeluften i de ovenstående undersøgelser er det ikke muligt at vurdere de præcise dosis-respons sammenhænge for effekterne forårsaget af partiklerne fra brænderøg alene, såvel som mere præcist at vurdere forskelle i dosis-respons sammenhænge i forhold til partikler i udeluften generelt. Dette betyder, at det i øjeblikket ikke er muligt at foretage en meget præcis vurdering af brænderøgspartiklernes indflydelse på befolkningssundheden.

#### Kræftfremkaldende effekter af partikler fra brænderøg

Der er begrænset information mht. en eventuel kræftfremkaldende effekt relateret til afbrænding af biomasse herunder brænderøg. Kinesiske undersøgelser har ikke fundet nogen sammenhæng mellem udsættelse for brænderøg og lungekræft selv efter lang tids udsættelse for forholdsvis høje partikelniveauer ( $22 \mu\text{g}/\text{m}^3$  som  $PM_{10}$ ) fra åbne køkkenildsteder. To nyere undersøgelser fra Mexico og Brasilien peger på en lille forøget risiko for lungekræft i forbindelse med lang tids udsættelse for brænderøg fra køkkenildsteder. Resultaterne af disse undersøgelser begrænses dog af mangel på konkret viden om eksponeringen. Den seneste undersøgelse fra Canada

viste en øget risiko for lungekræft blandt kvinder i hjem med træfyring som boligopvarming og med gas- eller træopvarmet komfur i køkkenet.

Ud fra dette datagrundlag alene er der således ikke et tilstrækkeligt grundlag til at foretage en samlet vurdering af, hvorvidt der er en sammenhæng mellem partikler fra brænderøg og øget risiko for lungekræft. Fra de store kohorte undersøgelser vedrørende udeluft generelt er det imidlertid dokumenteret, at der er en sammenhæng mellem udsættelse for partikler og øget risiko for lungekræft. Kendte kræftfremkaldende stoffer som for eksempel PAH (en forbrændingskomponent bundet til partiklerne) kan være ansvarlig for denne sammenhæng, men det kan ikke udelukkes at partikler i sig selv (dvs. uden forbrændingskomponenter) også kan fremkalde lungekræft.

Det skal i denne sammenhæng nævnes, at det internationale kræftforskningscenter, IARC for nylig (2008) har vurderet indendørs biomasse emission (primært træafbrænding) som sandsynligt kræftfremkaldende over for mennesker (IARC gruppe 2A).

Viden fra undersøgelser med forsøgsdyr

De fleste undersøgelser med forsøgsdyr har anvendt relativt høje partikelniveauer fra brænderøg sammenlignet med de generelle partikelniveauer i udeluft.

I en undersøgelse har man fundet lettere, men statistisk signifikante forandringer i luftvejene (mild grad af kronisk betændelsestilstand og forandringer i overflade cellelaget i svælget, ophobning af makrofager med indhold af partikler i alveolerne (lungeres yderste forgreninger), samt en lettere fortykkelse af vævet ved overgangen til alveolerne) hos rotter, der havde været udsat for partikler fra brænderøg i niveauer på 1 og 10 mg/m<sup>3</sup> 3 timer dagligt, 5 dage om ugen i 4 eller 12 uger (63-74% af partiklerne mindre end 1 µm).

I en nyere undersøgelse udsatte man både rotter og mus for forskellige fortyndinger af brænderøg (røg fra hårdt træ) 6 timer dagligt i en uge henholdsvis et halvt år. Laveste og højeste eksponeringsniveau var på 30 henholdsvis 1000 µg/m<sup>3</sup> målt som partikler med en gennemsnitlig aerodynamisk diameter på 0,3 µm. I undersøgelsen, hvor man undersøgte for en række effekt parametre (klinisk tilstand, betændelse i lungerne, tegn på toksicitet i celler fra lungerne, klinisk-kemiske parametre i blodet, effekter på hjertet, evnen til at fjerne bakterier fra lungerne) fandt man kun meget lette effekter. Parallelle undersøgelser har ligeledes vist tegn på lettere effekter på dyrenes lunger ud fra analyser af væske fra lungerne. I mus, der yderligere blev undersøgt for kræftfremkaldende effekt i lungerne, var der ikke nogen øget forekomst i forhold til en kontrolgruppe, hverken i andelen af mus med lungekræft eller i antallet af tumorer hos de mus, der udviklede lungekræft.

Risikokarakterisering

For at vurdere helbredskonsekvenserne for den danske befolkning som følge af udsættelse for partikler fra brænderøg anvendes dels de veletablerede dosis-respons sammenhænge, der er fundet for partikler generelt i udeluften i de store befolkningsundersøgelser og dels data fra de forholdsvis få befolkningsundersøgelser, hvor partikler fra brænderøg specifikt er fremført

som en betydelig kilde. Sidstnævnte dækker dog kun forholdsvis få effektområder, men anvendelse af dosis-respons sammenhænge for partikler generelt på øvrige effektområder anses for at være en rimelig fremgangsmåde, da de forholdsvis få undersøgelser omhandlende partikler fra brænderøg tyder på, at disse partikler ikke er mindre sundhedsskadelige end partikler generelt. WHO peger da også på, at det især er udeluftens indhold af forbrændingspartikler (bl.a. fra biomasse), der er væsentlig for, at de sundhedsskadelige effekter opstår.

Helbredskonsekvenserne for den danske befolkning som følge af udsættelse for partikler fra brænderøg er vurderet for følgende parametre: antal dødsfald, hospitalsindlæggelser som følge af luftvejslidelser samt hjertekarsygdomme. Vurderingerne er baseret på, at brændeovne herhjemme medfører en forøgelse af det årlige gennemsnitlige partikelniveau ( $PM_{2,5}$ ) på  $0,6 \mu\text{g}/\text{m}^3$ . Den relative risiko (RR), der er fundet i undersøgelserne for de enkelte parametre, anvendes til at beregne antallet af tilfælde, som kan tilskrives det ekstra bidrag til partikelniveauet i udeluften, som brændeovnene vurderes at bidrage med herhjemme.

WHO (2005) har vurderet, at den samlede dødelighed i befolkningen stiger med 6% (95% konfidensinterval: 2-11%) for hver gang, det årlige gennemsnitsniveau af  $PM_{2,5}$  stiger med  $10 \mu\text{g}/\text{m}^3$ . Baseret på denne dosis-respons sammenhæng vil et årligt bidrag på  $0,6 \mu\text{g}/\text{m}^3$   $PM_{2,5}$  fra brænderøg være forbundet med en stigning i dødeligheden på 0,36% (95% konfidensinterval: 0,12-0,66%). Dette svarer til, at partikler fra brænderøg medfører ca. 200 (95% konfidensinterval: 66-360) ekstra dødsfald i Danmark pr. år.

Det skal imidlertid bemærkes, at nyere befolkningsundersøgelser udført af Laden et al. (2006) og Jerret et al. (2005) har fundet 2-3 gange større effekt af  $PM_{2,5}$  i forhold til den dosis-respons sammenhæng, der er vurderet af WHO.

I en undersøgelse af Sheppard et al. (1999) fandt man en stigning i hospitalsindlæggelser som følge af luftvejslidelser på 3,3% pr.  $10 \mu\text{g}/\text{m}^3$  stigning i det daglige niveau af  $PM_{2,5}$ . I denne undersøgelse var røg fra brændeovne en særlig betydende kilde. Baseret på denne dosis-respons sammenhæng vil et årligt bidrag på  $0,6 \mu\text{g}/\text{m}^3$   $PM_{2,5}$  fra brænderøg være forbundet med en stigning i hospitalsindlæggelser som følge af luftvejslidelser på 0,2%. Dette svarer til, at partikler fra brænderøg medfører ca. 156 ekstra hospitalsindlæggelser som følge af luftvejslidelser i Danmark pr. år. Der skal her gøres opmærksom på, at man ved at anvende en dosis-respons sammenhæng fra kort tids (daglig) eksponering på det årlige gennemsnitsniveau for  $PM_{2,5}$  mest sandsynligt vil underestimere helbredskonsekvenserne.

EU Kommissionen (2005) har angivet en stigning på 14% i nye tilfælde af kronisk bronchitis som følge af en  $10 \mu\text{g}/\text{m}^3$  stigning i det årlige gennemsnitsniveau af  $PM_{2,5}$ . Baseret på denne dosis-respons sammenhæng vil et årligt bidrag på  $0,6 \mu\text{g}/\text{m}^3$   $PM_{2,5}$  fra brænderøg være forbundet med en stigning af nye tilfælde med kronisk bronchitis på 0,84%. Dette svarer til, at partikler fra brænderøg medfører ca. 60 ekstra tilfælde af kronisk bronchitis i Danmark pr. år.

Der foreligger ingen befolkningsundersøgelser vedrørende hjertekarsygdomme relateret til udsættelse for partikler fra brænderøg. En ny undersøgelse af Miller et al. (2007) fandt imidlertid en øget risiko på 24% for udvikling af

hjertekarsygdomme og på 76% for dødsfald i tilknytning hertil ved en forøgelse af det årlige  $PM_{2,5}$  niveau på  $10 \mu g/m^3$ . Det skal imidlertid bemærkes, at denne undersøgelse udelukkende inkluderede en gruppe kvinder over 50 år, så det er derfor vanskeligt herudfra at vurdere betydningen for den samlede befolkning. Dog indikerer den forholdsvis høje øgede risiko for udvikling af hjertekarsygdomme og dødsfald relateret hertil observeret i Miller et al. (2007) studiet, at selv et årligt bidrag på  $0,6 \mu g/m^3$   $PM_{2,5}$  fra brænderøg vil kunne have en mærkbar indflydelse på antallet af hjertekarsygdomme og dødsfald relateret hertil.

Det skal bemærkes, at denne indledende vurdering af helbreds-konsekvenserne for den danske befolkning som følge af udsættelse for partikler fra brændeovne er begrænset af de meget sparsomme data vedrørende befolkningens eksponering samt af de forholdsvis få undersøgelser, der specifikt har vurderet helbredseffekterne af partikler fra brænderøg. Den anvendte fremgangsmåde til vurdering af helbreds-konsekvenserne anses dog for at undervurdere helbredseffekterne, specielt mht. dødelighed, da nyere undersøgelser peger på en større effekt end den dosis-respons sammenhæng, der er vurderet af WHO (2005).

#### Anbefalinger

Der er generelt behov for mere viden for at kunne foretage en mere præcis vurdering af helbreds-konsekvenserne for den danske befolkning som følge af udsættelse for partikler fra brænderøg. Især er det vigtigt at få en bedre eksponeringsvurdering, dvs. en bedre beskrivelse af befolkningens udsættelse for partikler fra brænderøg, samt en bedre viden om de kritiske sundhedsskadelige effekter af disse partikler, inklusive en bedre viden om dosis-respons sammenhænge for disse effekter.

Det anbefales derfor, at der udføres en eller flere undersøgelser i et udvalgt boligområde med mange brændeovne med fokus på 1) vurdering af befolkningens eksponering for partikler fra brænderøg inklusive målinger af kemiske markører for brænderøg for derved at kunne beregne partikelbidraget fra brænderøg til det totale partikelniveau i udeluften, 2) karakterisering af partiklerne i brænderøg såvel som sammensætningen af brænderøg, 3) undersøgelse af eksponering for brænderøg inden døre, og 4) undersøgelse af sammenhængen mellem eksponering for partikler fra brænderøg og helbredseffekter inklusive en beskrivelse af dosis-respons sammenhængen for disse effekter.

Herudover er der yderligere et behov for at foretage eksperimentelle undersøgelser med fokus på dels en klarlægning af de sundhedsskadelige effekter forårsaget af partikler fra brænderøg og de bagvedliggende mekanismer for herved bedre at kunne forstå og udpege de helbredsmæssige konsekvenser for mennesker dels at undersøge betydningen af de forskellige faser i forbrændingen samt typen af forbrændingsanordninger, inklusive moderne brændeovne, for de fysisk-kemiske partikelegenskaber såvel som helbredseffekter.

# 1 Introduction

The combustion of biomasses is the oldest and, overall, most widespread energy source used in a variety of applications for heat and power production, as well as for cooking. The everyday life for a majority of the people in the world is dependent on fuels like wood, animal dung, and crop residues, as well as coal. However, according to Boman et al. (2003), only a small fraction (about 11%) of the total global energy consumption is based on biomass fuels or other combustible renewable material and waste. An increasing interest in sustainable energy production has awakened globally, and the potential for an increased use of biomass fuels is significant.

Combustion processes in general are major anthropogenic sources of many of the classical air pollutants such as carbon monoxide, nitrogen oxides, sulphur dioxides, and particulate matter, which have traditionally been associated with different adverse health effects. Residential wood combustion is often considered a major source of ambient local air pollutants, especially for particulate matter. Besides the major combustion products, carbon dioxide and water, wood smoke mainly consists of a complex mixture of particulate matter, inorganic gases, volatile organic hydrocarbons (VOC), and polycyclic aromatic compounds (PAC).

Generally, residential wood appliances are relatively old, and, compared with modern wood and pellet technology, the combustion conditions are poorly optimised. This situation often results in considerable emissions of incomplete combustion products. For example, in the United States, it has been estimated that up to 90% of the ambient wintertime  $PM_{2.5}$  (mass of particles with an aerodynamic diameter of  $\leq 2.5 \mu\text{m}$ ) derive from residential wood combustion (Boman et al. 2003). In addition to the ambient exposure to wood smoke, exposure can also occur indoor as a result of direct release from heating devices or the infiltration of outdoor air pollution.

There has been a relatively extensive amount of work done concerning the adverse health effects of both individual gaseous combustion by-products (e.g. carbon monoxide, nitrogen oxides, and sulphur dioxides) as well as particulate air pollution. The adverse health effects of particulate air pollution are well documented and the impact on the respiratory function has been demonstrated in many studies. The existing knowledge is mainly related to general particulate air pollution or particles from traffic, determined as  $PM_{10}$  or  $PM_{2.5}$ , and data on contributions from various sources and their individual health effects are limited.

Fewer studies have dealt with the adverse health effects of exposure to wood smoke. Since the emissions from residential wood combustion include a complex mixture of the aforementioned pollution components, it can be assumed that exposure to wood smoke is potentially harmful to human health. Today, the focus concerning emissions from residential wood combustion and its implications for air quality and human health is mainly on particulate matter.

The number of residential wood burning devices has increased dramatically in Denmark during the last decades. This has resulted in an increased exposure of the general Danish population to pollutants associated with residential wood smoke. New Danish monitoring results have shown that wood stoves can cause particle levels in residential areas that are comparable to levels at major roads. Therefore, there is an increasing concern that adverse human health effects might be associated with the increased exposure to residential wood smoke.

This project has been set up in order to review the scientific literature concerning adverse health effects of pollutants associated with residential wood smoke with the main focus on particulate matter and to quantify and evaluate, if possible, the impact on human health of the increased exposure to particles in residential wood smoke.

The report starts off with a general description of wood smoke and includes a physico-chemical characterisation of particles in wood smoke as well as in the general environment in order to evaluate whether particles in wood smoke are different from particles in the general environment (section 2).

This is followed by a summary of the available information of human exposure to particles in wood smoke with the main focus on the Danish studies and an exposure assessment is carried out for Danish conditions (section 3).

Particle deposition in the respiratory tract and clearance are addressed in section 4.

The available human and animal data on potential adverse health effects of exposure to particles from residential wood smoke (hazard assessment) are reviewed in section 5 and 6, respectively. In addition, a brief summary of adverse health effects associated with particles in the general environment is included in order to evaluate whether the adverse health effects of particles in residential wood smoke are more or less worse than those of particles in the general environment.

Finally, based on the exposure and hazard assessments, a risk characterisation is carried out for Danish conditions, if possible, i.e. if sufficient data on exposure and effects are available. In addition, the gaps of knowledge in the available data in order to perform a more refined risk characterisation are discussed.

## 2 Wood smoke, characterisation

Wood smoke consists of, besides the major combustion products carbon dioxide and water, a complex mixture of compounds, including particulate matter, inorganic gases (e.g. carbon monoxide, nitrogen oxides, sulphur dioxides), volatile organic hydrocarbons (VOC), and polycyclic aromatic compounds (PAC). Particulate matter is itself a complex mixture and can be fractionated as inorganic ash material, soot, and condensed organic material.

In addition to temporal and seasonal variations, the physico-chemical characteristics of particulate matter as well as the associated adverse health effects exhibit large regional differences, even when the particles are from the same type of source. Such variations will complicate an evaluation of the association between exposure to particulate matter and adverse health effects. The most important determinants of the toxicity of particles are the particle size and the chemical composition. These aspects will be addressed in the following sections.

### 2.1 Particle size distribution

The particle size is believed to be an important parameter in relation to health effects. The particle size can also reveal the origin / sources and the history of the particles. Furthermore, the particle size determines the atmospheric lifetime. (Palmgren et al. 2003).

The particles size distribution is traditionally described as appearing in three modes according to the aerodynamic diameter of the particles: Coarse particles (2.5-10  $\mu\text{m}$ ), fine particles (0.1-2.5  $\mu\text{m}$ ), and ultrafine particles ( $<0.1 \mu\text{m}$ ). The particle fractions most often used in epidemiological studies are  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$ , referring to the mass of particles with an aerodynamic diameter  $\leq 10$  and 2.5  $\mu\text{m}$ , respectively. (Trafikministeriet 2003, Palmgren et al. 2003). Figure 1 shows the particle size distribution in urban air.

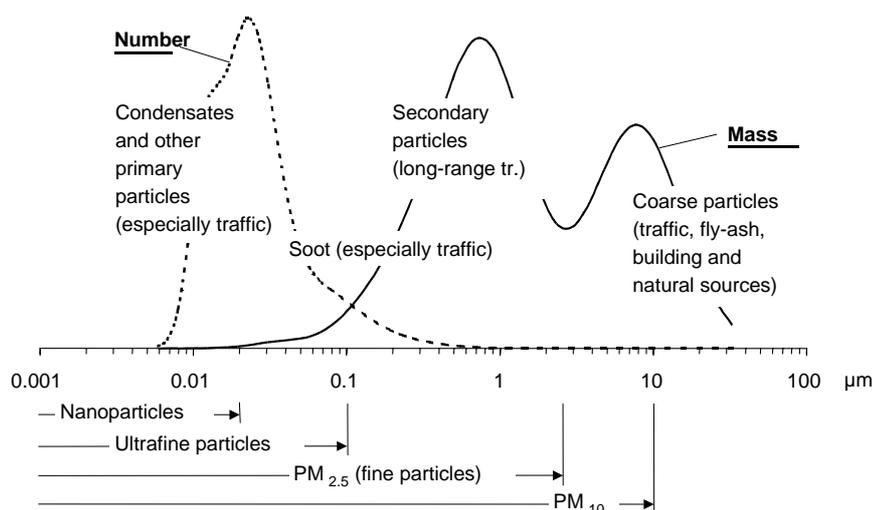
In urban areas, the **coarse mode particles** are typically formed mechanically by abrasion of road material, tyres and brake linings, dust raised by wind, and traffic turbulence etc. Natural sources are soil dust and sea spray (sea salt). Their atmospheric lifetime is short (minutes to hours). Coarse particles contain inorganic ions (for example calcium, aluminium, silicon, magnesium and iron) and components with biological activity, e.g. allergens in pollen and toxins in mould spores. (Palmgren et al. 2003, Trafikministeriet 2003).

**Fine mode particles** are typically formed by atmospheric chemical/physical processes during long-range transport (e.g., sulphur dioxide and nitrogen oxides are transformed into sulphate and nitrate – secondary particles), or by other relatively slow processes in the atmosphere. (Palmgren et al. 2003). The fine particles are therefore commonly aged particles and transported over long distances. The main sources of the primary emissions of  $\text{PM}_{2.5}$  are vehicle exhausts, fossil fuel combustion (especially coal and wood heating), industrial processes, other biomass burning, and fugitive dust. The main emissions of precursors for secondary  $\text{PM}_{2.5}$  formation are nitrogen oxides, sulphur

dioxide, ammonia, and volatile organic hydrocarbons from different sources (Schlesinger & Cassee 2003). Fine particles contain especially elemental carbon and different metals, as well as organic compounds. In the  $PM_{2.5}$  fraction, fine particles (0.1-2.5  $\mu m$ ) account for the largest mass, but the largest numbers are ultrafine particles (over 90%), and the largest surface area per mass is in the accumulation mode fraction (0.1-1  $\mu m$ ).

**Ultrafine particles** are primarily formed from gases by nucleation in air, and their atmospheric lifetime is short. Ultrafine particles are fuel or oil in the form of aerosols or solid elemental and organic carbon (soot) as well as heavy metals. The predominant source of ultrafine particles is traffic, particularly diesel engines, and these particles are therefore dominating in heavily trafficked areas. In general, there is limited information on the concentrations of ultrafine particles in ambient air because they have not been monitored comprehensively (Trafikministeriet 2003, Palmgren et al. 2003).

Figure 1. The particle size distribution in urban air. From Trafikministeriet (2003).



The particle size varies strongly during the different phases of the wood combustion process. The emissions are largest during the start-up phase when the particle size is in the range 60-70 nm. During the intermediate and smouldering phases, the emissions decrease and the particle size distribution has two modes, one in the 20-30 nm range and another in the 100-200 nm range. Measurements in a neighbourhood dominated by wood combustion emissions showed a particle size distribution composed of all three combustion phases, with particles from the start-up phase as dominating. It is likely that particles from different phases and size modes have a somewhat different chemical composition. (Forsberg et al. 2005).

Boman et al. (2004) have studied the emission of fuel pellets, a new biomass fuel. The emitted particles were mainly found in the fine (<1  $\mu m$ ) mode with

mass median aerodynamic diameters of 0.20-0.39  $\mu\text{m}$  and an average  $\text{PM}_{10}$  of  $89.5\% \pm 7.4\%$  of total PM.

Kocbach et al. (2006) found a small difference in the particle diameter between primary carbon particles from vehicle exhaust and residential wood smoke. A mean diameter of  $31 \pm 7$  nm was found for primary carbon particles of wood smoke sampled from a single-stage combustion stove compared to a mean diameter of  $24 \pm 6$  nm for carbon particles sampled from a road tunnel.

## 2.2 Chemical characterisation of wood smoke

Wood consists of cellulose (50-70%), lignin (about 30%), and small amounts of resinous materials and inorganic salts. In wood, cellulose compounds form a supporting mesh that is reinforced by lignin polymers. Together these compounds form the rigid wood structure. Upon heating, these structures break apart producing a large variety of smaller molecules including methoxy phenols, methoxy benzenes, phenols, catechols, benzene, and alkyl benzenes. Non-wood biomass does not contain lignin and therefore the methoxy phenols and methoxy benzenes are unique tracers of wood smoke combustion. Conifers (softwoods) produce large amounts of resin acids while deciduous (hardwoods) trees do not. Combustion of hardwoods produces more ash and therefore more trace elements than softwoods. Approximately 5-20% of wood smoke particulate mass is elemental carbon, while the composition of the organic carbon fraction varies with the specific biomass fuel being burned and with the combustion conditions. Potassium is the trace element found at highest concentrations in wood smoke and has often been used as a wood smoke tracer. Table 1 summarises the chemical composition of wood smoke. (Larson & Koenig 1994).

In a detailed analysis of organic wood smoke aerosol, nearly 200 distinct organic compounds were measured in wood smoke, many of them derivatives of wood polymers and resins (Rogge et al. 1998).

Table 1. Chemical composition of wood smoke. From Larson and Koenig (1994).

Species	g/kg wood
Carbon monoxide	80-370
Methane	14-25
VOCs ( $\text{C}_2$ - $\text{C}_7$ )	7-27
Aldehydes (formaldehyde, acrolein, propionaldehyde, butyraldehyde, acetaldehyde, furfural)	0.6-5.4
Substituted furans	0.2-1.6
Benzene	0.6-4.0
Alkylbenzenes	1-6
Acetic acid	1.8-2.4
Nitrogen oxides	0.2-0.9
Sulfur dioxide	0.16-0.24
Naphthalene	0.24-1.6
Substituted naphthalenes	0.3-2.1
Oxygenated monoaromatics	1-7
Total particle mass	7-30
Particulate organic carbon	2-20
Particulate elemental carbon	0.3-5
Oxygenated PAHs	0.15-1
PAHs	$10^{-5}$ - $10^{-2}$ a)
Trace elements	$10^{-4}$ - $10^{-2}$ b)

Normal alkanes (C <sub>24</sub> -C <sub>30</sub> )	1x10 <sup>-3</sup> -6x10 <sup>-3</sup>
Cyclic di- and triperpenoids	10 <sup>-6</sup> -0.1
Chlorinated dioxins	1x10 <sup>-5</sup> -4x10 <sup>-5</sup>
Particulate acidity	7x10 <sup>-3</sup> -7x10 <sup>-2</sup>

- a) 18 different PAHs listed with concentrations ranging from 10<sup>-4</sup>-10<sup>-2</sup> g/kg wood.  
b) 18 different trace elements listed with concentrations ranging from 10<sup>-4</sup>-10<sup>-2</sup> g/kg wood.

**Organic compound emission rates for volatile organic compounds, gas-phase semi-volatile organic compounds, and particle-phase organic compounds have been measured from residential fireplace combustion of wood. Firewood from a conifer tree (pine) and from two deciduous trees (oak and eucalyptus) was analysed to determine organic compounds emissions profiles for each wood type. The results are summarised in Table 2. (Schauer et al. 2001).**

Table 2. Average fine particle emissions rate and fine particle chemical composition of emissions from fireplace combustion of wood. Reproduced from Schauer et al. (2001).

	Pine	Oak	Eucalyptus
Fine particle emissions rate (g/kg wood burned)	9.5 ± 1.0	5.1 ± 0.5	8.5 ± 0.8
Organic carbon (wt% FPM <sup>a</sup> )	56.0 ± 2.8	59.1 ± 3.0	43.7 ± 2.2
Elemental carbon (wt% FPM <sup>a</sup> )	1.4 ± 0.1	3.2 ± 0.2	2.6 ± 0.2
Chloride (wt% FPM <sup>a</sup> )	0.29 ± 0.04	0.20 ± 0.01	1.70 ± 0.05
Nitrate (wt% FPM <sup>a</sup> )	0.19 ± 0.01	0.44 ± 0.01	0.45 ± 0.01
Sulphate (wt% FPM <sup>a</sup> )	0.12 ± 0.01	0.41 ± 0.01	0.24 ± 0.01
Ammonium (wt% FPM <sup>a</sup> )	0.09 ± 0.01	0.10 ± 0.01	0.45 ± 0.01
Sodium (wt% FPM <sup>a</sup> )	0.09 ± 0.01	0.10 ± 0.01	0.18 ± 0.01
Sulphur (wt% FPM <sup>a</sup> )	0.059 ± 0.002	0.148 ± 0.004	0.056 ± 0.003
Chlorine (wt% FPM <sup>a</sup> )	0.181 ± 0.003	0.127 ± 0.006	0.1290 ± 0.008
Potassium (wt% FPM <sup>a</sup> )	0.277 ± 0.003	0.647 ± 0.007	0.809 ± 0.005

a) FPM: Fine Particle Mass

Kocbach et al. (2006) found that residential wood smoke particles and diesel particles had a very comparable total carbon content (83 versus 80%). However, the organic content was 35% for wood smoke particles compared to 16% for diesel particles. The PAH content in wood smoke was found to be 11800 ng/mg, which was significantly higher than the PAH content in diesel particles of 84 ng/mg.

The emissions of particles, PAHs and dioxins were measured in smoke samples from private wood stove chimneys in Denmark (Glasius et al. 2005). The results are summarised in Table 3 (I-TEQ are International Toxicity Equivalents). The emissions of PAHs were 43.4±46.2 mg/kg wood (with values in the interval 4.4-81.2 mg/kg wood) and the particle emission 21.1±28.9 g/kg wood (3.2-82.9 g/kg wood). Insufficient combustion conditions will lead to increased emission of both particle mass and PAHs, and a positive correlation between the emissions of PAHs and particles was seen. The dioxin emission was 6.1±6.1 ng I-TEQ/kg wood (0.3-17.7 ng I-TEQ/kg wood), and no correlation between the emission of dioxins and particles were found. Dioxins and particles are formed via two different processes and therefore no correlation was expected. Dioxins are formed by a chemical reaction between chlorine and organic substances while particles are formed via condensation of the flue gas.

In a recent follow-up study on 13 appliances of which six were also included in the study reported in Glasius et al. (2005), the average emissions were PAH  $57 \pm 83$  ng/kg wood, dioxins  $19 \pm 32$  ng I-TEQ/kg wood and particles  $6.2 \pm 5.4$  mg/kg wood (Glasius et al. 2007). Generally the results were in agreement with the first study, except for particle emissions that were lower in the second study. The large relative standard deviations in the measurements are caused by the large variation in emissions between appliances.

A recent inventory shows that the total Danish dioxin emission to air was about 22.0 g I-TEQ in 2004. The main sources were residential wood combustion (40%) and fires (28%) (Henriksen et al. 2006).

Table 3. Wood smoke emissions from private wood burning stoves. Reproduced from Glasius et al. (2005).

	New stoves < 3 years	Old stoves > 5 years	Old boiler
Number of determinations	3	6	2
Dioxin (ng I-TEQ/kg wood)	0.3-3.0	5.1-17.7	0.3-0.6
Dioxin (ng I-TEQ/m <sup>3</sup> smoke)	0.05-0.46	0.79-2.7	0.045-0.094
PAH (mg/kg wood)	4.4-7.8	5.5-81.2	15.4-23.7
PAH (mg/m <sup>3</sup> )	0.7-1.2	0.8-12.4	2.3-3.6
Particles (g/kg wood)	4.3-11.4	3.2-82.9*	20.3-24.2
Particles (mg/m <sup>3</sup> )	0.7-1.7	0.5-12.7*	3.1-3.7

\* 4 determinations.

The type of wood burned was mostly dried birch and beech. Not included in this Table was a smoke sample taken from a new stove during combustion of pallets and painted wood. In this sample, the emission per kg wood of PAH, dioxin, and particles compared to clean wood was increased 5-, 4-, and 8-fold, respectively.



# 3 Human exposure

## 3.1 Exposure from ambient air

### 3.1.1 Particles

Wood burning devices contribute to outdoor air pollution. The contribution of wood smoke particles to ambient air pollution has recently been summarised by Zelikoff et al. (2002). On a moderately cold winter day, 51% of the respirable air particulates in the Portland, OR, area were from residential wood combustion sources (Cooper 1980). Investigations examining other parts of the northwest America reported that residential wood smoke in the Olympia, WA, area accounted for 50% (on clear days) to 85% (on polluted days) of airborne PM and it was concluded that wood smoke represented a more significant source of ambient PM than the sum of total of all industrial point sources in the state of Washington (Koenig et al. 1988). Additional studies in the same geographic area have demonstrated that 80-90% of the PM measured in the ambient air was due to use of wood burning devices during night time hours (Larson et al. 1992).

Larson & Koenig (1994) have summarised a number of studies, which have documented the outdoor concentrations of airborne particles resulting from wood burning. The studies indicated that wood smoke may account for up to 90% of the airborne particle concentrations during the winter. In cases where wood smoke contributed predominantly to the particulate mass (> 80%),  $PM_{10}$  concentrations up to about  $150 \mu\text{g}/\text{m}^3$  and  $PM_{2.5}$  concentrations up to about  $85 \mu\text{g}/\text{m}^3$  have been reported.

In a more recent review (Boman et al. 2003) including nine studies (see Table 6 in section 5.1.2.2) in relation to residential wood combustion, 24-hour  $PM_{10}$  concentrations up to  $187 \mu\text{g}/\text{m}^3$  were reported with average values (mean) of about  $20\text{-}30 \mu\text{g}/\text{m}^3$  in most studies. One American study has reported a 24-hour mean  $PM_{2.5}$  of  $16.7 \mu\text{g}/\text{m}^3$  (Sheppard et al. 1999) and another American study has reported an average concentration of  $PM_{2.5}$  of approximately  $12 \mu\text{g}/\text{m}^3$  for a 15-month period (Norris et al. 1999).

In a recent Swedish study (Molnár et al. 2005), outdoor levels of  $PM_{2.5}$  were measured in the winter 2003 in a residential area where domestic wood burning is common; the mean value was  $13.7 \pm 8.0 \mu\text{g}/\text{m}^3$ .

Data from Nordic countries have shown that emissions from wood stoves depend very much on the combustion conditions and technologies (Sternhufvud et al. 2004). Old stoves are still the most important PM emission source compared with newer types of stoves with improved technology.

In 2005, there were about 551,000 wood stoves and about 48,000 wood boilers in Denmark (Evald 2006). Recent results have shown that the particle emission from residential wood burning stoves is an important source of particles in ambient air pollution in Denmark. According to Illerup & Nielsen

(2004), about 10,000 tonnes  $PM_{2.5}$  per year or about half of the total particle emission in Denmark come from residential wood combustion. In 2005, the total particle emission was about 27,787 tonnes  $PM_{2.5}$  in Denmark of which about 17,665 tonnes  $PM_{2.5}$  were from residential wood combustion (MST 2007). Another important source is road traffic, which contributes with about 20% of the total emission of  $PM_{2.5}$  (Palmgren et al. 2005).

There is only very limited information on population exposure to wood smoke particles in Denmark. Only data from two measurement campaigns can be used to estimate the particle contributions from local wood combustion.

Measurements in a Danish residential area with no district heating and many wood stoves during the winters 2002 and 2003/4 have shown that the vast majority of  $PM_{2.5}$  pollution stems from three sources: long-range transport, traffic and wood combustion. The highest contribution to  $PM_{2.5}$  was from long-range transported pollution, mainly salts. Measurements during a 6-week winter period showed that the contribution from wood combustion to ambient  $PM_{2.5}$  was comparable to the contribution from a heavily trafficked road to  $PM_{2.5}$  at the sidewalk. The 24-hour range of  $PM_{2.5}$  in ambient air was about 12-19  $\mu\text{g}/\text{m}^3$  (it is important to note that the applied measurement method underestimates  $PM_{2.5}$  by about 30% compared to the reference method). The average  $PM_{2.5}$  concentration was elevated by about 4  $\mu\text{g}/\text{m}^3$  compared to background measurements during the six winter weeks (Glasius et al. 2006). Measurements in the Danish residential area also showed increased ambient air concentrations of dioxin and PAH. (Vikelsøe et al. 2005, Palmgren et al. 2005).

In another residential area with natural gas combustion as the primary heating source and wood combustion as a secondary heating source, the average  $PM_{2.5}$  concentration was elevated by about 1  $\mu\text{g}/\text{m}^3$  compared to background measurements during four winter weeks (Glasius et al. 2007).

Based on these limited datasets, the general population exposure can only be estimated with very large uncertainties. In addition to lack of measurements, there is presently a lack of information on e.g. the actual geographic distribution of the wood-combustion appliances and the number of people living in the vicinity.

An increase in annual average  $PM_{2.5}$  of 1  $\mu\text{g}/\text{m}^3$  is a best maximum estimate of the whole population exposure based on the data from these two measurement campaigns showing an increase in average  $PM_{2.5}$  of 4  $\mu\text{g}/\text{m}^3$  during winter in a residential area with no district heating and many wood stoves and of 1  $\mu\text{g}/\text{m}^3$  during winter in a residential area with natural gas combustion as the primary heating source and wood combustion as a secondary heating source.

Model calculations have been used to estimate the  $PM_{2.5}$  levels resulting from wood combustion in Denmark. The total Danish  $PM_{2.5}$  emissions from wood-combustion were assumed to be distributed evenly over the whole area of Denmark, and the results showed an increase in  $PM_{2.5}$  of 0.4  $\mu\text{g}/\text{m}^3$  during winter (October-March) corresponding to an increase in annual  $PM_{2.5}$  of 0.2  $\mu\text{g}/\text{m}^3$  (Palmgren et al. 2005). An increase in annual  $PM_{2.5}$  of 0.2  $\mu\text{g}/\text{m}^3$  is a best minimum estimate of the whole population exposure.

In conclusion, the annual average  $PM_{2.5}$  exposure from wood smoke is roughly estimated to be 0.2-1  $\mu\text{g}/\text{m}^3$  for the whole Danish population with a best estimate of about 0.6  $\mu\text{g}/\text{m}^3$ .

The contribution to PM<sub>2.5</sub> from wood smoke of 0.2-1 µg/m<sup>3</sup> should be seen in connection with the overall PM<sub>2.5</sub> levels in Denmark. Measurements of PM<sub>2.5</sub> in the centre of Copenhagen and in urban background showed PM<sub>2.5</sub> concentrations of 19.8 µg/m<sup>3</sup> in Central Copenhagen and 14.6 µg/m<sup>3</sup> in urban background (Jensen et al. 2004).

### 3.1.2 PAH

The following data are not specifically addressing wood smoke PAH but pertain to PAH ambient air measurements with contribution from all sources.

In a recent study (Prevedouros et al. 2004), atmospheric monitoring data for selected PAHs have been compiled from remote, rural and urban locations in the UK, Sweden, Finland and Arctic Canada. Urban sites included London and Manchester as well as the semi-rural site Hazelrigg, rural locations were in Rörvik (Sweden) and Pallas (Finland), and the remote site was near Alert (Arctic Canada). Table 4 gives the typical ranges for the compounds at each site for 1996 as a reference year.

The sites differed substantially in PAH concentrations and represented a range along an urban, rural and remote gradient, i.e. a 'dilution' of ambient air concentrations at sites further away from major source regions. Urban centres in the UK had concentrations 1-2 orders of magnitude higher than in rural Europe and up to 3 orders of magnitude higher than in Arctic Canada. The concentrations from the semi-rural site Hazelrigg may have been influenced by the proximity of a major highway and this could be the source to the observed elevated concentrations of some PAHs (most notably phenanthrene) at this site.

Seasonality, with winter concentrations being higher than summer concentrations, was apparent for most PAHs at most sites; high molecular weight compounds (e.g. benzo[a]pyrene) showed this most clearly and consistently. Strong winter>summer seasonality is linked to seasonally dependent sources, which are greater in winter, and photolytic degradation during summer. This implicates inefficient combustion processes, notably the diffuse domestic burning of wood and coal. However, sometimes seasonality can also be strongly influenced by broad changes in meteorology and air mass origin (e.g. in the Arctic Canada).

Table 4. Ranges of the PAH air concentrations (gas and particle) at the selected sites (in ng/m<sup>3</sup>). Reproduced from Prevedouros et al. (2004).

	London	Manchester	Hazelrigg	Rörvik	Pallas	Alert
Acenaphthene	0.7-1.5	1-4	0.5-2	N/a	N/a	0.001-0.02
Fluorene	3-9	4-20	5-20	N/a	N/a	0.01-0.3
Phenanthrene	20-22	20-50	70-160	0.8-3	0.2-0.7	0.02-0.08
Anthracene	1-2	1-4	5-15	0.01-0.1	0.002-0.01	0.002-0.003
Fluoranthene	4-6	5-10	5-10	0.3-1.7	0.1-0.3	0.005-0.07
Pyrene	2.5-5	3.5-8	5-10	0.1-1	0.05-0.2	0.004-0.05
Benzo[a]anthracene	0.2-0.9	0.2-1.6	0.3-0.7	0.01-0.2	0.005-0.02	N/d-0.020
Chrysene	0.5-2	0.4-6	0.25-1	0.05-0.5	0.03-0.04	N/d-0.050
Benzo[b]fluoranthene	0.2-1.5	0.2-1.5	0.05-1	0.04-0.8	0.02-0.05	N/d-0.012
Benzo[k]fluoranthene	0.1-1	0.1-1	0.02-0.4	0.01-0.3	0.01-0.02	N/d-0.01
Benzo[a]pyrene	0.05-0.6	0.1-1	N/a	0.01-0.2	0.01-0.03	N/d-0.004
Benzo[ghi]perylene	0.3-10	0.2-0.8	0.02-0.5	0.02-0.15	0.01-0.04	N/d-0.013

N/a: Not analysed.  
N/d: Not detected.

### 3.2 Indoor penetration

The transport properties of particulate air pollution strongly depend on the particle size distribution. Sub-micrometer particles can easily penetrate into the indoor environment, especially if air filtration does not occur.

Although wood smoke levels in outdoor air are important, most people spend a majority of their time indoor, especially at night in residential areas. Thus indoor penetration is an important variable when interpreting the exposure assessment. Indoor exposure can occur not only from infiltration of outdoor air, but also from emissions into the home from a wood burning appliance. It has been estimated that approximately 70% of the fine particles from the outside air penetrate into the home. For an outdoor concentration of  $20 \mu\text{g}/\text{m}^3$  of wood smoke particles, there is an effective infiltration rate of 1 mg/hour of fine particle mass if 7/10 of the volume of air in the room is exchanged with outside air every hour. Higher outdoor concentrations or more rapid air exchange rates would give larger infiltration rates. For most studies of fine particle mass in homes with airtight stoves, the indoor-outdoor ratios are at or below 1.0 implying that infiltration is important even in homes with stoves. (Larson & Koenig 1994).

The number concentration of ultrafine and fine particles was measured simultaneously indoor and outdoor in some rural and urban areas of Sweden and Denmark (Matson 2005). The results revealed that the outdoor-generated particle levels were major contributors to the indoor particle number concentration in the studied buildings when no strong internal source was present. The determined indoor-outdoor ratios varied between 0.5 and 0.8. In residential buildings, the indoor number concentration was strongly influenced by several indoor activities, e.g., cooking and candle burning. In the presence of significant indoor sources, the indoor/outdoor ratio exceeded unity.

In a Danish study, 15 one-week samples of  $\text{PM}_{10}$ ,  $\text{PM}_{2.5}$ , inhalable dust ( $\text{PM}_{\text{inh}}$ ) and 16 polycyclic aromatic hydrocarbons (PAHs) were collected inside and outside of an uninhabited 4<sup>th</sup> floor apartment at Jagtvej in central Copenhagen during winter, spring and summer in 2002 (Jensen et al. 2005). Similarly, urban background samples were collected at a 2 km distant 4<sup>th</sup> floor high rooftop.

The particulate air pollution was dominated by fine particles. Approximately 70 wt% of the  $\text{PM}_{2.5}$  consisted of  $\text{PM}_{10}$  at all sites. The average  $\text{PM}_{2.5}$  content in  $\text{PM}_{\text{inh}}$  was 54 and 69 wt% at Jagtvej and in the urban background, respectively. Indoor  $\text{PM}_{\text{inh}}$  consisted almost entirely of  $\text{PM}_{2.5}$ . Correlation analysis showed a strong relationship between  $\text{PM}_{10}$ ,  $\text{PM}_{2.5}$  and  $\text{PM}_{\text{inh}}$  at Jagtvej and in the urban background. However,  $\text{PM}_{10}$  at Jagtvej exceeded the urban background concentrations.

Indoor  $\text{PM}_{10}$  correlated well with  $\text{PM}_{10}$  in both the street and the urban background. However, indoor-outdoor ratios below unity ( $0.77 \pm 0.21$  for  $\text{PM}_{10}$  and  $0.77 \pm 0.24$  for  $\text{PM}_{2.5}$ ) were only achieved using  $\text{PM}_{10}$  concentrations measured in the street at Jagtvej.

In  $\text{PM}_{2.5}$  samples, the total concentrations of 16 PAHs were 15-284  $\text{ng}/\text{m}^3$  indoor, 46-235  $\text{ng}/\text{m}^3$  outdoor, and 2-105  $\text{ng}/\text{m}^3$  in the urban background. The concentrations were probably underestimated due to extraction recovery below 100%, breakthrough, and reaction with ozone and nitrogen oxides during sampling. The real concentrations may be up to two times higher than observed. Urban background, traffic and indoor sources contributed to the

overall concentration of PAHs in the uninhabited apartment. Traffic in the Jagtvej street canyon and indoor sources appeared to be the most important sources for PAHs indoor.

Indoor-outdoor measurements of levoglucosan (a chemical marker for wood smoke) have been carried out in two single-family detached houses in Denmark (Randers), one with and one without a wood stove (Glasius et al. 2007). Measurements of levoglucosan showed that the house with a wood stove had increased levels compared with outdoor levels; the increased levels may thus be associated with use of a wood stove in the house. Levoglucosan was also measured in the house without a wood stove indicating that particles from outdoor are transported into the house.

### 3.3 Exposure from other settings with wood smoke

Exposure to biomass air pollution occurs in many settings. The highest concentrations of particles have been measured in indoor air in developing countries where wood and other biomass is used as a cooking and heating fuel. In terms of exposure, domestic cooking and heating with biomass clearly presents the highest exposures since individuals are exposed to high levels of smoke on a daily basis for many years.

Exposures during cooking with biomass fuel have been reviewed by Smith et al. (2000). Particulate concentrations in Nepal were as high as 200-8200  $\mu\text{g}/\text{m}^3$ , while measurements from India showed particle concentrations as high as 3600-6800  $\mu\text{g}/\text{m}^3$ . Measurements of particle concentrations in kitchen areas in developing countries have shown values between 200 and 9000  $\mu\text{g}/\text{m}^3$ .

Park & Lee (2003) measured the particle exposure and size distribution in 23 houses with wood burning stoves in Costa Rica. Daily  $\text{PM}_{2.5}$ ,  $\text{PM}_{10}$  and particle size distribution were simultaneously measured in the kitchen. Average daily  $\text{PM}_{2.5}$  and  $\text{PM}_{10}$  were 44 and 132  $\mu\text{g}/\text{m}^3$ , respectively. All houses had a particle size distribution of either one or two peaks at around 0.7 and 2.5  $\mu\text{m}$  aerodynamic diameters. The maximum peak levels ranged from 310 to 8170  $\mu\text{g}/\text{m}^3$  for  $\text{PM}_{2.5}$  and from 500 to 18900  $\mu\text{g}/\text{m}^3$  for  $\text{PM}_{10}$ .

Forest fire-fighters comprise an occupational group with high exposure to biomass smoke. In a recent study, the average daily personal exposure to fine particles was 882  $\mu\text{g}/\text{m}^3$  with an interquartile range of 235  $\mu\text{g}/\text{m}^3$  to 1317  $\mu\text{g}/\text{m}^3$  (Slaughter et al. 2004). Concentrations of acrolein, formaldehyde, and carbon monoxide were similarly elevated. Exposures of this population are seasonal (4-5 months per year) and highly variable depending upon the number of fires per season, the intensity of the fires and specific job tasks. Fire-fighters are normally among the most physically fit in the population and do not normally suffer from any pre-existing health conditions. Accordingly, the absence of health impacts among this group does not indicate that health impacts will not be observed in the general population. As biomass combustion associated with forest fires is a special situation with high temperatures resulting in the emission of high levels of various compounds, the health effects of fire-fighters will not be discussed further in this report.

### 3.4 Summary of human exposure to particles from wood smoke

Measurements of PM levels in areas with many wood stoves have consistently shown elevated levels of PM emissions during wintertime when wood burning is common. Due to the size distribution of wood smoke particles essentially all will be contained in the PM<sub>2.5</sub> fraction.

Studies from North American communities have reported 24-hour PM<sub>10</sub> levels of up to 165 µg/m<sup>3</sup> with average values (mean) of about 20-30 µg/m<sup>3</sup> in most studies (see Table 6). One American study (Sheppard et al. 1999) has reported a 24-hour mean PM<sub>2.5</sub> of 16.7 µg/m<sup>3</sup> and another American study (Norris et al. 1999) has reported an average concentration of PM<sub>2.5</sub> of approximately 12 µg/m<sup>3</sup> for a 15-month period. The most recent American study (Schreuder et al. 2006) has reported a mean 24-hour PM<sub>2.5</sub> of 10.6 µg/m<sup>3</sup> (95% CI: 8-86 µg/m<sup>3</sup>).

In one study from New Zealand (Hales et al. 2000), the 24-hour PM<sub>10</sub> levels were up to 187 µg/m<sup>3</sup> with a mean of 28 µg/m<sup>3</sup>.

In a recent Swedish study (Molnár et al. 2005), the mean winter outdoor level of PM<sub>2.5</sub> was 13.7 µg/m<sup>3</sup> in a residential area where domestic wood burning is common.

There is only very limited information on population exposure to wood smoke particles in Denmark.

Measurements during a 6-week winter period (2002 and 2003/4) in a Danish residential area with no district heating and many wood stoves showed that the contribution from wood combustion to ambient PM<sub>2.5</sub> was comparable to the contribution from a heavily trafficked road to PM<sub>2.5</sub> at the sidewalk. The average local PM<sub>2.5</sub> contribution from wood combustion was about 4 µg/m<sup>3</sup> (Glasius et al. 2006).

In another residential area with natural gas combustion as the primary heating source and wood combustion as a secondary heating source, the average PM<sub>2.5</sub> concentration was elevated by about 1 µg/m<sup>3</sup> compared to background measurements during four winter weeks (Glasius et al. 2007).

An increase in annual average PM<sub>2.5</sub> of 1 µg/m<sup>3</sup> is a best maximum estimate of the whole population exposure based on the data from the measurements in these two residential areas.

Based on the total particle emission from residential wood burning, model calculations have been used to estimate the contribution to the PM<sub>2.5</sub> levels.

The results showed an increase in annual PM<sub>2.5</sub> of 0.2 µg/m<sup>3</sup> (as a best minimum estimate) for the whole population exposure (Palmgren et al. 2005).

In conclusion, the annual average PM<sub>2.5</sub> exposure from wood smoke is roughly estimated to be 0.2-1 µg/m<sup>3</sup> for the whole Danish population with a best estimate of about 0.6 µg/m<sup>3</sup>.

The sub-micrometer particles can easily penetrate into the indoor environment, especially if air filtration does not occur. A recent Swedish study (Matson 2005) has revealed that the outdoor-generated particle levels were major contributors to the indoor particle concentration when no strong internal source was present and the determined indoor-outdoor ratios varied between 0.5 and 0.8.

Recent Danish indoor-outdoor measurements of levoglucosan (a chemical marker for wood smoke) showed increased indoor levels compared with outdoor levels in a house with a wood stove indicating that the increased levels

may be associated with use of a wood stove in the house. Levoglucosan was also measured in a house without a wood stove indicating that particles from outdoor are transported into the house. (Glasius et al. 2007).



# 4 Toxicokinetics

## 4.1 Particle deposition in the respiratory tract

The deposition of inhaled particles depends on particle size, breathing pattern and lung structure (Sarangapani & Wexler 2000). Generally, as the particle size and breathing rate increases, particles deposit higher in the airways than at smaller size and slower breathing rates.

The respiratory tract of both humans and animals can be divided into three general regions on the basis of structure, size and function: the extrathoracic (mouth and throat), the trachea-bronchial and the alveolar regions.

In humans, inhalation can occur through the nose or mouth, while rodent animals, like the mouse, are obligatory nose breathers. Depending on the particle size and mass, varying degrees of deposition may occur in the extrathoracic, trachea-bronchial and alveolar region of the respiratory tract.

In general, particles larger than 4  $\mu\text{m}$  and below 0.002  $\mu\text{m}$  tend to deposit in the extrathoracic region, whereas particles in the fraction size 0.002 – 0.2  $\mu\text{m}$  deposit in the alveolar region (Lippmann & Albert 1969, Stahlhofen et al. 1980); see also Figure 2.

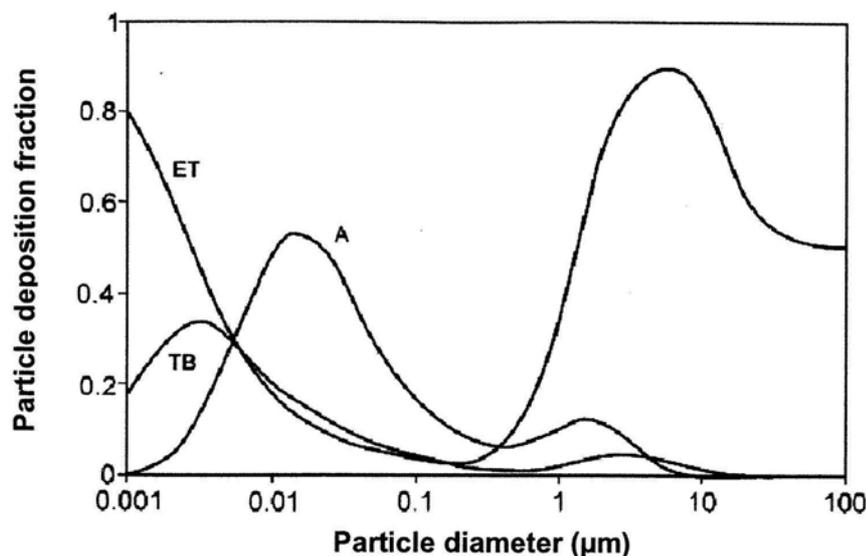
Particle depositions differ among species due to differences in size, airway entrance and airflow (Lippmann & Schlesinger 1984). However, for particles smaller than 1  $\mu\text{m}$  there are only minor differences between human and rat in the upper respiratory tract fractional deposition (Menache et al. 1995). The same pattern has been found for alveolar deposition of particles less than 0.1  $\mu\text{m}$  (Schlesinger 1985).

## 4.2 Clearance and translocation

The term “clearance” is used to describe the translocation, transformation and removal of particles from the various regions of the respiratory tract. Clearance mechanisms may be broadly classified into two groupings, namely absorptive, which is dissolution, or non-absorptive, which involves mechanical transport of intact particles. Particle solubility in terms of clearance refers to solubility *in vivo* within the fluid lining the airway surfaces. Soluble particles are mainly cleared via absorption through cell surfaces into the blood stream (Schlesinger 2000). Insoluble material like wood combustion particles are cleared from the respiratory tract by the mechanisms described in the following. Unless anything else is stated, the text refers to Schlesinger (2000).

Particles larger than 10  $\mu\text{m}$  are mainly deposited in the upper respiratory tract, from where they are removed by coughing, by expectoration or swallowed into the gastrointestinal tract. Relatively insoluble particles between 2.5 and 10  $\mu\text{m}$  are mainly deposited in the ciliated regions of the tracheobronchial airways and are removed by the “mucociliary escalator” toward the epiglottis where they are removed in the same way as the larger particles (see above). This process is relatively effective with particles cleared within hours after exposure.

Figure 2. Particle deposition. Regional deposition of inhaled particles in the human respiratory tract. From The International Commission on Radiological Protection (ICRP 1994).



ET: Extrathoracic; TB: Trachea-bronchial; A: Alveolar

Clearance of insoluble particles deposited in the alveolar region is slower (weeks to years) and occurs via a number of mechanisms. The major pathway involves alveolar macrophages. These cells reside on the alveolar epithelium, and phagocytize and transport deposited material. The primary route for clearance of the particle-laden macrophages is via the mucociliary escalator. Macrophages, which are not cleared via the mucociliary system, may translocate to the interstitial tissue along with free particles. This transepithelial passage of free particles seems to increase as particle loading increases, and may be particle size dependent, since insoluble ultrafine particles show greater lymphatic uptake than do larger ones (Oberdörster et al. 1992). Particles and macrophages in the interstitium may enter the lymphatic system or traverse the alveolar-capillary endothelium, directly entering the blood. Passage through endothelial cells seems, however, to be restricted to particles  $<0.1 \mu\text{m}$ . Once in the systemic circulation, transmigrated macrophages, as well as free particles, can travel to extrapulmonary organs. Inhalation of  $^{13}\text{C}$  particles showed rapid clearance within hours upon inhalation and translocation of ultrafine particles via blood circulation to the liver (Oberdörster et al. 2002). Accordingly, it is important to consider extrapulmonary effects of inhaled particles.

The basic mechanisms of clearance of deposited particles from the respiratory tract are similar for humans and most other mammals. However, regional clearance rates show substantial variation between species, even for similar particles deposited under comparable exposure conditions (Snipes 1989).

Polycyclic aromatic hydrocarbons (PAHs) are carried along with soot particles to the lungs following inhalation exposure and the extent of their metabolic activation is therefore an important factor to consider. Recent

results indicate that absorption through the alveolar epithelium is an important route of entry to the circulation of unmetabolised PAHs (Gerde et al. 2001). The authors investigated the rate and extent of release and metabolic fate of benzo[a]pyrene (BaP) adsorbed on a carbonaceous core of diesel soot after exposure of dogs by inhalation. Following deposition in the alveolar region a fraction of BaP was rapidly desorbed from the soot and quickly absorbed into the circulation. Release rates then decreased drastically. The rapidly released pulse of BaP appeared mostly un-metabolised in the circulation, along with low concentrations of phase I and phase II BaP metabolites. However, within about 1 hour, this rapidly absorbed fraction of BaP was systemically metabolised into mostly conjugated phase II metabolites.



## 5 Human health effects

From the latest 10-15 years of toxicological and epidemiological research an extensive body of evidence has been generated documenting the adverse health effects resulting from ambient air PM. The numerous epidemiological studies show a very consistent and uniform pattern with regard to different types of health outcomes and dose-response relationships.

Associations between adverse health effects and ambient air PM have typically been identified in relation to PM levels measured in the background urban air in general and these PM levels have been taken as a surrogate for the population exposure in the area. From such data it is generally very difficult to assess the health impacts of different sources of PM, as all PM sources are included in the PM levels in the background urban air. However, studies in which different fractions of PM have been analysed (e.g. elemental or organic carbon) and studies in which specific combustion related gaseous pollutants in addition to PM have been measured have shown that especially PM from combustion sources are important in relation to the adverse health effects.

Epidemiological studies have identified a variety of adverse health effects following acute (episodic increased) PM exposure as well as following long-term exposure. From an overall evaluation of these studies it is generally concluded that the adverse health effects are not solely linked to acute exposure from episodic increases. In contrary, the average level of long-term exposure over a year or more has a much stronger influence on public health compared to the effects calculated from the sum of episodes with increased PM levels within the same period.

Another important finding is that it has not been possible to identify a lower threshold for adverse health effects, neither in connection with acute nor with long-term exposure. (WHO 2003, WHO 2004).

When assessing the adverse health effects of wood smoke and wood smoke PM, there are at least two different methodological approaches that can be used.

One approach would be to assess wood smoke PM as a part of PM in general and to benefit from the knowledge regarding adverse health effects from the PM area in general. Especially important in this approach would be to identify toxicological studies and epidemiological studies in which wood smoke PM has been identified as an important source of the total PM fraction.

Another, more classical toxicological, approach would be to separate the wood smoke into its different constituents and to evaluate the hazard and risk profile of each constituent. As shown in section 2, a variety of very toxic single constituents have been identified in wood smoke, e.g. benzo[a]pyrene and other carcinogenic PAHs, dioxins, a variety of heavy metals, and several volatile carcinogens such as formaldehyde, benzene and 1,3 butadiene.

Although a hazard profile may be created by using this approach, it may be very difficult to evaluate the actual health risk of wood smoke as an evaluation also would require knowledge concerning the vast amount of possible physico-chemical and toxicological interactions between the several hundreds of different constituents in the wood smoke as well as of the toxicokinetic properties of the different constituents.

For ambient PM, Valberg & Long (2003) has attempted to compare the levels of the individual constituents in ambient air and the toxicologically based human health reference concentration of the individual constituents. For none of the constituents, an unacceptable risk could be identified, as the human health reference concentration for a specific constituent generally was far below the actual level in ambient air. This indicates that data on a single constituent is not sufficient in order to assess the risk and that the adverse health effects from PM can not at present be properly characterised by using this approach.

Consequently, the approach chosen in this report is to assess the adverse health effects of wood smoke PM based on the current knowledge on PM in general with focus on the epidemiological studies where wood smoke PM has been identified as an important source of the total PM fraction.

### 5.1 Epidemiological studies, non-cancer health effects

The association of particulate air pollution with adverse health effects has long been known, especially in relation to respiratory and cardiovascular disease. The fraction of very small particles probably constitutes one of the most important health problems in relation to air pollution (Brunekreef & Holgate 2002). WHO has estimated that 1% of all heart- and lung diseases and 3% of respiratory cancer cases in the whole world is caused by particulate air pollution. This leads to 600,000 (1.2%) early deaths and loss of 7.4 million (0.5%) DALYs (Disease Adjusted Life Years). The most significant air pollution problems are seen in the developing countries and in Central- and East Europe. It has recently been estimated that air pollution is responsible for 288,000 early deaths in the EU (CAFE CBA 2005).

Our knowledge about quantitative associations between health effects and particulate air pollution is mainly based on epidemiological studies performed as cohort studies or time-series studies.

In cohort studies, population groups living in different areas with different levels of air pollution are studied, and cohort studies generally reflect effects following long-term exposure.

In time-series studies, one population group is studied and their health is related to changes in air pollution levels; time-series studies generally reflect effects following acute exposure episodes or short-term exposure.

The dose-response relationship, i.e., the relative risk for the various health outcomes studied, is substantially higher following long-term exposure when compared to acute or short-term exposure. This indicates that long-term effects from air pollution is not just adding up the effects from acute short-term episodes, but rather that long-term exposure raises the general level of mortality and morbidity in the population.

A limitation in both study designs is the exposure characterisation, which is usually based on data from a single monitoring site in the area, and assumed to be representative for all individuals in the area. Another limitation is that most of the knowledge is based on fine particles ( $PM_{2.5}$ ) and the sum of fine and coarse particles ( $PM_{10}$ ), whereas knowledge on ultrafine particles is limited.

## 5.1.1 Particles in the general environment

### ***5.1.1.1 Effects from short-term exposure, time series studies***

Early air pollution episodes, such as in 1952 in London, were dramatic examples of the impact of air pollution on mortality and other health effects (Logan 1953). During this episode the daily levels of particles, measured as soot, increased 10-fold compared to the “normal” levels at that time of 300-500  $\mu\text{g}/\text{m}^3$  (which is more than 10-fold the levels seen today). These air pollution episodes were the motivation for regulations and consequent air quality improvements in the past 30-40 years. The effect of air pollution control was clearly observed in Dublin in the 1990s when coal sales were banned and residential heating with coal was stopped (Clancy et al. 2002). About 116 fewer respiratory deaths and 243 fewer cardiovascular deaths were seen per year in Dublin after the ban.

Several time-series studies in USA and Europe have demonstrated that days with increased concentrations of particulate air pollution are associated with an increase in hospital admissions and death due to lung and cardiovascular disease, for example demonstrated in 10 European cities (Zanobetti et al. 2003).

A meta-analysis showed that an increase of 10  $\mu\text{g}/\text{m}^3$   $\text{PM}_{10}$  is associated with 0.6% increased total mortality, 1.3% increased respiratory related deaths and 0.9% cardiovascular deaths in a city area in the first days after the  $\text{PM}_{10}$  increase (Anderson et al. 2004). If the observation time is extended for 40 days, the effect on total mortality is as high as 1% (Zanobetti et al. 2003). Especially patients with pre-existing respiratory or cardiovascular disease are at risk of these air pollution effects.

The time-series design has also been used in a study where ultrafine particles were measured in the German city Ehrfuhr with about 300 000 inhabitants (Wichmann et al. 2000). In this study, the effect of ultrafine particles on death due to cardiac and respiratory disease was comparable to the effect of  $\text{PM}_{2.5}$  and  $\text{PM}_{10}$ . This study has recently been updated using data on PM number and mass concentrations and death certificates during 1995-2001 (Stölzel et al. 2006). A significant association between total mortality and cardio-respiratory mortality and the number concentrations of ultrafine particles was found whereas the increased mortality in relation to particle mass ( $\text{PM}_{10}$ ) did not reach a significant response.

In another European study of Amsterdam, Ehrfuhr and Helsinki, it was found that effects on cardiac and respiratory disease correlated better with  $\text{PM}_{2.5}$  than with the ultrafine particles (de Hartog et al. 2003, Pekkanen et al. 2002).

### ***5.1.1.2 Effects from long-term exposure, cohort studies***

Only a few cohort studies are available at present.

Dockery et al. (1993) studied about 8000 persons in six cities, while Pope et al. (1995) studied about 550,000 persons in 151 city areas. Both studies showed a significant association between mortality and particle level ( $\text{PM}_{2.5}$ ). The increased mortality was most pronounced among persons with pre-existing respiratory and cardiovascular disease. The results of the studies were

based on the general particle level in background areas and no estimation was made of the contribution from the traffic or other sources.

Laden et al. (2006) conducted a follow-up of the Dockery et al. (1993) six cities study from 1990 to 1998. They found an increase of 16% in overall mortality associated with each  $10 \mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$  as an overall mean during the period or an increase of 14% in relation to the annual mean of  $\text{PM}_{2.5}$  in the year of death. Particulate air pollution had decreased from the first to the second period (1974-1989 and 1990-1998). Overall, between the two periods a decrease in mortality of 27% was found for each  $10 \mu\text{g}/\text{m}^3$  reduction in the  $\text{PM}_{2.5}$  level.

Pope et al. (2002) have made an update of their study population of 550,000 persons. Health effects were now registered until 1999 and the result of the new study was in accordance with the result from 1995. A clear and significant association between mortality and  $\text{PM}_{2.5}$  level was observed. There was a considerable reduction of about 1/3 in  $\text{PM}_{2.5}$  level in the period from 1979-83 to 1999-2000 in all areas. In 1979-83, a difference in the annual mean of  $10 \mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$  between the areas was associated with a difference in annual mortality of 4% while in the period of 1999-2000, a difference of  $10 \mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$  was associated with a difference in annual mortality of 6%.

Jerret et al. (2005) studied 23,000 persons in the Los Angeles area for the period 1982-2000. The population was a subset of the Pope et al. study; however, the exposure could be assessed more accurately as the exposure was determined using model extrapolations of PM-data from 23 measurement stations. After controlling for covariates, a  $10 \mu\text{g}/\text{m}^3$  increase in the annual  $\text{PM}_{2.5}$  level was associated with an increase in mortality of 17%. The corresponding increase in ischaemic heart disease was 38% and for lung cancer 46%. Thus, this study found an association in relation to mortality that was three times higher than that of Pope et al. (2002). The increase was judged to be due to a more accurate exposure assessment than in the prior studies. Furthermore, the authors suggested that a higher contribution of traffic PM in the Los Angeles area might have increased the adverse health outcome.

Miller et al. (2007) studied a cohort of 66,000 women (older than 50 years and without previous cardiovascular disease) from 36 U.S. metropolitan areas and analysed the association between  $\text{PM}_{2.5}$  and first appearance of cardiovascular events (coronary heart disease, cerebro-vascular disease, myocardial infarction, coronary re-vascularisation, stroke), and cardiovascular mortality. Each increase of  $10 \mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$  was associated with a 24% (95% interval: 9-41%) increase in risk of cardiovascular event and a 76% (95% interval: 25-147%) increase of death from cardiovascular disease. When differences in  $\text{PM}_{2.5}$  and cardiovascular mortality within cities (between 4 and 78 PM monitors per city) were studied this resulted in a considerable higher increased risk of cardiovascular mortality (128% increase per  $10 \mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$ ) compared to intercity comparisons (58% increase per  $10 \mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$ ). These findings show an even higher increased risk for cardiovascular mortality than described in the recent cohort studies where the whole adult population, i.e., both men and women were studied (Laden et al. (2006) – study of intercity comparisons; Jerret et al. (2005) – study of intra-city comparisons).

In a study from the Netherlands, a cohort of 5000 persons was studied from 1986 to 1994 (Hoek et al. 2002). Exposure was assessed using background

levels of soot and NO<sub>2</sub>. Mortality caused by cardiopulmonary disease was 2-fold increased for persons living less than 100 m from a highway or less than 50 m from a larger city road. Five percent of the Dutch cohort lived near roads with heavy traffic, and the effect on mortality was 1.5-fold higher in this group compared to the remaining 95%.

In a recent Norwegian cohort study, 16,209 men in Oslo aged 40-49 years in 1972-73 were studied until 1998 (Nafstad et al. 2004). Their exposure to air pollution was assessed by estimating NO<sub>x</sub> at the home address in 1974-1978. The study showed an increase in total mortality with a relative risk of 1.08 (95% CI 1.06-1.11). The relative risk for death caused by respiratory disease was 1.16 (95% CI, 1.06-1.26), for heart disease 1.08 (95% CI, 1.03-1.12). These results support the results from the earlier cohort studies and since NO<sub>x</sub> was used as exposure parameter, the results indicate that traffic is the most important particle source.

### **5.1.1.3 Air quality standards**

Air quality standards have been set for particulate matter by different organisations and by federal and national institutions. The basis for the air quality standards has been the adverse health effects and the dose-response associations observed in connection with ambient air PM levels in epidemiological studies. The standard values are the maximum concentrations allowed when averaged over time, typically 24-hour concentrations and annual concentrations.

In the US, the US-EPA standards for 24 hours are 150 µg/m<sup>3</sup> for PM<sub>10</sub> and 35 µg/m<sup>3</sup> for PM<sub>2.5</sub>. The annual standard for PM<sub>2.5</sub> is 15 µg/m<sup>3</sup> while the former annual standard of 50 µg/m<sup>3</sup> for PM<sub>10</sub> has been revoked. (US-EPA 2006).

The EU Commission has recently proposed a 24-hour standard for PM<sub>10</sub> of 50 µg/m<sup>3</sup> and an annual standard of 40 µg/m<sup>3</sup>. For PM<sub>2.5</sub>, no 24-hour standard has been set but an annual standard of 25 µg/m<sup>3</sup> was set as a concentration cap. Furthermore, the existing annual ambient air PM<sub>2.5</sub> levels (monitored in the period of 2008-2010) in the EU have to be reduced by 20% in 2020. (EU 2005).

The WHO has recently set 24-hour air quality guidelines of 50 µg/m<sup>3</sup> for PM<sub>10</sub> and of 25 µg/m<sup>3</sup> for PM<sub>2.5</sub>. The annual air quality guidelines were set to 20 µg/m<sup>3</sup> and 10 µg/m<sup>3</sup> for PM<sub>10</sub> and PM<sub>2.5</sub>, respectively (WHO 2006). The WHO states that combustion of wood and other biomass fuels are important sources contributing to the PM<sub>2.5</sub> fraction. Based on the available data, it is further assumed that the health effects of PM<sub>2.5</sub> from fossil and biomass combustion are broadly the same. With respect to dose-response associations, the WHO concludes that an increase in the annual level of 10 µg/m<sup>3</sup> PM<sub>2.5</sub> is associated with an increase in the annual mortality of 6% in the population whereas an increase of 10 µg/m<sup>3</sup> in the 24-hour PM<sub>2.5</sub> level is associated with an increase in short-term mortality of 1%.

### 5.1.2 Particles from residential wood burning

The particle emission from wood burning stoves has received much attention lately. Since these particles are combustion products, they are expected to have effects similar to other combustion particles, e.g. from traffic. The

studies described in the following are summarised in Table 5 (indoor air) and Table 6 (ambient air).

Table 5. Studies with indoor exposure to wood smoke (Low Level)

Population	Endpoints	Results	Reference
Children	Respiratory symptoms	Increased cough and wheeze symptoms	Honicky et al. (1985)
Adults	Respiratory symptoms	Exacerbation of asthma	Ostro et al. (1994)
Children and adults	Respiratory symptoms	More respiratory illnesses and symptoms	Levesqu et al. (2001)
Adults	Respiratory symptoms	No evidence of negative influence	Eisner et al. (2002)

No measurements were made of wood smoke exposure.

### **5.1.2.1 Indoor air**

Several early studies focused on the presence of a wood stove in the home as a risk factor. Several studies indicate that wood stoves, especially older varieties can emit smoke directly into the home (Larson & Koenig 1994). While these earlier studies strongly suggest that there are adverse health impacts associated with wood smoke exposure, their crude exposure assessments preclude more specific conclusions.

Honicky et al. (1985) studied 34 preschool children living in homes with wood stoves compared to 34 children in homes with other heating sources, mainly gas. Occurrence of wheeze and cough was greater in the group of children living in homes with wood stoves. No measurements were made of the wood smoke.

Levesqu et al. (2001) examined the frequency of respiratory symptoms and illnesses among occupants of wood-heated homes. Out of the 89 houses included in the study, 59 had wood burning appliances. There was no consistent relationship between the presence of a wood burning appliance and respiratory morbidity in residents. However, residents exposed to emissions from wood burning reported more respiratory illnesses and symptoms.

In a prospective cohort study of adults with asthma residing in Denver, Colorado, metropolitan area, a panel of 164 asthmatics recorded in a daily diary the occurrence of several respiratory symptoms as well as the use of wood stoves and fireplaces (Ostro et al. 1994). It was found that that the use of wood stoves or fireplaces was related to more respiratory symptoms.

In contrast, another study of 349 adults with asthma living in Northern California showed no evidence of a negative influence of indoor wood smoke exposure on adult asthma (Eisner et al. 2002). In that study based on telephone interviews, exposure to environmental tobacco smoke was clearly associated with worse severity of asthma symptoms.

### **5.1.2.2 Ambient air**

Most of the studies described in the following were also included in a review by Boman et al. (203) concerning adverse health effects from ambient air

pollution in relation to residential wood combustion. The results of the studies included in this review are described below and summarised in Table 6, and the review is further addressed in sections 5.1.2.2.1 and 5.1.2.2.2.

Several studies have evaluated adverse health effects in communities where wood smoke was a major, although not the only, source of ambient air particulate. A questionnaire study of respiratory symptoms compared residents of 600 homes in a high wood smoke pollution area of Seattle with 600 homes (questionnaires completed for one parent and two children in each residence) of a low wood smoke pollution area (Browning et al. 1990).  $PM_{10}$  concentrations averaged 55 and 33  $\mu\text{g}/\text{m}^3$  in the high and low exposure areas, respectively. When all age groups were combined, no significant differences were observed between the high and low exposure areas. However, there were statistically significant higher levels of congestion and wheezing in 1-5 year old children between the two areas for all three questionnaires (one baseline questionnaire and two follow-up questionnaires which asked about acute symptoms).

A more comprehensive study in the same high exposure Seattle area was initiated in 1988 (Koenig et al. 1993). During the heating season 80% of the particles is from wood smoke in these residential areas in Seattle (Larson & Koenig 1994). Lung function was measured in 326 (including 24 asthmatics) elementary school children before, during and after two wood burning seasons. Fine particulates were measured continuously with an integrating nephelometer. Significant lung function decrements were observed in the asthmatic subjects, in association with increased wood smoke exposure. The highest (night-time 12-hour average)  $PM_{2.5}$  level measured during the study period was approximately 195  $\mu\text{g}/\text{m}^3$  and  $PM_{10}$  levels were below the US National Ambient Air Quality Standard of 150  $\mu\text{g}/\text{m}^3$  during the entire study period. For the asthmatic children FEV1 and FVC decreased by 17 and 18.5 ml for each 10  $\mu\text{g}/\text{m}^3$  increase in  $PM_{2.5}$ , while no significant decreases in lung function were observed in the non-asthmatic children.

A companion study evaluated the impact of particulate matter on emergency room visits for asthma in Seattle during the period September 1989 through September 1990 (Schwartz et al. 1993). In this study a significant association was observed between  $PM_{10}$  particle levels and emergency room visits for asthma for persons under age 65. The mean  $PM_{10}$  level during the 1-year study period was 30  $\mu\text{g}/\text{m}^3$  (range 6-103  $\mu\text{g}/\text{m}^3$ ). The daily risk for a 30  $\mu\text{g}/\text{m}^3$  increase on  $PM_{10}$  was 1.12 (95% CI 1.20-1.04). The study showed clear evidence of a dose-response relationship, but did not identify a threshold below which effect were not observed.

Yu et al. (2000) observed a panel of 133 children (5-13 years of age) with asthma residing in Seattle, Washington, for an average of 58 days (range 28-112 days) in the period November 1993 through August 1995. The daily average levels of  $PM_{10}$  and  $PM_{1.0}$  were 25  $\mu\text{g}/\text{m}^3$  (range 8-86) and 10.4 (range 2-62), respectively. Increased exposure to air pollutants, specifically CO and PM, was associated with increased odds of at least one mild asthma symptom, like use of medication and night awakening for asthma. Data for CO, PM and  $SO_2$  was measured at 6, 3 and 1 monitoring sites, respectively. PM was measured both as  $PM_{10}$  and  $PM_{1.0}$  by gravimetric and/or nephelometric methods.

Sheppard et al. (1999) investigated the relation between ambient air pollutants in Seattle, Washington and hospital admissions for asthma in the period 1987 through 1994. All persons were <65 years of age and 23 hospitals were included in the study. Data on PM<sub>10</sub> and PM<sub>2.5</sub> were available from three and two monitoring sites, respectively. Four monitoring sites provided data for CO, while SO<sub>2</sub> and O<sub>3</sub> were measured at one site each. The daily average levels of PM<sub>10</sub> and PM<sub>2.5</sub> were 31.5 µg/m<sup>3</sup> and 16.7 µg/m<sup>3</sup>, respectively. An estimated 4-5% increase in the rate of asthma hospital admissions was found to be associated with an interquartile range change in PM<sub>10</sub> or PM<sub>2.5</sub> after a lag of one day. Positive associations were also found for O<sub>3</sub> and CO after a lag of two and three days, respectively.

In a study by Norris et al. (1999), they found a significant association between fine particles (PM<sub>10</sub> and PM<sub>2.5</sub>) and daily hospital admissions for asthma in Seattle during September 1995 to December 1996. Six hospitals were included in the study and only data for persons <18 years of age was used. Data on PM<sub>10</sub> and PM<sub>2.5</sub> were available from three monitoring sites. CO data was obtained from four sites, and SO<sub>2</sub>, NO<sub>2</sub> and O<sub>3</sub> from one site each. The daily average level of PM<sub>10</sub> was 22 µg/m<sup>3</sup> (range 8-70 µg/m<sup>3</sup>). A change of 11 µg/m<sup>3</sup> in fine PM (< 2.5 µm) was associated with a relative rate of 1.15 (95% CI 1.07-1.23). An increase in CO was also associated with hospital visits for asthma. The majority of the hospital admissions were for children younger than 5 years of age.

Table 6. Epidemiological studies with residential wood smoke as a major exposure source.

Location, period	Design, study group	Variables	Exposure	Results	Reference
Seattle, Washington, US	Cohort study, all ages	Symptoms, respiratory illness	Mean PM <sub>10</sub> 55 vs. 33 µg/m <sup>3</sup> (high and low exp. area)	No significant effects. Trend for children aged 1-5	Browning et al. (1990)
Santa Clara County, US	All ages	Mortality		Increased daily mortality	Fairley (1990)
Seattle, Washington, US; winter season 1988-89 and 1989-90	Panel study, follow-up, 326 children (1st year), 20 children (2nd year)	Lung function	PM <sub>10</sub> levels below the US air quality standard of 150 µg/m <sup>3</sup> Highest (night-time 12-hour average) PM <sub>2.5</sub> 195 µg/m <sup>3</sup>	Decreased lung function in asthmatics associated with an increase of 10 µg/m <sup>3</sup> in PM <sub>2.5</sub>	Koenig et al. (1993)*
Seattle, Washington, US; 1989-1990	Population study, all ages	Daily emergency room visits	24-h PM <sub>10</sub> 6-103 µg/m <sup>3</sup> , mean 30 µg/m <sup>3</sup>	Increased asthma visits, RR=1.12 (30 µg/m <sup>3</sup> PM <sub>10</sub> increase)	Schwartz et al. (1993)*
Santa Clara County, US; winter seasons 1988 and 1989-1991 and 1992	Population study, all ages	Daily asthma emergency room visits	24-h PM <sub>10</sub> 9-165 µg/m <sup>3</sup> , mean 61 µg/m <sup>3</sup>	Increased asthma visits, RR=1.43 (low temperature) and 1.11 (mean temperature) for 60 µg/m <sup>3</sup> PM <sub>10</sub> increase	Lipsett et al. (1997)*
Christchurch, New Zealand; 3 months during winter 1994	Panel study, subjects with COPD aged > 55 years	Respiratory symptoms	24-h PM <sub>10</sub> generally well below the NZ air quality guideline of 120 µg/m <sup>3</sup>	Increase in chest symptoms, RR=1.38 (35 µg/m <sup>3</sup> PM <sub>10</sub> increase); increased inhaler and nebulizer use, RR=1.42 and 2.81 (10 µg/m <sup>3</sup> NO <sub>2</sub> increase)	Harré et al. (1997)*
Port Alberni, British Columbia, Canada; 1990-92	Panel study, asthmatic and non-asthmatic children (n=206)	Respiratory symptoms	24-h PM <sub>10</sub> 0-159 µg/m <sup>3</sup> , mean 27 µg/m <sup>3</sup>	For asthmatics, increased cough, RR=1.08 (10 µg/m <sup>3</sup> PM <sub>10</sub> increase); and reduction of PEF	Vedal et al. (1998)*
Seattle, Washington, US; 1995-1996	Population study, persons < 18 years	Daily asthma hospital admissions	24-h PM <sub>10</sub> 8-70 µg/m <sup>3</sup> , mean 22 µg/m <sup>3</sup> 24-h PM <sub>2.5</sub> , mean 12 µg/m <sup>3</sup>	Increased asthma hospital admissions; RR=1.14 (12 µg/m <sup>3</sup> PM <sub>10</sub> increase), RR=1.15 (11 µg/m <sup>3</sup> PM <sub>2.5</sub> increase)	Norris et al. (1999)*
Seattle, Washington, US; 1987-1994	Population study, all ages < 65	Daily hospital admissions for asthma	24-h mean PM <sub>10</sub> 31.5 µg/m <sup>3</sup> ; PM <sub>2.5</sub> 16.7 µg/m <sup>3</sup>	Increase in asthma hospital admissions; RR=1.05 (19 µg/m <sup>3</sup> PM <sub>10</sub> increase), RR=1.04 (12 µg/m <sup>3</sup> PM <sub>2.5</sub> increase)	Sheppard et al. (1999)*
Seattle, Washington, US; 1993-1995	Panel study, 133 children, 5-13 years of age	Asthma symptoms	24-h PM <sub>10</sub> 8-86 µg/m <sup>3</sup> , mean 25 µg/m <sup>3</sup> 24-h PM <sub>1</sub> 2-62 µg/m <sup>3</sup> , mean 10.4 µg/m <sup>3</sup>	Increased asthma symptoms; RR=1.17 (10 µg/m <sup>3</sup> PM <sub>1</sub> increase), RR=1.11 (10 µg/m <sup>3</sup> PM <sub>10</sub> increase)	Yu et al. (2000)*
Spokane, Washington, US 1995-2001	Population study, all ages	Cardiac hospital admissions; respiratory emergency department visits	24-h PM <sub>2.5</sub> 2.9-25 µg/m <sup>3</sup> (95% CI), mean 10.6 µg/m <sup>3</sup> 24-h Total carbon 1.4-9.4 µg/m <sup>3</sup> (95% CI), mean 4.6 µg/m <sup>3</sup>	Increased respiratory emergency departments visits; RR=1.013 (7.7 µg/m <sup>3</sup> increase in PM <sub>2.5</sub> ) Increased respiratory emergency departments visits; RR=1.023 (3.0 µg/m <sup>3</sup> increase in total carbon)	Schreuder et al. (2006)
Christchurch, New Zealand; 1988-1993	Population study, all ages	Daily mortality	24-h PM <sub>10</sub> 0-187 µg/m <sup>3</sup> , mean 28 µg/m <sup>3</sup>	Increased mortality (all ages): RR=1.01 (all causes), RR=1.04 (respiratory causes) (10 µg/m <sup>3</sup> PM <sub>10</sub> increase)	Hales et al. (2000)*

\* The nine studies included in the review of Boman et al. (2003) discussed below.

COPD: Chronic Obstructive Pulmonary Disease  
PEF: Peak Expiratory Flow

Schreuder et al. (2006) used source apportionment techniques in order to separate different sources of PM in the state of Washington during the period 1995-2001. Total carbon and arsenic had high correlations with vegetative burning (arsenic due to burning of arsenic treated wood) while other markers were correlated to motor vehicles (Zn) and airborne soil (Si). Vegetative burning contributed to about half of the total PM<sub>2.5</sub> level. The association between the different markers and hospital admissions for cardiac diseases and emergency department visits for respiratory diseases were analysed. The rate of respiratory emergency department visits increased 2% for a 3.0 µg/m<sup>3</sup> interquartile range change in total carbon (1.023, 95% CI 1.009-1.038) at a lag of one day. For total PM<sub>2.5</sub>, an interquartile increase of 7.7 PM<sub>2.5</sub> was associated with an increase in respiratory department visits of 1.3% (RR = 1.013, 95% CI 0.999-1.025). The results suggest that vegetative burning is associated with acute respiratory events.

Vedal et al. (1998) have studied the acute effects of ambient particles in 206 asthmatic and non-asthmatic children living on the west coast of Vancouver Island. The children, aged 6-13 years, were followed for up to 18 months with twice daily measurements of peak expiratory flow (PEF) and daily recording of symptoms. Data on PM<sub>10</sub> were available from two monitoring sites, and the daily mean PM<sub>10</sub> concentration was 27 µg/m<sup>3</sup> (range 0- 159 µg/m<sup>3</sup>). Increases in PM<sub>10</sub> concentrations were associated with reductions in PEF and increased reporting of cough, phlegm production, and sore throat. For asthmatics, an increase of 10 µg/m<sup>3</sup> in PM<sub>10</sub> was associated with a reduction of PEF by 0.55 l/min (95% CI, 0.06-1.05) and increased odds of reported cough by 8% (95% CI, 0-16%). The authors concluded that children with asthma are more susceptible to these effects than other children.

Two time series studies have been conducted in Santa Clara County, California, an area in which wood smoke is the single largest contributor to winter PM<sub>10</sub>, accounting for approximately 45% of winter PM<sub>10</sub> (Fairley 1990, Lipsett et al. 1997). Particulate levels are highest during the winter in this area.

The first study was one of the initial mortality time series studies, which indicated an association between relatively low PM<sub>10</sub> levels and increased daily mortality (Fairley 1990).

In the second study, Lipsett et al. (1997) found a consistent relationship of asthma emergency room visits in Santa Clara County and winter PM<sub>10</sub> during the winter seasons (November-January) 1988-89 through 1991-92. The mean PM<sub>10</sub> concentration during the study was 61 µg/m<sup>3</sup> (9-165 µg/m<sup>3</sup>).

Specifically, a 10 µg/m<sup>3</sup> increase in PM<sub>10</sub> was associated with a 2-6 % increase in asthma emergency room visits. These results demonstrate an association between ambient wintertime PM<sub>10</sub> and increased daily mortality and exacerbations of asthma in an area where one of the principal sources of PM<sub>10</sub> is residential wood smoke.

Particulate air pollution was found to be associated with increased daily mortality in Christchurch, New Zealand (Hales et al. 2000). Due to the local topography, Christchurch experiences temperature inversion conditions in winter. Pollutants, especially from household fires, then accumulate over most of the city. In summer, temperatures rise rapidly during north westerly wind conditions and can exceed 35°C, leading to heat stress. Hourly SO<sub>2</sub>, NO<sub>x</sub>, CO and PM<sub>10</sub> data were available from a representative, centrally located site for June 1988 - December 1993. An increase in PM<sub>10</sub> of 10 µg/m<sup>3</sup> was associated (after a lag of one day) with a 1% (0.5-2.2%) increase in all-cause mortality

and a 4% (1.5-5.9%) increase in respiratory mortality. There were no statistically significant associations between mortality from cardiovascular causes and PM<sub>10</sub>. Furthermore, there were no statistically significant associations between mortality and other air pollution variables.

Harré et al. (1997) investigated respiratory symptoms and peak expiratory flow (PEF) in subjects with chronic obstructive pulmonary disease (COPD) living in Christchurch, New Zealand. Forty subjects aged over 55 years were studied for three months during the winter 1994. Data on PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO were measured at one monitoring site. The mean daily PM<sub>10</sub> level was generally below the NZ air quality guideline of 120 µg/m<sup>3</sup> (the guideline was exceeded five times). An increase in PM<sub>10</sub> concentration of 35 µg/m<sup>3</sup> was associated with an increase in relative risk of 1.38 (95% CI 1.13- 1.79) in night-time chest symptoms. A rise in NO<sub>2</sub> concentration of 10 µg/m<sup>3</sup> was associated with increased use of reliever inhaler (RR 1.42, 95% CI 1.13-1.79). No association was found between PEF and any of the pollution variables.

A Swedish research programme “Biobränsle-Hälsa-Miljö” (“Biomass burning-Health-Environment”) consisting of more than 25 different projects during the period 2000-2003 has been reported in a summary report, BHM (2003).

One of the BHM studies examined the association between hospital admissions due to respiratory diseases and levels of soot and PM<sub>2.5</sub> in Lycksele – a town with a high concentration of residential wood burning. During five winter periods a significant association was found between 24-hour soot levels and asthma hospital admissions on the same day. For daily PM<sub>2.5</sub> levels (a parameter less associated with wood burning compared to soot), a positive and significant association was found for hospital admissions from chronic obstructive pulmonary diseases on the same and the following day. (BHM 2003).

In a panel study in Lycksele, 26 persons with asthma kept a diary concerning respiratory symptoms for 10 weeks. An association was found between the increase in asthma symptoms and increases in soot as well as in PM<sub>2.5</sub>-levels. In another panel study in Lycksele, 46 persons were followed during January to March 2001. Blood samples were taken on days with expected high air pollution and analysed for fibrinogen and C-reactive protein, i.e., inflammatory markers in relation to cardiovascular diseases. No association could be found for the various air pollution measures and the levels of the inflammatory markers. However, an effect of the pollution could not be ruled out as it was concluded that the power of the study was too low due to small variation in air pollution in combination with the small size of the study group. (BHM 2003).

Johanson et al. (2004) sent out questionnaires to 1250 people in four small towns with a high concentration of residential wood burning. The response rate was 74% and of these, 28% used wood / pellets as the dominant heating source. The average heating time for the respondents was 25 hours per week during winter. In total, 19% found that air pollution from wood burning was the greatest disadvantage by living in the area. Fifteen percent reported discomfort due to smell especially in winter and 22% reported nuisance due to soot/dust. Among the 10% who had asthma, there was an increased rate of discomfort from smell, nuisance from soot/dust, and difficulties in breathing.

#### 5.1.2.2.1 Review by Boman et al. (2003)

Boman et al. (2003) have reviewed the scientific literature concerning adverse health effects from ambient air pollution in relation to residential wood combustion in modern society and attempted to extract quantifications for the associations. Based on a literature search, references that fulfilled the following inclusion criteria were included for further analysis: (1) an epidemiological study, (2) concerning adverse health effects from ambient air pollution concentrations (not indoor or occupational exposure), (3) from settings in which residential wood combustion was mentioned as an important air pollution source, (4) full scientific paper published in English. These selection criteria resulted in nine papers, which were either population studies (N=5) or panel studies (N=4). The studies, marked with an asterisk, are summarised in Table 6.

Four different geographic areas have been studied: Seattle, Washington, and Santa Clara County, California in the United States; Port Alberni, British Columbia, in Canada; and Christchurch in New Zealand. Five of the studies were conducted in the Seattle metropolitan area and two in Christchurch.

Only a few studies were found in which residential wood combustion was identified as a (or the) major source of ambient air pollution. One reason can be that wood smoke emissions are often the dominating air pollution only in rural areas and small towns and that there are difficulties associated with studying sparsely populated areas using epidemiological methods. However, of the four studied areas, only Port Alberni can be considered a small rural town, while the other three are large cities. Of the few existing studies, only in studies from two areas (Seattle and Santa Clara County), does there seem to exist some published material that confirms that residential wood combustion was a major source of ambient PM in the areas.

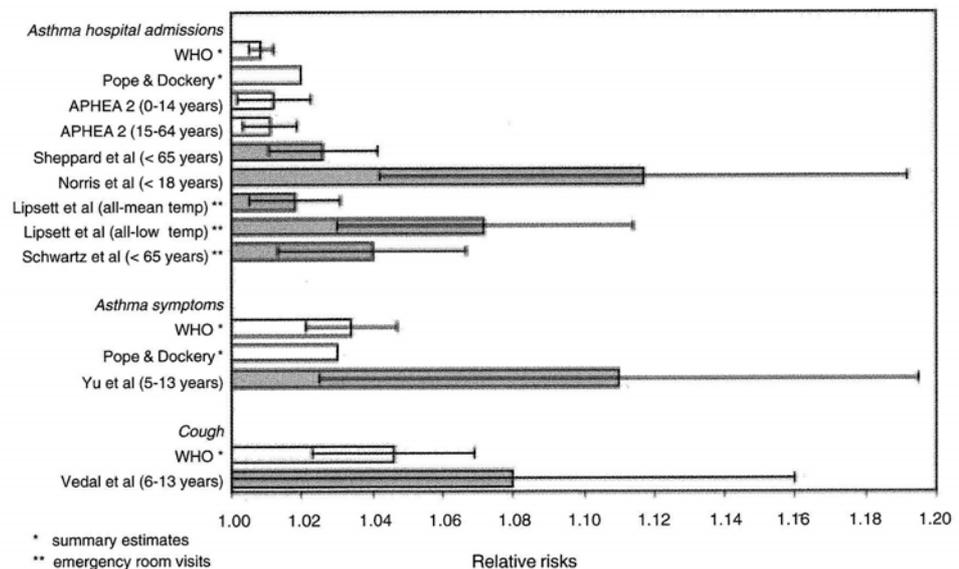
Different exposure assessment parameters were used in the included papers. The most common indicators were  $PM_{10}$  (8 studies),  $SO_2$  (5 studies), CO (5 studies), and  $NO_x/NO_2$  (4 studies).  $PM_{2.5}$ ,  $PM_1$ , and ozone were only occasionally used.

The nine included studies focused on the effects of short-term exposure on asthma, respiratory symptoms, mortality, and lung function. All of the studies reported positive significant associations between variations in air pollution levels and adverse health outcomes. PM was the parameter that showed the most frequent and most obvious associations with the addressed health effects: in all the studies, significant positive associations were found when  $PM_{10}$ ,  $PM_{2.5}$ , and  $PM_1$  were used as an indicator of air pollution. Overall, the relative risk (RR) between an increase in ambient  $PM_{10}$  with  $10 \mu g/m^3$  and different health outcomes varied between 1.018 and 1.117. CO showed significant positive associations with the addressed effects in 3 of the four studies, and  $NO_2$  in one of the four studies. Associations with  $SO_2$  or  $O_3$  were not found in any study. No relevant long-term exposure studies with health outcomes like cardiopulmonary mortality, lung cancer, or chronic bronchitis were found.

#### 5.1.2.2.2 Comparison of PM from wood smoke and PM in the general environment (Boman et al. 2003)

Boman et al. (2003) also compared the results from the studies in which residential wood combustion was mentioned as an important air pollution source with the estimations for the association between PM and health effects in the general environment. For these comparisons, they used a dose-response relationship from WHO (2000), a “state of the art” review (Pope et al. 1999), and a recent European study (Atkinson et al. 2001). They compared the results from the five wood smoke studies with associations between increases in ambient PM<sub>10</sub> and asthma symptoms, hospital admissions, and emergency room visits, together with one study with associations for cough. Although recognising the difficulties in comparing the results from different studies due to differences in the statistical analyses and presentation of the results, the comparison gives some indications of the relations, see Figure 3. All the included studies showed significant positive associations, and, in comparison with the estimations of WHO and other “state of the art” estimations concerning ambient PM and health, the effects (RR) are even stronger in the studies in which residential wood combustion is considered a major PM source, especially for children. Thus there seems to be no reason to assume that the health effects associated with PM in areas polluted with wood smoke are weaker than elsewhere. There are not enough data to allow for a comparison of different PM indicators, e.g., if PM<sub>10</sub> is better than PM<sub>2.5</sub> as an indicator.

Figure 3. Relative risks for different morbidity outcomes in association with a 10 µg/m<sup>3</sup> increase in PM<sub>10</sub> with 95% confidence intervals as error bars. The studies in which wood smoke was considered a major air pollution source are shown by closed columns, and the comparison estimates are represented by open columns (APHEA2: European study by Atkinson et al. 2001). Reproduced from Boman et al. (2003).



In most of the studied areas, residential wood combustion was expected to be an important source of ambient PM mainly during the winter season. Several of the studies used observation periods of years, including months in which wood smoke pollution was not a major factor. Two of the studies reported seasonal effect estimations. One study (Vedal et al. 1998) reported that the effect estimates for the autumn-winter period were essentially identical with those for the spring period. The other study (Sheppard et al. 1999) reported season-specific estimates, which were negative for summer, but were higher

for spring and fall than for the winter season. The authors suggested that their results indicate a persistent effect of pollution from automobiles on health. In the study by Schwartz et al. (1993), the effect estimations were based on the whole study period (1 year), and they suggested that the high PM concentrations in association with asthma visits during the winter reflect, in large part, the toxicity of wood smoke. However, they also commented on the fact that these associations continue even at relatively low PM concentrations, and, therefore, wood smoke is probably not the only contributing factor.

#### 5.1.2.2.3 Review by Naeher et al. (2007)

Naeher et al. (2007) conducted recently a detailed overall review regarding wood smoke and health effects. This review, which is based on an extended list of references, confirms the overall picture presented by Bomann et al. (2003) as well as the present report. The authors concluded that there is a large a growing body of evidence that wood smoke cause acute and chronic illness. Although the health effects should be considered to be linked to the whole complex mixture of components in the wood smoke there is insufficient data to regulate otherwise than on single components. In that respect fine particulate matter is considered the most relevant parameter. Further data is needed in order to clarify whether toxicity and risk associated to wood smoke particles should be considered otherwise than ambient particulate matter in general.

#### 5.1.3 Other settings with wood smoke exposure

Around 50% of people, almost all in developing countries rely on coal and biomass in the form of wood, dung and crop residues for domestic energy (Bruce et al. 2000). These materials are typically burned in simple stoves with very incomplete combustion. Consequently, women and young children are exposed to high levels of indoor air pollution every day. There is consistent evidence that indoor air pollution increases the risk of chronic obstructive pulmonary disease and of acute respiratory infections (ARI) in childhood, the most important cause of death among children less than 5 years of age in developing countries. Evidence also exists of associations with low birth weight, increased infant and peri-natal mortality, pulmonary tuberculosis, and nasopharyngeal and laryngeal cancer. Conflicting evidence exists with regard to asthma. All studies are observational and very few have measured exposure directly, while a substantial proportion have not dealt with confounding. As a result, risk estimates are poorly quantified and may be biased.

A number of studies have reported associations of adverse health impacts with use of biomass fuels, although few have directly measured exposure. The studies are described below and summarised in Table 7.

A case-control study conducted in Zimbabwe found a significant association between lower respiratory disease and exposure to atmospheric wood smoke pollution in young children. Data from 244 cases was compared with information from 500 children seen at the local well baby clinic. Air sampling within the kitchens of 40 children indicated very high concentrations (546-1998  $\mu\text{g}/\text{m}^3$ ) of respirable particulates. Blood COHb was determined for 170 out of 244 children confirming that they did experience smoke inhalation. (Collings et al. 1990).

A case-control study of Mexican women reported an increased risk of chronic bronchitis and chronic airway obstruction (CAO) associated with cooking with traditional wood stoves (Perez-Padilla et al. 1996). Crude odds ratios for wood smoke exposure were 3.9 (95% CI, 2.0-7.6) for chronic bronchitis only, 9.7 (95% CI, 3.7-27) for chronic bronchitis plus CAO, and 1.8 (95% CI, 0.7-4.7) for CAO only. The risk of chronic bronchitis alone and chronic bronchitis with CAO increased linearly with hour-years (years of exposure multiplied by average hours of exposure per day) of cooking with a wood stove. The median duration of wood smoke exposure were 25 and 28 years for the chronic bronchitis and chronic bronchitis/CAO disease groups, respectively. The median time of wood smoke exposure was 3 hours per day in the case groups.

Table 7. Studies with indoor exposure to wood smoke from cooking (high level)

Population	Endpoints	Exposure assessment	Results	Reference
Children in Zimbabwe	Respiratory illness	Respirable particles 546-1998 $\mu\text{g}/\text{m}^3$	Increased lower respiratory illness with wood smoke exposure	Collings et al. (1990)
Adult women in Mexico	COPD	Nd	COPD in non-smoking women	Perez-Padilla et al. (1996)
Adult women in Mozambique	Symptoms	$\text{PM}_{10}$ 1200 $\mu\text{g}/\text{m}^3$	Increased cough symptoms in wood smoke exposed group (relative to charcoal, gas, electric)	Ellegard (1996)
Adult women in Colombia	COPD	Nd	COPD in non-smoking women	Dennis et al. (1996)
Children in Guatemala	Asthma symptoms	Nd	Increase in asthma symptoms in children with open wood fire compared to stoves with chimney	Schei et al. (2004)
Children in Calcutta	Pneumonia	Nd	Increased risk of pneumonia	Mahalanabis et al. (2002)
Women Spain	COPD	Years of exposure from indoor wood or charcoal smoke	Increased risk of COPD hospitalisation	Orozco-Levi et al. (2006)

COPD: Chronic Obstructive Pulmonary Disease  
Nd: not determined

The association between exposure to air pollution from cooking fuels and health aspects was studied in Maputo, Mozambique (Ellegard 1996). Personal air samples for particulate (roughly equivalent to  $\text{PM}_{10}$ ) were collected when four types of fuels (wood, charcoal, electricity, and liquefied petroleum gas) were used for cooking. Wood users were exposed to significantly higher levels of particulate pollution during cooking time ( $1200 \mu\text{g}/\text{m}^3$ ) than charcoal users ( $540 \mu\text{g}/\text{m}^3$ ) and users of modern fuels (petroleum gas and electricity) ( $200\text{-}380 \mu\text{g}/\text{m}^3$ ). Wood users were found to have significantly more cough symptoms than other groups. This association remained significant when controlling for a large number of environmental variables. There was no difference in cough symptoms between charcoal users and users of modern fuels. Other respiratory symptoms such as dyspnoea, wheezing, and inhalation and exhalation difficulties were not associated with wood use.

A case-control study conducted in Colombia identified a similar risk of obstructive airways disease (OAD) in women who cooked with biomass

(Dennis et al. 1996). Univariate analysis showed that tobacco use (OR = 2.22;  $p < 0.01$ ); wood use for cooking (OR = 3.43;  $p < 0.001$ ) and passive smoking (OR = 2.05;  $p = 0.01$ ) was associated with OAD. The adjusted odds ratio for obstructive airways disease and wood use (adjusted for smoking, gasoline and passive smoke exposure, age and hospital) was 3.92. The mean number of years of wood smoke exposure was 33 in the cases. The authors suggested that wood smoke exposure in these elderly women was associated with the development of OAD and may help explain around 50% of all OAD cases.

Schei et al. (2004) studied the prevalence and severity of asthma in relation to indoor cooking on open wood fires in Western Guatemalan Highlands. The mothers of 1058 children aged 4-6 years were interviewed using a standardised questionnaire. The authors found that the asthma prevalence among children in Guatemala is low compared to other populations in Latin America. The prevalence of all the symptoms of asthma was higher in children from households that used open fires compared to improved stoves with chimneys. In a logistic regression model, use of open fire for cooking was a significant risk factor for a number of asthma symptoms, with odds ratios varying from 2.0 to 3.5.

Pneumonia was found to be associated with the use of solid fuel for cooking (e.g. coal, wood, dung) in a case-control study of children in Calcutta (Mahalanabis et al. 2002). Cases were 127 children aged 2-35 months. Solid fuel use was associated with risk of pneumonia in a logistic regression model after adjusting for confounding (OR = 3.97, CI = 2.00-7.88).

Bruce et al. (2000) reviewed the epidemiological evidence for the health effects of indoor smoke from solid fuels and concluded that, despite some methodological limitations, the epidemiological studies together with experimental evidence and pathogenesis provide compelling evidence of causality for acute respiratory infections and chronic obstructive pulmonary disease.

Smith et al. (2000) reviewed the details of biologic mechanisms and epidemiological studies on indoor air pollution and childhood acute respiratory infections (ARI). The authors concluded that the association of smoke from biomass fuels with ARI should be considered as causal, although the quantitative risk has not been fully characterised. Risk estimates from individual studies are imprecise because of relatively small sample sizes and misclassification of exposure and outcome. Given the imprecision and uncertainty in characterising the risk of biomass smoke exposure, quantitative risk assessments cannot be offered with great confidence.

Orozco-Levi et al. (2006) found a strong association between years of wood or charcoal smoke exposure from cooking or heating and increased risk for hospitalisation for chronic obstructive pulmonary disease (COPD) among 120 Spanish women during the period 2001-2003. Wood or charcoal alone independently increased the risk of COPD with odds ratios (OR) of 1.8 (95% interval: 0.6-6.0) and 1.5 (95% interval: 0.5-4.6), respectively, but only the combination of wood and charcoal was statistically significant (OR 4.5, 95% interval: 1.4-14.2).

#### 5.1.4 Summary, non-cancer health effects

The association of particulate air pollution (PM) with adverse health effects has long been recognised, especially in relation to respiratory and cardiovascular diseases. The experience is mainly based on epidemiological studies (cohort studies and time-series studies). A limitation with both study designs is the exposure characterisation, which is usually based on data from a single monitoring site in the area, and assumed to be representative for all individuals in the area. Another limitation is that most of the exposure information is on fine particles ( $PM_{2.5}$ ), or the sum of fine and coarse particles ( $PM_{10}$ ), whereas the information on ultrafine particles is limited.

Numerous studies have demonstrated that urban particulate air pollution is associated with increased mortality, primarily in the elderly and in individuals with pre-existing respiratory and/or cardiac diseases.

Several time-series studies in USA and Europe are available. A meta-analysis showed that an increase of  $10 \mu\text{g}/\text{m}^3$   $PM_{10}$  was associated with a 0.6% increase in total mortality, a 1.3% increase in respiratory related deaths, and a 0.9% increase in cardiovascular deaths in a city area in the first days after the  $PM_{10}$  increase (Anderson et al. 2004); if the observation time was extended for 40 days, the increase in total mortality was 1% (Zanobetti et al. 2003). A study in the German city Ehrfuhr indicated that the effect of ultrafine particles on death due to cardiac and respiratory disease was comparable to the effect of  $PM_{2.5}$  and  $PM_{10}$  (Wichmann et al. 2000). In a recently published update of this study (Stölzel et al. 2006), a significant association between total mortality and cardio-respiratory mortality and the number concentrations of ultrafine particles was found whereas the increased mortality in relation to particle mass ( $PM_{10}$ ) did not reach a significant response. Another European study (in Amsterdam, Ehrfuhr and Helsinki) reported that effects on cardiac and respiratory disease correlated better with  $PM_{2.5}$  than with the ultrafine particles (de Hartog et al. 2003, Pekkanen et al. 2002).

A few cohort studies are available at present. The most recent American cohort study (Pope et al. 2002) revealed a clear and significant association between mortality and the  $PM_{2.5}$  level as the mortality increased with 4% per  $10 \mu\text{g}/\text{m}^3$   $PM_{2.5}$  in 1979-83 and with 6% per  $10 \mu\text{g}/\text{m}^3$   $PM_{2.5}$  in 1999-2000; mortality caused by heart/lung disease was increased with 9% per  $10 \mu\text{g}/\text{m}^3$   $PM_{2.5}$ . A recent study (Jerret et al. 2005) on a subset (Los Angeles area) of the American cohort has reported a  $10 \mu\text{g}/\text{m}^3$  increase in the annual  $PM_{2.5}$  level to be associated with an increase in mortality of 17%. The corresponding increase in ischaemic heart disease was 38% and for lung cancer 46%. Thus, the study on the subset of the American cohort found an increase in mortality that was three times higher than that reported for the entire American cohort in the period 1999-2000. The increase in the subset study was considered to be due to a more accurate exposure assessment than in the prior studies. The authors also suggested that a higher contribution of traffic PM in the Los Angeles area might have resulted in the higher increased mortality.

A recently published follow-up of the Dockery et al. (1993) six cities study found an increase of 16% in the overall mortality to be associated with each  $10 \mu\text{g}/\text{m}^3$   $PM_{2.5}$  as an overall mean during the period, or an increase of 14% in relation to the annual mean of  $PM_{2.5}$  in the year of death (Laden et al. 2006). The particulate air pollution had decreased from the first to the second period (1974-1989 and 1990-1998). When compared with the Dockery et al. (1993) study, a decrease in mortality of 27% was found for each  $10 \mu\text{g}/\text{m}^3$  reduction in the  $PM_{2.5}$  level between the two periods.

The most recent cohort study (Miller et al. 2007) of 66,000 women from 36 U.S. metropolitan areas showed a higher increased risk for cardiovascular

mortality than described in the cohort studies by Laden et al. (2006 – study of intercity comparisons) and Jerret et al. (2005 – study of intra-city comparisons). In the Miller et al. (2007) study, each increase of  $10 \mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$  was associated with a 24% increase in risk of cardiovascular event and a 76% increase of death from cardiovascular disease. A considerable higher increased risk of cardiovascular mortality (128% increase per  $10 \mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$ ) was observed within cities compared to intercity comparisons (58% increase per  $10 \mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$ ).

The emission of particles from residential wood burning and their impact on human health has received much attention lately.

Several early studies (Table 5) have focused on the presence of a wood stove in the home as a risk factor. While these studies strongly suggest that there are adverse health impacts in form of more respiratory symptoms and diseases associated with wood smoke exposure, their crude exposure assessments preclude more specific conclusions.

In addition, a number of studies (Table 7) have reported associations of adverse health impacts in the airways with use of biomass fuels. All these studies are observational and very few have measured exposure directly, while a substantial proportion have not dealt with confounding. As a result, risk estimates are poorly quantified and may be biased.

A number of studies (Table 6) have evaluated adverse health effects from ambient air pollution in relation to residential wood combustion in communities where wood smoke was a major, although not the only, source of ambient air particulate. The studies indicate a consistent relationship between  $\text{PM}_1$ ,  $\text{PM}_{2.5}$  and/or  $\text{PM}_{10}$  and increased respiratory and asthmatic symptoms, and decreased lung function. The studies have mainly focused on children, but the few studies focusing on adults as well have shown similar results. There are also indications from several of the studies that asthmatics are a particularly sensitive group. The studies giving an indication of the dose-response relationship are summarised in Table 8 (section 7.2.2.1).

Boman et al. (2003) have reviewed nine selected studies (marked with an asterisk in Table 6) concerning adverse health effects from ambient air pollution in relation to residential wood combustion and attempted to extract quantifications for the associations. Only a few studies were found in which residential wood combustion was identified as a (or the) major source of ambient air pollution. In all the studies using  $\text{PM}_{10}$ ,  $\text{PM}_{2.5}$ , and  $\text{PM}_1$  as an indicator of ambient air pollution, significant positive associations between variations in air pollution level(s) and adverse health outcome(s) were found. The relative risks (RR) between an increase in ambient  $\text{PM}_{10}$  with  $10 \mu\text{g}/\text{m}^3$  and different health outcomes varied between 1.01 and 1.12. An RR for increased asthma hospital admissions of 1.15 and 1.04 has been reported for an increase in ambient  $\text{PM}_{2.5}$  with 11 and  $12 \mu\text{g}/\text{m}^3$ , respectively. An RR for increased asthma symptoms in children of 1.17 has been reported for an increase in ambient  $\text{PM}_1$  with  $10 \mu\text{g}/\text{m}^3$ .

Overall, these studies showed that an increased risk of experiencing adverse health effects in the respiratory tract from exposure to particles in wood smoke (RR 1.04-1.17) is associated with an increase in ambient PM ( $\text{PM}_1$ ,  $\text{PM}_{2.5}$  and  $\text{PM}_{10}$ ) of about  $10 \mu\text{g}/\text{m}^3$ . None of the available studies have indicated a threshold concentration for effects. However, it should be noted that due to differences in the statistical analyses and presentation of the results in the various studies, it is difficult to compare the results from different studies.

Boman et al. (2003) also compared the results from the five wood smoke studies in which residential wood combustion was mentioned as an important air pollution source with estimations for the association between PM and health effects in the general environment (Figure 3). All the included studies showed significant positive associations for respiratory symptoms evaluated. In comparison with the estimations concerning ambient PM and health effects in the general environment, the RR were even stronger in the studies in which residential wood combustion was considered a major PM source. Based on this comparison, the authors concluded that there seems to be no reason to assume that the health effects associated with PM in areas polluted with wood smoke are weaker than elsewhere.

A very recently published review (Naeher et al. 2007), which is based on an extended list of references, confirms the overall picture presented by Bomann et al. (2003) as well as the present report.

Overall, the available studies indicate that exposure to wood smoke PM is associated with the same kind of health effects known from exposure to PM in general and that the health effects associated with PM in areas polluted with wood smoke are not weaker than elsewhere. However, the uncertainties about the actual contribution from wood smoke to ambient concentrations of PM preclude, for the time being, precise characterisations of specific dose-response relationships for wood smoke PM and whether differences exist compared to the known dose-response relationships from PM in general. Therefore, a more precise evaluation of the impact on human health of air pollution related to residential wood combustion is not possible for the time being.

## 5.2 Epidemiological studies, carcinogenic effects

### 5.2.1 Particles in the general environment

During the last decade, several cohort studies have been published. Three studies were performed on cohorts in the United States.

The Harvard Six Cities study was based on 8111 adults in six U.S. cities followed from 1976 to 1989 (Dockery et al. 1993). Exposure was estimated by assessment of long-term average levels of pollution from background air-monitoring stations. There was a 37% (95% CI 0.81-2.31) higher lung cancer risk in the most polluted city compared with the least polluted city after adjustment for age, sex, smoking, education and body-mass index.

A study by Beeson et al. (1998) was based on 6338 California Seventh Day Adventists (non-smoking) followed from 1977 to 1992. Exposure to air pollution was estimated by monthly ambient concentrations of NO<sub>2</sub>, SO<sub>2</sub> and PM<sub>10</sub> using fixed-site monitoring stations, which were interpolated to zip codes of individual home and working addresses. The study reported rate ratios for lung cancer of 5.21 (95% CI 1.94-13.99) for PM<sub>10</sub> corresponding to an inter-quartile range of 24 µg/m<sup>3</sup>, and of 1.45 (95% CI 0.67-3.14) for NO<sub>2</sub> corresponding to an inter-quartile range of 1.98 ppb.

The largest US investigation analysed cause-specific mortality among approximately 550 000 adults followed from 1982 to 1998 (Pope et al. 1995, 2002). Participants were assigned to metropolitan areas of residence, for which mean PM<sub>2.5</sub> concentrations were compiled from urban background

monitoring stations in 1979-83 and 1999-2000. In this study an increase in yearly mean concentration of  $10 \mu\text{g}/\text{m}^3$  of  $\text{PM}_{2.5}$  was associated with increased lung cancer mortality (RR=1.14; 95% CI 1.04-1.23) (Pope et al. 2002).

These three U.S. cohort studies suggest an excess risk of lung cancer with long-term exposure to air pollution. However, it is unknown whether these results can be transferred to European settings as the European populations may experience different exposures and living habits (smoking, diet etc.), which could modify the results (Vineis et al. 2004).

A cohort study from the Netherlands found an insignificant 25% higher lung cancer mortality associated with a  $30 \mu\text{g}/\text{m}^3$  difference in yearly mean concentration of  $\text{NO}_2$  level among 5000 subjects between 1984 and 1994, for which the exposure was estimated for the home address (Hoek et al. 2002).

Nafstad et al. (2004) investigated the lung cancer incidence among 16 209 men living in Oslo between 1974 to 1998, and found an adjusted risk ratio for lung cancer of 1.08 (95% CI 1.02-1.15) for a  $10 \mu\text{g}/\text{m}^3$  increase in yearly mean concentration of  $\text{NO}_x$ , but no associations with  $\text{SO}_2$ .

Harrison et al. (2004) investigated whether exposure to known chemical carcinogens, e.g. PAHs, can explain the observed association between  $\text{PM}_{2.5}$  and lung cancer mortality by calculating lung cancer rates. They concluded that it appears plausible that known chemical carcinogens are responsible for the lung cancers attributed to  $\text{PM}_{2.5}$  exposure. However, they also stated that the possibility should not be ruled out that PM is capable of causing lung cancer independent of the presence of known carcinogens.

### 5.2.2 Particles from wood burning

There is little direct information regarding the human cancer risks associated with biomass air pollution. The findings of relatively low mutagenicity for wood smoke, have, to some extent, been validated in a study of indoor environmental exposure risks and lung cancer in China. Cross-sectional comparisons of population subgroups in Xuan Wei, China, an area noted for high mortality from respiratory disease and lung cancer, suggested that the high lung cancer rates could not be attributed to smoking or occupational exposure (Mumford et al. 1990a). Since residents of Xuan Wei, especially women, are exposed to high concentrations of coal and wood combustion products indoors, a study was undertaken to evaluate the lung cancer risks of these exposures. On average women and men in Xuan Wei spend 7 and 4 hours per day, respectively, near a household fire. A 1983 survey indicated that the lung cancer rate in Xuan Wei was strongly associated with the proportion of homes using smoky coal in 1958. No relationship was observed between lung cancer and the percentage of homes using wood.

A follow-up study compared exposures in two otherwise similar Xuan Wei communes, one with high lung cancer mortality (152/100,000) where smoky coal was the major fuel, and another with low lung cancer mortality (2/100,000) where wood (67%) and smokeless coal (33%) were used. Lung cancer mortality was strongly associated with indoor burning of smoky coal and not with wood burning. This association was especially strong in women who had low smoking and were more highly exposed to cooking fuel emissions than men (Chapman et al. 1988). Indoor  $\text{PM}_{10}$  concentrations

measured during cooking were extremely high (24, 22 and 1.8 mg/m<sup>3</sup> for smoky coal, wood and smokeless coal, respectively). In contrast to other studies of wood smoke particle size distribution, measurements in Xuan Wei indicated that only 6% of the particles emitted during wood combustion were smaller than 1µm in size, whereas 51% of the smoky coal particles were sub-micron. This study suggests that there was little association between open-fire wood smoke exposure and lung cancer, despite very high exposures with long duration (women generally start cooking at age 12). One possible explanation is the relatively low biological activity of wood smoke particulate combined with less efficient deposition of the larger particles.

In a recent study, Mustapha et al. (2004) evaluated the DNA damage in 179 Indian women cooking with biofuels, including wood. They found a significant increase in both micronucleus (MN) and chromosomal aberrations (CA) in peripheral lymphocytes from users of biomass fuel compared to lymphocytes from users of liquefied petroleum gas (LPG). The relative MN and CA frequencies for the users of the various fuels decreased in the order cow dung > cow dung / wood ≥ wood > kerosene ≥ LPG. Further, the results indicated an effect of subject age, and the duration of exposure on the MN and CA frequencies in biomass fuel users.

The frequency of sister chromatid exchange (SCE) after acute overexposure to combustion products originating from coal or wood stoves was investigated in 20 patients with acute carbon monoxide intoxication (Ozturk et al. 2002). All cases were domestic accidents due to dysfunctioning coal or wood stoves. The mean SCE frequency per metaphase was significantly higher in the study group compared to the control group: 8.11 (± 2.39) vs. 6.33 (± 1.60). There was no positive correlation between the blood carboxyhaemoglobin concentration and SCE frequency. The results suggest that acute exposure to combustion products of wood or coal is genotoxic.

Long-term exposure to wood smoke from cooking was found to contribute to the development of lung cancer in a case-control study of Mexican non-smoking women (Hernandez-Garduno et al. 2004). Exposure information was obtained from 113 lung cancer cases and 273 controls. Controls were patients with miscellaneous pulmonary conditions (e.g. pulmonary tuberculosis, interstitial lung disease). Exposure to wood smoke for more than 50 years, but not for shorter periods, was associated with lung cancer after adjusting for age, education, socio-economic status and environmental tobacco smoke exposure, odds ratio 1.9 (95% confidence interval 1.1-3.5).

A case-control study has shown that the use of wood stoves for cooking or heating may be linked to as many as 30% of all cancers in mouth, pharynx and larynx in Southern Brazil (Pintos et al. 1998). Information on known and potential risk factors was obtained from 784 cases and 1568 non-cancer controls. After adjustment for all empirical confounders (e.g. smoking, alcohol consumption), the odds ratio for all upper aero-digestive tract cancers was 2.68 (95% confidence interval: 2.2-3.3). Increased risks associated with use of wood stoves were also seen in site-specific analyses for mouth, pharynx and larynx. An important limitation of this study was the lack of exposure assessment; there was no information on lifetime or average daily exposure, house ventilation, or the presence of a kitchen separated from the rest of the house.

Recently, Ramanakumar et al. (2006) performed a case-control study among 1205 persons diagnosed with lung cancer during the period 1996-2001 in Montreal and 1541 controls using questionnaire information about heating and cooking facilities. Among women using traditional heating facilities (stove or fireplace) and traditional cooking facilities (gas or wood stove) they found an increased odds ratio of 2.5 (95% CI 1.5-3.6) after adjusting for smoking and a number of other covariates. The authors speculated that the lack of a significant correlation for men may be due to less exposure from the heating and cooking sources because of less time spent in the home and less time spent in the kitchen.

### 5.2.3 Summary, carcinogenic effects

During the last decade, several cohort studies on cancer risk due to exposure to particles in the general environment have been published. Three U.S. cohort studies (Dockery et al. 1993, Beeson et al. 1998, Pope et al. 2002) suggest an excess risk of lung cancer with long-term exposure to air pollution. One study (Beeson et al. 1998) reported a rate ratio for lung cancer of 5.21 for  $PM_{10}$  corresponding to an inter-quartile range of  $24 \mu\text{g}/\text{m}^3$ . In another study (Pope et al. 2002), the largest one, an increase in the yearly mean concentration of  $10 \mu\text{g}/\text{m}^3$  of  $PM_{2.5}$  was associated with increased lung cancer mortality (RR=1.14). A cohort study from the Netherlands (Hoek et al. 2002) found a 25% higher lung cancer mortality associated with a  $30 \mu\text{g}/\text{m}^3$  difference in yearly mean concentration of  $\text{NO}_2$ , and a Norwegian study (Nafstad et al. 2004) found an adjusted risk ratio for lung cancer of 1.08 for a  $10 \mu\text{g}/\text{m}^3$  increase in yearly mean concentration of  $\text{NO}_x$ . A recent study (Harrison et al. 2004) found it plausible that known chemical carcinogens, e.g. PAHs, associated with the PM are responsible for the lung cancer risk attributable to  $PM_{2.5}$  exposure; however, it was also stated that it should not be excluded that PM in itself is capable of causing lung cancer independent of the presence of known carcinogens.

There is limited information regarding the human cancer risks associated with biomass air pollution. The Chinese studies (Mumford et al. 1990a, Chapman et al. 1988) on an association between wood smoke exposure and lung cancer risk do not indicate an increased risk even after long-term exposure to very high levels of biomass smoke ( $PM_{10}$   $22 \text{ mg}/\text{m}^3$ ) from open-fire domestic cooking. Two more recent case-control studies from Mexico (Hernandez-Garduno et al. 2004) and Southern Brazil (Pintos et al. 1998) are suggestive of a small increased risk of lung cancer due to long-term exposure to wood smoke from cooking; however, these studies are limited by the lack of exposure assessments. The most recent case-control study (Ramanakumar et al. 2006) found an increased risk for lung cancer among Canadian women in homes with wood stove or fireplace heating and with gas or wood stove cooking facilities.

One study (Mustapha et al. 2004) has reported a significant increase in the occurrence of both micronuclei and chromosomal aberrations in peripheral lymphocytes from Indian women cooking with bio-fuels, including wood. Overall, there is limited information regarding the human cancer risk associated with biomass air pollution, including wood smoke. The available studies do not provide a sufficient basis in order to evaluate whether there is an association between wood smoke exposure and increased risk of lung cancer.

Cohort studies on cancer risk associated with particles in the general environment have suggested an excess risk of lung cancer associated with long-term exposure to PM. Known chemical carcinogens, e.g. PAHs, associated with the PM might be responsible for the excess risk of lung cancer; however, it can not be excluded that PM in itself is capable of causing lung cancer.

It should be noted that the International Agency for Research on Cancer (IARC 2008) has evaluated that indoor emissions from household combustion of biomass fuel (primarily wood) are probably carcinogenic to humans (Group 2A). In reaching this evaluation, the IARC Working Group considered mechanistic and other relevant data including the presence of PAHs and other carcinogenic compounds in wood smoke, evidence of mutagenicity of wood smoke and multiple studies that show cytogenetic damage in humans who are exposed to wood smoke.



# 6 Experimental studies

## 6.1 Human studies

Only one study has been found with experimental exposure of human volunteers to wood smoke PM.

The aim of this study was to examine whether short-term exposure to wood smoke affects markers of inflammation, blood haemostasis, and lipid peroxidation in healthy humans. Thirteen healthy persons (6 men and 7 women aged 20-56 years – mean 34 years) were exposed to wood smoke or clean air in an exposure chamber during two 4-hour sessions, 1 week apart (PM<sub>2.5</sub> levels of 240-280 µg/m<sup>3</sup>; number PM concentration levels of 95,000-180,000/cm<sup>3</sup>) (Barregard et al. 2006).

In addition to increased PM levels, the wood smoke also resulted in increased levels of VOCs (formaldehyde, acetaldehyde, 1,3-butadiene and aromatic hydrocarbons e.g. benzene) (Sällsten et al. 2006).

Subjective symptoms were weak, with mild eye irritation after the wood smoke exposure. Blood and urine samples were analysed before and after exposure for markers of inflammation, coagulation and lipid peroxidation. There was a tendency toward an increase (about 10%) of high-sensitivity serum C-reactive protein (CRP), a marker of inflammation. Significant increases were found in serum amyloid A (an acute-phase protein that parallels CRP and considered to be an inflammatory cardiovascular risk factor) and in plasma factor VIII (considered as a marker both of inflammation and of haemostasis) and in the ratio factor VIII/von Willebrand factor indicating a slight effect on the balance of the coagulation factors. Moreover there was an increased urinary excretion of free 8-iso-prostaglandin<sub>2α</sub> indicating a temporary increase in free radical-mediated lipid peroxidation.

The authors concluded that wood smoke particles, at levels that can be found in smoky indoor environments, seem to affect inflammation, coagulation, and possibly lipid peroxidation, factors that may be involved in the mechanisms whereby particulate air pollution affects cardiovascular morbidity and mortality.

## 6.2 Studies in experimental animals

In general, the toxicological studies of air pollutants are short-term experimental studies. These studies have often analysed the early events rather than waiting for final disease, such as reduced respiratory system development, and they often focus on cells and biochemical systems rather than whole animals.

Two approaches have generally been used to studying the adverse health effects of particulate matter in animals: 1) placing suspensions of the test substances in the nose or the trachea, or 2) inhalation of aerosols. Rodents have primarily been used in the studies to test the mechanisms of pollutant-induced lung and airway injury and as models for infection processes and the

functioning of the immune system. Rats in particular appear to be susceptible to chronic inflammation, fibrous tissue development, and cancer from insoluble, non-cytotoxic particles, via a process believed to involve the overwhelming of normal particle removal mechanisms (particle overload).

Dosage rates and tissue concentrations are key factors in determining toxicity. Many toxicological effects are related to inhalation exposure integrated over time, especially in those situations where exposure is of longer term. However, certain effects may be more related to peak exposures, such as local irritation in the upper and lower airways.

Comparison between the effects in rodents and those in human beings can be rather difficult. This is due to anatomical and physiological differences, which can result in considerably lower concentrations in sensitive regions of the respiratory tract and the lungs of animals, compared to similar regions in humans. Furthermore, experimental animals used in toxicological studies are genetically very similar within specific strains, whereas human populations are heterogeneous. Thus, extrapolation of results from experimental animals to humans must also take strain and species differences into account.

The effects of air pollutants may also be studied in isolated lungs from animals, cultures of various anatomical structures of the respiratory tract from animals, cultures of various cell types lining the respiratory system, and sub-cellular fractions of tissues and cells. Such *in vitro* studies are useful for characterising the mode of action / mechanisms for air pollutants and for studying biochemical aspects of qualitative and quantitative species differences in toxicity. Given the complex physiological and pathological reactions taking place in the intact organism when animals are exposed to air pollutants via inhalation, *in vitro* studies cannot in isolation be used for hazard characterisation purposes.

## 6.2.1 Particles in the general environment, an overview

### **6.2.1.1 Non-carcinogenic effects**

A brief overview of the non-carcinogenic effects of particles observed in studies of experimental animals is given in the following based on the AIRNET toxicology report (Dybing & Totlandsdal 2004).

Toxicological studies in experimental animals suggest that PM can influence the functioning of the lung, the blood vessels and the heart.

Much of the toxicological evidence indicating the potential of PM to induce toxicity arises from direct high dose administration of PM into airways and lungs. However, the few inhalation studies available also indicate that PM may induce toxicity and studies have shown dose-dependent PM-induced adverse effects, albeit at concentrations well above ambient exposure. Some studies have concluded that focusing not on mass but on the (reactive) surface area of PM is a better method of linking adverse effects with PM.

The toxicity studies of PM have often been performed on specific fractions of PM, acidic aerosols, organic fractions, mixtures of particles and gaseous compounds, transition metals and particle charge while the ambient PM is a complex mixture. Not only particles of a certain chemical composition are responsible for the adverse effects of PM but the effects observed might

depend very much on the chemical composition of PM. Thus, adverse health effects are unlikely to be related to a single PM fraction, but more likely to a complex, eventually synergistic interaction of multiple PM components with the respiratory tract and subsequent target organs.

For example, inflammatory responses in the lung have been noted with chemically diverse PM mixtures. The effects have also been observed with particles of a presumed low intrinsic toxicity such as carbon black or metal oxides indicating that other conditions, e.g. surface area, may also play an important role in the induction and development of adverse health effects.

There are, however, preliminary indications that primary, carbonaceous PM components may be more important for adverse health effects than secondary components like sulphates and nitrates.

Experimental studies have supported the potential for combined gas-particle interactions such as fine carbon or diesel, acidic, or dispersed ambient particles combined with (in)organic gases or vapours (e.g. ozone, nitrogen dioxide, nitric acid, aldehydes). As an example, prolonged exposure (4 weeks) of rats to a mixture of carbon black, ammonium bisulphate and ozone showed more inflammatory effects than with the components individually.

Diesel exhaust contains various respiratory irritants in the gas phase and in the particulate matter. Both can induce inflammatory responses in the airways and alveolar regions of the lung. Airway inflammation involves damage to epithelial cells, including lipid peroxidation of cell membranes by oxidizing gaseous pollutants such as nitrogen dioxide. Indirect effects of particles, resulting from phagocytosis, can include the formation and release of various mediators, including oxidants, such as superoxide anions and hydroxyl radicals, and cytokines. These mediators may play a role in focal loss or shortening of cilia, type II cell hypertrophy, and hyperplasia. The latter changes can lead to the hyperplastic lesions seen in animals exposed to diesel exhaust. Phagocytosis and subsequent clearance of particles by alveolar macrophages can be compromised by high particle burdens, which may also increase the access of particles to the interstitium, leading to focal fibrosis. (Henderson et al. 1988).

Not only particles of a certain size are responsible for the adverse effects of PM. Both coarse and fine particles are capable of inducing toxicity. Recently, ultrafine particles (< 0.1  $\mu\text{m}$  in diameter) have emerged as a possible cause of PM-associated adverse health effects. Toxicological studies indicate that ultrafine particles could produce serious health effects. Ongoing studies suggest that traffic-generated ultrafine particles, on an equal mass basis, are more potent compared to fine or coarse particles. However, studies using factory-produced ultrafine carbon black did not confirm this observation indicating an important role for the chemical composition on the surface of PM. Whether the ultrafine PM fraction, tested at environmentally relevant levels, is also toxic, remains more uncertain.

In most studies, normal healthy animals have been used, but during the last years, animal models that resemble human diseases have gained more attention as tools for understanding how air pollution may affect the diseased and susceptible individual. For example, experiments have been carried out using models of asthma, COPD (Chronic Obstructive Pulmonary Disease), allergy, lung inflammation, increased blood pressure in the lung arteries, and

general high blood pressure. There is now some support for the assumption that the presence of disease increases the susceptibility to PM pollutants. Some studies have also compared responses in ageing rodents with younger ones.

#### **6.2.1.2 Carcinogenic effects**

A review of the literature on the carcinogenic effects of particles and PAHs in animals is included in the WHO Environmental Health Criteria 171 (EHC 1996). The major findings from this review are summarised in the following.

Carbon black is the powdered form of elemental carbon manufactured by vapour-phase pyrolysis of hydrocarbons. In this respect, it is partly similar to black soot such as that produced in wood burning. However, the content of carbon in soot is lower and soot usually contains much more PAH and other materials that can be extracted with organic solvents. (IARC 1996).

The importance of pulmonary particle burden on lung tumour induction has been demonstrated clearly in long-term studies by inhalation in rats. Rats have similarly increased lung tumour incidences when exposed to diesel exhaust or carbon black particles by inhalation for 24 months. Similarly, carbon black particles practically devoid of PAHs induce pulmonary tumours after intra-tracheal instillation. The very large surface area of carbon black and of diesel exhaust particles after de-sorption of adsorbed organic compounds *in vivo* may be involved mechanistically in a carcinogenic effect. The tumour response to different types of carbon black particles instilled intra-tracheally has been shown to correlate well with their respective surface areas (Heinrich 1994).

The correlation between particle surface area and lung tumour incidence was examined by evaluating published studies of inhalation of diesel and other particles. Tumour induction in rats was best correlated with the surface area of the particles retained in the lung rather than with the particle mass, particle volume, or number of particles, regardless of the PAH content. It was suggested that particle surface area and surface properties play a decisive role and that absorbed PAHs are not responsible for the tumour response in rats exposed to diesel exhaust. In the human situation, however, it could not be excluded that organic compounds and gas-phase components are also involved, since the human particulate lung burden is much lower than those achieved in rats after long-term inhalation.

Inhalation of diesel engine exhaust can result not only in pulmonary tumours but also in inflammation and fibrosis and in a delay in alveolar pulmonary clearance. The mechanism by which tumours develop due to particle overload and its associated pathological and anatomical changes may be restricted to rats and may not occur under environmental conditions in humans, since the lung burdens of humans do not reach the levels that induce lung tumours in rats. This is of importance for quantitative risk assessment. Only occupational exposure to diesel exhaust may result in lung burdens near or at overload conditions, particularly if the lung is already compromised by exposure to other dusts. Retarded particle clearance in smokers has been reported; in these people, additional exposure to diesel exhaust may induce overload and associated toxic effects (Bohning et al. 1982).

Not only diesel soot (particle size <50 nm) but also carbon black nearly completely devoid of organic compounds (Printex 90, particle size 10 nm) and ultrafine titanium dioxide (TiO<sub>2</sub>) particles (particle size 20 nm) caused lung tumours in female Wistar rats exposed by inhalation for 18 hours/day on five days per week for 24 months to a concentration at about 7.5 mg/m<sup>3</sup>. The tumour rate increased with increasing particle concentrations, independently of the type of particles inhaled. The authors concluded that the carcinogenic component of diesel exhaust is in the inner part of the diesel soot particle, the carbon core, and is not the relatively small amount of carcinogenic PAHs (Heinrich 1994). These results were confirmed in another two-year study, in which male and female rats were exposed by inhalation to various concentrations of carbon black and diesel exhaust. Lung tumours were observed with both particle types (Nikula et al. 1995). Diesel soot does not appear to have a specific carcinogenic effect in rats; rather, there is a non-specific effect of particles.

Exposure of rats by inhalation to 2.6 mg/m<sup>3</sup> of an aerosol of tar-pitch condensate with no carbon core but containing 50 µg/m<sup>3</sup> benzo[*a*]pyrene and other PAHs for 10 months caused lung tumours at a rate of 39%. The same amount of tar-pitch vapour condensed onto the surface of carbon black particles at 2 and 6 mg/m<sup>3</sup> resulted in tumour rates that were roughly two times higher (89 and 72%, respectively). Since exposure to 6 mg/m<sup>3</sup> carbon black almost devoid of extractable organic material caused a lung tumour rate of 18%, the tumour rate of 72% seen after combined exposure to tar-pitch vapour and carbon black particles indicates a syn-carcinogenic effect of PAHs and carbon black. A possible mechanism involves an effect of deposition of PAHs (Heinrich et al. 1994). As the level of benzo[*a*]pyrene in the coal-tar pitch was about three orders of magnitude greater than those in diesel soot, PAHs may play a negligible role in the carcinogenicity of diesel soot in rats. The PAH profile in diesel soot is, however, quite different from that in coal-tar pitch, as diesel soot contains highly mutagenic, carcinogenic nitro-PAHs and other poorly characterized mutagens that are not present in coal-tar pitch or on some of the carbon black particles used in experimental studies (Nikula et al. 1995, Heinrich et al. 1994).

Knaapen et al. (2004) reviewed the literature on particle induced lung cancer in order to elucidate the underlying mechanisms with focus on the role of reactive oxygen and nitrogen species. Both the particles themselves as well as particle-elicited events, such as activation of pathways of inflammation and proliferation, have been suggested to play a role in particle-induced genotoxicity, mutagenesis and carcinogenicity. The central hypothesis based on rat studies is that inflammation drives genotoxic events in airway epithelium as well as cell proliferation and tissue remodelling, which are processes that are all required for mutations and progression towards neoplastic lesions. Both particles and inflammatory cells can cause genetic damage as well as proliferative effects to target cells (Type II epithelial cells and Clara cells) through the production and release of oxidants.

## 6.2.2 Particles from wood smoke

### **6.2.2.1 Non-carcinogenic effects**

Zelikoff et al. (2002) recently reviewed the health effects associated with exposure to wood smoke, in particular with focus on the immune system as a target. The information in this review is summarised in the following.

Although health effects associated with exposure to whole wood smoke emissions are not as well studied as its individual components, a number of adverse health effects have been demonstrated. For example, exposure of laboratory animals to wood smoke effluents decreased ventilatory frequency and ventilatory response to CO<sub>2</sub> (Wong et al. 1984), increased micro-vascular permeability and produced pulmonary oedema (Nieman et al. 1989), caused necrotising tracheobronchial epithelial cell injury (Thorning et al. 1982), possibly increased the lung cancer incidence in mice (Liang et al. 1988), increased levels of angiotensin-1-converting enzyme in the lungs (Brizio-Molteni et al. 1984), and compromised pulmonary macrophage-mediated immune mechanisms important in anti-microbial defence (Zelikoff et al. 1995a,b), most likely via alterations in the integrity of the macrophage surface membrane or cytoskeletal components (Fick et al. 1984, Loke et al. 1984).

Only a limited number of studies have investigated the effects of whole wood smoke emissions on pulmonary immunity. It appears that host defence and/or immune cell function is depressed in a manner similar to that produced by many of the individual wood smoke constituents (Zelikoff et al. 2002). For example, a single inhalation exposure of rabbits to smoke from the pyrolysis of Douglas fir wood produced an increase in the total number of recovered pulmonary macrophages and a transitory decrease in macrophage adherence to glass (Fick et al. 1984). Moreover, this same exposure regime decreased macrophage uptake of the gram-negative bacterial pathogen *Pseudomonas aeruginosa* in the absence of an inflammatory response or changes in macrophage viability.

In another study, a single inhalation exposure of Douglas fir-generated wood smoke altered the macrophage morphology and membrane ultra-structure (Loke et al. 1984).

Inhaled wood smoke has also been reported to alter the chemotactic migration of broncho-pulmonary lavage human macrophages (Demarest et al. 1979).

In studies by Zelikoff et al. (1995a,b), 3-month-old Sprague-Dawley rats were exposed repeatedly (1 hour/day, 4 days) to a single concentration of wood smoke (750 µg/m<sup>3</sup> PM<sub>2.5</sub>) generated from red oak burned in a combustion furnace. At 3, 24, 72 and 120 hours following the final wood smoke exposure, rats were intra-tracheally instilled with the pneumonia-producing bacteria *Staphylococcus aureus* to assess effects upon pulmonary clearance. Inhalation of wood smoke progressively reduced the *in vivo* clearance/killing of *S. aureus*. Effects of inhaled wood smoke on intrapulmonary clearance appeared as early as 3 hours following the final exposure and persisted for up to 5 days; killing/clearance was reduced to 60% of control values after 3 hours and then progressively declined to 2% after 5 days. The authors stated that the results demonstrated that short-term repeated inhalation of wood smoke generated from the burning of a common hardwood used for home heating compromised pulmonary host resistance against an infectious, pneumonia-producing lung pathogen well after exposures ceased.

In addition to the studies reviewed by Zelikoff et al. (2002), a few recent studies have investigated the effects of wood smoke.

Reed et al. (2006) have summarised health effects in rats and mice of subchronic exposure to environmental levels of hardwood smoke (HWS) generated from an uncertified wood stove burning wood of mixed oak species. Animals were exposed by whole-body inhalation (6 hours/day, 7 days/week) for either 1 week or 6 months to clean air (control) or dilutions of whole

emissions based on particulate (30 (low-level exposure, L), 100 (mid-level exposure low, ML), 300 (mid-level exposure high, MH), or 1000 (high-level exposure, H)  $\mu\text{g}/\text{m}^3$  total PM).

The fractional abundance of the primary PM constituents showed that PM was composed of approximately 93% organic carbon mass at all exposure levels. In addition, there was approximately 5% elemental carbon and 2-3% metals and other elements. PM in the HWS exposure atmospheres had a mass median aerodynamic diameter of approximately 0.3  $\mu\text{m}$ , with a small increase in particle size (0.25-0.35  $\mu\text{m}$  median diameter) at the higher exposure levels. The dominant gases were carbon monoxide (maximum approximately 15  $\text{mg}/\text{m}^3$ , high-level exposure) and volatile organics (maximum approximately 3.5  $\text{mg}/\text{m}^3$ , high-level exposure).

F344 rats (12 of each sex per group) were used for assessment of body weight, organ weight, histopathology, clinical chemistry and haematology parameters. Spontaneously hypertensive rats (SHR, 6 of each sex per group) were used for EKG, heart rate analyses and vessel histopathology. C57BL/6 mice (16 males per group) were used for assessment of bacterial clearance and inflammatory lung histopathology. Young strain A/J mice (10/20 of each sex per group) were used for assessment of body weight, organ weight, micronucleus formation, histopathology and carcinogenesis (20 of each sex per group).

No exposure-related clinical abnormalities, mortality or effects on body weight were observed.

Organ weights: Absolute liver weight was slightly decreased in H rats of both sexes at 1 week (maximum 8-9%) and relative liver weights in H female rats at 6 months (maximum 3%). Excised, fixed lung volume was increased (maximum 12%) in H female rats at 6 months. Lung weight was decreased (maximum 16%) in H female mice at 6 months. Spleen weight was increased in H female rats (maximum 9%) and ML/MH mice (maximum 53%) at 1 week. Thymus weight was decreased (maximum 17%) in H male rats at 1 week.

Clinical chemistry: Blood urea nitrogen (BUN) was decreased in ML/MH/H female rats and in MH/H male rats at 1 week (maximum 35%) and in H female rats at 6 months (maximum 18%). Serum creatinine was decreased in MH/H male rats at 1 week (maximum 13%) and a negative trend was evident in female rats at 1 week. Alkaline phosphatase was decreased in ML/MH/H female rats and in H male rats at 1 week (maximum 23%) and in MH/H rats of both sexes at 6 months (maximum 38%). Aspartate aminotransferase was decreased in H male rats at 1 week (maximum 24%) and a negative trend was evident at 6 months. Other parameters (serum bilirubin, serum cholesterol, glucose, phosphorous and total protein) did not reflect coherent patterns.

Haematology and clotting factors: Platelets were increased in ML/MH rats of both sexes and in H female rats at 1 week (maximum 21%). Total white blood cell counts were increased in H females at 1 week (maximum 27%) and a positive trend was observed in males at this time point. Eosinophils were decreased in ML/MH male rats at 1 week (maximum 39%). Lymphocyte counts were increased in L/ML/MH male rats at 6 months (maximum 13%) and a positive trend was evident in male rats at 1 week.

Histopathology: The only exposure-related histopathological findings were in the lung. Minimal increases in alveolar macrophages and sparse brown-appearing macrophages were observed in rats. Very little accumulation of particulate matter was observed in the lungs of both rats and mice. Summary inflammatory scores of C57BL/6 mice instilled with bacteria were largely unaffected by exposure.

Micronucleus: There were no statistically significant exposure-related effects on micro-nucleated reticulocyte counts in mice as well as no significant trends.

Carcinogenesis: See section 6.2.2.2.

Bacterial clearance: Bacterial clearance was unaffected by exposure at both the 1-week and 6-month intervals except for a slight downward trend in clearance at the 6-month high-level exposure.

Cardio-vascular endpoints: No significant changes in any of the measured parameters were observed under any exposure condition.

According to the authors, the results reported suggest that these realistic concentrations of HWS present little to small hazard with respect to clinical signs, lung inflammation and cytotoxicity, blood chemistry, haematology, cardiac effects, and bacterial clearance. However, the authors also noted that exposure to HWS generated in this study was reported to have mild effects in mouse and rat models of asthma (Barrett et al. 2006, Tesfaigzi et al. 2005) and mild effects on pulmonary lavage parameters (Seagrave et al. 2005), see below.

Barrett et al. (2006) used two different models to characterise the effects of inhaled hard wood smoke (HWS) on allergic airway inflammation. In both models, male BALB/c mice were sensitised by injection with ovalbumin (OVA) and alum. In one model, mice were challenged by inhalation with OVA 1 day prior to exposure to HWS (30, 100, 300, or 1000  $\mu\text{g PM}/\text{m}^3$ ) for 6 hours/day on 3 consecutive days. In the other model, mice were exposed by inhalation to OVA, rested for 11 days, were exposed to HWS for 3 consecutive days, and then were exposed to OVA immediately after the final HWS exposure. Broncho-alveolar lavage (BAL), and blood collection were performed about 18 hours after the last HWS or OVA exposure. In the first model, HWS exposure after the final allergen challenge led to a significant increase in BAL eosinophils only at the 300  $\mu\text{g PM}/\text{m}^3$  level. In the second model, in contrast to the first model, changes in BAL cells did not reach statistical significance. There were no HWS-induced changes in BAL interleukin (IL)-2, IL-4, IL-13, and interferon (IFN) $\gamma$  levels in either model following OVA challenge. According to the authors, these results suggest that acute HWS exposure can minimally exacerbate some indices of allergic airway inflammation when a final OVA challenge precedes HWS exposure, but does not alter Th1/Th2 cytokine levels.

Tesfaigzi et al. (2005) exposed Brown Norway rats immunized with ovalbumin to either filtered air or wood smoke at 1 mg  $\text{PM}/\text{m}^3$  for 70 days and challenged them with allergen during the last 4 days of exposure. Baseline values for dynamic lung compliance were lower while functional residual capacity was increased in rats exposed to wood smoke compared to rats exposed to filtered air. Interferon (IFN) $\gamma$  levels were reduced and interleukin (IL)-4 levels increased in the broncho-alveolar lavage fluid and blood plasma, inflammatory lesions in the lungs were 21% greater, and airway mucous cells/mm basal lamina were non-significantly increased in rats exposed to wood smoke compared to controls. According to the authors, these studies suggest that the pulmonary function was affected in rats by exposure to wood smoke and this decline was associated with only minor increases in inflammation of the lung.

Seagrave et al. (2005) exposed groups of rats (12 rats of each sex per group) 6 hours/day, 7 days/week for 6 months to either clean air, diesel exhaust or hardwood smoke at 4 concentration levels between 30 and 1000  $\mu\text{g}/\text{m}^3$  total

PM. Lung lavage fluid was assayed for toxicity indicators, cytokines and glutathione. Lactate dehydrogenase, total protein, alkaline phosphatase,  $\beta$ -glucuronidase, macrophage inflammatory protein-2, tumour necrosis factor- $\alpha$ , and total glutathione were affected by the exposure to diesel exhaust and/or hardwood smoke; however, no consistent response pattern was found in comparison between diesel exposure and hard wood exposure. Furthermore, some of the responses were found to be gender dependent with a tendency to greater effects in males compared to females. Several indicators were found not to follow a conventional linear dose-response relationship and responses at lower exposure levels were often more pronounced than at the highest exposure level where the responses were suppressed indicating the relevance of testing at relevant environmental exposure levels.

The effects of hardwood smoke (HWS) inhalation (30, 100, 300, and 1000  $\mu\text{g}/\text{m}^3$ ) on the systemic immune responses of A/J mice were evaluated after 6 months of daily (6 hours/day) whole-body exposures (Burchiel et al. 2005). HWS was generated from a conventional, uncertified wood stove. The dominant gases were vapour-phase hydrocarbons and carbon monoxide. PM in the wood smoke exposure atmospheres had a mass median aerodynamic diameter of approximately 0.3  $\mu\text{m}$ , with a small increase in particle size (0.4  $\mu\text{m}$  median diameter) at the higher exposure levels. The PM was composed primarily of organic carbon with approximately 3-10% Black Carbon and less than 1% of the transition metal elements (K, Ca, and Fe). HWS PAHs were enriched in the lower molecular weight compounds (naphthalene and methylated naphthalenes, fluorene, phenanthrene, and anthracene). Spleen cells from the mice were assessed for changes in cell number, cell surface marker expression (B, T, macrophage, and natural killer cells), and responses to B cell (LPS, endotoxin) and T cell (Con A) mitogens. HWS inhalation caused an increase in T cell proliferation in the 100  $\mu\text{g}/\text{m}^3$  exposure group and produced a concentration-dependent suppression of T cell proliferation at concentrations  $>300 \mu\text{g}/\text{m}^3$ . There were no effects on B cell proliferation, or in spleen cell surface marker expression. The results show that environmentally relevant concentrations of HWS may be immunosuppressive to the immune system of mice exposed during a 6-month period.

In a study of sub-chronic exposure to low levels of wood smoke, minor but significant changes in the airways of rats were observed (Tesfaigzi et al. 2002). Brown Norway rats were whole-body exposed 3 hours/day, 5 days/week for 4 or 12 weeks to 1 or 10  $\text{mg}/\text{m}^3$  wood smoke particles from *pinus edulis*. Control rats were exposed to air. The wood smoke was generated in a wood stove type generally used in the homes of Native American population in New Mexico, and consisted of fine particles ( $< 1 \mu\text{m}$ ) that formed larger chains and aggregates having a size distribution of 63-74% in the  $< 1 \mu\text{m}$  fraction and 26-37% in the  $> 1 \mu\text{m}$  fraction. The particle-bound material was primarily composed of carbon and the majority of identified organic compounds consisted of sugar and lignin derivatives. Pulmonary function, specifically carbon monoxide-diffusing capacity and pulmonary resistance, was somewhat affected in the high-exposure group. Mild chronic inflammation and squamous metaplasia were observed in the larynx of the exposed groups. The severity of alveolar macrophage hyperplasia and pigmentation increased with smoke concentration and length of exposure, and the alveolar septae were slightly thickened.

Exposure of rats in a nose-only chamber to smoke from burning Douglas fir (5, 10, 15, or 20 minutes of continuous inhalation) and 24-hour recovery time

revealed acute inflammation of the airways with varying degrees of injury from loss of cilia, degeneration of epithelium and squamous metaplasia to sub-mucosal oedema (Bhattacharyya et al. 2004). These histological changes were reflected in variable expression of the secretory Muc5AC mucin gene and low expression of the membrane-associated Muc4 mucin gene.

Park et al. (2004) evaluated the antioxidant status and the extent of pulmonary injury in sheep after graded exposure to wood smoke. Adult male sheep were received 0, 5, 10 or 16 units of cooled western pine bark smoke, corresponding to 0, 175, 350, and 560 seconds, respectively, of smoke dwell time in the airways and lung. Smoke was mixed at a 1:1 ratio with 100% oxygen to minimise hypoxia. Plasma and expired breath samples were collected before and at different time points (6, 12, 18, 24, 36, and 48 hours) after exposure. Lung and airway sections were evaluated histologically for injury and biochemically for indices of oxidative stress. Plasma thiobarbituric acid reactive substances (TBARS) were 66 and 69% higher than controls after moderate (10 units) and high (16 units) smoke exposure at 48 hours, whereas total antioxidant potential was not statistically different among groups at any time after exposure. Lung TBARS showed a dose-dependent response to smoke inhalation and were approximately 2-, 3- and 4-fold higher, respectively, than controls after exposure to 5, 10 and 16 units of smoke. Lung myeloperoxidase (MPO) activity was also higher in smoke-exposed animals, and MPO activity was markedly elevated (19- and 22-fold than controls in right apical and medial lobes) in response to severe (16 units) smoke exposure. Smoke exposure also induced a dose-dependent injury to tracheo-bronchial epithelium and lung parenchyma. The study showed that few indices of oxidative stress responded in a dose-dependent manner although most of the indices measured in the lung were affected by the highest dose of smoke (16 units), and it could not be determined whether the oxidants are a cause or a consequence of the airway and lung injury associated with exposure to wood smoke.

#### **6.2.2.2 Carcinogenic effects**

In the very recent study by Reed et al. (2006) described in detail in section 6.2.2.1, lung carcinogenesis measured as either the percentage of young strain A/J mice (20 of each sex per group) with tumours (incidence) or the number of tumours per tumour-bearing mouse (multiplicity) yielded no significant differences from the control group and there was no evidence of a progressive exposure-related trend. Animals were exposed to hardwood smoke (HWS) generated from an uncertified wood stove, burning wood of mixed oak species, by whole-body inhalation (6 hours/day, 7 days/week) for 6 months to clean air (control) or dilutions of whole emissions based on particulate (30, 100, 300, or 1000  $\mu\text{g}/\text{m}^3$  total PM).

Mumford et al. (1990b) studied mouse skin carcinogenicity of indoor coal and wood combustion emissions from homes in Xuan Wei in China where the lung cancer mortality rate is high. Indoor air particles (less than 10 microns) were collected from a central commune where the lung cancer mortality rate is high and smoky coal is the major fuel used, and also from a south-western commune where lung cancer mortality rate is low and where wood or smokeless coal are the major fuels used. The organic extracts of the indoor particles from smoky coal, smokeless coal and wood combustion were analysed for PAH and assayed for skin tumour initiation activity and complete

carcinogenicity in SENCAR mice. The organic extract of the emission particles from smoky coal combustion was the most active in tumour initiation followed by smokeless coal and then wood. The organic extract of the particles from smoky coal combustion was shown to be a potent complete carcinogen, whereas the wood extract was relatively inactive as a complete carcinogen. Smokeless coal extract was not tested for complete carcinogenicity because of inadequate supply. Eighty-eight percent of the mice treated with the smoky coal extract showed carcinomas, averaging 1.1 carcinomas per tumour-bearing mouse at the end of the 77-week study.

### 6.3 *In vitro* studies

The generation of free radicals by wood smoke and cellular injuries caused by these radicals have been investigated in cultured RAW 264.7 mouse macrophage cells (Leonard et al. 2000). The cells were exposed to liquid wood smoke generated by thermolysis of western bark (pine and fir) followed by bubbling the smoke through 10 ml saline. The wood smoke produced significant DNA damage and was also able to cause lipid peroxidation, activate the nuclear transcription factor (NF $\kappa$ B), and enhance the release of TNF- $\alpha$  from the cells. The results indicate that the free radicals generated by wood smoke are able to cause DNA and cellular damage and may act as a fibrogenic agent.

The sister chromatid exchange (SCE) induction of emissions from an airtight horizontal baffled residential wood stove was investigated in Chinese Hamster Ovary (CHO) cells (Hytonen et al. 1983). The samples were taken under normal and starved air conditions, from burning birch and spruce separately. Both particle phase and vapour phase were collected. All samples induced a dose-related response in SCE both with and without a metabolic activation system (rat liver microsomal fraction). The burning conditions in the stove influenced the mutagenicity of the emissions more than the type of wood; the smoke from wood burning under starved air conditions was more than one order of magnitude more potent in inducing a significant SCE response. With all samples, the response in SCE induction was highest without metabolic activation.

Smoke condensates of woods used for food preservation and aromatisation in Nigeria were tested for mutagenic activity using *Salmonella typhimurium* TA98 and TA100 (Asita et al. 1991). The woods were: white mangrove, red mangrove, mahogany, abura, alstonia and black afara. Cigarette tar was tested for comparison. The condensates induced dose-dependent increases in the number of His+ revertants mainly with S9 mix. With the exception of mahogany and cigarette smoke condensate, the smoke condensates induced more revertants/ $\mu$ g condensate in TA100 than in TA98. The number of revertants/ $\mu$ g condensate ranged between 0.04 and 0.9 for the wood smoke condensates and was 0.12 for the cigarette smoke in TA100. The range was between 0.1 and 0.3 for the wood smoke condensates and 0.18 revertants/ $\mu$ g condensate for cigarette smoke in TA98. The condensates contained varying concentrations of PAH and those with higher concentrations generally showed greater mutagenic activities. However, the order of mutagenic potency in the bacterial strains differed from the order of PAH concentrations, which were lower than the concentrations at which they have been reported to induce mutations. When 6 of the PAH were mixed in the concentrations in which they were found in the individual condensates, the mixtures did not induce

mutation so that the contribution of the PAH to the mutagenic activities of the condensates could not be determined.

Kubátova et al. (2004) used hot pressurised water for the fractionation at different temperatures of both the polar (low temperature) and non-polar fractions (high temperature) of diesel exhaust and wood smoke PM. Non-polar fractions from both PM sources showed strong cytotoxic responses (reduced viability) in mammalian cells with the strongest response from diesel PM. Also the polar fractions of both PM sources showed strong cytotoxic responses. The mid-polar fraction from wood-smoke PM showed a stronger response than the identical fraction from diesel PM where only limited cytotoxicity was observed.

In a conference abstract, Klippel (2006) reported that PM from a wood stove operating under bad conditions contained substantially higher concentrations of PAH than diesel soot and also revealed increased toxicity in an *in vitro* test with lung cells from the Chinese hamster. Particles from an automatic wood furnace were less toxic than diesel soot.

A recent study investigated and compared the genotoxicity and the ability to induce inflammatory mediators of nine different particle types from wood and pellets combustion, from tire-road wear and collected from an urban street and a subway station (Karlsson et al. 2006). All particles tested caused DNA damage in human lung cells (A549, Comet assay). The three types of particles from wood combustion (old-type boiler, modern boiler, pellets) showed similar genotoxic potency and there was no significant difference between them. The subway particles were most genotoxic of the particles tested and caused typically 4-5 times more DNA damage than the other particles, likely, according to the authors, due to redox-active iron. Of the wood particles, the only sample that caused a significant increase in cytokine release was the particles from the modern wood boiler; the most potent particles to induce cytokines were those collected from an urban street.

#### 6.4 Summary, experimental studies

Only one study (Barregard et al. 2006) has been found with experimental exposure (short-term) of human volunteers (13 individuals) to wood smoke PM. The study indicates that wood smoke particles, at levels that can be found in smoky indoor environments ( $PM_{2.5}$  levels of 240-280  $\mu\text{g}/\text{m}^3$ ), seem to affect inflammation, coagulation, and possibly lipid peroxidation, factors that may be involved in the mechanisms whereby particulate air pollution affects cardiovascular morbidity and mortality.

Toxicological studies in laboratory animals suggest that PM can influence the functioning of the lung, the blood vessels and the heart. Much of the toxicological evidence indicating the potential of PM to induce toxicity arises from direct high dose administration of PM into airways and lungs. The few inhalation studies available from experimental animals indicate that different types of PM may induce toxicity at relatively high levels. Not only particles of a certain size or chemical composition are responsible for the adverse effects of PM. Adverse health effects are unlikely to be related to a single PM fraction, but more likely to a complex, eventually synergistic interaction of multiple PM components with the respiratory tract and subsequent target organs. However, there are preliminary indications that primary, carbonaceous PM components may be more important for adverse health

effects than secondary components like sulphates and nitrates. Both coarse and fine particles are capable of inducing toxicity; however, whether the ultrafine PM fraction, tested at environmentally relevant levels, is also toxic, remains more uncertain.

Various animal studies have been used to elucidate the carcinogenicity of diesel exhaust. In all valid inhalation studies in rats, diesel exhaust was found to be carcinogenic at particle concentrations of  $> 2 \text{ mg/m}^3$ , corresponding to an equivalent continuous exposure of about  $1 \text{ mg/m}^3$ . No carcinogenic effects were seen in hamsters or mice. Diesel exhaust, without the particulate fraction, administered by inhalation to rats did not show a carcinogenic potential. Long-term inhalation of carbon black, virtually devoid of PAH, resulted in lung tumours in rats. In studies using intra-tracheal instillation, both diesel exhaust particles and carbon black induced tumours; the surface area of the carbonaceous particles appeared to be correlated with the carcinogenic potency. It is not clear whether the carcinogenicity of diesel exhaust involves DNA-reactive or non-DNA-reactive mechanisms (or a combination).

A recent review (Zelikoff et al. 2002) has addressed the adverse health effects associated with exposure to wood smoke in experimental animals. Although the effects associated with exposure to wood smoke are not as well studied as the effects of its individual components, a number of adverse health effects have been reported such as e.g. inflammation and damage to epithelial cells; inflammation has been seen both after single and repeated inhalation exposure of rats. In one study (Tesfaigzi et al. 2002), minor but significant changes in the airways of rats (mild chronic inflammation and squamous metaplasia in the larynx; alveolar macrophage hyperplasia and pigmentation, and slightly thickened alveolar septae) were observed following whole-body exposure (3 hours/day, 5 days/week for 4 or 12 weeks) to 1 or  $10 \text{ mg/m}^3$  wood smoke particles.

Some studies investigating the effects of wood smoke emissions on pulmonary immunity are available. Studies in rats (exposed one hour/day for 4 days to wood smoke at  $750 \text{ } \mu\text{g/m}^3 \text{ PM}_{2.5}$ ) have indicated that host defence and/or immune cell function, leading to impairment of lung clearance, is depressed in a manner similar to that produced by many of the individual wood smoke constituents (Zelikoff et al. 1995a,b). Similarly, another study (Burchiel et al. 2005) has indicated that inhalation of wood smoke (6 hours/day for 6 months) may be immunosuppressive to the immune system of mice at environmentally relevant exposure levels.

A very recent study (Reed et al. 2006) has summarised health effects of subchronic exposure to environmental levels ( $30\text{-}1000 \text{ } \mu\text{g/m}^3$  total PM) of hardwood smoke in rats and mice exposed by inhalation for 6 months. The results reported suggest that these concentrations of hardwood smoke present little to small hazard with respect to clinical signs, lung inflammation and cytotoxicity, blood chemistry, haematology, cardiac effects, and bacterial clearance. However, exposure to hardwood smoke was reported to have mild effects in mouse and rat models of asthma (Barrett et al. 2006, Tesfaigzi et al. 2005) and mild effects on broncho-alveolar lavage parameters (Seagrave et al. 2005).

In the very recent study (Reed et al. 2006), lung carcinogenesis measured as either the percentage of young mice with tumours (incidence) or the number of tumours per tumour-bearing mouse (multiplicity) yielded no significant

differences from the control group and there was no evidence of a progressive exposure-related trend.

A few *in vitro* studies with mammalian cells have shown that wood smoke may be associated with cytotoxicity, DNA and cellular damage, lipid peroxidation and cytokine release. Furthermore, wood smoke condensates have been shown to induce a dose-dependent increased mutagenicity in *Salmonella typhimurium*.

The most recent study (Karlsson et al. 2006) demonstrated that particles from wood combustion (old-type boiler, modern boiler, pellets) caused DNA damage in human lung cells (A549, Comet assay); the three types of particles showed similar genotoxic potency.

Overall, some of the available studies in experimental animals indicate that inhaled PM from wood smoke, similarly to PM in general, can cause adverse health effects in the respiratory tract and lungs such as inflammation, altered lung clearance, damage to epithelial cells, immuno-suppression, and hyperplastic lesions.

However, a very recent study (Reed et al. 2006) summarising the health effects of subchronic exposure to environmental levels (30-1000 µg/m<sup>3</sup> total PM) of hardwood smoke in rats and mice indicates that exposure to these concentrations present little to small hazard with respect to clinical signs, lung inflammation and cytotoxicity, blood chemistry, haematology, cardiac effects, and bacterial clearance, and carcinogenic potential. However, parallel studies demonstrated mild exposure effects on broncho-alveolar lavage parameters and in mouse and rats models of asthma.

# 7 Risk assessment, wood smoke particles

Risk assessment for exposure to air pollutants, including wood smoke particles, generally follows the classical paradigm of chemical risk assessment and consists of an exposure assessment, a hazard assessment, and a risk characterisation.

The exposure to air pollutant(s) is assessed by determining the concentration of the pollutant(s) in the air and the volume of air inhaled over time, in order to define the intake dose.

The hazard assessment consists of a hazard identification, which is a qualitative description of the adverse health effects observed following exposure to the pollutant(s), and a subsequent hazard characterisation, which is a quantitative description of the dose-response relationships for the observed effects.

In the final step, the risk characterisation, the exposure and hazard assessments are integrated.

However, the risk assessment process for air pollutants varies from that for chemicals in general in two main aspects:

- 1) Toxicological information usually plays a confirmatory or explanatory role for air pollutants as most of the critical hazard information stems from epidemiology. This is in contrast to the situation with chemical substances in general where toxicological information plays a major role in the hazard assessment.
- 2) For air pollution, adverse health effects may be noted at or close to ambient exposure levels whereas for chemical substances in general, the margins between exposures and effects levels are often larger. Thus, for some air pollutants such as PM, it will only be possible to describe dose-response functions for the associated health outcomes.

Particulate matter (PM) in ambient air and in wood smoke is a complex mixture of multiple components ranging from a few nanometres in size to tens of micro-metres. The multiple components present in ambient air and wood smoke PM include many very toxic single constituents such as e.g. PAH, dioxin, heavy metals, and several volatile carcinogens such as formaldehyde, benzene and 1,3-butadiene. Hence, adverse health effects following inhalation of ambient air and wood smoke PM are unlikely to be related to a single PM component, but more likely related to a complex, eventually synergistic interaction of multiple components with the respiratory tract and subsequent target organs.

From the latest 10-15 years of toxicological and epidemiological research, an extensive body of evidence has been generated documenting the adverse health effects resulting from ambient air PM. The numerous epidemiological studies show a very consistent and uniform pattern with regard to different types of health outcomes and dose-response relationships. Associations between adverse health effects and ambient air PM have typically been

identified in relation to PM levels measured in the background urban air in general. However, studies analysing different fractions of PM and studies analysing specific combustion related gaseous pollutants in addition to PM have shown that especially PM from combustion sources are important in relation to the adverse health effects. Therefore, this report mainly focuses on the adverse health effects related to PM from wood smoke.

When assessing the adverse health effects of wood smoke PM, there are at least two different methodological approaches that can be used:

- 1) To assess wood smoke PM as a part of ambient air PM and to benefit from the knowledge regarding adverse health effects from the PM in general. Especially important in this approach would be to identify epidemiological and toxicological studies in which wood smoke PM has been identified as an important source of the total PM fraction.
- 2) To separate the wood smoke PM into its different constituents and perform a risk characterisation for each constituent. Although a risk characterisation in theory may be performed for wood smoke PM by using this approach, it may be very difficult to evaluate the actual health risk of wood smoke PM as an evaluation also would require knowledge about the vast amount of possible physico-chemical and toxicological interactions between the several hundreds of different constituents in the wood smoke.

Consequently, the approach chosen in this report is to assess the adverse health effects of wood smoke PM based on the current knowledge on PM in general with focus on the epidemiological studies where wood smoke PM has been identified as an important source of the total PM fraction.

## 7.1 Exposure assessment

Measurements of particulate matter (PM) levels in ambient air in areas with many wood-burning stoves have consistently shown elevated levels of PM emissions, particularly during wintertime when wood burning is common. Due to the size distribution of wood smoke particles essentially all will be contained in the PM<sub>2.5</sub> fraction.

There is only very limited information on population exposure to wood smoke particles in Denmark.

Measurements during a 6-week winter period (2002 and 2003-2004) in a Danish residential area with no district heating and many wood stoves showed that the contribution from wood combustion to ambient PM<sub>2.5</sub> was comparable to the contribution from a heavily trafficked road to PM<sub>2.5</sub> at the sidewalk. The average local PM<sub>2.5</sub> contribution from wood combustion was about 4 µg/m<sup>3</sup> (Glasius et al. 2006).

In another residential area with natural gas combustion as the primary heating source and wood combustion as a secondary heating source, the average PM<sub>2.5</sub> concentration was elevated by about 1 µg/m<sup>3</sup> compared to background measurements during four winter weeks (Glasius et al. 2007).

An increase in annual average PM<sub>2.5</sub> of 1 µg/m<sup>3</sup> is a best maximum estimate of the whole population exposure based on the data from the measurements in these two residential areas.

Model calculations have been used to estimate the PM<sub>2.5</sub> levels resulting from wood combustion in Denmark. The results showed an increase in PM<sub>2.5</sub> of 0.4 µg/m<sup>3</sup> during winter (October-March) corresponding to an increase in annual PM<sub>2.5</sub> of 0.2 µg/m<sup>3</sup> (as a best minimum estimate) for the whole Danish population exposure (Palmgren et al. 2005).

In conclusion, the annual average PM<sub>2.5</sub> exposure from wood smoke is roughly estimated to be 0.2-1 µg/m<sup>3</sup> for the whole Danish population with a best estimate of about 0.6 µg/m<sup>3</sup>. The best estimate of about 0.6 µg/m<sup>3</sup> PM<sub>2.5</sub> will be taken forward to the risk characterisation.

## 7.2 Hazard assessment

### 7.2.1 Hazard identification

#### **7.2.1.1 Non-cancer health effects, humans**

The association of particulate air pollution with adverse health effects has long been recognised, especially in relation to respiratory and cardio-vascular diseases. Numerous studies have demonstrated that urban particulate air pollution is associated with increased mortality, primarily in the elderly and in individuals with pre-existing respiratory and/or cardiac diseases.

The emission of particles from residential wood burning and their impact on human health has received much attention lately.

Several early studies (Table 5) have focused on the presence of a wood stove in the home as a risk factor. While these studies strongly suggest that there are adverse health impacts in form of more respiratory symptoms and diseases associated with wood smoke exposure, their crude exposure assessments preclude more specific conclusions.

In addition, a number of studies (Table 7) have reported associations of adverse health impacts in the airways with use of biomass fuels. All these studies are observational and very few have measured exposure directly, while a substantial proportion have not dealt with confounding. As a result, risk estimates are poorly quantified and may be biased.

A number of studies (Table 6) have also evaluated adverse health effects from ambient air pollution in relation to residential wood combustion in communities where wood smoke was a major, although not the only, source of ambient air particulate. The studies indicate a consistent relationship between PM<sub>1</sub>, PM<sub>2.5</sub> and/or PM<sub>10</sub> and increased respiratory and asthmatic symptoms, and decreased lung function. The studies have mainly focused on children, but the few studies focusing on adults as well have shown similar results. There are also indications from several of the studies that asthmatics are a particularly sensitive group. The studies giving an indication of the dose-response relationship are summarised in Table 8 (section 7.2.2.1).

In conclusion, the available studies indicate that exposure to wood smoke PM is associated with the same kind of non-cancer health effects known from exposure to PM in general.

### **7.2.1.2 Carcinogenic effects, humans**

During the last decade, several cohort studies on cancer risk due to exposure to particles in the general environment have been published. Three U.S. cohort studies suggest an excess risk of lung cancer with long-term exposure to air pollution. A cohort study from the Netherlands found lung cancer mortality associated with the yearly mean concentration of NO<sub>2</sub>, and a Norwegian study found an association between lung cancer and increase in the yearly mean concentration of NO<sub>x</sub>. In these studies NO<sub>2</sub> and NO<sub>x</sub> should be seen as indicators for combustion related pollutants including PM. A recent study found it plausible that known chemical carcinogens, e.g. PAHs, associated with the PM are responsible for the lung cancer risk attributable to PM<sub>2.5</sub> exposure; however, it was also stated that it should not be excluded that PM in itself is capable of causing lung cancer independent of the presence of known carcinogens.

There is limited information regarding the human cancer risks associated with biomass air pollution. The Chinese studies on an association between wood smoke exposure and lung cancer risk do not indicate an increased risk even after long-term exposure to very high levels of biomass smoke from open-fire domestic cooking. Two more recent case-control studies from Mexico and Southern Brazil are suggestive of a small increased risk of lung cancer due to long-term exposure to wood smoke from cooking; however, these studies are limited by the lack of exposure assessments. The most recent case-control study found an increased risk for lung cancer among Canadian women in homes with wood stove or fireplace heating and with gas or wood stove cooking facilities.

One study has reported a significant increase in the occurrence of both micronuclei and chromosomal aberrations in peripheral lymphocytes from Indian women cooking with bio-fuels, including wood.

In conclusion, the available studies do not provide a sufficient basis in order to evaluate whether there is an association between wood smoke exposure and increased risk of lung cancer. However, an excess risk of lung cancer associated with long-term exposure to particles in the general environment have been suggested and there are, for the time being, no indications that wood smoke PM should be different from ambient air PM in general regarding a carcinogenic potential. It should be noted that the International Agency for Research on Cancer (IARC 2008) has recently evaluated that indoor emissions from household combustion of biomass fuel (primarily wood) are probably carcinogenic to humans (Group 2A).

### **7.2.1.3 Data from studies in experimental animals**

Toxicological studies in laboratory animals suggest that particulate matter (PM) in general can influence the functioning of the lung, the blood vessels and the heart. The relatively few inhalation studies available indicate that different types of PM may induce toxicity at relatively high exposure levels. Not only particles of a certain size or chemical composition are responsible for the adverse effects of PM. However, there are preliminary indications that primary, carbonaceous PM components may be more important for adverse health effects than secondary components like sulphates and nitrates. Both coarse and fine particles are capable of inducing toxicity; however, whether

the ultrafine PM fraction, tested at environmentally relevant levels, is also toxic, remains more uncertain.

Various animal studies have been used to elucidate the carcinogenicity of diesel exhaust. In all valid inhalation studies in rats, diesel exhaust was found to be carcinogenic whereas no carcinogenic effects were seen in hamsters or mice. Diesel exhaust, without the particulate fraction, administered by inhalation to rats did not show a carcinogenic potential. Long-term inhalation of carbon black, virtually devoid of PAH, resulted in lung tumours in rats. In studies using intra-tracheal instillation, both diesel exhaust particles and carbon black induced tumours; the surface area of the carbonaceous particles appeared to be correlated with the carcinogenic potency. It is not clear whether the carcinogenicity of diesel exhaust involves DNA-reactive or non-DNA-reactive mechanisms (or a combination).

Although the adverse health effects associated with inhalation exposure of wood smoke in experimental animals are not as well studied as the effects of its individual components, a number of adverse health effects have been reported such as e.g. inflammation and damage to epithelial cells; inflammation has been seen both after single and repeated inhalation exposure of rats. One study in rats investigating the effects of wood smoke on pulmonary immunity has indicated that host defence and/or immune cell function, leading to impairment of lung clearance, is depressed in a manner similar to that produced by many of the individual wood smoke constituents. Similarly, another study has indicated that wood smoke may be immunosuppressive in mice. Recent studies have reported mild effects in mouse and rat models of asthma and mild effects on broncho-alveolar lavage parameters.

A very recent study in mice did not show any hardwood smoke exposure related lung carcinogenesis measured as either the percentage of young mice with tumours (incidence) or the number of tumours per tumour-bearing mouse (multiplicity).

A few *in vitro* studies with mammalian cells have shown that wood smoke may be associated with cytotoxicity, DNA and cellular damage, lipid peroxidation and cytokine release. Furthermore, wood smoke condensates have been shown to induce a dose-dependent increased mutagenicity in *Salmonella typhimurium*. The most recent study demonstrated that particles from wood combustion caused DNA damage in human lung cells (A549, Comet assay).

In conclusion, some of the available studies in experimental animals indicate that inhaled PM from wood smoke, similarly to PM in general, can cause adverse health effects in the respiratory tract and lungs such as inflammation, altered lung clearance, damage to epithelial cells, immuno-suppression, and hyperplastic lesions. Recent studies have demonstrated mild exposure effects on broncho-alveolar lavage parameters and in mouse and rats models of asthma.

## 7.2.2 Hazard characterisation / dose-response relationship

### 7.2.2.1 *Non-cancer health effects, humans*

The hazard characterisation of particulate matter (PM) in ambient air is mainly based on epidemiological studies (cohort studies and time-series

studies). A limitation with both study designs is the exposure characterisation. The exposure estimates for ambient PM is generally based on the particle mass determined as  $PM_{10}$ ,  $PM_{2.5}$ , and/or  $PM_{10}$ . Thus, the actual contribution from different PM sources is not known. A significant limitation in this approach is the lack of exposure data for individual persons as the exposure estimates is usually based on data from a single monitoring site in the area, and assumed to be representative for all individuals in the area. Another limitation is that most of the exposure information is on fine particles ( $PM_{2.5}$ ), or the sum of fine and coarse particles ( $PM_{10}$ ), whereas the information on ultrafine particles ( $< 0.1 \mu m$  in diameter) is limited.

Several time-series studies in USA and Europe are available showing a dose-response relationship for short-term changes in PM levels. A meta-analysis showed that an increase of  $10 \mu g/m^3$   $PM_{10}$  was associated with a 0.6% increase in total mortality, a 1.3% increase in respiratory related deaths, and a 0.9% increase in cardiovascular deaths in a city area in the first days after the  $PM_{10}$  increase; if the observation time was extended for 40 days, the increase in total mortality was 1%. A study in the German city Ehrfuhr indicated that the effect of ultrafine particles on death due to cardiac and respiratory disease was comparable to the effect of  $PM_{2.5}$  and  $PM_{10}$ . In a recently published update of this study, a significant association between total mortality and cardio-respiratory mortality and the number concentrations of ultrafine particles was found whereas the increased mortality in relation to particle mass ( $PM_{10}$ ) did not reach a significant response. Another European study (in Amsterdam, Ehrfuhr and Helsinki) reported that effects on cardiac and respiratory disease correlated better with  $PM_{2.5}$  than with the ultrafine particles.

The available cohort studies show that a dose-response relationship in relation to long-term exposure to PM exists. The most recent American cohort study (Pope et al. 2002) revealed a clear and significant dose-response association between mortality and  $PM_{2.5}$  as the mortality increased with 4% per  $10 \mu g/m^3$  in  $PM_{2.5}$  in 1979-83 and with 6% per  $10 \mu g/m^3$  in  $PM_{2.5}$  in 1999-2000; mortality caused by heart/lung disease was increased with 9% per  $10 \mu g/m^3$  in  $PM_{2.5}$ . A very recent study (Jerret et al. 2005) on a subset (Los Angeles area) of the American cohort has reported a  $10 \mu g/m^3$  increase in the annual  $PM_{2.5}$  level to be associated with an increase in mortality of 17%. The corresponding increase in ischaemic heart disease was 38% and for lung cancer 46%. Thus, the study on the subset of the American cohort found an increase in mortality that was three times higher than that reported for the entire American cohort in the period 1999-2000. The increase in the subset study was considered to be due to a more accurate exposure assessment than in the prior studies. The authors also suggested that a higher contribution of traffic PM in the Los Angeles area might have resulted in the higher increased mortality.

A recently published follow-up of the Dockery et al. (1993) six cities study found an increase of 16% in the overall mortality to be associated with each  $10 \mu g/m^3$   $PM_{2.5}$  as an overall mean during the period, or an increase of 14% in relation to the annual mean of  $PM_{2.5}$  in the year of death (Laden et al. 2006). The particulate air pollution had decreased from the first to the second period (1974-1989 and 1990-1998). When compared with the Dockery et al. (1993) study, a decrease in mortality of 27% was found for each  $10 \mu g/m^3$  reduction in the  $PM_{2.5}$  level between the two periods.

The most recent cohort study (Miller et al. 2007) showed a higher increased risk for cardiovascular mortality than described in the cohort studies by Laden et al. (2006 – study of intercity comparisons) and Jerret et al. (2005 – study of intra-city comparisons). In the Miller et al. (2007) study, each increase of  $10 \mu g/m^3$   $PM_{2.5}$  was associated with a 24% increase in risk of cardiovascular event

and a 76% increase of death from cardiovascular disease. A considerable higher increased risk of cardiovascular mortality (128% increase per 10  $\mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$ ) was observed within cities compared to intercity comparisons (58% increase per 10  $\mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$ ).

The studies giving an indication of the dose-response relationship for wood smoke and non-cancer health effects in humans are summarised in Table 8. The relative risk (RR) between an increase in ambient  $\text{PM}_{10}$  of 10  $\mu\text{g}/\text{m}^3$  and different health outcomes varied between 1.01 and 1.12. An RR for increased asthma hospital admissions of 1.15 and 1.04 has been reported for an increase in ambient  $\text{PM}_{2.5}$  of 11 and 12  $\mu\text{g}/\text{m}^3$ , respectively. An RR for increased asthma symptoms in children of 1.17 has been reported for an increase in ambient  $\text{PM}_1$  of 10  $\mu\text{g}/\text{m}^3$ .

Overall, an increased risk of experiencing adverse health effects in the respiratory tract from exposure to particles in wood smoke is associated with an increase in ambient PM ( $\text{PM}_1$ ,  $\text{PM}_{2.5}$  and  $\text{PM}_{10}$ ) of about 10  $\mu\text{g}/\text{m}^3$ . None of the available studies have indicated a threshold concentration for effects. However, it should be noted that due to differences in the statistical analyses and presentation of the results in the various studies, it is difficult to compare the results from different studies.

Table 8. Relation between exposure to wood smoke and non-cancer health effects

Exposure	Results	Reference
$\text{PM}_{10}$ levels below the US air quality standard of 150 $\mu\text{g}/\text{m}^3$ Highest (night-time 12-hour average) $\text{PM}_{2.5}$ 195 $\mu\text{g}/\text{m}^3$	Decreased lung function in asthmatic children associated with an increase of 10 $\mu\text{g}/\text{m}^3$ in $\text{PM}_{2.5}$	Koenig et al. (1993)
24-h $\text{PM}_{10}$ 6-103 $\mu\text{g}/\text{m}^3$ , mean 30 $\mu\text{g}/\text{m}^3$	Increased asthma visits (< 65 years), RR=1.12 for a 30 $\mu\text{g}/\text{m}^3$ $\text{PM}_{10}$ increase	Schwartz et al. (1993)
24-h $\text{PM}_{10}$ 9-165 $\mu\text{g}/\text{m}^3$ , mean 61 $\mu\text{g}/\text{m}^3$	Increased asthma visits, RR=1.43 (low temperature) and 1.11 (mean temperature) for a 60 $\mu\text{g}/\text{m}^3$ $\text{PM}_{10}$ increase Overall RR=1.02-1.06 for a 10 $\mu\text{g}/\text{m}^3$ $\text{PM}_{10}$ increase	Lipsett et al. (1997)
24-h $\text{PM}_{10}$ generally well below the NZ air quality guideline of 120 $\mu\text{g}/\text{m}^3$	Increase in chest symptoms (> 55 years), RR=1.38 (35 $\mu\text{g}/\text{m}^3$ $\text{PM}_{10}$ increase). Increased inhaler and nebulizer use (> 55 years), RR=1.42 and 2.81 (10 $\mu\text{g}/\text{m}^3$ $\text{NO}_2$ increase)	Harré et al. (1997)
24-h $\text{PM}_{10}$ 0-159 $\mu\text{g}/\text{m}^3$ , mean 27 $\mu\text{g}/\text{m}^3$	For asthmatic children, increased cough, RR=1.08 (10 $\mu\text{g}/\text{m}^3$ $\text{PM}_{10}$ increase) and reduction of PEF	Vedal et al. (1998)
24-h $\text{PM}_{10}$ 8-70 $\mu\text{g}/\text{m}^3$ , mean 22 $\mu\text{g}/\text{m}^3$ 24-h $\text{PM}_{2.5}$ , mean 12 $\mu\text{g}/\text{m}^3$	Increased asthma hospital admissions (< 18 years): RR=1.14 (12 $\mu\text{g}/\text{m}^3$ $\text{PM}_{10}$ increase) RR=1.15 (11 $\mu\text{g}/\text{m}^3$ $\text{PM}_{2.5}$ increase)	Norris et al. (1999)
24-h mean $\text{PM}_{10}$ 31.5 $\mu\text{g}/\text{m}^3$ 24-h mean $\text{PM}_{2.5}$ 16.7 $\mu\text{g}/\text{m}^3$	Increased asthma hospital admissions (< 65 years): RR=1.05 (19 $\mu\text{g}/\text{m}^3$ $\text{PM}_{10}$ increase) RR=1.04 (12 $\mu\text{g}/\text{m}^3$ $\text{PM}_{2.5}$ increase)	Sheppard et al. (1999)
24-h $\text{PM}_{10}$ 8-86 $\mu\text{g}/\text{m}^3$ , mean 25 $\mu\text{g}/\text{m}^3$ 24-h $\text{PM}_1$ 2-62 $\mu\text{g}/\text{m}^3$ , mean 10.4 $\mu\text{g}/\text{m}^3$	Increased asthma symptoms in children: RR=1.17 (10 $\mu\text{g}/\text{m}^3$ $\text{PM}_1$ increase), RR=1.11 (10 $\mu\text{g}/\text{m}^3$ $\text{PM}_{10}$ increase)	Yu et al. (2000)
24-h $\text{PM}_{10}$ 0-187 $\mu\text{g}/\text{m}^3$ , mean 28 $\mu\text{g}/\text{m}^3$	Increased mortality; RR=1.01 (all causes), RR=1.04 (respiratory causes) for 10 $\mu\text{g}/\text{m}^3$ $\text{PM}_{10}$ increase	Hales et al. (2000)

24-h PM <sub>2.5</sub> 2.9-25 µg/m <sup>3</sup> (95% CI), mean 10.6 µg/m <sup>3</sup>	Increased respiratory emergency departments visits; RR=1.013 (7.7 µg/m <sup>3</sup> increase in PM <sub>2.5</sub> )	Schreuder et al. (2006)
24-h Total carbon 1.4-9.4 µg/m <sup>3</sup> (95% CI), mean 4.6 µg/m <sup>3</sup>	Increased respiratory emergency departments visits; RR=1.023 (3.0 µg/m <sup>3</sup> increase in total carbon)	

COPD: Chronic Obstructive Pulmonary Disease  
PEF: Peak Expiratory Flow

Boman et al. (2003) have compared the results from the five wood smoke studies in which residential wood combustion was mentioned as an important air pollution source with estimations for the association between PM and health effects in the general environment (Figure 3 in section 5.1.2.2.2). All the included studies showed significant positive associations for respiratory symptoms evaluated. In comparison with the estimations concerning ambient PM and health effects in the general environment, the RR were even stronger in the studies in which residential wood combustion was considered a major PM source. Based on this comparison, the authors concluded that there seems to be no reason to assume that the health effects associated with PM in areas polluted with wood smoke are weaker than elsewhere.

A very recently published review (Naeher et al. 2007), which is based on an extended list of references, confirms the overall picture presented by Bomann et al. (2003) as well as the present report.

Forsberg et al. (2005) analysed the impact on human health from PM levels in Sweden. From the PM<sub>10</sub> levels, 5300 premature deaths per year were estimated. Of these, 3500 deaths could be attributed to the levels contributed from long range transported PM, while 1800 could be attributed to local sources in Sweden. The fraction of premature deaths due to local sources varied strongly geographically with about 29% in the far south, due to high concentrations of long-range transported particles, to about 84% in the far north. In the northern part, wood smoke PM is the predominant source of PM.

In conclusion, the uncertainties about the actual contribution of PM from wood smoke to ambient PM preclude, for the time being, precise characterisations of specific dose-response relationships for the adverse health effects associated with exposure to wood smoke PM and whether differences exist compared to the known dose-response relationships from PM in general. Therefore, a more precise evaluation of the impact on human health of air pollution related to residential wood combustion is not possible for the time being.

However, the available epidemiological studies indicate that wood smoke PM does not seem to be less harmful than ambient PM in general and it is worth noting that the results in the Boman et al. (2003) review indicated that the RR were even stronger in the studies in which residential wood combustion was considered a major PM source. None of the available epidemiological studies, on wood smoke PM as well as on ambient PM, have indicated a threshold concentration for effects.

### ***7.2.2.2 Carcinogenic effects, humans***

During the last decade, several cohort studies on cancer risk due to exposure to particles in the general environment have been published. One American study reported a rate ratio for lung cancer of 5.21 for PM<sub>10</sub> corresponding to

an inter-quartile range of  $24 \mu\text{g}/\text{m}^3$ . In another American study, the largest one, an increase in the yearly mean concentration of  $10 \mu\text{g}/\text{m}^3$  of  $\text{PM}_{2.5}$  was associated with increased lung cancer mortality ( $\text{RR}=1.14$ ). A cohort study from the Netherlands found a 25% higher lung cancer mortality associated with a  $30 \mu\text{g}/\text{m}^3$  difference in yearly mean concentration of  $\text{NO}_2$ , and a Norwegian study found an adjusted risk ratio for lung cancer of 1.08 for a  $10 \mu\text{g}/\text{m}^3$  increase in yearly mean concentration of  $\text{NO}_x$ .

The only information available on the association between wood smoke exposure and lung cancer risk comes from a few Chinese studies. These studies do not indicate an increased risk even after long-term exposure to very high levels of biomass smoke ( $\text{PM}_{10}$   $22 \text{ mg}/\text{m}^3$ ) from open-fire domestic cooking.

In conclusion, based on the available epidemiological studies, it is not possible to evaluate the lung cancer risk due to exposure to particles from wood smoke, or to particles from other combustion sources.

### ***7.2.2.3 Data from studies in experimental animals***

Most studies on adverse health effects of wood smoke particles in experimental animals have used relatively high exposure levels compared to the levels generally measured in the environment.

In a recent study (Tesfaigzi et al. 2002), minor but significant changes in the airways of rats (mild chronic inflammation and squamous metaplasia in the larynx; alveolar macrophage hyperplasia and pigmentation, and slightly thickened alveolar septae) were observed following exposure (whole-body, 3 hours/day, 5 days/week for 4 or 12 weeks) to 1 or  $10 \text{ mg}/\text{m}^3$  wood smoke particles (size distribution of 63-74% in the  $< 1 \mu\text{m}$  fraction and 26-37% in the  $> 1 \mu\text{m}$  fraction).

A very recent study (Reed et al. 2006) has summarised health effects of subchronic exposure to environmental levels of hardwood smoke in rats and mice exposed (whole-body, 6 hours/day, 7 days/week) for 1 week or 6 months) to dilutions of whole emissions based on particulate ( $30\text{-}1000 \mu\text{g}/\text{m}^3$  total PM, mass median aerodynamic diameter of approximately  $0.3 \mu\text{m}$ ). Exposure to these concentrations presented little to small hazard with respect to clinical signs, lung inflammation and cytotoxicity, blood chemistry, haematology, cardiac effects, and bacterial clearance, and carcinogenic potential. However, parallel studies demonstrated mild exposure effects on broncho-alveolar lavage parameters and in mouse and rats models of asthma.

In the very recent study of exposure to environmental levels of hardwood smoke (Reed et al. 2006), lung carcinogenesis measured as either the percentage of young mice with tumours (incidence) or the number of tumours per tumour-bearing mouse (multiplicity) yielded no significant differences from the control group and there was no evidence of a progressive exposure-related trend.

Diesel exhaust has been found to be carcinogenic to rats at particle concentrations of  $> 2 \text{ mg}/\text{m}^3$ , corresponding to an equivalent continuous exposure of about  $1 \text{ mg}/\text{m}^3$ .

### 7.3 Risk characterisation

In this section, the health impact of PM from wood smoke emissions will be assessed considering the impact on mortality and on hospital admissions for respiratory and cardio-vascular diseases as these health endpoints are the most well documented endpoints in quantitative terms in relation to ambient air PM exposure.

In order to assess the health impacts from the wood smoke PM, the dose-response relationship from the epidemiological studies on ambient PM in general (i.e., the relative risk RR) is used as the available epidemiological studies indicate that wood smoke PM does not seem to be less harmful than ambient PM in general. The increase in the RR for a health endpoint related to ambient PM in general is used to estimate the increase in RR for this specific health endpoint due to the contribution from wood smoke PM. Then this RR is used to estimate the number of cases for this specific health endpoint, which is associated to wood smoke PM.

#### 7.3.1 Estimated wood smoke PM exposure for risk characterisation

At present, the population exposure to wood smoke PM in Denmark cannot be estimated precisely as only few measurements have been conducted in selected residential areas with different kinds of heating. An increase in annual average PM<sub>2.5</sub> of 1 µg/m<sup>3</sup> is a best maximum estimate of the whole population exposure. This is based on the data from the two measurement campaigns in Denmark.

One campaign showed an increase in average PM<sub>2.5</sub> of 4 µg/m<sup>3</sup> during winter in a residential area with no district heating and many wood stoves (Glasius et al. 2006, Palmgren et al. 2005).

The other campaign showed an increase in average PM<sub>2.5</sub> of 1 µg/m<sup>3</sup> during winter in a residential area with natural gas combustion as the primary heating source and wood combustion as a secondary heating source (Glasius et al. 2007).

In addition to the measured PM<sub>2.5</sub> levels, model calculations have been used to estimate the PM<sub>2.5</sub> levels resulting from the total wood combustion in Denmark. If the total Danish PM<sub>2.5</sub> emissions from wood-combustion are assumed to be distributed evenly over the whole area of Denmark, this would result in a contribution to the annual average PM<sub>2.5</sub> of 0.2 µg/m<sup>3</sup>.

Based on the two measurement campaigns in Denmark as well as on the model calculations, the annual average PM<sub>2.5</sub> exposure from wood smoke can be set to 0.2-1 µg/m<sup>3</sup> as a preliminary estimate for the whole Danish population with a best preliminary estimate of about 0.6 µg/m<sup>3</sup>. The best estimate of 0.6 µg/m<sup>3</sup> will be used as the exposure estimate in the risk characterisation.

However, it should be remembered that in many residential areas people are concentrated near to the local wood burning sources and thus may be exposed to considerable higher PM levels. For individuals living in residential areas with many wood burning sources, the use of the best estimate of 0.6 µg/m<sup>3</sup> will probably underestimate the exposure to wood smoke PM. However, the exposure estimated are based on measurements during the winter time and

thus, the best estimate of  $0.6 \mu\text{g}/\text{m}^3$  will probably overestimate the exposure to wood smoke PM.

### 7.3.2 Dose-response relationships for risk characterisation

#### **7.3.2.1 Mortality**

For mortality, an increase in the mortality rate of 6% (95% CI: 2-11%) per  $10 \mu\text{g}/\text{m}^3$  increase in  $\text{PM}_{2.5}$  for long-term exposure is used for the risk characterisation. This dose-response relationship was concluded by WHO (2005) by putting weight on the studies by Dockery et al. (1993), Pope et al. (1995, 2002) and Jerret et al. (2005). This dose-response relationship has also been used for health impact assessment in connection with the Clean Air for Europe Programme (CAFE), (European Commission, 2005). It should be noted, however, that the most recent studies by Jerret et al. (2005) and Laden et al. (2006) found a nearly 3 times higher increase in the mortality rate (17 and 16%, respectively) per  $10 \mu\text{g}/\text{m}^3$  increase in  $\text{PM}_{2.5}$  and thus, the use of the WHO estimate (6%) may result in an underestimation of the mortality rate.

From the WHO dose-response relationship, it can be estimated that the overall contribution of  $0.6 \mu\text{g}/\text{m}^3$  of  $\text{PM}_{2.5}$  from wood smoke to the annual population exposure is associated with an increase in the mortality rate of 0.36% (95% CI: 0.12-0.66%) as the dose-response relationship is linear.

According to Statistics Denmark (2007), the annual mortality is about 55,000 deaths. By using the increase in the mortality rate of 0.36% associated with an annual increase of  $0.6 \mu\text{g}/\text{m}^3$  of  $\text{PM}_{2.5}$  from wood smoke, the wood stove emissions would contribute to about 200 deaths each year (95% CI: 66-360). As mentioned before, this should be considered as a low estimate and the actual number of deaths due to exposure to wood smoke may be up to 2-3 times higher according to Jerret et al. (2005) and Laden et al. (2006).

#### **7.3.2.2 Respiratory diseases, hospital admissions**

##### 7.3.2.2.1 Data from time-series studies

Five studies have found statistically significant associations between hospital admissions from respiratory diseases and ambient air short-term PM exposure predominantly from wood smoke (see Table 8, section 7.2.2.1).

When looking at the studies where all age groups were included, an increase of  $10 \text{g}/\text{m}^3$  in  $\text{PM}_{10}$  was associated with a 2-6% increase in hospital admissions (Lipsett et al. 1997, Schwartz et al. 1993, Sheppard et al. 1999). For  $\text{PM}_{2.5}$ , a  $10 \text{g}/\text{m}^3$  increase was associated with a 3.3% increase in hospital admissions (Sheppard et al. 1999). Schreuder et al. (2006) found a 1.7% increase of emergency department visits per  $10 \text{g}/\text{m}^3$   $\text{PM}_{2.5}$  (borderline significance) and a 7.7% increase per  $10 \text{g}/\text{m}^3$  measured as total carbon, which was found to be a marker for vegetative burning.

One study that only included persons below the age of 18 (Norris et al. 1999) found a considerable higher increase in hospital admissions (12% for  $\text{PM}_{10}$ , 14% for  $\text{PM}_{2.5}$ ). As this high increase has not been confirmed in other studies and as this study only included children, this study will not be taken into account for a quantitative health impact assessment for the overall general population as children are also included in the studies where all age groups

were included. However, it should be noted that this study supports findings in other studies indicating that children are more susceptible to ambient air PM than the general population.

The estimated increase of 3.3% in respiratory hospital admissions for an increase of  $10 \text{ g/m}^3$  of  $\text{PM}_{2.5}$  from the Sheppard et al. (1999) study will be used for the risk characterisation as this pertains to  $\text{PM}_{2.5}$ , which seems to be the most relevant measure for particle sizes from wood smoke. Furthermore, this seems also to be a plausible figure for a dose-response relationship when considering the ranges of the  $\text{PM}_{10}$  dose-response relationships. Further studies are needed in order to confirm whether total carbon would be a better marker for wood burning as suggested by Schreuder et al. (2006), who found an increase in emergency department visits of 7.7% per  $10 \text{ g/m}^3$  total carbon in the  $\text{PM}_{2.5}$  fraction.

Thus an annual increase of  $0.6 \text{ g/m}^3$  of  $\text{PM}_{2.5}$  from wood smoke emissions would lead to an increase in respiratory hospital admissions of 0.2% as the dose-response relationship is linear.

It should be noted that using an estimate for increase in respiratory hospital admissions from short-term studies in relation to an annual increase in PM most probably will underestimate the actual health impact as it has been shown in general that effects observed in relation to short-term studies are often considerable less pronounced than effects observed in relation to long-term exposure. It should also be noted that the dose-response relationships considered from rather few studies on wood smoke PM show a steeper dose-response relationship (two to three times steeper) when compared to the dose-response relationships observed in numerous studies in which ambient PM in general have been assessed and in which no specific wood smoke contributions have been mentioned.

In Denmark, the annual rate of hospital admission for respiratory diseases has been reported to be approximately 78,000 cases (Raaschou-Nielsen et al. 2002). By using the increase in respiratory hospital admissions of 0.2% associated with an annual increase of  $0.6 \text{ g/m}^3$  of  $\text{PM}_{2.5}$  from wood smoke, the wood stove emissions would contribute to about 156 cases each year.

#### 7.3.2.2.2 Data from cohort studies (long-term exposure)

No data specifically addressing respiratory diseases and long-term exposure to wood smoke have been located; however, there are data pertaining to long term exposure to PM in general.

In the CAFE health impact assessment (European Commission 2005), the data from the cohort study conducted by Abbey et al. (1995a,b) are used for assessment of respiratory disease in relation to chronic PM exposure. An annual increase of  $10 \text{ } \mu\text{g/m}^3$   $\text{PM}_{10}$  was found to be associated to a 7% increase in new cases of chronic bronchitis among the adult population. For  $\text{PM}_{2.5}$ , the corresponding dose-response was a 14 % increase.

Using the dose-response relationship of 14% for new cases of chronic bronchitis for an annual increase of  $10 \text{ } \mu\text{g/m}^3$  of  $\text{PM}_{2.5}$  and the base-line incidence of chronic bronchitis per year in Denmark, an annual contribution of  $0.6 \text{ } \mu\text{g/m}^3$   $\text{PM}_{2.5}$  from wood smoke is estimated to be associated with an increase of

0.84% for new cases of chronic bronchitis. This corresponds to about 60 new cases of chronic bronchitis each year. (Loft 2007).

### **7.3.2.3 Cardio-vascular effects**

No epidemiological data on wood smoke exposure in relation to cardiovascular diseases are available neither from time-series studies nor from cohort studies.

As data on cardiovascular effects from PM in general largely pertain to time series studies, estimations using these dose-response relationships would largely underestimate the impact in relation to chronic exposure. In a very recent study, however, Miller et al. (2007) found a 24% increase of cardiovascular events and a 76% increase of cardiovascular mortality per 10  $\mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$  in a subgroup of women above the age of 50 years and without previous cardiovascular disease. The use of this dose-response relationship in relation to the general Danish population is difficult due to the rather specific selection of the study group for this study. However, although not specifically quantified, it could be concluded based on the high increased risks for cardiovascular events (coronary heart disease, cerebro-vascular disease, myocardial infarction, coronary re-vascularisation, stroke) and cardiovascular mortality found in the Miller et al. (2007) study that even an increase of 0.6  $\mu\text{g}/\text{m}^3$   $\text{PM}_{2.5}$  would have a significant health impact for the Danish population with respect to cardiovascular events and mortality.

### **7.3.2.4 Conclusions**

Based on an increase in the annual population exposure of 0.6  $\text{g}/\text{m}^3$   $\text{PM}_{2.5}$  from wood smoke emissions and the dose-response relationships considered for specific health outcomes, about 200 premature deaths, about 156 respiratory hospital admissions and about 60 new cases of chronic bronchitis can be estimated for the Danish population.

It should be noted that this preliminary health impact assessment is limited by the poor exposure data available as well as by the absence of specific dose-response relationships for the health impacts due to long-term exposure to wood smoke PM. However, it should be noted that the approach taken to assess the health impacts for wood smoke PM is in general considered to underestimate the health impacts as recently published dose-response relationships for mortality indicate a 2-3 times higher dose-response relationship compared to the WHO (2005) dose-response relationship used in our assessments.



## 8 Conclusions

The number of residential wood stoves in Denmark has increased during the latest years and it has been estimated that there in 2005 were about 551,000 wood stoves and about 48,000 wood boilers in Denmark. Measurements of particulate matter (PM) levels in ambient air in areas with many wood stoves have consistently shown elevated levels, particularly during wintertime when wood burning is common. Recent results have shown that the particle emission from residential wood stoves is an important source of particles in ambient air pollution in Denmark. Due to the size distribution of wood smoke particles essentially all will be contained in the PM<sub>2.5</sub> fraction. It has been estimated that about 17,665 tonnes PM<sub>2.5</sub> per year (2005) in Denmark come from residential wood combustion.

### 8.1 Exposure assessment, wood smoke PM

At present, the population exposure to wood smoke PM in Denmark cannot be estimated precisely as only few measurements have been conducted in selected residential areas with different kinds of heating.

Based on the data from the two measurement campaigns in Denmark, an increase in annual average PM<sub>2.5</sub> of 1 µg/m<sup>3</sup> is a best maximum estimate of the whole population exposure. In addition to the measured PM<sub>2.5</sub> levels, model calculations have estimated an increase in PM<sub>2.5</sub> of 0.4 µg/m<sup>3</sup> during winter (October-March) corresponding to an increase in annual PM<sub>2.5</sub> of 0.2 µg/m<sup>3</sup> as a best minimum estimate.

Based on these data, the annual average PM<sub>2.5</sub> exposure from wood smoke can be estimated to 0.2-1 µg/m<sup>3</sup> as a preliminary estimate for the whole Danish population with a best estimate of about 0.6 µg/m<sup>3</sup>. The best estimate of 0.6 µg/m<sup>3</sup> has been used as the exposure estimate in the risk characterisation.

### 8.2 Hazard assessment, wood smoke PM

A number of epidemiological studies, which have evaluated adverse health effects from ambient air pollution in relation to residential wood combustion, indicate a consistent relationship between PM and increased incidences for different health endpoints. Overall, an increased risk of experiencing adverse health effects in the respiratory tract from exposure to particles in wood smoke was associated with an increase in ambient PM (PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub>) of about 10 µg/m<sup>3</sup>.

Boman et al. (2003) have compared the results from five epidemiological studies, in which residential wood combustion was mentioned as an important air pollution source, with estimations for the association between PM and health effects in the general environment. Based on this comparison, the authors concluded that there seems to be no reason to assume that the health effects associated with PM in areas polluted with wood smoke are weaker than elsewhere.

A very recently published review (Naehler et al. 2007), which is based on an extended list of references, confirms the overall picture presented by Bomann et al. (2003) as well as the present report.

None of the available epidemiological studies, on wood smoke PM as well as on ambient PM, have indicated a threshold concentration for effects.

The available epidemiological studies do not provide a sufficient basis in order to evaluate the lung cancer risk due to exposure to particles from wood smoke, or to particles from other combustion sources.

Some studies in experimental animals indicate that inhaled PM from wood smoke, similarly to PM in general, can cause adverse health effects in the respiratory tract and the lungs. Most studies on adverse health effects of wood smoke particles in experimental animals have used relatively high exposure levels compared to the levels generally measured in the environment. However, two recent studies have reported only minor respiratory effects following subchronic exposure to environmental levels (30-1000  $\mu\text{g}/\text{m}^3$  total PM, particle size  $< 1 \mu\text{m}$  for the major part of the particles); the most recent study indicates that exposure to these concentrations presented little to small hazard with respect to clinical signs, lung inflammation and cytotoxicity, blood chemistry, haematology, cardiac effects, and bacterial clearance, and carcinogenic potential.

The uncertainties about the actual contribution of PM from wood smoke to ambient PM preclude, for the time being, precise characterisations of specific dose-response relationships for the adverse health effects associated with exposure to wood smoke PM, and whether differences exist for dose-response relationships for wood smoke PM compared to the known dose-response relationships for ambient PM in general. Therefore, a more precise evaluation of the impact on human health of air pollution related to wood combustion is not possible for the time being.

### 8.3 Risk characterisation, wood smoke PM

The health impact of PM from wood smoke emissions in Denmark has been assessed for mortality, and for hospital admissions for respiratory and cardiovascular diseases based on the known dose-response relationships for ambient PM in general as assessed from the available epidemiological studies.

From the estimated increase of 6% (95% CI: 2-11%) in the mortality rate for an increase of  $10 \text{ g}/\text{m}^3$  of  $\text{PM}_{2.5}$  as concluded by WHO (2005), the overall contribution of  $0.6 \text{ g}/\text{m}^3$  of  $\text{PM}_{2.5}$  from wood smoke to the annual population exposure is estimated to be associated with an increase in the mortality rate of 0.36% (95% CI: 0.12-0.66%). This increase in mortality rate corresponds to about 200 deaths each year (95% CI: 66-360).

From the estimated increase of 3.3% in respiratory hospital admissions (data from time-series studies) for an increase of  $10 \text{ g}/\text{m}^3$  of  $\text{PM}_{2.5}$  from the Sheppard et al. (1999) study, the overall contribution of  $0.6 \text{ g}/\text{m}^3$  of  $\text{PM}_{2.5}$  from wood smoke to the annual population exposure is estimated to be associated with an increase in respiratory hospital admissions of 0.2%. This increase in respiratory hospital admissions corresponds to about 156 cases each year.

From the estimated increase of 14% for new cases of chronic bronchitis for an annual increase of  $10 \text{ g/m}^3$  of  $\text{PM}_{2.5}$  as assessed by the European Commission (2005), the overall contribution of  $0.6 \text{ g/m}^3$  of  $\text{PM}_{2.5}$  from wood smoke to the annual population exposure is estimated to be associated with an increase of 0.84% for new cases of chronic bronchitis. This corresponds to about 60 new cases of chronic bronchitis each year.

No epidemiological data on wood smoke exposure in relation to cardiovascular diseases are available neither from time-series studies nor from cohort studies. However, the high increased risks for cardiovascular events (coronary heart disease, cerebro-vascular disease, myocardial infarction, coronary re-vascularisation, stroke) and cardiovascular mortality found in a very recent study by Miller et al. (2007) in a subgroup of women (above the age of 50 years and without previous cardiovascular disease) in relation to long-term particle exposure (general ambient air PM) indicate that even an annual increase of  $0.6 \text{ } \mu\text{g/m}^3$   $\text{PM}_{2.5}$  would have a significant health impact for the Danish population with respect to cardiovascular events and mortality. It should be noted, however, that due to the specific design of this study a general health impact assessment for the whole population cannot be made.

It should be remembered that this preliminary health impact assessment of wood smoke PM is hampered by the limited exposure data available as well as by the absence of specific dose-response relationships for the selected health impacts due to long-term exposure to wood smoke PM. It should also be noted that the approach taken to assess the selected health impacts for wood smoke PM is in general considered to underestimate the health impacts may result in underestimations as the dose-response relationship for mortality most likely is underestimated.



## 9 Gaps of knowledge

As can be seen from the previous chapters in this report, limited data are available for a more precise health impact assessment of wood smoke PM. In this chapter, the most essential data gaps are indicated.

### 9.1 Characterisation of wood smoke particles

The information on detailed physical and chemical characterisations of the particle emissions from different combustion technologies, including wood combustion, is still limited. In addition, any specific particle properties or components responsible for the adverse health effects related to the particle emissions have not yet been identified; however, the importance of characterising particle properties other than mass concentration, like chemical composition, particle size, and number concentration, has been emphasised. Furthermore, there is a lack of knowledge on particle properties, including health aspects, in relation to the different phases of the combustion cycle and type of combustion device.

Thus, more data are needed in order to provide a more precise characterisation of wood smoke PM in Denmark as well as a more precise characterisation of the wood smoke composition in general.

### 9.2 Exposure assessment

At present, the population exposure to wood smoke PM in Denmark cannot be estimated precisely as only few measurements have been conducted in selected residential areas with different kinds of heating.

Thus, more studies are needed in order to provide a more precise assessment of the population exposure to wood smoke PM in Denmark. This pertains both to a better description of exposure in areas where wood burning is dominant as well as exposure in areas more distant from the source.

In such studies, measurements of chemical markers for wood smoke such as e.g., levoglucosan could be relevant in order to evaluate the contribution of wood smoke PM to the ambient general PM.

Since people spend a majority of their time indoors, it is important to investigate indoor exposure to wood smoke particles in order to evaluate the integrated exposure from both in-house combustion devices and background levels.

### 9.3 Hazard assessment / risk characterisation

A number of epidemiological studies, which have evaluated adverse health effects from ambient air pollution in relation to residential wood combustion, indicate an increased risk of experiencing adverse health effects in the respiratory tract from exposure to wood smoke PM. However, no

epidemiological data on wood smoke exposure in relation to cardio-vascular diseases, or overall mortality are available. In addition, the available epidemiological studies do not provide a sufficient basis in order to evaluate the lung cancer risk due to exposure to wood smoke PM.

Precise characterisations of specific dose-response relationships for the adverse health effects associated with exposure to wood smoke PM cannot be performed based on the available epidemiological studies predominantly because of the uncertainties about the actual contribution of wood smoke PM to ambient PM in general. Similarly, whether differences exist for dose-response relationships for wood smoke PM compared to the known dose-response relationships for ambient PM in general cannot be assessed.

Thus, more data are needed in order to provide a more precise assessment of long-term exposure of wood smoke exposure on morbidity and mortality, including a more precise characterisation of specific dose-response relationships for the various adverse health effects.

It might thus be relevant to investigate the relationship between exposure to wood smoke PM in a specific residential area with many wood stoves and the health outcomes in the population living in this specific residential area.

#### 9.4 Mode of action

The underlying mechanisms of particle toxicity are not fully understood; however, the understanding of effects on the respiratory and cardiovascular system and on tumour development has improved during the last years.

Thus, there is still a need for further experimental studies aiming at understanding the effects and underlying mechanisms of wood smoke exposure in order to assess human health risks.

#### 9.5 Recommendations

More information is needed for a more precise health impact assessment of wood smoke PM in Denmark, particularly in relation to assess the exposure to wood smoke PM in the general population as well as to assess the long-term exposure of wood smoke PM on morbidity and mortality including a characterisation of the specific dose-response relationships for the various adverse health effects related to wood smoke PM exposure.

It might thus be recommended to conduct one or more studies in a selected residential area with many wood stoves aiming at:

- Assess the exposure to wood smoke PM in the population living in the selected residential area, including measurements of chemical markers for wood smoke in order to evaluate the contribution of wood smoke PM to the ambient general PM in the selected residential area
- Characterise wood smoke PM as well as wood smoke composition in the selected residential area
- Investigate indoor exposure to wood smoke
- Investigate the relationship between exposure to wood smoke PM and the health outcomes in the population living in the selected residential

area, including a characterisation of the specific dose-response relationships for the various adverse health effects related to wood smoke PM exposure

In addition, there is still a need for further experimental studies aiming at:

- Understanding the effects and underlying mechanisms of wood smoke exposure in order to evaluate the implication in relation to human health risks
- Investigate chemical and physical particle properties as well as health effects, in relation to the different phases of the combustion cycle and type of combustion device including modern stoves.



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