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1 **Wind-induced single-sided natural ventilation in buildings near a long street canyon: CFD**
2 **evaluation of street configuration and envelope design**

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15
16 **Abstract:** Wind-induced single-sided natural ventilation in buildings was widely investigated based
17 on isolated buildings. However, owing to the presence of surrounding buildings, the wind flow pattern
18 around a building in an urban area becomes very different from that around an isolated building.
19 Considering an urban context, this study investigates the wind-induced single-sided natural ventilation
20 in buildings near a long street canyon under a perpendicular wind direction using CFD method. Four
21 aspect ratios (AR) of the street canyon, from 1.0, 2.0, 4.0 to 6.0, are investigated to examine the
22 influence of street configuration, while eight envelope features are compared to explore the possibility
23 of envelope design in improving natural ventilation performance of urban buildings. Ventilation rate
24 of rooms in buildings is particularly analyzed. AR influences ventilation rate and its distribution
25 among rooms along height of buildings. The percentage decrease of ventilation rate of buildings
26 reaches 67% when AR of a street canyon is increased from 1.0 to 6.0. Envelope design provides a
27 possibility to enhance the adaptability of buildings to dense urban environments. A good envelope
28 design, such as a horizontal feature at the middle of an opening, can break effectively the along-facade
29 flow and thus create a large pressure difference to drive ventilation. The findings of this study are
30 intended to increase the understanding of natural ventilation performance in urban buildings and thus
31 provide information for urban planning and building design.

32 **Keywords:** Natural ventilation, urban environment, street canyon, envelope design, CFD simulation

33
34 **1. Introduction**

35 Natural ventilation is widely existed in urban buildings intentionally or unintentionally. It is
36 intentionally designed to create a healthy and thermally comfortable indoor environment by utilizing
37 optimally the driving force of wind and buoyancy effects, when the outdoor microclimate environment
38 is desirable. In many buildings including particularly residential buildings, window(s) are not
39 necessarily opened for obtaining natural ventilation, but natural ventilation is certainly formed in such
40 situations. Regardless of intentions, the wide existence of natural ventilation in urban buildings is
41 worthy of a special attention, considering that the indoor environmental quality in these naturally
42 ventilated buildings is strongly influenced by their nearby urban microclimate (Ai and Mak, 2015).

43 Compared to cross natural ventilation, single-sided natural ventilation is much more common in
44 buildings, especially in densely populated urban areas where many rooms are characterized by a single
45 window and a closed door. While buoyancy effect is an important driving force of natural ventilation
46 in buildings where there are large differences in both indoor/outdoor air temperature and vertical
47 distance of intake and exhaust openings, wind effect is normally the dominated driving force for
48 natural ventilation in most buildings like residential buildings. Wind-driven single-sided natural

1 ventilation is a complex process that is influenced by the turbulent nature of the approaching wind and
2 the bi-directional airflow interaction at the opening (Haghighat et al., 1991, 2000; Linden, 1999; Ai
3 and Mak, 2014a). Single-sided natural ventilation can be predicted by empirical models (Warren, 1977;
4 Phaff and De Gids, 1982; Larsen and Heiselberg, 2008; Wang and Chen, 2012), experimental
5 measurements (Caciolo et al., 2011; Dascalaki et al., 1996; Karava et al., 2011), and computational
6 fluid dynamics (CFD) models (Jiang and Chen, 2001; Caciolo et al., 2012; Ai et al., 2013; 2016; van
7 Hooff and Blocken, 2010). Compared with the first two approaches, CFD simulation has some
8 advantages for the study of single-sided natural ventilation that involves coupled urban wind flow and
9 indoor air flow (van Hooff and Blocken, 2010; Blocken and Gualtieri, 2012; Ai and Mak, 2014a).
10 These previous studies are very useful in revealing basic flow behaviours, examining parameters and
11 validating CFD models, which, however, are mostly limited to isolated buildings, such as a single-
12 room building (e.g., Jiang et al., 2001; Straw, 2000; Ai and Mak, 2014a) and a multiple-room building
13 (e.g., Ai et al., 2013; Ai and Mak, 2016).

14 Given that few buildings in urban areas can be regarded as isolated buildings, the urban
15 microclimate would directly influence the natural ventilation in buildings. Studies in urban physics
16 and wind engineering indicate that wind speed in a street canyon flanked by buildings is decreased
17 significantly compared to that above the canyon (Oke, 1987; HKPD, 2005; Georgakis and
18 Santamouris, 2006). Depending on aspect ratio (AR, ratio of the mean building height to the street
19 width) (Oke, 1987; Li et al., 2006; Ai and Mak, 2015), flow pattern in a street canyon can be
20 categorized into three regimes: isolated roughness flow ($AP < 0.3-0.4$), wake interference flow ($0.3-$
21 $0.4 < AR < 0.65-0.7$) and skimming flow ($AR > 0.65-0.7$). The study of atmospheric processes in
22 skimming-flow street canyons were paid particular attentions, as they are considered to be with the
23 worst flow and dispersion conditions when compared to those in lower AR streets. Review of on-site
24 measurements (Georgakis and Santamouris, 2006; Andreou and Axarli, 2012; Nakamura and Oke,
25 1988; Santamouris et al., 1999; Manning et al., 2000; Buller, 1976; Niachou et al., 2008; Kitous et al.,
26 2012) of wind speeds inside and outside (mostly above) street canyons by Ai and Mak (2015) suggests
27 that, depending on AR, the ratio of wind speed inside a canyon to that outside the canyon ranges
28 mostly between 10% and 30%. The review (Ai and Mak, 2015) also shows that wind direction in
29 vicinity of a building near a street canyon is dominated by the along canyon flow combined with
30 upward and downward movements, while the normal-to-facade flows are very weak. The decreased
31 wind speeds and substantially changed flow patterns inside street canyons would influence (mostly
32 lower) wind-induced pressure difference for natural ventilation in buildings, which thus highlight the
33 importance of taking into account urban context in natural ventilation studies.

34 On-site measurement of natural ventilation rate (Georgakis and Santamouris, 2006; Gilkeson et al.,
35 2013; Li et al., 2014; Santamouris et al., 2008) is a useful method to reveal the real-life ventilation
36 performance of naturally ventilated buildings in urban areas. However, since the natural ventilation
37 rate is influence by many factors, such as wind speed and direction, opening configuration,
38 surrounding characteristics and floor location in a building, these measured results vary significantly
39 between different cases and over time, which are thus cannot provide a general view on the influence
40 of surrounding buildings on natural ventilation performance. A few studies examined the natural
41 ventilation performance in buildings when considering the influence of surrounding buildings, which
42 show that the wind speed near building facades could be lowered by up to 86.8% (Gao and Lee, 2012)
43 and the natural ventilation performance in urban buildings could drop by up to 96% (van Hooff and
44 Blocken, 2010; Georgakis and Santamouris, 2006) when compared to isolated buildings. These
45 findings are, however, case dependent and again may not be applicable to a different situation.

46 In general, current understanding of natural ventilation in urban buildings is far from sufficient, and
47 there is still a strong need to provide a systematical investigation using a general urban geometry.
48 From both street configuration and envelope design perspectives, the objective of this study is to

1 investigate the wind-induced single-sided natural ventilation in urban buildings. A long street canyon
2 flanked by two buildings is considered, while four AR values are investigated, including 1.0, 2.0, 4.0
3 and 6.0, which all correspond to the aforementioned skimming flow regime (Oke, 1987; Ai and Mak,
4 2015). Based on the four AR values, eight envelope features are examined to explore the possibility of
5 improving the natural ventilation performance of urban buildings through envelope design. Each of the
6 two buildings contains 11 floors and 23 rooms on each floor. As the street canyon is considered to be
7 ideally infinitely long, only the rooms located on the vertical centres are created for investigation.
8 Ventilation performance of rooms is particularly evaluated using air change rate per hour (ACH). CFD
9 simulations are conducted and steady-state results are obtained by solving the Reynolds-Averaged
10 Navier-Stokes (RANS) equations using the Renormalization group (RNG) $k-\varepsilon$ turbulence model.
11 The rationality and limitation of such steady-state simulations are discussed in Section 5. CFD model
12 is validated first to ensure its reliability (Section 2). Section 3 describes in detail the investigated street
13 canyons, buildings and envelope features as well as computational settings. Section 4 presents results
14 and analyses, Section 5 discussions and Section 6 conclusions.

15

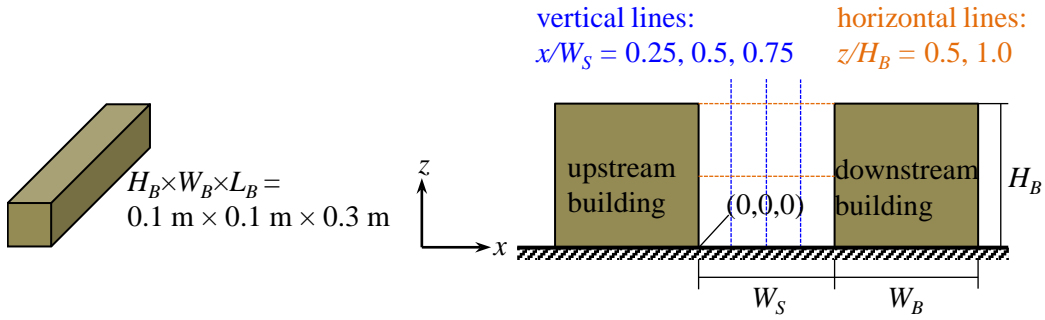
16 **2. CFD simulations: model validation**

17 Model validation against experimental data is a basic requirement to ensure the reliability of any
18 CFD simulations. Natural ventilation in buildings near a street canyon investigated in this study
19 involves two elementary flow problems: (a) single-sided natural ventilation that includes a coupled
20 indoor and outdoor flow field, and (b) street canyon flow that includes the interaction between the
21 flows inside and above the street canyon. It is necessary to validate the two elementary flow problems.
22 However, an experiment involving both the two flow problems is rarely found in previous literature.
23 In this study, the two flow problems were validated separately using different experiments. First, for
24 single-sided natural ventilation in buildings, a wind tunnel experiment by Jiang et al. (2003) and a
25 field experiment by Dascalaki et al. (1996) were employed to conduct validations. Detailed validation
26 processes and comparisons between the simulated results and the experimental data can be found in
27 our previous papers (Ai et al., 2013; Ai and Mak, 2014a,b). In general, both the predicted flow field
28 (Jiang et al., 2003; Ai et al., 2013; Ai and Mak, 2014a) and ACH value (Dascalaki et al., 1996; Ai and
29 Mak, 2014a) show an acceptable agreement with the measured data. These comparisons justified the
30 use of our CFD model in the prediction of single-sided natural ventilation in buildings. Second, for
31 street canyon flow, a water tunnel experiment by Li et al. (2008a) was used. The detailed description
32 of this validation is presented in the following Sections 2.1-2.3.

33 **2.1. Validation of street canyon flow**

34 Li et al. (2008a) conducted a water tunnel ($L_T \times W_T \times H_T$: 10 m \times 0.3 m \times 0.5 m) experiment to
35 measure the flow field inside a street canyon. Two types of street canyons of AR (H_B/W_S) equal to
36 1.0 and 2.0 were investigated, which were formed by eight and ten identical building models ($L_B \times W_B$
37 $\times H_B$: 0.3 m \times 0.1 m \times 0.1 m), respectively. The water flow approaches the street canyons in a
38 perpendicular direction (Figure 1 (b)). The height of the buildings was fixed at $H_B = 0.1$ m, while the
39 width of the street canyons W_S was varied to form the two AR values. The depth of water in the two
40 sets of experiments was fixed at 0.4 m. The Reynolds number based on the reference water speed
41 (U_{ref}) in freestream at $z = 0.3$ m and the building height was 12,000, implying that U_{ref} was equal
42 to 1.8 m/s. No roughness elements on the tunnel ground were considered. Velocity components in the
43 streamwise and vertical directions along three vertical lines and two horizontal lines on the vertical
44 centerplane ($y = 0$) of the target street canyon (see Figure 1 (a)) were measured using a two-colour
45 laser Doppler anemometer (LDA).

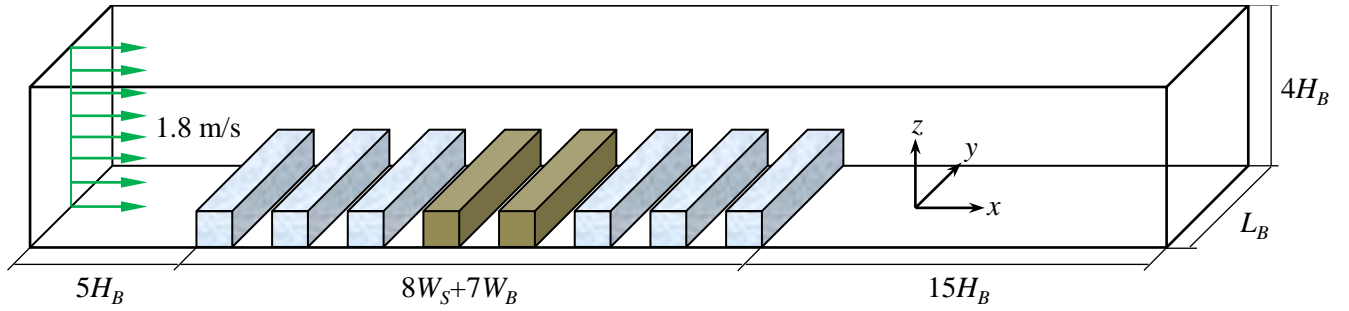
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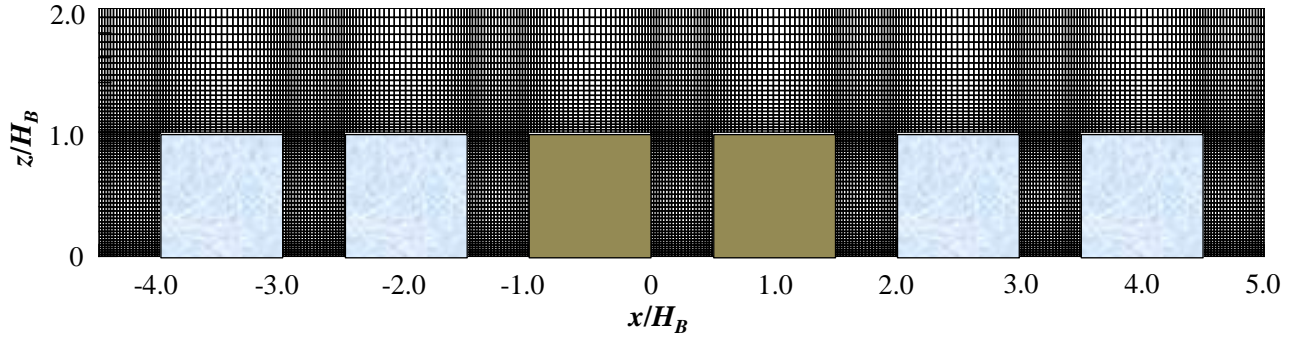
(a) building model and the vertical centerplane ($y = 0$) of the target street canyon model



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(b) computational domain



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(c) mesh information on part of vertical centerplane (AR = 2)

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Figure 1 The street canyon model, computational domain and mesh information.

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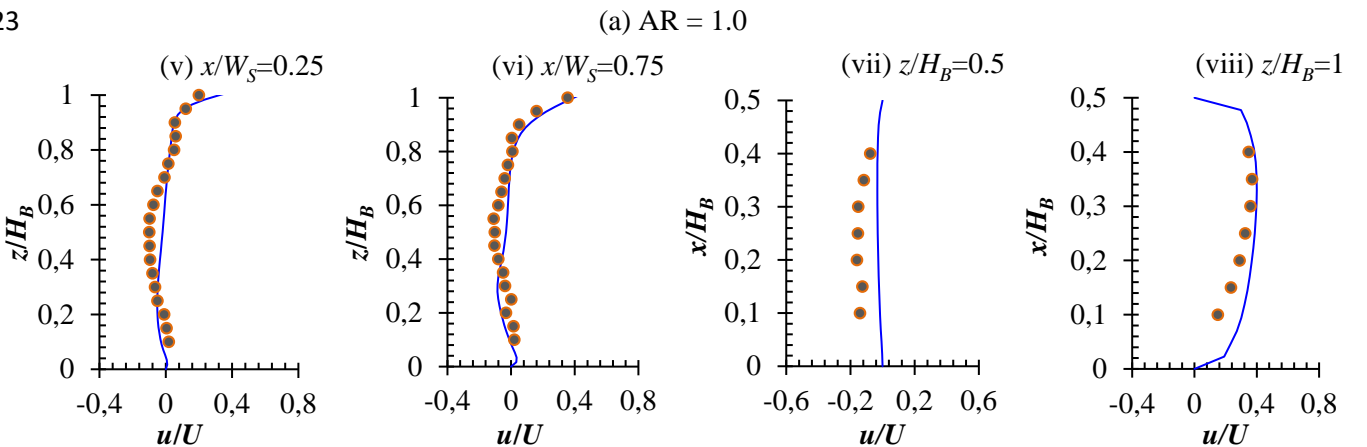
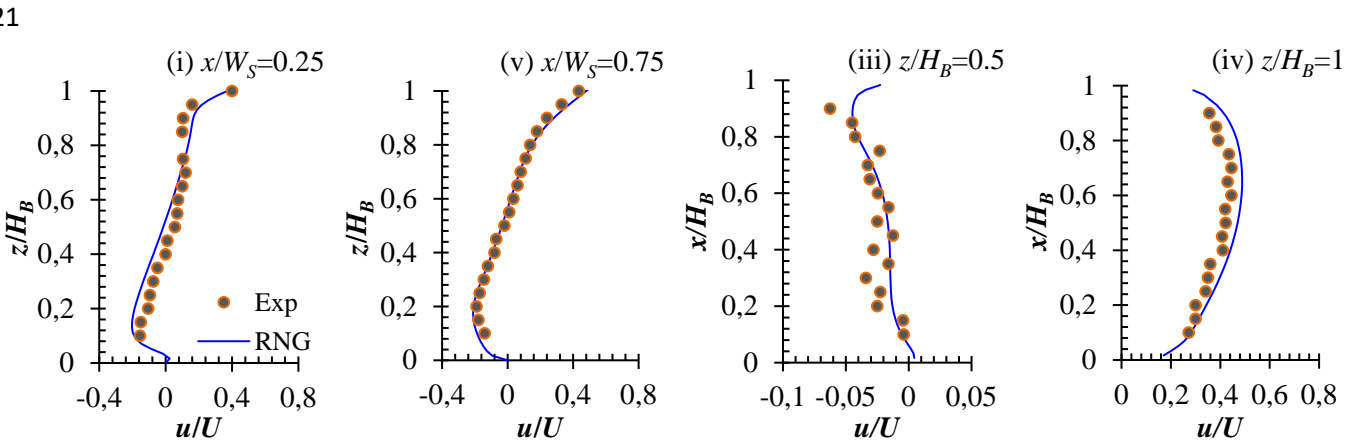
10 The building model and street canyon model used in CFD simulations are the same with those in
 11 the experiments (see Figure 1 (a) and (b)). Computational domain and its dimensions (see Figure 1 (b))
 12 are selected based on the existing best practice guidelines for CFD simulation of urban aerodynamics
 13 (Franke et al., 2007; Tominaga et al., 2008), except that the height and lateral length of the domain
 14 follow those in the experiments. The whole computational domain is constructed using structured
 15 hexahedral cells (see Figure 1 (c)). After a grid sensitivity test, two grids, with 3,168,000 and
 16 2,880,000 cells in total, are eventually used for the cases of AR = 1.0 and 2.0, respectively. The height
 17 of the first cells near the ground and walls is 1.665 mm, yielding the y^+ values at these first cells
 18 ranging between 0 and 15, with an average value equal to 5.3. Large y^+ values appear only at the top
 19 corners of the windward facades.

20 Same with the experiments, a uniform wind speed at 1.8 m/s is defined at the inlet of the
 21 computational domain. After a sensitivity analysis of turbulence characteristics of the inflow against
 22 experimental data of velocity field, a turbulent intensity of 5% and a turbulent length scale of 0.35 m
 23 are imposed for the inflow. At the domain outlet, pressure outlet with zero static pressure is specified.

1 Zero normal velocity and zero normal gradients of all variables are defined at the lateral sides and the
 2 top of the domain. The domain ground and the building surfaces are imposed as non-slip walls.

3 ANSYS Fluent 13.0.0 (Fluent, 2010) is employed to conduct the CFD simulations. A steady-state
 4 two-equation RANS model, namely RNG $k-\varepsilon$ model (Yakhot and Orszag, 1986), is used to predict
 5 the flow and turbulence fields. RNG $k-\varepsilon$ model is selected due to its good performance in predicting
 6 flow in and around buildings (Tominaga and Stathopoulos, 2009; Ai et al., 2013). A two-layer model
 7 (Wolfshtein, 1969) and standard wall functions are combined to treat the near-wall regions. SIMPLEC
 8 algorithm is used for coupling pressure and momentum equations. The second-order schemes are used
 9 to discrete the convection and diffusion terms. Convergence is achieved when all scaled residuals are
 10 less than 10^{-5} and the average wind speeds at important locations within the street canyon are stable for
 11 over 50 iterations.

12 Figure 2 shows the velocity component in x direction along some vertical and horizontal lines on
 13 the vertical centerplane of the target street canyon. In general, the CFD predictions show a good
 14 agreement with the experimental data, with the average relative deviation being less than 20%. It
 15 seems that this relative deviation is large. However, most of these velocity magnitudes are around zero,
 16 at which the error of anemometers should be in the order of 20%. Some relatively large discrepancies
 17 appear at the horizontal lines when $AR = 2.0$. The experimenters (Li et al., 2008a) also reported such
 18 levels of discrepancies between experimental data and simulated results. Overall, the CFD method
 19 used in this study including the turbulence model selected (namely, RNG $k-\varepsilon$ model) can predict
 20 acceptably the flow field in the street canyon, which justifies the use of it in the rest of this paper.



25 (b) $AR = 2.0$

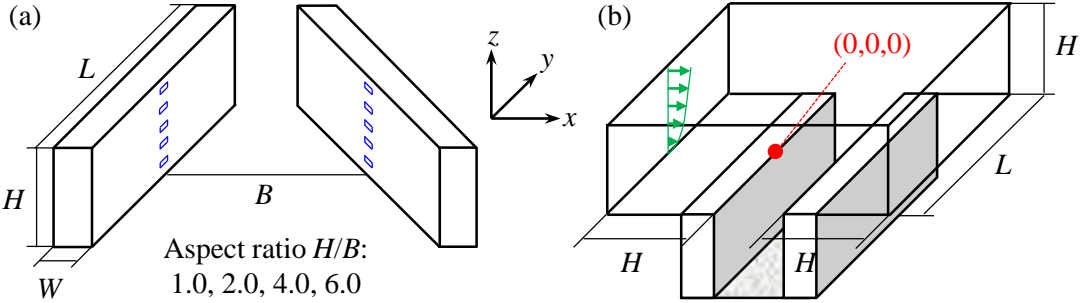
26 **Figure 2** Velocity components in x and z directions along two vertical and two horizontal lines on the
 27 vertical centerplane of the target street canyon.

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3. CFD simulations: geometry and computational settings

3.1 Computational geometry, domain and grid

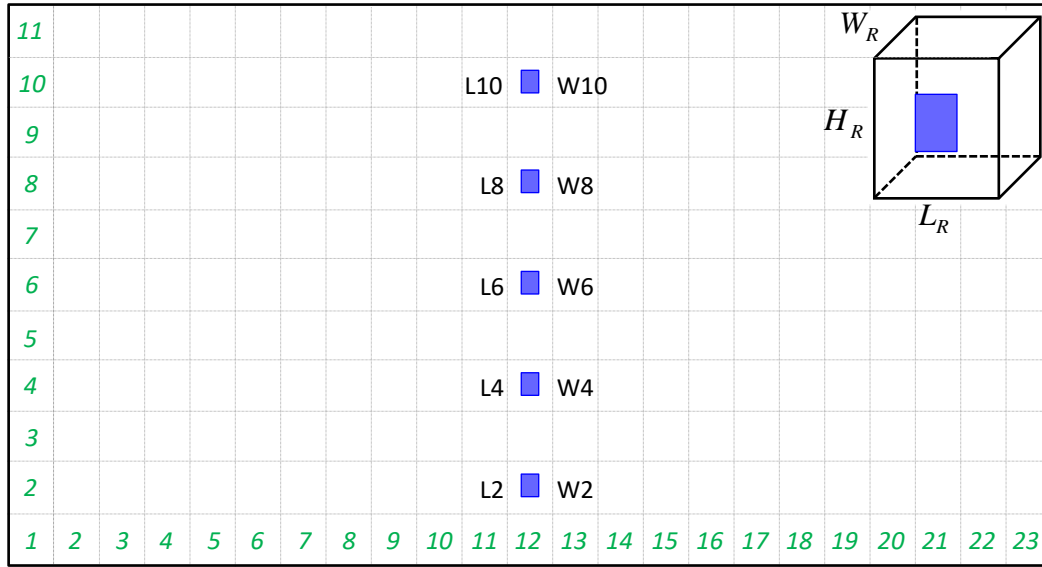
A street canyon model formed by two parallel slab-like buildings is investigated in this study (see Figure 3 (a)). Four aspect ratios (H/B), namely 1.0, 2.0, 4.0 and 6.0, are considered, which all belong to the skimming flow regime (Oke, 1987, Ai and Mak, 2015). The height of buildings (H) remains constant, while the width of the street canyon (B) is varied to form different AR values. The street canyon is included into a T-shape computational domain (see Figure 3 (b)). This T-shape computational domain configuration and its dimensions are selected, because many previous studies employed such a T-shape computational domain to investigate the atmospheric flow and related processes in a street canyon (e.g., Kim and Baik, 2001; Liu et al., 2004; Xie et al., 2006; Li et al., 2008b; Kumar et al., 2009; Hu et al., 2009; Moonen et al., 2011; Zhang et al., 2011; Baik et al., 2012; Kwak et al., 2013; Allegrini et al., 2014; Madalozzo et al., 2014), among which there are quite a few (e.g., Liu et al., 2004; Li et al., 2008b; Zhang et al., 2011) used such domain dimensions as shown in Figure 3 (b).



18
19 **Figure 3** Schematic view of the street canyon model (a) and computational domain (b); note that the
20 two buildings are parallel with each other.
21

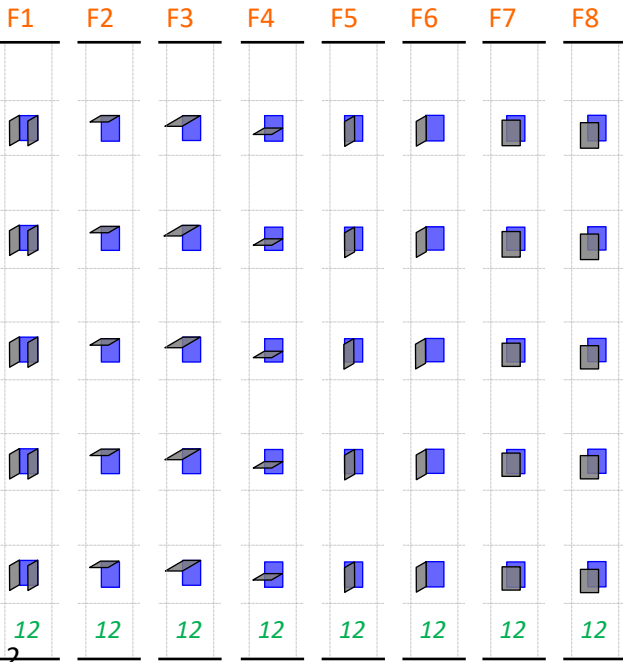
22 The dimensions of each building are 55.2 m \times 29.7 m \times 6.2 m ($L \times H \times W$). Considering that the
23 dimensions of a single room are 2.4 m \times 2.7 m \times 3.1 m ($L_R \times H_R \times W_R$), the building models contain
24 23 \times 11 rooms on both windward and leeward sides (see Figure 4 (a)). The room dimensions are the
25 same with those measured in a real building in Hong Kong (Niu and Tung, 2008; Ai et al., 2013; Ai
26 and Mak, 2016). The selection of the building models is made in compromise between computational
27 cost and the expectation of revealing natural ventilation conditions in buildings near street canyon. In
28 this study, only single-sided natural ventilation is considered, as it is the most common natural
29 ventilation mode in buildings in densely populated urban areas including Hong Kong. Five rooms on
30 the second, fourth, sixth, eighth and tenth floors, respectively, at the horizontal centres of the leeward
31 facade of the upstream building and the windward facade of the downstream building are investigated
32 (see Figure 3 (a) and Figure 4 (a)). Depending on the building facade and floor where a room is
33 located, the rooms are named (see Figure 4 (a)). Apart from this case with flat building facades, eight
34 more cases with protrusive envelope features (F1-F8) are considered to examine their influence on
35 natural ventilation performance in urban buildings (see Figure 4 (b)). The dimensions of the openings
36 and envelope features are shown in Figure 4 (c).
37

(a) Building facade, room location and name of rooms



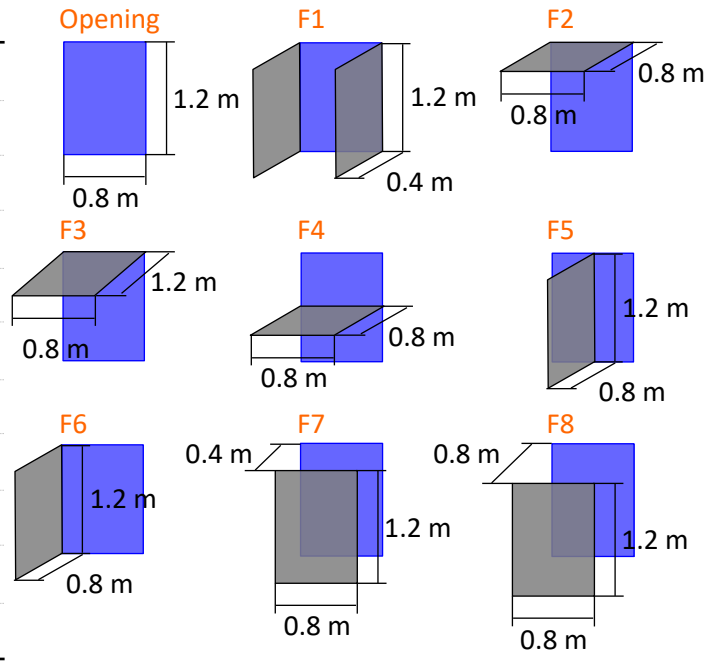
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(b) Envelope features on part of facade



2

(c) Dimensions of opening and envelope features



3 **Figure 4** Details of building models of the street canyon, where single-sided naturally ventilated
 4 rooms are created along the building center; the ‘L’ and ‘W’ in (a) indicate the leeward facade of the
 5 upstream building and windward facade of the downstream building, respectively.

6

7 The street canyon is simulated as a 1:15 reduced-scale model, considering that a small model can
 8 save computational cost (Ai and Mak, 2014b). With such a reduced-scale model, Reynolds (Re)
 9 number independence (Snyder, 1981) must be obeyed. The Re number based on the wind speed and
 10 building height in the present study is around 2.4×10^5 , which is sufficiently high to allow an
 11 independence of Re number (Snyder, 1981). A high-quality and high-resolution grid near the
 12 openings and envelope features is very important for the accurate prediction of the interaction of
 13 outdoor flow in the street canyon and indoor flow in the buildings. In this study, hexahedral cells are
 14 used to construct the whole computational domain for all cases. A full control over the grid resolution,
 15 grid stretching ratio, cell volume skewness and aspect ratios is made. As a result of grid sensitivity test

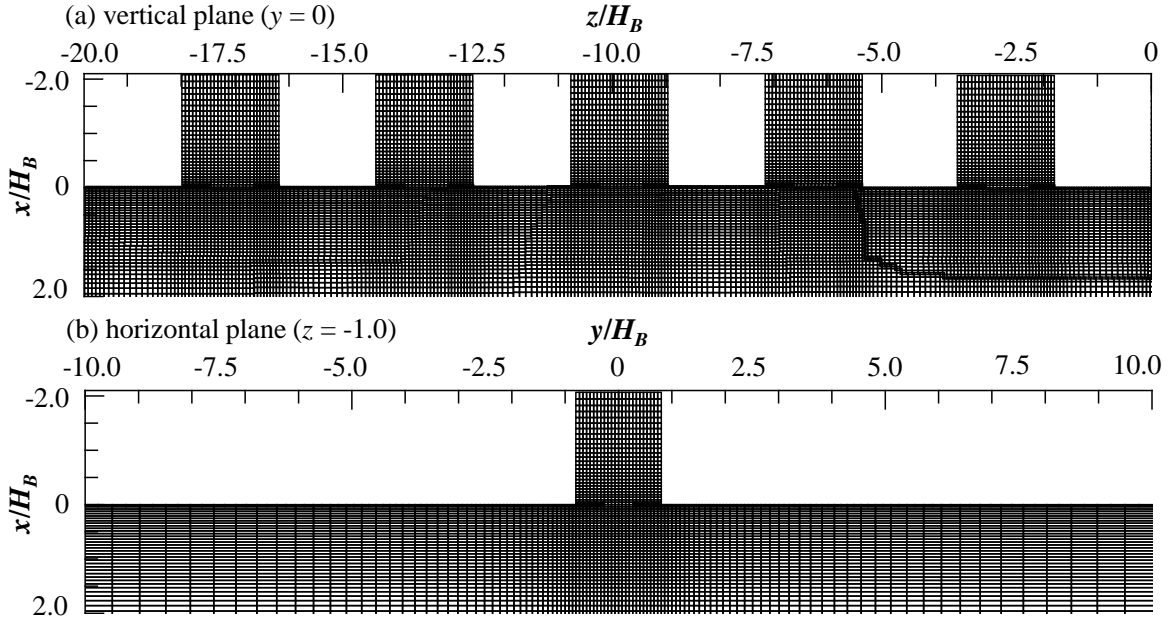
1 (as described in Section 3.3), the number of cells used eventually for the baseline cases (namely,
 2 without envelope features) are summarized in Table 1. For a certain AR value, the number of cells for
 3 cases with envelope features is higher than that for the baseline case. Figure 5 presents the mesh
 4 information on a vertical and a horizontal plane across a building of the street canyon. Detailed
 5 description of the grid quality is presented in Section 3.3.

6

7 **Table 1** A summary of the number of cells used for the baseline cases without envelope features.

AR	1.0	2.0	4.0	6.0
No. of cells	6,637,568	5,813,248	4,988,928	4,164,608

8



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11 **Figure 5** Mesh information on a vertical and a horizontal plane across a building of the street canyon;
 12 please see Figure 3 for the location of the planes.

13

14 3.2 Boundary conditions and solver settings

15

16 The streamwise wind speed profile at the domain inlet is defined using a logarithmic form as
 17 shown in Eq. (1), where the von Karman constant $\mathcal{K}=0.4187$ and the aerodynamic roughness height
 18 $z_0 = 0.001$ m. Such a roughness height corresponds to a flat terrain (Wieringa, 1992) and thus a thin
 19 boundary layer, which is selected for building tops essentially because of two reasons. First, the
 20 building top is assumed to be relatively flat, which should have a very small roughness height
 21 compared to the general roughness height on the urban ground, where buildings, trees and other
 22 constructions represent roughness. Second, a small roughness height allows a fine near-wall mesh
 23 density, which is important for accurately predicting the near-wall flow field. In this study, the
 24 reference velocity (U_{ref}) at the height of $z_{ref} = 2H$ are $U_{ref} = 4.2$ m/s and $z_{ref} = 59.4$ m. Note that
 25 this reference velocity is derived from Eq. (1) based on the yearly averaged mean wind speed at the
 26 height of 10 m (U_{10}) recorded at Hong Kong observatory, which is located in a roughly open country
 27 with $z_0 = 0.1$ m, according to the roughness classification by Wieringa (1992). Based on the values of
 28 \mathcal{K} , z_0 , U_{ref} and z_{ref} , the friction velocity of atmospheric flow above the building tops (u^*) can be
 29 obtained from Eq. (1), which is 0.17 m/s. The turbulent kinetic energy k is calculated from the mean
 wind speed profile U in Eq. (1) and the streamwise turbulence intensity I_u using the correlation,

1 $k(z)=1.5 \cdot (I_u(z) \cdot U(z))^2$, which is finally fitted into Eq. (2) to ensure homogeneity along
2 computational domain (Ai and Mak, 2013). The streamwise turbulence intensity I_u is defined as 15%
3 above the building top, which is similar with that above a ground with such a roughness condition.
4 Eventually, the model coefficients in Eqs (2) and (3) are $M_1 = 0.0344$ and $M_2 = 0.23747$. The
5 empirical constant C_μ is determined by Eq. (4) and the turbulence dissipation Prandtl number σ_ε by
6 Eq. (5) (Ai and Mak, 2013). The term z_p in Eq. (4) represents the distance between the geometrical
7 center of the first cells and their nearest walls. On the domain ground and building facades, the two-
8 layer model with roughness modifications is used (Wolfshtein, 1969; Ai and Mak, 2013). The
9 geometrical roughness height on the domain ground is $K_S = 0.01$ m, according to the relation of
10 $K_S = 9.793z_0/C_S$ and $C_S = 0.9793$ (Blocken et al., 2007).

11 The boundary conditions for domain outlet, lateral sides and top as well as for building surfaces are
12 identical to those used in the validation study. In addition, the solver settings are the same with those
13 used in the validation study. In order to examine the achievement of horizontal homogeneity, or the
14 extent of unintended streamwise gradients, CFD simulation in an empty computational domain is
15 performed using the above described computational settings. Figure 6 presents the vertical profiles of
16 U , k and ε at both the inlet and the location of interest (where the street canyon is located), which
17 indicates that the horizontal homogeneity for both velocity and turbulent profiles is generally achieved
18 along the computational domain.

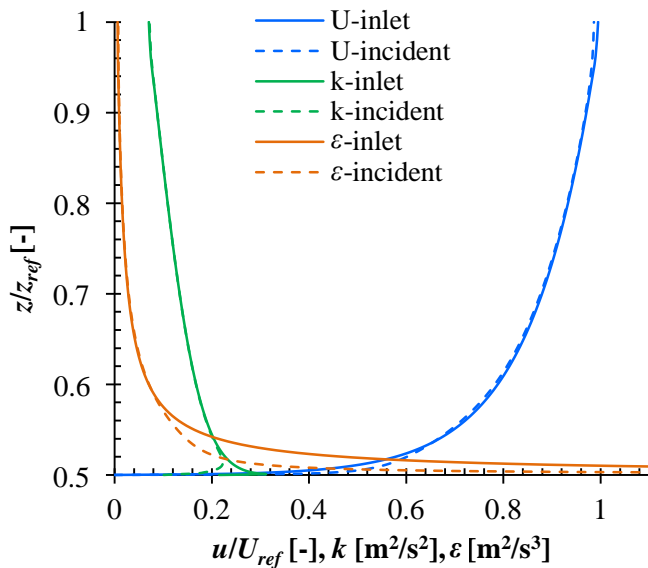
$$19 \quad U = \frac{u^*}{\kappa} \ln \left(\frac{z + z_0}{z_0} \right) \quad (1)$$

$$20 \quad k = \sqrt{M_1 \cdot \ln(z + z_0) + M_2} \quad (2)$$

$$21 \quad \varepsilon = \frac{u^* \sqrt{C_\mu}}{\kappa(z + z_0)} \sqrt{M_1 \cdot \ln(z + z_0) + M_2} \quad (3)$$

$$22 \quad C_\mu = \frac{u^{*4}}{\sqrt{M_1 \cdot \ln(z_p + z_0) + M_2}} \quad (4)$$

$$23 \quad \sigma_\varepsilon = \frac{\kappa^2 (k^2 - M_1 / 2)}{u^{*2} (M_2 - M_1) k} \quad (5)$$



24

1 **Figure 6** Horizontal homogeneity analysis: comparison of inlet and incident profiles in the
 2 atmospheric boundary layer of an empty domain.

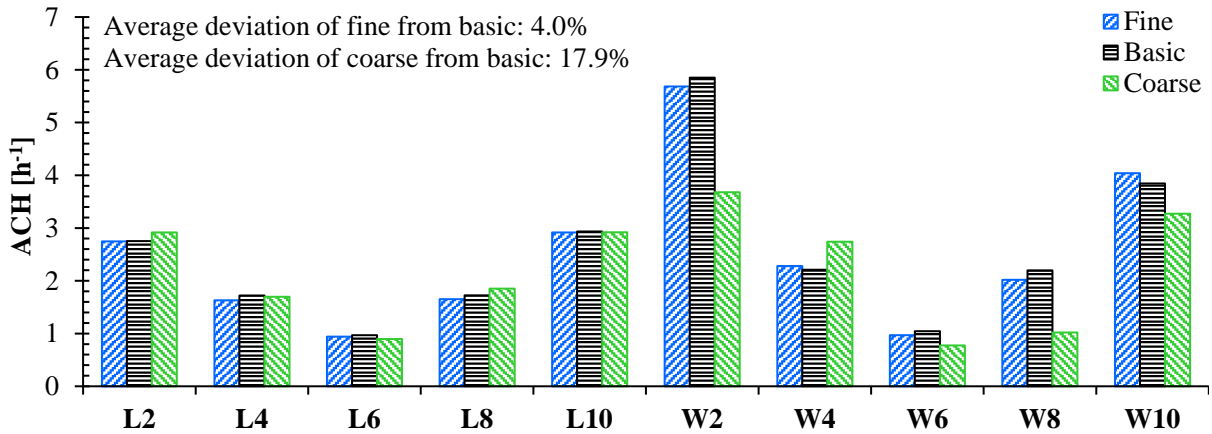
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 4 **3.3 Grid sensitivity test**

5 Grid sensitivity test is performed for the baseline street configuration of AR = 1.0 to ensure the
 6 independence of numerical solutions from the cell number. Three grids, namely a coarse grid, a basic
 7 grid and a fine grid are constructed, where the latter two grids are obtained by refining the coarse grid
 8 with a factor of approximately 1.2. Detailed information of the three grids is summarized in Table 2.
 9 In general, all of the three grids are high-resolution ($y^+ < 30.0$), with the near-wall boundary layer
 10 being meshed and thus resolved. Numerical solutions predicted by the three grids are compared.
 11 Figure 7 presents the ACH values of the rooms given by the three grids. Note that the calculation
 12 method of the ACH value is described by Eq. (6) at the beginning of Section 4. It shows that the
 13 results predicted by the basic grid are very close to those predicted by the fine grid, with a mean
 14 deviation of 4.0%, whereas such a deviation is 17.9% between the coarse and basic grids. This
 15 comparison suggests that the basic grid is sufficiently fine to obtain accurate results, which is thus
 16 used in this study. The grid arrangement, particularly the resolution and stretching ratio, for other
 17 street configurations of AR = 2.0, 4.0 and 6.0 is the same with this for the case of AR = 1.0.

18
 19 **Table 2** A summary of the three grids used for grid sensitivity test.

Grid type	No. of cells	Minimum length (m)	Minimum volume (m ³)	Mean y^+ on building facades and their ranges
Fine	11,914,840	1.7×10^{-3}	9.7×10^{-9}	3.8 (0; 9.0]
Basic	6,637,568	2.0×10^{-3}	1.9×10^{-8}	4.5 (0; 10.5]
Coarse	3,847,608	5.7×10^{-3}	2.4×10^{-7}	11.0 (0; 24.0]

20



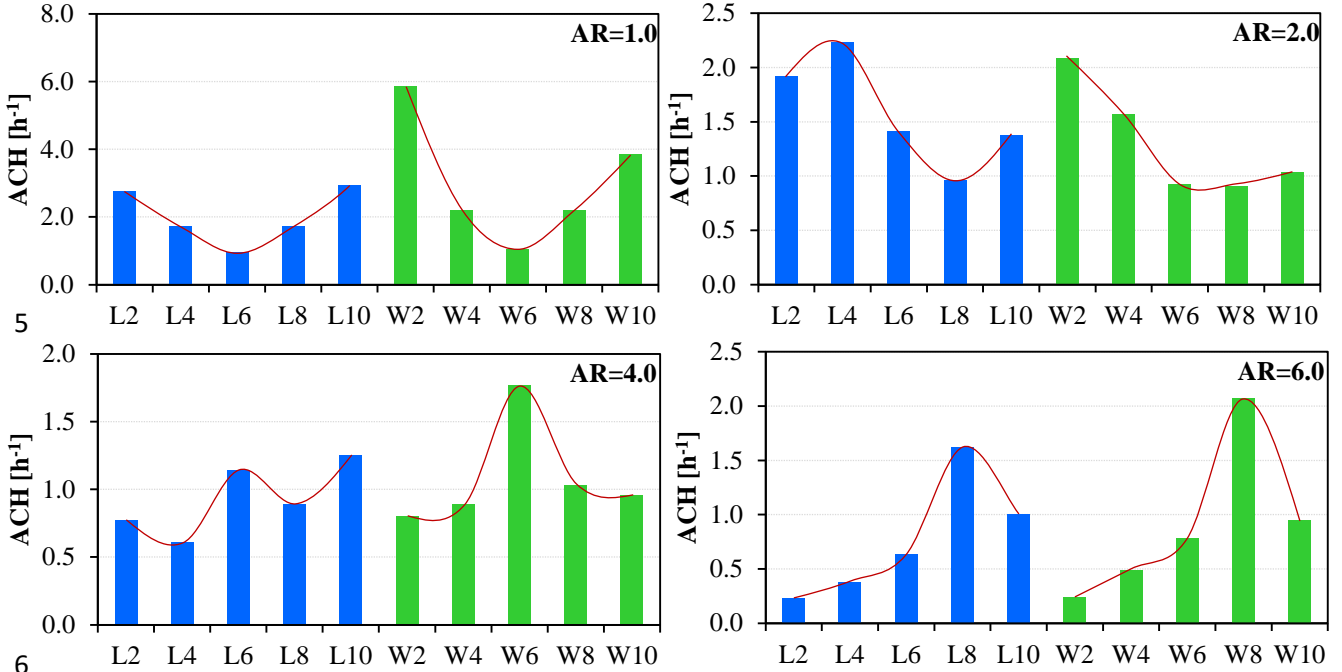
21
 22 **Figure 7** Grid sensitivity test: comparison of mean ACH values of the ten rooms predicted using the
 23 three types of grids.

24
 25 **4. CFD simulations: results and analysis**

26 The ventilation rate is almost the most important parameter used to evaluate natural ventilation
 27 performance of buildings. Calculation of the single-sided ventilation rate can be made based on either
 28 mean-velocity-based integral method or concentration-based tracer gas method (Jiang et al., 2003; Ai
 29 and Mak, 2014a). This study employs the integral method, which integrates the mean velocities on an
 30 opening that are extracted from a time-averaged flow field generated by the steady RANS simulations:

1
$$Q_{mean} = \frac{1}{2} \sum_{m=1}^M \sum_{n=1}^N |U_{m,n}| \Delta y_m \Delta z_n \quad (6)$$

2 where Q_{mean} is mean ventilation rate, $U_{m,n}$ mean velocity at a cell (m, n), and Δy_m and Δz_n
 3 dimensions of the cell. The ACH value can be then obtained by: $ACH = Q_{mean} / V_{room}$, in which V_{room}
 4 is the volume of a room.



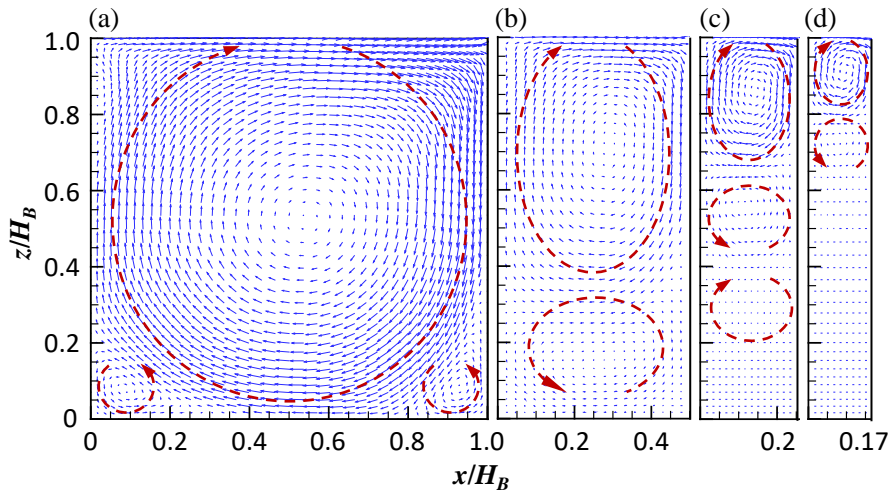
5
6
7 **Figure 8** ACH values of rooms in buildings near the street canyon under different aspect ratios.

8
9 **4.1 Influence of aspect ratio (no feature)**

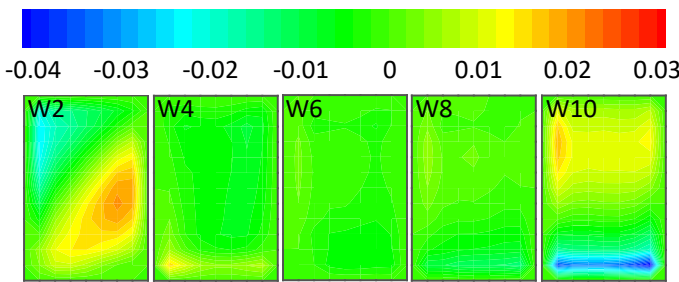
10 Figure 8 presents the ACH values of rooms at both leeward and windward sides of the street canyon under
 11 different aspect ratios. It is obvious that the ACH values along height are not uniformly distributed. For AR =
 12 1.0, the rooms located on the lowest floor and the top most floor show the best ventilation performance. This
 13 distribution of ACH values is similar with that observed on an isolated building (Ai et al., 2013). The locations
 14 of the rooms that have the best ventilation performance shift with the increase of aspect ratio. The reason for
 15 the distributions of ACH values along height can be obtained from the analysis of the flow patterns inside
 16 street canyons (see Figure 9).

17 For AR = 1.0, a large and strong vortex is formed inside the street canyon. Rooms located at the lower and
 18 top parts of the street canyon would have the highest possibility to experience normal-to-facade near-wall
 19 flows, which contribute mostly to the indoor and outdoor flow exchange. Figure 10 presents the contours of
 20 normal-to-facade velocity component at the openings of the windward rooms, which indicates that there are
 21 stronger inflows and outflows on the openings located at the lower and top parts of the street canyon than
 22 those at the middle part. This phenomenon is consistent with the distribution of ACH values (see Figure 8: AR
 23 = 1.0). Although it is a fact that along-facade flows still contribute to indoor ventilation due to their turbulent
 24 effects (Ai and Mak, 2014a), for the case with perpendicular wind direction studied in this paper, the normal-
 25 to-facade flows should be the main contributor of the indoor ventilation. When AR = 2.0, two vortices are
 26 formed and they interact at the lower part of the street canyon, which produces opportunities for nearby rooms
 27 to have higher ventilation rates (see Figure 8: AR = 2.0). Similar reasons can be found for the cases of AR =
 28 4.0 and AR = 6.0. However, when AR = 6.0, the skimming flow above the street canyon cannot penetrate
 29 deeply into the lower part of the street canyon, which results in the very low ventilation rates for the rooms
 30 located at the lower part of the street canyon. These distributions of ACH values along height under different

1 aspect ratios are important findings, which reveal the locations where the best and the worst ventilation could
 2 occur.

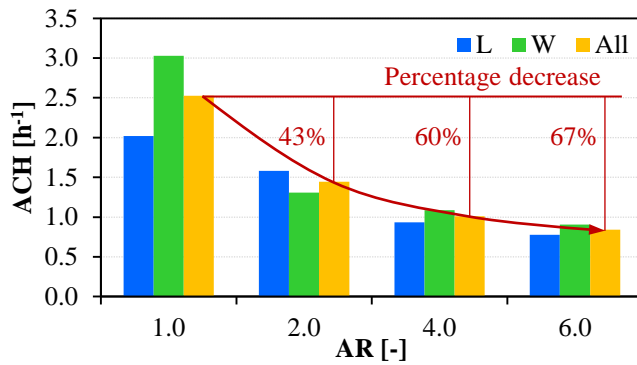


4 **Figure 9** Flow vectors on the vertical centerplane of the street canyon under different aspect ratios: (a) AR =
 5 1.0, (b) AR = 2.0, (c) AR = 4.0 and (d) AR = 6.0.



8 **Figure 10** Nondimensional velocity component (u/U) along x direction (normal-to-facade direction) on
 9 windward openings for AR = 1.0.

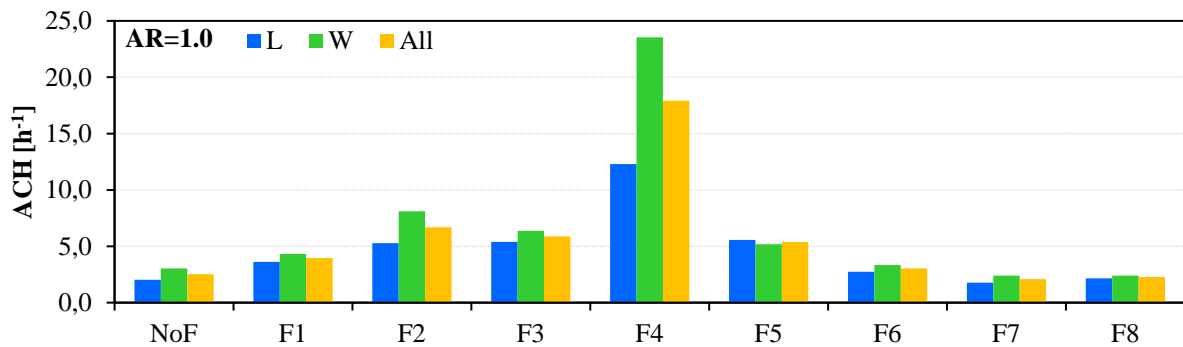
12 Figure 11 shows the average ACH values of rooms for different aspect ratios. ACH values on both the
 13 leeward and windward sides decrease with the increase of aspect ratio. Taking the case of AR = 1.0 as the base
 14 case, the percentage decreases of ACH of other cases with a higher AR are calculated. In general, a large
 15 decrease of ACH is observed when the aspect ratio is increased. However, such a decrease becomes slow
 16 gradually. Obviously, it is more difficult for the atmospheric flow above a street canyon to penetrate deeply
 17 into the inside of a deeper street canyon (namely, with a higher aspect ratio). Previous studies regarding urban
 18 physics show that wind speed in urban areas is seriously decreased due to the increased roughness caused by
 19 complex constructions when compared to that in rural areas (Ai and Mak, 2015; Oke, 1987; HKPD, 2005;
 20 Georgakis and Santamouris, 2006). Decreased wind speeds in a deep street canyon would result in lowered
 21 pressure differences to drive indoor natural ventilation. Therefore, natural ventilation performance in urban
 22 buildings, especially in dense areas, drops largely compared to rural areas (Georgakis and Santamouris, 2006;
 23 Qian et al., 2010; van Hooff and Blocken, 2010; Gao and Lee, 2012). The findings in this section suggest that
 24 on one hand describing the major surroundings in detail is important when assessing natural ventilation
 25 performance in buildings and on the other hand the aspect ratio of a street canyon is an important factor
 26 influencing the building natural ventilation.



1
2 **Figure 11** Average ACH values of all rooms at leeward facade (L), windward facade (W) and both facades
3 (All), where the percentage decreases of ACH in comparison to the case of AR = 1.0 are also presented.
4

5 **4.2 Influence of envelope features**

6 Figure 12 shows the average ACH values of rooms at leeward facade, windward facade and both
7 facades for the street canyon of AR = 1.0. In general, the average ACH value of all rooms shows a
8 similar trend with those of either leeward or windward rooms. Therefore, the average ACH value of all
9 rooms is used as the parameter to analyse the performance of each envelope feature in improving
10 natural ventilation performance of buildings.
11



12
13 **Figure 12** Average ACH values of rooms at leeward facade (L), windward facade (W) and both
14 facades (All) for the street canyon of AR = 1.0, where NoF represents 'no feature'.
15

16 Figure 13 presents quantitatively the influence of the presence of a certain envelope feature on the
17 average ACH value. For all aspect ratios, the presence of envelope features F1-F6 improve natural
18 ventilation performance of buildings, while features F7-F8 degrade it except for the F8 of AR = 4.0.
19 For AR = 1.0, F4 shows the best performance among all eight envelope features in terms of the
20 percentage increase of average ACH value, which is followed by F2, F3, F5, F1 and F6. This ranking
21 sequence remains the same for AR = 2.0, which, however, is changed slightly when AR = 4.0 and
22 significantly when AR = 6.0. Based on AR = 1.0, the following paragraphs first analyse why a certain
23 envelope feature can improve or degrade the indoor ventilation performance, and then explain why the
24 ranking sequence changes when AR becomes 6.0.
25

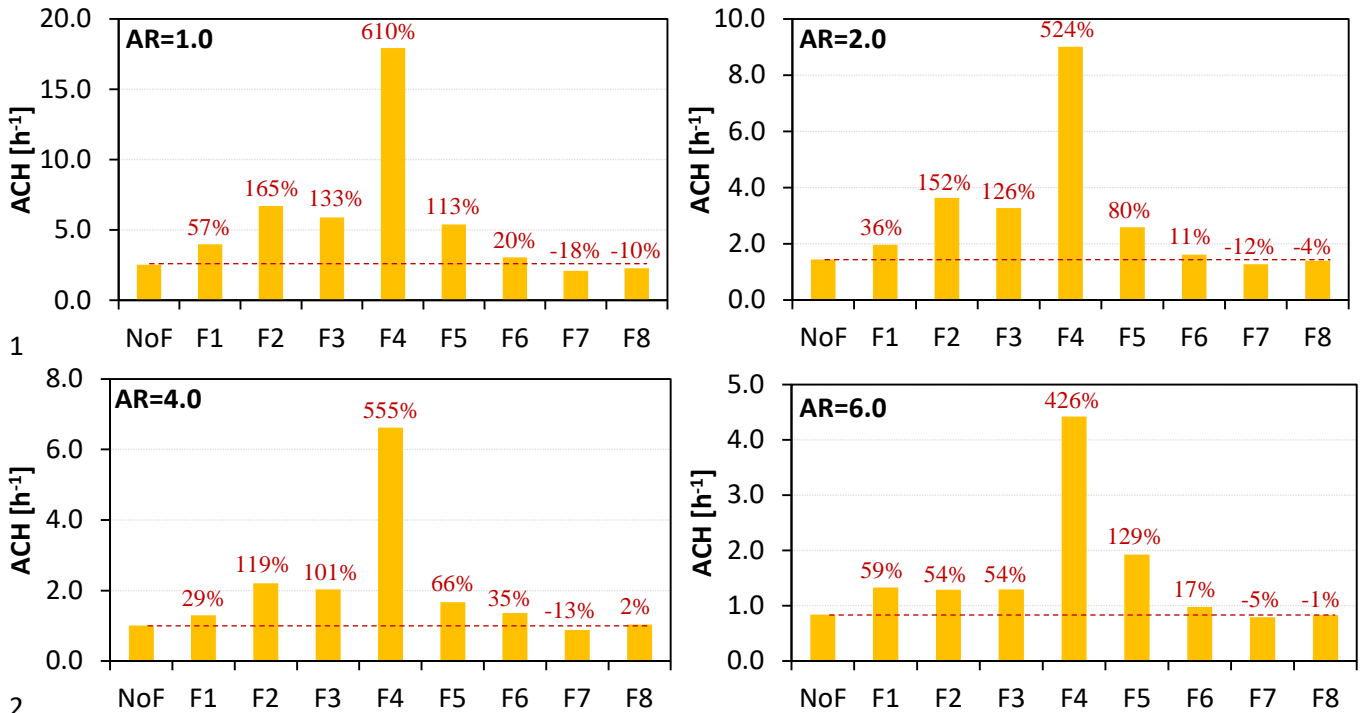


Figure 13 Average ACH values of all rooms at both the leeward and windward facades of the street canyon under different aspect ratios, where the percentage increases of ACH in comparison to the case of NoF are also presented.

The distribution and magnitude of the normal-to-facade velocity component at an opening are important parameters indicating the indoor ventilation performance. Figure 14 presents the contour of nondimensional velocity component (u/U) along x direction (normal-to-facade direction) on the opening of W8 for $AR = 1.0$. Here the W8 is just taken as a representative room to make analysis, which is intended to reveal the general influence of envelope features on natural ventilation performance.

For F4 (see both Figure 14 and Figure 4 (c)), the presence of a horizontal feature at the middle of the opening breaks the downward or upward flows and thus creates a large pressure difference between the upper and lower parts of the opening, which helps effectively to drive indoor natural ventilation. Similar flow patterns around an envelope feature are observed in our previous studies on balcony aerodynamics (Ai et al., 2013; Ai and Mak, 2016).

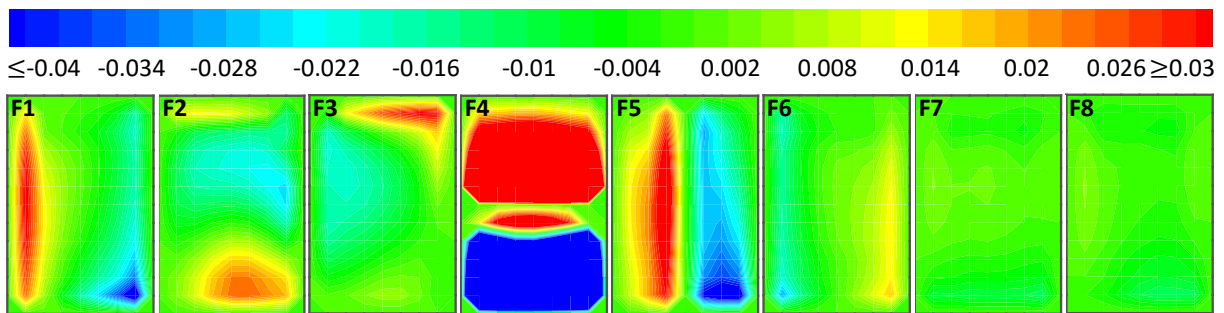
For F2 and F3, the presence of a horizontal feature at the top of the opening can also break the downward or upward flows and creates a pressure difference between the regions above and underneath the feature. However, different from F4, such a pressure difference cannot serve entirely to drive natural ventilation. In comparison, a shorter horizontal feature (F2) shows a better performance than a longer one (F3). The reason should be that the blockage effect of the feature to flow becomes gradually dominated when the length of the horizontal feature increases.

For F5, F1 and F6, the presence of vertical feature(s) function to enhance and utilize the imbalance of flows between the two sides of the feature, especially when the flow patterns at the two sides are not symmetrical. Their presence increases the turbulence and creates a pressure difference at the opening, which results in obvious inflow and outflow at the two sides of the feature. Similar to horizontal feature, a vertical feature located at the middle, instead of end, of an opening is better for improving natural ventilation performance. It is interesting to note that the presence of two vertical features (F1) shows even better performance than the presence of one vertical feature at one side of the opening (F6). This should be attributed to that the presence of two features enhances the pressure

1 difference at the opening when compared to the presence of one feature at one side of the opening (see
2 Figure 14).

3 For F7 and F8, the presence of a horizontal feature in front of an opening forms as a curtain to
4 decrease the flow movement along the normal-to-facade direction and to stabilize the near-opening
5 flows, which all help negatively to improve natural ventilation performance of buildings. Therefore,
6 the F7 and F8 should always be avoided in building design at least from the ventilation point of view.

7 For AR = 6.0, the F5, instead of F2, ranks number 2 among the eight envelope features. This is
8 originally because the flow movement inside the deep street canyon of AR = 6.0 is very weak when
9 compared to other street canyons of AR = 1.0, 2.0 and 4.0. Within a flow field with a relatively strong
10 along-facade downward or upward flow (AR = 1.0, 2.0 and 4.0), the presence of a horizontal feature at
11 the top of the opening (F2) performs well in creating pressure difference and thus driving
12 indoor/outdoor flow exchange. Such a capability of a horizontal feature is, however, lowered
13 significantly within a weak-movement flow field (AR = 6.0).



15
16 **Figure 14** Contour of nondimensional velocity component u/U along x direction (normal-to-facade
17 direction) on opening W8 for AR = 1.0.

18 19 5. Discussion

20 This paper investigates the natural ventilation performance of buildings in an urban context from
21 both street configuration and envelope design perspectives. Four aspect ratios of a street canyon and
22 eight envelope features are investigated. The findings should provide important information for
23 increasing the understanding of natural ventilation in urban buildings and its influencing factors.
24 However, the authors have to reveal the following limitations of the study presented in this paper.

25 First, this study investigates only the perpendicular wind direction, as it is the most widely
26 investigated wind direction due to its association with the worst condition for ventilation and pollutant
27 dilution of a street canyon (Ai and Mak, 2015). However, it is expected that the influence of street
28 canyon aspect ratio and envelope feature on natural ventilation performance of buildings may change
29 with wind direction. In order to have a more complete observation of natural ventilation performance
30 in urban buildings and its influencing factors, it is necessary to investigate other wind directions. In
31 particular, a vertical feature at the middle of an opening may perform very well in enhancing
32 indoor/outdoor flow exchange when the prevailing wind direction is parallel to the street canyon.

33 Second, this study is limited to purely wind-driven conditions. Although wind effect is the
34 dominated driving force in urban environments under most periods of time, buoyancy effect due to
35 temperature differences should not be ignored in some circumstances, especially when the wind is
36 relatively weak. Some previous studies (Ai and Mak, 2015) show that, in street canyons under a
37 certain weather condition, the temperature difference between surfaces with and without direct solar
38 radiation could reach up to 19 °C (Santamouris et al., 1999). Therefore, it is necessary to investigate
39 the combined effect of wind and buoyancy effects.

1 Third, this study uses the steady-state RANS turbulence model to predict the flow field and use the
2 mean-velocity-based integral method to calculate the ventilation rates. Both the turbulence model and
3 the integral method have their inherent drawbacks and would thus inevitably result in inaccurate
4 calculations. Fortunately, this study focuses on evaluating the relative influence of aspect ratio and
5 envelope feature, which is based on the comparison with a base case. It is believed that such a relative
6 evaluation would counteract largely the inaccuracy caused by the selected RANS model and integral
7 method. However, in order to obtain more accurate flow field and ventilation rates, large eddy
8 simulation (LES) and concentration-based method (Ai and Mak, 2014a) are excellent alternative
9 options, respectively.

10 11 **6. Conclusions**

12 Previous studies regarding natural ventilation in buildings are mostly limited to isolated buildings.
13 This study investigates natural ventilation performance of buildings in an urban context under a
14 perpendicularly incident wind. Four aspect ratios are considered to evaluate the influence of street
15 configuration, while eight envelope features are examined to explore the appropriate envelope design
16 that can increase the adaptability of buildings to dense urban environments.

17 Since the atmospheric flow above a street canyon is more difficult to penetrate deeply into a deeper
18 street canyon, ventilation performance of buildings is decreased with the increase of aspect ratio of a
19 street canyon. Compared to the case of $AR = 1.0$, the percentage decrease of ACH values are, on
20 average, 43%, 60% and 67% for the cases of $AR = 2.0$, 4.0 and 6.0, respectively. Influenced by flow
21 pattern inside a street canyon, ACH values of rooms along height of a building are not uniformly
22 distributed. Such a distribution varies significantly with the change of aspect ratio. These findings
23 (namely, ACH values and their distributions) suggest that aspect ratio is an important parameter that
24 should be considered when designing natural ventilation of urban buildings.

25 Envelope design is a good strategy for improving the adaptability of buildings to dense urban
26 environments. A horizontal feature at the middle of an opening (F4 in this study) presents the best
27 performance in improving the indoor natural ventilation performance. This implies that an appropriate
28 envelope feature is one that can effectively break the along-facade flow and utilize the impinging flow
29 momentum to create a large pressure difference between different locations of an opening. Conversely,
30 a vertical feature in front of an opening is inappropriate, as it decelerates the normal-to-facade flows
31 and stabilizes the near-opening flows.

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