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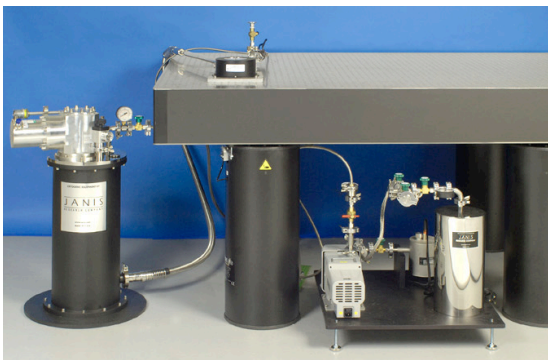
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## Prospects of X-ray imaging spectrometers for impurity transport: Recent results from the stellarator Wendelstein 7-X (invited)

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This paper reports on the design and the performance of the recently upgraded X-ray imaging spectrometer systems, X-ray imaging crystal spectrometer and high resolution X-ray imaging spectrometer, installed at the optimized stellarator Wendelstein 7-X. High resolution spectra of highly ionized, He-like Si, Ar, Ti, and Fe as well as H-like Ar have been observed. A cross comparison of ion and electron temperature profiles derived from a spectral fit and tomographic inversion of Ar and Fe spectra shows a reasonable match with both the spectrometers. The also measured impurity density profiles of Ar and Fe have peaked densities at radial positions that are in qualitative agreement with the expectations from the He-like impurity fractional abundances, given the measured temperature profiles. Repeated measurements of impurity decay times have been demonstrated with an accuracy of 1 ms via injection of non-recycling Ti, Fe, and Mo impurities using a laser blow-off system. <https://doi.org/10.1063/1.5036536>

### I. INTRODUCTION

X-ray imaging crystal spectrometers (XICS) are widely used on large-scale fusion devices<sup>1–7</sup> for routine measurements of ion and electron temperatures,  $T_i$  and  $T_e$ , and plasma flows,  $v_p$ , but have also been used for estimations of the neutral hydrogen density in plasmas,<sup>8,9</sup> for the detection of up-down asymmetries in the argon emission at Alcator C-Mod,<sup>10,11</sup> and for monitoring the impurity emission during impurity injection experiments.<sup>12–14</sup> In the latter experiment, trace amounts of impurities are injected into the plasma and either the temporal or the combined spatio-temporal impurity emission is recorded using several diagnostics, providing impurity decay times<sup>13–15</sup> and impurity transport parameters from a comparison with transport code calculations.<sup>16–25</sup> Compared with other diagnostics, X-ray imaging spectrometers are particularly well suited for impurity transport investigations for several reasons: On the one hand, their high resolution allows precise measurements of the radial electric field,<sup>26–28</sup> being a critical parameter in stellarators as its radial profile determines different transport regimes, e.g., ion or electron root confinement.<sup>29,30</sup> On the other hand, the selectivity of imaging spectrometers to a

single impurity species in a particular charge state allows for a direct measurement of impurity fluxes and impurity transport parameters, as recently shown for Ar impurities.<sup>12</sup> Moreover, the time resolution of high-end X-ray detectors of 2–10 ms is small compared with the typical confinement times, and the use of commercially available large area X-ray detectors allows designing viewing geometries of the spectrometer, covering a large area of the plasma cross section.

In order to allow systematic studies on the confinement of impurities of different atomic numbers  $Z$  as well as for an extension of the accessible temperature range, the X-ray imaging crystal spectrometer (XICS) and the high resolution X-ray imaging spectrometer (HR-XIS) diagnostics have been equipped with 8 additional crystals. This paper gives an overview of the design and calibration of XICS and HR-XIS (Sec. II), shows observed impurity spectra, reports on the commissioning and cross calibration of both the diagnostics (Sec. III), and gives an outlook for the application of X-ray imaging spectrometers in impurity transport studies (Sec. IV), based on results obtained at Wendelstein 7-X (W7-X).

### II. IMAGING SPECTROMETERS: XICS AND HR-XIS

#### A. Design parameters

The XICS and HR-XIS diagnostics use spherical bent crystals to image the X-ray emission of impurities emitted from the plasma onto a two-dimensional detector plane with

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energy and spatial resolution along the horizontal and vertical direction on the detector, respectively. The basic diagnostic layout as well as the initial measurements of XICS and HR-XIS, obtained during the first operational phase of W7-X (OP1.1), have been reported in Refs. 12, 26, and 31–33. For the second operational phase (OP1.2a), both the spectrometers have been upgraded with additional crystals and detector units for the measurement of additional impurity species in various charge states with atomic numbers ranging from  $Z = 14$  to up to  $Z = 74$ . All crystals are rectangular with an area of  $40 \times 100 \text{ mm}^2$  (XICS) or  $40 \times 85 \text{ mm}^2$  (HR-XIS), have a thickness of  $\geq 20 \text{ }\mu\text{m}$ , and are placed onto spherical-shaped glass substrates with Rowland circle diameters of approximately 3.1 m. Table I lists the crystals, crystal cuts, Bragg angles, and observable spectra of impurity species in particular charge states for the XICS and HR-XIS diagnostics.

XICS is equipped with two quartz crystals and two detector units (*Dectris - Pilatus300K-W*). For a simultaneous observation of He- and H-like argon, both the crystals are placed on one substrate that has been cut into two pieces which are tilted away from each other by  $2.5^\circ$  in the horizontal direction. This way, an overlap of H- and He-like Ar emission lines on one detector is avoided and H- and He-like Ar spectra can be imaged well separated onto the two side-by-side installed detector units<sup>33</sup> with identical line of sight viewing geometries for both the systems. In addition, Ne-like Mo emission lines in the first order and He-like Fe lines in the second order can be monitored. The detectors use a hybrid pixel technology, combining a two-dimensional pixelated Si sensor with an array of readout channels designed with an advanced complementary metal-oxide-semiconductor technology for single photon detection without dark current or readout noise.<sup>34</sup>

The original layout of the HR-XIS diagnostic goes back to a design described by Bertschinger.<sup>35</sup> As foreseen in the design, now HR-XIS has been equipped with multiple crystals, namely, two quartz, two silicon, three germanium crystals, and one detector unit (*Dectris - Pilatus300K-W*). For positioning, the crystals are mounted on a rotatable and movable crystal table, shown in Fig. 1. The rotation around the z axis

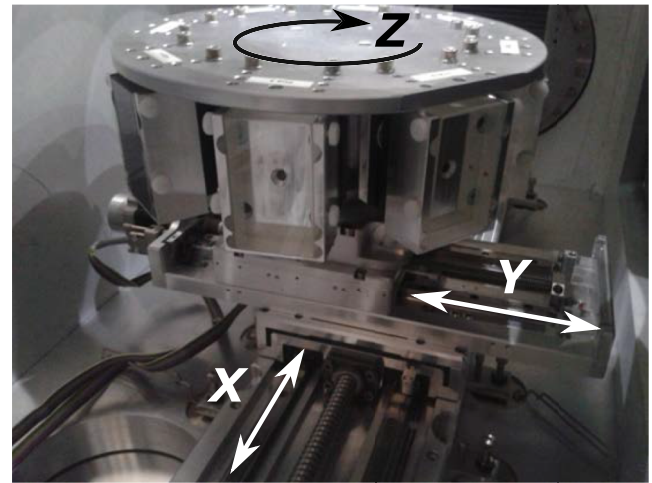


FIG. 1. The movable (x- and y-direction) and rotatable (around z axis) crystal table of the HR-XIS diagnostic with its 8 crystal holders for installation of various crystals for X-ray diffraction. The crystal table diameter measures 310 mm.

(see Fig. 1) allows to choose one crystal and adjust the Bragg angle according to Table I. The x-direction for the crystal table movement has been chosen to be in line with the spectrometer lines of sight. Thus, an adjustment of the crystal table in the x-direction allows for setting different Bragg angles while keeping the spectrometer line of sight directions unchanged.<sup>35</sup> Movement of the table in the y-direction allows to adjust the focal position of the imaged spectra on the detector.<sup>9</sup> The crystal table can be controlled remotely and every crystal position can be set within 10 s.

## B. Spatial calibration

For the spatial calibration of the spectrometers viewing geometries, the line of sight paths have been back-illuminated using a light emitting diode (LED) array, mounted at the detector positions of both the spectrometers.

The front side of the LED array is shown on the left part of Fig. 2. It consists of 7 high flux LEDs (*Seoul Z-LED P4*),

TABLE I. Overview of crystals, crystal cuts, Bragg angles, and observable spectra of impurity species in particular charge states for the XICS and HR-XIS diagnostics.

System	Crystal (cut)	Bragg angle (deg)	Impurity
XICS	Quartz (11–20)	53.90	Ar <sup>16+</sup>
	Quartz (10–12)	54.83	Ar <sup>17+</sup> Fe <sup>24+</sup> Mo <sup>32+</sup>
HR-XIS	Quartz (–1100)	51.88	Si <sup>12+</sup>
	Quartz (11–20)	53.90	Ar <sup>16+</sup>
	Quartz (11–20)	49.39	Ar <sup>17+</sup>
	Silicon (311)	53.22	Ti <sup>20+</sup>
	Germanium (422)	53.61	Fe <sup>24+</sup>
	Germanium (440)	52.95	Ni <sup>26+</sup>
	Silicon (531)	54.00	Cu <sup>27+</sup>
	Germanium (533)	51.96	W <sup>64+</sup>

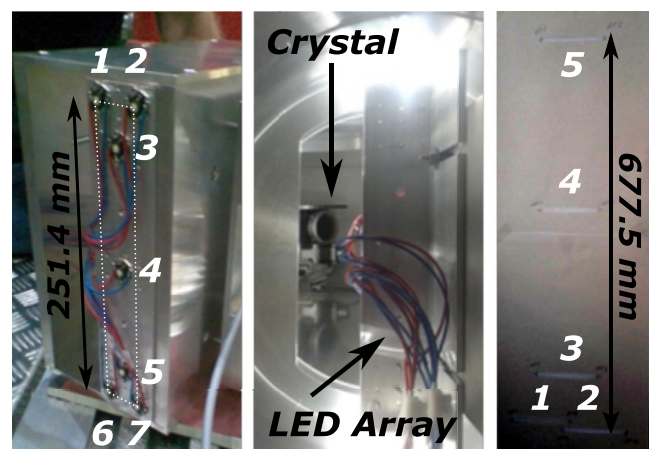


FIG. 2. Left: LED array with dimensions of the detector unit (dashed line) for the back illumination of the spectrometer lines of sight. Middle: LED array installed at the detector position, illuminating the crystal. Right: Spectrometer lines of sight projected onto a target placed at the sagittal focus.

each providing 240 lm. The 4 outermost LEDs are arranged in a rectangle with dimensions identical to the active detector area; see the left side of Fig. 2, dotted lines. Additional 3 LEDs are aligned horizontally centered across the imitated detector area.

The LEDs act as point sources for visible light, illuminating the polished crystal surface (see the middle part of Fig. 2) that itself focuses the light into 7 cone-shaped lines of sight being identical to the spectrometer lines of sight for imaging X-rays. The right side of Fig. 2 shows the projection of 5 lines of sight onto a target, placed at the position of the sagittal focus. Due to the imaging properties of the crystal, the upper LEDs 1 and 2 correspond to the lowest lines of sight and vice versa. The actual detector surface position, the crystal position, and the projected line of sight positions are measured in 3D using a laser tracker outside as well as inside the plasma vessel that allows defining the absolute positions of the outermost lines of sight inside the plasma vessel for both the spectrometers with an accuracy of  $\pm 1.5$  mm. Given a spatial resolution of 2 cm for an individual line of sight, this method yields a very accurate spatial line of sight calibration.

### III. COMMISSIONING OF XICS AND HR-XIS

#### A. Overview of observed impurity spectra

Figure 3 shows an overview of observed He- and H-like spectra, measured using different crystals as listed in Table I. The Si, Ti, and Fe impurities were injected using a laser blow-off (LBO) system<sup>36</sup> and Ar was injected by a gas puff. All spectra are measured along the central lines of sight of the spectrometers,<sup>12</sup> and the given count rates have been averaged for 200 ms after an impurity injection.

In the He-like spectra, the main excitation lines  $w$ ,  $x$ ,  $y$ ,  $z$ , the dielectronic satellites  $k$  and  $n \geq 3$ , and the most prominent satellites from inner shell excitations  $q$ ,  $r$ ,  $s$ ,  $a$  have been labeled. All spectra are shown including the Bremsstrahlung radiation background. For the He-like Ar and Fe spectra, spectral fits are shown as thin solid black lines and the residuals, defined as deviation between measured and fitted spectra, are shown as dotted lines. Except for the Fe  $x$  and  $z$  emission lines, the spectral fits match the measured spectra along the entire spectrum within the statistical uncertainties.

Since the gas inlet valves used for Ar injection are absolutely calibrated, the total amount of injected Ar particles can be estimated as  $N_{Ar} = 8.8 \times 10^{17}$  ( $n_{Ar}/n_e = 1.8 \times 10^{-4}$ ). The amount of ablated material from the glass plates of the LBO system can be estimated from the metal coating thickness, the laser spot diameter, and a factor accounting for the fraction of neutrals reaching the plasma to a value of injected Ti and Fe particles in the order of  $N_{Ti/Fe} \approx 10^{16}$  ( $n_{Z}/n_e \approx 0.5 \times 10^{-4}$ ). The quality of the observed spectra, given the low impurity content, underlines the high sensitivity of X-ray imaging spectrometers to even trace amounts of impurities.

Table II lists for the individual spectra of Fig. 3 the W7-X program numbers, the measured averaged electron density  $n_e$  from the interferometer diagnostic,<sup>31</sup> and, if available,  $T_i$  and  $T_e$  obtained from the spectral fits. Here,  $T_i$  has been derived from the Doppler broadening of lines and  $T_e$  from line intensity ratios, fitting all line intensities of the entire spectrum.<sup>7</sup>

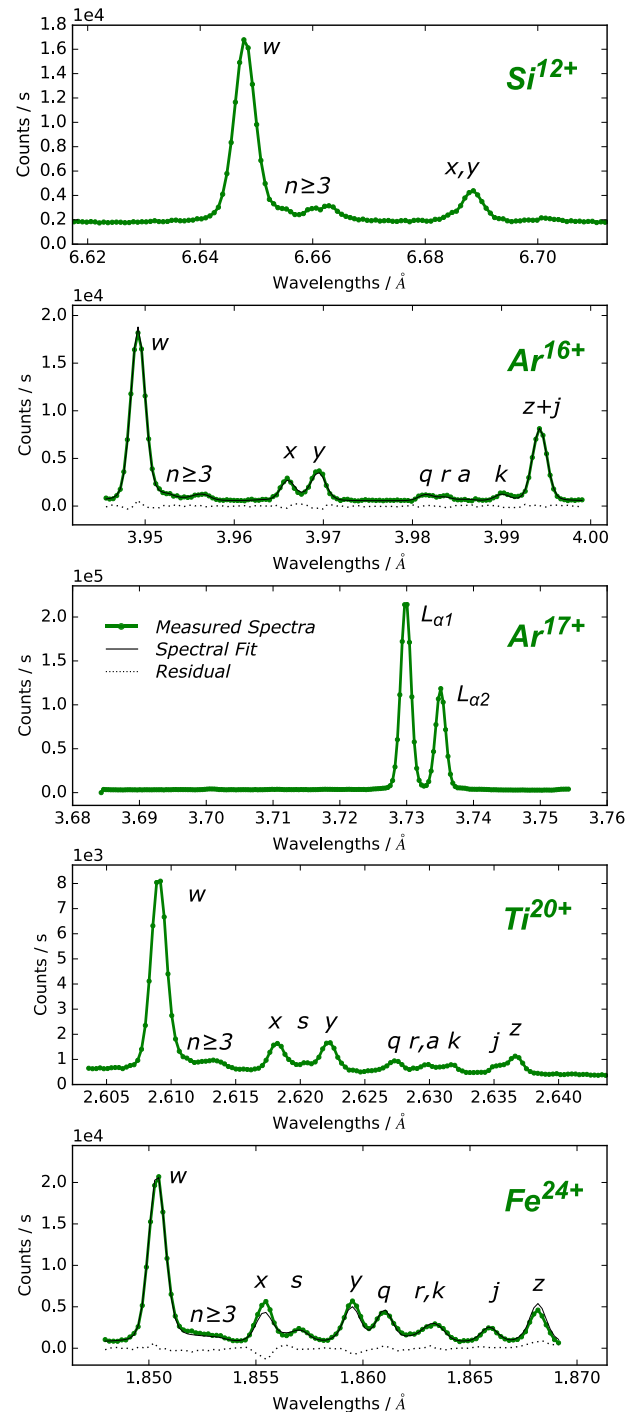


FIG. 3. Spectra of He- and H-like impurities inside W7-X plasmas measured along the central spectrometer lines of sight for atomic numbers ranging from  $Z = 14$  to  $Z = 26$ . Ar impurities were recorded by the XICS system and Si, Ti, and Fe impurities by the HR-XIS diagnostic. Spectral fits are shown as thin solid lines together with the residual in dotted lines.

#### B. XICS/HR-XIS temperature profile validation

Although the XICS and HR-XIS diagnostics are installed at different toroidal positions with different viewing geometries<sup>7</sup> and usually observe different impurity species, the tomographic-inverted  $T_i$  and  $T_e$  profiles inferred from both spectrometer data are expected to be identical for a given experiment program. For validation, two experiment programs with Ar and Fe injections were performed, and for program

TABLE II. Documentation for experiment program numbers, line of sight averaged electron densities, and fitted, line averaged temperatures of spectra shown in Fig. 3.

Impurity	Experiment ID	$n_e/1 \times 10^{19} \text{ m}^{-3}$	$T_{ile}/\text{keV}$
Si <sup>12+</sup>	171011.055 at 0.8 s	...	
Ar <sup>16+</sup>	171004.049 at 2.0 s	...	$T_e = 1.9 \pm 0.1$ $T_i = 1.8 \pm 0.1$
Ar <sup>17+</sup>	171108.021 at 0.6 s	$1.5 \pm 0.1$	
Ti <sup>20+</sup>	171011.045 at 1.3 s	$1.3 \pm 0.1$	
Fe <sup>24+</sup>	171011.039 at 0.7 s	$1.0 \pm 0.1$	$T_e = 4.3 \pm 0.2$ $T_i = 1.9 \pm 0.1$

171004.049, both the spectrometers were set to observe Ar, while for the program 171122.022, HR-XIS was set to observe Fe and XICS monitored the Ar impurity emission. In Fig. 4, the inferred  $T_i$  and  $T_e$  profiles using tomographic inversion<sup>12</sup> as well as impurity density profiles are shown along the plasma radius  $\rho$ , here defined as the square root of the magnetic flux  $\psi$ , normalized to the flux of the last closed flux surface:  $\rho = \sqrt{\psi/\psi_{LCFS}}$ . Solid and dashed lines represent profiles inverted from HR-XIS and XICS data, and shaded areas mark the uncertainties of the inverted profiles within a statistical width of one standard deviation  $1\sigma$ .

The determined  $T_i$  profiles in Fig. 4 of both the spectrometers match each other within the  $1\sigma$  uncertainty along

the entire plasma radius comparing the Ar–Ar as well as the Ar–Fe impurity measurements. In the case of Ar–Ar comparison (Fig. 4, top left), measured  $T_i$  profiles show an excellent match between  $\rho = 0.2$  and  $0.5$ , with uncertainties of  $\Delta T_i = 0.1 \text{ keV}$ . Toward the plasma edge, the uncertainties in the  $T_i$  profiles significantly rise for  $\rho \geq 0.6$  (HR-XIS) and  $\rho \geq 0.85$  (XICS), directly related to the outermost line of sight positions of  $\rho = 0.65$  for HR-XIS and  $\rho = 0.85$  for XICS. As expected, outside the viewing geometry of the spectrometers, a change in the widths of spectral lines does not significantly affect the observed spectra. Indeed, first the signal  $I$  drops rapidly due to the low plasma density ( $I \propto n_e$ ). Second, at electron temperatures below 0.5 keV, the excitation rate coefficient of the  $w$  line reduces dramatically.<sup>37</sup>

The measured  $T_e$  profiles also show a reasonable match comparing Ar–Ar and Ar–Fe data, although for individual radial positions the match is not within the  $1\sigma$  but within the  $2\sigma$  uncertainties (not shown). For the Ar–Ar data,  $T_e$  profiles close to the plasma center deviate from each other by 0.9 keV, accompanied by increased uncertainties of  $\Delta T_e = \pm 0.5 \text{ keV}$ . Both effects are induced by the observed hollow  $n_{\text{Ar}^{16+}}$  profile (see Fig. 4, left  $T_e$  and  $n_{\text{Ar}^{16+}}$  profiles), causing a significantly reduced signal level  $I$  in the plasma center ( $I \propto n_{\text{Ar}^{16+}}$ ). The low Ar<sup>16+</sup> concentration in the plasma center originates from a strong reduced fractional abundance of He-like Ar for electron temperatures above 3 keV<sup>38</sup> and is expected to be further enhanced by the central electron root confinement present in

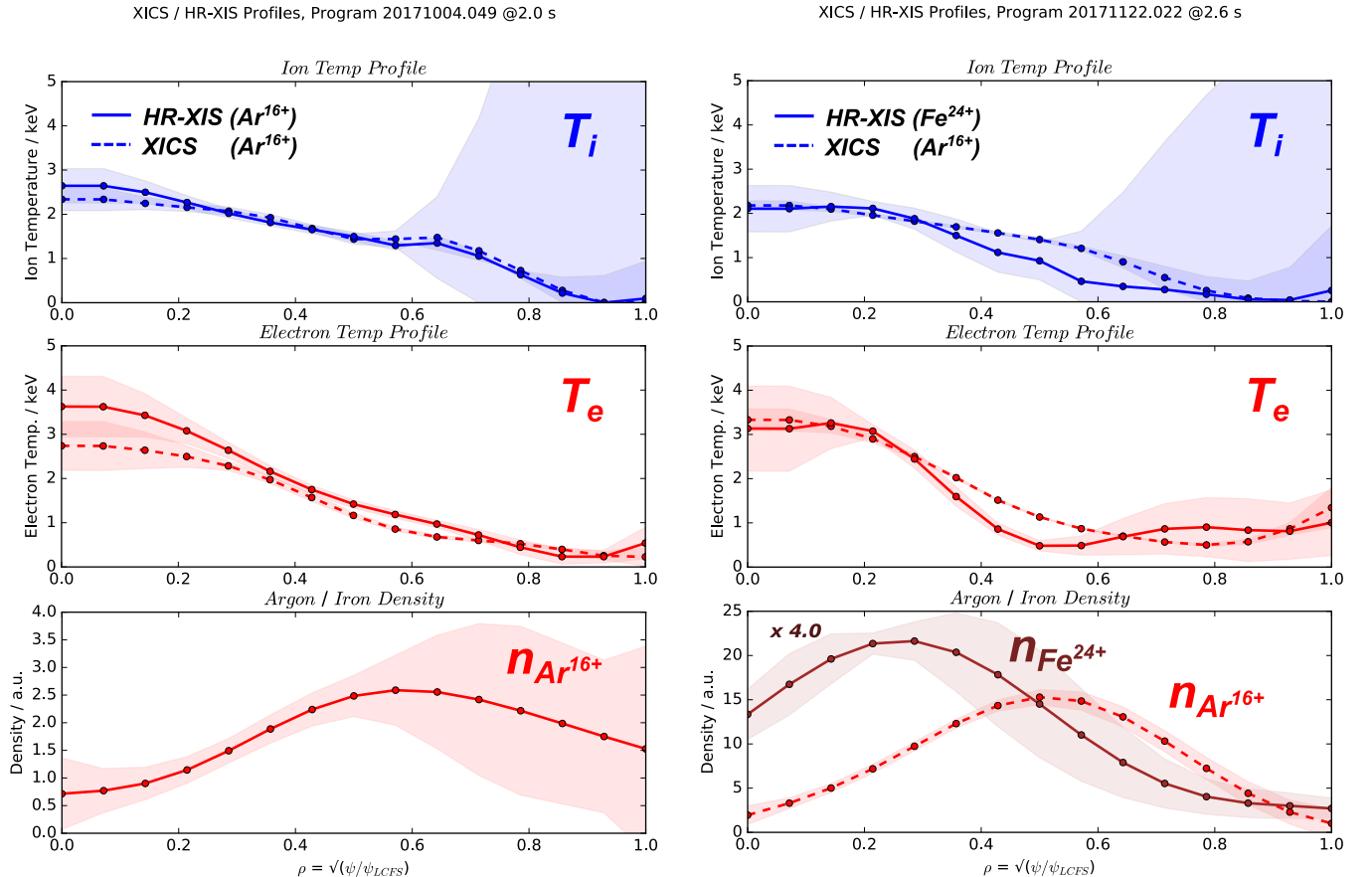


FIG. 4. Comparison of inverted temperature and impurity density profiles from the HR-XIS (solid lines) and the XICS (dashed lines) diagnostics. Left: Both the spectrometers observing Ar. Right: HR-XIS observing Fe and XICS observing Ar impurities.

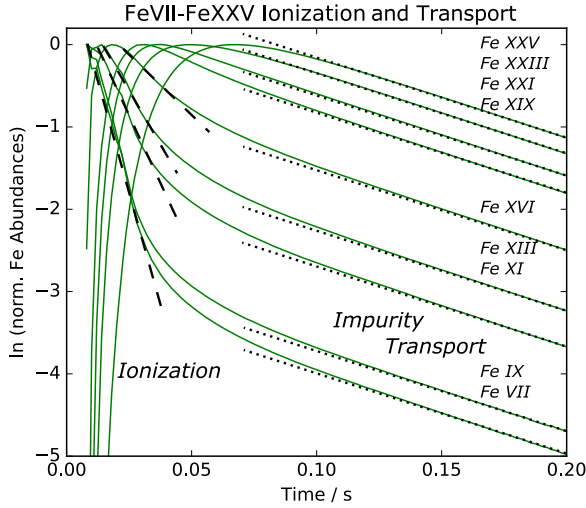


FIG. 5. Simulated temporal evolution of FeVII-FeXXV charge states after a pulsed iron injection (see text).

centrally electron cyclotron resonance heated W7-X plasmas for given  $T_e$  and  $n_e$  values,<sup>26</sup> driving out impurities from the plasma center by a positive radial electric field.<sup>27,29</sup>

For the determination of  $n_{\text{Ar}^{16+}}$  and  $n_{\text{Fe}^{24+}}$  profiles from measured absolute line intensities of the XICS spectra, the electron density profile  $n_e$  needs to be known and has been taken from Thomson scattering measurements.<sup>39,40</sup> The inferred  $n_{\text{Ar}^{16+}}$  and  $n_{\text{Fe}^{24+}}$  profiles shown in the right bottom panel of Fig. 4 have peaked densities at  $\rho = 0.5$  for Ar and  $\rho = 0.2$  for Fe, being in qualitative agreement with the fractional abundances of  $\text{Ar}^{16+}$  and  $\text{Fe}^{24+}$  charge states at the given  $T_e$  profile.

#### IV. IMPURITY TRANSPORT STUDIES

In this section, initial results from the measurements of impurity decay times using HR-XIS in combination with a

laser blow-off system are discussed. Impurities were injected into a stationary phase of an experiment program, when  $T_e$  and  $n_e$  profiles were constant. The time traces of the HR-XIS signals shown in Fig. 6 correspond to the measured  $w$  line intensity  $I_w$  of impurity spectra, accumulated along the entire HR-XIS view field and are proportional to the He-like impurity density.

##### A. Definition of time constants

After the injection of neutral impurity species into a hot plasma, the impurities are transported into the plasma and are sequentially ionized from low ionization state toward high ionization state within ionization times  $\tau_{ion}$  being different for the individual ionization states. In order to get an estimation of the involved time constants, the temporal behavior of such an ionization process has been simulated with the transport code STRAHL<sup>41</sup> for a pulsed Fe impurity injection by LBO, central temperatures and densities of  $T_e(0) = 2.7$  keV and  $n_e(0) = 8.5 \times 10^{19} \text{ m}^{-3}$ , respectively, and assumed transport parameters<sup>12</sup> of  $v = 0$  m/s and  $D = 1.0$  m<sup>2</sup>/s. The result is shown in Fig. 5 for FeVII-FeXXV charge state abundances on a logarithmic scale. Right after the injection, especially the low ionization states decay with a fast time constant caused by the ionization into higher charge states in regions of increasing temperature toward the plasma center (see dashed lines in Fig. 5). After the establishment of an equilibrium distribution, the observed decay times become stationary for all the ionization states (see Fig. 5, dotted lines), reflecting the impurity transport properties. This time constant has been denoted as the impurity transport time  $\tau_i$  throughout this paper, representing a measure that is related to the impurity confinement properties of the plasma.<sup>36</sup>

##### B. Measurement of impurity transport times

Figure 6(a) shows time traces of  $n_{\text{Fe}^{24+}}$  (green circles) for three repeated, identical experiment programs at

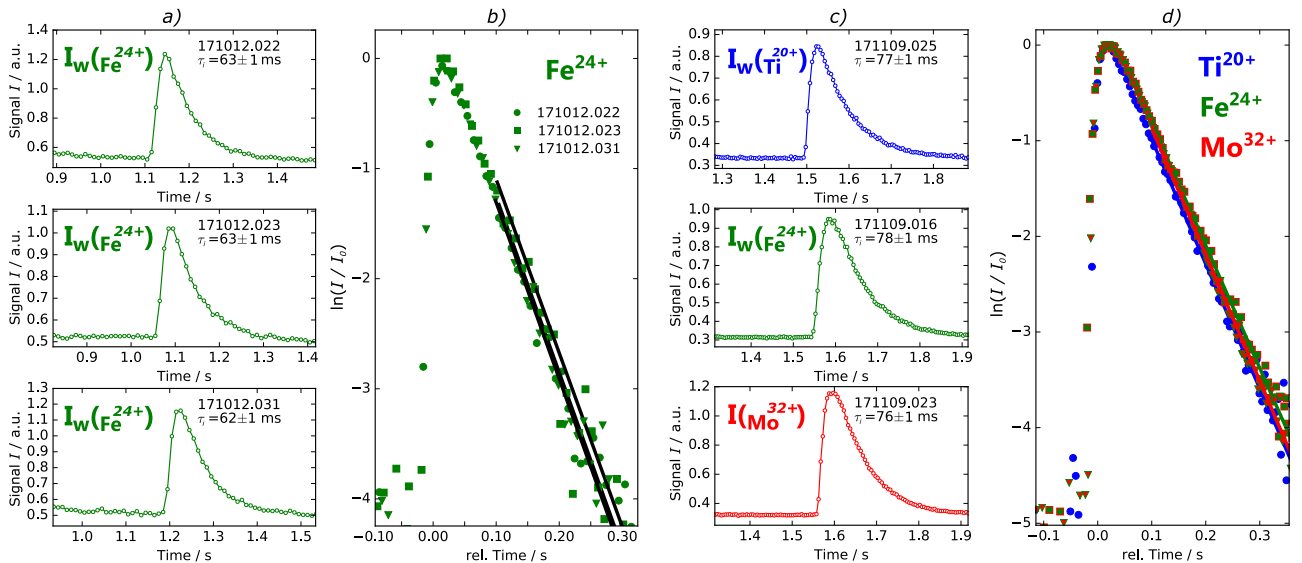


FIG. 6. Time traces of  $\text{Ti}^{20+}$ ,  $\text{Fe}^{24+}$ , and  $\text{Mo}^{32+}$  line brightnesses  $I_w$  after impurity injections using the laser blow-off system: (a) Repeated injections of Fe into identical experiment programs. (b) Logarithmic plot of normalized  $\text{Fe}^{24+}$  time traces from (a) and linear fits of the impurity transport times (solid lines). (c) Injections of Ti, Fe, and Mo impurities into identical experiment programs. (d) Logarithmic plot of normalized  $\text{Ti}^{20+}$ ,  $\text{Fe}^{24+}$ , and  $\text{Mo}^{32+}$  time traces from (c) and linear fits of the impurity transport times (solid lines).

central plasma parameters of  $T_e(0) = 4.3$  keV and  $n_e(0) = 1.4 \times 10^{19} \text{ m}^{-3}$ . Using the LBO system, the Fe injection has been realized at about 1.1 s, clearly visible as peaks in the density time traces. Figure 6(b) shows a logarithmic plot of all the three density time traces of Fig. 6(a) together with a linear fit of the impurity transport time  $\tau_i$ . As is evident, the measured  $n_{\text{Fe}^{24+}}$  density time traces as well as the fitted transport times show a high reproducibility with  $\Delta\tau_i = \pm 1$  ms.

### C. Z-dependence of impurity confinement

Figures 6(c) and 6(d) show time traces of various impurity densities for repeated, identical experiment programs at central plasma parameters of  $T_e(0) = 2.6$  keV and  $n_e(0) = 8.5 \times 10^{19} \text{ m}^{-3}$  for the W7-X magnetic standard configuration *EIM*.<sup>42</sup> Since  $\tau_i$  increases with decreasing heating power and increasing  $n_e$ ,<sup>43</sup> the measured impurity transport time for  $\text{Fe}^{24+}$  in Fig. 6(c) ( $\tau_i = 78$  ms) significantly exceeds the value obtained in Fig. 6(a) ( $\tau_i = 63$  ms). The experiment programs shown in Figs. 6(c) and 6(d) aimed to investigate a possible Z-dependence on the impurity confinement. Therefore, the available different crystals of HR-XIS have been used to monitor time traces of  $\text{Ti}^{20+}$ ,  $\text{Fe}^{24+}$ , and  $\text{Mo}^{32+}$  impurity densities as shown in Figs. 6(c) and 6(d). As can be seen, the obtained transport times of  $\tau_i(\text{Ti}^{20+}) = 77 \pm 1$  ms,  $\tau_i(\text{Fe}^{24+}) = 78 \pm 1$  ms, and  $\tau_i(\text{Mo}^{32+}) = 76 \pm 1$  ms are identical within the experimental errors and do not show a clear trend for highly ionized charge states, neither with the impurity charge nor with the charge-to-mass ratio ranging from 0.43 to 0.33. These findings are comparable with the observations made in tokamak and heliotron experiments.<sup>13,44</sup> Detailed investigations of Z-dependent transport are planned for the future, including low as well as high Z impurities and scanning non-standard magnetic configurations of W7-X.

### V. SUMMARY AND OUTLOOK

In this paper, the commissioning of the XICS and HR-XIS diagnostics has been reviewed, showing measured spectra of highly charged Si, Ar, Ti, and Fe impurities, including spectral fits for Ar and Fe data. A comparison of tomographic-inverted  $T_i$ ,  $T_e$ , and impurity density profiles shows a reasonable match between both the spectrometers for Ar and Fe impurities. Measurements of impurity transport times  $\tau_i$  can be carried out with a precision of  $\Delta\tau_i = \pm 1.0$  ms, and in the W7-X magnetic standard configuration, similar impurity transport times have been observed for  $\text{Ti}^{20+}$ ,  $\text{Fe}^{24+}$ , and  $\text{Mo}^{32+}$  impurity species.

The ability of the imaging spectrometers to provide spatial- (Fig. 4) and temporal-resolved impurity emissivities (Fig. 6) offers a broad source of measured data to be compared with the transport code simulations using, e.g., STRAHL<sup>41</sup> for the determination of impurity transport parameters through a variation in  $D$  and  $v$  profiles with respect to an optimal match between modeled (Fig. 5) and measured (Fig. 6) transport times. Alternatively, the observation of He-like impurity spectra in combination with the also accessible neighbored ionization stages allow evaluation of impurity fluxes<sup>12</sup> that can be used for the validation of neoclassical

and turbulent transport, as predicted from calculations, also with respect to the influence of the radial electric field<sup>26</sup> in stellarators. Summing up, X-ray imaging spectrometers can provide widespread experimental data for systematic and comprehensive impurity transport studies in large-scale fusion devices.

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