Gabor Frames in 2(Z) and Linear Dependence

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Gabor frames in $\ell^2(\mathbb{Z})$ and linear dependence

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Abstract

We prove that an overcomplete Gabor frame in $\ell^2(\mathbb{Z})$ generated by a finitely supported sequence is always linearly dependent. This is a particular case of a general result about linear dependence versus independence for Gabor systems in $\ell^2(\mathbb{Z})$ with modulation parameter $1/M$ and translation parameter $N$ for some $M, N \in \mathbb{N}$, and generated by a finite sequence $g$ in $\ell^2(\mathbb{Z})$ with $K$ nonzero entries.

Keywords: Frames, Gabor system in $\ell^2(\mathbb{Z})$, linear dependency of Gabor systems

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1 Introduction

Linear dependence versus linear independence is a well-studied topic in Gabor analysis. In particular Linnell \[1\] proved that any Gabor system in $L^2(\mathbb{R})$ generated by a nonzero function and a time-frequency lattice $a\mathbb{Z} \times b\mathbb{Z}$ is linearly independent, hereby confirming a conjecture by Heil, Ramanathan and Topiwala \[4\]. The analogous problem based on time-frequency shifts on a general locally compact abelian group was studied by Kutyniok in \[9\] and Gabor systems on finite groups were analyzed in the paper \[10\] by Lawrence, Pfander, and Walnut. Results by Jitomirskaya \[8\] imply that the conjecture would fail on $\ell^2(\mathbb{Z})$, as explained by Demeter and Gautam in \[3\].

The purpose of this short note is to give a more detailed discussion of frame properties and linear independence versus linear dependence for Gabor systems in $\ell^2(\mathbb{Z})$. In particular we prove that an overcomplete Gabor frame in $\ell^2(\mathbb{Z})$ generated by a finite sequence is always linearly dependent. Furthermore we collect and apply various methods for analysis of such frames, e.g., the duality
principle, sampling of Gabor frames for $L^2(\mathbb{R})$, and perturbation methods. For $g \in \ell^2(\mathbb{Z})$ we denote the $j$th coordinate by $g(j)$. For $M \in \mathbb{N}$, define the modulation operators $E_{m/M}$, $m = 0, \ldots, M-1$, acting on $\ell^2(\mathbb{Z})$ by $E_{m/M}g(j) := e^{2\pi jm/M}g(j)$; also, define the translation operators $T_n$, $n \in \mathbb{Z}$, by $T_ng(j) = g(j-n)$. The Gabor system generated by a fixed $g \in \ell^2(\mathbb{Z})$ and some $M, N \in \mathbb{N}$ is $\{E_{m/M}T_nN g\}_{n \in \mathbb{Z}, m = 0, \ldots, M-1}$; specifically, $E_{m/M}T_nN g$ is the sequence in $\ell^2(\mathbb{Z})$ whose $j$th coordinate is $E_{m/M}T_nN g(j) = e^{2\pi jm/M}g(j-nN)$.

In the rest of this note we will write $\{E_{m/M}T_nN g\}$ instead of $\{E_{m/M}T_nN g\}_{n \in \mathbb{Z}, m = 0, \ldots, M-1}$.

It is well-known [2] that $\{E_{m/M}T_nN g\}$ can only be a frame for $\ell^2(\mathbb{Z})$ if $N/M \leq 1$. We prove that if $N/M < 1$, such frames can be constructed with windows $g$ having any number $K \geq N$ of nonzero entries; in contrast to the case of Gabor frames in $L^2(\mathbb{R})$ these frames are always linearly dependent. Similarly, for $M = N$ we can construct Riesz bases for $\ell^2(\mathbb{Z})$ with windows $g$ having any number $K \geq N$ of nonzero entries; however, for exactly the same parameter choices there also exist linearly dependent Gabor systems. More generally, we characterize the parameters $M, N, K$ for which the Gabor system is automatically linearly independent, linear dependent, resp. that both cases can occur depending on the choice of $g \in \ell^2(\mathbb{Z})$.

## 2 Gabor systems in $\ell^2(\mathbb{Z})$

For a finitely supported sequence $g \in \ell^2(\mathbb{Z})$, let $|\text{supp} \, g|$ denote the number of nonzero entries of $g$. For illustrations and concrete examples we will often use the sequences $\delta_k \in \ell^2(\mathbb{Z}), k \in \mathbb{Z}$, given by

$$\delta_k(j) = \begin{cases} 1 & \text{if } j = k, \\ 0 & \text{if } j \neq k. \end{cases}$$

It was observed already by Lopez & Han [12] that for any $M, N \in \mathbb{N}$ with $N \leq M$ there exist frames $\{E_{m/M}T_nN g\}$ for $\ell^2(\mathbb{Z})$ generated by windows with $N$ nonzero elements. We will need the following extension, characterizing the existence of Gabor frames $\{E_{m/M}T_nN g\}$ for $\ell^2(\mathbb{Z})$ with a given support size $K$.

**Theorem 2.1** Let $M, N, K \in \mathbb{N}$. Then the following hold:

(i) There exists a Gabor frame $\{E_{m/M}T_nN g\}$ for $\ell^2(\mathbb{Z})$ generated by a window $g$ with $|\text{supp} \, g| = K$ if and only if $N \leq M$ and $K \geq N$. 

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(ii) There exists a Riesz sequence \( \{E_{m/M}T_{nN}g\} \) in \( \ell^2(\mathbb{Z}) \) generated by a window \( g \) with \( |\text{supp} \, g| = K \) if and only if \( N \geq M \) and \( K \geq M \).

**Proof.** For the proof of (i), the necessity of the condition \( N \leq M \) is obvious. We will now show that if \( K < N \) then \( \{E_{m/M}T_{nN}g\} \) can not be complete in \( \ell^2(\mathbb{Z}) \). We do this by identifying some \( k \in \mathbb{Z} \) such that \( E_{m/M}T_{nN}g(k) = 0 \) for all \( n \in \mathbb{Z} \) and \( m \in \{0, \ldots, M - 1\} \). Consider \( I := \{1, \ldots, N\} \); then, for any \( j \in \mathbb{Z} \), there exists exactly one value of \( n \in \mathbb{Z} \) such that \( j + nN \in I \). Since \( g(j) \neq 0 \) only occur for \( K < N \) values of \( j \), there exists some \( k \in I \) such that \( j + nN \neq k \) for all \( n \in \mathbb{Z} \) and all \( j \in \mathbb{Z} \) such that \( g(j) \neq 0 \). That is, \( k - nN \neq j \) for all \( n \in \mathbb{Z} \) and all \( j \in \mathbb{Z} \) such that \( g(j) \neq 0 \). Thus for all \( n \in \mathbb{Z} \), we have that \( g(k - nN) = 0 \). This proves that \( E_{m/M}T_{nN}g(k) = 0 \) for all \( n \in \mathbb{Z} \) and \( m \in \{0, \ldots, M - 1\} \) and thus \( \{E_{m/M}T_{nN}g\} \) can not be complete if \( K < N \); in other words, \( K \geq N \) is necessary for \( \{E_{m/M}T_{nN}g\} \) to be a frame for \( \ell^2(\mathbb{Z}) \).

Now assume that \( N \leq M \) and consider any \( g \in \ell^2(\mathbb{Z}) \) for which

\[
g(j) \neq 0 \quad \text{for} \quad j \in \{1, \ldots, N\} \quad \text{and} \quad g(j) = 0 \quad \text{for} \quad j \notin \{1, \ldots, N\}.
\]

All the vectors in \( \{E_{m/M}g\}_{m=0,\ldots,M-1} \) have support in \( \{1, \ldots, N\} \). Writing the coordinates for these vectors for \( j \in \{1, \ldots, N\} \) as rows in an \( M \times N \) matrix, we get

\[
A = \begin{pmatrix}
g(1) & \hdots & e^{2\pi\mathbf{i}g(N)} \\
e^{\frac{2\pi\mathbf{i}}{M}}g(1) & \hdots & e^{2\pi\mathbf{i}N}g(N) \\
e^{\frac{2\pi\mathbf{i}}{M^2}}g(1) & \hdots & e^{2\pi\mathbf{i}N^2}g(N) \\
\vdots & \ddots & \vdots \\
e^{\frac{2\pi\mathbf{i}}{M^{M-1}}N}g(1) & \hdots & e^{2\pi\mathbf{i}(M-1)N}g(N)
\end{pmatrix}
\]

Thus, letting \( \omega := e^{2\pi\mathbf{i}} \),

\[
A = [u^{(k-1)j}]_{k=1,\ldots,M, j=1,\ldots,N} \, \text{Diag}(g(1), \ldots, g(N)).
\]

Proposition 1.4.3 in [1] shows that the rows in the matrix \( A \) form a frame for \( \text{span}\{\delta_k\}_{k=1}^N \) if and only if the columns in \( A \) are linearly independent; since \( g(j) \neq 0 \) for \( j = 1, \ldots, N \) the linear independence of the columns follows from (2.2). Applying the translation operators \( T_{nN} \) it now follows that \( \{E_{m/M}T_{nN}g\}_{n \in \mathbb{Z}, m=0,\ldots,M-1} \) is a frame for \( \ell^2(\mathbb{Z}) \), with \( K = N \).

Now, consider any \( K > N \) and any \( \epsilon > 0 \) and let \( \tilde{g} := g + \epsilon \sum_{k=N+1}^{K} \delta_k \). It is easy to see that \( \{E_{m/M}T_{nN}\tilde{g}\} \) is a Bessel sequence with bound \( M \); it follows
that for any finite sequence \( \{c_{m,n}\} \in \ell^2((1, \ldots, M - 1) \times \mathbb{Z}) \),

\[
\left\| \sum c_{m,n} E_{m/M} T_{nN}(\tilde{g} - g) \right\| = \left\| \epsilon \sum_{k=N+1}^{K} \sum_{\ell} c_{m,n} E_{m/M} T_{nN} \delta_k \right\|
\leq \epsilon \sum_{k=N+1}^{K} \left\| \sum_{\ell} c_{m,n} E_{m/M} T_{nN} \delta_k \right\|
\leq \epsilon (K - N) \sqrt{M} \left( \sum |c_{m,n}|^2 \right)^{1/2}.
\]

Let \( A \) denote a lower frame bound for \( \{E_{m/M} T_{nN} g\}_{n \in \mathbb{Z}, m=0, \ldots, M-1} \). If we choose \( \epsilon > 0 \) such that \( \epsilon (K - N) \sqrt{M} < A \), it follows from Theorem 22.1.1 in [1] that \( \{E_{m/M} T_{nN} \tilde{g}\}_{m=0, \ldots, M-1, n \in \mathbb{Z}} \) is a frame for \( \ell^2(\mathbb{Z}) \). By construction, \( K = |\text{supp } g| \).

The result in (ii) is a consequence of the duality principle [7], stating that a Bessel sequence \( \{E_{m/M} T_{nN} g\} \) is a frame for \( \ell^2(\mathbb{Z}) \) if and only if the Gabor system \( \{E_{m/N} T_{nM} g\} \) is a Riesz sequence; in particular the finitely supported windows \( g \) generating frames in (i) are precisely the ones that generate Riesz sequences in (ii). A direct proof of the existence can be given along the lines of the proof of (i), as follows. Assume that \( M < N \) and consider any \( g \in \ell^2(\mathbb{Z}) \) for which \( g(j) \neq 0 \) for \( j \in \{1, \ldots, M\} \) and \( g(j) = 0 \) for \( j \notin \{1, \ldots, M\} \). Then \( \{E_{m/M} g\}_{m=0, \ldots, M-1} \) is a basis for \( \text{span}\{\delta_k\}_{k=1}^M \); since \( N \geq M \) this implies that \( \{E_{m/M} T_{nN} g\} \) is a Riesz sequence in \( \ell^2(\mathbb{Z}) \). A similar perturbation argument as in (i) now yields the conclusion. \( \square \)

Let us mention yet another way of proving the existence of Gabor frames \( \{E_{m/M} T_{nN} g\} \) for \( N/M < 1 \), using sampling of B-spline generated Gabor frames for \( L^2(\mathbb{R}) \). Recall that the B-splines \( B_K, K \in \mathbb{N} \), are defined recursively by convolutions, \( B_1 := \chi_{[0,1]}, B_{K+1}(x) := (B_K \ast B_1)(x) = \int_0^1 B_K(x-t) \, dt, x \in \mathbb{R} \).

**Example 2.2** Assume that \( N < M \) and consider the B-spline \( B_{N+1} \). Since \( 1/M \leq 1/(N + 1) \), the system \( \{e^{2\pi i mx/M} B_{N+1}(x - nN)\}_{n,m \in \mathbb{Z}} \) is a Gabor frame for \( L^2(\mathbb{R}) \) by Corollary 11.7.1 in [1]. Define the discrete sequence \( B_{N+1}^D = \{B_{N+1}(j)\}_{j \in \mathbb{Z}} \). Since \( B_{N+1} \) is a continuous function with compact support, the sampling results in [9] imply that the discrete Gabor system \( \{E_{m/M} T_{nN} B_{N+1}^D\}_{n \in \mathbb{Z}, m=0, \ldots, M-1} \) is a frame for \( \ell^2(\mathbb{Z}) \). Note that \( \text{supp } B_{N+1}^D = \{1, 2, \ldots, N\} \), i.e., \( |\text{supp } B_{N+1}^D| = N \). \( \square \)

The main body of Gabor analysis in \( L^2(\mathbb{R}) \) has a completely parallel version in \( \ell^2(\mathbb{Z}) \), but with regard to linear dependence the two cases are very different. In fact, certain choices of the parameters \( M, N, K \in \mathbb{N} \) imply that the Gabor
system \{E_{m/M}T_{nN}g\} is linearly dependent for all windows \(g \in \ell^2(\mathbb{Z})\) with \(|\text{supp } g| = K\); for other choices of the parameters there exist linearly dependent as well as linearly independent Gabor systems. The precise statement is as follows.

**Theorem 2.3** Let \(M, N \in \mathbb{N}\). Then the following hold:

(i) If \(M = 1\), the system \(\{E_{m/M}T_{nN}g\}\) is linearly independent for all \(g \in \ell^2(\mathbb{Z}) \setminus \{0\}\).

(ii) If \(M > |\text{supp } g|\) the Gabor system \(\{E_{m/M}T_{nN}g\}\) is linearly dependent.

(iii) If \(N < M\), the Gabor system \(\{E_{m/M}T_{nN}g\}\) is linearly dependent for any finitely supported \(g \in \ell^2(\mathbb{Z})\).

(iv) For all \(M, N, K \in \mathbb{N}\) there exists a linearly dependent Gabor system \(\{E_{m/M}T_{nN}g\}\) with \(K = |\text{supp } g|\).

(v) If \(N \geq M\), then there exists for any \(K \geq M\) a linearly independent Gabor system \(\{E_{m/M}T_{nN}g\}\) with \(K = |\text{supp } g|\).

**Proof.** For \(M = 1\) the system \(\{E_{m/M}T_{nN}g\}\) equals the shift-invariant system \(\{T_{nN}g\}_{n \in \mathbb{Z}}\) and is thus linearly independent whenever \(g \in \ell^2(\mathbb{Z}) \setminus \{0\}\); this proves (i). For the proof of (ii), the vectors \(\{E_{m/M}g\}_{m=1,\ldots,M-1}\) can be considered as \(M\) vectors in a space of dimension \(|\text{supp } g|\); thus they are linearly dependent if \(M > |\text{supp } g|\), and hence \(\{E_{m/M}T_{nN}g\}\) is linearly dependent.

For the proof of (iii), consider any finitely supported \(g \in \ell^2(\mathbb{Z})\). Without loss of generality, assume that \(g(j) = 0\) for \(j \notin \{1, 2, \ldots, L\}\). Now, if \(L < M\), then the finite collection of vectors \(\{E_{m/M}g\}_{m=0,\ldots,M-1}\) is clearly linear dependent. Thus, we now consider the case \(M \leq L\). Considering a finite number of translates of \(g\), i.e., \(\{T_{nN}g\}_{n=0,\ldots,\ell}\) for some \(\ell \in \mathbb{N}\), there are at most \(L + \ell N\) coordinates where one or more of the vectors are nonzero; thus the system \(\{T_{nN}g\}_{n=0,\ldots,\ell}\) belongs to an \((L + \ell N)\)-dimensional space. Therefore the collection \(\{E_{m/M}T_{nN}g\}_{m=0,\ldots,M-1,n=0,\ldots,\ell}\) consists of \((\ell + 1)M\) vectors in an \((L + \ell N)\)-dimensional space. Clearly they are linearly dependent if we choose \(\ell \in \mathbb{N}\) such that \((\ell + 1)M > L + \ell N\), i.e., \(\ell > \frac{L-M}{M-N}\). Thus the Gabor system \(\{E_{m/M}T_{nN}g\}\) is linearly dependent, as claimed.

For the proof of (iv), given \(M \in \mathbb{N}\), let \(g := \sum_{k=1}^{K} \delta_{kM}\); then for any \(m' \in \mathbb{N}\),

\[E_{m'/M}g(j) = e^{2\pi im'j/M} \sum_{k=1}^{K} \delta_{kM}(j) = \sum_{k=1}^{K} \delta_{kM}(j) = g(j), \forall j \in \mathbb{Z},\]
i.e., $E_{m'/M}g = g$; thus the Gabor system \{${E_{m/M}T_{nN}g}$\} is linearly dependent. The result in (v) is a consequence of Theorem 2.1 (ii).

Let us single out the particular result that indeed motivated us to write this short note. Recall that a frame that is not a basis is said to be overcomplete; for a frame \{${E_{m/M}T_{nN}g}$\} in $\ell^2(\mathbb{Z})$ this is the case if and only if $N < M$ \cite{2}.

**Corollary 2.4** Any overcomplete Gabor frame \{${E_{m/M}T_{nN}g}$\} with a finitely supported window $g \in \ell^2(\mathbb{Z})$ is linearly dependent.

**Proof.** The result follows immediately from Theorem 2.3 (iii).

The picture changes if we allow windows with infinite support: linearly independent and overcomplete Gabor frames with infinitely supported windows exist, as we show now. Our construction is inspired by a calculation for Hermite functions in $L^2(\mathbb{R})$ given in \cite{4}.

**Proposition 2.5** Define $g \in \ell^2(\mathbb{Z})$ by $g(j) = e^{-j^2/2}$. Then \{${E_{m/M}T_{nN}g}$\} is linearly independent for all $M, N \in \mathbb{N}$ and a frame for $\ell^2(\mathbb{Z})$ if $N < M$.

**Proof.** It is well-known that a Gabor system \{${e^{2\pi ibx}\varphi(x - na)}$\}$_{m,n \in \mathbb{Z}}$ in $L^2(\mathbb{R})$ is a Gabor frame for $L^2(\mathbb{R})$ whenever $\varphi(x) = e^{-x^2/2}$ and $0 < ab < 1$. Applying the sampling results by Janssen (see Proposition 2 in \cite{6}) it follows that the sequence $g$ generates a Gabor frame \{${E_{m/M}T_{nN}g}$\} for $\ell^2(\mathbb{Z})$ whenever $N/M < 1$. Note that this argument uses that the Gaussian satisfies the so-called condition R; we refer to \cite{6} for details.

Now consider any $M, N \in \mathbb{N}$. In order to show that \{${E_{m/M}T_{nN}g}$\} is linearly independent, assume that there is a finite scalar sequence \{${c_{n,m}}$\}$_{n=-L,...,L,m=0,...,M-1}$ such that $\sum_{n=-L}^{L} \sum_{m=0}^{M-1} c_{n,m}E_{m/M}T_{nN}g = 0$. Thus, for all $j \in \mathbb{Z}$,

$$0 = \sum_{n=-L}^{L} \sum_{m=0}^{M-1} c_{n,m} e^{2\pi jm/M} e^{-(j-nN)^2} = e^{-j^2} \sum_{n=-L}^{L} \left( \sum_{m=0}^{M-1} c_{n,m} e^{2\pi jm/M} \right) e^{2nNj-(nN)^2}$$

For $n = -L, \ldots, L$, defining the functions $\mathcal{E}_n$ on $\mathbb{Z}$ by $\mathcal{E}_n(j) = \sum_{m=0}^{M-1} c_{n,m} e^{2\pi jm/M}$, $j \in \mathbb{Z}$, we thus have

$$\sum_{n=-L}^{L} \mathcal{E}_n(j) e^{2nNj-(nN)^2} = 0, \forall j \in \mathbb{Z}. \quad (2.3)$$

Note that $\mathcal{E}_n$ is a bounded and $M$-periodic function on $\ell^2(\mathbb{Z})$. We will first prove that $\mathcal{E}_n = 0$ for all $n = -L, \ldots, L$. Assume that there is some $n > 0$
such that $E_n(j) \neq 0$ for some $j \in \mathbb{Z}$. Then take the largest such $n$ and a corresponding $j_0 \in \{1, \ldots, M - 1\}$ such that $E_n(j_0) \neq 0$. Then

$$\sum_{n=-L}^{L} E_n(j_0 + \ell M)e^{-(nN)^2}e^{2nN(j_0 + \ell M)} \to \infty \quad \text{as } \ell \to \infty$$

which is contradicting (2.3). Therefore for all $0 < n \leq L$, $E_n = 0$. A similar argument shows that for all $-L \leq n < 0$, we have $E_n = 0$. Now (2.3) implies that also $E_0 = 0$, as claimed.

Considering now any $n = -L \ldots, L$, we thus have $\sum_{m=0}^{M-1} c_{n,m}e^{2\pi i j M/M} = 0$ for all $j = 0, \ldots, M - 1$. Writing this set of equations in matrix form, the matrix describing the system is a Vandermonde matrix and thus invertible; it follows that $c_{n,m} = 0$ for $m = 0, \ldots, M - 1$. Since $n \in \{-L, \ldots, L\}$ was arbitrary, this proves that the Gabor system is linearly independent. $\square$

Let us also give a construction of a linearly dependent Gabor frame for $\ell^2(\mathbb{Z})$ with an infinitely supported window.

**Example 2.6** Assume that $N < M$ and consider the sequence $g \in \ell^2(\mathbb{Z})$ given by $g(j) = 1$ for $j \in \{1, \ldots, N\}$ and $g(j) = 0$ for $j \notin \{1, \ldots, N\}$. As we have seen in the proof of Theorem 2.1 (i), the system $\{E_{m/M}T_ng\}$ is a frame for $\ell^2(\mathbb{Z})$. For $\epsilon > 0$, let $\tilde{g} = g + \sum_{\ell=1}^{\infty} \frac{\epsilon}{\ell} \delta_{\ell M+1}$. Then $\tilde{g}$ has infinite support and a similar calculation as in the proof of Theorem 2.1 (i) shows that for any finite sequence $\{c_{m,n}\}$, $\| \sum c_{m,n}E_{m/M}T_ng - \tilde{g} \| \leq \epsilon \sqrt{M/2} \sum_{m,n} (|c_{m,n}|^2)^{1/2}$.

Applying again the perturbation results for frames (Theorem 22.1.1 in [1]), it follows that for sufficiently small $\epsilon$, the system $\{E_{m/M}T_ng\}$ is a frame for $\ell^2(\mathbb{Z})$. Now, since $N < M$ and the support of $g$ has length $N$, the system $\{E_{m/M}g\}_{m=0,\ldots,M-1}$ is linearly dependent; thus, we can choose a nonzero scalar sequence $\{c_m\}_{m=0}^{M-1}$ such that $\sum_{m=0}^{M-1} c_mE_{m/M}g = 0$, i.e., $\sum_{m=0}^{M-1} c_m e^{2\pi i jm/M} = 0$ for $j = 1, \ldots, N$. It follows that for any $\ell \in \mathbb{N}$,

$$\sum_{m=0}^{M-1} c_mE_{m/M}\delta_{\ell M+1}(\ell M + 1) = \sum_{m=0}^{M-1} c_m e^{2\pi i (\ell M+1)m/M} = \sum_{m=0}^{M-1} c_m e^{2\pi im/M} = 0,$$

and thus $\sum_{m=0}^{M-1} c_mE_{m/M}\delta_{\ell M+1} = 0$. The construction of the sequence $\tilde{g}$ now shows that $\sum_{m=0}^{M-1} c_m E_{m/M} \tilde{g} = 0$; it follows that the Gabor system $\{E_{m/M}T_N \tilde{g}\}$ is linearly dependent, as claimed. $\square$

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