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# Modeling of Pharmaceutical Biotransformation by Enriched Nitrifying Culture under Different Metabolic Conditions

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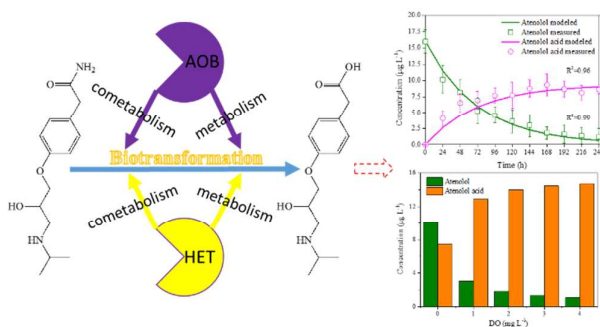
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**18 Abstract**

19        Pharmaceutical removal could be significantly enhanced through cometabolism during  
20 nitrification processes. So far pharmaceutical biotransformation models have not considered  
21 the formation of transformation products associated with the metabolic type of  
22 microorganisms. Here we reported a comprehensive model to describe and evaluate the  
23 biodegradation of pharmaceuticals and the formation of their biotransformation products by  
24 enriched nitrifying cultures. The biotransformation of parent compounds was linked to the  
25 microbial processes via cometabolism induced by ammonium oxidizing bacteria (AOB)  
26 growth, metabolism by AOB, cometabolism by heterotrophs (HET) growth and metabolism  
27 by HET in the model framework. The model was calibrated and validated using experimental  
28 data from pharmaceuticals biodegradation experiments at realistic levels, taking two  
29 pharmaceuticals as examples, i.e., atenolol and acyclovir. Results demonstrated the good  
30 prediction performance of the established biotransformation model under different metabolic  
31 conditions, as well as the reliability of the established model in predicting different  
32 pharmaceuticals biotransformations. The linear positive correlation between ammonia  
33 oxidation rate and pharmaceutical degradation rate confirmed the major role of cometabolism  
34 induced by AOB in the pharmaceutical removal. Dissolved oxygen was also revealed to be  
35 capable of regulating the pharmaceutical biotransformation cometabolically and the substrate  
36 competition between ammonium and pharmaceuticals existed especially at high ammonium  
37 concentrations.

38

39 **Keywords:** Cometabolism, pharmaceutical, model, ammonia oxidizing bacteria,  
40 biotransformation product, substrate competition

41

## 42 **Introduction**

43 The ubiquitous occurrence and fate of pharmaceuticals in the environment and  
44 engineering systems have attracted the concerns of the scientists and the public for decades  
45 due to their potential ecotoxic impact on aquatic ecosystems.<sup>1,2</sup> These organic compounds  
46 were present in the wastewater at concentrations ranging from  $\text{pg L}^{-1}$  to  $\mu\text{g L}^{-1}$ .<sup>3,4</sup> As the  
47 wastewater treatment plants (WWTPs) were originally designed for chemical oxygen demand  
48 and other nutrients removal, the incomplete removal was found for pharmaceuticals in the  
49 treatment processes, being a major pathway for pharmaceuticals to enter the environment.<sup>5</sup>

50 Autotrophic biomass (e.g., enriched nitrifying sludge) was capable of transforming the  
51 pharmaceuticals cometabolically during the wastewater treatment process and thus the  
52 pharmaceutical removal was reported to be positively correlated to nitrification rate.<sup>6,7</sup>  
53 Ammonia oxidizing bacteria (AOB) in the nitrifying biomass could degrade a broad range of  
54 substrates including aromatic and aliphatic compounds due to the non-specific enzyme  
55 ammonia monooxygenase (AMO).<sup>8-10</sup> The presence of the growth substrate (i.e. ammonium)  
56 was required for cometabolism which should be taken into account when predicting the fate  
57 of pharmaceuticals.<sup>11</sup> In addition to cometabolism, pharmaceuticals could also be degraded as  
58 the energy and carbon source for microorganisms through metabolic biotransformation.<sup>11</sup>  
59 Furthermore, the formed biotransformation products might be more toxic and persistent.<sup>12</sup>  
60 Hence the biotransformation products should be considered for a more comprehensive  
61 understanding of the fate of pharmaceuticals in the nitrifying activated sludge.

62 Mathematical modeling offers a useful tool and is adopted widely to analyze complicated  
63 metabolic pathways. Cometabolic biotransformations were previously modeled through first-  
64 order kinetics and mixed order kinetics like Monod expression<sup>13-15</sup> and have evolved from  
65 only considering the cometabolic substrates to incorporating the relationships between  
66 cometabolic substrates and growth substrates, such as competitive interaction and toxicity

67 inhibition.<sup>15</sup> However, the previous literature has rarely considered the formation of  
68 biotransformation products in the cometabolic biotransformation models for pharmaceuticals.

69 The aim of this work is to develop and test a comprehensive modeling framework to  
70 describe the pharmaceuticals biotransformation at realistic levels as well as the formation of  
71 their biotransformation products by the enriched nitrifying sludge under different metabolic  
72 conditions. Microbial processes contributing to the pharmaceutical biotransformation were  
73 considered as follows: growth-linked cometabolism by AOB, metabolic transformation by  
74 AOB, growth-linked cometabolism by heterotrophs (HET) and metabolic transformation by  
75 HET. To this end, atenolol and acyclovir were selected as the model compounds in this study  
76 as they were frequently found in the wastewater with the highest concentrations of 25 and 1.8  
77  $\mu\text{g L}^{-1}$ , respectively, which have been reported to be increasingly removed under nitrifying  
78 conditions.<sup>16-18</sup> It has been reported that they can be biotransformed into atenolol acid and  
79 carboxy-acyclovir, respectively.<sup>18,19</sup> Model calibration and validation were carried out with  
80 experimental data using atenolol as parent compounds under different metabolic conditions.  
81 Model evaluation was also conducted using the experimental data from acyclovir  
82 biotransformation. The effects of dissolved oxygen (DO) and ammonium concentrations on  
83 pharmaceutical biotransformation were investigated using the validated model to provide  
84 insights into the process dynamics. The reported model in this work is expected to be used as  
85 a tool to fully understand the fate of pharmaceuticals associated with different metabolisms  
86 by responsible microorganisms in the complicated activated sludge system.

87

## 88 **Materials and Methods**

89

### 90 **Model development**

91 A multi-species and multi-substrate model was developed to describe the pharmaceutical  
92 biotransformation processes by the enriched nitrifying sludge. This biotransformation model  
93 comprehensively considered the consumption of the pharmaceuticals and the formation of  
94 transformation products accompanied with the simultaneous nitrification in the enriched  
95 nitrifying sludge. It describes the relationships among eight soluble substrates as defined in  
96 Table S1 in Supporting Information (SI), i.e., ammonium ( $S_{NH_4}$ ), nitrite ( $S_{NO_2}$ ), nitrate ( $S_{NO_3}$ ),  
97 readily biodegradable substrates ( $S_S$ ), oxygen ( $S_{O_2}$ ), pharmaceutical (parent compound, PC,  
98  $S_{PC}$ ), primary biotransformation product (BP,  $S_{BP}$ ) and other biotransformation products (OP,  
99  $S_{OP}$ ), and five particulate species, i.e., AOB ( $X_{AOB}$ ), HET ( $X_{HET}$ ), NOB (nitrite oxidizing  
100 bacteria,  $X_{NOB}$ ), slowly biodegradable substrates ( $X_S$ ) and inert biomass ( $X_I$ ). Nine processes  
101 are considered: (1) metabolic transformation of PC by AOB; (2) cometabolic transformation  
102 of PC coupled to growth of AOB; (3) endogenous decay of AOB; (4) hydrolysis; (5)  
103 metabolic transformation of PC by HET; (6) cometabolic transformation of PC coupled to  
104 growth of HET; (7) endogenous decay of HET; (8) growth of NOB; and (9) endogenous  
105 decay of NOB. The kinetic expressions and the stoichiometric matrix of the proposed  
106 biotransformation model are summarized in Tables S2 and S3 in SI, respectively. The  
107 definitions, values, units and sources of all parameters used in the biotransformation model  
108 are listed in Table S4 in SI.

109 Pharmaceutical biodegradation was reported to be linked to AOB due to the non-specific  
110 enzyme AMO as well as HET, which was not related to the activity of NOB.<sup>20</sup> In this model,  
111 the microbial growth-linked kinetic expressions (processes 2 and 6 in Table S2 in SI) are  
112 described using the Monod equations, which are associated with cometabolic  
113 biotransformation of pharmaceuticals.<sup>20</sup> The concentration of growth substrates  $S_{NH_4}$  and  $S_S$   
114 is also involved in the Monod equations. The basis of the cometabolic biotransformation  
115 expressions is the concept of transformation coefficient parameters such as AOB growth-

116 linked  $T_{PC-AOB}^c$  and HET growth-linked  $T_{PC-HET}^c$ . The pharmaceutical biotransformation  
117 reactions directly conducted via metabolism by AOB and HET are described by pseudo-first  
118 order kinetic expressions (processes 1 and 5 in Table S2 in SI). For each reaction, the rate is  
119 expressed by an explicit function of the concentrations of relevant pharmaceuticals in the  
120 process. For microbial metabolic biodegradation of PC, the key parameters are biomass  
121 normalized PC degradation rate coefficients in the absence of AOB and HET growth, i.e.  
122  $k_{PC-AOB}$  and  $k_{PC-HET}$ . Processes 1, 2, 5 and 6 together contribute to pharmaceutical  
123 biotransformation in the enriched nitrifying sludge.

124 The formation of biotransformation products is modeled using the specific stoichiometry  
125 coefficients in processes 1, 2, 5 and 6. The coefficients  $\alpha_{BP}^m$  and  $\alpha_{BP}^c$  indicate the  
126 transformation of PC to BP under metabolism and cometabolism conditions by AOB,  
127 respectively. Similarly, the coefficients  $\beta_{BP}^m$  and  $\beta_{BP}^c$  present the transformation of PC to BP  
128 under metabolism and cometabolism conditions by HET, respectively.

129

### 130 **Atenolol and acyclovir biotransformation experiments**

131 Experimental data from our previous biodegradation experiments of atenolol (Case *I*)  
132 and acyclovir (Case *II*) under different conditions by an enriched nitrifying sludge were used  
133 for model evaluation in this work.<sup>21,22</sup> The chemicals used in the batch experiments and the  
134 enrichment of nitrifying cultures in the sequencing batch reactor (SBR) are described in Text  
135 S1 and S2 in SI. Details of the experimental conditions applied in different scenarios are  
136 provided in Table S5 in SI. Briefly, 4-L beaker was used as the batch reactor with enriched  
137 nitrifying cultures inoculated to degrade parent compounds at an initial  $15 \mu\text{g L}^{-1}$ . The mixed  
138 liquid suspended solid (MLVSS) concentration was kept at approximately  $1 \text{ g L}^{-1}$ . All the  
139 batch experiments were conducted in duplicates. The designs for Experiments 1-3 were same  
140 for atenolol (Case *I*) and acyclovir (Case *II*). In Experiment 1,  $30 \text{ mg L}^{-1}$  allylthiourea (ATU)



141 was added to inhibit nitrifying activities,<sup>20,23,24</sup> leading to the dominant contribution from  
142 HET to pharmaceutical biotransformation.<sup>11</sup> Initial ammonium concentration was provided at  
143 50 mg-N L<sup>-1</sup>. No external ammonium was supplied during the entire experimental period  
144 (240 h). In Experiment 2, no initial and external ammonium was provided during 240 h. In  
145 Experiment 3, constant ammonium concentration was maintained at 50 mg-N L<sup>-1</sup> by dosing a  
146 mixture of ammonium bicarbonate and potassium bicarbonate as ammonium feeding solution  
147 and pH buffer at the same time, which could ensure the cometabolic biotransformation by  
148 AOB. The Experiment 4 was exclusively designed for atenolol biotransformation, where  
149 constant ammonium concentrations of 25 mg-N L<sup>-1</sup> were provided using the dosing method  
150 in Experiment 3 during the experimental period. Samples were collected periodically to  
151 analyse mixed liquid suspended solid (MLSS) concentration and its volatile fraction (i.e.,  
152 MLVSS), NH<sub>4</sub><sup>+</sup>, NO<sub>2</sub><sup>-</sup>, NO<sub>3</sub><sup>-</sup>, atenolol, acyclovir and their biotransformation products  
153 atenolol acid and carboxy-acyclovir. The detailed chemical analysis procedures could be  
154 found in the previous work.<sup>21,22,25</sup>

155 The contribution of sorption to removal of atenolol and acyclovir was insignificant based  
156 on our previous studies.<sup>22,25</sup> This is in consistent with low sorption coefficient  $K_D$  (0.04) of  
157 atenolol and low octanol-water partition coefficient Log  $K_{OW}$  (0.16) of atenolol as well as Log  
158  $K_{OW}$  (-1.59) of acyclovir.<sup>26-28</sup> Volatilization was considered negligible given the low values of  
159 Henry's law constants for atenolol ( $1.37 \times 10^{-18}$  atm m<sup>3</sup> mol<sup>-1</sup>) and acyclovir ( $3.2 \times 10^{-22}$  atm m<sup>3</sup>  
160 mol<sup>-1</sup>).<sup>29</sup> Hydrolysis would not contribute to the degradation of atenolol and acyclovir, which  
161 was confirmed previously and was in consistent with the absence of their transformation  
162 products.<sup>22,25</sup> Photodegradation was also insignificant considering the turbidity of the sludge  
163 and the aluminum foil covering the reactor. Therefore, microbially induced biodegradation  
164 should be the main mechanism for pharmaceutical removal in both atenolol and acyclovir  
165 biotransformation experiments.

166

167 **Model calibration and validation**

168 The biotransformation model used in this work consists of 9 biochemical processes and  
169 27 stoichiometric and kinetic parameters (as shown in Tables S2 and S4 in SI). Most of these  
170 parameters were well established in previous literature, therefore the reported values were  
171 directly used in this developed model. However, the information on biomass growth-linked  
172 PC transformation coefficients  $T_{PC-AOB}^c$  and  $T_{PC-HET}^c$  and microbial endogenous  
173 transformation coefficients  $k_{PC-AOB}$  and  $k_{PC-HET}$  was limited.<sup>20</sup> Considering the key role of  
174 cometabolism induced by AOB growth in biotransformation, the maximum specific growth  
175 rate of AOB  $\mu_{max, AOB}$  was of significance to the developed model. Furthermore, the  
176 sensitivity analysis suggested the four key parameters  $k_{PC-AOB}$ ,  $k_{PC-HET}$ ,  $T_{PC-AOB}^c$  and  $\mu_{max, AOB}$   
177 are highly sensitive to the biotransformation processes in terms of the experimental  
178 measurements (examples shown in Figure S1 in SI). Model calibration was therefore  
179 conducted to estimate the values of  $k_{PC-AOB}$ ,  $k_{PC-HET}$ ,  $T_{PC-AOB}^c$  and  $\mu_{max, AOB}$  based on  
180 experimental measurements through minimizing the sum of squares of the deviations  
181 between the measured and modeled values for the concentrations of parent compounds and  
182 biotransformation products under different conditions. In addition, the four stoichiometric  
183 coefficients, i.e.,  $\alpha_{BP}^m$ ,  $\alpha_{BP}^c$ ,  $\beta_{BP}^m$  and  $\beta_{BP}^c$ , for the transformation of PC to BP under metabolism  
184 and cometabolism conditions could be determined based on the respective molecular mass  
185 and concentrations of BP and PC measured in the experiments.

186 Experimental data from atenolol biotransformation (Case I) of Experiments 1-3 were  
187 firstly used for model calibration. Concentrations of ammonium, nitrite, DO, atenolol and  
188 atenolol acid from Experiment 1 and Experiment 2 were fitted by model simulations to  
189 estimate  $k_{PC-HET}$  and  $k_{PC-AOB}$ , respectively, whereas concentrations of ammonium, nitrite, DO,

190 atenolol and atenolol acid from Experiment 3 were fitted to estimate  $\mu_{max, AOB}$  and  $T_{PC-AOB}^c$ ,  
191 using the  $k_{PC-HET}$  and  $k_{PC-AOB}$  values obtained in previous experiments (Experiment 1 and  
192 Experiment 2). Model validation was then carried out with the calibrated parameters using  
193 the independent experimental data sets from atenolol biotransformation of Experiment 4.<sup>21</sup>  
194 Specifically, in Experiment 4, batch experiments with atenolol as the parent compound at an  
195 initial concentration of  $15 \mu\text{g L}^{-1}$  were conducted using the same enriched nitrifying sludge  
196 (i.e., same microbial composition) in the constant presence of ammonium of  $25 \text{mg-N L}^{-1}$  and  
197 at DO of around  $2.5 \text{mg L}^{-1}$ . There were no significant gaps between batch experiments,  
198 leading to insignificant biomass changes. The ammonium and DO concentrations applied  
199 were different from of Experiment 3 at ammonium of  $50 \text{mg-N L}^{-1}$  and DO of  $3.0 \text{mg L}^{-1}$   
200 (Table S5 in SI). To further verify the validity and applicability of the model, the model was  
201 also applied to evaluating the acyclovir biotransformation data from Case II of Experiments  
202 1-3. The key model parameters were recalibrated for Case II using the three sets of batch  
203 experimental data (Table S5 in SI).

204 The sensitivity analysis, parameter estimation, parameter uncertainty evaluation and  
205 model simulations were done through employing a modified version of software AQUASIM  
206 2.1d according to Batstone et al.<sup>30</sup>, with a 95% confidence level for significance testing and  
207 parameter uncertainty analysis. The standard errors and 95% confidence intervals of  
208 individual parameter estimates were calculated from the mean square fitting errors and the  
209 sensitivity of the model to the parameters. Residual sum of squares (RSS) between the  
210 objective data and model was used as the objective function.

211

## 212 **Results**

213

### 214 **Model calibration with experimental data from atenolol biotransformation**

215 As atenolol acid was the sole biotransformation products with no other products  
216 identified in all batch experiments, the dynamics of the substrate  $S_{OP}$  was not modeled herein.  
217 The model was first calibrated to illustrate the biotransformation of atenolol catalysed solely  
218 by HET in Experiment 1 (i.e. with addition of ATU to inhibit the nitrifying activity). Given  
219 that no exogenous organic carbon was supplied during culture enrichment and the only  
220 organic carbon in the batch experiments was pharmaceuticals, the growth of HET was  
221 considered extremely low and the cometabolic transformation rate of pharmaceuticals linked  
222 to growth of HET was not modeled with  $T_{PC-HET}^c$  omitted for estimation.<sup>20</sup> With AOB related  
223 parameters  $k_{PC-AOB}$  and  $T_{PC-AOB}^c$  set to zero, only the parameter  $k_{PC-HET}$  was estimated with  
224 its best-fit value shown in Table 1 for Experiment 1. The predicted atenolol and atenolol acid  
225 concentration profiles with the established model were demonstrated in Figure 1A, along  
226 with the measured experimental values. Atenolol experienced a continuous decrease by 94.3%  
227 from the beginning to the end of experiments accompanied with a gradual increase of  
228 atenolol acid until 168 h and a stable stage until 240 h at a conversion efficiency of 62.6%  
229 (Figure 1A), which was well captured by the model predictions.

230 The experimental data obtained from Experiment 2 (i.e., in the absence of ammonium)  
231 were used to further calibrate the developed model in terms of atenolol and atenolol acid  
232 dynamics. Without the presence of the growth substrate, the ammonium released from cell  
233 lysis process during bacterial decay was minor and AOB growth-linked cometabolism would  
234 be considered to have negligible contribution to atenolol biotransformation. Therefore, only  
235 the metabolic biotransformation by AOB and HET were involved in the biotransformation of  
236 atenolol for Experiment 2. The parameter value of  $k_{PC-HET}$  obtained in Experiment 1 was  
237 used directly without any modification. Another key model parameter  $k_{PC-AOB}$  related to AOB  
238 metabolism was thus reliably estimated during atenolol biotransformation (value as shown in  
239 Table 1). As shown in Figure 1B, although atenolol demonstrated a sharp decrease by 97.4%

240 over the whole experimental period, the production of atenolol acid indicated a lower  
241 transformation efficiency in the absence of ammonium (29.1%) compared with the  
242 experiments with addition of ATU (see Figure 1A), again well matching the model  
243 predictions.

244 In Experiment 3, the presence of ammonium at  $50 \text{ mg-N L}^{-1}$  was provided constantly to  
245 ensure the cometabolic biodegradation of atenolol by both AOB and HET at DO of  $3.0 \text{ mg L}^{-1}$ .  
246 Together with the rest of the parameters involved, the parameter values of  $k_{PC-HET}$  and  
247  $k_{PC-AOB}$  estimated in the previous two experiments were applied in the biotransformation  
248 model. The key parameters related to AOB induced cometabolism, i.e.,  $T_{PC-AOB}^c$  and  $\mu_{max, AOB}$ ,  
249 were then estimated with the optimum values listed in Table 1. Figure S2A in SI showed the  
250 well agreement between predicted and measured concentrations of ammonium, nitrite and  
251 DO based on the proposed model, supporting the capability of the model to describe the two-  
252 step nitrification processes in terms of nitrite accumulation, as well as the suitability of the  
253 selected parameters related to DO dynamics for the cometabolic biodegradation processes by  
254 the enriched nitrifying culture (i.e., the  $K_{O_2, AOB}$  and  $K_{O_2, HET}$  values for AOB and HET). It  
255 should be noted that the nitrate concentrations were not specifically modeled, which were  
256 slightly higher than that in the SBR in all experiments since the biomass in batch experiments  
257 was taken directly from SBR with a background nitrate concentration up to  $1000 \text{ mg L}^{-1}$ . As  
258 shown in Figure 1C, concomitant with the gradual decrease of atenolol at a removal  
259 efficiency of 88.0%, atenolol acid was formed at an increasing trend with 86.9% conversion  
260 efficiency. This was obviously higher than the experiments in the absence of ammonium and  
261 with the addition of ATU, indicating a positive role of AOB induced cometabolism in  
262 atenolol transformation. The model described these observations reasonably well.

263 Overall, the developed model could satisfactorily capture all dynamics associated with  
264 atenolol and atenolol acid in all batch biodegradation experiments under different metabolic

265 conditions. The good agreement between model simulations and measured data in Figure 1  
266 supports the capability of the developed model in describing the microbial growth related  
267 biotransformation of atenolol in enriched nitrifying cultures. The obtained parameter linked  
268 to AOB growth during ammonia oxidation, i.e., AOB-induced cometabolic atenolol  
269 transformation coefficient  $T_{PC-AOB}^c$ , was estimated at  $0.012 \pm 0.000036 \text{ m}^3 \text{ g COD}^{-1}$ . It was  
270 lower than the reported value of  $0.0715 \pm 0.0227 \text{ m}^3 \text{ g COD}^{-1}$  for atenolol biodegradation by  
271 an enriched nitrifying sludge.<sup>20</sup> The non-growth metabolism by HET and the non-growth  
272 metabolism by AOB on atenolol biodegradation also described the experimental data with the  
273 addition of ATU and in the absence of ammonium well. The estimated parameters of  $k_{PC-HET}$   
274 and  $k_{PC-AOB}$  were  $0.000180 \pm 0.000017$  and  $0.000140 \pm 0.000012 \text{ m}^3 \text{ g COD}^{-1} \text{ h}^{-1}$ , which were  
275 lower than but in the same order of magnitude as the literature reported values ( $0.00093 \pm$   
276  $0.00018$  and  $0.00067 \pm 0.00023 \text{ m}^3 \text{ g COD}^{-1} \text{ h}^{-1}$ , respectively).<sup>20</sup> The discrepancy in these  
277 parameters values could be probably ascribed to the difference in the community structure in  
278 the adopted nitrifying cultures or different operating conditions. The model could be  
279 potentially applied to a widespread extent despite that the parameter values would vary  
280 according to the experimental conditions. As suggested, it was difficult to compare these  
281 coefficients ( $k_{PC-HET}$ ,  $k_{PC-AOB}$  and  $T_{PC-AOB}^c$ ) with other pharmaceuticals as most existing  
282 models did not consider the specific biochemical processes.<sup>20</sup>

283

#### 284 **Model validation with atenolol biotransformation under different conditions**

285 In order to further confirm the validity and reliability of the developed model, model  
286 validation was carried out to compare the model simulations to the independent experimental  
287 data, which were not used for model calibration. Based on the measured concentrations of  
288 atenolol and atenolol acid, the stoichiometric coefficients  $\alpha_{BP}^c$  and  $\alpha_{BP}^m$  were calculated as 0.58  
289 and 0.58, respectively. Applied with previously calibrated parameters in Table 1, the

290 proposed biotransformation model was used to predict dynamics of ammonium, nitrite, DO,  
291 atenolol and atenolol acid in the presence of ammonium at a constant concentration of 25 mg-  
292 N L<sup>-1</sup> and at DO of around 2.5 mg L<sup>-1</sup> (significantly different from the ammonium of 50 mg-  
293 N L<sup>-1</sup> and DO of 3.0 mg L<sup>-1</sup> used for model calibration). The model captured the dynamics of  
294 ammonium, nitrite and DO, again suggesting the validity of the two-step nitrification model  
295 and the suitability of the selected parameters related to DO (see Figure S2B). As shown in  
296 Figure 2, atenolol continuously dropped from initial 15 µg L<sup>-1</sup> with a final degradation  
297 efficiency of 92.9%. The conversion rate of atenolol acid transformed from atenolol was  
298 calculated as 57.9%. The model predictions could capture these trends of atenolol  
299 degradation and atenolol acid formation very well, which again supports the validity of the  
300 developed model for atenolol biotransformation.

301

### 302 **Model evaluation with experimental data from acyclovir biotransformation**

303 The experimental results obtained with Case II for biotransformation of acyclovir were  
304 used to further evaluate the developed model. The developed biotransformation model was  
305 recalibrated for acyclovir biodegradation and carboxy-acyclovir formation dynamics under  
306 different conditions. Most of the literature reported model parameters were employed at same  
307 values as the case of atenolol except the stoichiometry coefficients ( $\alpha_{BP}^m$ ,  $\alpha_{BP}^c$ ,  $\beta_{BP}^m$ ,  $\beta_{BP}^c$ ) for  
308 formation of carboxy-acyclovir associated with specific biochemical processes (as shown in  
309 Table S4 in SI), which were calculated based on the experimental data. The values for the  
310 three key parameters  $k_{PC-HET}$ ,  $k_{PC-AOB}$  and  $T_{PC-AOB}^c$  were recalibrated, which were associated  
311 with the investigated parent compound. As the enriched nitrifying biomass utilized in the  
312 batch biodegradation experiments of acyclovir were same as those in case of atenolol, the  
313 maximum growth rate of AOB  $\mu_{max, AOB}$  was set to be the same as in case of atenolol during  
314 model calibration for acyclovir biotransformation in the presence of ammonium. The

315 obtained parameter values for acyclovir biotransformation were  $0.00035 \pm 0.00002 \text{ m}^3 \text{ g}$   
316  $\text{COD}^{-1} \text{ h}^{-1}$  ( $k_{PC-HET}$ ),  $0.00005 \pm 0.00003 \text{ m}^3 \text{ g COD}^{-1} \text{ h}^{-1}$  ( $k_{PC-AOB}$ ) and  $0.00093 \pm 0.00049 \text{ m}^3 \text{ g}$   
317  $\text{COD}^{-1}$  ( $T_{PC-AOB}^c$ ).

318 The model predictions of acyclovir biotransformation matched the experimental results  
319 well under different conditions (Figure 3), further demonstrating the validity of the  
320 established model. Parameters values giving the optimum fits with the experimental data  
321 were difficult to compare reliably with literature values as this study firstly reported the AOB  
322 cometabolic acyclovir transform coefficient  $T_{PC-AOB}^c$ . However, compared to other reported  
323 compounds, e.g. atenolol,<sup>20</sup> it was obvious that parameters  $k_{PC-AOB}$  and  $T_{PC-AOB}^c$  for acyclovir  
324 were lower than those values for atenolol (Table 1), indicating a stronger degradation ability  
325 of the AOB culture studied on atenolol than acyclovir. Considering the molecular differences  
326 between these two pharmaceuticals, this may imply an affinity property of AOB for different  
327 compounds probably due to a preferential substrate selection to AMO active sites.<sup>31</sup> The  
328 parameter  $k_{PC-HET}$  for acyclovir was  $0.00035 \pm 0.00002 \text{ m}^3 \text{ g COD}^{-1} \text{ h}^{-1}$ , which was in the  
329 same order of magnitude of the value estimated in this study ( $0.000180 \pm 0.000017 \text{ m}^3 \text{ g}$   
330  $\text{COD}^{-1} \text{ h}^{-1}$ ) for atenolol. The conversion efficiencies from acyclovir to carboxy-acyclovir  
331 were 83.9%, 43.0% and 29.9% in Experiments 1, 2 and 3, respectively (see Figure 3). These  
332 results indicated the importance of metabolism of acyclovir by HET. Oxidation of acyclovir  
333 to carboxy-acyclovir might be dominated by unspecific monooxygenase from HET,<sup>32</sup> which  
334 needs to be confirmed in the further work.

335

## 336 Discussion

337 In this work, a comprehensive mathematical model is developed to describe the  
338 biotransformation of pharmaceuticals and the formation of their products by enriched  
339 nitrifying cultures. In the proposed model, processes 1 and 2 (Table S2 in SI) depict the



340 AOB-induced cometabolic and metabolic biotransformation of pharmaceuticals, while  
341 processes 5 and 6 (Table S2 in SI) describe the HET-induced cometabolic and metabolic  
342 biotransformation of pharmaceuticals, respectively. Sensitivity analysis indicated that four  
343 key parameters  $k_{PC-HET}$ ,  $k_{PC-AOB}$ ,  $T_{PC-AOB}^c$  and  $\mu_{max, AOB}$  were critical to the model output and  
344 therefore estimated through model calibration. The validity of this biotransformation model is  
345 confirmed by independent atenolol biodegradation data and further evaluated by acyclovir  
346 biotransformation experiments. Compared to the previous studies where atenolol  
347 biodegradation was investigated through experiments and modeling approaches,<sup>20,21</sup> the  
348 proposed model in this work considers the formation of biotransformation products and  
349 describes biotransformation of different pharmaceuticals under different metabolic conditions.  
350 This microbial processes-linked biotransformation model could enhance our ability to predict  
351 the fate of pharmaceuticals and their transformation products during wastewater treatment  
352 processes.

353 Since we estimated four model parameters for fitting the experimental data, parameter  
354 uniqueness is important, since it is possible that different parameter combinations can give  
355 similar simulation accuracy. In our work, we applied a least-squared analysis and evaluated  
356 standard errors and 95% confidence intervals of individual parameter estimates. The  
357 parameter confidence intervals showed a well-defined range in which the optimum values of  
358 parameters reside (Table 1), which indicates good uniqueness of these parameters. In addition  
359 to the analysis of the confidence intervals, two other aspects of our experimental design  
360 support the uniqueness of the parameter values. First, we used five different experimental  
361 parameters (ammonium, nitrite, DO, parent compound, and biotransformation product),  
362 which reflect different aspects of the kinetics of the two-step nitrification and pharmaceutical  
363 biotransformation by enriched nitrifying culture. Second, we carried out independent  
364 experiments to validate the estimated parameters. In particular, the good correspondence for

365 independent experimental data supports the validity of the new model and the uniqueness of  
366 the parameters for pharmaceutical biotransformation.

367 The modeling results in this work suggested the cometabolism induced by AOB could  
368 play an important role in the pharmaceutical removal in the studied ratio ranges of  
369 pharmaceuticals to ammonia for cometabolism. Indeed a positive linear relationship was  
370 observed between ammonia oxidation rate and pharmaceutical degradation rates in terms of  
371 atenolol and acyclovir based on the validated model (Figure 4A). The atenolol degradation  
372 rate increased from 0.012 to 0.16  $\mu\text{g g VSS}^{-1} \text{ h}^{-1}$  while the nitrification rate increased from  
373 2.84 to 59.15  $\text{mg NH}_4^+\text{-N g VSS}^{-1} \text{ h}^{-1}$ . With respect to acyclovir, the degradation rate changed  
374 from 0.014 to 0.10  $\mu\text{g g VSS}^{-1} \text{ h}^{-1}$  whereas the ammonia oxidation rate showed an increase  
375 from 2.37 to 36.63  $\text{mg NH}_4^+\text{-N g VSS}^{-1} \text{ h}^{-1}$ . Such a positive correlation was also reported in  
376 previous literature under certain conditions,<sup>7,22,25</sup> supporting the notion that majority of  
377 atenolol and acyclovir could be cometabolically degraded in the enriched nitrifying cultures.  
378 A further assessment on the wide application of the relationship was carried out by  
379 simulating the concentration profiles of pharmaceuticals after 240 h. The molar ratios of  
380 atenolol to ammonia from  $8.42 \times 10^{-7}$  to  $1.91 \times 10^{-5}$  calculated based on their concentrations  
381 was observed to be still within the range for a linearly positive relationship regarding the  
382 cometabolic biodegradation of atenolol by the enriched nitrifying cultures used in this work,  
383 and the relationship maintained at a same slope (Black solid squares in Figure 4A  
384 demonstrated the predicted atenolol degradation rate after 240 h). However, a different slope  
385 was found for the relationship between ammonia oxidation rate and the acyclovir degradation  
386 rate after 240 h predicted using the developed model (Figure 4B). If the ammonia oxidation  
387 rate was higher than the critical value (2.3  $\text{mg NH}_4^+\text{-N g VSS}^{-1} \text{ h}^{-1}$  in this study), the lower  
388 slope might indicate a slower increasing trend in acyclovir degradation rate with an  
389 increasing ammonia oxidation rate (Figure 4A). Compared with the situation at the lower

390 ammonia oxidation rate, a higher increasing trend in acyclovir degradation rate would arise at  
391 higher slope (Figure 4B). The observation that pharmaceutical would not be degraded until  
392 the ammonia was depleted<sup>33</sup> revealed a higher pharmaceutical degradation rate at lower  
393 ammonia oxidation rate, which supported the findings in this study. Regardless of the  
394 different slopes for the relationship, the molar ratios of acyclovir to ammonia ranging from  
395  $1.62 \times 10^{-11}$  to  $2.26 \times 10^{-5}$  was obtained to be a valid application range for the cometabolic  
396 biodegradation of acyclovir by the enriched nitrifying cultures used in this work.

397 The proposed model framework was expected to be a useful tool to predict the  
398 biotransformation of pharmaceuticals and the formation of transformation products under  
399 varying conditions, therefore providing the guidance in designing, upgrading and optimizing  
400 of the relevant biological wastewater treatment processes. The influence of DO on  
401 pharmaceutical biotransformation was investigated by performing model simulations in the  
402 enriched nitrifying systems. The pharmaceutical removal efficiencies at 240 h at different DO  
403 concentrations ranging from 0 to 4 mg L<sup>-1</sup> with ammonium concentration of 50 mg-N L<sup>-1</sup> are  
404 shown in Figure 5. Overall DO concentration had a positive effect on pharmaceutical  
405 removal efficiencies. The concentrations of atenolol and acyclovir decreased rapidly with a  
406 prompt increase of atenolol acid and carboxy-acyclovir as DO increased to 1 mg L<sup>-1</sup>. With  
407 DO further increased to 4 mg L<sup>-1</sup>, a gradual decrease of pharmaceutical concentrations was  
408 observed accompanied with a slight increase of their biotransformation products. The  
409 degradation efficiencies for atenolol at DO concentrations of 0, 1 and 4 mg L<sup>-1</sup> were 44.3%,  
410 83.2% and 94.0%, respectively. With regard to acyclovir, its degradation efficiencies were  
411 observed to be 36.2%, 81.2% and 87.3%, respectively at DO of 0, 1 and 4 mg L<sup>-1</sup>. The  
412 simulation results revealed that the DO concentration would play an important role in  
413 pharmaceutical biotransformation. This was contrary to the previous report that DO in the  
414 WWTP had no influence on oxidative biotransformation of selected micropollutants.<sup>34</sup> The

415 possible reason could be that the experiments conducted in this study were nitrifying culture  
416 based instead of the regular activated sludge in WWTP, suggesting that DO might regulate  
417 the pharmaceutical biotransformation cometabolically. It should be noted that the simulation  
418 results are to provide insight into the potential impact of DO on pharmaceutical  
419 biotransformation by enriched nitrifying culture rather than to accurately predict the reality,  
420 which remain to be verified in future work.

421 The growth substrate might also have an impact on the pharmaceutical biotransformation.  
422 Different ammonium concentrations ranging from 0 to 100 mg L<sup>-1</sup> were applied in the model  
423 simulations at different DO concentrations as shown in Figure 6. It was obvious that the  
424 degradation efficiencies of studied pharmaceuticals and the formation rates of their  
425 transformation products would increase dramatically when ammonium concentrations  
426 increase from 0 to 20 mg-N L<sup>-1</sup>, especially in case of atenolol suggesting the importance of  
427 cometabolism on its biotransformation. However, there was no significant enhancement with  
428 the increase of ammonium concentrations from 20 to 250 mg-N L<sup>-1</sup> (data of 100-250 mg-N L<sup>-1</sup>  
429 were not shown). This was contrary to the previous report where the removal efficiencies of  
430 the selected pharmaceuticals were enhanced at higher initial ammonium concentrations.<sup>35</sup>  
431 This could be probably due to the substrate competition between growth substrate  
432 (ammonium) and cometabolic substrates (e.g. atenolol or acyclovir). Pharmaceutical levels  
433 applied in this study were several orders of magnitude lower than the investigated ammonium  
434 concentrations, leading to a competition for AMO active sites and therefore potential  
435 decreasing degradation rates at higher ammonium concentrations.<sup>31,33</sup>

436 In summary, a comprehensive model that considers all microbial processes contributing  
437 to pharmaceutical biotransformation as well as the formation of biotransformation products  
438 by the enriched nitrifying cultures is developed in this work. The proposed model was  
439 successfully calibrated and validated using the biotransformation experiments of atenolol and

440 acyclovir under different metabolic conditions. The linear positive correlation between  
441 ammonia oxidation rate and pharmaceutical degradation rate confirmed the major role of  
442 cometabolism induced by AOB in the pharmaceutical removal. DO was revealed to be  
443 capable of regulating the pharmaceutical biotransformation cometabolically and the substrate  
444 competition between ammonium and pharmaceuticals existed at high ammonium  
445 concentrations. More verification should be conducted using other pharmaceuticals'  
446 biotransformation data for this developed model to facilitate its application as a useful tool in  
447 prediction of pharmaceutical fate, especially in the real municipal wastewater systems, where  
448 other processes (e.g., the competition between different parent compounds on the enzyme  
449 active sites) need to be considered in future work.

450

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455

#### 456 **Supporting Information**

457 Additional texts, tables and figures are shown in Supporting Information.

458

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565



566

**Table and Figure Legends**

567

568 **Table 1.** Estimated parameter values for the biotransformation model in this study

569

570 **Figure 1.** Model calibration with experimental data from atenolol biodegradation: (A)

571 Experiment 1, with addition of allylthiourea (ATU); (B) Experiment 2, in the absence of

572 ammonium; and (C) Experiment 3, in the presence of ammonium ( $50 \text{ mg NH}_4^+ \text{-N L}^{-1}$ ).

573

574 **Figure 2.** Model validation results of atenolol biotransformation by the enriched nitrifying

575 culture in the presence of ammonium of  $25 \text{ mg-N L}^{-1}$  (Experiment 4).

576

577 **Figure 3.** Model evaluation with experimental data from acyclovir biodegradation: (A)

578 Experiment 1, with addition of allylthiourea (ATU), (B) Experiment 2, in the absence of

579 ammonium and (C) Experiment 3, in the presence of ammonium ( $50 \text{ mg NH}_4^+ \text{-N L}^{-1}$ ).

580

581 **Figure 4.** (A) The relationship between ammonia oxidizing rate and the pharmaceutical

582 degradation rates in terms of atenolol and acyclovir (black solid squares indicate the atenolol

583 degradation rates after 240 h); and (B) The relationship between ammonia oxidizing rate and

584 the acyclovir degradation rate after 240 h at a different linear fit slope.

585

586 **Figure 5.** Predicted final concentrations of (A) atenolol and atenolol acid and (B) acyclovir

587 and carboxy-acyclovir at time of 240 h at different concentrations of dissolved oxygen (DO)

588 in the enriched nitrifying culture system.

589

590 **Figure 6.** Predicted concentrations of pharmaceuticals and their transformation products at

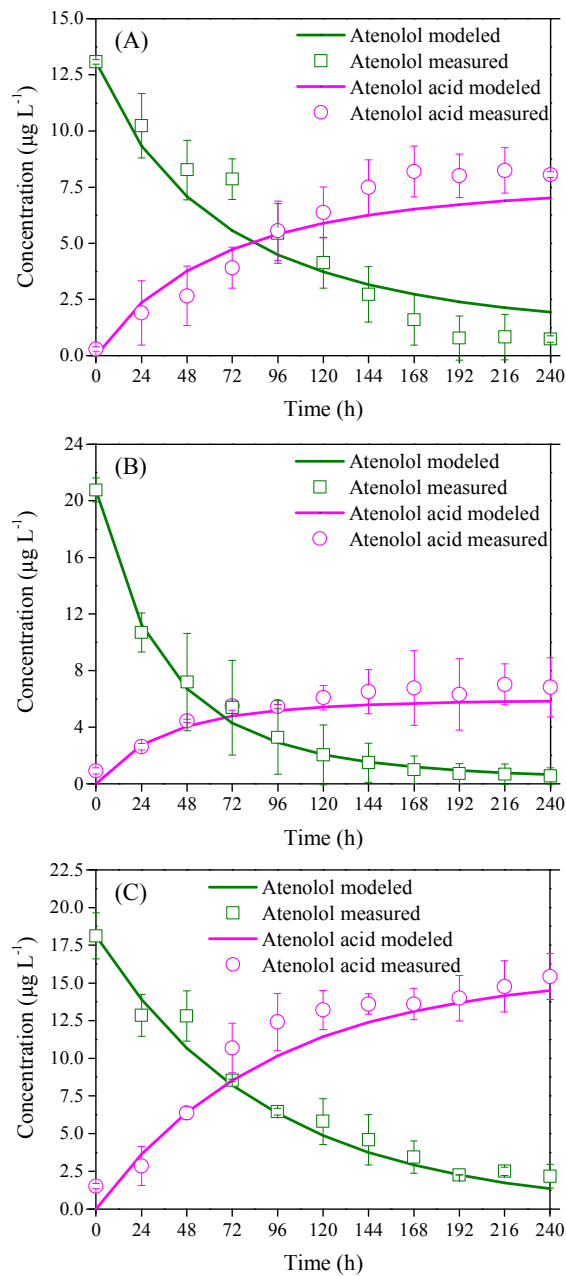
591 time of 240 h at initial concentrations of  $15 \mu\text{g L}^{-1}$  with different ammonium concentrations

592 ranging from 0 to  $100 \text{ mg-N L}^{-1}$  at different DO levels.

593 **Table 1.** Estimated parameter values for the biotransformation model in this study

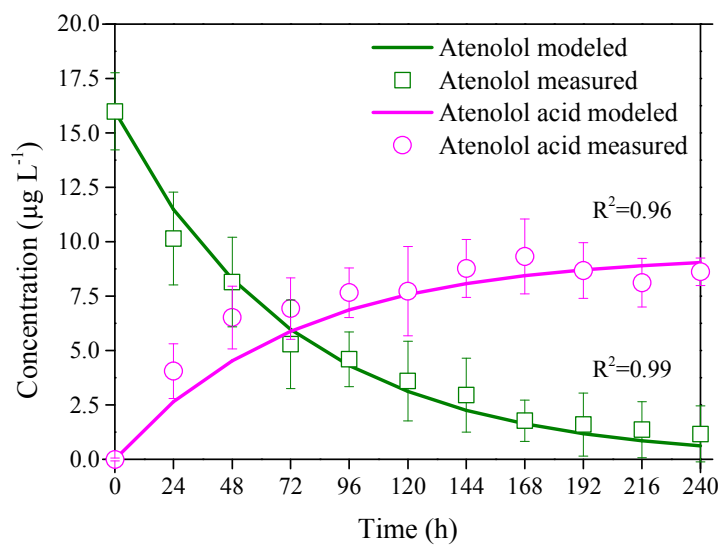
Parameters	Definition	Unit	Estimated	
			atenolol	acyclovir
$k_{PC-HET}$	Heterotrophs (HET) transformation coefficient	$\text{m}^3 \text{g COD}^{-1} \text{h}^{-1}$	0.000180	0.00035 ±
			±	0.00002
			0.000017	
$k_{PC-AOB}$	Ammonia oxidizing bacteria (AOB) transformation coefficient	$\text{m}^3 \text{g COD}^{-1} \text{h}^{-1}$	0.000140	0.00005 ±
			±	0.00003
			0.000012	
$T_{PC-AOB}^c$	Parent compound biotransformation coefficient rate linked to AOB growth (cometabolism)	$\text{m}^3 \text{g COD}^{-1}$	0.012 ±	0.00093 ±
			0.000036	0.00049
$\mu_{max, AOB}$	Maximum specific growth rate of AOB	$\text{h}^{-1}$	0.012 ± 0.0023	

594



595

596 **Figure 1.** Model calibration with experimental data from atenolol biodegradation: (A)  
597 Experiment 1, with addition of allylthiourea (ATU); (B) Experiment 2, in the absence of  
598 ammonium; and (C) Experiment 3, in the presence of ammonium (50 mg  $\text{NH}_4^+\text{-N L}^{-1}$ ).

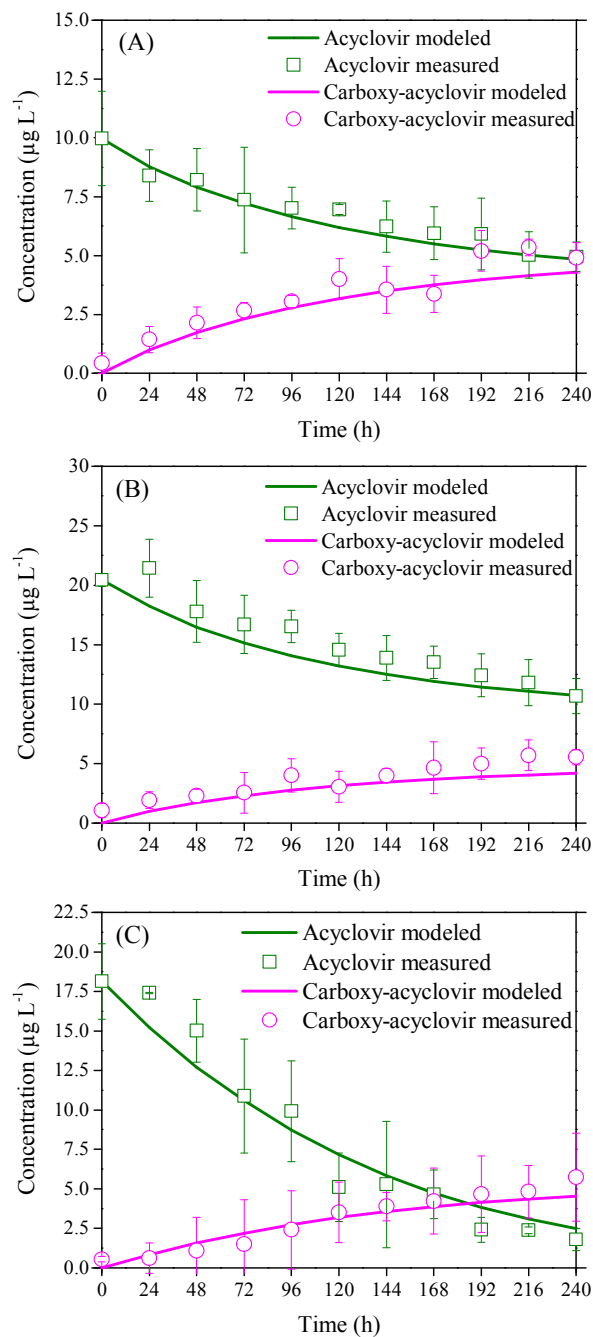


599

600

601 **Figure 2.** Model validation results of atenolol biotransformation by the enriched nitrifying602 culture in the presence of ammonium of 25 mg-N L<sup>-1</sup> (Experiment 4).

603



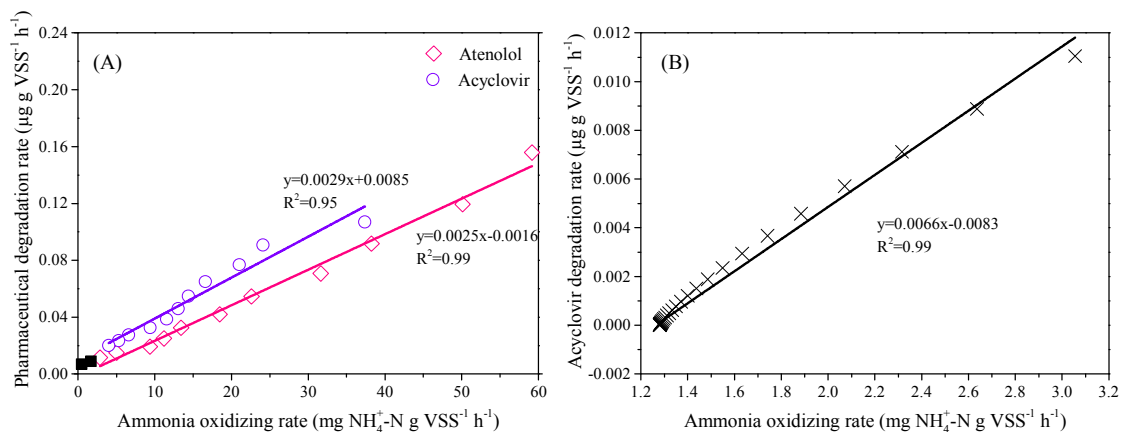
604

605

606 **Figure 3.** Model evaluation with experimental data from acyclovir biodegradation: (A)

607 Experiment 1, with addition of allylthiourea (ATU), (B) Experiment 2, in the absence of

608 ammonium and (C) Experiment 3, in the presence of ammonium ( $50 \text{ mg NH}_4^+ \text{-N L}^{-1}$ ).



609

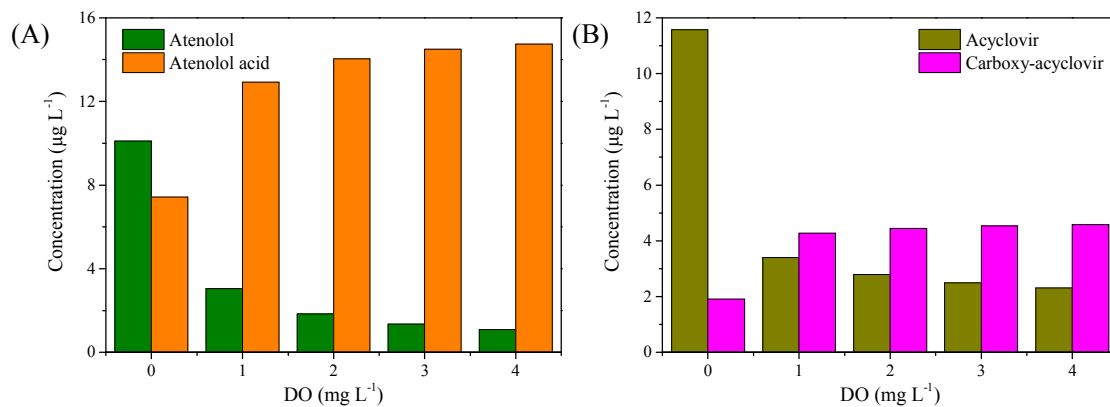
610

**Figure 4.** (A) The relationship between ammonia oxidizing rate and the pharmaceutical

611 degradation rates in terms of atenolol and acyclovir (black solid squares indicate the atenolol

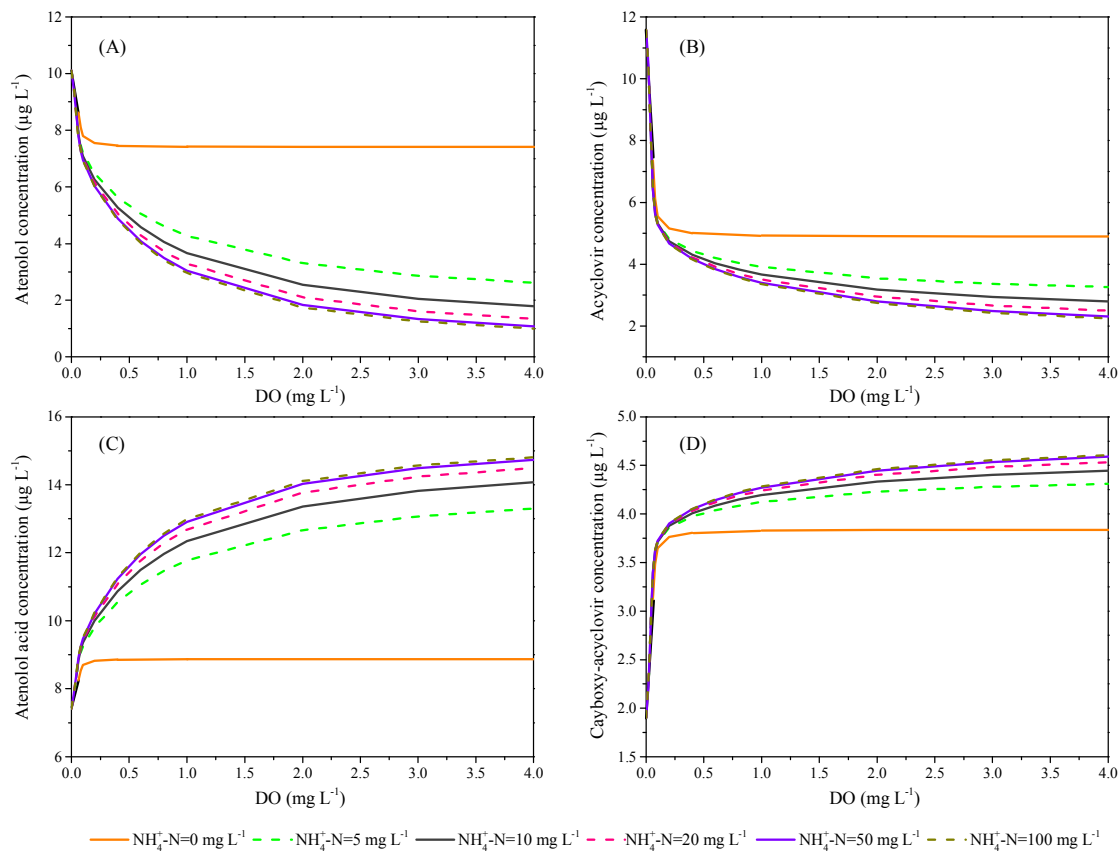
612 degradation rates after 240 h); and (B) The relationship between ammonia oxidizing rate and

613 the acyclovir degradation rate after 240 h at a different linear fit slope.



614

615 **Figure 5.** Predicted final concentrations of (A) atenolol and atenolol acid and (B) acyclovir  
616 and carboxy-acyclovir at time of 240 h at different concentrations of dissolved oxygen (DO)  
617 in the enriched nitrifying culture system.



618

619 **Figure 6.** Predicted concentrations of pharmaceuticals and their transformation products at  
 620 time of 240 h at initial concentrations of 15 µg L<sup>-1</sup> with different ammonium concentrations  
 621 ranging from 0 to 100 mg-N L<sup>-1</sup> at different DO levels.