



Permanent adgang til licensbelagt information i Danmark

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Permanent adgang til licensbelagt information i Danmark

28. juni 2013

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Indholdsfortegnelse

| | |
|---|----|
| 1. Problemstilling | 3 |
| 2. Definitioner | 4 |
| 3. Danske erfaringer | 4 |
| 4. Internationale erfaringer | 5 |
| 5. Status for bevaring af digital information | 5 |
| 6. Sammenligning af arkiveringsløsninger | 10 |
| 7. Mulige arkiveringsløsninger | 11 |
| 8. Anbefalinger | 11 |
| 9. Benyttet litteratur | 13 |
| Bilag 1..... | 14 |
| Bilag 2..... | 16 |

1. Problemstilling

Universitetsbiblioteker stiller i dag videnskabelig information til rådighed for ansatte og studerende i et hidtil uset omfang. Danmarks Elektroniske Fag- og Forskningsbibliotek (DEFF) har betydet, at størstedelen af elektronisk adgang er sikret gennem licensaftaler, hvor biblioteker abonnerer i stedet for at købe til ejendom. Bibliotekerne har dels opsagt dels kasseret den trykte udgave af tidsskrifterne, og derfor er arkivadgangen ikke længere sikret gennem fysiske depoter med trykte tidsskrifter. Risikobilledet er mistet adgang til licensbelagt materiale indkøbt for mange hundrede millioner kroner af danske universitetsbiblioteker pga. ufuldstændige aftaleforhold eller manglende arkivløsninger.

Problemet med permanent adgang til licensbelagt materiale har stigende bevågenhed internationalt. Der er en erkendelse af, at der er behov for robuste og centrale arkivløsninger uafhængige af det kommercielle marked. Der arbejdes sideløbende med at inkludere arkivrettigheder i aftaler med forlagene, men selv med disse rettigheder er risikoen til stede for at adgangen mistes, eksempelvis hvis forlaget opkøbes, ophører eller sælger tidsskrifttitler.

Denne rapport er tænkt som udgangspunkt for diskussioner og beslutninger vedr. en sikring af permanent adgang til licensbelagt information i Danmark. Rapportens målgruppe er DEFF's styregruppe og afsender er Licensgruppen for Universitets- og Forskningsbiblioteker (LUF).

Rapporten omhandler alene internationale, licensbelagte, elektroniske tidsskrifter, og retrodigitaliserede samlinger indgår derfor ikke i rapporten. Permanent adgang til sidstnævnte samlinger er den enkelte institutions ansvar, mens permanent adgang til de elektroniske tidsskrifter er en fælles national og international udfordring.

Der arbejdes i rapporten med to problemstillinger for permanent adgang: Forlagsophør samt post-cancellation adgang (dvs. adgang til abonnerede tidsskrifter efter opsigelse af abonnement). Post-cancellation adgang bliver som regel teknisk håndteret af forlagene, mens adgang efter forlagsophør i forskelligt omfang reguleres af licensaftalerne med forlagene. Rapporten analyserer forskellige løsningsmodeller og slutter med en række anbefalinger for det kommende arbejde.

Løsningsmodellerne er forudsat med udgangspunkt i internationale løsninger, idet det vurderes, at en ren dansk løsning vil dublere internationale løsninger samt være økonomisk ufordelagtig.

Denne rapport redegør kort for situationen i Danmark, internationale erfaringer og beskriver mulige arkivløsninger. Det sidste afsnit indeholder gruppens anbefalinger.

2. Definitioner

Følgende begreber er centrale for arbejdet med permanent adgang til elektroniske tidsskrifter:

Digital preservation / Long term preservation

Digital preservation / Long term preservation omhandler digital bevaring, og det er de politikker og aktiviteter, der er nødvendige for at sikre en varig tilgængelighed af bl.a. elektroniske tidsskrifter på meget langt sigt.

Perpetual access / Post-cancellation access (fortsat adgang til en elektronisk ressource):

Perpetual access / Post-cancellation access er det begreb, der bruges til at beskrive muligheden for at bevare adgangen til tidligere betalte elektroniske tidsskrifter efter opsigelse af licensaftale. Efter opsigelse af en licens er der forlag, der fortsat giver adgang til ressourcen for den periode, der er betalt for gennem årene. Nogle forlag vælger dog at give adgang via en af arkiv-løsningerne. P.t. er det kun Portico og LOCKSS, der giver mulighed for post-cancellation access.

Perpetual rights / Post-cancellation rights

Perpetual rights / Post-cancellation rights er de aftalemæssige forhold, der sikrer adgang til bl.a. elektroniske tidsskrifter enten i forbindelse med opsigelse af en aftale, eller hvis et forlag ophører med at udgive et eller flere elektroniske tidsskrifter.

Trigger event

En trigger event er en hændelse, som udløser adgang via en af arkiveringsløsningerne til elektroniske tidsskrifter. Den kan være ophør af et eller flere elektroniske tidsskrifter, en katastrofe eksempelvis en naturkatastrofe, som umuliggør adgangen til et eller flere elektroniske tidsskrifter etc.

Dark archive

Dark archive er betegnelsen for et elektronisk arkiv der ikke kan tilgås umiddelbart. Formålet med et dark archive er at åbne op for adgangen til bl.a. elektroniske tidsskrifter i særlige tilfælde eksempelvis udløst af en trigger event.

3. Danske erfaringer

DEFF har gennem mere end et årti forhandlet licensaftaler med forlag om adgang til udenlandske, elektroniske tidsskrifter. Sikring af permanent adgang er inkluderet i forskellig grad i licensaftalerne under perpetual access. Sikringen af adgangen har således været på listen over licenskrav over for forlaget og var dermed på agendaen ved forhandlinger. Det er dog forskelligt, hvor udførligt arkivrettighederne er beskrevet, hvis de er medtaget. Det kan skyldes vægten af kravet i den enkelte forhandling, men forlagenes formåen eller mulighed i forhold til reelt at kunne stille sådanne garantier for fortsat adgang ved salg af titler eller ophør af virksomheden har tillige spillet ind. Knowledge Exchange har udarbejdet forslag til klausuler, der bør indskrives i licensaftaler, se bilag 1.

Der har tillige været forsøg på at opbygge en dansk databrønd eller arkiv, som også kunne omfatte lagring af licensbelagte, udenlandske tidsskrifter. Projektet blev ikke ført til ende bl.a.

grundet omkostningsniveauet, og udviklingen har efterfølgende peget i retning af robuste internationale løsninger.

<http://www.bs.dk/publikationer/nfn/2008/3/html/chapter12.htm>

En rundspørge blandt landets universiteter viser, at der i dag ikke anvendes internationale arkivløsninger som LOCKSS/CLOCKSS eller Portico, om end der har været tilløb til dette i form af indhentning af tilbud og forespørgsler. Det samlede billede er, at der ikke findes tilstrækkelig garanti for permanent adgang til indkøbte licensbelagte tidsskrifter i Danmark.

4. Internationale erfaringer

Internationalt har adskillige beskæftiget sig med permanent adgang til licensbelagt materiale, og i det følgende fokuseres der på England, Tyskland og Holland, som er DEFFs partnere i Knowledge Exchange samarbejdet.

I 2008 udgav JISC i England en sammenlignende analyse af forskellige arkivløsninger for elektroniske tidsskrifter. De tre produkter LOCKSS, CLOCKSS og Portico er beskrevet uden klare præferencer, og analysen viser, at arkivløsningerne har hver deres styrker og svagheder. Med udgangspunkt i JISC var der med udgangen af 2012 47 deltagende biblioteker i Porticosamarbejdet, ligesom der er etableret et konsortium omkring CLOCKSS, der løber 2010-2014.

Tyskland har fået udarbejdet en strategirapport, som både beskriver en national strategi for retrodigitalisering samt permanent adgang til elektroniske ressourcer.

Rapporten rummer 30 anbefalinger, hvoraf tre kan fremhæves:

- Bevar en national dimension i strategien. Det indebærer en evaluering af potentielle partnerskaber og serviceudbydere samt opretholdelse af en opdateret oversigt over vigtige tendenser og best practice politikker på internationalt niveau.
- Udvikling af modellicens og klausuler for Deutsche Forschungsgemeinschafts (DFGs) nationale licenser.
- Udvælgelse eller etablering af serviceleverandører, der kan levere implementeringer af hosting og/eller perpetual access rettigheder.

I Tyskland har man arbejdet med anbefalingerne fra rapporten, og forventningen er ikke én men flere løsninger. Der bliver således ikke en samlet tysk aftale for eksempelvis Portico.

Holland har gennem mange år haft deres egen arkivløsning kaldet e-Depot, men noget tyder på, at man fremadrettet vil satse på Portico.

5. Status for bevaring af digital information

Gennem de seneste år er der kommet flere og flere spillere på banen i form af firmaer, der sikrer en bevaring af videnskabelig information. En undersøgelse på Internettet giver dette resultat.

- British Library
- CLOCKSS

- e-Depot (Koninklijke Bibliotheek/The National Library of the Netherlands)
- General LOCKSS Network
- HathiTrust
- National Science Library China
- Portico

I det efterfølgende gives en kort beskrivelse af ovennævnte løsninger herunder deres fordele og ulemper.

British Library

British Library (BL) indsamler og bevarer en stigende mængde digitalt materiale. Gennem pligtafleveringen har BL modtaget en kopi af alle trykte publikationer produceret i Storbritannien og Irland. Siden 2003 har denne lovgivning tillige givet muligheder for ikke-trykte materialer, og i 2011 er der sket en regulering af lovgivningen. Så snart denne bliver iværksat, vil BL gå aktivt i gang med arbejdet.

<http://www.bl.uk/aboutus/stratpolprog/digi/digitalpreservation/index.html>

Imod:

- British Library er en meget ny partner på området og er derfor svær at vurdere. Dette er især set i lyset af, at der endnu ikke er noget konkret at vurdere på.
- Ingen post-cancellation adgang

CLOCKSS

CLOCKSS (Controlled Lots of Copies Keeps Stuff Safe) arkivet er et non-for-profit, dark archive. De digitale videnskabelige materialer bevares og gøres tilgængelige gennem et globalt netværk af arkiv-servere. Da CLOCKSS er grundlagt af verdens førende biblioteker og forlag, har arkivet opnået en bred opbakning blandt forlag, der indvilliger i at gøre deres indhold frit tilgængeligt i tilfælde af, at de ikke længere selv kan levere det. CLOCKSS styres demokratisk af alle deltagerne. Der er et begrænset antal arkiv-servere i hele verden.

Bibliotekerne får adgang til materialet, når forlaget ikke selv kan stille det til rådighed. For at stille materialet til rådighed skal en trigger event (udløsende faktor) være til stede. CLOCKSS stiller materialet gratis til rådighed under en Creative Commons licens. CLOCKSS er p.t. det eneste arkiv, der garanterer, at Open Access materiale forbliver Open Access i tilfælde af en udløsende faktor, dvs. er gratis tilgængeligt for alle. På nuværende tidspunkt (2012) er der 6 tidsskrifter (dog ikke OA-materiale), som er stillet til rådighed.

http://www.clockss.org/clockss/Triggered_Content

<http://www.clockss.org/>

For:

- Baseret på LOCKSS teknologien men samlet i store servere (p.t. 15)
- Flere store forlag deltager (bl.a. Elsevier).

Imod:

- Post-cancellation adgang er ikke en del af CLOCKSS.

e-Depot

e-Depot er et arkiv, der sikrer langtidsbevaring af og langtidsadgang til digitale objekter.

Koninklijke Bibliotheek's / National Library of the Netherlands' (KB) e-Depot har til formål at sikre forskerne evig adgang til de offentliggjorte publikationer. e-Depot har lagret mere end 10 millioner digitale objekter, hovedsagelig publikationer med internationale forlag.

Materialerne bliver bevaret på KB og er tilgængelige på stedet. I tilfælde af en trigger event bliver materialet tilgængeligt via e-Depot portalen.

<http://www.kb.nl/en/expertise/e-depot-and-digital-preservation>

Imod:

- e-Depot er i en omlægningsfase, og noget tyder på, at denne omlægning tager længere tid og ikke forløber som planlagt
- Kun post-cancellation "on site".

LOCKSS

Det globale LOCKSS (Lots of Copies Keeps Stuff Safe) Network bevarer nutidens e-tidsskrifter og e-bøger til fremtidens læsere. Global LOCKSS Network er en gennemprøvet bevaring, der giver biblioteker værdi ved at genskabe bibliotekssamlinger via en lokalt installeret "LOCKSS box" (en digital boghylde). Betalingerne er adskilt fra adgang, og evig adgang er garanteret. Det globale LOCKSS Network forbedrer en udgivers værdi ved at bevare den oprindelige offentliggjorte artikel, herunder branding og historisk kontekst og de underliggende filer som leveret. Det beskytter en udgivers forretningsmodel. Det globale LOCKSS Network administreres og forvaltes af Stanford University Libraries LOCKSS Program.

Autoriserede brugere på deltagende institutioner får adgang til indholdet fra en institutions LOCKSS boks, når indholdet ikke er tilgængelig fra den oprindelige udgivers hjemmeside. LOCKSS systemet ændrer ikke indholdet i den oprindelige URL med alle originale bibliografiske metadata, så indholdet kan nemt findes ved web søgemaskiner, bibliotekskataloger og alle bibliografiske tjenester. Integration med Open URL linkresolvere såsom SFX, 360 Link og Cufts er undervejs.

<http://www.lockss.org/>

For:

- Biblioteker spiller en aktiv rolle, idet alle deltagere har en LOCKSS boks
- En kopi af tidsskrifter i aftalen er gemt lokalt
- Tætteste løsning i sammenligning med trykte tidsskrifter
- LOCKSS har øget antallet af forlag, og mange små forlag deltager
- Mulighed for post-cancellation adgang.

Imod:

- Kræver lokal teknisk support
- Flere store forlag deltager ikke (f.eks. Elsevier).

HathiTrust

HathiTrust er et internationalt partnerskab af akademiske og forskningsinstitutioner. Institutionerne ejer og driver et fælles digitalt repository, der er skabt for at bevare og give adgang til millioner af bøger digitaliseret fra deres bibliotekssamlinger og andre kilder. Det digitale arkiv er designet efter rammerne for Open Archival Information Systems (OAIS). Dette design er realiseret inden for rammerne af EU-dækkende standarder og kriterier for troværdige digitale arkiver. HathiTrust tilbyder søgning, visning og download for alt, der er frit tilgængeligt. Søgning er muligt i bøger med ophavsret.
<http://www.hathitrust.org/>

Imod:

- HathiTrust drejer sig hovedsagelig om bibliotekernes samlinger og digitalisering af dette. Da det ikke er dette aspekt, som er omfattet af nærværende analyse, er HathiTrust fravalgt.
- Ingen post-cancellation adgang.

National Science Library, Chinese Academy of Sciences

I et samarbejde med andre nationale biblioteker udviklede National Science Library, Chinese Academy of Sciences (NSLC) sin digitale bevaringsstrategi til at dække større e-tidsskrifter fra vigtige videnskabelige selskaber eller specielle STM-udgivere, herunder også nogle generelle STM (Science, Technology and Medicine) e-tidsskrifter og e-bogs ressourcer. Når indholdet ikke er tilgængeligt fra en udgiver (defineret ved trigger events i bevarelsesaftalen med udgiveren), vil NSLC give adgang fra arkivet til den oprindelige vifte af brugere defineret i de oprindelige abonnementslicenser mellem NSLC og udgivere, der danner grundlag for de sikrende aftaler.
<http://english.las.cas.cn/>

Imod:

- NSLC er stærk på STM-området, men da en fuld løsning ønskes, er denne mulighed fravalgt
- Ingen post-cancellation adgang.

Portico

Portico bevarer e-tidsskrifter, e-bøger og andet elektronisk akademisk indhold for at sikre at forskere og studerende vil have adgang til det i fremtiden. Porticos tilgang til digital bevaring er omfattende og kombinerer langsigtet content management og organisatorisk engagement med en filosofisk dedikation for at tilgodese behovene hos morgendagens forskere. Forskere, personale og studerende ved de deltagende institutioner kan få adgang til arkivet til at bruge indhold, hvor der er opstået en trigger event, eller som opfylder en post-cancellation aftale. 90% af titlerne i Portico har post-cancellation adgang.

Ud over mange tidsskrifter bevarer Portico e-bøger fra mere end 90 internationale universitetsforlag og forlag. Det drejer sig bl.a. om Cambridge University Press, e-bøger fra universitetsforlag placeret hos JSTOR (Books at JSTOR), Project Muse (UPCC Collections), Oxford University Press (University Press Scholarship Online) samt forlag såsom Springer og Elsevier. I alt drejer det sig om titusinder af e-bøger.

Hos Portico er der pt. (2012) 8 tidsskrifttitler, som der gives adgang til pga. trigger events. Disse er kun åbne for medlemmer af Portico.

<http://www.portico.org/digital-preservation/the-archive-content-access/access-to-archived-content>

<http://www.portico.org/>

For:

- Mulighed for post-cancellation adgang
- Mange forlag deltager (bl.a. Elsevier)
- Har både tidsskrifter og bøger
- Hosted løsning.

Imod:

- Den p.t. dyreste løsning.

6. Sammenligning af arkiveringsløsninger

Valg af arkiveringsløsning er både et spørgsmål om dækningsgrad for det enkelte biblioteks samling, økonomi og muligheder for at få adgang. Alle arkiveringsløsninger har deres regler for, hvornår det bliver muligt at få adgang til et tidsskrift. JISC har i 2009 lavet en sammenligning vedr. trigger events:

| Trigger event | LOCKSS | CLOCKSS | Portico | e-Depot | BL E-jnl archive |
|---|--------|---|---|--|--|
| Biblioteket opsiges abonnement og skal have adgang til de årgange de har krav på. | Ja | Nej | Ja, under forudsætning af, at forlaget har givet Portico rettigheder til post-cancellation adgang | Nej, undtagen på stedet (on site) | Nej |
| Tidsskrift eller de tidligere hæfter er ikke længere tilgængelige fra udgiver | Ja | Ja. Titlen vil blive tilgængelig for alle. | Ja, titlen vil blive åben for alle aktive deltagere uanset om de tidligere har haft abonnement | Ja, i det mindste på stedet. Fri adgang i tilfælde af begivenhed, hvis forlaget tillader det | Ja, i det mindste på stedet. Fri adgang i tilfælde af begivenhed, hvis forlaget tillader det |
| Forlaget har ophørt med udgivelse af publikation, og adgang er ikke længere mulig | Ja | Ja, som ovenstående | Ja, som ovenstående | Ja, som ovenstående | Ja, som ovenstående |
| Katastrofal fejl på udgivers server | Ja | Ja, så længe udgiver ikke kan levere adgang | Ja, så længe udgiver ikke kan levere adgang | Muligvis, afhænger af aftale med udgiver | Muligvis, afhænger af aftale med udgiver |

7. Mulige arkiveringsløsninger

Ud fra ovenstående er tre mulige arkiveringsløsninger sammenlignet og vurderet herefter. De nævnte tal er taget fra arkivernes hjemmesider d. 21. november 2012:

| Mulighed | Antal forlag | Antal biblioteker | Antal titler |
|----------|------------------------------------|-------------------|--------------------------------------|
| LOCKSS | 537 | ? | 9.682 |
| CLOCKSS | 121 | 167 | 7.403 |
| Portico | 173 (mere end 211 2.000 societies) | 751 | 14.756 tidsskrifter 169.218 bøger |

Prismodeller:

Portico:

Prisen sættes på baggrund af anskaffelsesbudgettet på institutionen. En institution med et budget på 16-20 mio. danske kroner vil f.eks. skulle betale en afgift på USD 9.167 årligt.

CLOCKSS:

Prisen sættes på baggrund af anskaffelsesbudgettet på institutionen. En institution med et budget på 16-20 mio. danske kroner vil f.eks. skulle betale en afgift på USD 1.800 årligt.

LOCKSS:

Prisen sættes ud fra institutionens størrelse. En institution (US), som beskrives som Medium Master's Colleges and Universities, skal betale en afgift på USD 4.600 årligt. 4.740

Der er konsortiemuligheder med både LOCKSS og Portico, og én af fordelene er lavere priser. På nuværende tidspunkt er disse rabatmuligheder ikke kendte for Danmark.

En analyse af dækningsgrad på titelniveau er et stort arbejde, men en sammenligning af de deltagende forlag er vedlagt som bilag 2.

8. anbefalinger

Licensgruppen for universiteter og forskningsbiblioteker anbefaler:

- At der nationalt arbejdes på en international løsning til sikring af permanent adgang til licensbelagt information i Danmark.
- At denne opgave løses i DEFF-regi/DEFF-styregruppe defineret projekt inkl. afklaring af det økonomiske aspekt (ministerielle midler el. afsætning af DEFFmidler), da hverken opgaven eller udgiften kan løftes af det enkelte bibliotek.
- At der indskrives klausuler vedr. permanent adgang i alle licensaftaler, uanset om det er en DEFF-forhandlet eller egen-forhandlet aftale. Knowledge Exchange har udarbejdet forslag til sådanne klausuler, se bilag 1.

- At man følger de europæiske og internationale initiativer på området, primært i England; diverse fremtidsscenerier må også undersøges. Det anbefales, at der udarbejdes yderligere analyser med henblik på implementering af løsninger.
- At der vælges supplerende løsninger, da det kan konstateres, at ingen af løsningerne er komplette.

9. Benyttet litteratur

<http://thekeepers.org/> (1.10.2012, 14:25)

<http://fulup.our.dmu.ac.uk/2011/04/15/who-is-preserving-electronic-journals-and-how-would-you-know/> (1.10.2012, 14:22)

<http://edina.ac.uk/projects/peprs/about.html> (1.10.2012, 14:23)

<http://www.portico.org/digital-preservation/services/evaluating-your-preservation-options#page-1306> (1.10.2012, 14:33)

<http://www.crl.edu/archiving-preservation/digital-archives/digital-archive-reports> (1.10.2012, 14:37)

www.clockss.org/clocksswiki/files/LOCKSSCLOCKSSChart.pdf (1.10.2012, 14:40)

<http://mediacommons.futureofthebook.org/mcpress/plannedobsolescence/four-preservation/lockss-clockss-portico/> (1.10.2012, 14:13)

Ensuring that 'e' doesn't mean ephemeral. A practical guide to e-journal archiving solutions. JISC collections 2010. <http://www.jisc-collections.ac.uk/Reports/Guide-to-e-journal-archiving/>

A comparative study of e-journal archiving solutions. 2008. <http://www.jisc-collections.ac.uk/Reports/e-journal-archiving-comparative-study/>

A future for our digital memory. Permanent access to information in the Netherlands. Interim report – summary in English. Netherlands coalition for digital preservation, July 2009.

Ensuring Perpetual access. Establishing a federated strategy on perpetual access and hosting of electronic resources for Germany. Charles Beagrie, February 2010.

Notat – Charles Beagrie-rapporten "Ensuring perpetual access: Establishing a federated strategy on perpetual access and hosting of electronic resources for Germany. Mikkel Christoffersen, 2012.

Bilag 1

Model provision regarding continuous access

1. *Long term preservation*

The Publisher will archive the Licensed Material in at least one of the established e-journal archiving initiatives at the option of the Institution.

2. *Continuous access in case of 'trigger events'*

The Publisher guarantees continuous access to and use of the Licensed Material which was published and paid for during the term of this and possible preceding Agreements between the Publisher and the Institution through one of the established e-journal archiving initiatives if one or more of the following events ('trigger events') occur:

- a catastrophic and sustained failure of the Publisher's delivery platform or
- the Publisher stops operations, or
- the Publisher ceases to publish a title, or
- the Publisher no longer offers back issues.

3 *Continuous access in case of termination of the Agreement*

In the case of termination of the Agreement, except when such termination is due to a breach of the Agreement by the Institution, the Publisher will provide (at the option of the Institution) the Institution and its Users with continuous access to and use of the Licensed Material which was published and paid for during the term of this and possible preceding Agreements between the Publisher and the Institution, without charge, either by one or more of the following options:

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| Biological Society of Washington | * | | |
| BioMed Central | * | * | |
| BioOne | | | * |
| Biophysical Society | * | | |
| BioScientifica | * | | * |
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| Bonefolder | * | | |
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| Commonwealth Forestry Association | * | | |
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| Symposium journals | | | * |
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| Taylor & Francis | * | * | * |
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| Video Journal of Orthopaedics | * | | |
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| Western Historical Quarterly on behalf of the Western History Association | | | * |
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