



## Environmental sustainability of liquid food packaging

Is there a gap between Danish consumers' perception and learnings from life cycle assessment?

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3 **Environmental sustainability of liquid food packaging:**  
4 **Is there a gap between Danish consumers' perception and learnings**  
5 **from life cycle assessment?**

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12

13 **Abstract**

14 The environmental impact of packaging has already been studied since the early development of the life  
15 cycle assessment (LCA) methodology, and today an extensive amount of studies exists. LCAs inform policy  
16 makers and guide companies in developing more environmentally sustainable packaging. From both a  
17 policy and a business perspective it is also relevant to understand what citizens and consumers recognize as  
18 being an environmentally sustainable packaging. Does perceived environmental sustainability align with the  
19 results of LCAs? And if not, where do consumers go wrong?

20 In this study, we investigate how well-educated young consumers living in Denmark understand the  
21 environmental sustainability of five different kinds of packaging for liquid food (milk, beer, soft drink, olive  
22 oil and skinned tomatoes) based on an on-line survey and qualitative interviews. The results are compared  
23 with a streamlined LCA we performed for packaging of beer and soft drinks, and they are validated by  
24 means of comparative LCAs of these five product categories published in scientific literature.

25 The results of the consumer research show that consumers assess the environmental sustainability of the  
26 tested types of packaging primarily based on the material type and on what they can personally do at the  
27 disposal stage. The consumers covered in this study do, in general, not consider the impacts of production  
28 and of transport. Amongst the investigated packaging types, bio-based types and glass are perceived as the  
29 most environmentally sustainable ones, and plastic in general is perceived least favourable. Laminated  
30 cartons receive a mixed perception. LCA results show that plastic – and especially laminated cartons – can  
31 be environmentally preferable solutions, even though they may be difficult to recycle. Our streamlined LCA  
32 on beer and soft drink shows that there is a significant difference in environmental performance between  
33 one-way glass and refillable glass, but consumers seem not to be aware of this difference. Our findings  
34 show i) that there is a gap between Danish consumers' perception of environmental sustainability of  
35 packaging and LCA results, and ii) that consumers have limited knowledge of sustainability-related eco-  
36 labels. In order to close these gaps, actions are needed both from producers, retailers and policy makers.  
37 The final aim of such improvement efforts should be to give to the consumers the possibility to make  
38 choices based on better information.

## 39 **Keywords**

40 Beverage containers; consumer research; eco-labels; circular economy; survey; qualitative interviews

## 41 **1 Introduction**

### 42 **1.1 Packaging waste and environmental sustainability of food and beverage packaging**

43 The frequency of purchases combined with high production volumes of consumer products mean that  
44 consumers buy large amounts of packaging, estimated as 207 million tonnes globally with a value of 384  
45 billion USD each year (EMF, 2013). Global packaging production is expected to increase significantly in the  
46 near future, as a consequence of both demographic and macroeconomic trends. By 2030, three billion new  
47 consumers are expected to enter the global middle class, equal to a 160% increase compared to 2009  
48 (OECD, 2011). These consumers will consume more in general, and they will switch from buying loose,  
49 unbranded products (such as groceries at the local market) to buying manufactured, packaged goods.  
50 According to estimates by the Ellen MacArthur Foundation (EMF), this will lead to a 47% increase in  
51 packaging (by weight) in emerging markets by 2025 compared to 2012 (EMF, 2013).

52 From a societal point of view, the role of packaging is controversial. Food packaging can enable safe and  
53 efficient supply of products and minimise the environmental impacts of producing, transporting, using and  
54 disposing of food products (Verghese et al., 2012). On the other hand, packaging in general is a major  
55 contributor to municipal solid waste (MSW), representing around 31 wt.% of MSW at the European level  
56 (EEA, 2013). In the context of the EU Action Plan for Circular Economy released at the end of 2015 (EC,  
57 2015), new ambitious goals for material recycling rates have been set. According to the new legislative  
58 rules amending Directive 94/62/EC on packaging and packaging waste approved on by EU Member states  
59 on May 2018 “no later than 31 December 2025 a minimum of 65 % by weight of all packaging waste will be  
60 recycled” (European Union, 2018). The different packaging materials (including food packaging) have very  
61 different recycling rates, e.g. in Denmark in 2012 almost all glass was recycled (97.7%wt.), meanwhile only  
62 29.4% of plastic packaging had a second life with the rest mainly sent to incineration with energy recovery  
63 (Miljøstyrelsen, 2015). For paper and metal, the recycling rates were 76.5% and 51.8%, respectively.  
64 According to the Danish Environmental Protection Agency, 895,000 tons of packaging material were used in  
65 2012 in Denmark, which equals 160 kg per person (Miljøstyrelsen, 2015).

66 The increase in the amount of packaging consumed and the policy targets on recycling have been putting  
67 pressure on companies to take action. For the food and beverage industry, environmental sustainability has  
68 become a key target, and Life Cycle Assessment (LCA) has emerged as a leading method for evaluating the  
69 overall environmental impact of products (Svanes et al., 2010). The very first LCA studies were performed  
70 on beverage packaging (Hunt and Franklin, 1996), and throughout the years, LCA proved to be a highly  
71 valuable decision support tool in driving more environmentally preferable food and beverage packaging  
72 solutions (UNEP & SETAC, 2013). However, performing a full LCA is time- and resource-consuming,  
73 therefore streamlined LCA methods and tools have spread in the sector (Niero et al., 2016; Speck et al.,  
74 2015; Verghese et al., 2010).

## 75 **1.2 Consumer perception of packaging**

76 Even though packaging can have a positive environmental effect, as it prolongs the lifetime of products and  
77 prevents food waste, consumers tend to think of food and beverage packaging as something negative  
78 (WRAP, 2013). Many studies have been performed on consumers' perception of packaging, e.g. Ampuero  
79 and Vila (2006), Gelici-Zeko et al. (2013), but only few have looked at the environmental sustainability  
80 perception of food and beverage packaging. The first investigation of consumers' environmental perception  
81 of beverage containers was conducted by Van Dam & van Trijp (1994). They concluded that Dutch  
82 consumers perceived glass packaging and, to a lesser extent, paper packaging as environmentally friendly,  
83 whereas tin, plastic and carton containers were perceived as the least sustainable options. This picture was  
84 confirmed in a later study by van Dam (1996), who investigated the product characteristics that constitute  
85 the perceived sustainability (e.g. material type, size) and concluded that consumers judge environmental  
86 sustainability mainly based on material type and possibility of re-use. More recently, Lindh et al. (2015)  
87 conducted a study among Swedish consumers, confirming that consumers' environmental perception of  
88 food packaging is mainly grounded in material considerations. Their main findings are that paper-based  
89 packaging is perceived as the most sustainable and plastic and metal as the least ones. Korhonen et al.  
90 (2015) performed a wide, cross-continental study among University students in Europe, Latin America,  
91 North America, and Asia on attitudes towards packaging and perception of packaging materials on several  
92 aspects, including sustainability. The study revealed that paper and carton are perceived as the most pro-  
93 environmental materials. Moreover, cultural differences among countries emerged, especially for the  
94 perception of plastics, aluminium and tin: in countries with developed recycling systems, such as Denmark,  
95 pro-environmental packaging was perceived as recyclable or made of recycled content (Korhonen et al.,  
96 2015).

97 Martinho et al. (2015) investigated the factors that influence consumers' product purchasing and recycling  
98 behaviour with respect to sustainable packaging and found that gender, environmental awareness,  
99 concerns about societal opinions, positive attitude towards green purchasing, and the perception of  
100 consumer actions are the differentiating elements. Environmental labels, or eco-labels, represent a major  
101 tool for communicating the environmental performance of products, but there are studies indicating that  
102 knowledge of eco-labels is very limited among consumers, e.g. Gadema and Oglethorpe (2011), Hartikainen  
103 et al. (2014), Zhao et al. (2018). Such lack of knowledge could be explained by the exponential growth of  
104 the number of eco-labels over the recent years (Janßen and Langen, 2017).

## 105 **1.3 Aim of the study**

106 To our knowledge, only one previous study (Steenis et al., 2017) has compared the perceived  
107 environmental sustainability of food packaging (namely tomato soup) with the scientifically assessed  
108 environmental sustainability performances quantified through LCA. However, such knowledge on what  
109 consumers perceive as environmentally sustainable as well as on their understanding of product labels is a  
110 key enabler for strategic decision making in the context of circular economy, both for sustainable packaging  
111 design and for green purchase strategies. This study addresses a specific and broader category of food  
112 packaging, namely liquid food packaging. For beverages and liquid food more generally indeed the  
113 necessity of packaging cannot be questioned by consumers. Moreover, the relative environmental impact  
114 of the packaging compared to the content is much higher for beverages and liquid food products than for

115 other types of food. For instance for soft drinks, packaging accounts for more than 50% of the overall  
 116 carbon footprint compared to 10% for food in general (INCPEN, 2009).

117 We conducted an exploratory study with a twofold aim: (i) to investigate how consumers living in Denmark  
 118 perceive the environmental sustainability of liquid food packaging and how much they know about eco-  
 119 labels and (ii) to compare the perceived environmental sustainability with actual LCA results on the matter.  
 120 Therefore, we formulated the following research questions (RQs):

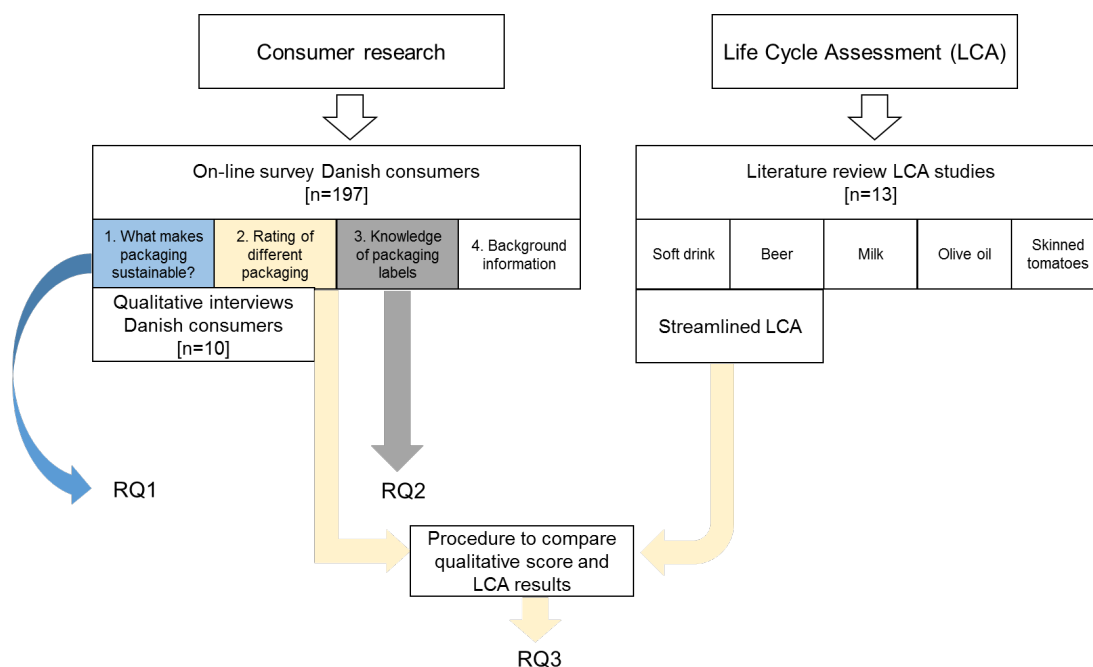
121 RQ1: How do Danish consumers perceive the environmental sustainability of liquid food packaging?

122 RQ2: To what extent do Danish consumers know the meaning of eco-labels on packaging?

123 RQ3: How does the perception of Danish consumers with regard to the environmental sustainability of  
 124 liquid food packaging compare with what can be concluded from quantitative assessments using LCA?

## 125 2 Materials and methods

126 The research design was structured in two parts, adopting both quantitative and qualitative methods for  
 127 the consumer research part and quantitative methods for the environmental sustainability assessment, as  
 128 represented in Figure 1, which shows the linkage with research questions (RQ1-3). The analysis of the  
 129 perception and knowledge of Danish consumers has been performed through an on-line survey and  
 130 qualitative interviews (section 2.1). The assessment of the environmental sustainability of liquid food  
 131 packaging was performed through quantification of the potential environmental impacts of a selection of  
 132 packaging by means of a streamlined LCA tool (section 2.2), complemented with the findings from a  
 133 literature review of published LCA studies in the field (section 2.3). A procedure to compare the results  
 134 from consumer research and LCA has been developed and implemented (section 2.4).

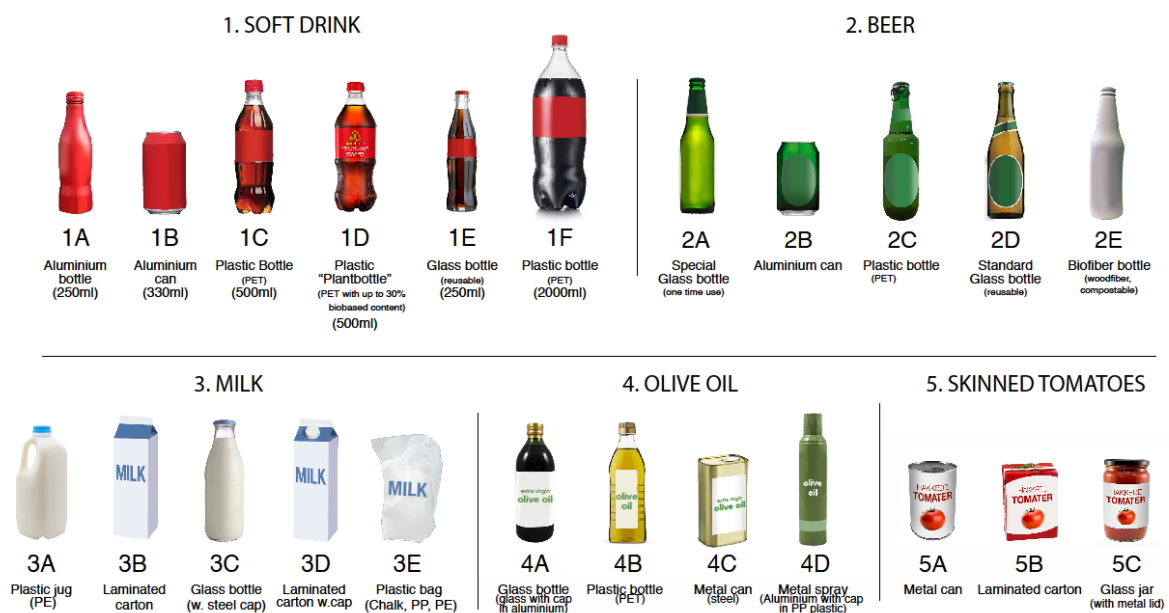


135

136 **Figure 1.** Structure of the research design with regard to consumer research and quantitative environmental  
 137 sustainability assessment, i.e. Life Cycle Assessment (LCA), of liquid food packaging and link with research questions  
 138 (RQ1-3).

139 **2.1 Investigation of consumers' perception**

140 The use of on-line surveys and qualitative interviews is well established to collect empirical data in the field  
 141 of consumer behaviour (Lemke and Luzio, 2014; Lindh et al., 2015; Martinho et al., 2015). Both methods  
 142 were used in the present study to investigate how consumers reason when it comes to environmental  
 143 sustainability of liquid food packaging and how different liquid beverage packaging alternatives are  
 144 perceived (RQ1). Five different product categories of liquid food were considered: soft drink, beer, milk,  
 145 olive oil and skinned tomatoes. The packaging alternatives investigated for each product category are  
 146 reported in Figure 2.



147  
 148 **Figure 2.** Overview of the 23 different packaging alternatives tested in the on-line survey for the five product  
 149 categories: 1) soft drinks, 2) beer, 3) milk, 4) olive oil and 5) skinned tomatoes; PET = Polyethylene Terephthalate, PE =  
 150 Polyethylene, PP = Polypropylene.

151 The consumer target group was young well-educated people living in Denmark, reached through social  
 152 media and internet fora, as reported in Boesen (2016). The on-line survey was answered by 197 Danish  
 153 consumers during December 2015. It is reported in section A of the Supplementary Material and was  
 154 structured in four main sections. The first section (see section A.1 in the Supplementary Material) includes  
 155 a list of general questions on consumers' attitudes towards sustainability, their largest environmental  
 156 concern regarding packaging, packaging attributes that make packaging sustainable, and finally a series of  
 157 statements related to packaging and packaging environmental sustainability where the respondent had to  
 158 indicate the level of agreement. Some of the questions (see section A.1 of the Supplementary Material,  
 159 sub-section 1.4) were taken from the research performed by Korhonen et al. (2015). In the second section  
 160 (see section A.2 in the Supplementary Material) the pictures of alternative packaging containing the same  
 161 liquid food product were reported (see Figure 2) for each product category, and the respondents were  
 162 asked to indicate how sustainable they perceive the alternatives on a 1-5 scale, where 1=very unsustainable

163 and 5=very sustainable. In the third section of the survey, the knowledge about a selection of product  
164 labels related to sustainability was tested in order to answer RQ2. The labels shown represent a sample of  
165 eco-labels that are available on packaging and address a relevant feature of packaging in a circular  
166 economy, i.e. recyclability, compostability, and sourcing of raw materials, see Table S1 in the  
167 Supplementary Material for a description. The respondents had to select among different plausible  
168 meanings, as reported in section A.3 in the Supplementary Material. In the last section, background  
169 information was asked for contextual information such as age, gender, education, possibility of sorting  
170 waste, etc. (see section A.4 in the Supplementary Material).

171 In order to validate the findings of the on-line survey and to get a better understanding of the reasoning  
172 behind the sustainability rating, a total of 10 in-depth semi-structured interviews (25-40 minutes) were  
173 conducted with consumers (age 22-31) with various educational backgrounds, housing situations and  
174 genders. The questions were open ended, to give the consumer the opportunity to answer freely. It is  
175 indeed argued by van Dam & van Trijp (1994) and Lindh et al., (2015) that this is a good way to investigate  
176 consumer perception. A semi-structured interview guideline was used, encompassing the use of two visual  
177 exercises (see section B1 of the Supplementary Material for the interview guide), which is in line with  
178 similar types of exploratory ecological research (Lemke and Luzio, 2014). The two visual exercises were  
179 conducted to capture the respondents' opinions visually and served as a conversation tool to guide the  
180 discussion. In the first visual exercise (named "environmental issue matrix") the respondents were asked to  
181 prioritize eight environmental issues related to packaging, with six of these directly taken from relevant  
182 impact categories considered in LCA and two complementary issues, i.e. visual pollution from waste in  
183 nature and harm to animal and fish life, e.g. from plastic in the oceans. In the second visual exercise  
184 (named "packaging sustainability prioritisation line") the respondents had to prioritize the packaging  
185 alternatives from the survey according to their perceived level of sustainability. All interviews were  
186 recorded and pictures were taken of the results of the visual exercises. The pictures and recordings were  
187 later used to document each interview (see section B.2. for a summary of qualitative interviews). In this  
188 process, interesting points were written down on post-its and these were later clustered and analysed in an  
189 analytic pattern recognition process (Noblit and Hare, 1988).

## 190 **2.2 Streamlined LCA for beer and soft drink**

191 We used a customized software used by industry for packaging life cycle assessment, i.e. Instant LCA  
192 Packaging™ provided by RDC Environment, which has already been used to perform LCA on beverage  
193 packaging (Niero et al., 2016; Niero and Kalbar, 2019). The software follows the ISO 14040 standard,  
194 building on the ecoinvent v2.2 database (Frischknecht et al., 2007). The features of the software allowed  
195 performing comparative LCAs of two product categories, namely beer and soft drink.

196 The chosen functional unit is the "containment of 1 hl of beverage". In terms of system boundaries, the  
197 production of the content (i.e. beer and soft drink) and the use phase (e.g. refrigeration) were excluded.  
198 The main values and assumptions considered for the two product categories are reported in Table 1. The  
199 end-of-life (EoL) scenario was modelled based on official return rates for refund bottles in Denmark (Dansk  
200 Retursystem, 2015). All beer and soft drink packaging types were assumed to be part of the national refund  
201 system. According to Dansk Retursystem (2015) 89%wt. of single-use packaging (aluminium cans, PET-  
202 bottles and one-way glass bottles) was recycled, and 98%wt. of refillable standard glass bottles were  
203 reused. It was not possible to obtain information of cans and PET bottles separately, so a value of recycling

204 rate of 89% was assumed for both. For the disposed packaging it was considered that 98% is incinerated  
 205 with energy recovery and 2% goes to landfill. The EoL modelling was performed in accordance with the EoL  
 206 formula provided by the Product Environmental Footprint (PEF) guide (EC, 2013). In the soft drink category,  
 207 aluminium cans, PET bottles and one-way glass bottles are assumed to be recycled, meanwhile the  
 208 “standard” glass bottles are assumed to be reused. When the plastic beer bottle was on the Danish market  
 209 in the mid-/end- 1990s it was reused, which was not known when the survey was made and thus it was not  
 210 stated in the description. Therefore, both a recycling and a reuse scenario were modelled for the plastic  
 211 bottle. In the latter, a return rate of 89%wt. was assumed.

212 The life cycle impact assessment (LCIA) was performed using the ILCD 2011 recommended methodology  
 213 (Hauschild et al., 2013), as embedded in the Instant LCA Packaging™ v1.12.1. The impact categories covered  
 214 include global warming potential, abiotic resource depletion potential, acidification potential, freshwater,  
 215 marine and terrestrial eutrophication potentials, photochemical oxidant formation potential, stratospheric  
 216 ozone depletion potential, human toxicity potential (including both carcinogenic effects and non-  
 217 carcinogenic effects) and freshwater eco-toxicity potential, particulate matter/respiratory inorganics  
 218 potential, ionizing radiation potential impacting human health, land use potential. For water use, the  
 219 indicator considered refers to the scarcity-adjusted mass of water, i.e. water consumption.

220 **Table 1.** List of main input data and assumptions considered in the Instant LCA Packaging™ for the packaging  
 221 alternatives considered for soft drink and beer. Abbreviations on materials: Al= aluminium, PET = Polyethylene  
 222 Terephthalate, LDPE = Low Density Polyethylene, HDPE = High Density Polyethylene. Note: Options 1A-Alu bottle, 1D-  
 223 Plant bottle and 2E-Bio-fiber bottle were not modelled, due to lack of data and are thus marked with grey  
 224 background.

Beverage Packaging	1. SOFT DRINK						2. BEER				
	1A – Al bottle	1B – Al can	1C – Plastic PET bottle (50 cl)	1D – Plant- bottle	1E – Refillable Glass bottle	1F – Plastic PET bottle (200 cml)	2A – Single use glass bottle	2B – Al can	2C – Plastic PET bottle	2D – Refillable glass bottle	2E – Bio- fiber bottle
<b>Content [cl]</b>	33	33	50	50	25	200	33	33	33	33	33
<b>Weight bottle [g/bottle]</b>	n.a.	12.7 (body) <sup>a</sup> 2.9 (end) <sup>a</sup>	24 <sup>c</sup>	n.a.	393 <sup>c</sup>	43 <sup>c</sup>	240 <sup>c</sup>	12.7 (body) <sup>b</sup> 2.9 (end) <sup>b</sup>	38 <sup>c</sup>	301 <sup>c</sup>	n.a.
<b>Recycled content Weight cap [g/bottle] (material)</b>	n.a.	67.8% <sup>b</sup>	0%	n.a.	75.4% <sup>d</sup>	0%	75.4% <sup>d</sup>	67.8% <sup>b</sup>	0%	75.4% <sup>d</sup>	n.a.
	n.a.	-	1.1 (Al) 0.25 (HDPE) 0.33 (LDPE)	n.a.	0.19 (LDPE) 2 (steel)	3 (HDPE)	0.19 (LDPE) 2 (steel)	-	1.1 (Al) 0.25 (HDPE) 0.33 (LDPE)	0.19 (LDPE) 2 (steel)	n.a.

225 <sup>a</sup> Source: (Detzel and Mönckert, 2009)

226 <sup>b</sup> Source: (PE Americas, 2010)

227 <sup>c</sup> Authors’ own measurements

228 <sup>d</sup> Source: (Vieitez et al., 2011)

### 229 2.3 Literature review on LCA of liquid food packaging

230 A literature review was conducted for the five selected product categories investigated in the study, in  
 231 order to assess the environmental impacts of different packaging alternatives within each product  
 232 category. Data were collected in December 2015 from DTU Findit, i.e. the online library service of the



233 Technical University of Denmark, covering 190 million articles from scientific journals and subject databases  
 234 and 150,000 e-books. The details on the literature review are reported in Section C (Table S2) of the  
 235 Supplementary Material.

## 236 **2.4 Procedure for comparing LCA results with perception scores**

237 In order to compare the LCA results with the perception scores, we followed a 2-steps procedure. First, to  
 238 illustrate the differences observed between the different packaging types for each impact category, we  
 239 performed internal normalization, with the primary objective to eliminate the specificity of the impact  
 240 indicator units. The reference system chosen is the maximum within each impact category, i.e. the so-called  
 241 ‘division-by-maximum’ internal normalization (Laurent and Hauschild, 2015). We considered the global  
 242 warming potential (GWP) as proxy indicator for environmental sustainability, as it has proven to be a good  
 243 predictor for other impact categories in the case of packaging (Amienyo et al., 2013; Niero et al., 2016;  
 244 Scipioni et al., 2013). However, caution should be used in using GWP as a stand-alone indicator of  
 245 environmental sustainability, e.g. in the case of energy where the environmental impacts may not be led by  
 246 fossil fuels consumption (Laurent et al., 2012) or for urban consumption patterns (Kalbar et al., 2017).  
 247 Second, the qualitative scores in the 1-5 scale obtained from the survey were inversed and converted to %  
 248 values, so that a higher score would represent a higher environmental impact, in order to be comparable to  
 249 the LCA results and therefore provide an answer to RQ3.

## 250 **3 Results**

251 The results section is divided in two main parts. Section 3.1 summarizes the findings of the empirical study  
 252 on consumer perception analysis, providing the answers to RQ1 (section 3.1.1) and RQ2 (section 3.1.2).  
 253 Section 3.2 presents the findings of the quantitative environmental sustainability assessment part, namely  
 254 the results of the streamlined LCA for beer and soft drink (section 3.2.1), the outcomes of the literature  
 255 review on LCA of the five categories of liquid food packaging considered in the study (section 3.2.2), and  
 256 the answer to RQ3 (section 3.2.3).

### 257 **3.1 Findings from empirical study on consumer research**

258 Respondents were 62% women, with an average age of 29.7 years and were longer educated than the  
 259 national average in Denmark, with a majority having either a Bachelor or a Master degree, as reported in  
 260 Table 2.

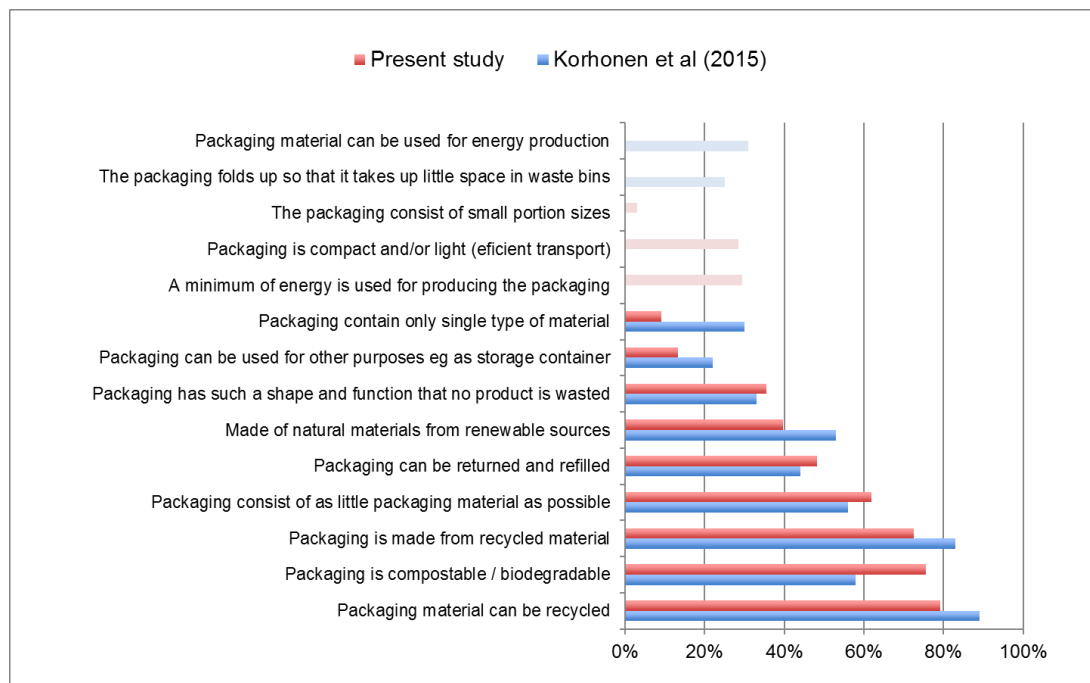
261 **Table 2:** Educational level of the respondents to the survey and comparison with average Danish population and  
 262 population in the age interval 25-35.

Educational level (highest finished or current)	Survey (total n=197)		Danish average <sup>a</sup>	Danish average (age 25-35) <sup>a</sup>
	n	%	%	%
Secondary school	1	0.5	20.0	18
High school or equivalent	8	4.1	5.5	10
Vocational / technical school	11	5.6	36.0	30
Professional degree	7	3.6	4.7	5
Bachelor degree	82	41.8	18.6	23
Master degree	78	39.8	9.2	13
PhD degree	9	4.6	0.7	0.42

263 <sup>a</sup> Source: Statistics Denmark (2015)

264 **3.1.1. How do Danish consumers perceive the environmental sustainability of liquid food packaging?**

265 Results on what makes packaging sustainable according to the surveyed consumers are reported in Figure  
 266 3. It emerges that the most frequent features chosen by the survey respondents are: recyclability  
 267 (“*packaging material can be recycled*”, 79%), compostability/biodegradability (“*package is*  
 268 *compostable/biodegradable*”, 76%) and recycled content (“*package is made from recycled material*”, 73%).  
 269 It is worth to note that even though the majority find recyclability important, only 9% of the respondents  
 270 chose the option that packaging should consist of a single material, which in many cases is prerequisite for  
 271 (feasible) recyclability. Moreover, only 3% of respondents selected the option that the packaging should  
 272 “*consist of small portion sizes*”, which could indicate that consumers do not see a link between food waste  
 273 and packaging size. For reference, Figure 3 also reports the findings by Korhonen et al. (2015), although the  
 274 results of the two studies are not fully comparable, since the consumers were not given all the same  
 275 options, and the wordings of the options were slightly different.



276  
 277 **Figure 3** Results from on-line survey on what makes packaging sustainable according to the investigated consumers.  
 278 Results from Korhonen et al., (2015) are also reported, as term of reference. Note: The five upper-most answer  
 279 options are shown in light colours since they only existed in one of the studies.

280 Two main characteristics of environmental sustainability were repeatedly mentioned also by participants to  
 281 the interviews: the recyclability and the “naturalness” of the material. The latter was motivated by the  
 282 intention to avoid negative consequences of packaging waste in nature, e.g. as said by one interviewee that  
 283 “*what makes packaging sustainable is that it is easily degradable if it should end up in nature...not like*  
 284 *plastic that can be in nature for thousands of years*”.

285 Figure 4 summarizes the distribution of consumers’ scores of packaging sustainability for the five liquid  
 286 food packaging categories considered.



287

288 **Figure 4.** Distribution of consumers' scores of packaging environmental sustainability for the five liquid food packaging  
 289 categories considered (soft drink, beer, milk, olive oil, skinned tomatoes) with indication of number of respondents.

290 For skinned tomatoes, the glass jar was perceived to be the most sustainable option by the majority of  
 291 respondents, meanwhile the laminated carton and the tin can were overall perceived as unsustainable. In  
 292 the qualitative interviews, glass performed as the best alternative (in 6 out of 10 interviews), and the  
 293 primary reason given by the respondents was its recyclability, as "glass can be melted down and be reused".  
 294 One respondent mentioned that glass jars can be reused for other purposes: "I reuse my jars a lot and they  
 295 stay quite a long time. I don't really throw them away as I use them for spices and nuts". Another  
 296 respondent with a high environmental concern had the argument that it was most likely, that others (less  
 297 environmentally concerned) would recycle glass, but not the tin can. The perception of the laminated  
 298 carton was more balanced (best option in 4 cases and worst option in 5 cases): one respondent found it  
 299 sustainable because "it is made of paper, so it must be good", while others found it as the least sustainable  
 300 "due to the aluminium foil, so you can't sort it".

301 In the milk product category, the same tendency is seen as for the canned tomatoes, with glass found to be  
 302 the best-perceived solution by the majority of respondents. The plain laminated carton and the plastic jug  
 303 were scored as either very unsustainable or rather unsustainable by the majority of respondents. The  
 304 results from the qualitative interviews confirmed the survey results: glass was seen as a sustainable and  
 305 attractive solution (best option in 3 out of 10 interviews), even though some interviewees found it as the

306 least sustainable cause “*you have to sort the metal lid*”. One interviewee pointed out that glass is  
307 sustainable “*as it can be washed and reused again and again*”, meanwhile another stated that “*when you*  
308 *melt it, it pollutes a lot*”. This indicated that there is no clear understanding among interviewees about the  
309 different options connected with the end-of-life options for glass. With regard to the plastic jug, some  
310 found it sustainable (“*you save materials, so I think its sustainability is high*”), meanwhile others had  
311 contrasting opinion (“*I think it is not very sustainable because I think that plastic is not so sustainable*”). The  
312 plain carton with cap scored always worse than the one without cap, due to the combination of two  
313 materials.

314 A similar pattern was also found for olive oil: glass emerged as the most sustainable option in around half  
315 of the cases. The metal spray was assessed as overall unsustainable by around 80% of the respondents with  
316 half of them marking it as “very unsustainable”. The metal can and the plastic bottle were considered  
317 unsustainable by ca 70% of the consumers investigated. Most of the respondents from the qualitative  
318 interviews (6 out of 10) identified the glass bottle as the most sustainable and assumed that it would be  
319 reused, and not re-melted. One interviewee acknowledged that “*the plastic is lighter and thereby more*  
320 *sustainable than the glass option*”. There was agreement that the spray can was unsustainable due to its  
321 mixed materials composition, but for the rest of the packaging types the respondents varied in their  
322 reasoning.

323 In the beer category, the respondents had to choose between five alternatives, and here two options  
324 outperformed the rest: the well-known refillable glass bottle and the innovative bio-fiber bottle under  
325 development (<https://carlsberggroup.com/newsroom/carlsberg-unveils-new-green-fiber-bottle-design/>).  
326 Both were scored as overall sustainable by more than two thirds of the sample. The PET bottle, aluminium  
327 can and single-use glass bottle were scored as overall unsustainable by the majority of respondents. This  
328 ranking was confirmed in the qualitative interviews, where no respondent questioned the sustainability of  
329 the bio-fiber bottle or the claim of biodegradability, meanwhile several people mentioned concerns of the  
330 environmental impact of lost bottle caps. The refillable glass bottle ranked as the second most sustainable  
331 option in 8 out of 10 cases.

332 The results for the soft drink category were aligned with the results from the beer category with the  
333 reusable glass packaging perceived as sustainable solution by almost 80% of the respondents. The so-called  
334 Plant Bottle with up to 30% bio-based plastics was perceived as sustainable by the majority of the  
335 consumers interviewed. Aluminium bottle and aluminium can were perceived as “very unsustainable” by  
336 one third of respondents and overall as unsustainable by the high majority, meanwhile an overall negative  
337 score was given to the plastic bottles (200 cl and 50 cl). This was the only category where the sizes of the  
338 packaging differed and this choice was done with the aim to investigate whether people consider larger  
339 packages to be more sustainable. This proved to be the case, but only to a limited extent. The large 200 cl  
340 PET bottle scored slightly better than the 50 cl version, and the smaller 25 cl aluminium bottle scored a bit  
341 lower than the 33 cl aluminium can. In the survey, it was not stated explicitly whether the respondents  
342 should judge the sustainability per packaging or per content, which might be the reason of the limited  
343 numbers of comments regarding size. In the qualitative interviews, the respondents were asked directly to  
344 make their assessment “per litre of beverage” and this is probably the reason why the 200 cl bottle was  
345 perceived more environmentally sustainable than the 50 cl bottle size. The packaging types that were  
346 found among the first three sustainable options are the plant bottle (in 8 out of 10 cases) the 200 cl PET








347 bottle (in 7 out of 10 cases), and the glass bottle (in 5 out of 10 cases). Only one respondent questioned the  
 348 claim of “up to 30% bio-based materials” for the plant bottle. All other respondents were positive and some  
 349 even expressed that they expected that such a packaging would also be biodegradable.

350 Summarizing the information on the least and most sustainable materials according to the consumers  
 351 included in the sample, it can be concluded that bio-material-based packaging types and glass packaging  
 352 are perceived as the most sustainable options, traditional fossil-based plastic is perceived negatively,  
 353 meanwhile laminated cartons receive a mixed perception. However, it is interesting to note that almost no  
 354 respondents thought that laminated carton was the most sustainable option. In accordance with Korhonen  
 355 et al. (2015), glass is perceived both as the most environmentally sustainable option (when considering the  
 356 refillable glass bottle) and as the least environmentally sustainable option (when the one-way glass bottle is  
 357 taken into account).

358 **3.1.2 To what extent do Danish consumers know about the meaning of eco-labels on packaging?**

359 The percentage of correct answers for the seven different labels (Green Dot, Universal Recycling Symbol,  
 360 Resin Identification Codes, Seedling® compostable label, Forest Stewardship Council (FSC) label, Cradle to  
 361 cradle® certification label, Pitch-in Symbol/Don't litter) can be seen in Table 3. It should be noted that these  
 362 percentages are the result of a multiple choice test format, i.e. with pre-defined answer options, and if free  
 363 text questions had been used instead, the percentages of correct answers would likely have been lower.

364 **Table 3** Percentage of the respondents providing the correct answer/not knowing the meaning of the eco-labels for  
 365 packaging during a multiple choice test. The full details of all responses are reported in section A.5 of the  
 366 Supplementary information.

Label	Name	Correct answer	Do not know
	The Green Dot	6.1%	11.7%
	Universal Recycling Symbol	51.0%	6.6%
	Resin Identification Codes	22.4%	39.3%
	Seedling® compostable label	32.7%	43.4%
	Forest Stewardship Council (FSC) label	72.4%	12.2%
	Cradle to cradle® certification label	50%	29.6%
	PITCH-IN Symbol (Don't litter)	43.9%	4.1%

367  
 368 It can be concluded that the general knowledge of eco-labels varies and is quite limited for most of the  
 369 tested labels addressing circular features. Only 6% of the respondents knew the meaning of the wide-

370 spread Green Dot Logo, meanwhile the large majority of users confused it with the recycling symbol,  
371 correctly identified by around half of the respondents. 24% of consumers surveyed knew the meaning of  
372 the polymer resin identification codes and indeed a low familiarity was expected in this category, since such  
373 codes are primarily aimed at recycling facilities and not at consumers. It is interesting to note that the  
374 majority of users (72%) knew the correct meaning of the FSC label, which may be explained considering  
375 that the label is used not only on packaging, but also on many products made from wood. It is more  
376 surprising that half of the respondents knew the meaning of the cradle-to-cradle (C2C) certification label, as  
377 only few certified packaging types exist on the Danish market. The reason is probably due to the fact that  
378 many design engineers were among the respondents and the C2C design framework is well known among  
379 this group of individuals. Lastly, around a third of the respondents knew the meaning of the relatively new  
380 seedling label for compostability, which was unexpectedly high. It is also worth noting that the percentage  
381 of respondents stating they do not know the meaning of the symbol varies between 4% (in the case of the  
382 “don’t litter” label) and 43% (in the case of the seedling label for compostability).

### 383 **3.2 Findings from Life Cycle Assessment**

#### 384 *3.2.1 Results from streamlined LCA*

385 The results of the streamlined LCA for soft drink and beer packaging alternatives are reported in Table 4.  
386 The packaging type with the best performance for beer is the refillable glass bottle, which has the lowest  
387 scores in all 14 impact categories considered. For all impact categories, except stratospheric ozone  
388 depletion and ionizing radiation, the packaging with highest potential environmental impacts is the one-  
389 way glass bottle. Based on the LCIA results, it can be concluded that the PET bottle and the aluminium can  
390 have the same environmental sustainability performances. Because the bio-fiber bottle is still under  
391 development and data were not available, it was not possible to perform the LCA.

392 In the case of soft drinks, the LCA calculations showed that except for stratospheric ozone depletion the  
393 PET bottle is the best option, and that increased container size clearly has a positive effect. It was not  
394 possible to directly assess the plant bottle due to lack of data, as well as the aluminium bottle, which is not  
395 included as an option in the software used. In most impact categories the 50 cl PET bottle showed a  
396 comparable performance as the 25 cl reusable glass bottle, and the aluminium can scored least preferable.

397

398 **Table 4.** Life Cycle Impact Assessment (LCIA) scores per functional unit (FU) for the packaging alternatives modelled in  
 399 the streamlined LCA in the case of soft drinks and beer. Abbreviations on materials: PET = Polyethylene Terephthalate.

Beverage	1. Soft drink					2. Beer		
	1B Aluminium can (33 cl)	1C Plastic PET bottle (50 cl)	1E Reusable glass bottle (25 cl)	1F Plastic PET bottle (200 cl)	2A Single use glass bottle (33 cl)	2B Aluminium can (33 cl)	2C Plastic PET Bottle (33c cl)	2D Reusable glass bottle (33 cl)
Global Warming Potential (kg CO <sub>2</sub> eq / FU)	51.450	23.018	25.025	11.435	96.255	51.450	46.343	14.144
Abiotic resource depletion (kg Sb eq / FU)	0.003	0.001	0.003	0.000	0.003	0.003	0.002	0.002
Acidification (mol H <sup>+</sup> eq / FU)	0.275	0.090	0.118	0.047	0.599	0.275	0.175	0.075
Freshwater eutrophication (kg P eq / FU)	0.001	0.001	0.001	0.000	0.003	0.001	0.001	0.000
Marine eutrophication (kg N eq / FU)	0.049	0.025	0.031	0.014	0.119	0.049	0.048	0.018
Terrestrial eutrophication (kmol N eq / FU)	0.533	0.273	0.348	0.150	1.531	0.533	0.527	0.204
Photochemical oxidant formation (kg NMVOC eq/ FU)	0.138	0.070	0.068	0.034	0.319	0.138	0.141	0.035
Stratospheric ozone depletion (kg CFC-11 eq / FU)	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Water consumption (m <sup>3</sup> / FU)	0.620	0.130	0.282	0.074	0.849	0.620	0.249	0.223
Human toxicity (CTU / FU)	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Ecotoxicity (CTU / FU)	3.981	3.627	4.007	2.028	25.432	3.981	6.576	2.506
Particulate matter/Respiratory inorganics (kg PM <sub>2.5</sub> eq / FU)	0.016	0.004	0.006	0.002	0.031	0.016	0.008	0.004
Ionising radiation (kg U235 eq / FU)	35.044	3.997	2.629	1.840	8.264	35.044	9.858	1.554
Land use (kg C eq / FU)	47.504	23.125	47.150	16.911	162.903	47.504	34.132	33.160

400

### 401 **3.2.2 Validation with LCA results from literature on liquid food packaging**

402 No published study on skinned tomatoes was found, therefore no conclusion can be drawn on which is the  
 403 most environmentally sustainable option amongst the types investigated here.

404 Within the olive oil category, only one comparative study of different packaging types was retrieved from  
 405 literature (Accorsi et al., 2015), which concludes that PET bottles are more environmentally sustainable  
 406 than glass packaging.

407 In the case of milk, the LCA studies covered in the meta-analysis performed by von Falkenstein et al. (2010)  
 408 indicate general trends regarding the performance of beverage cartons versus alternative packaging  
 409 systems for certain environmental impact categories. For climate change, fossil resource consumption, and  
 410 acidification – all regarded by the majority of all studies – beverage cartons generally have the most  
 411 favourable results, while in terms of land use for forestry, they clearly require the largest area. For  
 412 particulate matter and terrestrial eutrophication (covered by fewer LCA studies), the result ‘pattern’ points  
 413 towards a favourable picture for beverage cartons (von Falkenstein et al., 2010). These results are  
 414 confirmed by other studies, e.g. Meneses et al. (2012) concluded that for global warming and acidification  
 415 larger aseptic carton packages with recycling as disposal scenario have the lowest environmental impact  
 416 potentials compared to PET bottles and HDPE bottles. Similar results were also obtained by Scipioni et al.  
 417 (2013), who compared laminated carton containers and HDPE bottles under Italian conditions, considering  
 418 climate change, fossil fuels depletion, particulate matter formation, photochemical oxidant formation, and  
 419 terrestrial acidification. Differing results were obtained under Chinese conditions by Xie et al. (2011), where

420 the laminated carton container showed to have a higher environmental impact than the polyethylene  
421 bottles, but this was mainly due to the EoL treatment assumed in that study, which excluded recycling.

422 For beer and soft drink packaging, the results obtained in the streamlined LCAs were confirmed by  
423 literature. Simon et al. (2015) concluded that considering the whole life cycle of packaging materials, the  
424 one-way glass and Al can had the highest global warming potential in an open-loop material scenario, while  
425 the score of the Al can in the same impact category would become smaller than that of the small PET-  
426 bottles in a closed-loop material recycling scenario. In the study of Pasqualino et al. (2011), despite the EoL  
427 options considered (landfill, incineration or recycling), the 1 l HDPE bottle turned out to have the lowest  
428 environmental impact compared to large Al can (50 cl), large glass bottle (100 cl), medium Al can (33 cl) and  
429 medium glass bottle (33 cl). According to Amienyo et al. (2013) the carbonated soft drink packaged in 200 cl  
430 PET bottles is the most environmentally sustainable option for most impact categories, including climate  
431 change, while the same drink packaged in one-way glass bottles is the worst option, in terms of  
432 environmental sustainability. However, they also find that reusing glass bottles 3 times would make the  
433 carbon footprint of the drink in glass bottles comparable to that in aluminium cans and 50 cl PET bottles.

### 434 ***3.2.3 How does the perception of Danish consumers with regard to the environmental sustainability of*** 435 ***liquid food packaging compare with what can be concluded from quantitative assessments using LCA?***

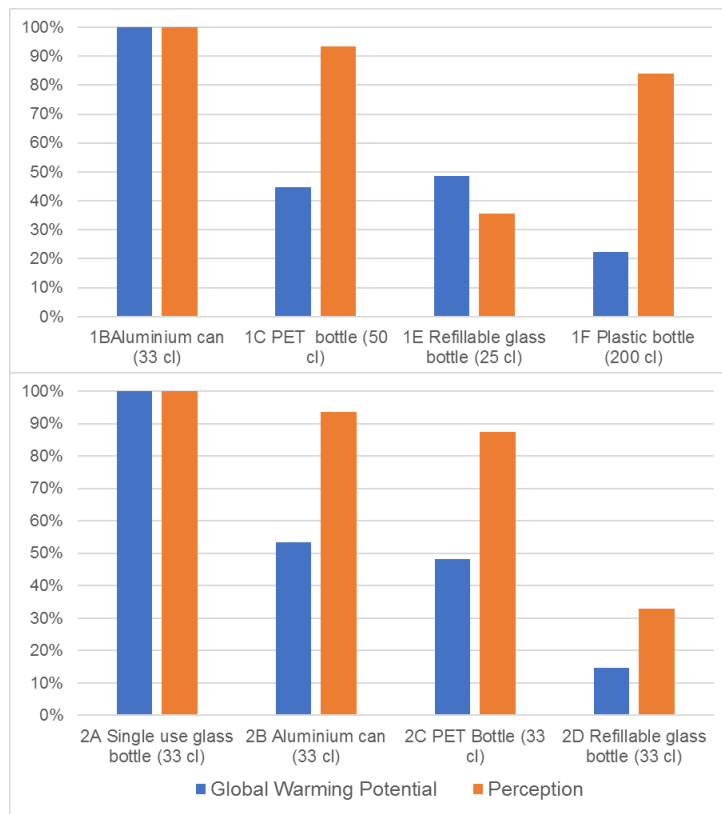
436 In general there is good consistency in what different respondents perceive across the product groups. The  
437 overall picture is that glass is regarded as the most sustainable packaging material. Plastic bottles, and  
438 metal cans are perceived negatively, and laminated carton is also perceived rather negative, though more  
439 mixed. The respondents display great faith in the sustainability of the three new packaging innovations  
440 included in the survey (milk bag, plant bottle for soft drink and bio-fiber bottle for beer). The milk bag is  
441 currently on the market and the plant bottle is not anymore, while the bio-fiber bottle is currently being  
442 developed, and therefore the consumers based their perception entirely on the picture and description  
443 provided.

444 Figure 5 displays the comparison between the perceived environmental impact and scientifically assessed  
445 environmental impact (represented here by Global Warming Potential, GWP) for beer and soft drink. For  
446 both product categories, the refillable glass bottle is rightfully perceived as the most environmentally  
447 sustainable. Regarding soft drinks, the respondents perceive plastic PET bottles (both 200 cl and 50 cl) with  
448 a similar environmental sustainability as aluminium cans, but the LCA results show that their GWP scores  
449 are lower than the one of aluminium cans. Consumers thus seem to underestimate the environmental  
450 sustainability of plastic bottles. For beer, there is a negative perception of aluminium cans and PET bottles  
451 (with inverse perception scores of 90% and 80%, respectively, compared with single use glass bottles which  
452 have the highest score. This is not supported by LCA results, which show that the GWP impact score of  
453 aluminium can and PET bottle is half the impact of the single use glass bottle.

454 Not shown in the figure are skinned tomato containers, milk and olive oil. For skinned tomato containers,  
455 no LCA studies were found. For olive oil, glass is perceived as the most environmentally sustainable option  
456 (compared to PET, metal can and spray can), but LCA results highlight the potential of PET bottles to reduce  
457 the environmental impact of olive oil supply chains, through e.g. dematerialization and reusable and  
458 recyclable PET bottles (Accorsi et al., 2015). Finally, for milk, if the glass bottle is reused then the



459 consumers' assessment is likely to be realistic. The one-way glass bottle would likely be the least  
 460 sustainable option.



461  
 462 **Figure 5** Comparison of perceived environmental impact (inversed perception score) and scientifically assessed environmental  
 463 impact (represented here by Global Warming Potential) for 1. soft drinks (top) and 2. beer (bottom). Both scores are normalized by  
 464 maximum.

## 465 4 Discussion

### 466 4.1 Theoretical implications

467 The present study contributes to the research agenda on sustainable consumption and production of food  
 468 and beverage packaging by exploring how to bridge the gap between consumer research and LCA.

469 First, the study investigates how environmentally sustainable Danish consumers perceive liquid food  
 470 packaging (RQ1). The presented results indicate that when it comes to packaging materials, consumers in  
 471 Denmark mix material and sustainability, exemplified by glass, which is perceived as sustainable, and  
 472 plastics, which in general is seen as unsustainable. Consumers do not seem to consider (or seem to have no  
 473 knowledge of) how products have been produced and transported. One environmentally relevant aspect,  
 474 namely the weight of an empty packaging, appears to be completely ignored, since it is not addressed by  
 475 any of the respondents. Instead, they show to base their idea of environmental sustainability on what they  
 476 can do to recycle a packaging and on how much recyclable they perceive different materials to be. For this  
 477 reason, glass scores high, and plastic is in general perceived negatively (even though some consumers who  
 478 do recycle plastics perceive it more positively). This latter finding confirms the results obtained by Lindh et  
 479 al. (2015), whose research showed that Swedish consumers regarded plastic-based packaging materials as

480 the ones with the greatest environmental impact. The way how the responding consumers assess  
481 sustainability, based on materials' recyclability and naturalness aligns well with the concept of Circular  
482 Economy which distinguishes between the technical and biological cycles (EMF, 2013). Materials in the  
483 biological cycle are meant to be returned to the soil by composting or anaerobic digestion, while materials  
484 in the technical cycle are designed to be recovered and upgraded (Braungart and Engelfried, 1992). Our  
485 findings also indicated that consumers have great faith in new packaging innovations made from natural  
486 materials, confirming previous results obtained in the so-called slow-moving and fast-moving consumer  
487 goods sectors (Petersen and Brockhaus, 2017). The empirical study on consumer research furthermore  
488 showed that consumers tend to think that bio-based materials are also biodegradable. Also the difference  
489 between 'compostable' and 'biodegradable' seems to be unclear for most consumers. This ambiguity is a  
490 challenge for the widespread use of both bio-based and biodegradable/compostable materials. Information  
491 to consumers is therefore needed, because the positive attitudes of the consumers really show the bigger  
492 opportunities in developing new types of compostable packaging where the lifetime of the packaging  
493 better matches that of the product, which is a generic way of not 'over-designing' the packaging.

494 Second, this research provides insights on the extent of knowledge of a specific category of consumers  
495 (well-educated, young urban Danish consumers) on a selection of environmental labels (RQ2). In the  
496 present study, the general knowledge of labels on environmentally sustainable packaging turned out to be  
497 quite low for most of the tested labels. Previous research on the impact of sustainability information (i.e.  
498 environmental, social, and health ratings of products and companies) on consumers' online shopping  
499 behaviour showed that many consumers are unaffected by sustainability information (O'Rourke and Ringer,  
500 2015). However, at the same time consumers who have expressed previous commitment to sustainability  
501 issues appear to make use of this information as part of their purchasing behaviour (O'Rourke and Ringer,  
502 2015). As already identified by Hartikainen et al. (2014) in the case of carbon footprint labels, there is a  
503 clear need to educate consumers in order for them to i) understand better such labels as well as to ii)  
504 appreciate the potential to make environment- and climate-friendly choices by adjusting personal food  
505 consumption habits. Only a limited share of consumers involved in Hartikainen et al.'s research were aware  
506 that their food consumption represented the highest share the overall environmental impact of their  
507 consumption (Hartikainen et al., 2014). These findings are relevant in the context of large initiatives such as  
508 the Product Environmental Footprint (PEF) (EC, 2013), which among other purposes aims at testing  
509 different communication vehicles for the environmental performances of products, including labels. As  
510 demonstrated by Styles et al. (2012) in their review of European retailers' performance, proactive retailers  
511 go beyond product labelling and use widespread product certification and extensive collaboration with  
512 suppliers to drive systematic environmental improvement across product groups associated with high  
513 environmental burdens.

514 Finally, the study contributes to the literature addressing the comparison between consumers' perception  
515 of sustainability and LCA (RQ3). Our findings indeed confirm that consumers' perceptions are in some cases  
516 contrasting with LCA results for liquid food packaging such as beer and soft drink. This is aligned with the  
517 findings of Steenis et al. (2017), who in the case of tomato soups found that glass jars are perceived as very  
518 sustainable by consumers (ranked 2nd of 7 alternatives), but are actually least sustainable according to LCA.  
519 In the case of tomato soup, the bioplastic option was ranked as first in terms of sustainability by  
520 consumers, but caused a comparatively large environmental burden in LCA (ranked 5th of 7) (Steenis et al.,  
521 2017). Preferences towards bio-based packaging were shown to exist in the case of plastics in different

522 Western consumer cultures: Germany, France, and the U.S., but were not supported by LCA results (Herbes  
523 et al., 2018). Unfortunately in the present case, it was not possible to compare the perceived sustainability  
524 of bio-based solutions with the LCA results, but this kind of investigation is highly needed.

## 525 **4.2 Practical implications**

526 Packaging has been at the centre of discussions on sustainability for almost 50 years – and for good  
527 reasons, since packaging is such a central and visible aspect of human consumption. The Circular Economy  
528 both offers new directions and supports what is current practice in the packaging industry, in the sense that  
529 increasing recycling rate and recycled content can reduce the environmental impact of packaging solutions  
530 (Niero et al., 2016; Stotz et al., 2017). Denmark has a long and rich tradition of innovating policies that  
531 represent elements of Circular Economy, e.g. the introduction of the very first deposit-refund scheme for  
532 beverage containers in the 1980s. Even though Denmark is internationally recognized as a front runner  
533 society in terms of environmentally conscious behaviour, there are significant opportunities to further  
534 transition towards circularity, among others in the plastics and bio-based packaging sector, as well as in  
535 terms of reduction of waste production per capita (Ellen MacArthur Foundation, 2015). Yet, even with the  
536 high collection rates in Denmark there are still losses and challenges in keeping the materials at the same  
537 quality levels, and currently, the international recycling system is not truly circular, e.g. virgin aluminium  
538 needs to be added to can production (Niero and Olsen, 2016; Paraskevas et al., 2015; Stotz et al., 2017),  
539 and PET bottle recyclates are used for lower quality purposes than for new PET bottles (Grosso et al., 2017;  
540 Velis and Brunner, 2013). Moreover, the combination of collection type (supermarket, collection point or  
541 kerbside bag collection) and bottle type is a decisive factor for the environmental profile of a packaging  
542 option and should not be neglected. According to Simon et al. (2015), the one-way refund system of glass  
543 presents worse environmental impacts than the kerbside mixed bag collection of the same bottle type.  
544 There is a huge economic potential in improving recycling systems and in minimising the current loss of  
545 valuable materials from packaging. New international policies that create better incentives for recycling  
546 and reuse are needed. But this is not just a policy issue. The packaging and retail industries also have an  
547 important role to play. They have the responsibility to design packaging solutions that take the materials'  
548 next cycle into account from the outset, instead of just optimizing the path from company to consumer.

549 The findings of this research can guide packaging designers, purchasers and marketers to successfully  
550 design, purchase and market more sustainable liquid food packaging solutions. This can be done by  
551 providing solutions that are more sustainable and are also perceived as such by consumers – or by  
552 augmenting the consumers' perception through campaigns, so that the perceived sustainability is better  
553 aligned with solutions deemed more sustainable, based on scientific insight. Our results confirm the  
554 findings of Schäufele and Hamm (2017), who by performing a state of the art analysis in consumer  
555 perceptions towards wine with sustainability characteristics, concluded that actors in the food and  
556 beverage value chain, will likely profit from developing information campaigns with a focus on  
557 environmental aspects to increase consumers' knowledge of sustainable food and beverage production,  
558 thus creating preferences and influencing purchase behaviour.

559 Moreover, producers of food and beverage packaging should be aware of the importance of product-with-  
560 packaging interaction for innovation. The implementation of the cross-functional integration of actors into  
561 the packaging chain calls for specific research on the current dynamics and interrelation of interdisciplinary  
562 packaging design & marketing teams with a direct influence on packaging development (de Koeijer et al.,

563 2016). At the same time they should acknowledge, that end-users (e.g. consumers) often play a critical role  
564 with regard to sustainable innovation (Nielsen et al., 2016).

### 565 **4.3 Implications for LCA of food packaging systems**

566 The findings of the present research outline some challenges that need to be tackled in order to strengthen  
567 the usefulness of LCA as decision support tool for optimization of packaging systems. The importance of  
568 considering both consumer behaviour and packaging attributes when making packaging LCAs has been  
569 demonstrated (Wikstrom et al., 2016). Polizzi di Sorrentino et al. (2016) identified key areas in which LCA  
570 and ecodesign may benefit from integrating insights from behavioural science, namely measuring  
571 behaviour and assessing potential and means for changing behaviour. This is particularly relevant for  
572 packaging systems, where the environmental impacts are highly dependent on the EoL treatment, which in  
573 turn besides infrastructural conditions, highly depends on user behaviour. Due to a number of variables  
574 that affect the environmental burdens of different beverage packaging systems, it is indeed not possible to  
575 identify an overall “winner” material by conducting a general LCA for most materials (Simon et al., 2015). In  
576 order to provide a robust ranking of the environmental sustainability of packaging systems, it is therefore  
577 recommended to include the findings from consumer research in bespoke LCA studies. Investigation of the  
578 relationship between sustainable packaging and consumer behaviour has so far been limited, e.g. during  
579 the purchase and recycling stages of the packaging life cycle (Martinho et al., 2015).

580 The possibility to use packaging as a means to reduce or entirely prevent food waste incl. potential trade-  
581 offs needs to be investigated by means of LCA, as addressed by Wikström et al. (2014), who concluded that  
582 scenarios exploring the potential of packaging systems to reduce the overall environmental impact, i.e. the  
583 combined impact from the food and the packaging, through reducing food waste are desirable. The  
584 respondents of the present on-line survey were not directly asked how negative or positive they perceive  
585 packaging. However, in one of the statements of the survey (see section A.1 of the Supplementary  
586 Material), they were asked whether packaging is a bigger environmental problem than food waste, and few  
587 had a clear opinion on this or found the issues equally important. In the qualitative interviews the  
588 respondents were asked the same question, but no clear tendency could be derived from the answers. Out  
589 of the 10 people interviewed, 4 found that food waste was the biggest problem, 5 found packaging to be  
590 the worst and one was undecided. When asked directly, whether there was any link between the two  
591 issues, only a couple of people mentioned that packaging can prevent food waste. Consumers, who  
592 described packaging as the largest problem, argued that food waste might be expensive, but since food is  
593 degradable, it is not such a serious environmental issue. Some people expressed concern about micro  
594 plastic from packaging, and others just found that the largest problem with packaging is that it may end up  
595 in the wrong place. The reason why no clear conclusions can be drawn, compared to previous studies, can  
596 be due to the rising awareness of the environmental issue of food waste in Denmark in recent years. Even  
597 though concerns might increase in the future, the outcome from current research indicates that consumers  
598 only to a limited extent consider that packaging prevents food waste. Only 36% of respondents indicated  
599 that it is important that the packaging is formed in such a way that no product is wasted and only 3% stated  
600 the view that small portion sizes increase the environmental sustainability of the packaging. Further studies  
601 performing a consumer behaviour scenario analysis to evaluate variations in environmental impacts caused  
602 by the lifestyle and food consumption preferences of consumers are thus highly welcome (Yokokawa et al.,  
603 2018).

#### 604 **4.4 Limitations of the study and future research**

605 The present study is limited in several ways. First, one key limitation lies in the limited size of the sample  
606 used for the online responses (n of around 200) and number of interviews (n=10), as well as the use of a  
607 convenience sample. However, studies on consumer perception with a similar size of sample have been  
608 used to compare consumer judgements and LCA results in the case of tomato soups in a Dutch context  
609 (Steenis et al., 2017) or to assess the influence on public perception of climate impact and on their  
610 importance for reducing the climate impact of food production and consumption in the German-speaking  
611 population of Switzerland (Shi et al., 2016). The sample used cannot be considered representative of the  
612 Danish population as a whole, but instead can represent well-educated, young urban Danish consumers.  
613 The reason for the misalignment against national average Danish population can be explained by the  
614 chosen distribution channels, which targeted young consumers, mainly undergraduate and graduate  
615 students. However, there are studies showing that caution should be used when attempting to extend any  
616 relationship found using e.g. college student subjects to a non-student (adult) population (Peterson, 2012).  
617 This emphasizes the importance of broadening the selection of interviewees by increasing population  
618 diversity and specifically addressing the influence of e.g. gender, age, country of origin, or environmental  
619 consciousness, before attempting any generalizations.

620 In terms of methodological shortcomings with regard to the consumer research, it should be noted that the  
621 order of presentation of product categories had not been randomized in the questionnaire, thus carry-over  
622 effects have not been regarded. The investigation of environmental sustainability perception may be  
623 broadened by means of different methods and theories from the field of consumer behaviour (Groening et  
624 al., 2017), such as the item response theory (Vincenzi et al., 2018), cue perception elicitation (Steenis et al.,  
625 2017), or signalling theory (Petersen and Brockhaus, 2017) and from the practice theory approach (Røpke,  
626 2009). Moreover, the use of descriptive and correlation analyses could support the analysis of the results  
627 and identification of significant differences within the sample, as well as the testing of specific hypotheses  
628 (Li et al., 2017; Singh and Verma, 2018).

629 The limited size of the sample was counteracted by conducting dedicated streamlined LCAs and a literature  
630 review which, in combination, provided the framework for performing the comparison between the  
631 perception of environmental impact and scientifically assessed environmental impact in a comprehensive  
632 manner. However, a more systematic literature review or meta-analysis of LCAs in the food and beverage  
633 packaging sector could be performed in order to expand the temporal scope of the study. In the  
634 assessment of the potential environmental impacts we used GWP as a proxy, but further research should  
635 investigate how other impact categories relate to the perceived sustainability. Moreover, in order to  
636 compare the GWP scores among the modelled packaging options within the soft drink and beer categories  
637 and provide a ranking, the statistical variation of the scores should be investigated, e.g. by means of Monte  
638 Carlo analysis, which can be performed to propagate quantity uncertainty with LCA software tools and  
639 estimate the distribution of results (Igos et al., 2018). Future research could thus focus on improving the  
640 procedure for comparing LCA results with perception scores, e.g. by taking into account the distribution of  
641 the scores and providing correlations between consumer scores and LCA scores.

642 In terms of scope of the investigation on the knowledge of the meaning of sustainability-related eco-labels  
643 on packaging, only a limited set of eco-labels specifically addressing circular economy and recycling have  
644 been tested in the present study: a broader analysis distinguishing between different types of eco-labels is

645 recommendable, e.g. between ISO Types I such as the European eco-label and self-declared claims (ISO  
646 Type II), or between eco-labels in different regions, e.g. carbon footprint labels (Zhao et al., 2018), but also  
647 addressing different environmental impacts.

## 648 **5 Conclusions**

649 This explorative study aimed to contribute to filling the gap on the link between consumer research and  
650 LCA by investigating how – in the case of liquid food packaging – well-educated young Danish consumers  
651 perceive the environmental sustainability of such products, to what extent do they know about the  
652 meaning of eco-labels on packaging, and how their perception compares with what can be concluded from  
653 LCA studies.

654 The findings show that well-educated young urban consumers living in Denmark base the perception of the  
655 environmental sustainability of liquid food packaging on the material type and on what they can personally  
656 do at the end-of-life/disposal stage. Moreover, they seem to exclude life cycle based-considerations, i.e.  
657 the impacts related to packaging production and transport. Bio-material-based packaging types and glass  
658 packaging are perceived as the most sustainable options, plastic packaging as the least sustainable,  
659 meanwhile laminated cartons receive a mixed perception. The results from the LCA literature review  
660 showed that this might be problematic, since plastic and laminated cartons in comparison here show as  
661 environmentally most sustainable solutions, even though there are challenges related to their recycling. In  
662 addition, the streamlined LCA study for beer and soft drinks revealed that there is a considerable difference  
663 in environmental impact magnitudes (across impact categories) between glass being reused vs. one-way  
664 glass, but consumers do not seem to be aware of this difference. In the case of beer, there is a  
665 misalignment of consumers' perception score and GWP scores of PET bottles and aluminium cans  
666 compared to single use glass bottles. For soft drinks consumers seem to underestimate the environmental  
667 sustainability of plastic bottles compared to aluminium cans. In order to close the gap between what is  
668 perceived as environmentally sustainable packaging and what is scientifically assessed as such, improved  
669 information flows from the scientific field to consumers are recommended. As the consumers' knowledge  
670 on the meaning of a selection of environmental labels turned out to be limited, actions from all parts,  
671 including producers, retailers and policy makers are required. The final aim of such improvement efforts  
672 should be to provide consumers with the knowledge needed to make informed choices.

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