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Title

Axial changes in wood functional traits have limited net effects on stem biomass increment in European beech (*Fagus sylvatica*)

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Abstract

During the growing season, trees allocate photoassimilates to increase their aboveground woody biomass in the stem (ABI_{stem}). This “carbon allocation” to structural growth is a dynamic process influenced by internal and external (e.g., climatic) drivers. While radial variability in wood formation and its resulting structure have been intensely studied, their variability along tree stems and subsequent impacts on ABI_{stem} remain poorly understood.

We collected wood cores from mature trees within a fixed plot in a well-studied temperate *Fagus sylvatica* forest. For a subset of trees, we performed regular interval sampling along the stem to elucidate axial variability in ring width (RW) and wood density (ρ), and the resulting effects on tree- and plot-level ABI_{stem} . Moreover, we measured wood anatomical traits to understand the anatomical basis of ρ and the coupling between changes in RW and ρ during drought.

We found no significant axial variability in ρ because an increase in the vessel-to-fibre ratio with smaller RW compensated for vessel tapering towards the apex. By contrast, temporal variability in RW varied significantly along the stem axis, depending on the growing conditions. Drought caused a more severe growth decrease and wetter summers caused a disproportionate growth increase at the stem base compared to the top. Discarding this axial variability resulted in a significant overestimation of tree-level ABI_{stem} in wetter and cooler summers, but this bias was reduced to ~2% when scaling ABI_{stem} to the plot level.

These results suggest that *F. sylvatica* prioritizes structural carbon sinks close to the canopy when conditions are unfavourable. The different axial variability in RW and ρ thereby indicates some independence of the processes that drive volume growth and wood structure along the stem. This refines our knowledge of carbon allocation dynamics in temperate diffuse-porous species and contributes to reducing uncertainties in determining forest carbon fixation.

Introduction

Plants dominate the global biomass within the biosphere (Bar-On *et al.* 2018), with forests being particularly effective in sequestering and storing atmospheric carbon (Pan *et al.* 2011; Le Quéré *et al.* 2018). This ecosystem property has fuelled interest in studying carbon allocation in trees – *i.e.*, the partitioning of photosynthates between different above- and

below-ground sink tissues (foliage, stem, coarse and fine roots), non-structural carbohydrate pools, root exudates and maintenance respiration (Litton *et al.* 2007; Luysaert *et al.* 2007; Dietze *et al.* 2014). It has been shown that this partitioning of resources can change as a function of climate, atmospheric CO₂ concentration, and nutrient availability (Lapenis *et al.* 2013; Chen *et al.* 2013; McMurtrie & Dewar 2013; Mausolf *et al.* 2019). This impacts the carbon storage capacity of forests, which increases (decreases) with higher (lower) carbon investment in long-term pools such as the stem (Körner 2017). Accordingly, considerable efforts have been invested in quantifying temporal variability and trends in aboveground woody biomass increment (Babst *et al.* 2014a) and in mechanistic modelling of carbon allocation to stem growth (Li *et al.* 2014; Guillemot *et al.* 2015; Gea-Izquierdo *et al.* 2015; He *et al.* 2019). Still, our understanding of – and thus our ability to mathematically describe – the relevant processes that govern carbon source activity in trees remains much more advanced compared to our knowledge of carbon sink activities and their external and internal drivers (Zuidema *et al.* 2018; Fatichi *et al.* 2019). Consequently, a current research priority is to better understand wood-formation processes and their environmental constraints in trees (e.g., von Arx *et al.* 2017; Castagneri *et al.* 2017; Cuny *et al.* 2019), which should then be translated into refined mechanistic model structures that balance source and sink constraints on tree growth.

In their recent article, Friend *et al.* (2019) proposed an interesting concept to implement wood formation in dynamic global vegetation models as individual wood cells that go through the different developmental stages (Rathgeber *et al.* 2016) and are influenced by internal and external drivers. Similar to most existing field studies of tree growth (e.g., Klesse *et al.* 2018), this approach relies on the assumption that wood formation at one location along the stem is representative of the dynamics of volume and mass growth for the entire stem. However, while wood formation in higher stem sections has rarely been assessed, some studies have provided evidence for axial changes in the climate sensitivity of radial growth. For example, Bouriaud *et al.* (2005) and van der Maaten & Bouriaud (2012) measured radial growth and wood density (ρ) at multiple positions along the stem of *Picea abies* and *Abies alba* trees in temperate Europe and found a decrease in climate sensitivity towards higher stem sections. By contrast, Kerhoulas & Kane (2011) reported higher climate sensitivity at the top compared to the bottom of the stem in *Pinus ponderosa* from Arizona. They attributed this pattern to hydraulic limitations under drought and also indicated a prioritization of carbon allocation to root growth when climatic conditions were unfavorable. Chhin *et al.* (2010)

studied almost 400 *Pinus contorta* trees from western Canada and discovered a relatively complex seasonality of previous and current year climatic influences on growth at different stem heights. Taken together, these studies have left us with a somewhat unclear picture of axial growth variability that needs to be clarified to support the realistic implementation of carbon sink activity in mechanistic vegetation models (Zuidema *et al.* 2018; Fatichi *et al.* 2019). Doing so requires establishing a quantitative link between wood functional traits and the aboveground woody biomass increment in the stem (ABI_{stem}) of mature trees, which has rarely been achieved.

Novel studies on xylem characteristics have provided key insights in wood functional traits and their response to environmental variability (Björklund *et al.* 2017; Arx *et al.* 2017; Castagneri *et al.* 2017; Cuny *et al.* 2019). Despite these recent advances, it remains unclear how wood density is impacted by axial changes in cell parameters such as diameter, lumen area, or wall thickness. Current understanding of xylogenesis is that wood cell production and elongation (which drive radial growth and wood density) are influenced internally by the turgor pressure within the cambium, by hormones, and by the concentration of non-structural carbohydrates in the phloem (De Schepper & Steppe 2010; Hartmann *et al.* 2017). These mechanisms are controlled by gradients originating from the tree's apex (e.g., Woodruff *et al.* 2004; Rathgeber *et al.* 2011). At the same time, the diameter of wood cells universally tapers towards the apex (West *et al.* 1999; Anfodillo *et al.* 2006; Olson *et al.* 2014; Williams *et al.* 2019) to mitigate the dropping stem water potential with increasing tree height. Without simultaneous changes in cell wall area, the result will be an increase in the ratio between cell wall to lumen area, which should cause an increase in wood density from the stem base towards the apex (Hypothesis 1, tested in this study; H1). Together, these processes suggest that carbon allocation to wood formation in tree stems should vary as a function of distance from the apex, but this pattern has seldom been quantified in terms of actual biomass increment.

It has been shown that trees can prioritize carbon allocation to belowground sinks under unfavourable environmental conditions (Kerhoulas & Kane 2011; Lapenis *et al.* 2013). In addition, the tree may favour carbon sinks that are proximal to the source (i.e., the canopy) when resources are limiting, regulated by axial gradients in hormones and phloem sugar concentration (e.g., Rathgeber *et al.* 2011). If this is the case, radial growth should be tempered more strongly in lower compared to upper stem parts under suboptimal climatic conditions (Hypothesis 2; H2). Some evidence for this comes from the occurrence of so-

called “missing rings” in tree-ring records, – *i.e.*, when no ring is formed at sampling height in a particularly cold and/or dry year (Fritts *et al.* 1965; Wilmking *et al.* 2012). In light of possible axial changes in carbon allocation to the stem, van der Maaten & Bouriaud (2012) stated that the ubiquitous measurements of radial growth at breast height could give a biased representation of aboveground volume and biomass increment. Indeed, if breast height measurements were to underestimate growth in unfavourable years, the fraction of the sequestered carbon that is invested in ABI_{stem} could be larger than previously reported (Hypothesis 3; H3). A careful evaluation of this potential bias is warranted because tree-ring measurements at breast height are increasingly used to reconstruct tree and stand biomass as a measure of annual forest productivity (Klesse *et al.* 2016; Dye *et al.* 2016; Alexander *et al.* 2018; Teets *et al.* 2018). This calls not only for a better understanding of within-stem variability in wood formation, but also of the physiological drivers behind tree-specific ABI_{stem} .

In this study, we addressed the three hypotheses (H1, H2, and H3) introduced above to gain both functional and quantitative insight in wood formation along the stem of mature European beech (*Fagus sylvatica* L.) trees. We conducted a systematic assessment of axial variability in radial growth and wood density, and estimated the resulting impacts on woody biomass increment along tree stems. For this purpose, we applied a combination of forest plot census, established tree-ring methods, and novel wood anatomical techniques (von Arx *et al.* 2016) on samples collected from a long-term monitoring site near Sorø, Denmark. Regular two-meter interval sampling of increment cores along the stem axis also helped us to better describe the anatomical basis of ρ variability along the stem and through time. This study contributes to a refined understanding of carbon allocation in a diffuse-porous tree species and in temperate forest ecosystems more broadly.

Materials and Methods

Study site

The sampling site is located in a well-studied forest near Sorø, Denmark at 55°29'13"N, 11°38'45"E and 40 m above sea level. The soils are classified as Mollisols with a 10-40 cm deep organic layer, with the parent material being relatively rich in lime (25-50%; Pilegaard *et al.* 2011). The groundwater table at the site fluctuates from 0.2 to 2 m below the surface, where the *in situ* field capacity (at 0-1.5 m depth) is 31.5% (473 mm) and roots were observed in the upper 0.85 m of the soil (Dalsgaard *et al.* 2011). The average annual temperature is 8.5 °C with an annual precipitation of 564 mm (1996-2009; Pilegaard *et al.*

2011). The stand is dominated by *Fagus sylvatica*, with a mean age of 89 ± 10 years in 2017. About 20% of the standing trees were thinned each decade (Pilegaard *et al.* 2011). Our sampling focussed on a fenced-off area in the forest (2460 m²; Figure 1a), where we measured the average tree height and its standard deviation at 28 ± 7 m, crown base at 11 ± 5 m, diameter at breast height (*DBH* at 1.3 m) at 44 ± 15 cm and stand density at 207 trees ha⁻¹ (*DBH* ≥ 10 cm).

Sample collection and ring-width measurements

We collected two increment cores of all living trees with a *DBH* ≥ 10 cm within the fenced area (hereafter called “plot trees”; Figure 1a). Cores were taken perpendicular to each other to account for circumferential growth variation, and labelled using a Dave2000 device. For each plot tree, we recorded the species, social status, position, height of the tree (H_{tree}) and its crown base (using a Vertex IV, Hagl f Sweden), and *DBH* (see Table S1). Additional measurements of *DBH* and H_{tree} were taken from trees outside the plot to cover the full *DBH* range needed to establish robust allometric relationships (Figure S1). Sample preparation followed standard dendrochronological techniques (Schweingruber 1996) to prepare, measure (Lintab 6 station and TSAP-Win software, Rinntech Inc.) and visually and statistically cross-date all tree-ring width series (using COFECHA, Holmes 1983). In case a core did not reach the pith, we performed a pith-offset estimation based on the curvature of the last rings (Pirie *et al.* 2015).

Regular interval sampling was performed by a professional tree climber on a subset of eight dominant *F. sylvatica* trees to collect wood cores at 0.5 m, 1.3 m, and then every 2 meters until the height at which the main stem ends (Figure 1). We measured *RW* for each core from these “axial trees”. Then, we selected three heights along the stem (breast height, mid-stem and top-stem) for high-precision wood anatomical and density measurements covering the 1996-2017 period (corresponding to the intense ecological monitoring at this site; Pilegaard *et al.* 2011).

Wood anatomical and density measurements

Quantitative wood anatomical analysis was used to determine cell-specific properties, including high-resolution measurements of inter- and intra-annual wood density (ρ ; Prendin *et al.* 2017). Additionally, this approach allowed us to ascertain which wood anatomical property contributes most to the inter-annual variability in density (e.g., vessel and fibre lumen, and cell wall area). For the three wood cores per axial tree, 10-12 μm thick micro-

sections were cut using a rotatory microtome (Leica RM2245, Leica Biosystems, Nussloch, Germany). The thin sections were stained with safranin and astrablue, and permanently fixed on glass slides using Canada balsam (Gärtner *et al.* 2015). From each slide, digital images of radial anatomical properties (fibres and vessels) were taken for each ring within the 1996-2017 period using a slide-scanner (Axio Scan Z1, Zeiss, Germany). The ROXAS software (von Arx & Carrer 2014) combined with Image-Pro Plus (Media Cybernetics, Rockville, MD, USA), allowed us to detect fibres and vessels automatically and manually from the images (von Arx *et al.* 2016). Cell lumen area (A in μm^2), mean cell wall thickness (WT in μm) and cell radius of the long (α) and short (β) axis were measured for each detected fibre ($A < 150 \mu\text{m}^2$) and vessel ($A \geq 150 \mu\text{m}^2$), together with positional information relative to the ring boundary (see Peters *et al.* 2018). A density profile was established by dividing each tree ring in the processed image into 100- μm wide sectors (s) parallel to the ring boundary and calculating the mean density per sector (ρ_s , assuming a fixed density of wall material expressed with $\gamma = 1.504 \text{ g cm}^{-3}$; Kellogg *et al.* 1969) based on Equation 1:

$$\rho_s = \gamma \left(\sum_{i=0}^c \left[\frac{\pi(\alpha_s + \overline{WT}_s)(\beta_s + \overline{WT}_s) - A_s}{\pi(\alpha_s + \overline{WT}_s)(\beta_s + \overline{WT}_s)} \right] - \delta_s \right) \quad (\text{Eq. 1})$$

where the wall area of each cell (c) is calculated using WT and A (assuming an elliptical shape using α and β). We excluded the area of occasional larger rays wider than $50 \mu\text{m}$ (ray area in μm^2 ; δ_s) within each sector, as our sampling design using 5 mm wide cores does not allow to quantify the abundance of larger rays within each year in a representative way. In rare cases when automatic measurement of WT failed due to undetected neighbouring fibre cells, the mean WT within a sector was used instead. Empty areas (excluding δ_s) due to unmeasured fibre cells in each sector were filled with fibre cells of average dimensions for that sector. To account for wedging and waving ring boundaries, sector width was reduced (increased) in narrower (wider) parts of the ring, while still averaging to an overall mean sector width of $100 \mu\text{m}$. Additional bulk and annual wood density measurements using the water displacement and X-ray densitometry methods, respectively (Eschbach *et al.* 1995, Williamson & Wiemann 2010), were performed to benchmark our wood anatomical density (see Figure S2 and associated text).

Axial changes in radial growth and wood density

To analyse the variability of RW and ρ along the stem axis (relates to hypotheses H1 and H2), the distance to the apex was calculated from each axial sampling interval between

1996 and 2017 (H_{apex} [m]; considering height growth over time by using the allometric relationship between height and DBH determined *in-situ* and presented in Figure S1). We used H_{apex} instead of the absolute height where samples were taken because it has the advantage that the data are inter-comparable between trees of different cambial age and H_{tree} , which was a prerequisite for our two-step analysis. In the first step, we assessed the relationship between RW and H_{apex} separately for each tree and year using linear regression models. The resulting intercepts and slopes provided a metric of how strong the axial changes in RW were in a given year. In a second step, we compared the strength of these axial changes to radial growth at breast height across all trees and years. For this, we constructed a linear mixed-effects model, in which the annual slopes were fitted against annual RW at breast height from the corresponding trees, with “tree” included in the model as a random effect. To constrain the uncertainties associated with the fitted linear regression parameters, we performed a bootstrapped resampling analysis (1000 iterations with replacement). This analysis was performed using the “lme4” package in R (Bates *et al.* 2015) and accounted for linear model assumptions (e.g., normality and homogeneity; Zuur *et al.* 2010). The same two-step analysis was then applied twice more for ρ and A_{vessel} .

Effects of axial growth variations on stem biomass increment

Two implicit assumptions are usually made when ABI_{stem} is derived from a combination of forest inventory data and tree-ring measurements taken only at breast height (Babst *et al.* 2014a): A) the yearly variability in RW at breast height represents that of radial growth and volume increment of the entire stem; B) ρ is constant within and along the stem. To test our hypothesis H3 that these assumptions introduce biases in ABI_{stem} estimates, we assessed the impact of axial variability in RW and ρ on ABI_{stem} for both the axial trees and the entire plot. We thereby considered all four possible scenarios of accounting for or discarding this axial variability: i) both are fixed to breast height ($RW_{\text{fix}} \times \rho_{\text{fix}}$), ii) RW is fixed to breast height and ρ varies along the stem ($RW_{\text{fix}} \times \rho_{\text{var}}$), iii) ρ is fixed to breast height and RW varies along the stem ($RW_{\text{var}} \times \rho_{\text{fix}}$), and iv) both vary along the stem ($RW_{\text{var}} \times \rho_{\text{var}}$).

These scenarios were implemented in Equation 2, given that ABI_{stem} of a specific year (y) is determined by the stem volume (V_{stem}) change in that year and by ρ of the newly formed wood.

$$ABI_{stem}^y = \sum_{i=0}^h [(V_{stem}^{i,y} - V_{stem}^{i,y-1}) \rho_{stem}^{i,y}] \quad (\text{Eq. 2})$$

Our regular interval sampling thereby provided us with RW measurements at different sampling heights (i), which we progressively subtracted from the respective outer stem radii measured in 2017 (see Figure S4) to reconstruct the stem radius for each year between 1996-2017. Then, we linearly interpolated between the radii along the stem axis for each year, assuming a conic stem shape between the sampling heights. The volume of these stem segments was calculated for each year ($V_{stem}^{i,y}$) in two ways: i) by subtracting for all segments the average RW measured at breast height to simulate the RW_{fix} scenario and ii) using the RW measurements from that specific i (i.e., the RW_{var} scenario). ABI_{stem} was then calculated according to Equation 2, using either the mean ρ determined by the water displacement method for the respective axial tree (the ρ_{fix} scenario; see Figure S4), or the inter-annual time-series of ρ obtained from the wood anatomical density profiles at different sampling heights (ρ_{var} scenario).

To determine ABI_{stem} for the entire plot, we estimated the outer stem profile in 2017 of all plot trees, using a taper function dependent upon H_{apex} and DBH (see Figure S6). The total stem length in 2017 was equal to the measured crown base height in the field (see Table S1). The annual stem radius was reconstructed based on RW at breast height and using the proportional method proposed by Bakker 2005 to account for circumferential variation. As described above for the axial trees, we also applied the four scenarios of calculating ABI_{stem} for all plot trees ($RW_{fix} \times \rho_{fix}$, $RW_{fix} \times \rho_{var}$, $RW_{var} \times \rho_{fix}$ and $RW_{var} \times \rho_{var}$). For the RW_{var} scenario, the RW measurements at breast height of all plot trees were corrected for the patterns found within the axial trees (see Figure 2), while accounting for changes in the distance to the apex due to height growth (see Figure S1). For ρ_{fix} a fixed value of 0.634 g cm^{-3} was used (see Figure S4), while ρ_{var} accounted for inter-annual variability obtained from the wood anatomical measurements. The ABI_{stem} of all axial and plot trees was then summed up to the plot level and expressed on a per-area basis (kg ha^{-1}). Finally and for comparison, the ABI_{stem} for each tree and the entire plot was also determined using three different generalized allometric biomass equations for *F. sylvatica* (Forrester *et al.* 2017; see Note S1).

Climatic drivers of radial growth and wood density variability

To identify the relevant climatic variables that drive temporal variability in RW and ρ , climate correlation analyses were performed for both plot trees and axial trees. For this

purpose, we constructed site chronologies from all measurements at breast height (see Table S2 for RW and Table S3 for ρ) using a cubic smoothing spline detrending with a 50% frequency cut-off response at 30 years (using `dplR`, see Bunn 2008). This procedure removed the biological age/size trend and other low-frequency variability (Cook *et al.* 1990; Peters *et al.* 2015). We also revisited earlier X-ray densitometry measurements from the same site (Babst *et al.* 2014b) to be able to assess the climate response of ρ over a longer time period starting in 1930.

We calculated Pearson's correlation coefficients between the resulting RW and ρ chronologies and monthly mean temperature (in °C) and precipitation sum (in mm) derived from the CRU 3.23 gridded dataset (Harris *et al.* 2014). This climate response was assessed for two separate periods starting i) in 1930 (maximum length of RW and X-ray ρ series with ≥ 10 individuals) to identify the overall temperature and water limitations on tree growth at our site; and ii) in 1996 (covering RW and ρ derived from wood anatomical data) to assess hypothesis H2 in more detail. Additionally, we performed an uncertainty analysis on the climate-growth correlations to confirm that the shorter records from the axial trees (starting in 1996) matched with the variability of the longer times series (starting in 1930) and showed a similar climatic response (see Figure S3). As Babst *et al.* (2014a) showed that RW responds to summer drought at this site, relatively wet and dry summers (June, July and August) were individually assessed. Wet and dry summers were defined above the 90th (204.6 mm) and below the 10th (139.7 mm) percentile of total summer precipitation over the period from 1930-2014, respectively.

Results

Ring-width variability along the stem

No significant relationship was found between mean RW and H_{apex} for the axial trees (slope= 0.014 mm m⁻¹, $P= 0.391$; including a random slope and intercept for the tree; Figure S6a). However, the coefficient of variation (CV) for the period 1996-2017 decreased significantly towards the apex (slope= 0.005 m⁻¹, $P= 0.007$; Figure S7b), indicating that the year-to-year variability in RW is dampened towards higher stem parts. When isolating individual years and assessing the relationship between RW and H_{apex} , we did find significant slopes ($P < 0.05$) that were more shallow when RW at breast height ($RW_{1.3 \text{ m}}$) was smaller (e.g., when comparing Figure 2a with Figure 2b; see Figure S8 for isolated year-specific relationships between RW and H_{apex}). These slopes obtained from all axial trees and years had

a strong significant relationship with $RW_{1.3\text{ m}}$ (slope= $0.03\text{ mm m}^{-1}\text{ mm}^{-1}$; $P= 0.005$; including a random slope and intercept for the tree), where a disproportionately larger RW is expected closer to the stem base during favourable growth years (Figure 2c; the intercept of the relationship was not significant: slope= -0.0863 mm m^{-1} , $P= 0.71$). Conversely and to our surprise, negative slopes between RW and H_{apex} were found during years when RW at breast height was below 2 mm (Figure 2c), indicating larger RW at the top than at the bottom of the stem.

Variability of wood anatomical density

A total of 24 wood cores from the 8 axial trees were analysed from 1996 to 2017 for wood anatomical properties, including all vessels and detected fibres (see Table S1). No significant relationship was found between mean annual ρ and H_{apex} ($P= 0.469$, including a random slope and intercept for the tree; Figure 3a). However, as expected from a hydraulic perspective, a significant tapering of the vessel lumen area (A_{vessel}) was found when moving closer to the apex (slope= $22.720\text{ }\mu\text{m}^2\text{ m}^{-1}$, $P < 0.001$; Figure 3b). When isolating individual years, the slope between ρ and H_{apex} was generally not significantly different from $0\text{ g cm}^{-3}\text{ m}^{-1}$ ($P > 0.05$; Figure 3c). Thus, although vessels become smaller towards the apex, ρ does not change significantly. An explanation for this is that the number of vessels per unit area increases with smaller RW and compensates for smaller vessels towards the apex ($\log_{10}(\rho_{\text{vessel}} [\#\text{ mm}^{-2}]) = 3 * \log_{10}(RW [\text{mm}]) - 1.176$, $P < 0.001$; see Figure S9). Regarding fibre ρ , there was an increasing trend towards the apex, but this relationship between H_{apex} and mean annual fibre ρ was just below the significance threshold ($P = 0.0519$), nor was the axial change in maximum cell wall thickness of the fibres significant ($P = 0.095$; linear-mixed effect modelling with the tree as a random effect). Additionally, the mean inter-annual variability in total ring ρ did not show a strong relationship with the variability in fibre ρ (Pearson's $r= 0.165$, $P = 0.4645$; see Figure S2b).

Correlation analyses between climate, ring width and density

At the plot level, the detrended RW series sampled at breast height from all 46 *F. sylvatica* trees showed a mean inter-series correlation of 0.435 over the common period 1930-2017 (see Table S2). The resulting RW chronology showed a strong positive relationship with June precipitation (common period 1930-2014; $r= 0.48$, $P < 0.001$), and a negative relationship with July temperatures ($r= -0.30$, $P= 0.006$; Table 1). By contrast, the X-ray ρ chronology revealed higher ρ values with warmer temperatures ($r= 0.33$, $P= 0.003$) and lower

precipitation in May (common period 1930-2009; $r = -0.39$; $P < 0.001$; Table 1). When considering only RW and wood anatomical ρ measurements for the axial trees, less pronounced correlations were found (likely due to lower sample size and the shorter observation period; see Figure S3) that were still significantly positive between RW and June precipitation and between ρ and May temperature (common period 1996-2014; Table 1). For these trees, a strong positive relationship was also found between the variability in RW and ρ , with wider rings being denser (slope = 0.115, $r = 0.80$ and $P < 0.001$). When comparing the raw RW and ρ only at breast height of the axial trees, again a significant positive relationship emerged (slope = 0.013 g cm⁻³ mm⁻¹, $P = 0.008$; including a random intercept and slope of the tree).

Impact of variability in ring width and density on aboveground biomass increment of the stem

We tested four scenarios of considering (“var”) or discarding (“fix”) axial variability in RW and ρ when estimating ABI_{stem} . In scenario 1 that kept both parameters fixed at breast height ($RW_{\text{fix}} \times \rho_{\text{fix}}$), the axial trees showed an average ABI_{stem} of 28.3 ± 10.4 kg tree⁻¹ yr⁻¹ between 1996 and 2017. In scenario 2 that allowed for axial variability in RW but not in ρ ($RW_{\text{var}} \times \rho_{\text{fix}}$), the estimated ABI_{stem} was on average smaller by -1.16 ± 1.98 kg tree⁻¹ yr⁻¹ (Figure 4a). When looking at relatively wetter summers (2002, 2007 and 2011), this difference to scenario 1 increased to a significant -3.13 kg tree⁻¹ ($P = 0.03$; Student’s t-test), whereas dry summers (1996 and 2013) showed only a small difference of -0.34 kg tree⁻¹ ($P = 0.78$). In scenario 3, which allowed for axial variability in ρ but not in RW ($RW_{\text{fix}} \times \rho_{\text{var}}$), the average difference in ABI_{stem} compared to scenario 1 was 0.10 ± 0.88 kg tree⁻¹ yr⁻¹, and thus very small. In scenario 4, where axial variability in both parameters was considered ($RW_{\text{var}} \times \rho_{\text{var}}$), ABI_{stem} was on average -1.09 ± 1.47 kg tree⁻¹ yr⁻¹ smaller than in scenario 1. Taken together, we found that discarding axial variability in RW leads to a significant overestimation of ABI_{stem} in wet summers, whereas the impact of axial variability in ρ was negligible.

At the plot level, the three allometric biomass equations (see Note S1) showed an average ABI_{stem} of 3870 ± 661 kg ha⁻¹ yr⁻¹, albeit with a considerable spread (average difference in standard deviation of 955 kg ha⁻¹ yr⁻¹ or 25%; Figure 4b). The inter-annual variability in ABI_{stem} derived from the allometric biomass equations matched well with that obtained from the different scenarios described above (Figure 4b). When comparing ABI_{stem} of the plot resulting from scenario 1 ($RW_{\text{fix}} \times \rho_{\text{fix}}$) with that from scenario 2 ($RW_{\text{var}} \times \rho_{\text{fix}}$), the inter-annual variability appeared dampened in the latter, with an average difference in ABI_{stem} of -74 ± 116 kg ha⁻¹ yr⁻¹ (-2%; $P = 0.007$). Similar to the tree level (see above), this difference was

smaller when additionally considering the inter-annual variability in ρ ($-64 \pm 88 \text{ kg ha}^{-1} \text{ yr}^{-1}$ or -1.7% in scenario 4 compared to scenario 1; $P = 0.003$). Additionally, when comparing the standard deviation of the time-series for ABI_{stem} in scenario 1 ($RW_{\text{fix}} \times \rho_{\text{fix}}$) and scenario 4 ($RW_{\text{var}} \times \rho_{\text{var}}$), it was $41 \text{ kg ha}^{-1} \text{ yr}^{-1}$ smaller in the latter.

Discussion

Radial growth variability along the stem

This study combined functional and quantitative perspectives on wood formation along the stem of mature *Fagus sylvatica* trees to elucidate how radial growth, wood density, climate and climatic extremes interact to shape the aboveground biomass increment. Our results specifically indicate that climate mediates the axial changes in radial growth. Wetter (drier) summers trigger disproportionately larger (smaller) RW at breast height (RW_{plot} in Table 1), which subsequently induces a stronger (weaker) gradient in RW towards higher stem parts (Figure 2). It is well known that unfavourable growing conditions like summer droughts (low June precipitation and high temperatures at our study site; Table 1) reduce RW in *F. sylvatica* (e.g., Bouriaud *et al.* 2004; Bosela *et al.* 2016; Bhuyan *et al.* 2017). Our results now provide evidence that this reduction in RW is less pronounced at the top of the stem compared to the stem base, confirming our second hypothesis H2. In extreme cases (RW at breast height $< 2 \text{ mm}$), we even found that radial growth closer to the apex exceeded that in lower stem sections. As a consequence, growth variability and climate sensitivity appear to be dampened closer to the apex for *F. sylvatica* (see also Bouriaud *et al.* 2005a). Some previous studies on axial growth variability in coniferous species have observed a stronger RW reduction at breast height vs. closer to the apex only during (late) summer droughts, whereas during dry and warm early-season conditions, RW closer to the apex was equally reduced (Chhin *et al.* 2010; van der Maaten-Theunissen 2012). These seasonally divergent responses possibly point to a change in priority from radial growth in higher stem parts during the early growing season towards radial growth (and higher climatic sensitivity) in lower parts later in the season.

Differences in the axial distribution of assimilates (Lacointe 2000) can provide a physiological explanation for the environmental regulation of RW along the stem (as discussed by Farrar 1961). Flow of assimilates is driven by the interplay between turgor gradients regulated by water availability and osmotic gradients generated by differences in sugar concentration between the regions of phloem loading (i.e., leaves) and unloading (i.e., roots; Münch's 1930; De Schepper & Steppe 2010). This osmotic gradient is distorted during

droughts due to reduced production of assimilates and lower water availability, which is hypothesized to lower phloem conductivity (see Ryan & Asao 2014) and slows down the transport of assimilates to the lower parts of the stem (e.g., Sevanto *et al.* 2003). This reduction in available assimilates impacts growth directly due to the lack of carbon or turgidity required in the cambium for cell production and enlargement (Lockhart 1965; Cosgrove 1993; De Schepper & Steppe 2010; Lazzarin *et al.* 2018). An alternative explanation for the difference in radial growth along the stem is the apical control over the initiation and cessation of wood formation (e.g., due to auxin gradients; Larson 1963; Rathgeber *et al.* 2011). Accordingly, growth closer to the apex starts earlier and is thus less susceptible to summer drought, as large parts of the ring have already been formed by that time. Yet, this explanation is challenged by studies on a variety of different species that did not find a difference in radial growth initiation with stem height (Sunberg *et al.* 1991; Lachaud *et al.* 1999; Bouriaud *et al.* 2005b; Anfodillo *et al.* 2012). Further physiological studies in combination with mechanistic modelling (Steppe *et al.* 2015) are likely needed to elucidate the driving mechanism behind *RW* patterns along the stem. Nevertheless, our results suggest that *F. sylvatica* prioritizes growth in the upper part of the stem during unfavourable conditions (Figure 2), supporting a resource allocation rule with lesser priority for the stem base (e.g., Lacointe 2000; Schippers *et al.* 2015).

Anatomical basis of wood density variability along the stem

Our findings suggest that in *F. sylvatica*, inter-annual variability in ρ is driven by a combination of vessel area (A_{vessel}) and the number of vessels per unit area within the ring. Although the wood anatomical basis behind inter-annual variability in wood density parameters (e.g., maximum latewood density; Esper *et al.* 2012) has been extensively studied for conifers (Wang *et al.* 2002; Pritzkow *et al.* 2014; Björklund *et al.* 2017), this study is among the first to elucidate the wood anatomical basis behind the inter-annual variability in ρ for a diffuse-porous species. In contrast to coniferous species where ρ tends to decrease with increasing *RW* (Bouriaud *et al.* 2005b; Franceschini *et al.* 2013), we find that *F. sylvatica* significantly increases ρ with larger *RW* by about 0.013 g cm^{-3} per mm. This increase can be attributed to the number of vessels per unit wood area within the ring, which decreases with increasing *RW*, whereas the proportion of fibres increases (see Figure S9). These results contrast with findings from Bouriaud *et al.* (2004) who found no relationship and with Pretzsch *et al.* (2018) who found slight reductions in ρ with increasing *RW* in *F. sylvatica*. The lack of a clear relationship between ρ and *RW* in this literature could be due to the use of

X-ray densitometry (as opposed to wood anatomical measurements in our study), where technical issues with cell alignment, lower image accuracy, and measurement bands overlapping with two rings could have distorted the signal (Park & Telewski 1993; Parker & Meleskie 1970; Jacquin *et al.* 2017).

Apart from its co-dependence on RW , variability in ρ can also be caused by different climatic drivers (e.g., Briffa *et al.* 2002; Frank & Esper 2005; Cuny *et al.* 2015). At our site, higher ρ was associated with drier and warmer climatic conditions in May (Table 1), which likely coincide with an earlier start of the growing season. A warm spring may also enhance photosynthetic rates and provide the tree with additional time and resources to develop more latewood (as described for conifers in Lupi *et al.* 2010; e.g., for *F. sylvatica* wood with relatively more fibres and less vessels). Skomarkova *et al.* (2006) confirm this hypothesis showing that maximum ρ of *F. sylvatica* from central Germany showed a positive trend with May and July temperatures. The latter relationship was absent at our site, likely due its susceptibility to summer drought (Table 1). Surprisingly, no significant relationship was found between H_{apex} and ρ (Figure 3a, c). We must therefore reject hypothesis H1, despite a significant increase in vessel lumen area (A_{vessel}) with increasing H_{apex} (Figure 3b). The A_{vessel} tapering from the stem base towards the apex is in agreement with West *et al.* (1999), showing a universal vessel diameter scaling with stem length driven by hydraulics. It thus appears that vessel tapering in *F. sylvatica* counteracts the expected reduction in ρ with decreasing RW (positive relationship) towards the upper part of the stem. These results compared with earlier findings from conifer species hint at fundamentally different responses of ρ during favourable and unfavourable growing conditions, depending on the complexity of the wood structure (e.g., vessels and fibres in ring/diffuse-porous species *vs.* only tracheids in conifers; e.g., Guilley *et al.* 1999; Bergès *et al.* 2000; Franceschini *et al.* 2013). From a functional perspective, the fact that the proportion of fibres decreases along the axial direction and during unfavourable growing conditions (e.g., smaller RW) hints at a priority of *F. sylvatica* to maintain hydraulic conductivity at the expense of mechanical support (Chave *et al.* 2009). Yet, more detailed wood anatomical analyses will be required to further elucidate climatic impacts on wood structure and function (e.g., Prendin *et al.* 2018).

Regulation of stem biomass and uncertainties

Our four scenarios of including or excluding axial variability in RW and ρ when calculating ABI_{stem} indicated a positive bias in individual trees mainly during years with

favourable growing conditions (e.g., 2002, 2007 and 2011; Figure 4). When scaled to the plot level and integrated across all years, this translates into a minor overestimation of $65 \text{ kg ha}^{-1} \text{ yr}^{-1}$ (~2%) for the period 1996-2017 (Figure 4b), although the standard deviation around this estimate is considerable. This positive bias can be significantly higher in individual years with a wet summer (e.g., 204 kg ha^{-1} in the year 2002), but still falls within the uncertainty in ABI_{stem} imposed by the three allometric biomass equations (Figure 4b). We acknowledge that these allometric biomass equations may not be ideal benchmarks for our ABI_{stem} estimates, because they are known as an important contributor to the overall uncertainty in forest productivity estimates (e.g., Alexander *et al.* 2018). Additionally, uncertainty in height measurements (e.g., Larjavaara & Muller-Landau 2013) could impact our height-DBH allometric function and H_{apex} (see Figure S1). Finally, as increment cores do not always reach the centre of the stem, pith-offset estimations have to be performed (Pirie *et al.* 2015), which potentially impact the diameter reconstruction. Nevertheless, we must reject hypothesis H3 based on our data and conclude that axial variability in RW and ρ does not strongly bias plot-level ABI_{stem} estimates derived from breast height measurements in *F. sylvatica*. Hence, RW variability along the stem will likely not explain the discrepancies found between *in-situ* measurements of aboveground biomass increment and net ecosystem productivity (Babst *et al.* 2014b), nor its different climatic sensitivity compared to dynamic global vegetation model output (Klesse *et al.* 2018).

Conclusion

Our combined functional and quantitative assessment of wood production has shown that variation in volume and not wood density is the main source of axial variability in the stem biomass increment of *F. sylvatica*. The reduced growth variability and climate sensitivity in higher compared to lower stem sections may thereby indicate preferential carbon allocation to proximal sinks under unfavourable (i.e., summer drought) conditions. In turn, growth at the stem base increased disproportionately in favourable years, leading to an overestimation of ABI_{stem} when considering only measurements taken at breast height. However, this significant positive bias at the tree level turned out to be negligible when scaling to the plot level and averaging over the study period (~2% overestimation of ABI_{stem}). On one hand, this result validates aboveground biomass reconstructions for *F. sylvatica* from classic field sampling (e.g., Babst *et al.* 2014b). On the other hand, more research is clearly needed to unravel the dynamics of carbon allocation to various structural and non-structural sinks, as well as their turnover rates. We recommend that this be done using a similar

combination of wood anatomical and biometric measurements, ideally supported by mechanistic modelling (Zuidema *et al.* 2018; Fatichi *et al.* 2019). This framework will help to further elucidate the wood anatomical properties driving RW and ρ in different tree species, reduce scaling uncertainties associated with tree-ring data (Babst *et al.* 2018), and refine our understanding of forest carbon fixation.

Supplementary data

Supplementary data for this article is provided with the submission to *Tree Physiology*.

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Conflict of interest

The authors declare that they have no conflict of interest.

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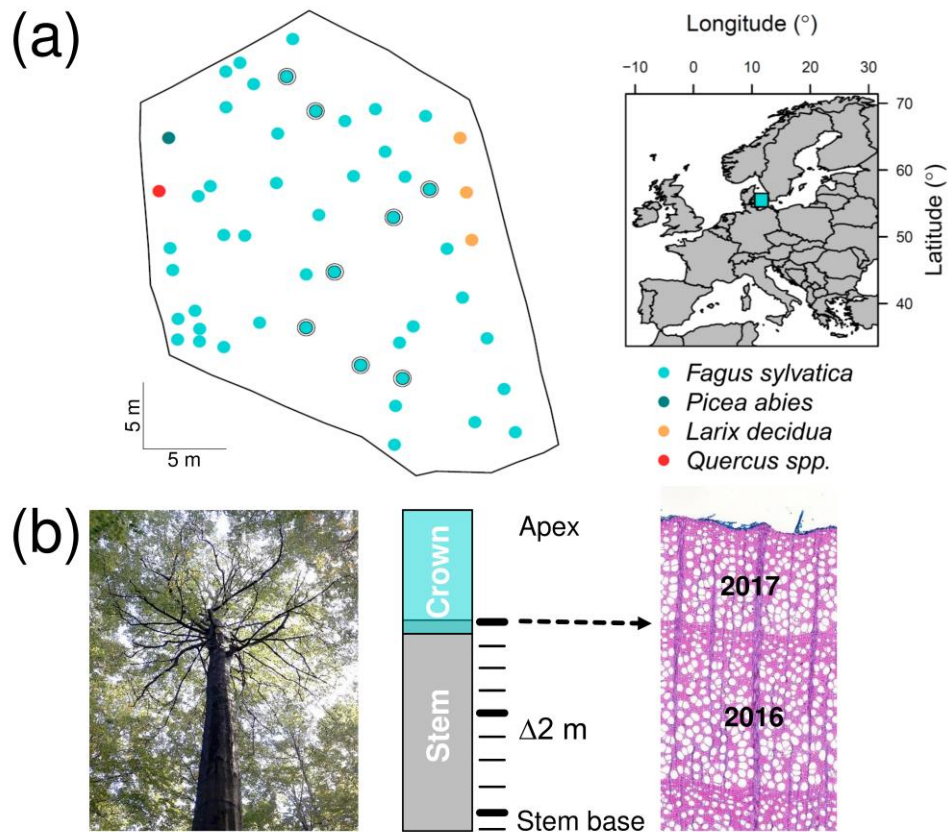


Figure 1. Sampling location and design. (a) A fixed forest plot (2460 m²) was established at the Sorø long-term ecological monitoring site (central Zealand, Denmark). Each dot represents a plot tree (≥ 10 cm diameter at breast height) and shaded circles mark “axial trees”, on which regular interval sampling was performed. (b) Graphical representation of the regular interval sampling. Wood increment cores were sampled every two meters along the stem axis, for which ring width (thin lines) and wood anatomical properties (thick lines) were measured (image of diffuse-porous anatomical structure of *Fagus sylvatica*).

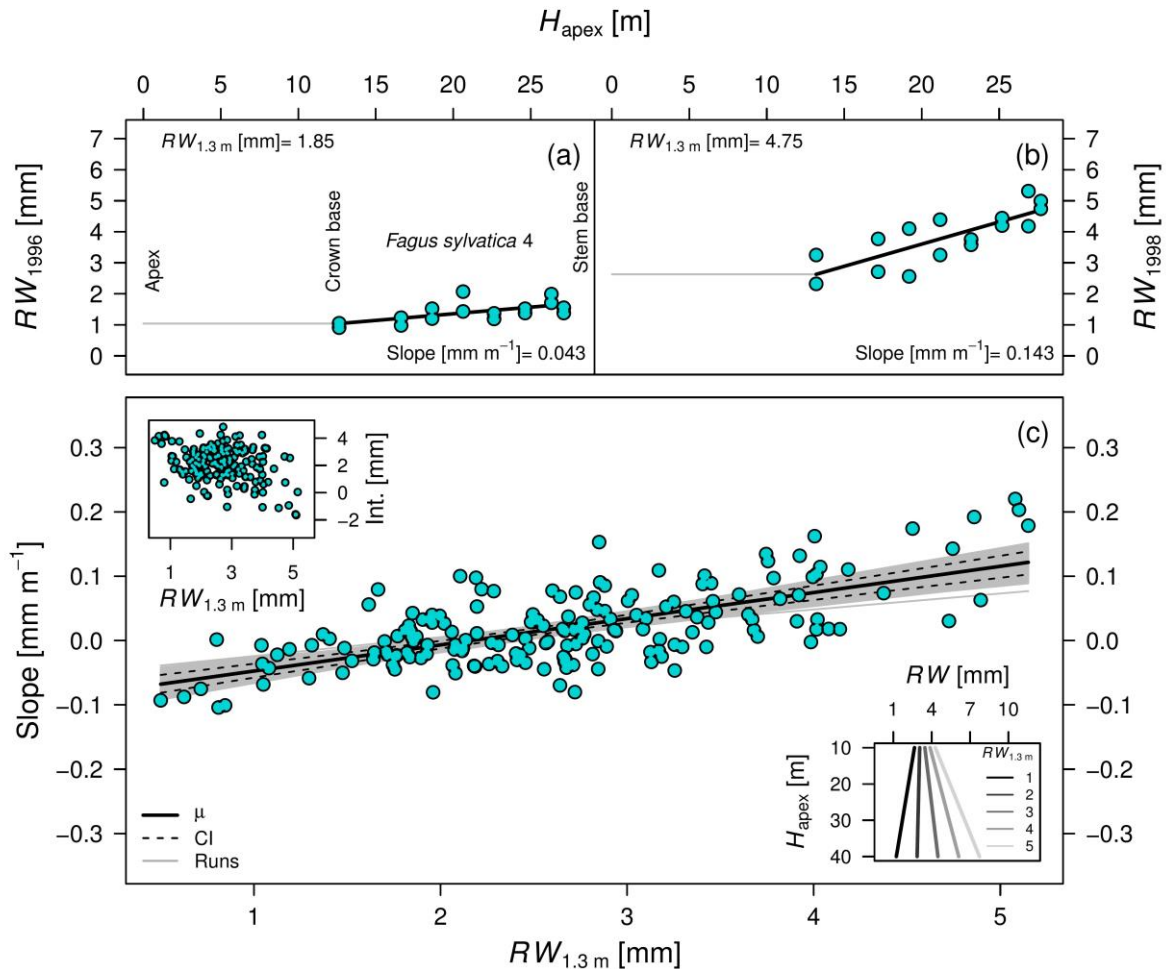


Figure 2. Variability in ring width (RW) as a function of distance to the apex (H_{apex}). As an example, the linear relationship between RW and H_{apex} is presented for *Fagus sylvatica* tree number 4 for an unfavourable (panel a; low $RW_{1.3\text{ m}}$ in the drought year 1996) and a favourable growth year (panel b; high $RW_{1.3\text{ m}}$ in 1998). The slopes and intercepts (int.) obtained from all axial trees and years are plotted against $RW_{1.3\text{ m}}$ in panel c. The lower right inset indicates the resulting RW patterns for a specific $RW_{1.3\text{ m}}$, according to the fitted linear regression of the slope with a fixed intercept. Significant linear relationships at $P < 0.05$ are indicated with solid lines. Uncertainty is presented by fitting linear models using bootstrap resampling ($n = 1000$; grey lines). The mean (solid black line) and the 95% confidence interval are provided from all simulations (dashed black lines at 2.5% and 97.5%).

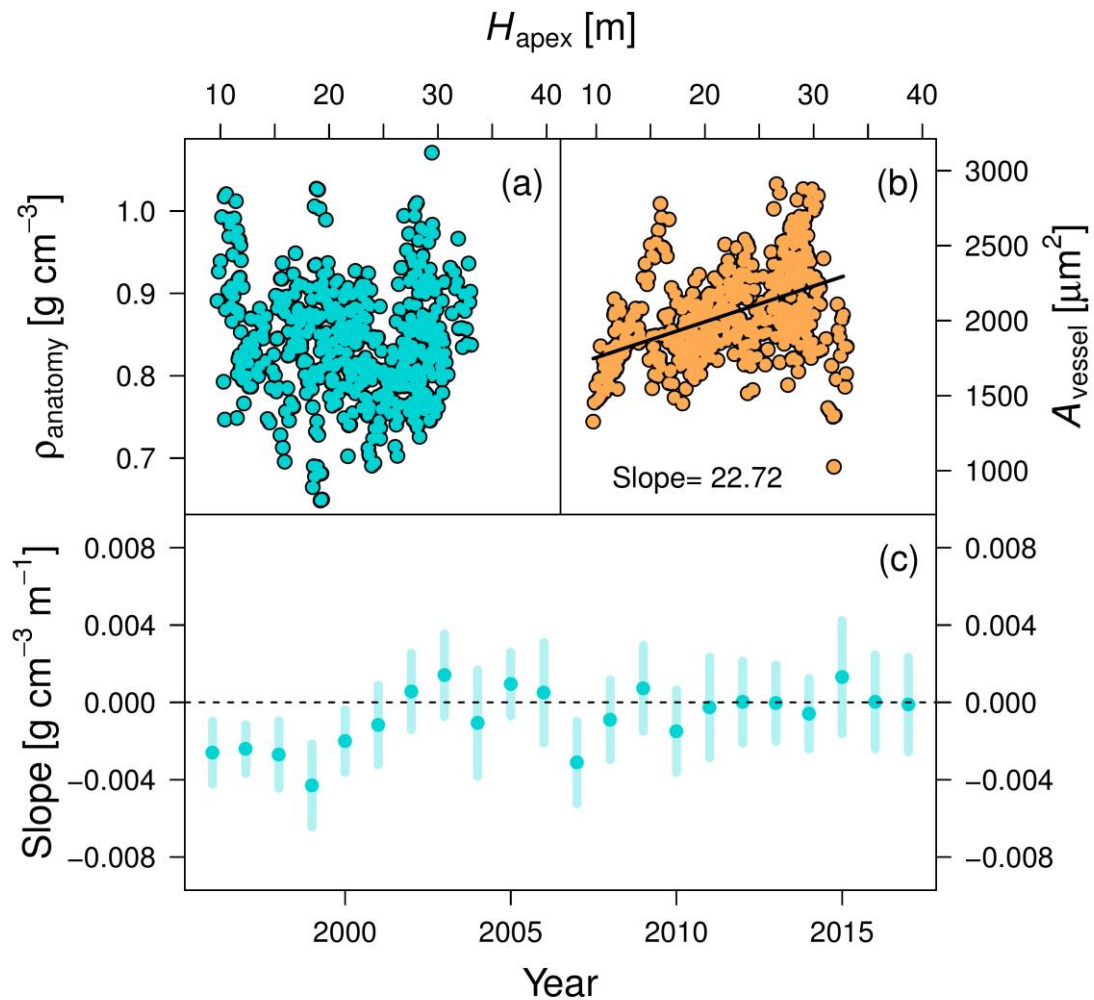


Figure 3. Relationship between anatomically derived wood density (ρ_{anatomy}), vessel lumen area (A_{vessel}) and the distance to the apex (H_{apex}). (a) Annual mean ρ_{anatomy} and (b) A_{vessel} measurements for all axial trees (from 1996-2017) are plotted against H_{apex} . The significant linear relationship in panel b ($P < 0.001$) is indicated with a solid black line. (c) Slope of a linear mixed-effect model when analysing ρ_{anatomy} against H_{apex} for each individual year. The standard error of the slope is provided with bold lines and significant relationships are indicated with a black circle.

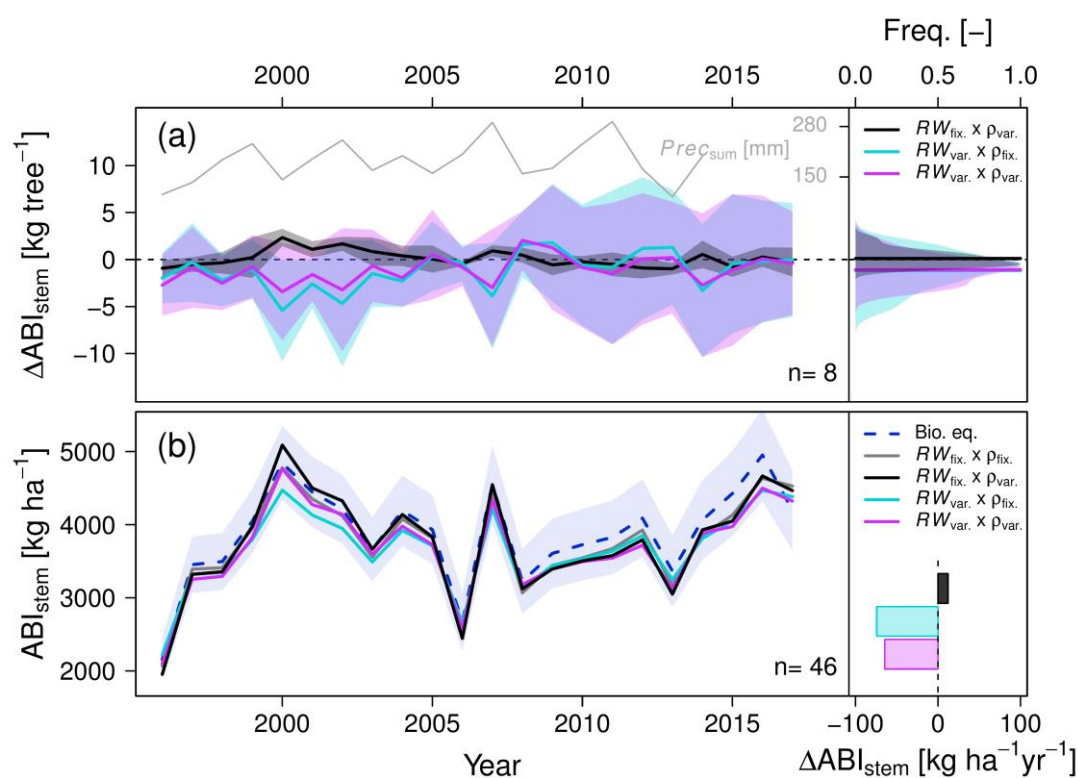


Figure 4. Aboveground biomass increment of entire stems (ABI_{stem}) when either considering axial variability in ring width (RW) and wood density (ρ), or keeping them fixed at breast height. Either RW variability at breast height was used to reconstruct the stem radius (RW_{fix}), or RW was allowed to vary according to the distance from the apex (RW_{var}). For ρ , either a fixed value of 0.634 g cm^{-3} was applied (ρ_{fix}), or the ρ time-series derived from wood anatomical measurement at breast height (ρ_{var}) were used. (a) The average ΔABI_{stem} from all axial trees is shown related to the $RW_{\text{fix}} \times \rho_{\text{fix}}$ scenario. Cumulative summer precipitation is additionally displayed. The mean of all trees is indicated with a bold line and the shaded area shows the standard deviation. In the right panel, the colour legend is provided, along with a histogram of ΔABI_{stem} across all years with a bold line indicating the mean. (b) ABI_{stem} of the entire plot for the average of three allometric biomass equations (shaded area indicates the standard deviation) and when in- or excluding axial variability in RW and ρ . The panel on the right presents the colour legend and ΔABI_{stem} for the plot.

Table 1. Relationships between ring width (RW) and wood density (ρ) with monthly mean air temperature ($Temp$) and monthly summed precipitation ($Prec$). The number of trees included (n), Pearson's correlation coefficient (r), slope of the linear regression and significance (P) are

presented. A total of four chronologies were assessed, constructed from i) all ring width measurements at breast height (1.3 m) from the plot trees (RW_{plot}), ii) X-ray ρ measurements from Babst *et al.* (2014b) for the same site (ρ_{plot}), iii) the RW at breast height from the axial trees (RW_{axial}), and iv) the wood anatomical ρ measurement at breast height from the axial trees (ρ_{axial}).

Chronology	n	Years	Variable	r	slope	P-value
RW_{plot}	46	1930-2014	$Temp_{\text{June}}$	-0.23	-0.029	0.036
			$Temp_{\text{July}}$	-0.30	-0.029	0.006
			$Prec_{\text{June}}$	0.48	0.003	0.000
ρ_{plot}	29	1930-2009	$Temp_{\text{May}}$	0.33	0.006	0.003
			$Temp_{\text{June}}$	0.27	0.005	0.014
			$Prec_{\text{May}}$	-0.39	0.000	0.000
RW_{axial}	8	1996-2014	$Temp_{\text{June}}$	0.06	0.015	0.794
			$Temp_{\text{July}}$	-0.34	-0.044	0.157
			$Prec_{\text{June}}$	0.75	0.007	0.000
ρ_{axial}	8	1996-2014	$Temp_{\text{May}}$	0.54	0.016	0.017
			$Temp_{\text{June}}$	0.09	0.003	0.721
			$Prec_{\text{May}}$	-0.39	-0.001	0.102