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# General heat transfer correlations for flow boiling of zeotropic mixtures in horizontal plain tubes

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## Abstract

A general heat transfer correlation to predict the thermal performance of zeotropic mixture flow boiling is essential for an optimal evaporator design in thermodynamic cycles using zeotropic mixtures as working fluids. This work aims at developing a new general correlation to predict the flow boiling heat transfer of zeotropic mixtures in horizontal plain tubes with a significantly better predictive performance than those of the existing correlations. In order to achieve this goal, a database containing 2091 experimental data points of macroscale flow boiling experiments with zeotropic mixtures in horizontal plain tubes from 22 independent research groups was collected. The predictive performances of the existing correlations were evaluated by comparing the predicted values calculated by the existing correlations with the experimental data points. Based on an analysis of the physical phenomena and on the results of the comparison of the existing correlations, two new heat transfer correlations were derived by following two different approaches: i) modifying existing correlations and introducing a new dimensionless number (the ratio of the temperature glide of the mixture to the saturation temperature), and ii) using dimensionless analysis coupled with multiple regression. The comparison results for the existing correlations indicate that most of the correlations overestimate the heat transfer coefficient, and only three correlations present a mean absolute percentage deviation below 50 %, the lowest being 44.2 %. The results suggest that the new correlation developed following the first approach, yields a mean absolute percentage deviation of 29.0 %, while the correlation developed by following the second approach yields a mean absolute percentage deviation of 24.6 %.

**Keywords:** flow boiling, zeotropic mixture, heat transfer correlation, thermodynamic cycle

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## Nomenclature

### Symbols

$B$	scaling factor used in Eq. (14) for Thome mixture correction factor
$Bd$	product of Laplace constant and equilibrium break-off-diameter, m
$Bo$	Boiling number
$c_p$	specific heat capacity, J/kg K
$D$	tube diameter, m
$F$	enhancement factor
$F_c$	Thome mixture correction factor; see Eq. (14)
$Fr$	Froude number
$G$	mass flux, kg/m <sup>2</sup> s
$H$	heat transfer coefficient, W/m <sup>2</sup> K
$h_{fg}$	latent heat of vaporization, J/kg
$H$	enthalpy, J/kg
$K$	thermal conductivity, W/m K
$M$	molecular weight
$P$	pressure, kPa
$Pr$	Prandtl number
$P_r$	reduced pressure
$Q$	heat flux, W/m <sup>2</sup>
$Q^*$	ratio between sensible heat and latent heat in a complete flow boiling process; see $\phi_9$ in Eq. (31)
Re	Reynolds number
S	suppression factor
$T$	temperature, K
$We$	Weber number
$X$	molar fraction
$X$	vapor quality
$X_{tt}$	Martinelli number
$\tilde{x}$	molar composition in liquid phase
$Y$	a factor in Bell-Ghaly method; see Eq. (16)
$\tilde{y}$	molar composition in vapor phase

### Abbreviations

MAPD	mean absolute percentage deviation
MBPD	mean bias percentage deviation
PCT30	percentage of data points within $\pm 30$ % of the prediction
PCT50	percentage of data points within $\pm 50$ % of the prediction accuracy of

### Subscripts

SA	Stephan and Abdelsalam correlation
b	bubble point
Cooper	Cooper correlation
c	critical
cb	convective boiling
d	dew point
g	temperature glide
id	ideal
l	liquid
lo	liquid only
m	mean
mix	mixture
nb	nucleate boiling
pool	pool boiling
sat	saturation
tp	two phase
v	vapor
vo	vapor only
1	more volatile component

### Greek Symbols

$\sigma$	surface tension, N/m
$\beta$	contact angle in Eq. (8), °
$\beta_i$	liquid-phase mass transfer coefficient in Eq. (14)
$\Pi$	dimensionless term in Eq. (30)
$\phi$	dimensionless term in Eq. (33)
$\mu$	dynamic viscosity, Pa·s
$\rho$	density, kg/m <sup>3</sup>
$\Delta$	difference

## 1. Introduction

Today working fluid mixtures are widely used in refrigeration systems and heat pumps [1], and their utilization in organic Rankine cycle power systems is being introduced to a greater extent [2]. In refrigeration systems and heat pumps the main benefits of working fluid mixtures lie in the expansion of the availability of working fluids for system optimization, the compensation of undesirable properties of one of the components (e.g. flammability, global warming potential), as well as on the performance increase due to the improved temperature matching between the fluids exchanging heat [3]. In organic Rankine cycle power systems, the temperature glide represents the main advantage of their use, as it contributes to reduce irreversibilities during the phase change, resulting in better thermodynamic performance.

The use of mixtures as working fluids started in the early 1990s, driven by the imminent phase-out of the widely used chlorofluorocarbon refrigerants (e.g. R11, R12) and the lack of adequate pure-fluid alternatives [4]. Since then, the use of mixtures as replacements of working fluids with poor environmental characteristics has been sustained by the consequent phase-out of hydrochlorofluorocarbons, and more recently, hydrofluorocarbons. In this context, mixing different fluid components offers an additional degree of freedom to obtain optimal fluid properties, including thermophysical properties (e.g. boiling temperature, density, thermal conductivity), safety properties (e.g. toxicity, flammability), and environmental properties (e.g. global warming potential, ozone depletion potential).

A general heat transfer correlation capable of predicting accurately the heat transfer coefficient of zeotropic mixtures flow boiling is essential for modeling and designing evaporators using mixtures as working fluids. Numerous mixture flow boiling correlations have been developed in the last decades; however, most of them were developed based on limited experimental data from one or a few research works. Consequently, such correlations typically do not provide accurate predictions for a wide range of operating conditions [5]. For example, a well-known correlation developed by Jung et al. [6] using experimental results of the fluid mixtures R22/R114 and R12/R152a failed to predict the test data from other independent research groups, i.e. deviations of 54 %, 116 % and 62 % were reported by Rabah and Kabelac [7] for propane/R134a, by Chiou et al. [8] for R22/R124 and by

Grauso et al. [9] for CO<sub>2</sub>/Propane, respectively. Currently, the poor predictive performance of existing heat transfer correlations hinders the development of thermodynamic cycles using zeotropic mixtures. Therefore, a general correlation, validated over a wide range of experimental data of zeotropic mixtures, is needed [10].

The objective of this paper is to develop a general heat transfer correlation for zeotropic mixtures that provides a significantly better predictive performance than existing correlations. To this end, a database including all experimental data for flow boiling of zeotropic mixtures was compiled. First, the predictive performances of the existing correlations were evaluated by comparing the predicted values calculated by the existing correlations with the experimental data points. Second, new heat transfer correlations for zeotropic mixtures' flow boiling were developed using two different approaches: i) modifying existing correlations and introducing a new dimensionless number (the ratio of the temperature glide of the mixture to the saturation temperature), and ii) using dimensionless analysis coupled with multiple regression.

It is well known that heat transfer correlations differ significantly for different geometries of the heat transfer equipment and for different heat transfer regimes (e.g. large differences between the formulations for pool boiling and in-tube flow boiling exist). In this paper, the scope was limited to include macroscale flow boiling of zeotropic mixtures in horizontal plain tubes, as this represents the most common heat transfer process in commercial evaporators [11] and the majority of research works on mixture flow boiling. A macroscale-to-microscale transition criterion developed by Kew and Cornwell [12] was employed to evaluate the scale of the heat transfer process. Accordingly, microscale flow, azeotropic and near-zeotropic mixtures (see Section 2.1) and tubes with enhanced structure and/or vertical configurations were not considered. Furthermore, the scope of the paper is limited to include heat transfer processes in tubular heat exchangers, in which the working fluid boils inside the tubes. Such heat transfer process is representative for shell-and-tube heat exchangers, which is the heat exchanger configuration most commonly used in industry [13]. Shell-and-tube heat exchangers are widely used as evaporators (boiling inside the tubes) in refrigeration and air conditioning systems [11], heat pumps [13] and organic Rankine cycle systems [14]. The mixture flow boiling correlation developed in this paper can be used to calculate the tube-side heat transfer coefficient, which (along with the shell-side heat transfer coefficient) is needed to compute the overall heat transfer coefficient, enabling the sizing of the heat exchanger [15].

This paper proceeds with a description of the theoretical foundation in Section 2. The database collection and the evaluation of the predictive performance of the existing correlations are presented in Sections 3 and 4, respectively. Section 5 addresses the development of the new heat transfer

correlations. Section 6 reports the conclusions of the study.

## 2. Theoretical foundation

The necessary fundamental knowledge for this work is presented in this section, providing the theoretical background for the development of the new heat transfer correlations.

### 2.1 Zeotropic mixtures

Fluid mixtures are often classified into two groups, *azeotropic* mixtures and *zeotropic* mixtures, depending on their vapor-liquid equilibrium properties. Azeotropic mixtures exhibit identical mass fraction compositions of the liquid and the vapor phase at specific temperatures and pressures, and the temperature glide  $T_g$  (i.e. difference between the bubble and dew temperatures of the bulk mixture) is null [4]. On the contrary, the composition of the vapor and liquid phases in zeotropic mixtures varies with the temperature, pressure and vapor quality, and exhibits a temperature glide that tends to increase with the difference in boiling temperatures of the mixture components. This behavior can be easily observed in temperature - composition ( $T$ - $X$ ) diagrams, where the upper and bottom lines represent the dew and bubble temperature lines, respectively, as functions of the mixture molar composition (see Figure 1). Figure 1.a depicts an example  $T$ - $X$  diagram for binary mixtures with zeotropic behavior, and Figure 1.b depicts an example of binary mixtures that present an azeotropic point at molar fraction  $X_a$ . For a mixture with composition  $X_1$ , the molar compositions in the vapor and liquid phases at a temperature between  $T_b$  and  $T_d$  are obtained as  $\tilde{y}_1$  and  $\tilde{x}_1$ , respectively. For the azeotropic mixture with composition  $X_a$ ,  $T_d$  coincides with  $T_b$ . There is also a group called near-azeotropic mixtures referring to zeotropic mixtures in which the temperature glide is small enough to be neglected. However, the limit in temperature glide to define a near-azeotropic mixture is unclear, ranging from 0.6 K according to Mohanraj et al. [1] to a 10 °F (or 5.55 K) according to the Environmental Protection Agency [16]. With regards to Figure 1.b, the compositions within the shaded area represent mixtures that could be considered as near-azeotropic.

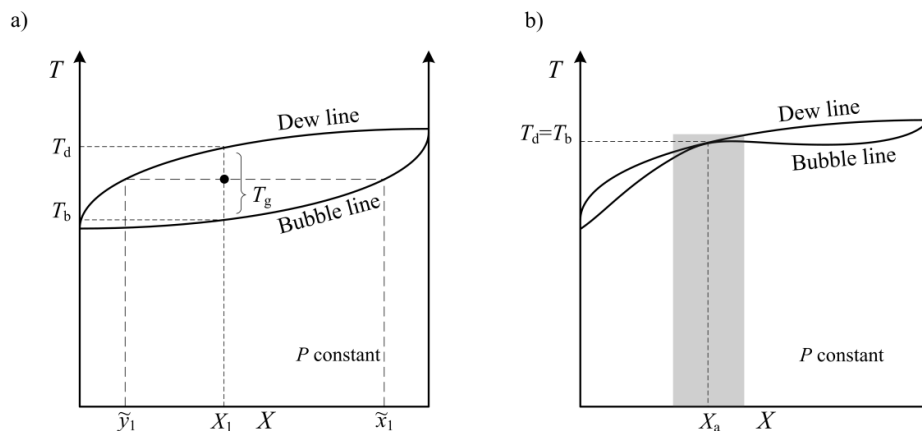


Figure 1 Examples of temperature – composition ( $T$ - $X$ ) diagrams for (a) mixtures showing zeotropic behavior and (b) mixtures showing an azeotrope at composition  $X_a$ . The dew and bubble lines are represented for a single pressure.  $T_d$  and  $T_b$  are the dew and bubble temperatures, respectively, corresponding to a mixture molar fraction  $X_1$ .

Given that azeotropic mixtures represent only a small portion of all the possible compositions of working fluid mixtures, and near-azeotropic mixtures exhibit still a finite temperature glide, the scope of this paper is limited to consider zeotropic and near-azeotropic mixtures. As a matter of fact, most of the available heat transfer data for working fluid mixtures correspond to blends with temperature glides of more than 5 K; see the statistics of the temperature glide of the mixtures in Figure 2 in Section 3.

## 2.2 Mixture flow boiling

The heat transfer in flow boiling is governed by nucleate boiling and convective boiling. Nucleate boiling has a similar heat transfer mechanism as that of pool boiling, in which the heat transfer process is highly related to the bubble dynamics. More specifically, the boiling process includes the bubble's formation in the nucleation site, its departure from the wall, growth, merging and collapse. In convective boiling, the process of boiling is replaced by evaporation, taking place at the liquid–vapor interface due to the heat transfer through convection across a flowing liquid film along the wall.

It is well known that the use of a zeotropic mixture as working fluid instead of a pure fluid causes a significant heat transfer degradation. For nucleate boiling, the weakened heat transfer performance results mainly from the mass transfer resistance and the loss of effective superheat [6,17]. This phenomenon is exemplified for a binary mixture as follows. At the interface where the bubble is growing, the concentration of the less volatile component in the liquid phase is richer than in the bulk liquid, thereby causing a higher bubble point at the bubble interface than in the bulk liquid. Consequently, the effective superheat driving the vaporization decreases. Moreover, the more volatile component from the bulk liquid has to diffuse through the depleted region surrounding the bubble as the bubble growth continues, resulting in an additional mass transfer resistance compared with the case for pure working fluids. For convective boiling, such mass transfer resistance also appears at the evaporation interface, however, the mixture mass diffusion effect is less pronounced compared with that in nucleate boiling [18,19]. In addition, another two important reasons for the heat transfer degradation in convective boiling are i) the smaller values of the mixture physical properties compared to the ideal values (which are obtained by linear interpolation between the physical properties of the two pure-fluid components) [6,18,20], and ii) the additional sensible heat transfer to the liquid and vapor phases due to the increase of the bubble point temperature along the flow direction caused by the variation of the liquid-phase composition [10], weakening the performance

of the boiling process compared to a pure latent heat transfer process in isothermal vaporization of a pure working fluid.

The temperature glide  $T_g$  also has a significant effect on the heat transfer process, especially the one governed by nucleate boiling. This is attributed to the larger mass transfer resistance caused by a larger temperature glide of the mixture, hence suppressing the nucleate boiling. Therefore, the larger the temperature glide, the more the contribution of the nucleate boiling degrades [21]. Even a full suppression of nucleate boiling has been observed in flow boiling of zeotropic mixtures [6].

### 2.3 Overview of flow boiling heat transfer correlations

In this section, the flow boiling heat transfer correlations available in the open literature for both pure and mixed fluids are reviewed. Flow boiling heat transfer correlations for pure working fluids are usually developed based on three models: the superposition model (SM), the asymptotic model (AM) and the enhancement model (EM) [22]. The superposition model, which was firstly applied by Chen [23], can be generally defined as

$$h_{tp} = h_{nb} + h_{cb}, \quad (1)$$

where the two-phase heat transfer coefficient  $h_{tp}$  is calculated as the sum of the nucleate boiling  $h_{nb}$  and convective boiling  $h_{cb}$  contributions. By refining the two contributions, the superposition model can be further defined as

$$h_{tp} = Sh_{pool} + Fh_l, \quad (2)$$

where  $h_l$  and  $h_{pool}$  are the liquid single-phase convective and pool boiling heat transfer coefficients, respectively. The enhancement factor  $F$  and suppression factor  $S$  account for the intensified convective heat transfer of the two-phase flow with respect to the liquid single-phase and the suppression of bubble nucleation in the flow relative to the pool boiling, respectively. The liquid single-phase convective heat transfer coefficient  $h_l$  is commonly calculated by the well-known Dittus-Boelter correlation [24]:

$$h_l = 0.023Re_l^{0.8}Pr_l^{0.4}\frac{k_l}{D}, \quad (3)$$

where the liquid Reynolds number  $Re_l$  and Prandtl number  $Pr_l$  are defined as

$$Re_l = \frac{GD(1-x)}{\mu_l}, \quad (4)$$

$$Pr_l = \frac{\mu_l c_{pl}}{k_l}. \quad (5)$$

Here  $\mu_l$ ,  $c_{pl}$  and  $k_l$  are the dynamic viscosity, specific heat and thermal conductivity of the liquid phase,



respectively,  $G$  is the mass flux,  $D$  is the tube diameter and  $x$  is the vapor quality. Moreover, the calculation methods for the pool boiling heat transfer coefficient  $h_{pool}$  to account for the contribution of nucleate boiling differ greatly among the existing correlations. Two pool boiling heat transfer correlations developed by Cooper [25] and Stephan and Abdelsalam [26], respectively, are the most commonly used (which can be concluded from the summary of the existing correlations in Table 2):

$$h_{\text{Cooper}} = 55M^{-0.5}q^{-0.67}P_r^{0.12}(-\log_{10}P_r)^{-0.55}, \quad (6)$$

$$h_{\text{SA}} = 207 \frac{k_l}{bd} \left( \frac{q \cdot bd}{k_l T_{\text{sat}}} \right)^{0.745} \left( \frac{\rho_v}{\rho_l} \right)^{0.581} Pr_l^{0.533}, \quad (7)$$

where  $M$  is the molecular weight,  $T_{\text{sat}}$  is the saturation temperature,  $P_r$  is the reduced pressure which is obtained as the ratio of the pressure to the critical pressure  $P_c$ ,  $\rho_l$  and  $\rho_v$  are the saturated liquid and vapor densities, respectively, and  $bd$  is a product of a Laplace constant and the equilibrium break-off-diameter, defined as

$$bd = 0.0146\beta[2\sigma/g/(\rho_l - \rho_v)]^{0.5}, \quad (8)$$

where  $\sigma$  is the surface tension and the  $\beta$  is the contact angle, which is set to  $35^\circ$  for refrigerants.

In the asymptotic model, the general correlation is defined as

$$h_{\text{tp}} = (h_{\text{cb}}^n + h_{\text{nb}}^n)^{1/n} \quad n > 1. \quad (9)$$

In this approach the value of  $h_{\text{tp}}$  is primarily governed by the heat transfer coefficient having the largest value ( $h_{\text{tp}}$  or  $h_{\text{nb}}$ ), and as  $n$  approaches infinity  $h_{\text{tp}}$  becomes equal to the heat transfer coefficient having the largest value.

In the enhancement model, which was firstly proposed by Shah [27], the general correlation is expressed as

$$\frac{h_{\text{tp}}}{h_l} = F, \quad (10)$$

defining the flow boiling heat transfer coefficient  $h_{\text{tp}}$  as an enhancement of the liquid single-phase heat transfer coefficient  $h_l$ , where the enhancement factor  $F$  is a function of the boiling number  $Bo$  and the convective number  $Co$ . Due to the similarity with the contribution of convective boiling in the superposition model, this model is increasingly employed for modelling the experimental data obtained from the heat transfer region where the convective boiling is dominant, e.g. the Mishra et al. [28] and Shin et al. [29] correlations.

The general method to develop mixture correlations is to modify the pure working fluid correlation by applying two mixture correction factors,  $S_{\text{mix}}$  and  $F_{\text{mix}}$ , to quantify the heat transfer

degradation on the contributions of nucleate boiling and convective boiling, respectively. Correspondingly, the mixture correlations for the three described models are modified to the following expressions:

$$h_{tp} = S_{mix}Sh_{pool} + F_{mix}Fh_l, \quad (11)$$

$$h_{tp} = [(S_{mix}Sh_{pool})^n + (F_{mix}Fh_l)^n]^{1/n}, \quad (12)$$

$$\frac{h_{tp}}{h_l} = FF_{mix} \text{ or } F_{mix}. \quad (13)$$

### 3. Database collection

A comprehensive literature survey was conducted to collect all the experimental data points within the scope defined in Section 1. For each data point the values of heat transfer coefficient  $h$ , heat flux  $q$ , mass flux  $G$ , composition of the mixture, vapor quality  $x$ , saturation pressure  $P_{sat}$  or saturation temperature  $T_{sat}$  and diameter of the tube  $D$  were collected in order to evaluate the predictive performance of the existing heat transfer correlations and develop the new correlations. The database consists of 2091 data points from 22 independent research groups, which are presented in Table 1. The experimental works include tube diameters ranging from 1.5 mm to 14 mm with operation conditions of saturation pressures ranging from 200 kPa to 4156 kPa, mass fluxes ranging from 80 kg/m<sup>2</sup>s to 1100 kg/m<sup>2</sup>s, heat fluxes ranging from 1.3 kW/m<sup>2</sup> to 89.6 kW/m<sup>2</sup>, and vapor quality ranging from 0.01 to 1.

Several parameters indicating the features of the mixtures composing the database and their distributions are presented in Figure 2. Different types of organic working fluids were used in the mixtures, including hydrofluorocarbons such as R134a and R32, natural working fluids such as CO<sub>2</sub> and propane, and hydrofluoroolefins such as R1234ze and R1234yf. Two pre-defined zeotropic mixtures (i.e. R407C (R32/R125/R134a: 0.23/0.25/0.52) and R417A (R125/R134a/N-butane: 0.466/0.5/0.034)) were also included. More than half of the working fluid mixtures are binary zeotropic mixtures, while only a small fraction are quaternary mixtures. Moreover, the temperature glide range from 0.004 K to 89 K and about half of the data points have a temperature glide within the range 5 K to 10 K.

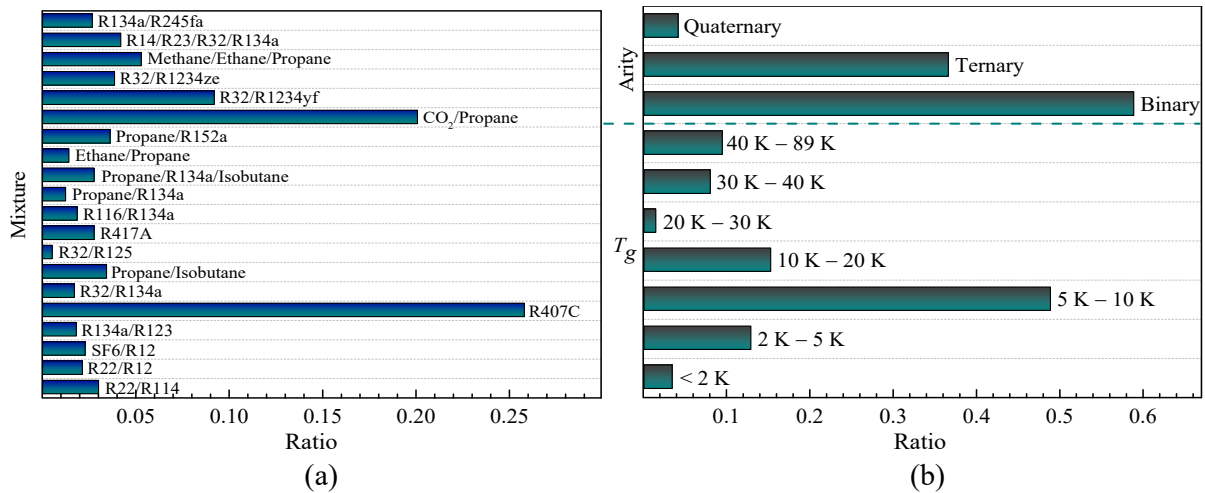


Figure 2 Distribution of data points related to (a) working fluid mixtures, and (b) number of components and temperature glide of the mixtures.

In this work, the thermodynamic and transport properties of the studied mixtures were calculated by using the software Refprop [30]. For the thermodynamic properties (i.e. density, bubble pressure, dew pressure) a multi-fluid Helmholtz-energy-explicit mixing model was used, weighting the critical properties of the pure-fluid components based on a set of adjustable mixture interaction parameters. These mixture interaction parameters were fitted from experimental data or estimated by the method of Bell and Lemmon [31], if no experimental data were available. In the case of transport properties (i.e. thermal conductivity, dynamic viscosity, and surface tension) extended corresponding states models were used [32–34].

1 Table 1 Database of experimental studies on macroscale flow boiling of zeotropic mixture in plain tubes.

Year	Ref.	Mixture	No. <sup>1</sup>	Composition	$T_g$ (K)	$G$ (kg/m <sup>2</sup> s)	$q$ (kW/m <sup>2</sup> )	$P_{sat}$ (kPa)	$x$	$D$ (mm)
1989	Hihara et al. [21]	R22/R114	9	0.112/0.888	16.3	350	22	320	0.05 to 0.98	8
			9	0.252/0.748	19.1	340	23	490		
			36	0.431/0.569	16.4 to 16.5	191 to 340	16.9 to 27.2	596 to 780		
			9	0.669/0.331	9.8	323	22	662		
		R22/R12	9	0.152/0.848	2.6	250	21	642		
			9	0.518/0.482	1.2	240	21	800		
			27	0.323/0.677	2.4	151 to 305	14.3 to 25.8	620 to 780		
1992	Niederkrüer et al. [35]	SF <sub>6</sub> /R12	48	0.89/0.11	5.4	80 to 400	7 to 67	1500	0.11 to 0.73	14
1993	Murate and Hashizume [36]	R134a/R123	38	0.07/0.93	14.9 to 15.0	100 to 300	10 and 30	220 and 240	0.14 to 0.98	10.7
1996 and 1997	Wang et al. [37,38]	R407C	87	0.23/0.25/0.52	6.0 to 6.1	100 to 400	2.5 to 20	600 and 680	0.09 to 0.85	7.92 and 6.5
1997	Zhang et al. [39]	R407C	13	0.23/0.25/0.52	5.6	180 to 370	10	1038	0.15 to 0.71	6
1997	Shin et al. [40]	R32/R134a	12	0.25/0.75	6.0	583	30	628	0.01 to 0.72	7.7
			12	0.5/0.5	5.5			831		
			12	0.75/0.25	3.1			1018		
		R32/R125	11	0.5/0.5	0.1	583		1151		
		Propane/Isobutane	24	0.25/0.75	6.5	424 and 583		309 and 323		
			24	0.5/0.5	7.6			407 and 427		
			24	0.75/0.25	5.4 to 5.5			530 and 545		
2000	Boissieux et al. [41]	R417A	30	0.466/0.5/0.034	3.9 to 4.0	268.6	27 and 42.3	452 and 486	0.03 to 0.99	8.5
2000	Wettermann and Steiner [42]	R116/R134a	24	0.288/0.712	27.6	80 to 400	1.3 to 70.1	1100	0.1	14
			15	0.123 to 0.85/0.877 to 0.15	8.0 to 27.9		1.5 to 69		0.3 to 0.5	

2001 and 2003	Lallemand et al. [43,44]	R407C	182	0.23/0.25/0.52	5.9	150 to 300	10 and 30	770	0.05 to 0.97	6.5 and 10.7
2008	Rabah and Kabelac [7]	Propane/R134a	26	0.03 to 0.46/0.97 to 0.54	0.004 to 12.9	100 to 300	16.5	200	0.2	10
2008	Greco [45]	R407C	160	0.23/0.25/0.52	5.6 to 6.4	199 to 1100	3.98 to 8.75	350 to 1000	0 to 0.92	6
		R417A	28	0.466/0.5/0.034	3.3 to 4.1	200	8.09	380 to 960		
2009	Raja [46]	Propane/R134a/ Isobutane	58	0.04/0.91/0.05	6.5	32 to 56	3.2 to 7.5	400	0.05 to 0.98	9.52 and 12.7
2010	Zou et al. [47,48]	Ethane/Propane	30	0.18 to 0.74/0.82 to 0.26	13.7 to 19.7	63.6 to 102.5	13.1 to 65.5	350 to 570	0.11 to 0.55	8
		Propane/R152a	76	0.08 to 0.92/0.92 to 0.08	0.01 to 8.2	96.2 to 129.4	13.1 to 65.5	200 to 400		
2010	Cho et al.[49]	CO <sub>2</sub> / Propane	20	0.25/0.75	34.4	318 and 656	15 and 30	1448	0.08 to 0.93	4
			20	0.5/0.5	33.2			2149.8		
			20	0.75/0.25	16.6			2601.2		
2011	Grauso et al. [9]	CO <sub>2</sub> / Propane	94	0.7/0.3	17.5 to 18.7	200 to 350	10 to 20.2	≈ 3461 to 4156	0.10 to 0.99	6
			74	0.832/0.168	6.7 to 7.5		10			
2012	Li et al. [50]	R32/R1234yf	115	0.2/0.8	3.9 to 4.4	100 to 400	6 to 24	652 to 683	0.21 to 1.0	2
			78	0.5/0.5	5.4			903 and 910		
2013	Hossain et al. [51]	R32/R1234ze(E)	33	0.45/0.55	8.3	200 and 250	1.2 to 31.8	843	0.08 to 1	4.35
2014	Kundu et al. [52,53]	R407C	99	0.23/0.25/0.52	5.9	100 to 400	3 to 10	≈ 710	0.12 to 0.89	7
2015	Qiu et al. [54]	R32/R1234ze(E)	48	0.73/0.27	3.2	200 to 400	5 and 10	≈ 1000	0.1 to 0.83	8
2015	Zhu et al. [55]	CO <sub>2</sub> /Propane	64	0.75/0.25	14.0 to 15.9	200 to 400	5 to 15	≈1622 to 3758	0.1 to 0.93	2
			65	0.5/0.5	31.0 to 32.6					
			64	0.25/0.75	32.6 to 33.9					
2016	Barraza et al. [56]	Methane/Ethane/ Propane	111	0.27/0.40/0.33	85.6 and 86	138 to 242	27.8 to 89.6	265 to 791	0.04 to 0.93	1.5 and 3
		R12/R23/R32/R134a	88	0.363/0.124/0.093/0.420	88.7 to 89.1					

2016	Guo et al. [57]	R134a/R245fa	56	0.82/0.18	5.5 to 6.1	280 to 469	15 to 43	540 to 840	0.23 to 0.84	3
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1 <sup>1</sup> Number of experimental data points.

#### 1 4. Evaluation of existing correlations

2 Fifteen existing correlations for mixture flow boiling were selected in this study to assess their  
 3 predictive performance for the collected experimental data points. The correlations selected are not  
 4 only widely used in the open literature, but also easily applied in practice. Two classical correlations  
 5 by Chen and Bennett [58] and Kandlikar [59] were excluded from this evaluation due to their use of  
 6 the mass diffusivity, which is difficult to obtain [6,60].

7 The correlations were classified into two categories: *specific* and *general*. In the former category,  
 8 the correlations were developed based on specific data sets (usually the data points from the  
 9 researchers' own experiments), i.e. some or all of the coefficients  $F$ ,  $S$ ,  $F_{mix}$  and  $S_{mix}$  were derived  
 10 using multiple regression with the specific data. The *specific* correlations are summarized in Table 2.

11 Table 2 *Specific* correlations for mixture flow boiling heat transfer.

Year	Reference	Model	Correlation	Details
1981	Mishra et al. [28]	EM	$\frac{h_{tp}}{h_l} = 21.75 \left( \frac{1}{X_{tt}} \right)^{0.29} Bo^{0.23};$ Martinelli number $X_{tt}$ and boiling number $Bo$ are defined as $X_{tt} = \left( \frac{\rho_v}{\rho_l} \right)^{0.5} \left( \frac{\mu_l}{\mu_v} \right)^{0.1} \left( \frac{1-x}{x} \right)^{0.9},$ $Bo = \frac{q}{G h_{fg}}.$	<ul style="list-style-type: none"> <li>The correlation was developed with multiple regression analysis based on the experimental results of R12/R22 mixtures in the annular flow.</li> </ul>
1989	Jung et al. [6]	SM	$h_{tp} = \frac{S}{C_{UN}} h_{UN} + C_{me} F h_l;$ two mixture correction factors $C_{UN}$ and $C_{me}$ for the contributions of nucleate and convective boiling are defined as $C_{UN} = [1 + (b_2 + b_3)(1 + b_4)](1 + b_5);$ $b_2 = (1 - \tilde{x}_1) \ln \left( \frac{1.01 - \tilde{x}_1}{1.01 - \tilde{y}_1} \right) + \tilde{x}_1 \ln \left( \frac{\tilde{x}_1}{\tilde{y}_1} \right);$ $b_3 = 0 \text{ for } \tilde{x}_1 \geq 0.01;$ $b_3 = (\tilde{y}_1 / \tilde{x}_1)^{0.1} - 1 \text{ for } \tilde{x}_1 \geq 0.01;$ $b_4 = 152(P/P_{c,1})^{3.9};$ $b_5 = 0.92  \tilde{y}_1 / \tilde{x}_1 ^{0.001} (P/P_{c,1})^{0.66};$ $\tilde{x}_1 / \tilde{y}_1 = 1 \text{ for } \tilde{x}_1 = \tilde{y}_1 = 0;$ $C_{me} = 1 - 0.35  \tilde{y}_1 - \tilde{x}_1 ^{1.56};$ the nucleate pool boiling heat transfer coefficient of a mixture $h_{UN}$ and the ideal heat transfer coefficient $h_{id}$ are expressed as $h_{UN} = h_{id} / C_{UN}; h_{id} = 1 / \sum_{i=1}^n \tilde{x}_i / h_i;$ the nucleate pool boiling heat transfer coefficient for each pure working fluid $h_i$ was calculated as $h_i = h_{SA};$ $F = 2.37 \left( 0.29 + \frac{1}{X_{tt}} \right)^{0.85};$	<ul style="list-style-type: none"> <li>The correlation was developed based on local data of heat transfer coefficients from the R22/R114 and R12/R152a mixtures.</li> <li>The enhancement factor <math>F</math> and suppression factor <math>S</math> were derived through a regression analysis of the experimental data with pure working fluids.</li> <li>The mixture correction factor for nucleate boiling <math>C_{UN}</math> was developed by Ünal [61], and another mixture correction factor <math>C_{me}</math>, was obtained by using only the phase equilibrium data (<math>\tilde{y}_1 - \tilde{x}_1</math>) in order to take account for the mass transfer resistance effects on convective evaporation.</li> </ul>

			$S = 4048X_{tt}^{0.85}Bo^{1.13}$ .	
1991	Granryd [62]	EM	$\frac{h_{tp}}{h_l} = \frac{F}{1+A};$ $F = 2.37(0.29 + \frac{1}{X_{tt}})^{0.85};$ <p>the mixture correction factor A is defined as</p> $A = \left(\frac{F}{C_{lg}}\right) x^2 \left[\left(\frac{1-x}{x}\right) \left(\frac{\mu_v}{\mu_l}\right)\right]^{0.8}$ $\left(\frac{Pr_l}{Pr_v}\right)^{0.4} \left(\frac{k_v}{k_l}\right) \left(\frac{c_{pv}}{c_{pw}}\right); C_{lg} = 2;$ <p>an ‘apparent local specific heat’ <math>c_{pw}</math> for mixture in the two-phase region at <math>x</math> is defined as</p> $c_{pw} = \left(\frac{\partial H}{\partial T}\right)_p.$	<ul style="list-style-type: none"> <li>• The correlation was developed based on the assumption that gas phase resistance is similar to that introduced in the Silver-Bell-Ghaly method [63] and was validated using data reported in Jung et al. [20] data for the mixtures R12/R125 and R22/R114.</li> <li>• The enhancement factor <math>F</math> is the same as the one used in the correlation presented by Jung et al. [6].</li> </ul>
1993	Takamatsu et al. [64]	SM	$h_{tp} = S_{cvm}Fh_l + K^{0.745}Sh_{pbm};$ $h_l = 0.0116Re_l^{0.89}Pr_l^{0.4}\frac{k_l}{D};$ $F = (1 + 2X_{tt}^{-0.88})^{0.89/0.8};$ $S = S_{cvm}\frac{1}{\xi}(1 - e^{-\xi}) + (1 - S_{cvm});$ $\xi = 3.3 \times 10^{-5}Ja^{*1.25}LaFh_l/k_l; Ja^* = \frac{\rho_l c_{pl}}{\rho_v h_{fg}} T_{sat}; La = [2\sigma/g/(\rho_l - \rho_v)]^{0.5};$ <p>the mixture nucleate pool boiling heat transfer coefficient <math>h_{pbm}</math> is defined as</p> $h_{pbm} = 1.35h_{id} \left(\frac{1}{1+4.8 \tilde{y}_1 - \tilde{x}_1 }\right)$ (see the definition of $h_{id}$ in Jung et al. correlation [6]); <p>the mixture correction factor for convective boiling <math>S_{cvm}</math> is defined as</p> $S_{cvm} = [1 + 0.3x^{1.39}(1 - x)^{-0.39}( \tilde{y}_1 - \tilde{x}_1  + 5.2 \tilde{y}_1 - \tilde{x}_1 ^2)]^{-1};$ <p>a heat-flux-fraction factor K is defined as</p> $K^{0.745} = (1 + 0.875\eta + 0.518\eta^2 - 0.159\eta^3 + 0.7907\eta^4)^{-1}; \eta = \frac{Fh_l}{Sh_{pbm}}.$	<ul style="list-style-type: none"> <li>• The correlation was derived based on the test data of R22/R114 and was validated with the experimental results obtained by Jung and Didion [65].</li> <li>• The enhancement factor <math>F</math> and liquid single-phase convective heat transfer coefficient <math>h_l</math> was obtained with multiple regression in the authors’ previous work for pure working fluids [66].</li> <li>• The factor <math>K</math>, which indicates the ratio of heat flux for the nucleate boiling region to the whole boiling region, was developed to modify the suppression of nucleate boiling with respect to the pool boiling.</li> <li>• <math>S_{cvm}</math> was obtained using the least squares method with mixture test data.</li> </ul>
1994	Bivens and Yokozeki [67]	EM-AM	$\frac{h_{tp}}{h_{id}} = \frac{1}{1+h_{id}T_{int}/q^2};$ <p><math>h_{id}</math> is calculated using a correlation for pure working fluids using transport properties of the mixture:</p> $h_{id} = (h_{cb}^{2.5} + h_{nb}^{2.5})^{1/2.5};$ $h_{nb} = h_{Cooper};$ $h_{cb} = 2.15h_l(0.29 + 1/X_{tt})^{0.85}$ for $Fr_l \geq 0.25$ ; $h_{cb} = 2.838h_l(0.29 + 1/X_{tt})^{0.85}Fr_l^{0.2}$ for $Fr_l \leq 0.25$ ; $Fr_l = \frac{G^2}{gD\rho_l^2};$ <p>the correction factor is defined as follows:</p>	<ul style="list-style-type: none"> <li>• The correlation used to calculate the ideal heat transfer coefficient <math>h_{id}</math> was developed with a modification on the correlations presented by Jung et al. [6] and Wattelet et al. [68].</li> </ul>



			$T_{int} = 0.175(T_d - T_b) \left[ 1 - \exp\left(\frac{q}{1.3 \times 10^6 \rho_l h_{fg}}\right) \right]$										
1996	Shin et al. [29]	EM	$\frac{h_{tp}}{h_l} = F_{mix} = (1 - C_F)F;$ $C_F = A \tilde{y}_1 - \tilde{x}_1 ^n;$ <p>the fitted coefficients are</p> <table style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th></th> <th>A</th> <th>n</th> </tr> </thead> <tbody> <tr> <td>R32/R134a</td> <td>0.569</td> <td>0.86</td> </tr> <tr> <td>propane/isobutane</td> <td>0.533</td> <td>0.828</td> </tr> </tbody> </table> $F = 1 \text{ for } X_{tt} \geq 10;$ $F = 2.35(0.213 + X_{tt}^{-1})^{0.736} \text{ for } X_{tt} < 10.$		A	n	R32/R134a	0.569	0.86	propane/isobutane	0.533	0.828	<ul style="list-style-type: none"> <li>The correlation was developed based on the test data of R32/R134a and propane/isobutane in the annular flow.</li> <li>The mixture enhancement <math>F_{mix}</math> was developed based on Chen's <math>F</math> factor [23] and by introducing a curve-fitted correction factor <math>C_F</math> for the mixture heat transfer degradation due to the mass transfer resistance.</li> </ul>
	A	n											
R32/R134a	0.569	0.86											
propane/isobutane	0.533	0.828											
2000	Choi et al. [69]	SM	$h_{tp} = Sh_{pool} + F_{mix}Fh_l;$ $h_{pool} = h_{SA};$ $F = 49.971X_{tt}^{-0.758}Bo^{0.383};$ $S = 0.909 \left[ \left( \frac{1-x}{x} \right) \left( \frac{\rho_l}{\rho_v} \right) \right]^{0.5};$ $F_{mix} = \frac{1}{1 + 0.039[c_{pl}(T_d - T_b)/h_{fg}]}$	<ul style="list-style-type: none"> <li>The correlation was developed based on the experimental data of the mixtures R32/R134a and R407C.</li> <li><math>F</math> and <math>S</math> were developed using an iterative process to minimize the error between the predicted and experimental results using the test data of the pure working fluids R32 and R134a.</li> <li><math>F_{mix}</math> was modified by simplifying the effect of heat flux in the model by Thome and Shakir [70] considering that the heat flux has been taken account for by <math>h_{SA}</math> and <math>Bo</math>.</li> </ul>									
2009	Chiou et al. [8]	EM	$\frac{h_{tp}}{h_{tp,id}} = \frac{1}{1 + \frac{T_g}{\Delta T_{id}}(PF)fn(q, P_r)} = F_{mix};$ <p>the mixture ideal heat transfer coefficient <math>h_{tp,id}</math> is defined as</p> $h_{tp,id} = \left( \sum_{i=1}^n \frac{X_i}{h_{tp,p,i}} \right)^{-1};$ <p>the flow boiling heat transfer coefficient of pure working fluid <math>h_{tp,p}</math>:</p> $\ln\left(\frac{h_{tp,p}}{h_l}\right) = -1.71 + 0.77 \ln\left(\frac{M}{X_{tt}}\right);$ $\Delta T_{id} = q/h_{tp,id};$ $PF = \left(\frac{\rho_v}{\rho_l}\right) \left(\frac{k_l \mu M}{\rho_l c_{pl} T_b}\right)^{0.5}; fn(q, P_r) = \left(1.5 \times 10^{-5} \frac{q}{P_r} + \frac{75}{\Delta T_0}\right) \times 10^6;$ $\Delta T_0 = T_g \text{ for } T_g > 5; \Delta T_0 = 5 \text{ for } T_g \leq 5.$	<ul style="list-style-type: none"> <li>The correlation was developed based on the experimental data of the mixture R22/R124.</li> <li>The correlation used to calculate <math>h_{tp,p}</math> was modified based on the model by Wadelar [71] by only considering the convective flow boiling contribution.</li> <li><math>F_{mix}</math> was modified from the one derived by experimental data of mixture pool boiling in the authors' previous work [72].</li> </ul>									
2010	Zou et al. [48]	AM	$h_{tp} = \left[ (Fh_l)^2 + (S_{mix}Sh_{pool})^2 \right]^{0.5};$ $h_{pool} = h_{Cooper};$ $F = [1 + xPr_l(\rho_l/\rho_v - 1)]^{0.35};$ $S = (1 + 0.055F^{0.1}Re_l^{0.16})^{-1};$ $S_{mix} =$	<ul style="list-style-type: none"> <li>The correlation was developed with a modification of the pure working fluid flow boiling correlation by Liu and Winterton [73] by adding a mixture correction factor <math>S_{mix}</math> in</li> </ul>									

			$\frac{1}{1 + \frac{\Delta T_{db}}{\Delta T_{id}}  \bar{y}_1 - \bar{x}_1 ^{-0.29} \left(\frac{P}{10^5}\right)^{-0.9} \left[1 - 0.87 \exp\left(-\frac{q}{3 \times 10^5}\right)\right]}$ $\Delta T_{db} = T_d - T_b; \Delta T_{id} = q/h_{id}; h_{id} = 1/\sum_{i=1}^n \tilde{x}_i/h_i;$ <p>the flow boiling heat transfer coefficient of each pure working fluid <math>h_i</math> was calculated by the correlation presented by Liu and Winterton [73]:</p> $h_{tp,p} = [(Fh_l)^2 + (Sh_{nb})^2]^{0.5}.$	<p>the nucleate boiling contribution (see the difference between the correlations of <math>h_{tp}</math> and <math>h_{tp,p}</math>).</p> <ul style="list-style-type: none"> <li>• <math>S_{mix}</math> was developed by an iteration process to minimize the error between predicted and experimental results using the test data of the mixture consisting of ethane/propane.</li> </ul>
2016	Guo et al. [57]	AM	$h_{tp} = [(Fh_l)^2 + (Sh_{pool})^2]^{0.5};$ $h_{nb} = h_{Cooper},$ $F = [1 + 0.063xPr_l^{-0.69}(\rho_l/\rho_v - 1)]^{4.4};$ $S = (1 - 0.084F^{0.17}Re_l^{0.39})^{-1}.$	<ul style="list-style-type: none"> <li>• The correlation was obtained by modifying the model presented by Liu and Winterton [73] by refitting the coefficients <math>F</math> and <math>S</math> based on their experimental results of the mixture consisting of R134a/R245fa.</li> </ul>

1

2 The second category of correlations, defined as the *general* correlations in this study, were  
3 developed by modifying the pure working fluid correlations. The idea is to apply a general correction  
4 approach to any well-verified pure working fluid correlation, quantifying the heat transfer degradation  
5 caused by the mixture effect with respect to the pure-fluid components. Such method aims to widen  
6 the application range of the correlation, independent of the experimental data. Particularly, two well-  
7 known research efforts were made by Thome [18] and Shah [10], respectively, to propose such  
8 modification method. The method proposed by Thome [18] suggests modifying the base pure  
9 working fluid correlation by i) using local mixture properties to include the effect of nonlinear  
10 variation in mixture physical properties, and ii) employing a mixture correction factor  $F_c$  to the  
11 nucleate boiling contribution to include the mixture mass diffusion effect. This mixture correction  
12 factor  $F_c$  was developed by modelling analytically the mass transfer resistance on nucleate pool  
13 boiling in plain tubes and is defined as

$$14 \quad F_c = \left\{ 1 + \left(\frac{h_{id}}{q}\right) (T_d - T_b) \left[ 1 - \exp\left(-\frac{Bq}{\rho_l h_{fg} \beta_l}\right) \right] \right\}^{-1}, \quad (14)$$

15 where  $h_{id}$  is the ideal heat transfer coefficient calculated with Cooper's correlation (see Eq. (6)), and  
16  $B$  is the scaling factor assumed to be 1.0, i.e. assuming that all the heat transferred to the bubble  
17 interface is converted to latent heat. The liquid-phase mass transfer  $\beta_l$  was assumed to be 0.0003 m/s.  
18 It has been demonstrated that this value provides the best predictive performance for mixture flow  
19 boiling [74]. Following the same correction approach for the nucleate boiling contribution, Shah [10]  
20 modified further the convective boiling contribution of the pure working fluid  $h_{cb}$  by using the Bell-  
21 Ghaly correction factor [75], and the modified mixture heat transfer coefficient for convective boiling  
22 contribution  $h_{cb,mix}$  can be calculated by

$$\frac{1}{h_{cb,mix}} = \frac{1}{h_{cb}} + \frac{Y}{h_v}, \quad (15)$$

2 m

3 Here  $c_{pv}$  is the specific heat of the vapor phase and  $H$  is the enthalpy of the mixture, and the vapor  
4 single-phase convective heat transfer coefficient  $h_v$  is calculated by the Dittus-Boelter correlation [24]:

$$h_v = 0.023 Re_v^{0.8} Pr_v^{0.4} \frac{k_v}{D}, \quad (17)$$

6 where the vapor Reynolds number  $Re_v$  and Prandtl number  $Pr_v$  are defined as

$$Re_v = \frac{GDx}{\mu_v}, \quad (18)$$

8 and

$$Pr_v = \frac{\mu_l c_{pv}}{k_v}. \quad (19)$$

10 Here  $\mu_v$  and  $k_v$  are dynamic viscosity and thermal conductivity of the vapor phase, respectively.

11 Finally, using Shah's method, a pure working fluid correlation with the form of Eq. (1) is modified  
12 as follows:

$$h_{tp} = F_c h_{nb} + \left( \frac{1}{h_{cb}} + \frac{Y}{h_v} \right). \quad (20)$$

14 Two base pure working fluid correlations developed by Gungor and Winterton [76] in 1986  
15 (GW86) Gungor and Winterton [77] in 1987 (GW87), respectively, were suggested by Thome [18]  
16 following the modification method. In addition, three base pure working fluid correlations developed  
17 by Shah [78], Gungor and Winterton [77] and Liu and Winterton [73] (LW), respectively, were  
18 employed in the modification method by Shah [10], demonstrating good predictive performance with  
19 the database collected by Shah [10]. The aforementioned five *general* correlations were selected in  
20 this study; see Table 3.

21 Table 3 *General* correlations for mixture flow boiling heat transfer.

Name	Model	Pure working fluid correlation	Modified mixture correlation
Thome-GW86	SM	$h_{tp} = Sh_{pool} + Fh_l;$ $F = 1 + 24000Bo^{1.16}X_{tt}^{-0.86};$ $S = (1 + 1.15 \times 10^{-6}F^2Re_l^{1.17})^{-1};$ $h_{pool} = h_{Cooper}.$	$h_{tp} = Sh_{pool} + F_c F h_l.$
Thome-GW87	EM	$\frac{h_{tp}}{h_l} = F;$ $F = 1 + 3000Bo^{0.86} + 1.12 \left( \frac{x}{1-x} \right)^{0.75} \left( \frac{\rho_l}{\rho_v} \right)^{0.41}.$	$\frac{h_{tp}}{h_l} = F_{mix};$ $F_{mix} = 1 + 3000(BoF_c)^{0.86} +$ $1.12 \left( \frac{x}{1-x} \right)^{0.75} \left( \frac{\rho_l}{\rho_v} \right)^{0.41};$
Shah-Shah	EM	$\frac{h_{tp}}{h_l} = F;$	As stated by Shah [10], the mixture heat transfer coefficient

		$Co = \left(\frac{\rho_v}{\rho_l}\right)^{0.5} \left(\frac{1-x}{x}\right)^{0.8}$ ; $Fr_l = \frac{G^2}{gD\rho_l^2}$ ; For $Fr_l \geq 0.04$ , $N = Co$ ; For $Fr_l \leq 0.04$ , $N = 0.38CoFr_l^{-0.3}$ ; For $Bo \geq 11 \times 10^{-4}$ , $= 14.7$ ; For $Bo < 11 \times 10^{-4}$ ; $F = 15.43$ . For $N > 1$ , $F_{nb} = 230Bo^{0.5}$ , $Bo > 0.3 \times 10^{-4}$ ; $F_{nb} = 1 + 46Bo^{0.5}$ , $Bo < 0.3 \times 10^{-4}$ ; For $0.1 < N \leq 1$ , $F_{bs} = FBo^{0.5} \exp(2.74N^{-0.1})$ ; For $N \leq 0.1$ , $F_{bs} = FBo^{0.5} \exp(2.47N^{-0.15})$ . $F_{cb} = 1.8/N^{0.8}$ . $F = \max(F_{cb}, F_{nb}/F_{bs})$ .	is the highest of $h_{nb,mix}$ , $h_{cb,mix}$ and $h_{bs,mix}$ , calculated by the three following equations: $h_{nb,mix} = F_c h_l F_{nb}$ ; $h_{cb,mix} = (1/F_{cb} h_l + Y/h_v)^{-1}$ ; $h_{bs,mix} = \left[ (h_{bs} - h_{nb,mix})^{-1} + Y/h_v \right]^{-1}$ , where the $h_{bs}$ is defined as $h_{bs} = F_c h_l F_{bs}$ .
Shah-GW87	EM	See the Thome-GW87 correlation.	$h_{tp} = (1/Fh_l + Y/h_v)^{-1}$ .
Shah-LW	AM	$h_{tp} = \left[ (Fh_l)^2 + (Sh_{pool})^2 \right]^{0.5}$ (see $F$ , $S$ and $h_{pool}$ in the correlation by Zou et al. [48].	$h_{tp} = \left[ (1/Fh_l + Y/h_v)^{-2} + (F_c Sh_{pool})^2 \right]^{0.5}$ .

1

2

Four parameters were used to evaluate the predictive performance of the correlations presented in Tables 2 and 3. Among them, the mean absolute percentage deviation (MAPD) and the mean bias percentage deviation (MBPD), aiming at quantifying the deviations between the predicted results and the experimental data from the database, are defined as

5

6

$$MAPD = \frac{1}{n} \sum_{i=1}^n \left| \frac{h_{i,pred} - h_{i,exp}}{h_{i,exp}} \right| \times 100\%, \quad (21)$$

7

and

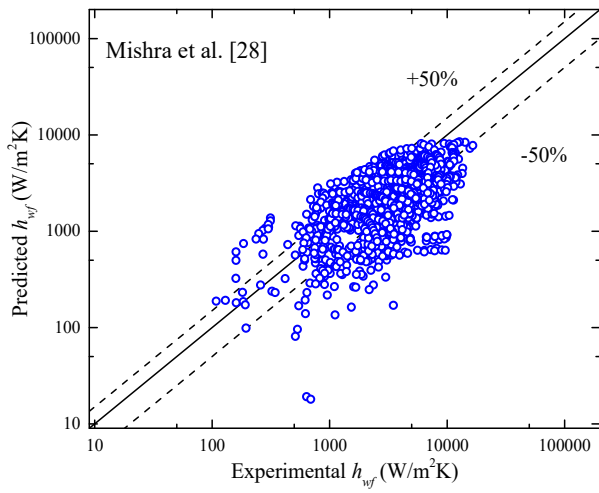
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$$MBPD = \frac{1}{n} \sum_{i=1}^n \left( \frac{h_{i,pred} - h_{i,exp}}{h_{i,exp}} \right) \times 100\%, \quad (22)$$

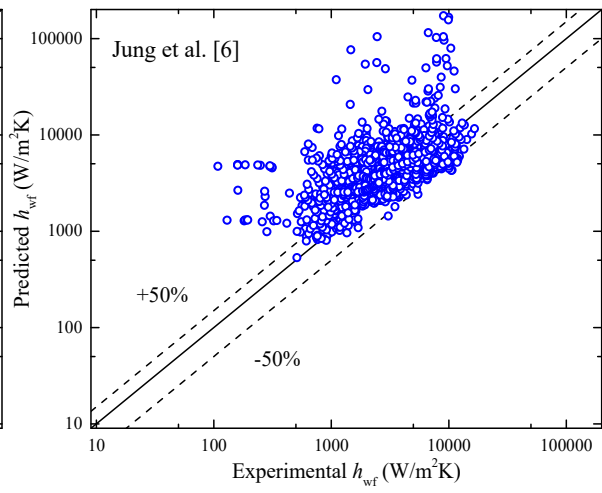
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where  $h_{i,pred}$  and  $h_{i,exp}$  are the predicted heat transfer coefficient calculated by the correlations and the experimental heat transfer coefficient, respectively. The MAPD indicates the average deviation between the predicted and experimental values, while the MBPD indicates whether the predicted values, on average, represent an overestimation (positive value) or underestimation (negative value) of the experimental values. In addition, two parameters were used, PCT30 and PCT50, indicating the percentage of the data points within a percentage deviation of  $\pm 30\%$  and  $\pm 50\%$ , respectively.

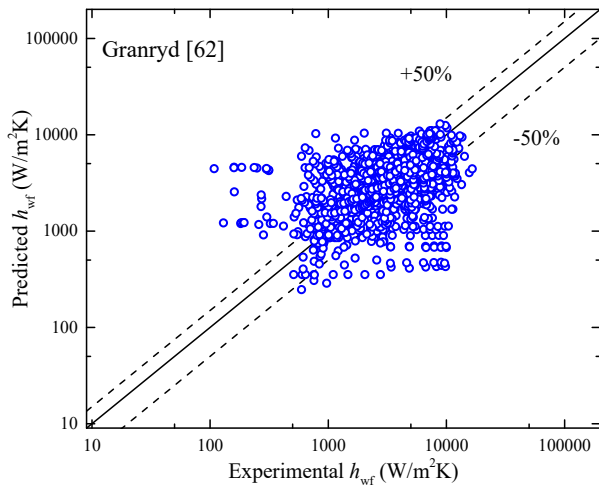
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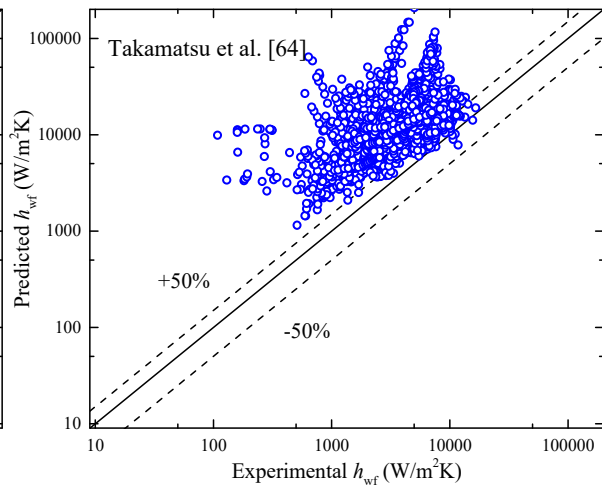
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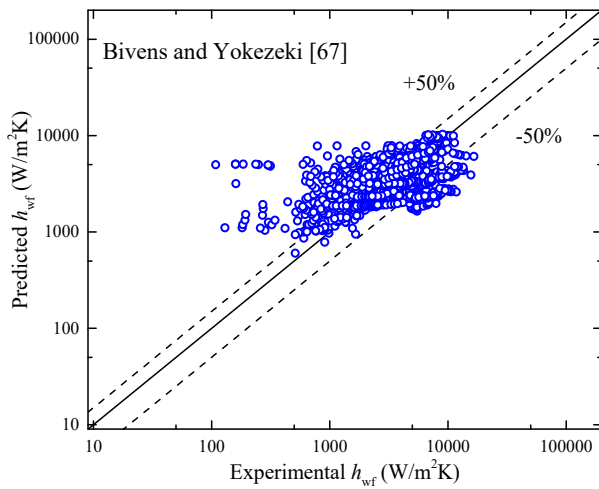
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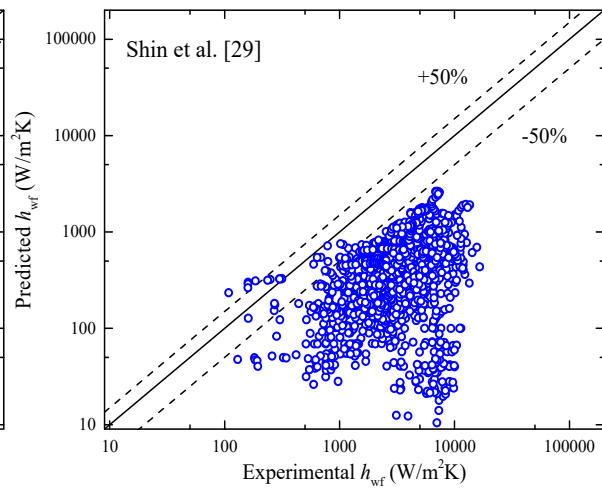
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(d)



(e)

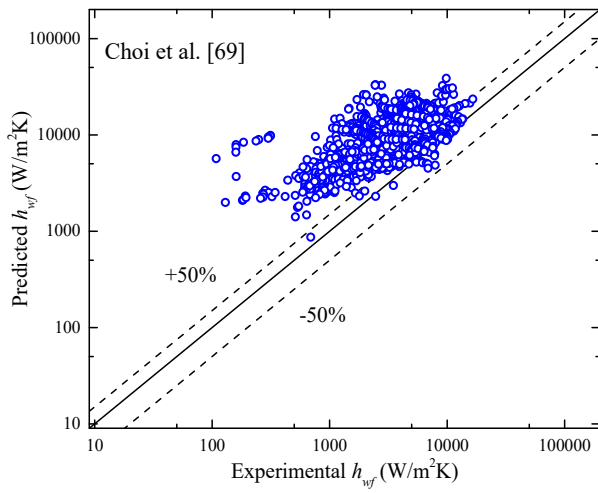


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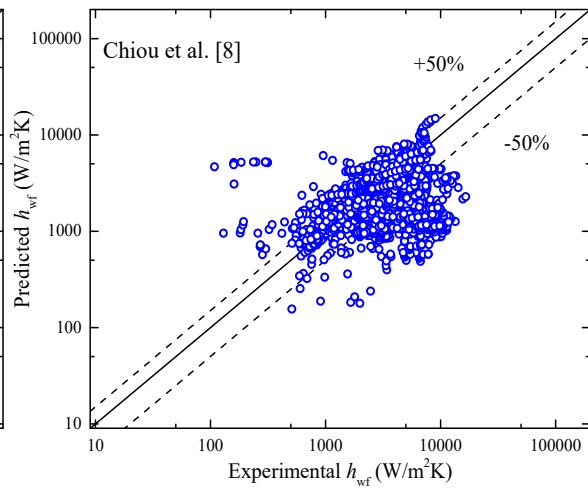
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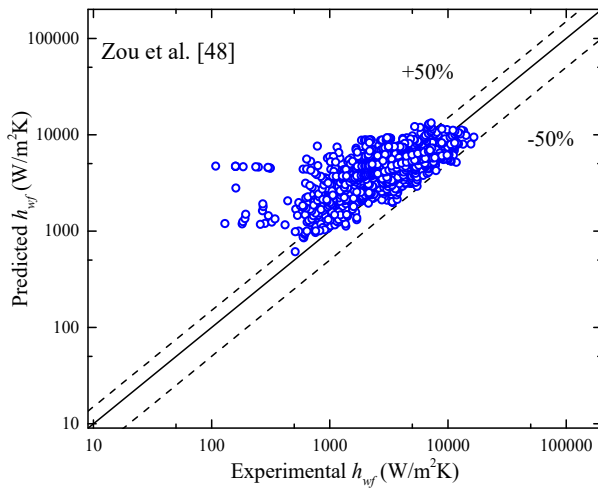
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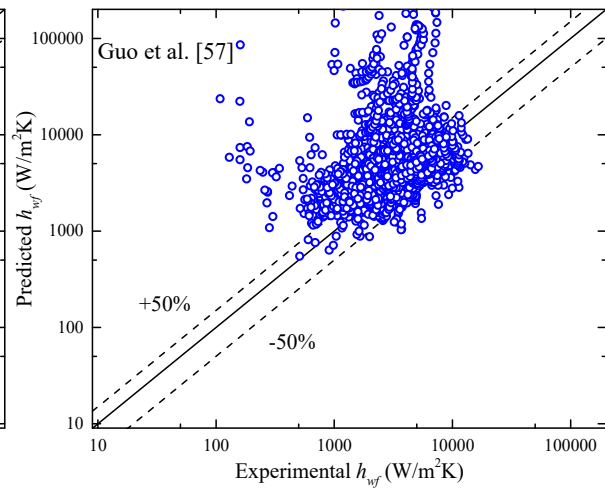
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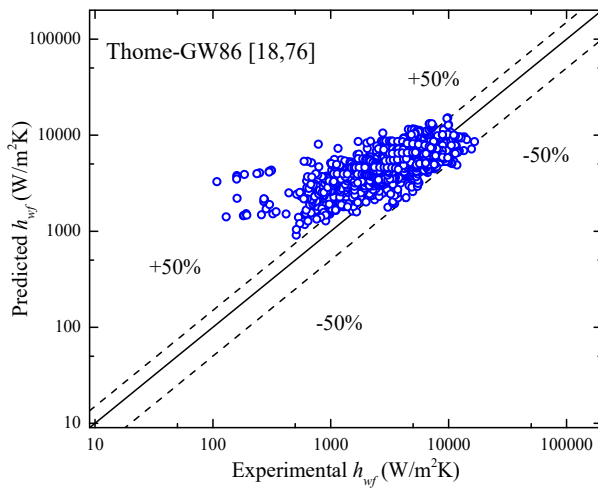
(h)



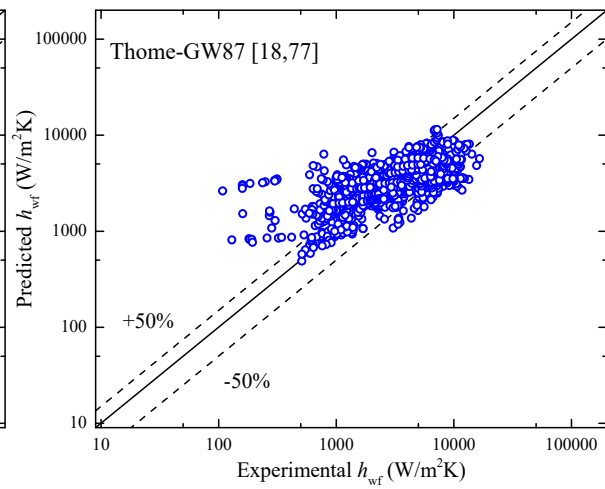
(i)



(j)



(k)

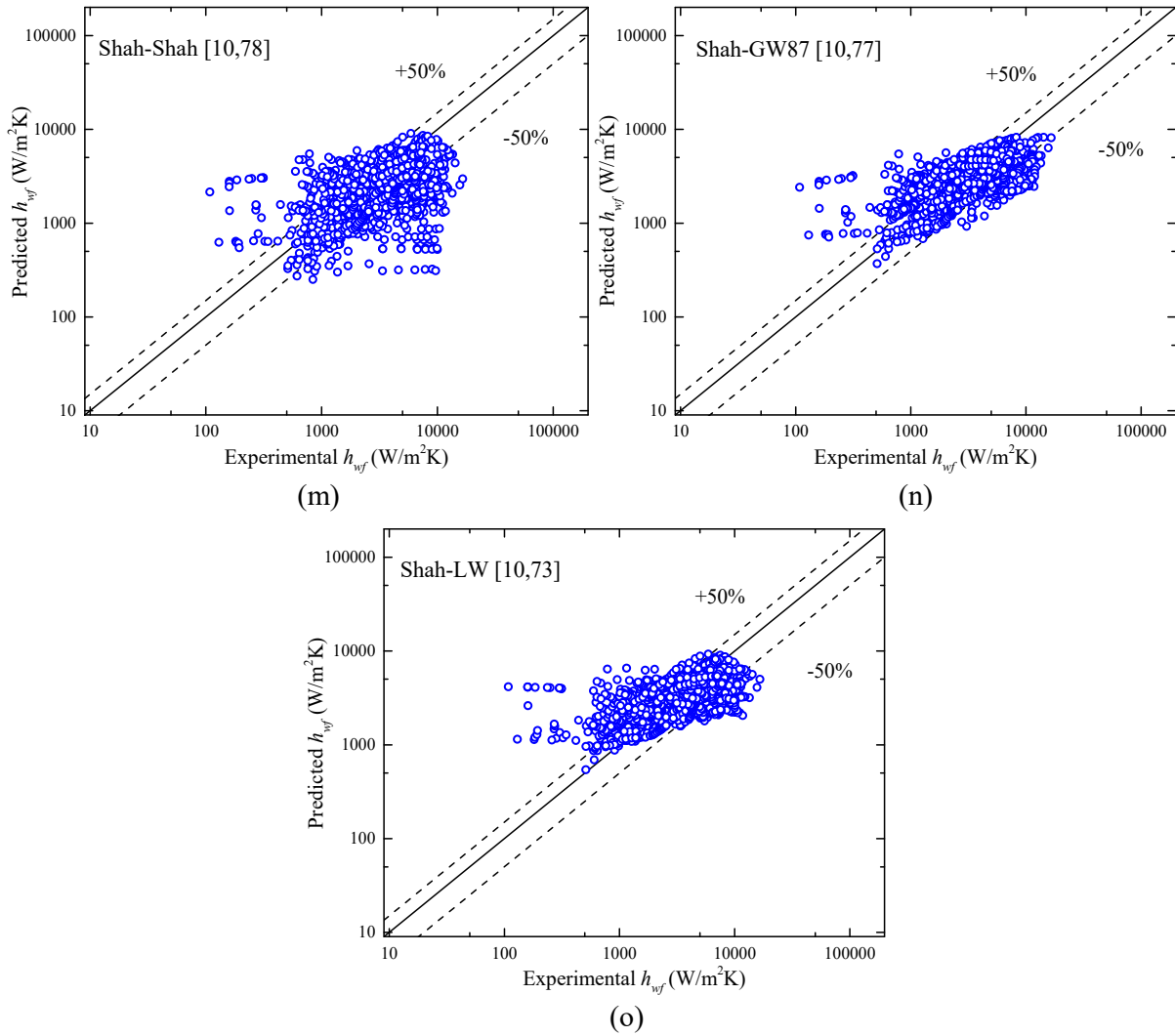


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5 Figure 3 Predicted heat transfer coefficients calculated by the fifteen correlations presented in Tables  
6 2 and 3 versus experimental mixture flow boiling heat transfer coefficients from the database.

7 Table 4 Statistical parameters from the comparison of the correlations.

	MAPD (%)	MBPD (%)	PCT30 (%)	PCT50 (%)
<i>Specific correlations</i>				
Mishra et al. [28]	44.2	-19.0	35.5	61.3
Jung et al. [6]	122.6	113.5	36.1	56.3
Granryd [62]	68.4	38.3	44.4	64.8
Takamatsu et al. [64]	414.1	414.0	2.1	5.5
Bivens and Yokezeki [67]	67.2	46.7	46.5	63.8
Shin et al. [29]	86.2	-85.9	0.6	1.1
Choi et al. [69]	230.3	230.0	7.1	12.4
Chiou et al. [8]	58.8	2.0	37.9	60.4
Zou et al. [48]	77.8	69.9	43.6	59.9
Guo et al. [57]	325.0	308.4	36.0	53.9
<i>General correlations</i>				
Thome-GW86 [18,76]	86.3	79.6	31.1	47.9
Thome-GW87 [18,77]	49.0	27.3	56.1	73.4
Shah-Shah [10,78]	52.8	-4.0	39.7	64.4

Shah-GW87 [10,77]	47.3	11.4	48.9	73.1
Shah-LW [10,73]	54.9	26.3	50.8	70.0

In Figure 3 the predicted heat transfer coefficients calculated by the fifteen correlations are plotted against the experimental values from the database, and the statistical parameters from the comparison are shown in Table 4. From the comparison, the following conclusions are drawn:

- Generally, the *general* correlations enable better predictions than the *specific* correlations, indicating that the modification method proposed by Thome [18] and Shah [10] is effective.
- Most of the correlations overestimate the experimental data points, which may cause an insufficient heat transfer area in the evaporator if those correlations are used for the evaporator design.
- Mishra et al. [28], Thome-GW87 [18,77] and Shah-GW87 [10,77] are the only three correlations with a MAPD less than 50 %. Interestingly, all three correlations were developed using the enhancement model based on data either from annular flow, thereby belonging to the convective boiling region – Mishra et al. [28] correlation, or from the region where convective boiling is dominant – the base pure working fluid GW87 [77]. That is, all three correlations focus on the contribution of convective boiling, rather than that of nucleate boiling. Therefore, their good predictive ability suggests that the suppression of nucleate boiling due to the mixture mass diffusion effect leads to a more significant contribution of convective boiling in the mixture flow boiling process.
- However, considering that the lowest MAPD of 44.2 % obtained by the correlation by Mishra et al. [28] is still higher than the prediction accuracy of 30 % in engineering standards [79], a correlation with a better predictive performance needs to be developed.

## 5. New heat transfer correlations

The derivation of two mixture flow boiling heat transfer correlations is presented in this section. The first correlation was developed by modifying the existing correlations, considering physical phenomena and their prediction performances; see Table 4. The second correlation was derived using a dimensional analysis coupled with multiple regressions. The correlation which is derived by following the first approach will be entitled a physics-based correlation, while the correlation which is derived by following the second approach will be entitled a regression-based correlation.

### 5.1 Physics-based correlation

As shown in Section 2.3, the temperature glide  $T_g$  plays an important role in the heat transfer process, i.e. a large temperature glide causes a great suppression of the nucleate boiling and hence



1 leads to a more dominant contribution of the convective boiling part of the whole evaporation process.  
 2 In addition to the temperature glide, the saturation temperature  $T_{sat}$  also has a significant effect on the  
 3 heat transfer process through its impact on the bubble nucleation. Specifically, the surface tension  $\sigma$   
 4 decreases and the slope of the equilibrium vapor pressure curve  $(\Delta P/\Delta T)_{sat}$  increases with the  
 5 saturation temperature, both contributing to increasing the number of active nucleation sites [80]. As  
 6 a result, at higher saturation temperatures, the suppression of nucleate boiling tends to be less and  
 7 nucleate boiling can be dominant in the whole heat transfer process. This phenomenon has been  
 8 proven in the open literature, e.g. in Refs. [81,82]. Based on the aforementioned mechanisms, a  
 9 dimensionless number  $T^*$ , the ratio of the temperature glide to the saturation temperature was  
 10 introduced in this study:

$$11 \quad T^* = T_g/T_{sat}. \quad (23)$$

12 Based on this number, the physics-based correlation depending on the heat transfer region is  
 13 expressed as

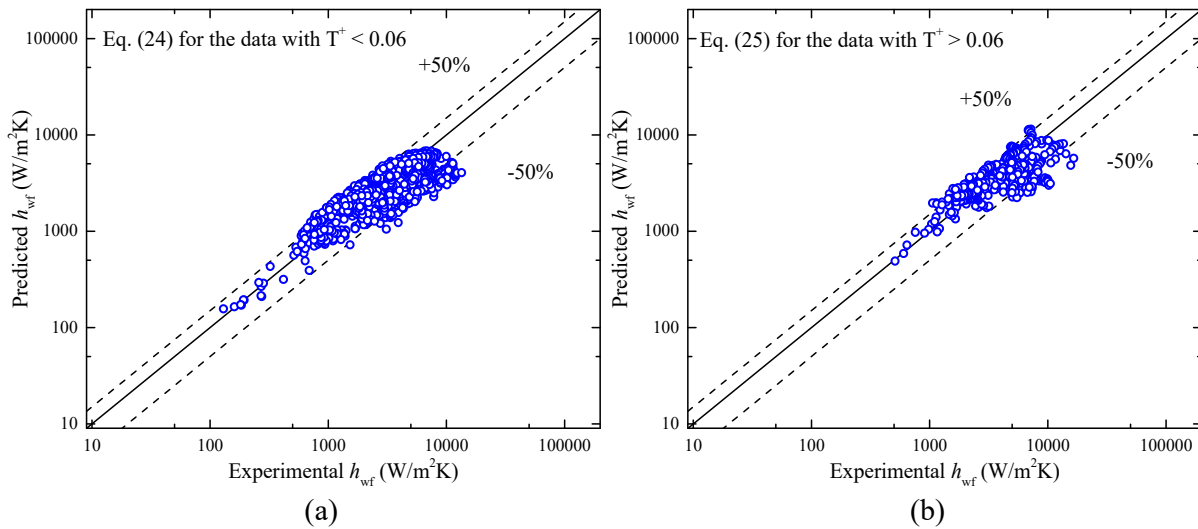
$$14 \quad h_{tp} = [(F_c h_{Cooper\_nb})^2 + (h_{Mishra})^2]^{0.5} \quad T^* \leq 0.06, \quad (24)$$

$$15 \quad h_{tp} = \left[ 1 + 3000(F_c Bo)^{0.86} + 1.12 \left( \frac{x}{1-x} \right)^{0.75} \left( \frac{\rho_l}{\rho_v} \right)^{0.41} \right] h_l \quad T^* > 0.06. \quad (25)$$

16 In order to minimize the relative deviations of the predicted values, one region is defined for  $T^* \leq$   
 17 0.06 (Region I) to describe a concomitant process by nucleate and convective boiling, while the other  
 18 region is defined for  $T^* > 0.06$  (Region II) to account for a process dominated by convective boiling.  
 19 For Region I, an asymptotic model was employed and the correlation by Mishra et al. [28] (see Table  
 20 2) was used to calculate the heat transfer coefficient for the contribution of the convective boiling, as  
 21 its derivation was based on data obtained for convective boiling with the lowest MAPD (44.2 %) among  
 22 all the existing correlations. Moreover, Cooper [83] developed a pure working fluid  
 23 correlation particularly for the nucleate boiling region in flow boiling by modifying the pool boiling  
 24 correlation (Eq. (6)) with a factor of 0.7, defined as

$$25 \quad h_{Cooper\_nb} = 35M^{-0.5}q^{-0.67}Pr^{0.12}(-\log_{10}Pr)^{-0.55}, \quad (26)$$

26 which is applied as the base pure working fluid correlation, multiplied by the mixture correction factor  
 27  $F_c$  developed by Thome [18], to calculate the contribution of nucleate boiling in Eq. (24). For Region  
 28 II, the Thome-GW87 correlation (see Eq. (25)) was directly employed as the prediction method has  
 29 a good predictive performance for the data points within the region.



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3 Figure 4 Predicted heat transfer coefficients using the physics-based correlation (Eqs. (24) and (25))  
4 developed in this study versus the experimental mixture flow boiling heat transfer coefficients from  
5 the database.

6 The predicted heat transfer coefficients calculated by the physics-based correlation (Eqs. (24)  
7 and (25)) are plotted against the experimental data points in Figure 4, and the statistical parameters  
8 of the comparison are shown in Table 5. By comparing the four evaluation parameters of the new  
9 correlation with those of the selected existing correlations, it can be concluded that the predictive  
10 performance of the new correlation is significantly improved. Particularly, the MAPD for the new  
11 correlation is up to 29.0 %, falling within the engineering standard of 30 %.

12 Table 5 Prediction results of the correlations developed in this study for the data points.

	MAPD (%)	MBPD (%)	PCT30 (%)	PCT50 (%)
Physics-based correlation				
Eq. (24) for data with $T^* \leq 0.06$	28.8	2.8	58.2	81.3
Eq. (25) for data with $T^* > 0.06$	29.5	-4.3	54.7	84.5
Total	29.0	-3.0	57.3	82.1
Regression-based correlation				
Eq. (35) for data with $T^* \leq 0.06$	24.8	1.6	64.8	89.9
Eq. (36) for data with $T^* > 0.06$	24.1	0.5	65.0	91.5
Total	24.6	1.4	64.9	90.1

13  
14 **5.2 Regression-based correlation**

15 The method adopted for the second approach has been used in several studies for developing  
16 heat transfer correlations [84,85]. Its basic idea is to perform a multiple regression of dimensionless  
17 numbers obtained from the independent variables using the Buckingham  $\pi$  theory. In this study,  
18 seventeen variables were identified as being the most important parameters influencing the zeotropic  
19 mixture flow boiling heat transfer, and their relationship with the final heat transfer coefficient was

1 defined as

$$2 \quad h_{tp} = f(D, \mu_l, \mu_v, \sigma, (\rho_l - \rho_v)g, q, h_{fg}, T_g, T_{sat}, k_l, c_{pl}, c_{pm}, \rho_l, \rho_v, G, G_l, G_v), \quad (27)$$

3 where  $G_l$  and  $G_v$  are the mass flux of liquid phase and vapor phase, respectively, the gravitational  
4 term  $(\rho_l - \rho_v)g$  accounts for the buoyancy force, and the mean specific heat capacity of the liquid and  
5 vapor phases  $c_{pm}$  is defined as

$$6 \quad c_{pm} = (1 - x)c_{pl} + xc_{pv}, \quad (28)$$

7 which is used as an approximate estimate of the sensible heat transfer in a flow boiling process, as  
8 explained in Section 2.2.

9 Four primary dimensions are involved in the seventeen variables, namely, mass kg [M], length  
10 m [L], time s [t], and temperature K [T]. Following the Buckingham  $\pi$  theory, four variables able to  
11 cover the four primary dimensions were selected as follows:

$$12 \quad D[L], \rho_l[ML^{-3}], G[ML^{-2}t^{-1}], T_g[T]. \quad (29)$$

13 Therefore, thirteen (seventeen minus four) dimensionless terms  $\Pi_i$  ( $i = 1 - 13$ ) need to be developed.  
14 To this end, the four selected variables were integrated with each of the thirteen non-selected variables  
15 in Eq. (27):

$$16 \quad \Pi_i = D^a \rho_l^b G^c T_g^d z_i, i = 1 - 13, \quad (30)$$

17 where  $z_i$  is the non-selected variable in Eq. (27). The four exponents  $a$ ,  $b$ ,  $c$  and  $d$  can be solved using  
18 the non-dimensionalization of  $\Pi_i$ , as follows:

$$19 \quad [M]: b + c + M_{z_i} = 0, [L]: a - 3b - 2c + L_{z_i} = 0, [t]: -c + t_{z_i} = 0, [T]: d + T_{z_i} = 0, \quad (31)$$

21 where  $M_{z_i}$ ,  $L_{z_i}$ ,  $t_{z_i}$  and  $T_{z_i}$  are the exponents of variable  $z_i$  in the four primary dimensions: mass,  
22 length, time and temperature, respectively. With the dimensional analysis, the thirteen dimensionless  
23 terms were defined as

$$24 \quad \Pi_1 = \frac{\mu_l}{DG}, \Pi_2 = \frac{\mu_v}{DG}, \Pi_3 = \frac{\rho_l \sigma}{DG^2}, \Pi_4 = \frac{\rho_l(\rho_l - \rho_v)gD}{G^2}, \Pi_5 = \frac{\rho_l^2 q}{G^3}, \Pi_6 = \frac{\rho_l^2 h_{fg}}{G^2}, \Pi_7 = \frac{\rho_l^2 T_g k_l}{G^3 D}, \Pi_8 = \frac{\rho_l^2 c_{pl} T_g}{G^2},$$

$$25 \quad \Pi_9 = \frac{\rho_l^2 c_{pm} T_g}{G^2}, \Pi_{10} = \frac{T_g}{T_{sat}}, \Pi_{11} = \frac{\rho_l}{\rho_v}, \Pi_{12} = \frac{G_l}{G}, \Pi_{13} = \frac{G_v}{G}. \quad (32)$$

26 In order to simplify the dimensionless group and make physical interpretations of the dimensionless  
27 parameters used for developing the correlation, the terms in Eq. (32) were combined and converted.  
28 Finally, eight well-known dimensionless numbers ( $\phi_1 - \phi_8$ ) and two new dimensionless terms ( $\phi_9 -$   
29  $\phi_{10}$ ), which are related to the unique heat transfer mechanisms of the mixtures, were obtained:

$$\begin{aligned}
1 \quad \varphi_1 &= \Pi_1^{-1} = \frac{DG}{\mu_l} = Re_{lo}, \varphi_2 = \Pi_2^{-1} = \frac{DG}{\mu_v} = Re_{vo}, \varphi_3 = \Pi_{11}\Pi_1^{-1} = \frac{DG_l}{\mu_v} = Re_l, \\
2 \quad \varphi_4 &= \Pi_{12}\Pi_2^{-1} = \frac{DG_v}{\mu_v} = Re_v, \varphi_5 = \Pi_3^{-1} = \frac{DG^2}{\rho_l\sigma} = We_l, \varphi_6 = \Pi_4^{-1}\Pi_{10}\Pi_{12}^2 = \frac{G_v^2}{\rho_v(\rho_l-\rho_v)gD} = Fr_v, \\
3 \quad \varphi_7 &= \Pi_5\Pi_6^{-1} = \frac{q}{Gh_{fg}} = Bo, \varphi_8 = \Pi_7^{-1}\Pi_8\Pi_1 = \frac{c_{pl}\mu_l}{k_l} = Pr_l, \varphi_9 = \Pi_9\Pi_6^{-1} = \frac{c_{pv}T_g}{h_{fg}} = Q^*, \\
4 \quad \varphi_{10} &= \Pi_{10} = T^*. \tag{33}
\end{aligned}$$

5 In Eq. (33), the four Reynolds numbers  $Re_{lo}$ ,  $Re_{vo}$ ,  $Re_l$  and  $Re_v$ , (where the subscripts stand for liquid  
6 only, vapor only, liquid and vapor, respectively), represent the ratio of inertial to viscous forces in the  
7 fluid. The whole flows are considered as the liquid or vapor phase when calculating the mass flux  
8 term in  $Re_{lo}$  or  $Re_{vo}$ , while only the liquid or vapor phase in the flow is considered for calculating the  
9 mass flux term in  $Re_l$  or  $Re_v$ . The liquid Weber number  $We_l$  is commonly used when analyzing fluid  
10 flows where an interface exists, and it represents the relative importance of the fluid's inertia  
11 compared to its surface tension. The vapor Froude number  $Fr_v$ , defined as the ratio of the flow inertia  
12 to the gravity field, is modified by the density ratio and adopted to quantify the transitions between  
13 flow pattern maps, e.g. in Ref. [86]. The boiling number  $Bo$  represents the ratio of the mass rate of  
14 vapor generated per unit area to mass flow rate per unit area (mass flux), quantifying the intensity of  
15 nucleate boiling. The liquid Prandtl number  $Pr_l$  is defined as the ratio of momentum diffusivity to  
16 thermal diffusivity in the liquid phase, representing the effect of the fluid's properties on heat transfer.  
17 The value of the ratio of the temperature glide to the saturation temperature  $T^*$  governs the heat  
18 transfer mechanisms in mixture flow boiling (see Section 5.1). The dimensionless term  $Q^*$  represents  
19 the ratio of the sensible heat to the latent heat in a complete flow boiling process (while the vapor  
20 quality changes from 0 to 1), governing the effects of sensible heat transfer on non-isothermal  
21 vaporization of mixture flow boiling.

22 A power law representation was employed to develop the correlation using the dimensionless  
23 terms in Eq. (33) with multiple regression analysis. The correlation is expressed as

$$24 \quad h_{tp} = C \cdot \varphi_i^{a_i} \cdot \left(\frac{k_l}{D}\right), i = 1, 2, \dots, 10 \tag{34}$$

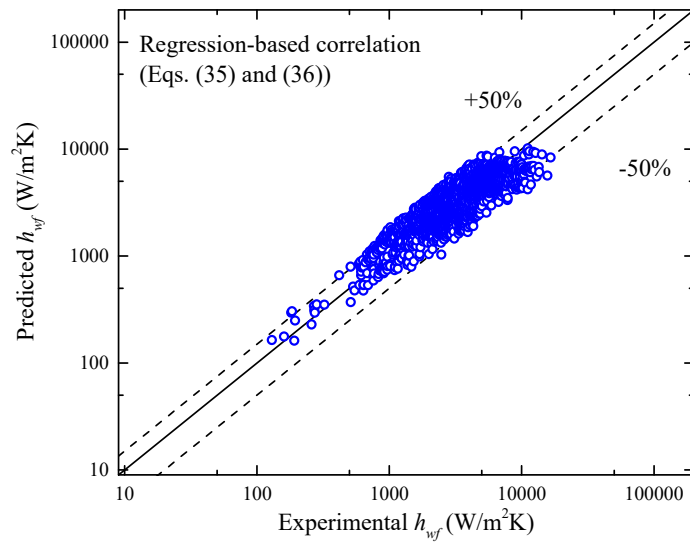
25 In addition, the two  $T^*$ -based regions presented in Section 5.1 were used here to improve the  
26 regression. After elimination of the dimensionless terms that did not improve the predictive ability of  
27 the correlation, the final correlations read

$$28 \quad h_{tp} = 20.1439 Re_l^{0.1505} Re_{vo}^{0.4551} Bo^{0.5580} Fr_v^{0.2538} T^{*-1.1466} Q^{*1.1084} \left(\frac{k_l}{D}\right) \quad T^* \leq 0.06, \tag{35}$$

$$29 \quad h_{tp} = 0.1672 We_l^{-0.1457} Re_l^{0.4771} Re_{vo}^{0.1829} Fr_v^{0.1007} T^{*-0.2490} Q^{*-0.4878} \left(\frac{k_l}{D}\right) \quad T^* > 0.06. \tag{36}$$

30 It is worth noting that the boiling number  $Bo$  has a significant effect on the heat transfer in Region I

1 (a concomitant process by nucleate and convective boiling) while it is negligible in Region II, which  
 2 is a convective boiling dominated process. This shows consistency with its physical meaning, i.e.  
 3 representing the intensity of nucleate boiling. A comparison between the predicted heat transfer  
 4 coefficients calculated by the regression-based correlation (Eqs. (35) and (36)) and the experimental  
 5 heat transfer coefficients from the database is presented in Figure 5, and the statistical parameters of  
 6 the comparison are shown in Table 5. The prediction results shown in Table 5 indicate that this  
 7 correlation can further improve the predictive ability by decreasing the MAPD to 24.6 %. Moreover,  
 8 the regression-based correlation achieves the highest PCT30 (64.9 %) and PCT50 (90.1 %) among  
 9 all correlations, suggesting that it concentrates to a larger extent than the other correlations the  
 10 predicted points within a certain accuracy range.



11  
 12 Figure 5 Predicted heat transfer coefficients calculated with the regression-based correlation (Eqs.  
 13 (35) and (36)) developed in this study versus the experimental mixture flow boiling heat transfer  
 14 coefficients from the database.

15 Due to the better predictive performance of the regression-based correlation, it is suggested to  
 16 use it for predicting the heat transfer coefficient for working conditions falling in the ranges of the  
 17 dimensionless for which the correlation was developed; the ranges are indicated in Table 6. If the  
 18 working conditions are outside these ranges, the physics-based correlation is more appropriate for  
 19 predicting the heat transfer coefficient, since it is based on physics rather than on data regression.  
 20 However, validation of the two correlations by using more data points is expected to further  
 21 demonstrate their predictive abilities.

22 Table 6. Range of dimensionless numbers in the regression-based correlation (Eqs. (35) and (36)).

	$Re_l$	$Re_{vo}$	$Bo$	$Fr_v$	$T^*$	$Q^*$	$We_l$
Eq. (35)	$6.87 \cdot 10^{-1} - 3.45 \cdot 10^4$	$1.63 \cdot 10^3 - 3.04 \cdot 10^5$	$2.03 \cdot 10^{-5} - 9.57 \cdot 10^{-3}$	$4.75 \cdot 10^{-3} - 1.24$	$1.63 \cdot 10^{-5} - 5.99 \cdot 10^{-2}$	$2.29 \cdot 10^{-5} - 1.99 \cdot 10^{-1}$	—

Eq. (36)	$3.79 \cdot 10^2 -$ $2.57 \cdot 10^4$	$1.61 \cdot 10^4 -$ $4.41 \cdot 10^4$	–	$1.11 \cdot 10^{-2} -$ $9.16 \cdot 10^{-1}$	$6.00 \cdot 10^{-2} -$ $6.28 \cdot 10^2$	$7.09 \cdot 10^{-2} -$ $3.60 \cdot 10^{-1}$	$7.91 \cdot 10^{-3} -$ $2.82 \cdot 10^2$
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1

## 2 **6. Conclusions**

3 The current work included the development of a general heat transfer correlation capable of  
 4 predicting the macroscale flow boiling heat transfer of zeotropic mixtures in horizontal plain tubes.  
 5 The correlation is aimed at being used for the evaporator design in thermodynamic cycles using  
 6 mixtures as working fluids.

7 The predicted heat transfer coefficients calculated by fifteen existing correlations selected from  
 8 the open literature were compared with the experimental data points from a database including 2091  
 9 data points. The comparison results indicate that the correlations presented by Mishra et al. [28],  
 10 Thome-GW87 [18,77] and Shah-GW87 [10,77] have the best predictive performance of the data  
 11 points in the database, obtaining mean absolute percentage deviations of 44.2 %, 49.0 %, and 47.3 %,   
 12 respectively.

13 Two new correlations based on physics and regression, respectively, were developed. The two  
 14 correlations are governed by two heat transfer regions characterized by a nucleate and convective  
 15 boiling interacted process and a convective boiling dominated process, respectively. The physics-  
 16 based and regression-based correlations significantly improve the predictive performance for the  
 17 database compared to the existing correlations selected in this paper, attaining mean absolute  
 18 percentage deviations of 29.0 % and 24.6 %, respectively. The regression-based correlation is  
 19 suggested for use in cases with working conditions falling within the ranges of the dimensionless for  
 20 which the correlation was developed; see Table 6. Outside these ranges, the physics-based correlation  
 21 is more appropriate to use.

22

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31

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