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A TaS₂ back contact improving oxide-converted Cu₂BaSnS₄ solar cells

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Abstract

Solar cells based on the wide band-gap Cu₂BaSnS₄ (CBTS) photoabsorber have achieved open circuit voltages up to 1.1 V over a short development period, making CBTS an attractive material for tandem photovoltaic and photoelectrochemical cells. In this work, we explore an alternative CBTS growth route based on oxide precursors, and we propose TaS₂ as an alternative back contact material to the commonly used Mo/MoS₂. The oxide precursor route does not require higher sulfurization temperatures than other more common fabrication routes, and it yields CBTS films with negligible Stokes shift between photoluminescence maximum and band gap energy, while at the same time avoiding sulfur contamination of vacuum systems. The high work-function metallic TaS₂ compound is selected as a prospective hole-selective contact, which could also prevent the losses associated with carrier transport across the semiconducting MoS₂ layer. By comparing CBTS solar cells with Mo and TaS₂ back contacts, the latter shows

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3 a significantly lower series resistance, resulting in a 10% relative efficiency improvement.
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5 Finally, we fabricate a proof-of-concept monolithic CBTS/Si tandem cell using a thin
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7 Ti(O,N) interlayer intended both as a diffusion barrier and as a recombination layer
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9 between the two subcells.
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11 12 13 **Keywords**

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16 kesterite, cation substitution, wide band gap absorber, tandem solar cell, silicon, back con-
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18 tact, TaS₂, sputtering
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20 21 22 **Introduction**

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25 As single-junction silicon solar cells approach their theoretical efficiency limit, one of the
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27 most compelling strategies for continuing to improve solar energy conversion efficiency is
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29 the tandem solar cell architecture, featuring a wide band-gap thin-film cell on top of a sil-
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31 icon cell.¹ However, finding a suitable 1.6-2.0 eV band-gap top absorber that can deliver
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33 an open circuit voltage (V_{oc}) well above 1 V has proven challenging. Together with the
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35 intensively researched class of halide perovskite photoabsorbers, the air-stable Cu₂BaSnS₄
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37 (CBTS) compound has recently emerged as one of the very few non-epitaxially grown ma-
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39 terials able to break the 1 V V_{oc} barrier under 1 sun illumination.²⁻⁵ As the total number
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41 of CBTS-related publications (~ 10 articles)⁵⁻¹³ is about three orders of magnitude smaller
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43 than the size of the halide perovskite literature, there may be substantial opportunities for
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45 further optimization of growth processes and device design. In fact, only two routes for the
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47 synthesis of CBTS films have been reported: sputtering of metallic/sulfide targets^{5,7,8} and
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49 spin-coating of precursor salts followed by sulfurization.⁶ Alternative reaction pathways, as
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51 well as different vacuum-based^{14,15} and solution-based^{16,17} deposition techniques may deserve
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53 investigation, as they have been employed successfully in chemically-related absorbers.¹⁴⁻¹⁷
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55 In a similar fashion, only two variations of the archetypical Mo/absorber/CdS/ZnO device
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3 architecture have been tested for CBTS, that is, a $\text{SnO}_2\text{:F}$ (FTO) back contact replacing Mo
4 and a Cd(O,S) heterojunction partner replacing pure CdS .⁵ Studies of hole-selective back
5 contacts¹⁸ and Cd-free heterojunction partners¹⁹ would be desirable. Finally, even though
6 research in wide band-gap photoabsorbers is motivated by their potential incorporation in
7 tandem solar cells, there are only very few actual demonstrations of monolithic tandem cells
8 employing any chalcogenide absorber on top of silicon.^{20–23}

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11 In this work, we propose alternative processes and device structures for single-junction
12 CBTS solar cells and report a proof-of-concept tandem CBTS/silicon cell. Specifically:
13 (i) CBTS films are synthesized by sulfurization of reactively sputtered oxide $\text{Cu}_2\text{BaSnO}_4$
14 precursors (CBTO) using high sputter-rate metallic targets; (ii) the efficiency of CBTS
15 cells from oxide precursors is improved by replacing the standard Mo/MoS_2 back contact
16 with the high work-function metallic compound TaS_2 ; and (iii) a proof-of-concept monolithic
17 tandem CBTS/silicon solar cell is fabricated based on a recently proposed device architecture
18 involving a thin Ti(O,N) recombination layer between the two subcells.²²

33 Results and discussion

37 CBTS from oxide precursors

39
40 CBTO precursor films are deposited on both Mo-coated and Ta-coated soda lime glass (SLG)
41 substrates by cosputtering Cu, Ba, and Sn metallic targets in a reactive (1.5% O_2 in Ar)
42 atmosphere. With this process route, sulfur contamination of vacuum systems and high-
43 cost, low sputter-rate ceramic sulfide targets are avoided. As-deposited CBTO films do not
44 exhibit x-ray diffraction (XRD) peaks other than those from Mo or Ta (Fig. S1, Supporting
45 Information). According to energy-dispersive x-ray spectroscopy (EDX), their typical oxy-
46 gen content is around 50% (atomic) as expected for stoichiometric CBTO. The precursors
47 are then sulfurized in 5% H_2S in Ar. As shown by both EDX and x-ray photoemission spec-
48 troscopy (XPS), the oxide films are completely converted into sulfides after sulfurization at
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3 540°C for 5 min (Fig. 1(c)). The CBTO precursor compositional window yielding the most
4 efficient solar cells is found by experiment in the strongly Cu-poor and moderately Ba-rich
5 region (Fig. 1(a)). For cells with a Mo back contact, the highest efficiency is obtained for
6 Cu/(Ba + Sn) = 0.48 ± 0.02 and Ba/Sn = 1.16 ± 0.04 followed by sulfurization at 550°C for
7 30 min. For the case of a Ta/TaS₂ back contact, the best cells have precursor composition
8 Cu/(Ba + Sn) = 0.60 ± 0.02 , Ba/Sn = 1.23 ± 0.04 and are sulfurized at 540°C for 5 min. In
9 the closely related compound Cu₂SrSnS₄ (CSTS) grown by the same method,²⁵ the optimal
10 composition was Cu/(Sr + Sn) ~ 0.7 and Sr/Sn ~ 1.2 with sulfurization at 520°C for 5 min.
11 In all the above cases, the composition is significantly more Cu-poor than in previous studies
12 on CBTS grown by other methods, which typical report an optimal precursor composition
13 in the $0.8 < \text{Cu}/(\text{Ba} + \text{Sn}) < 0.95$ range, combined with similar sulfurization temperatures
14 of 540-580°C.^{5,11,26} To try to explain those discrepancies, we first observe from Fig. 1(a) that
15 the optimal precursor composition in our studies shifts towards more extreme Cu substoi-
16 chiometry as the sulfurization temperature and time increase. Secondly, the film composition
17 after sulfurization appears to be much closer to the stoichiometric point than the precursor
18 composition (Fig. 1(a)). However, comparison of Fig. 1(b) with Fig. 1(c) indicates that sig-
19 nificant elemental redistribution occurs during sulfurization, as the top half of the CBTS film
20 is much less Cu-poor than the bottom half. Since EDX has a finite analysis depth, this may
21 be the main reason for the apparent stoichiometry change detected by EDX. Inhomogeneous
22 composition across the film thickness was also observed for CSTS films grown by the same
23 method²⁵ but the effect is more pronounced here for CBTS.
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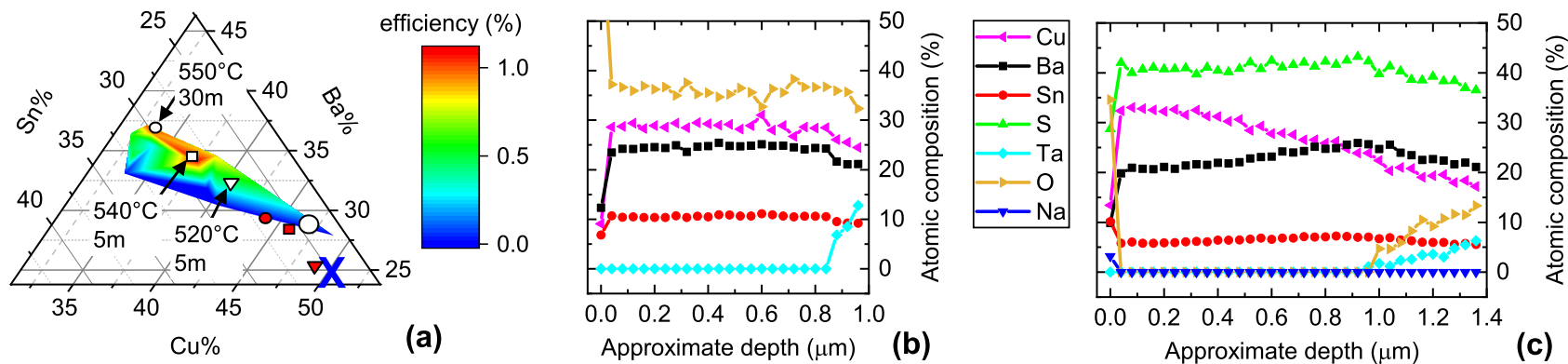


Figure 1: (a): Atomic metal composition of different CBTO precursor films before sulfurization, according to EDX. The color at each composition indicates the highest efficiency achieved by CBTS solar cells with that precursor composition. The map is based on 13 compositions from films grown on both Mo and Ta. The (Cu+Ba+Sn) composition is normalized to 1 and the blue cross indicates the stoichiometric composition. The small white markers indicate the optimal precursor compositions under different sulfurization conditions; the corresponding compositions measured *after* sulfurization are indicated by the red markers. The bigger white circle is the optimal precursor composition typically reported in previous work on CBTS by other synthesis methods.^{6,11} (b-c) Depth-dependent composition of CBTO (b) and of CBTS (c) on a Ta back contact by XPS sputter profiling. Note that the absolute values of the XPS-determined composition are not to be taken quantitatively due to preferential sputtering effects of the Ar^+ ion beam used for profiling, which are especially large for Sn.²⁴

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A possible explanation for the surprisingly Cu-poor optimal precursor composition and for elemental redistribution upon sulfurization could be a surface decomposition reaction similar to the case of the related absorber $\text{Cu}_2\text{ZnSnS}_4$ (CZTS), resulting in the loss of SnS by evaporation at high sulfurization temperatures.²⁷ However, if this hypothesis were correct, BaS evaporation would have to be even faster than SnS evaporation to be consistent with the composition change before and after sulfurization (Fig. 1(a)). This is unlikely, as BaS is less volatile than SnS.²⁸ Furthermore, major Sn or Ba losses were not observed in previous processes involving sulfide/metallic precursors to CBTS, even though the sulfurization temperature was typically higher.^{6,11,26} As the optimal precursor composition and the CBTS secondary phase mix is also found to depend on the back contact material (Fig. 2), it can be interesting to formulate some hypotheses for the observed behavior. A first possibility is that Cu diffuses towards the surface of CBTS during sulfurization, as was shown for non-oxide precursors.⁹ There, a Cu-rich surface was formed at relatively low temperatures (250-400°C) but Cu re-diffused evenly across the film starting from 450°C. As Cu-O bonds are stronger than Cu-S bonds,²⁸ Cu diffusion might require higher temperatures when oxide precursors are used, which could prevent the re-diffusion process. Since a Cu-rich composition is detrimental for solar cell performance due to the defect chemistry of CBTS,¹² a very Cu-poor precursor composition is necessary to delay the formation of a Cu-rich layer at the film surface. With an extremely Cu-poor composition left at the bottom of the film, different back contact materials may catalyze different interface reactions leading to different secondary phase mixes, an effect that is well documented for CZTS.²⁹ A second possibility is that Na impurities from the SLG substrate have different diffusion kinetics through different back contacts. Since Na is known to promote grain growth and elemental redistribution in CZTS,³⁰ differences in Na impurity content during CBTS formation may influence its secondary phase mix. In fact, even a small Ge impurity content was shown to strongly modify the formation pathway of CZTS.³¹ Regardless of which particular mechanism is dominant, the need for strongly Cu-poor precursors seems to be a unique characteristic of the oxide

precursor route to the synthesis of CBTS and CSTS.

As expected from the precursor composition, XRD peaks related to Ba_2SnS_4 secondary phases, as well as weak peaks possibly belonging to BaS_2 and BaS_3 , are detected in CBTS films on both Mo and Ta (Fig. 2). All the main peaks expected for trigonal CBTS¹³ are present using both back contacts, without preferential orientation effects. Peaks related to MoS_2 and TaS_2 crystallites with the *c*-axis perpendicular to the substrate plane are also observed below $15^\circ 2\theta$ in Fig. 2. As for the case of CSTS grown by the oxide precursor route,²⁵ we were not able to grow single-phase CBTS films even when employing stoichiometric precursors and/or lower sulfurization temperatures.

Although it was found previously that Sr_2SnS_4 secondary phases in CSTS could be etched by sonication in water,²⁵ we were not able to etch Ba_2SnS_4 in CBTS. The etchability of Sr_2SnS_4 was attributed to its large Na impurity content from the soda lime glass substrate. However, Na impurities in CBTS films are only detected at the surface (Fig. 1(c)) as in typical $\text{Cu}_2\text{ZnSnS}_4$ films,³⁰ and no Na-containing Ba_2SnS_4 phases could not be found by scanning electron microscopy (SEM) and EDX. While no experimental references could be found for the band gap of Ba_2SnS_4 , computational methods that tend to underestimate band gaps³² predict a band gap of 2.5 eV. Since CBTS has a lower band gap, Ba_2SnS_4 is not expected to cause carrier trapping, even though it may degrade carrier collection efficiency and series resistance in a solar cell.

The CBTS film surface morphology, both on Mo and on Ta, consists of densely packed crystal grains around 1 μm in size without apparent phase segregation (Fig. 3(a,b)). This observation is in agreement with the tendency of Cu to diffuse towards the top of the film and form stoichiometric CBTS, as noted above. Interestingly, the significant composition gradient across the film thickness does not result in an obvious morphology change from the top to the bottom of the film (Figs. 3(d,e)). Especially for the case of CBTS on Mo (Fig. 3(d)), individual crystal grains appear to span the whole film thickness, whereas some voids and smaller grains are visible for CBTS on Ta near the back interface (Fig. 3(e)).

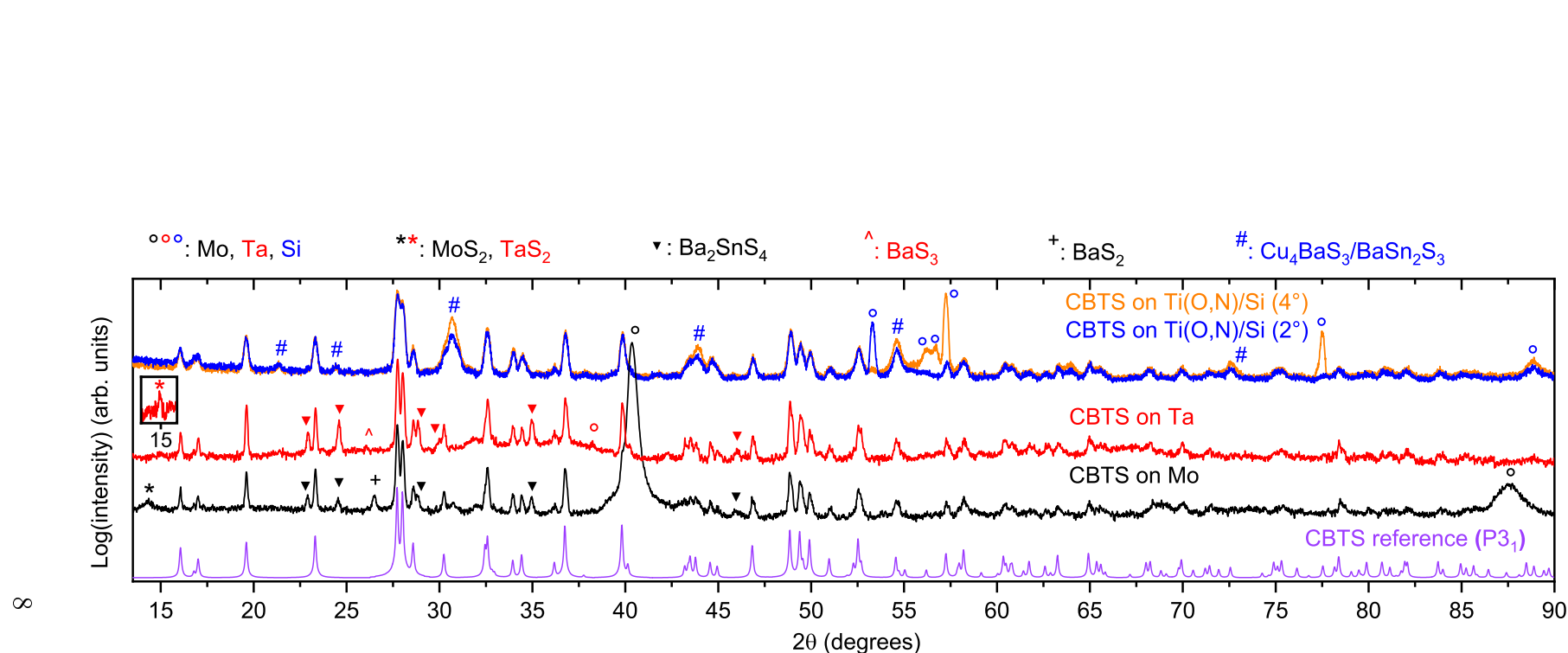


Figure 2: From bottom to top: reference XRD pattern of randomly-oriented trigonal CBTS (space group $P3_1$, collection code 52267 from the Inorganic Crystal Structure Database, ICSD);¹³ XRD patterns of two CBTS films on Mo and on Ta, taken with a standard Bragg-Brentano measurement geometry; XRD patterns of CBTS grown on a Ti(O,N) layer deposited on a Si bottom cell, taken with a grazing incidence geometry at 4° and 2° incidence angles. The larger width of the CBTS peaks on the Si bottom cell is due to additional instrumental broadening in the grazing incidence configuration. The peaks labeled with a downward triangle are attributed to Ba_2SnS_4 due to their small shift towards lower angles with respect to the Sr_2SnS_4 secondary phase observed in CSTS films (Fig. S2, Supporting Information). The peaks labeled with a hash could be attributed to both Cu_4BaS_3 and $BaSn_2S_3$ (Fig. S3, Supporting Information). The inset for the XRD pattern on Ta is a slower XRD scan around 15° 2θ , where the TaS_2 (001) reflection is expected (Fig. S4, Supporting Information).

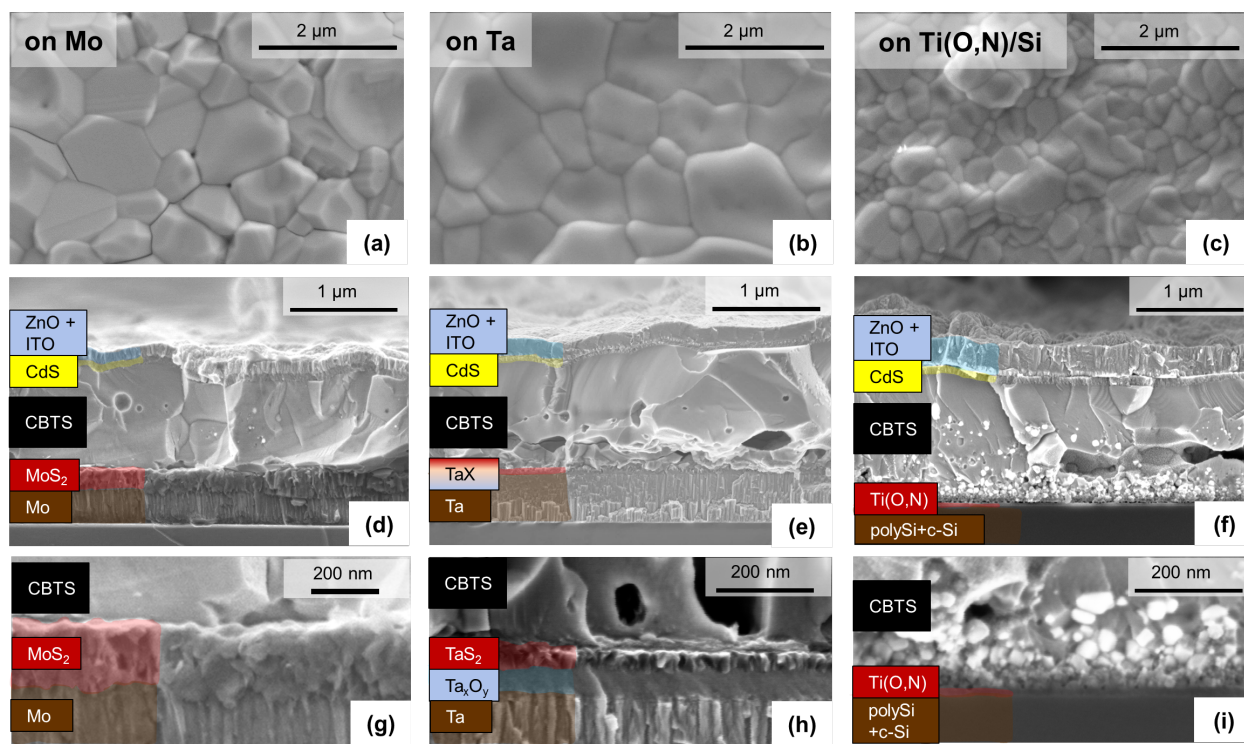


Figure 3: Top row: Top-view SEM images of CBTS films grown on Mo (a), Ta (b) and Ti(O,N)/polySi/c-Si (c). Middle row: Cross-sectional SEM images of single-junction CBTS solar cells with a Mo (d) or Ta (e) back contact, and of a tandem CBTS/Si solar cell with a Ti(O,N) recombination layer (f). Bottom row: high-resolution SEM images around the interface between CBTS and the Mo (g) or Ta (h) back contact or the Ti(O,N) recombination layer (i). The top part of the Ta back contact (h) reacts to form two thin layers identified attributed to TaS_2 (top) and a Ta oxide (bottom). Near the interface with Ti(O,N) (i) nanocrystalline secondary phases attributed to Cu_4BaS_3 and/or $BaSn_2S_3$ (Fig. S3, Supporting Information) are present.

Similarly to previous reports,⁵⁻⁷ the band gap of CBTS is found here to be around 2.0 eV by various experimental methods (Fig. 4). As noted by others,⁵⁻⁷ the room-temperature photoluminescence peak of CBTS is well aligned to its band gap with negligible Stokes shift (Fig. 4), unlike the case of the more thoroughly investigated CZTS absorber. Furthermore, the full width at half maximum (FWHM) of the PL peak is significantly narrower in CBTS than in CZTS (~ 80 meV for CBTS versus 200-250 meV for device-grade CZTS).¹⁹ It is concluded that the investigated optoelectronic properties of CBTS produced via the proposed oxide precursor route are overall similar to the previously reported properties of CBTS produced by other routes, despite the presence of some secondary phases. It has been argued^{7,12}

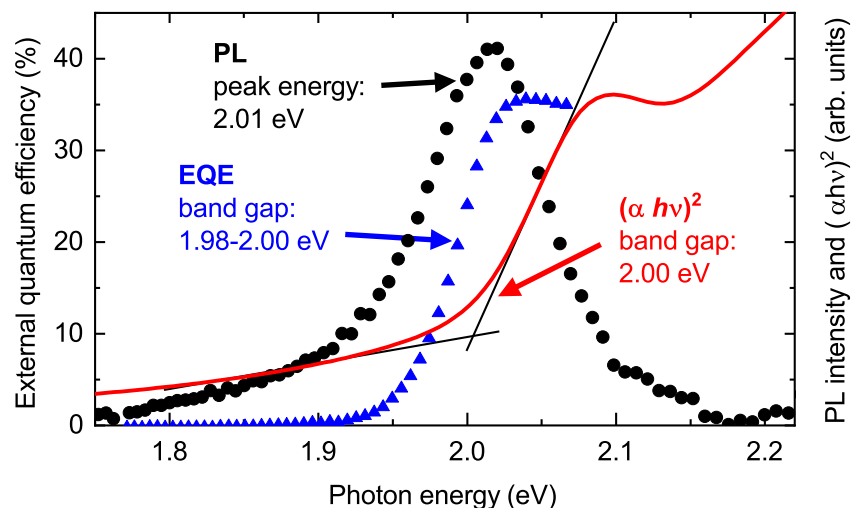


Figure 4: Direct band-gap Tauc plot of a CBTS film (α is the ellipsometry-determined absorption coefficient and $h\nu$ is the photon energy), EQE onset of a CBTS solar cell, and room-temperature PL of a CBTS film. Two band gap estimation methods are used for the EQE data, as shown in detail in Fig. S5, Supporting Information.

that the favorable PL features of CBTS may arise from the increase in the formation energy (i.e., lower concentration) of X_{Ba} and Ba_X defects compared to X_{Zn} and Zn_X defects ($X = Cu, Sn$) due to the large ionic radius mismatch between Ba^{2+} and both Cu^{1+} and Sn^{4+} . Although calculated defect formation energies confirm those arguments,¹² we emphasize that a clear link between experimental defect densities and PL features has not been established yet.

The performance of CBTS solar cells based on oxide precursors is compared in Table 1 to the performance of the highest-efficiency CBTS solar cell reporting the same Mo/CBTS/CdS/ZnO device architecture.⁶ While the short circuit current (J_{sc}) is slightly higher for the case of the oxide precursors, the open circuit voltage (V_{sc}) and fill factor (FF) are higher in the previous report. We assume that the very Cu-poor precursor composition, with the associated compositional gradients and Ba_2SnS_4 phase segregation, may play a role in such losses. Detailed characterization of CBTO-to-CBTS reaction and experiments with intermediate hold steps during sulfurization could help understand whether less Cu-poor precursors can be employed successfully under different sulfurization conditions.

CBTS solar cells with a Ta/TaS₂ back contact

The back contact typically employed in both CBTS and CZTS solar cells is Mo.^{6,7,9,19,33} While a Mo back contact has enabled efficiencies well above 20% for Cu(In,Ga)Se₂ solar cells,³⁴ a significant efficiency boost was reported for CZTS cells when Mo was replaced by a high work-function metal (Au) in combination with a high work-function hole transport layer (MoO₃).¹⁸ The improvement over the standard Mo back contact was mainly due to an improved FF, which was already believed to be limited by a Mo back contact barrier³⁵ possibly due to the formation of a thick MoS₂ layer during sulfurization.³³ A disadvantage of the Au/MoO₃ back contact is its instability at the typical sulfurization temperatures employed for CZTS and CBTS. Therefore, a rather complicated fabrication process had to be devised,¹⁸ involving lift-off of the CZTS absorber from its original Mo back contact and deposition of a new Au/MoO₃ on the exposed back surface. To avoid the lift-off step, we propose TaS₂ as a novel back contact for CBTS photoabsorbers, and possibly for other sulfide absorbers. Although a number of transition metal chalcogenides are stable high work-function metallic compounds,³⁶ they have not been investigated as hole contacts for chalcogenide photoabsorbers, with the exception of a couple of studies on VSe₂, TiSe₂, and NbSe₂ in CdTe solar cells.^{37,38} A number of compelling features are expected for TaS₂ as a hole contact to CBTS:

1. Unlike the semiconducting MoS₂, TaS₂ is a high work-function metallic compound at room temperature.³⁹ Its work function (5.2 eV for the 1T phase, 5.6 eV for the 2H phase)³⁶ matches the ~ 5.5 eV work function of p-type CBTS^{5,25} so Schottky-Mott theory predicts TaS₂ to be an ohmic hole contact for CBTS.⁴⁰
2. Similarly to the case of the standard Mo/MoS₂ back contact, a TaS₂ layer may be expected to form during sulfurization of CBTS when CBTS is deposited on a metallic Ta film. Therefore, impractical lift-off/redeposition processes are avoided.
3. TaS₂ is expected to be more stable than Au/MoO₃ during high-temperature sulfuriza-

tion because Ta is a much less diffusive species than Au, and because a sulfide back contact is the desired reaction product instead of a byproduct. Also, a back contact sharing the (sulfur) anion with the absorber prevents potential anion interdiffusion issues, which were found to be detrimental for, e.g., the CdTe/NbSe₂ system.³⁸

4. The room-temperature resistivities reported for 1T-TaS₂ and 2H-TaS₂ are $2 \times 10^{-3} \Omega \text{ cm}$ and $1 \times 10^{-3} \Omega \text{ cm}$ respectively.^{39,41} Although they are higher than typical resistivities of elemental metals and may be insufficient for lateral carrier transport, the unreacted Ta layer under TaS₂ could provide such transport.

To verify if the potential advantages listed above are actually realized, CBTS is grown on Ta and back contact characterization is performed. While a $\sim 200 \text{ nm}$ MoS₂ layer is formed on the Mo back contact (Figs. 2, 3(g)) similarly to CZTS solar cells,⁴² the case of a Ta back contact is more complex. Two intermediate layers are clearly visible between the Ta back contact and the CBTS absorber by SEM (Fig. 3(h)). The top layer is about 50 nm thick with similar morphology to the MoS₂ layer; the bottom layer is about 80 nm thick and amorphous in appearance. By combining XRD and XPS data, we assign the two layers to TaS₂ and to a Ta oxide respectively. The presence of TaS₂ is deduced from its (001) XRD peak observed in Fig. S4(a), Supporting Information and reproduced the inset of Fig. 2. Determining the crystal structure of the TaS₂ layer is not straightforward because the experimental (001) peak is weak and the 1T-TaS₂ and 2H-TaS₂ structures have similar interlayer spacing along the c-axis. Although the experimental peak matches the 1T-TaS₂ reference slightly better than the 2H-TaS₂ reference (Fig. S4(a), Supporting Information) 2H-TaS₂ is actually expected to be the most stable phase at annealing temperatures above 350°C.^{36,39} In fact, Ta films sulfurized at higher temperatures consist mostly of 2H-TaS₂ (Fig. S4(e), Supporting Information).

No XRD peaks related to Ta oxides could be identified, possibly due to an amorphous film structure (Fig. 3(h)). The presence of Ta_xO_y is however inferred from the XPS depth profile in Fig. 1(c), where an O signal appears together with the Ta signal once the CBTS

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3 films has been sputtered through. While the Ta_2O_5 stoichiometry is the most stable one
4 in the Ta-O system, other stoichiometries are possible especially in thin film systems.⁴³ We
5 assume that, during sulfurization, part of the oxygen in the CBTO precursors is exchanged
6 with sulfur and leaves the system in the gas phase, and another part oxidizes the top region of
7 the Ta film. Once the temperature is high enough and all the CBTO has been converted into
8 CBTS, the newly formed Ta_xO_y starts reacting as well, with subsequent formation of TaS_2
9 starting from the top. The top film in the $\text{Ta}_x\text{O}_y/\text{TaS}_2$ stack is thus likely to be TaS_2 , also
10 due to its similar morphology to the structurally-related MoS_2 and to previously reported
11 TaS_2 interlayers.⁴⁴

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21 The TaS_2 back contact is found to be stable for a few minutes at sulfurization tempera-
22 tures up to about 540°C . Under more aggressive sulfurization conditions, Ta starts diffusing
23 into CBTS according to EDX. The Ta diffusion process is accompanied by a visible color
24 change of CBTS from grey-red to grey-green, as well as by major morphology changes in the
25 CBTS film (Fig. S6, Supporting Information). On the other hand, no Mo interdiffusion is
26 detected for sulfurization temperatures up to 580°C , where fast SnS evaporation from CBTS
27 becomes the main issue limiting the maximum practical sulfurization temperature.²⁷

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35 As the TaS_2 film is buried under CBTS, it is difficult to characterize it further. Electrical
36 measurements of a TaS_2 film on Ta would also be affected by the underlying metallic layer.
37 Therefore, we perform additional characterization on a TaS_2 film on quartz obtained by fully
38 sulfurizing a Ta film at 950°C for 2 hours. This film consists of TaS_2 platelets (Fig. S7, Sup-
39 porting Information) mainly in the 2H- TaS_2 phase, with some 1T- TaS_2 inclusions according
40 to XRD (Fig. S4(a), Supporting Information). A Kelvin probe measurement in air imme-
41 diately after Ta sulfurization yields a work function of 5.1 eV, which is slightly lower than
42 in previous photoemission experiments of TaS_2 single-crystals in ultra-high vacuum³⁶ and
43 could be affected by adsorbed species. The TaS_2 sheet resistance, measured with a four-point
44 probe, is $140 \Omega/\text{sq}$. The corresponding resistivity of $9.8 \times 10^{-3} \Omega \text{ cm}$ is higher than in TaS_2
45 single crystals and single layers (in the low $10^{-3} \Omega \text{ cm}$ range),^{39,41} possibly due to the pres-
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Table 1: Photovoltaic device parameters of the single-junction and tandem CBTS solar cells presented in this work, compared to the highest efficiency CBTS cell with a Mo back contact and a CdS heterojunction partner known to the authors.⁶

Cell	η (%)	V_{oc} (V)	J_{sc} (mA/cm ²)	FF (%)
CBTS on Mo	0.98	0.55	5.4	33
CBTS on TaS ₂	1.09	0.55	5.0	40
Chen <i>et al.</i> ⁶	1.72	0.70	5.2	47
CBTS/Si tandem	0.006	0.86	0.0048	15

ence of grain boundaries and various defects in the thin-film material. Assuming that this TaS₂ layer alone was to provide lateral carrier transport at the back contact, its contribution to the series resistance of a solar cell⁴⁰ would still be acceptable (about 0.6 Ω cm²) for typical 0.1 cm² research cells like those fabricated in this study. However, the fill factor of larger cells would be severely degraded, as the lateral spreading resistance contribution to the series resistance of a solar cell scales linearly with area.⁴⁵ Also, the TaS₂ film formed in the CBTS solar cell is significantly thinner than the TaS₂ film used for electrical measurements, so its sheet resistance may be significantly larger. We then conclude that the unreacted Ta layer (typical sheet resistance: 2 Ω /sq) is likely to play an important role in providing a low-resistance path to lateral transport in real solar cells. Although the Ta_xO_y layer sandwiched between Ta and TaS₂ might not affect the performance of small cells relying on TaS₂ for lateral transport, it would probably be detrimental for larger cells, since Ta₂O₅ is known to be a very poor conductor.⁴⁶ To prevent formation of Ta_xO_y, it may be advantageous to pre-sulfurize the Ta layer before CBTO deposition, or employ non-oxide CBTS precursors.

To compare the photovoltaic performance of CBTS with Mo and TaS₂ back contacts, solar cells are fabricated with nominally identical CdS/ZnO/ITO electron contacts. As mentioned above, the sulfurization conditions on Ta are constrained by Ta interdiffusion, so the highest-efficiency solar cells are obtained by sulfurization at 540°C for 5 min. For the case of CBTS on Mo, the best cells are obtained by sulfurization at 550°C for 30 min using more Cu-poor CBTO precursors (Fig. 1(a)). Current density-voltage (JV) curves and external

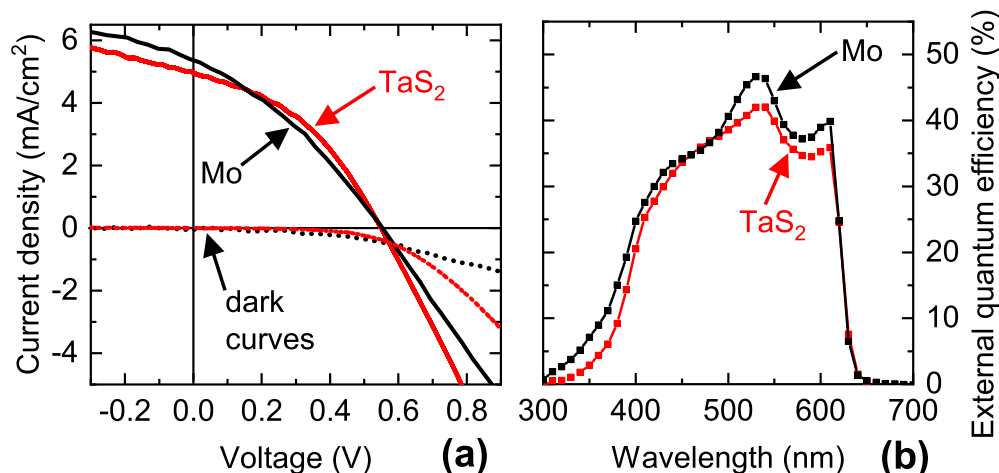


Figure 5: (a): Current density-voltage curves of the highest-efficiency CBTS solar cells on Mo and on TaS₂, measured in the dark and under 1-sun illumination. (b): External quantum efficiency (EQE) of the same cells.

quantum efficiency (EQE) spectra of the best solar cells on Mo and on TaS₂ are shown in Fig. 5. Photovoltaic parameters of the best cells are listed in Table 1 and statistical data is presented in Fig. 6. The main difference between the two back contacts is the consistently higher FF achieved with TaS₂ (Fig. 6(d)). As for the case of the Au/MoO₃ back contact developed for CZTS cells¹⁸ the FF improvement can be primarily attributed to a decrease in series resistance (Fig. 5(a)). A possible reason for the lower series resistance is the direct contact of CBTS with a metallic material (TaS₂) having a higher conductivity than MoS₂ by roughly 5-6 orders of magnitude.^{39,41,47} V_{oc} is identical in the two best cells, with slightly higher average values obtained with TaS₂ (Fig. 6(c)). On the other hand, J_{sc} is consistently lower for the TaS₂ back contact (Fig. 6(b)). A possible explanation is the increased presence of voids and secondary phases when CBTS is grown on Ta (Figs. 2, 3(e)), causing increased recombination independently of the applied voltage. As the FF gain is larger than the J_{sc} loss, the TaS₂ back contact yields a slightly higher efficiency than the conventional Mo back contact (Fig. 6(a)).

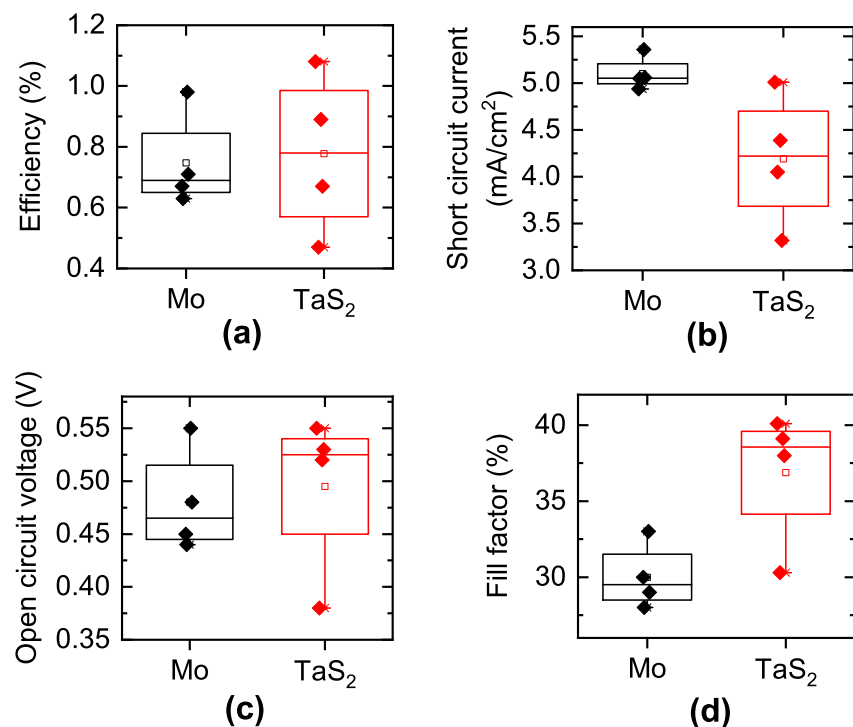


Figure 6: Efficiency (a), short circuit current (b), open circuit voltage (c) and fill factor (d) of the four solar cells present on the chip containing the highest-efficiency cell for each back contact type.

CBTS/Si tandem solar cell

Only very few experimental realizations of monolithic tandem cells employing any chalcogenide absorber on top of silicon have been reported.^{20–23} Although CBTS technology is not sufficiently mature for realizing efficient tandem cells, it is of interest to examine potential compatibility issues with a Si bottom cell and evaluate prospective recombination layers between the two subcells. For those reasons, fabrication of a monolithic CBTS/Si tandem solar cell is attempted. The full fabrication process is detailed in the Supporting Information. Briefly, the silicon bottom cell (Fig. 7) consists of an n-type crystalline Si (c-Si) absorber passivated by a tunnel oxide (1.2 nm SiO₂) on each side, with highly n- and p-type doped polycrystalline Si layers (40 nm n+ and p+ polySi) as electron- and hole contacts respectively. The choice of material to be used as a recombination layer between the Si bottom cell and the CBTS top cell is not trivial. The ideal material must possess a reasonably high

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3 conductivity and high optical transmission at photon energies between the Si and the CBTS
4 band gaps, it must be stable in a sulfur-containing atmosphere above 500°C, and it must
5 prevent contamination of the Si wafer with Cu, Ba, Sn, and S. In view of its good thermal
6 stability, transparency and conductivity, FTO could be a suitable option, especially because
7 single-junction CBTS solar cells on FTO have been demonstrated before.⁵ Nevertheless, our
8 attempts to grow CBTS on FTO failed due to nearly complete intermixing of the two mate-
9 rials during sulfurization (Fig. S8, Supporting Information). We speculate that intermixing
10 might be facilitated when both species (FTO and CBTO) are oxides, compared to the case
11 of CBTS precursors in sulfide form.

21 As an alternative recombination layer material, we employ a thin Ti(O,N) layer deposited
22 by atomic layer deposition (ALD) as proposed recently.²² TiN is a well-known diffusion bar-
23 rier in Si-based technology⁴⁸ and has been employed as a back contact/diffusion barrier in
24 CZTS solar cells.^{29,33,49,50} As TiN is a metallic compound, its optical transmission is in-
25 herently low and could severely limit the photon flux reaching the silicon subcell. Thus,
26 a thin (25 nm) Ti(O,N) layer with $N/(O+N) \sim 0.4$ is employed to ensure a high average
27 transmission of 85% between the band gap energies of Si and CBTS (Fig. S9, Supporting
28 Information). CBTO precursors with the same composition as in the highest-efficiency cells
29 on TaS₂ (Fig. 1(a)) are then deposited on Ti(O,N), sulfurized at 540°C for 5 min, and coated
30 with the CdS/ZnO/ITO top layers as in the single-junction cells. Higher sulfurization tem-
31 peratures and longer times are avoided in an attempt to minimize damage to the Si bottom
32 cell. Finally, a Ag back contact is sputter-deposited on the back side. More fabrication
33 details are given in the Supporting Information.

47 Grazing-incidence XRD patterns of a CBTS film on Ti(O,N)/Si (Fig. 2) show trigonal
48 CBTS as the main phase, with some Si peaks from the substrate, as well as several peaks from
49 secondary phases. However, peaks from the Ba₂SnS₄ secondary phase that was predominant
50 for the case of the Mo and TaS₂ back contacts are absent. Instead, the spurious peaks are
51 broader and more intense, and can be attributed to Cu₄BaS₃ or BaSn₂S₃, or both (Fig. S3,
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Supporting Information). By increasing the incidence angle in grazing-incidence XRD (larger analysis depth), the relative intensity of the spurious peaks increases with respect to the CBTS peaks (Fig. 2), indicating that the secondary phases are present in larger quantities at the bottom of CBTS. This is confirmed by cross-sectional SEM images, as nanocrystalline secondary phases are clearly visible near the Ti(O,N)/CBTS interface in Fig. 3(i). Secondary phases with Cu_4BaS_3 stoichiometry are also confirmed by point EDX spectra for the rare cases in which those phases are visible from top-view SEM images (Fig. S10, Supporting Information). The main difference between growing CBTS on a SLG substrate and on a Si substrate is that no Na is available to assist CBTS growth in the latter case. The lack of Na probably plays a role³⁰ in the smaller grain size observed for CBTS in the tandem structure (Fig. 3(c)). Since Na is known to aid the crystallization process in related materials³⁰ and a Cu-rich ternary phase is not expected in a strongly Cu-poor film, it is possible that the back side of the film needs a higher temperature or longer time for the CBTS-forming reaction to be completed in the absence of Na. In fact, the film morphology in Fig. 3(f) is similar to the morphology of a CSTS film grown by the same method but sulfurized at a lower temperature (520°C) in the presence of Na.²⁵

The presence of Cu_4BaS_3 and/or BaSn_2S_3 phases may be an important factor behind the poor performance of the CBTS/Si tandem cell (Fig. 7). Unlike the Ba_2SnS_4 phase found in the single-junction cells, Cu_4BaS_3 has a lower band gap than CBTS (1.8 eV versus 2.0 eV)⁵¹ and may therefore act as a trap for the carriers generated in CBTS. BaSn_2S_3 may cause similar effects, although the only reported band gap (1.3 eV) was calculated with a method prone to band gap underestimation.³² The V_{oc} of the tandem cell (0.86 V) indicates that a (poor) series connection between the top and bottom cell has been established, since the value is higher than the V_{oc} expected for each individual cell based on the single-junction cell results (Table 1). The tandem cell exhibits an extremely pronounced roll-over effect with a very small photocurrent under forward bias and a rapidly increasing photocurrent under reverse bias (Fig. 7). A similar effect was observed for CZTS tandem cells with the same

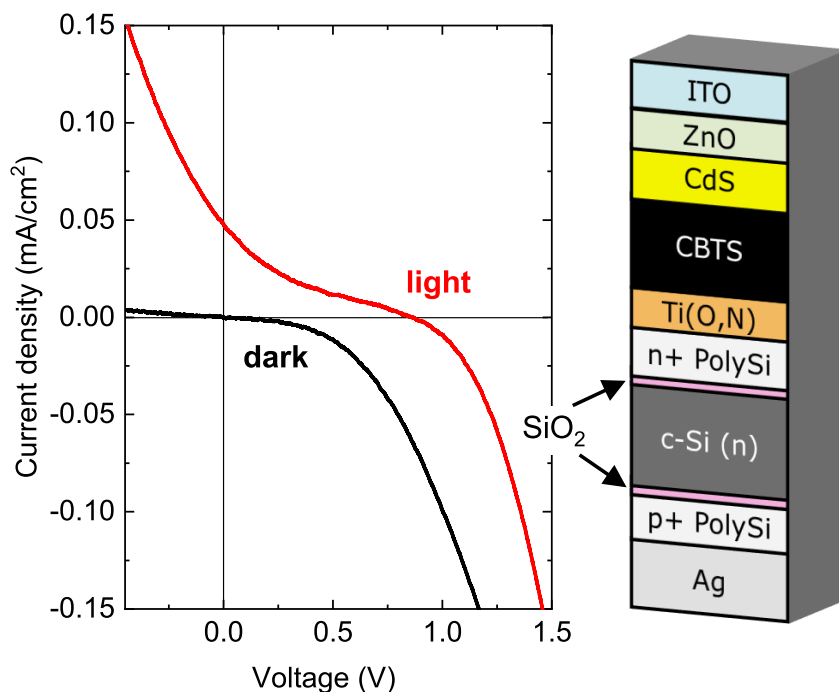


Figure 7: Device architecture and current density-voltage curves of a CBTS/Si tandem solar cell, measured in the dark and under 1-sun illumination.

device structure.²² As a consequence, both J_{sc} and FF are very low and the efficiency is negligible. The roll-over effect is usually attributed to a barrier to carrier transport⁵² which, in the case of the present CBTS/Si tandem, must be so large that it can only be partially overcome under reverse bias. Two explanations may be plausible here: (i) photogenerated holes near the bottom of the CBTS film are trapped in the $\text{Cu}_4\text{BaS}_3/\text{BaSn}_2\text{S}_3$ phases and can only escape under a high electric field; (ii) there is a barrier at the Ti(O,N)/PolySi interface or at the Ti(O,N)/CBTS interface. We note that the pure oxide TiO_2 is typically used as an *electron* contact, even for CBTS devices,^{10,53} so the Ti(O,N)/CBTS *hole* contact may be the most likely location of a barrier to carrier transport.

While the overall performance of the present CBTS/Si tandem cell is severely limited by very poor photocurrent collection, a few simple changes in the fabrication process might result in significant improvement. Limited grain growth and unexpected secondary phases in CBTS may be prevented by intentional inclusion of a thin Na-containing layer before sulfu-

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3 rization, as already demonstrated for CBTS single-junction cells⁵ and for CZTS/Si tandem
4 cells.²³ The barrier to carrier transport might be mitigated by decreasing the oxygen content
5 of Ti(O,N), although the layer would probably have to be kept thinner to avoid transmission
6 losses due to the metallic character of TiN. Encouragingly, detailed characterization²² has
7 shown that the minority carrier lifetime of the bottom Si cell is nearly unaffected by the
8 sulfurization process even for very thin TiN films.
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18 Conclusion

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20 Sulfurization of reactively sputtered $\text{Cu}_2\text{BaSnO}_4$ precursors was shown to be a viable pro-
21 cess route for the growth of $\text{Cu}_2\text{BaSnS}_4$ photovoltaic absorbers, circumventing the need for
22 ceramic targets and the introduction of sulfur in vacuum system. Complete substitution
23 of oxygen by sulfur and formation of the trigonal CBTS crystal structure were achieved at
24 around the same sulfurization temperature as for the case of sulfide/metallic starting pre-
25 cursors. The grain size, band gap and PL features were similar to previously reported CBTS
26 films from sulfide/metallic sputtered precursors. Surprisingly, the composition of the CBTO
27 precursors giving the most efficient solar cells was more Cu-poor than the desired composi-
28 tion of the final CBTS films, especially at high sulfurization temperatures and long times.
29 Consequently, Ba_2SnS_4 secondary phases were observed in the bottom half of the film. CBTS
30 solar cells from oxide precursors achieved the same J_{sc} as the current state-of-the-art CBTS
31 solar cells, but lower V_{oc} and FF. The high work-function metallic compound TaS_2 was
32 proposed as a possible replacement for the standard Mo back contact in CBTS solar cells.
33 Interestingly, cells on TaS_2 yielded slightly higher efficiencies than cells on Mo, mostly due to
34 an improved FF and series resistance. The oxide precursor route to CBTS synthesis might,
35 however, not be optimal in conjunction with a TaS_2 back contact due to the risk of formation
36 of an insulating Ta_xO_y interlayer. Finally, fabrication of a monolithic tandem cell with a
37 CBTS top absorber and a Si bottom absorber was attempted, using a thin Ti(O,N) recombi-
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3 nation layer/diffusion barrier between the two subcells. When growing the top absorber on
4 the Si cell at 540°C without added Na, the main product was the desired CBTS. However,
5 the resulting secondary phase mix is expected to be more detrimental than in single-junction
6 cells, and it possibly points to an incomplete reaction. Although the tandem cell showed
7 a higher V_{oc} than the individual Si and CBTS cells, FF and especially J_{sc} were very low
8 due to an extremely voltage-dependent photocurrent. A barrier to the photocurrent appears
9 to exist in the device, due to trapping in the lower band-gap secondary phases and/or at
10 Ti(O,N) interfaces.
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21 Supporting Information

22 Extended experimental details, basic characterization of CBTO precursors, additional XRD
23 patterns of CBTS, CSTS, and TaS₂, CBTS band gap estimation, additional SEM images,
24 optical transmission spectrum of Ti(O,N), identification of secondary phases in CBTS grown
25 on the Si bottom cell.
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37 Denmark (grant no. 6154-00008A).
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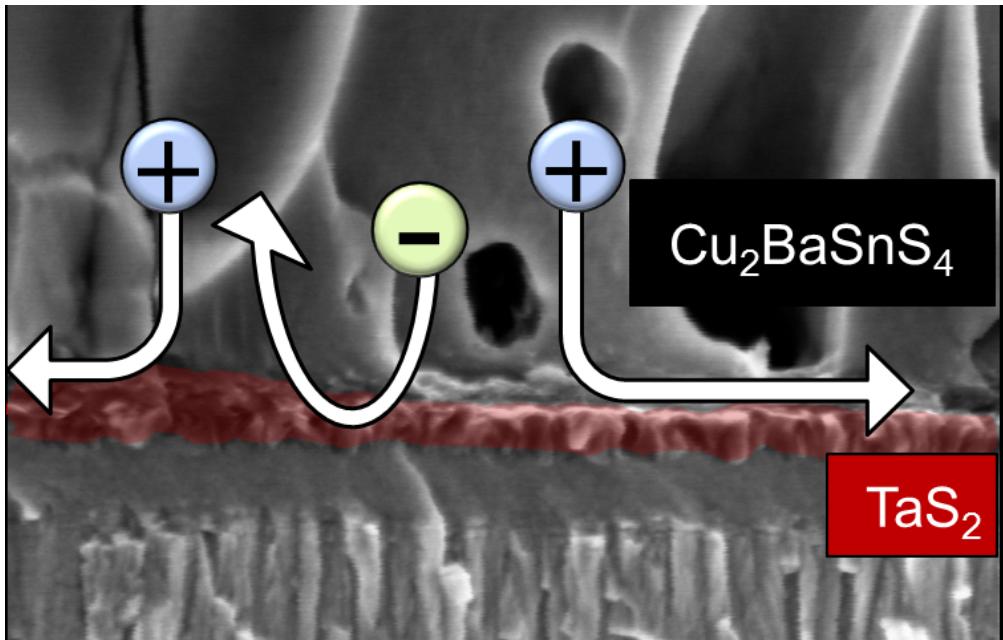
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