



## Oxide route for production of $\text{Cu}_2\text{ZnSnS}_4$ solar cells by pulsed laser deposition

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Ole Hansen; Jørgen Schou; Stela Canulescu

Abstract: In this work, we have investigated Cu<sub>2</sub>ZnSnS<sub>4</sub> (CZTS) solar cells  
made from oxide, oxy-sulfide and sulfide precursors produced by pulsed  
laser deposition (PLD). Although sulfide precursors are widely used to  
fabricate CZTS solar cells, Sn loss is commonly observed due to the high  
volatility of Sn<sub>x</sub>S<sub>y</sub> species during high temperature sulfurization. This  
can lead to a non-ideal absorber composition and a high density of  
detrimental Sn-related defects that severely affect the performance of  
the device. By using oxide precursors, we have shown that the Sn loss can  
be significantly reduced due to the higher thermal stability of Sn<sub>x</sub>O<sub>y</sub>  
species when compared to their sulfide counterparts. However, the  
reaction mechanism for the oxide route results in rough CZTS films. We  
hypothesize that the SO<sub>2</sub> gas that forms during the conversion from oxide  
to sulfide is trapped in the film during sulfurization, and can lead to  
grains with hollow cavities and thus increase the surface roughness.  
Therefore, we have developed an annealing route for the oxide precursors  
at lower annealing pressures, which leads to improved film morphology and  
device performance. As a result, we report a power conversion efficiency  
of 5.4% for solar cells made from oxide precursors. This is the highest  
value reported for a CZTS absorber produced by PLD.

Research Data Related to this Submission

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Title: Oxide route for production of Cu<sub>2</sub>ZnSnS<sub>4</sub> solar cells by Pulsed  
Laser Deposition

Repository: Mendeley Data

<https://data.mendeley.com/drafts/>

## Highlights

- CZTS was prepared by sulfurization of oxide, oxy-sulfide and sulfide precursors;
- The effect of annealing conditions on the performance of CZTS was investigated;
- Annealing of the oxides at low pressure is beneficial as it reduces roughness;
- SnO<sub>x</sub>S<sub>y</sub> layer forms at the back contact after sulfurization at high pressure;
- CZTS cells fabricated from oxides show the highest efficiency made by PLD (5.4%);

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3 *Oxide route for production of  $\text{Cu}_2\text{ZnSnS}_4$  solar cells by Pulsed*  
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52 **Keywords**  
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54  $\text{Cu}_2\text{ZnSnS}_4$  solar cells; Sn loss; Pulsed Laser Deposition; Oxide precursors; Oxy-sulfide  
55 precursors; Sulfide precursors  
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# Abstract

In this work, we have investigated  $\text{Cu}_2\text{ZnSnS}_4$  (CZTS) solar cells made from oxide, oxy-sulfide and sulfide precursors produced by pulsed laser deposition (PLD). Although sulfide precursors are widely used to fabricate CZTS solar cells, Sn loss is commonly observed due to the high volatility of  $\text{Sn}_x\text{S}_y$  species during high temperature sulfurization. This can lead to a non-ideal absorber composition and a high density of detrimental Sn-related defects that severely affect the performance of the device. By using oxide precursors, we have shown that the Sn loss can be significantly reduced due to the higher thermal stability of  $\text{Sn}_x\text{O}_y$  species when compared to their sulfide counterparts. However, the reaction mechanism for the oxide route results in rough CZTS films. We hypothesize that the  $\text{SO}_2$  gas that forms during the conversion from oxide to sulfide is trapped in the film during sulfurization, and can lead to grains with hollow cavities and thus increase the surface roughness. Therefore, we have developed an annealing route for the oxide precursors at lower annealing pressures, which leads to improved film morphology and device performance. As a result, we report a power conversion efficiency of 5.4% for solar cells made from oxide precursors. This is the highest value reported for a CZTS absorber produced by PLD.

## 1. Introduction

$\text{Cu}_2\text{ZnSnS}_4$  (CZTS) is a promising p-type solar cell absorber material that has a nearly ideal bandgap for single junction solar cells ( $\sim 1.5$  eV) and a high absorption coefficient[1–4]. It consists of earth abundant and non-toxic elements. The CZTS solar cells have reached an efficiency of 11%[5] and the  $\text{Cu}_2\text{ZnSn}(\text{S},\text{Se})_4$  (CZTSSe) solar cells an efficiency of 12.6%[6]. The challenges associated with the moderate efficiency of the kesterite devices include low

1 open circuit voltage ( $V_{OC}$ ), which can stems from a large density of Sn- and S-related deep  
2 level defects[7–9].  
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4 Most of the CZTS absorbers are made from metallic or sulfide precursors. This is a logical  
5 choice as there are no foreign elements included in these compound, such as oxygen, and the  
6 conversion into a sulfide material is easy[10]. Nevertheless, due to the volatile nature of  $Sn_xS_y$   
7 species, the final composition of the film after conversion will likely have less Sn compared to  
8 the initial composition[11,12]. It has been shown that adding a small amount of Sn powder  
9 during annealing process improves the overall performance of the solar cells[13]. Alternatively,  
10 one can employ oxide compounds as a precursor for the fabrication of CZTS devices. In this  
11 case, oxides are advantageous over their sulfide counterparts due to their high temperature  
12 stability. In addition, they can be used for an additive-free solution processing route that can  
13 be processed on large scale[10]. In a solution-processing route, solvents and additives typically  
14 contain carbon and halogens. Removing these species can be difficult, and their incomplete  
15 removal can hamper the device performance. When using oxide precursors, it is possible to use  
16 pre-annealing in air to effectively burn out and thus remove remaining organic species and  
17 halogens. Combustion occurs when organic material is heated in air, and it will leave the films  
18 with less carbon residues[14,15]. Moreover, pre-annealing of oxides in air could be a low-cost  
19 and relatively environmental-friendly process[10,15]. This approach may open new  
20 opportunities for the mass production of high quality CZTS films made from a low-cost  
21 solution-processing path.  
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48 However, there are only a few cases in which CZTS made from oxide precursors have been  
49 studied. Washio et al. have reported on a 6% efficient CZTS solar cell by an open atmosphere  
50 type chemical vapor deposition method, which is the highest efficiency of a cell made from  
51 oxide precursors[16]. Jin et al. have reported on CZTS solar cells made by pulsed laser  
52 deposition (PLD) from oxide precursors, and achieved an efficiency of 4.94%[17]. They also  
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1 studied the formation mechanism of CZTS from an oxide powder and observed that SO<sub>2</sub> gas is  
2 formed during sulfurization[14]. First principles simulation suggest that the Cu<sub>2</sub>ZnSnS<sub>x</sub>O<sub>4-x</sub>  
3 (CZTSO) alloy might exist[18], but to the best of our knowledge, this has not been validated  
4 yet. While the possibility of tuning the band gap by varying the S/O ratio may enable the use  
5 of CZTSO in tandem solar cell configurations[19,20], oxygen alloying of CZTS remains to be  
6 demonstrated. PLD is an emerging technique for the synthesis of chalcogenide materials and it  
7 allows deposition of compounds with complex stoichiometry, while providing a fine control  
8 over the deposition rate. PLD relies on nearly stoichiometric transfer of the ablated material  
9 from a target to a substrate on a typical time scale of microseconds after the laser pulse[21].  
10 The plasma plume features species with kinetic energies of tens of eV[22], which upon landing  
11 on the substrate leads to the formation of a uniform film (“the precursor film”). It is worth  
12 noting that pulsed laser deposition can lead to a pronounced decrease in the optical transmission  
13 of the laser window in the PLD system, resulting in a significant reduction of the fluence[23].  
14 In this study, we have investigated the formation mechanism of CZTS from oxide precursors  
15 produced by PLD. We find that CZTS grains with hollow cavities, a kind of bubble-like  
16 structure, were formed when oxide precursors were used. The burst of these bubbles results in  
17 a non-compact film which affects device performance. We hypothesize that the release of SO<sub>2</sub>  
18 during the sulfurization process is the main reason for the non-compact films, and that it  
19 potentially could be controlled by changing the annealing pressure. By studying the influence  
20 of the N<sub>2</sub> pressure on the sulfurization process, we show that a low pressure results in a more  
21 compact film and a complete sulfurization of the oxides. Finally, we compare solar cells made  
22 from oxide, oxy-sulfide and sulfide precursors.

## 2. Experimental

### 2.1 Materials and device fabrication

1 Oxide, oxy-sulfide and sulfide precursors were grown by PLD, using a procedure described in  
2 previous studies[23,24]. The oxide (from Haldor Topsøe), oxy-sulfide (from Haldor Topsøe),  
3 and sulfide targets (from Testbourne Ltd) made of oxide and sulfide binary compounds with  
4 metal ratios of Cu/(Zn+Sn)~0.5 and Zn/Sn~1.1 and O/(O+S) ratios of 1, 0.3 and 0, respectively,  
5 were used. The targets were ablated by focusing a laser beam from an excimer laser (248 nm  
6 KrF, 20 ns FWHM and 15 Hz repetition rate) onto a spot area of 1.7 mm<sup>2</sup> at an angle of  
7 incidence of 45°. The average fluence was 2 J/cm<sup>2</sup>. To avoid the previously mentioned decrease  
8 in optical transmission of the window and maintain a relatively constant fluence during  
9 deposition, an intelligent window (from PVD products) consisting of a rotating UV-graded  
10 silica disc in vacuum was used. The disc acts as a sacrificial window between the laser window  
11 and the plasma plume, which rotates during deposition exposing always a new optical surface.  
12 The typical deposition rates for oxide, oxy-sulfide and sulfide were 4.1, 7.3 and 8.7 nm/min,  
13 respectively, and the time for a deposition varied from 2 to 4 hours (at a laser frequency of 15  
14 Hz). This suggests that the ablation yield of sulfides is much higher than that of oxides,  
15 presumably due to their highly volatile components. The precursor films were deposited in  
16 vacuum, with a base pressure below 10<sup>-6</sup> mbar, on 2.5 × 2.5 cm<sup>2</sup> Mo-coated soda lime glass  
17 substrates (from the Center for Solar Energy and Hydrogen Research Baden-Wuerttemberg,  
18 ZSW). All films were grown at room temperature to minimize sulfur loss for the targets  
19 containing sulfur. The precursor films were cut into 1.25 × 1.25 cm<sup>2</sup> and placed in a graphite  
20 box with 120 mg S (99.998%, Sigma-Aldrich) and 5 mg Sn powder (≥99%, Sigma-Aldrich)  
21 and loaded into a tube furnace evacuated to a base pressure below 10<sup>-2</sup> mbar. The precursors  
22 were then subjected to a two-step annealing procedure in nitrogen atmosphere: first, the  
23 samples were heated to 200 °C for 30 min under a flow of 1.5 mbar N<sub>2</sub>, followed by heating to  
24 different temperatures of 540 °C, 560 °C and 580 °C for 30 min. For the morphological study  
25 for the oxide precursors, we have employed temperatures of 540°C and 560°C (Table 1) and



1 the rest of the samples, including oxide precursors for solar cells, were annealed at 580 °C. The  
2 nitrogen pressure in the high temperature annealing step was varied from 50, 250, 500 to 1000  
3 mbar for comparing the oxide, oxy-sulfide and sulfide routes. The temperatures and nitrogen  
4 pressures in the second step of the annealing are listed in Table 2. Additional samples for each  
5 precursor were annealed at a pressure of 250 mbar without intentionally added Sn powder. To  
6 enable Raman measurements of CZTS from the backside, an additional oxide precursor film  
7 was deposited on sapphire substrate and annealed at 250 mbar, 580 °C for 30 min. The final  
8 thickness of the annealed CZTS layer is around 1 μm. After the annealing, the absorbers were  
9 etched in a (NH<sub>4</sub>)<sub>2</sub>S solution[25] at room temperature for 5 min. Chemical bath deposition was  
10 used to produce a 65 nm CdS buffer layer. Finally, full solar cells were finished by depositing  
11 a 50 nm i-ZnO layer and 350 nm Al-doped ZnO layer as window layers by sputtering using a  
12 procedure described elsewhere[26]. All samples were post annealed at 250 °C for 1 min and  
13 cooled with the rate of 1 °C per min in N<sub>2</sub> atmosphere.

## 31 **2.1 Characterization methods**

32 Raman and photoluminescence (PL) measurements were done on finished solar cells using a  
33 Renishaw inVia Reflex confocal Raman microscope equipped with two excitations sources: a  
34 diode-pumped solid-state laser at 532 nm and a diode laser at 785 nm. The laser beam was  
35 focused onto the sample with a VIS-NIR 50× objective (NA 0.75). The scattered light was  
36 collected by the same objective lens and dispersed using a Renishaw inVia Reflex Spectrometer.  
37 Either a grating with 1800 grooves/mm or 2400 grooves/mm was used. X-ray diffraction (XRD)  
38 patterns were obtained in Bragg-Brentano configuration on a Bruker D8 diffractometer.  
39 Scanning electron microscopy (SEM) images were obtained by a Merlin field emission electron  
40 microscope from Carl Zeiss at 5 kV acceleration voltage with a HE-SE2 detector. Energy  
41 dispersive x-ray spectroscopy (EDX) measurements were done by TM3000 tabletop  
42 microscope from Hitachi at 15 kV electron acceleration voltage in order to measure the  
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1 elemental composition of the precursors, absorbers and PLD targets. External quantum  
 2 efficiency (EQE) measurements were performed in a QEXL system from PV measurements on  
 3 finished cells. Current density-voltage (JV) measurements were done with a Newport Sol2A  
 4 Class ABA steady state solar simulator under standard test conditions, calibrated with a Si  
 5 reference cell.  
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 13 **Table 1.** Annealing parameters used for the oxide to sulfide conversion for morphological  
 14 study. The S powder was kept constant at 120 mg.  
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Precursor type	Annealing N <sub>2</sub> pressure (mbar)	Annealing temperature (°C)
Oxide	250, 500, 1000	540
	250	560

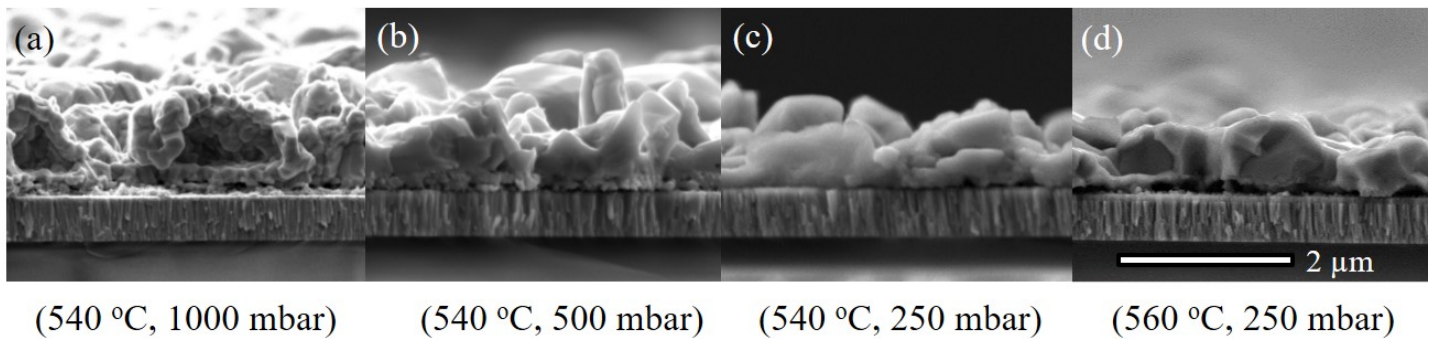
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 27 **Table 2.** Overview of the annealing parameters used for CZTS absorbers prepared via the oxide,  
 28 oxy-sulfide and sulfide routes. All samples were annealed at 580°C. The S powder was kept  
 29 constant at 120 mg.  
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Precursor type	Annealing N <sub>2</sub> pressure (mbar)	Sn powder during annealing (mg)
Oxide	50, 250, 500, 1000	5
	250	-
Oxy-sulfide	50, 250, 500, 1000	5
	250	-
Sulfide	50, 250, 500, 1000	5
	250	-

### 3. Results and discussion

#### 3.1 Morphological studies of oxide precursors

1 The cross-sectional and the top view SEM images of the oxide precursors sulfurized under  
2 different conditions are shown in Fig. 1 and Fig. S2, respectively. The elemental composition  
3 of the oxide precursors is given in Fig. S1. When oxide samples are annealed under the typical  
4 annealing conditions used for sulfide precursors (Fig. 1 (a), 540 °C, 1000 mbar), the films  
5 exhibit a high roughness. The image reveals the formation of bubble-like structures and a small-  
6 grained layer at the CZTS/Mo interface. By decreasing the N<sub>2</sub> pressure during sulfurization to  
7 500 and 250 mbar as in Fig. 1 (b) and (c), respectively, results in a significant change in  
8 morphology and a reduction of the bubble-like features. Furthermore, a small increase in the  
9 annealing temperature from 540 °C to 560 °C at 250 mbar results in a more uniform films with  
10 larger grains, as shown in Fig. 1 (d). However, the small-grained interface layer persisted even  
11 at 560 °C.

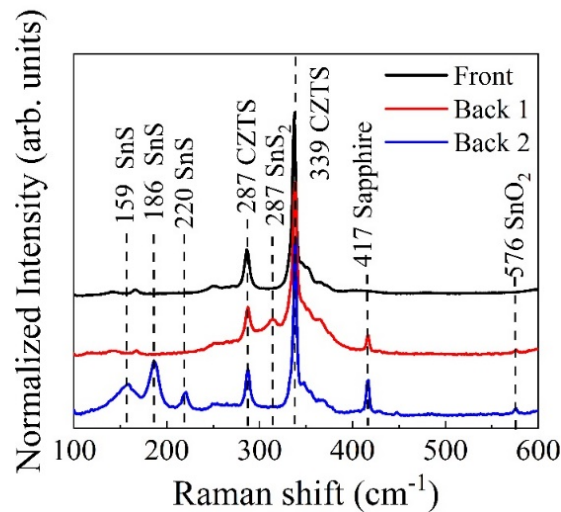


35 **Fig. 1.** Cross-sectional SEM images of oxide precursors sulfurized at various temperatures and N<sub>2</sub>  
36 pressures: (a) 540 °C, 1000 mbar, (b) 540 °C, 500 mbar, (c) 540 °C, 250 mbar and (d) 560 °C, 250 mbar.

37 **The scale bar applies to all images.**

38 In order to understand the formation of the small-grained layer at the back of CZTS, Raman  
39 scattering measurements were performed on an oxide precursor annealed on a sapphire  
40 substrate (Fig. 2). The oxide precursor was annealed at 250 mbar and 580 °C with 5 mg Sn  
41 powder. Raman spectra were measured from the front and back of CZTS (through the sapphire  
42 substrate). CZTS, SnS, SnS<sub>2</sub>[27–29] and SnO<sub>2</sub> phases were identified at the back of the  
43 absorber layer[30–32], while the front surface only shows the CZTS phase. Due to the limited  
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1 Raman information depth in CZTS (~100 nm for an excitation wavelength of 532 nm),  
 2 measurements only show surface local phases[28]. A comparison between Raman spectra  
 3 "Back 1" and "Back 2" taken at different positions on the backside of CZTS indicate that the  
 4 tin sulfide/oxide small-grained layer is not continuous. Furthermore, our findings reveal an  
 5 tin sulfide/oxide small-grained layer is not continuous. Furthermore, our findings reveal an  
 6 incomplete sulfurization of the oxide precursors.  
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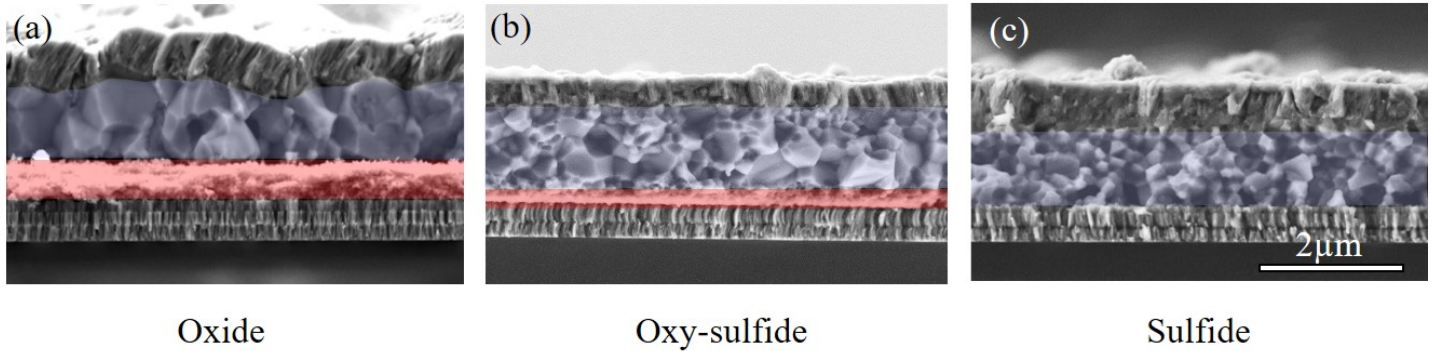


34 **Fig. 2.** Raman spectra ( $\lambda=532$  nm) of CZTS produced from oxide precursor on a sapphire substrate  
 35 measured from the front (bare absorber surface) and backside (through the sapphire substrate) at two  
 36 positions.  
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### 42 **3.2 Comparison between sulfurization of oxide, oxy-sulfide and sulfide precursors**

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 44 Fig. 3 shows the cross-sectional SEM images of solar cells based on oxide, oxy-sulfide and  
 45 sulfide precursors annealed at 250 mbar, 580 °C for 30 min. As the oxygen content in the  
 46 precursor increases, an increase in grain size is observed. Moreover, the grain size increase is  
 47 independent of annealing pressure at 580 °C (not shown). Several studies have reported an  
 48 enhancement in grain size under oxygen-annealing conditions, e.g. by employing open air  
 49 oxidation of CZTS nanoparticles[33], by adding a MoO<sub>2</sub> layer on the back contact[34], or by  
 50 adding a Na<sub>2</sub>MoO<sub>4</sub> layer on the back contact[35]. The SnO<sub>x</sub>S<sub>y</sub> layer at the CZTS/Mo interface  
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1 is present in oxide and oxy-sulfide samples. The thickness of this layer increases with higher  
2 oxygen content in the precursors. For longer thermal exposure during sulfurization, such as 50  
3 min annealing time at temperature of 580 °C, it was possible to eliminate the  $\text{SnS}_x\text{O}_y$  rich layer  
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7 (Fig. S3).  
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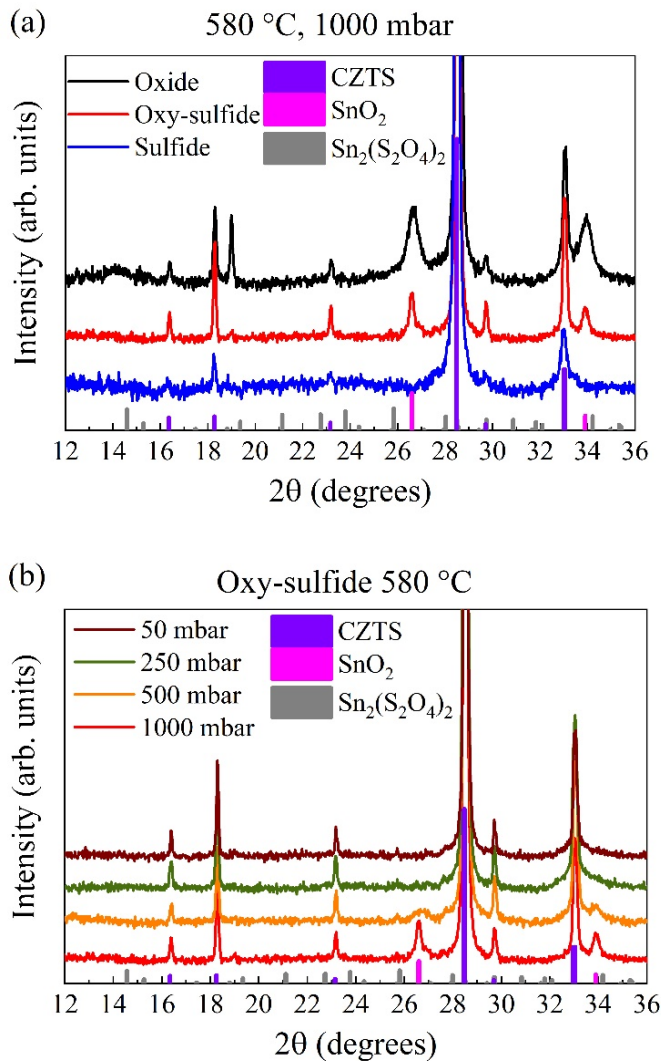


21 **Fig. 3.** Cross-sectional SEM images of CZTS solar cells made by oxide (a), oxy-sulfide (b) and sulfide  
22 (c) precursors annealed at 250 mbar, 580 °C for 30 min. The CZTS absorber layer (blue) and small  
23 grained  $\text{SnO}_x\text{S}_y$ -rich phase (red) are highlighted in colour for visualization purpose. The scale bar  
24 applies to all images.  
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32 Fig. 4 (a) depicts XRD patterns for the different precursors sulfurized at 1000 mbar, 580 °C for  
33 30 min. In agreement with the Raman data show earlier, CZTS (ICSD 23626),  $\text{SnO}_2$  (ICSD  
34 9163) and  $\text{SnS}_x\text{O}_y$  phases were observed. We did not detected any other oxide phases, such as  
35  $\text{CuO}$  (ICSD 16025),  $\text{ZnO}$  (ICSD 65120) or  $\text{Zn}_2\text{SnO}_4$  (ICSD 28235). These results show that  
36  $\text{SnO}_2$  is a resilient compound even at 580 °C and the last oxide phase to convert to sulfide. Jin  
37 et al. and Chen et al.[14,36] showed that the relative stability of oxide phases increases from  
38  $\text{CuO}$  to  $\text{ZnO}$  and finally  $\text{SnO}_2$ , which is consistent with our results. Increasing the oxygen  
39 content in the absorber results in increasing  $\text{SnO}_2$  peaks (Fig. 4 (a)). The results support the  
40 SEM images in Fig. 3, where the thickness of the small-grained layer at the back contact  
41 increases as the oxygen content in the films increases. The low intensity peaks observed in the  
42 XRD measurement matches the  $\text{Sn}_2(\text{S}_2\text{O}_4)_2$  (ICSD 32684) database. As there are many phases  
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1 and structures of  $\text{SnS}_x\text{O}_y$ , XRD diffraction patterns are difficult to analyze. Without Raman  
2 measurement (Fig. 2) it would have been difficult to assign  $\text{SnS}_x\text{O}_y$  phases.  
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4 The XRD patterns of oxy-sulfide precursors sulfurized at different  $\text{N}_2$  pressures are shown in  
5 Fig. 4 (b). In the oxy-sulfide series, as the pressure decreases from 1000 mbar to 500 mbar, the  
6  $\text{SnO}_2$  peaks become less intense and almost vanish at 250 mbar and 50 mbar, suggesting a more  
7 efficient conversion from oxide to sulfide as pressure decreases. One possible explanation for  
8 the observed trend is that the  $\text{SnO}_2$  converts to  $\text{SnS}_x$ , as we have seen  $\text{SnS}_x$  phases in Raman  
9 measurements (Fig. 2).  
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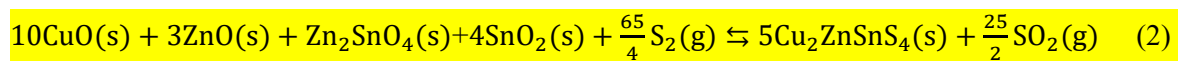
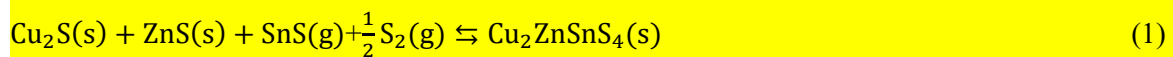


56 **Fig. 4.** XRD patterns of oxide, oxy-sulfide and sulfide precursors sulfurized at 1000 mbar (a), and oxy-  
57 sulfide precursors sulfurized at 580 °C with different  $\text{N}_2$  pressure (b).  
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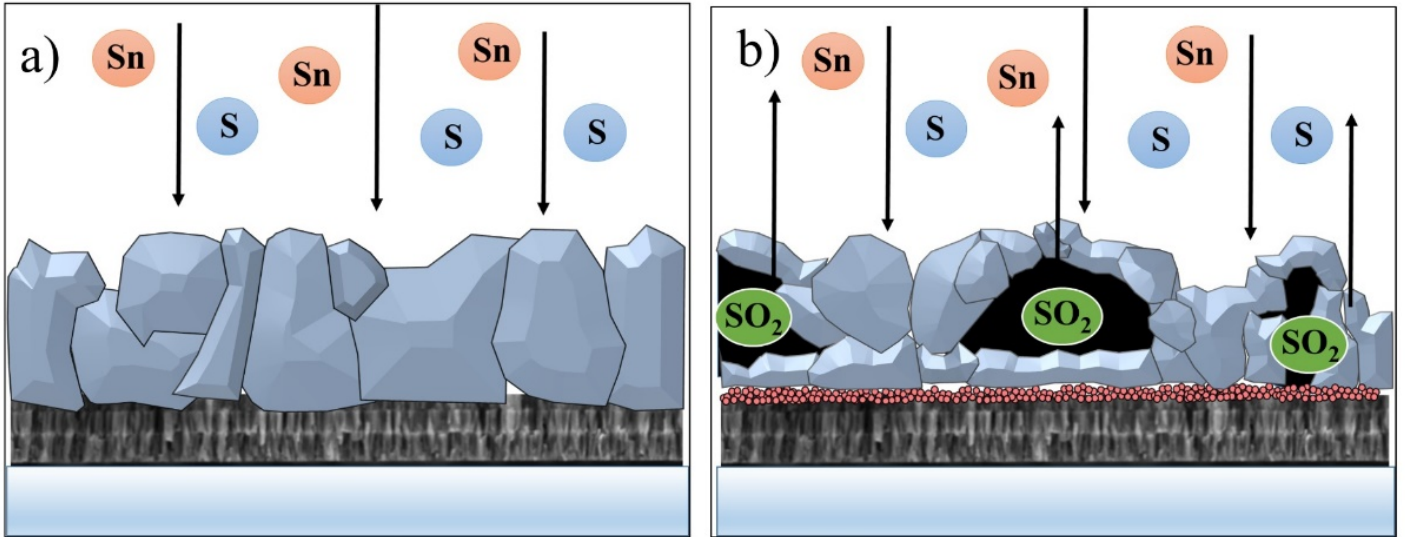
Our results indicate that the low pressure of ~ 250 mbar is optimum for the CZTS absorber made from oxide precursor films. In the 50 mbar case, we could not produce good solar cells, probably due to a large composition change in the films after annealing (Fig. S4). As a quaternary compound, the composition of CZTS is sensitive to synthesis conditions. Secondary phases and compositional gradients readily form. The best performing CZTS devices are made with  $Cu/(Zn+Sn) \sim 0.8$  and  $Zn/Sn \sim 1.2$ [37]. For sulfide films, we expect that Sn and S are more easily evaporated at lower pressure, which would inherently lead to Cu- and Zn-rich films[38]. On the other hand, for oxide films the more stable  $SnO_2$  is present in the precursor, which could be one of the reason why there is a large difference in composition at 50 mbar. Thus, the optimum annealing conditions for oxide samples are at a temperature of 580 °C,  $N_2$  pressure of 250 mbar and dwelling time of 30 minutes.

### 3.3 Proposed synthesis routes for the formation of CZTS from oxide and sulfide precursors

We next discuss the synthesis routes for the formation of CZTS from oxide and sulfide precursors. The chemical equilibrium reaction for the sulfide route shown in Eq. (1) was proposed by Redinger et al.[13]. It involves solid phases of  $Cu_2S$  and  $ZnS$  and volatile gaseous phases of  $SnS$  and  $S_2$ . They showed that an additional Sn source in the annealing process can boost the performance of the cells, since it accommodates for the volatility of the  $SnS$  phase[11,12,39].







**Fig. 5.** Proposed synthesis routes for the formation of CZTS from (a) sulfide and (b) oxide precursors.

An illustration of the formation of CZTS via the sulfide route is shown in Fig. 5 (a). According to Jin et al.[14], the equilibrium reaction of the oxide route to CZTS in Eq. (2) involves solid phases of CuO, ZnO, Zn<sub>2</sub>SnO<sub>4</sub> and SnO. This route has only one volatile reactant, S<sub>2</sub>, which can be compensated by additional sulfur powder during the annealing. However, the oxide-to-sulfide conversion produces SO<sub>2</sub> gas, as depicted in Fig. 5 (b) and Eq. (2).

The formation of bubble-like structures (Fig. 1 (a) and 5 (b)) suggests that SO<sub>2</sub> gas is trapped inside the CZTS film. By lowering the N<sub>2</sub> pressure to 250 mbar during the annealing (Fig. 1 (b,c)), the SO<sub>2</sub> gas may be released at an earlier stage, and thus avoiding the pressure build-up inside the absorber that would inherently lead to the formation of bubbles. The observations are also supported by the XRD data, which show that a low N<sub>2</sub> annealing pressure leads to a lower SnO<sub>2</sub> peak (Fig. 4 (b)). As shown previously, SnO<sub>2</sub> is accumulated at the Mo back contact. By releasing the trapped SO<sub>2</sub> gas, it should become easier to sulfurize SnO<sub>2</sub> as the oxygen source is eliminated. Thus it seems that the SO<sub>2</sub> gas trapped inside the CZTS layer during the sulfurization process is the main reason for the incomplete sulfurization and non-compact surface with bubble-like formations. These formations could potentially lead to shunt paths and increased surface recombination, which degrade device parameters, particularly the fill factor.



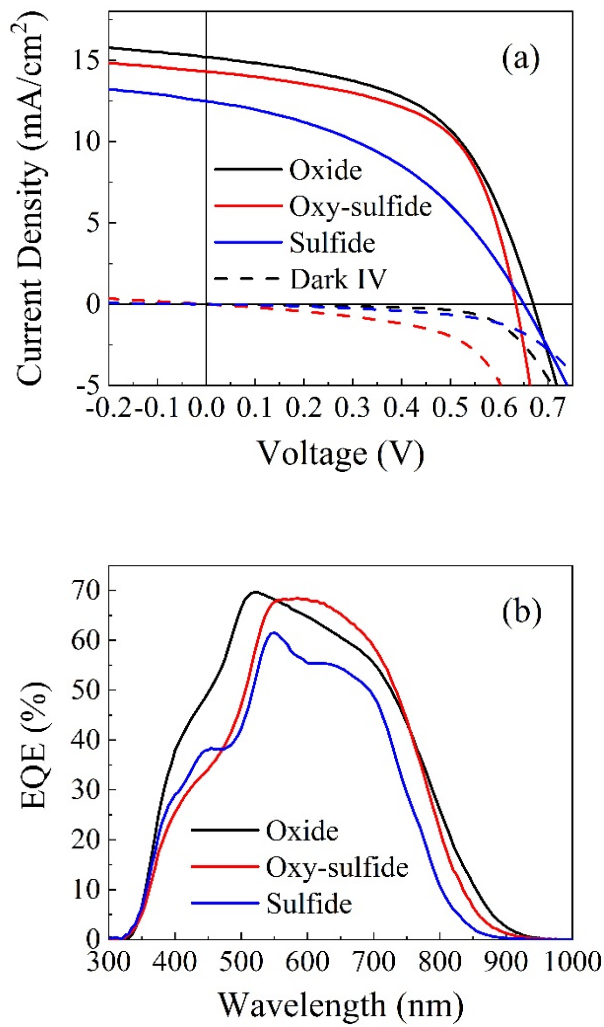
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There are previous reports on synthesis of  $\text{CuInSe}_2$  (CIS)[40],  $\text{Cu(In,Ga)Se}_2$  (CIGS)[40–43],  
 $\text{Cu}_2\text{SrSnS}_4$  (CSTS),  $\text{Cu}_2\text{FeSnS}_4$  (CFTS)[44] and CZTS[14,17] from oxide precursors. The  
commonly encountered problems were a segregation of the more stable phases such as gallium  
oxide[41–43,45], the formation of a small-grain layer[40–43,46] as well as voids[40–43,46] at  
the bottom interface. CFTS has shown increased roughness as annealing temperature  
increases[44]. The CSTS absorbers made from oxide films have unknown phases at low-  
temperature sulfurization[46], which resembles the  $\text{SnO}_2$  phase in our experiment. Moreover,  
the films display large, uniform grains, but pinholes appear upon the removal of Na-Sr-Sn-S  
based secondary phases. Jingjing et al. [47] have reported on the synthesis of  $\text{CuIn(S,Se)}_2$  from  
a DMF solution, with absorbers selenized at different Ar pressures. At low pressure (0 MPa),  
large grains with pinholes and a rough surface were observed. At high pressure (0.06 MPa),  
large grains without pinholes, but with a small-grain layer and voids at the back contact were  
observed. Their explanation for the void formation and small-grain layer at the back contact  
was migration of Cu and In to the surface leaving voids.

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It is worth to mention that O/(O+S) ratio in the oxide, oxy-sulfide and sulfide samples were  
comparable after annealing at 250 mbar when measured by EDX (Table S1). It seems that the  
oxide samples are completely sulfurized at 250 mbar, or at least they have same O/(O+S) ratio  
as the sulfide samples. When oxide and oxy-sulfide samples are annealed at pressure above  
250 mbar, we see an increased O/(O+S) and bandgap (Fig. S5). This result might indicate  
CZTSO alloying is possible[18]. However, we have not detected any significant change in  
XRD and Raman measurements that might show that oxygen alloying is present in the samples.

### 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 **3.4 Device characterization**

The J-V curves and EQE spectra of CZTS solar cells produced from precursors sulfurized at  
250 mbar, 580 °C are shown in Fig. 6 (a) and (b), respectively. The corresponding device  
parameters, including open circuit voltage ( $V_{OC}$ ), short circuit current ( $J_{SC}$ ), fill factor (FF) and

1 power conversion efficiency (PCE) are summarized in Table 3. When compared to earlier  
2 reports solar cells made by PLD, for example by Jin et al.<sup>15</sup> (PCE = 4.94%,  $V_{OC}$  = 0.684 V,  $J_{SC}$   
3 = 16.8 mA/cm<sup>2</sup>, FF = 43%), our best device has a higher FF. Furthermore, the efficiency of our  
4 best device of 5.4% (active area) exceeds that of 5.2% (active area) achieved earlier by our  
5 group for PLD of sulfides ( $V_{OC}$  = 0.616 V,  $J_{SC}$  = 17.6 mA/cm<sup>2</sup>, FF = 48%) [48]. In our previous  
6 work, an antireflective MgF layer was used, which may account for the larger  $J_{SC}$ . Devices  
7 made from oxide films annealed at 250 mbar exhibit the highest  $V_{OC}$  of 0.673 V, even though  
8 the experimentally determined bandgap of 1.52 eV is the lowest among all precursors (Table  
9 3). As a result, the oxide films have the lowest  $V_{OC}$  deficit of 0.85 V. We emphasize that the  
10 oxides have a better performance because all samples were compared under the best annealing  
11 conditions for oxides that are described in section 3.1 and 3.2. The short circuit current values  
12 derived from the illuminated J-V curves are in good agreement with those obtained by  
13 integration of the EQE curves (see Table 3). Moreover, the EQE is higher below 500 nm in  
14 oxides due to a thinner CdS, and thus less absorption will occur in the buffer layer. This  
15 suggests that the thickness of the CdS layer can be further optimized.  
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**Fig. 6.** J-V curves (a) and EQE spectra of the oxide, oxy-sulfide and sulfide cells (b) produced by sulfurization at 250 mbar.

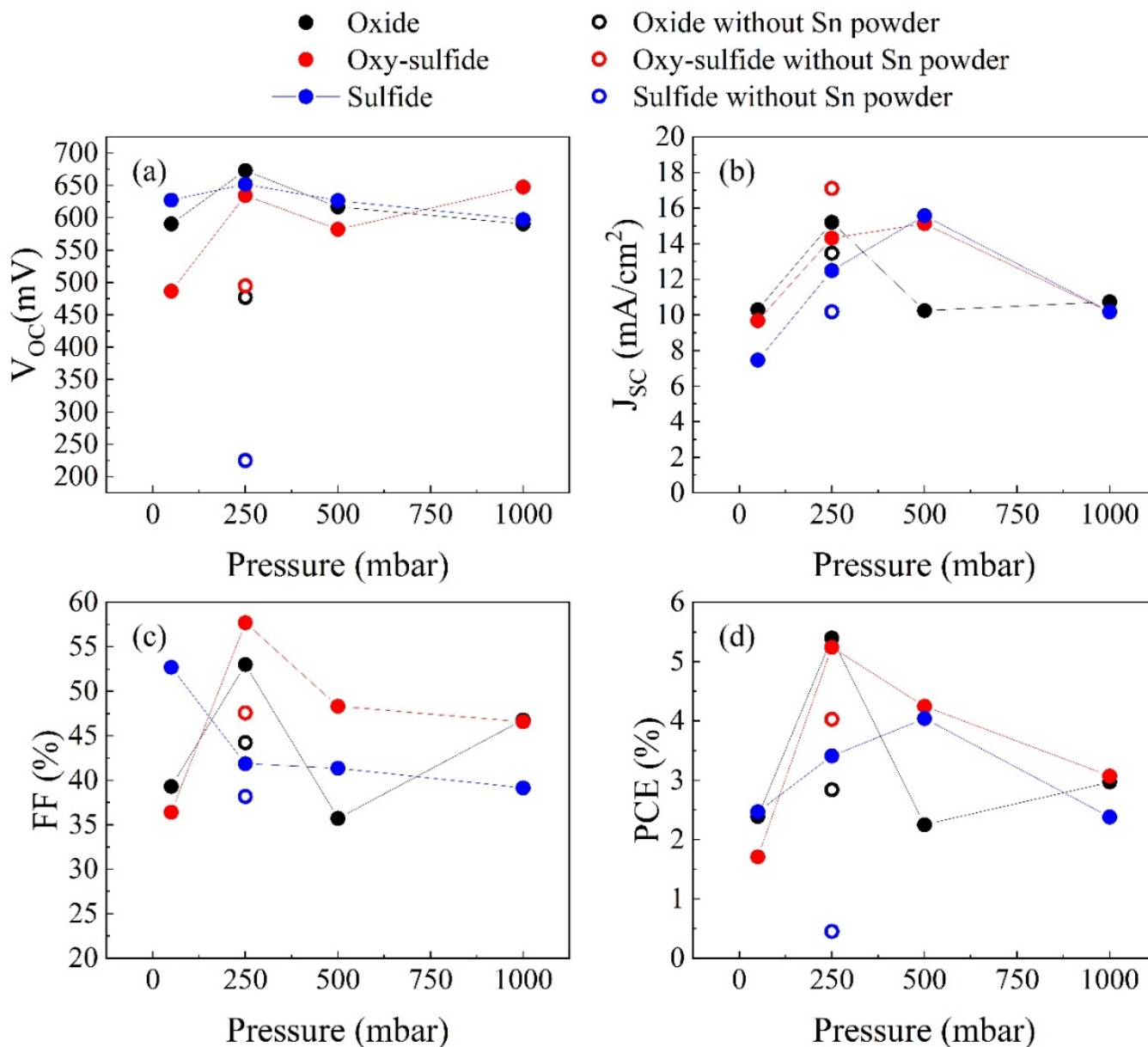
**Table 3.** J-V parameters of the oxide, oxy-sulfide and sulfide based solar cells produced by sulfurization at 250 mbar.

Precursor type	J-V parameters				EQE		Voc deficit (V)
	Voc (mV)	Jsc (mA/cm <sup>2</sup> )	FF (%)	PCE (%)	Jsc (mA/cm <sup>2</sup> )	E <sub>g</sub> (eV)	
Oxide	673	15.2	53.0	5.4	15.5	1.52	0.85
Oxy-sulfide	634	14.3	57.7	5.2	14.4	1.54	0.91

Sulfide	652	12.5	41.9	3.4	12.0	1.59	0.94
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 4 Finally, we discuss the effect of both annealing pressure and precursor type on the device  
 5 performance given by J-V parameters of the **best** solar cells (Fig. 7). The corresponding J-V  
 6 curves, EQE spectra and **boxplot of all devices made in same condition** can be found in the  
 7 supplementary information (Fig. S6, S7 and S8). **The best efficiencies follow the same trend**  
 8 **as the median of all data, as can be seen in Fig. S8.** Fig. 7 (a) shows that all solar cells have  
 9  $V_{OC}$  values above 600 mV for oxides, oxy-sulfides and sulfides at different  $N_2$  pressure (except  
 10 the oxy-sulfide-based solar cell at 50 mbar). However, without Sn powder, the samples  
 11 annealed at 250 mbar exhibit a drop in  $V_{OC}$  by several hundred mV (empty circles in Fig. 7).  
 12 The poorer performance is most likely associated with a higher density of Sn-related deep level  
 13 defects in the CZTS absorber[7,37]. The  $V_{OC}$  drop is more pronounced for the sulfide device  
 14 at 250 mbar than for the oxide or oxy-sulfide devices. This could be due to a higher Sn supply  
 15 in the form of  $SnS_xO_y$  in the oxide and oxy-sulfide films. Furthermore, there is a trend of  
 16 decreasing FF in the absence of Sn during processing, much like  $V_{OC}$  (Fig. 7 (a), (c)). The  $J_{SC}$   
 17 for oxy-sulfide- and sulfide-based solar cells is highest at 500 mbar (Fig. 7 (b)). For solar cells  
 18 made of oxide precursors, the largest  $J_{SC}$  of 15.5 mA/cm<sup>2</sup> is obtained for films annealed at 250  
 19 mbar. All devices have  $J_{SC}$  values lower than state of the art devices, probably due thicker CdS  
 20 layer and no antireflection layer. The effect of the small-grained  $SnS_xO_y$ -rich layer on device  
 21 performance is difficult to assign due similar general trends of  $V_{OC}$  and  $J_{SC}$  observed for  
 22 different precursors. **As a result, for oxide and oxy-sulfide samples, the best performing solar**  
 23 **cells were obtained at an annealing pressure of 250 mbar, as expected from the morphological**  
 24 **studies of the oxide precursor (Section 3.1)**  
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**Fig. 7.** Device parameters: open circuit voltage ( $V_{OC}$ ) (a), short circuit current ( $J_{SC}$ ) (b), fill factor (FF) (c) and power conversion efficiency (PCE) of oxide, oxy-sulfide and sulfide samples at different annealing pressure (d). Samples annealed without Sn powder are shown as empty circles.

## 4. Conclusion

In conclusion, we have shown that reducing the annealing pressure for the sulfurization of oxide precursors reduces the bubble-like structures and increases the fill factor of CZTS

1 devices. The rough morphology of CZTS absorbers was associated with SO<sub>2</sub> gas accumulation  
2 during the annealing. Reducing the annealing pressure improves the sulfurization process and  
3 aids the SO<sub>2</sub> gas release. The optimum annealing pressure for the oxygen-containing precursor  
4 films was 250 mbar whereas the sulfide-containing precursors was 500 mbar. SnO<sub>2</sub> is the last  
5 compound to convert into a sulfide during the annealing process. SnO<sub>2</sub> was accumulated at the  
6 back contact, then converted to SnS<sub>x</sub>O<sub>y</sub> and finally absorbed by CZTS when Sn supply was  
7 needed.  
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10 The grain size increased considerably with increasing precursor oxygen content. This increase  
11 in grain size was independent on the annealing pressure or on the use of a Sn source during  
12 annealing. All oxides fully convert into the sulfide CZTS at a pressure of 250 mbar. Finally,  
13 the CZTS solar cells based on oxide precursors have a power conversion efficiency of 5.4%,  
14 open circuit voltage of 0.673 V and fill factor of 53%. This stud reports the highest efficiency  
15 for CZTS solar cells based on PLD-grown absorbers.  
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## 31 **CRedit authorship contribution statement**

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34 **Mungunshagai Gansukh:** Investigation, Visualization, Validation, Methodology, Writing -  
35 original draft. **Simón López Mariño:** Investigation, Visualization, Validation, Writing -  
36 review & editing. **Moises Espindola Rodriguez:** Investigation, Writing - review & editing.  
37 **Sara Lena Josefin Engberg:** Investigation, Writing - review & editing. **Filipe Mesquita Alves**  
38 **Martinho:** Investigation, Writing - review & editing. **Alireza Hajjafarassar:** Investigation.  
39 **Niels Christian Schjødt:** Resources. **Eugen Stamate:** Resources. **Ole Hansen:** Resources,  
40 Writing - review & editing. **Jørgen Schou:** Resources, Writing - review & editing. **Stela**  
41 **Canulescu:** Writing - review & editing, Supervision. All authors have agreed with the content  
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## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## Appendix A. Supplementary data

The supporting information contains the following seven sections: S1, Elemental analysis of oxide films; S2, Top view SEM images of oxide films described in Table 1; S3, Raman spectra of CZTS; S4, Elemental analysis of absorbers; S5, Band gap and O/(O+S) ratio in oxy-sulfide samples; S6, J-V curves of CZTS solar cells; S7, EQE curves of CZTS solar cells; S8, Boxplot of device parameters; Table S1, O/(O+S) ratio.

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Figure 1  
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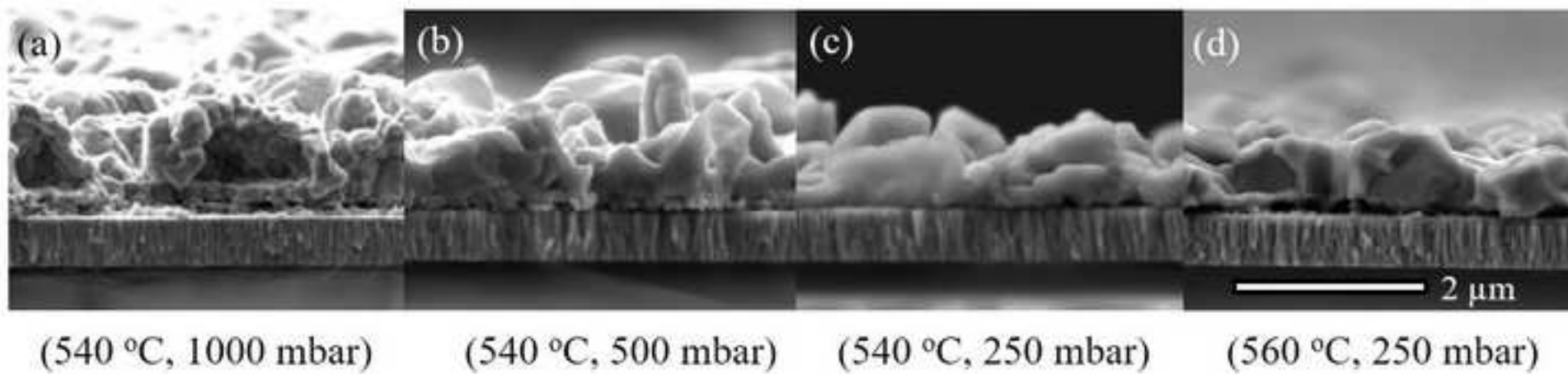




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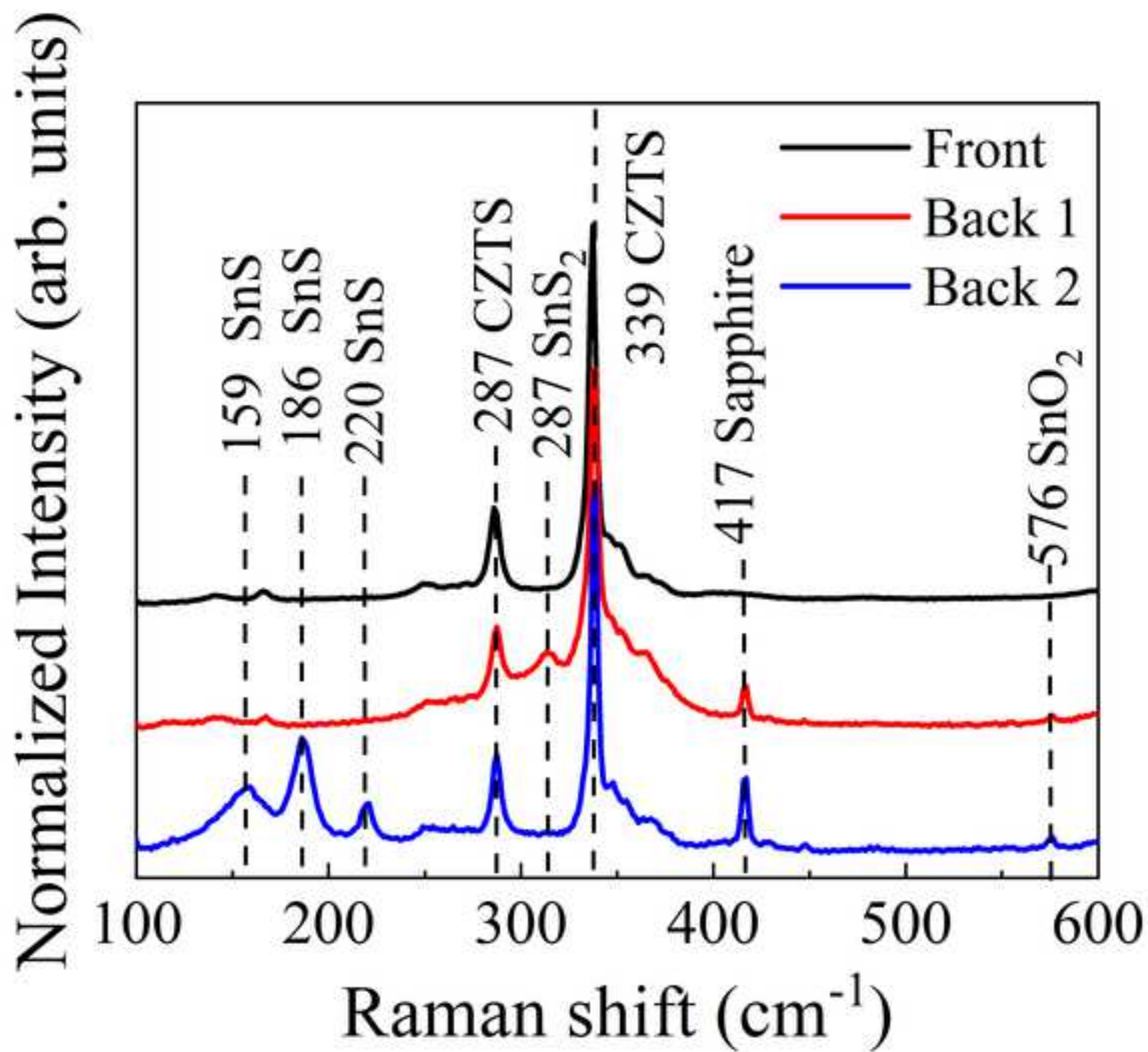
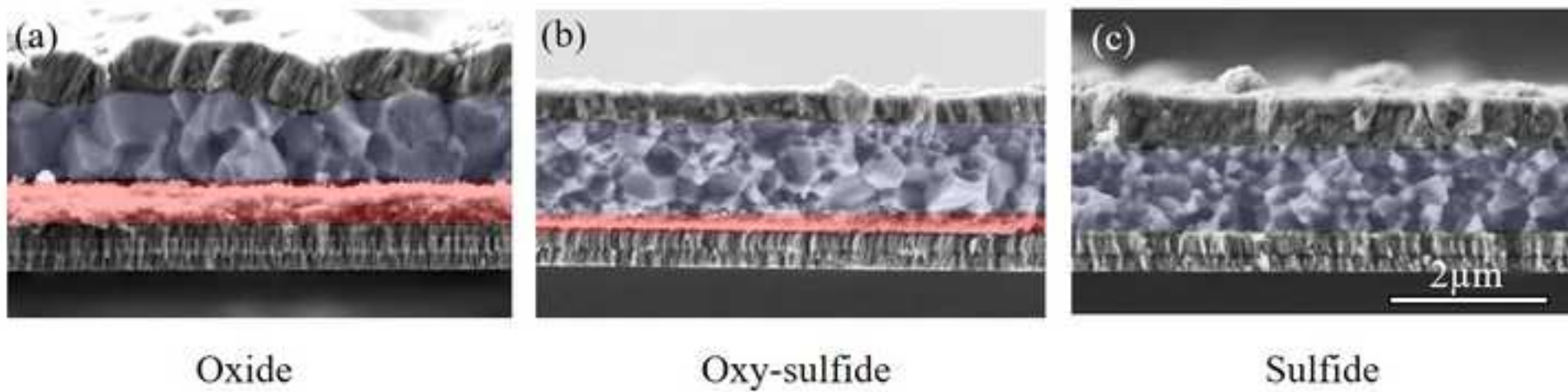
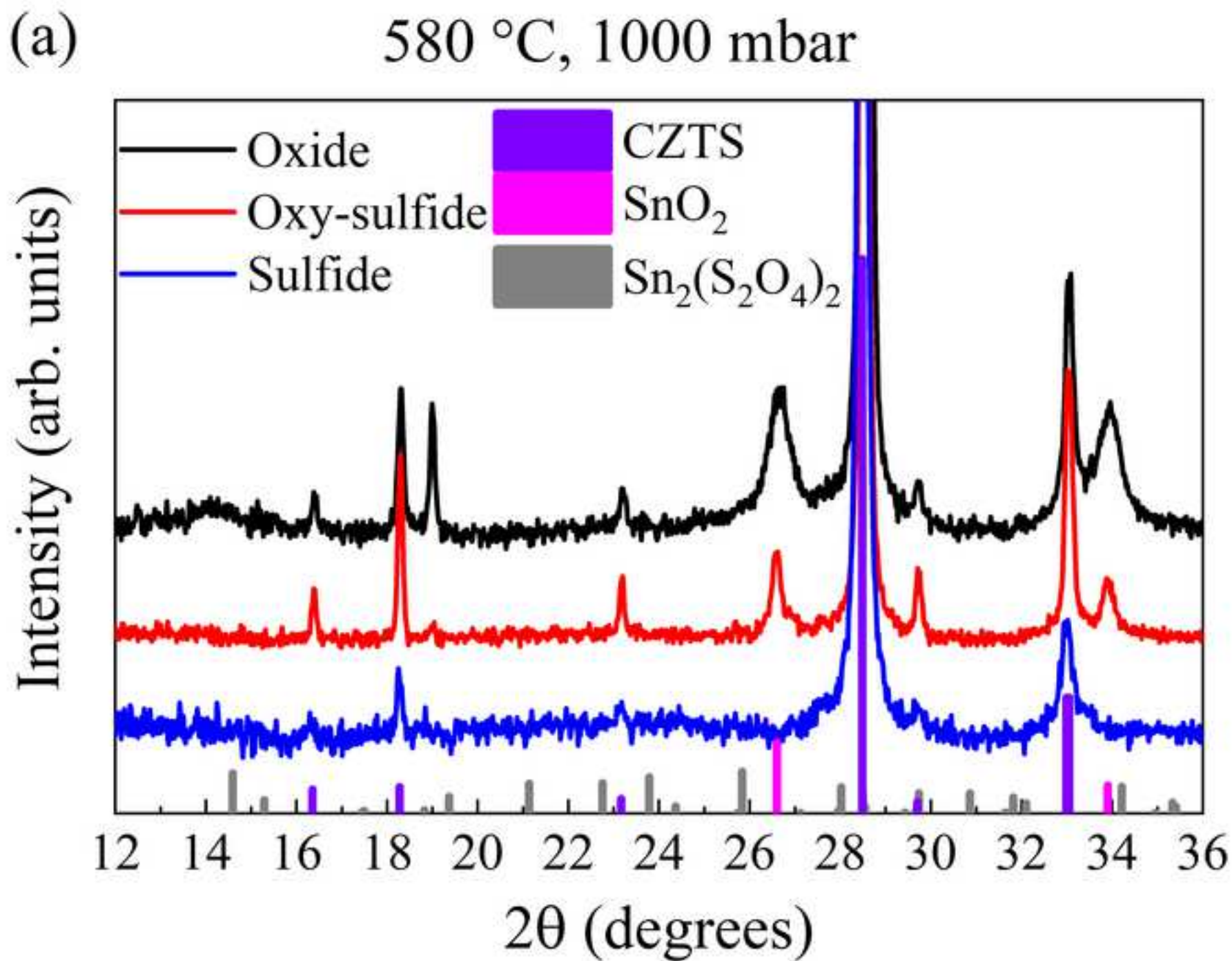


Figure 3  
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(b)

### Oxy-sulfide 580 °C

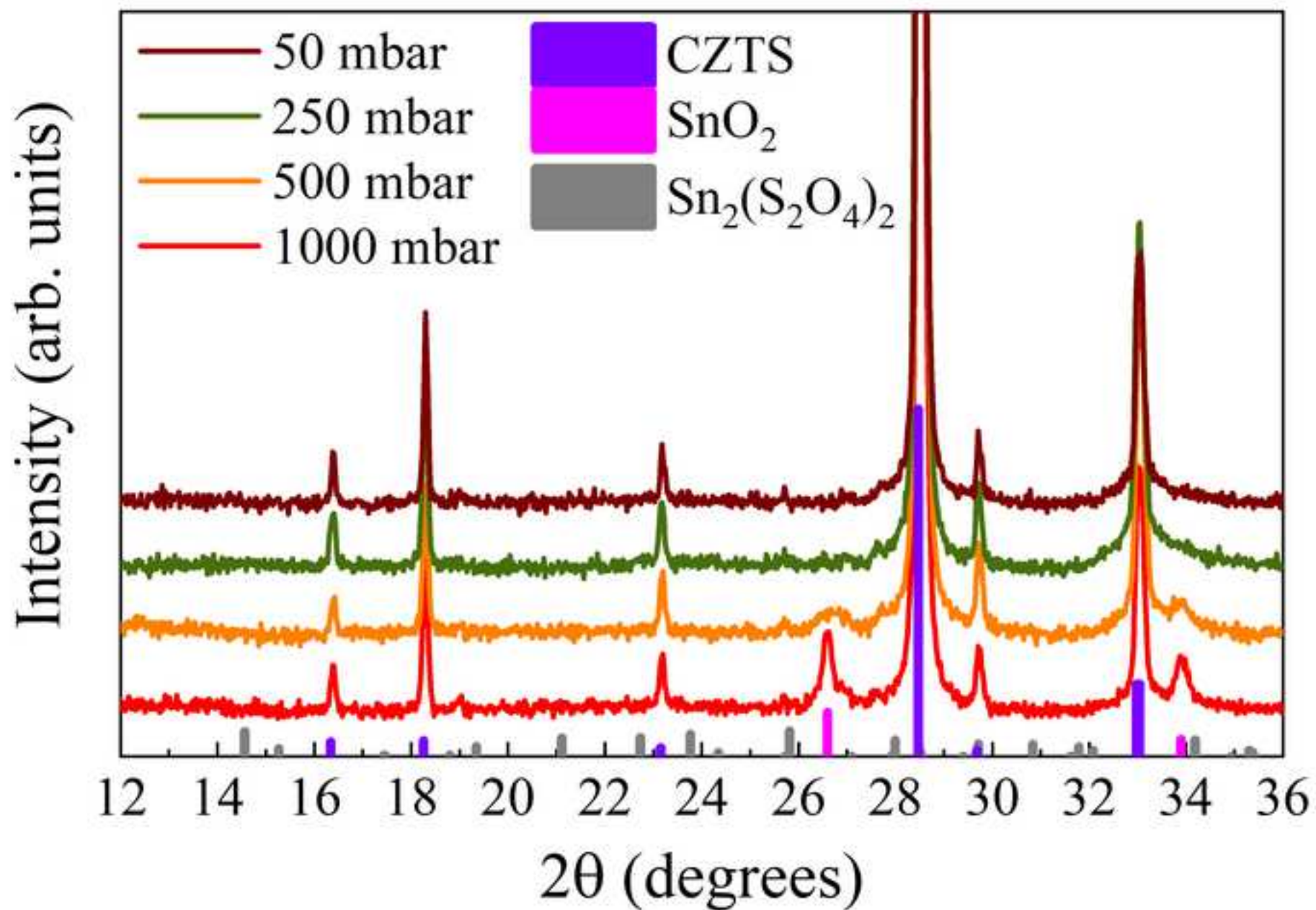




Figure 5  
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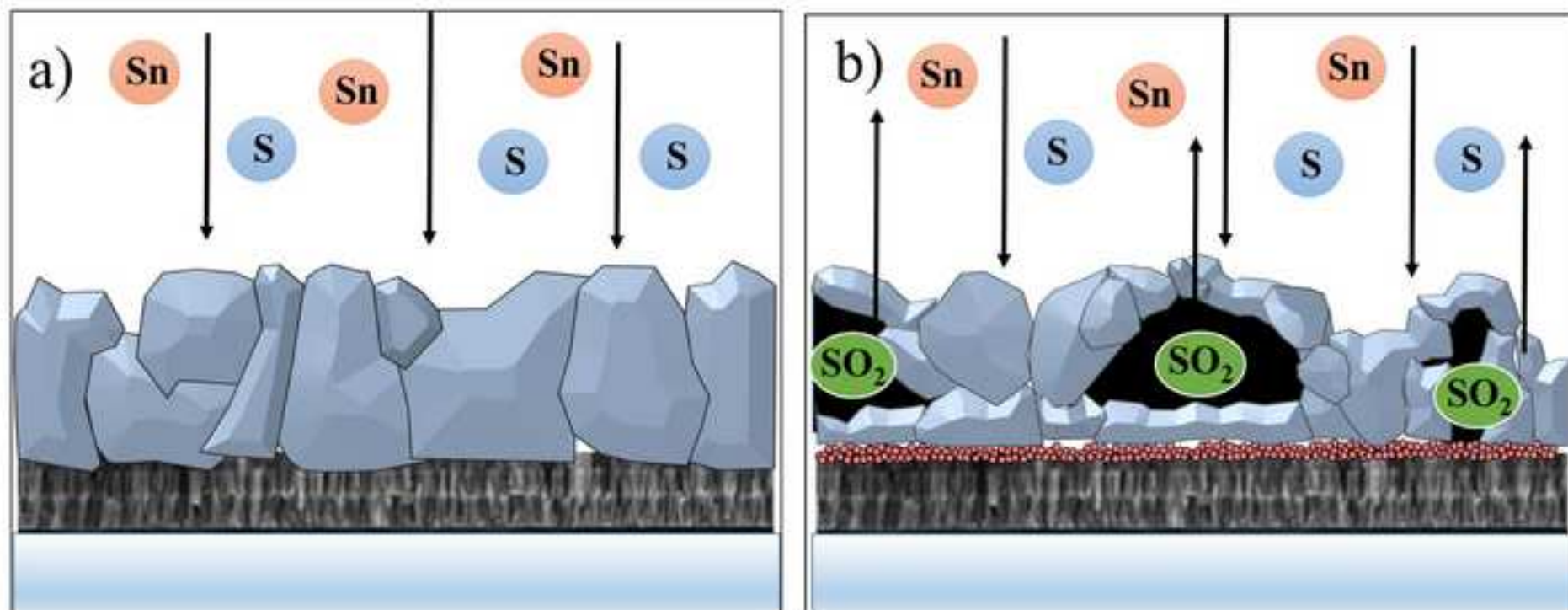


Figure 6a  
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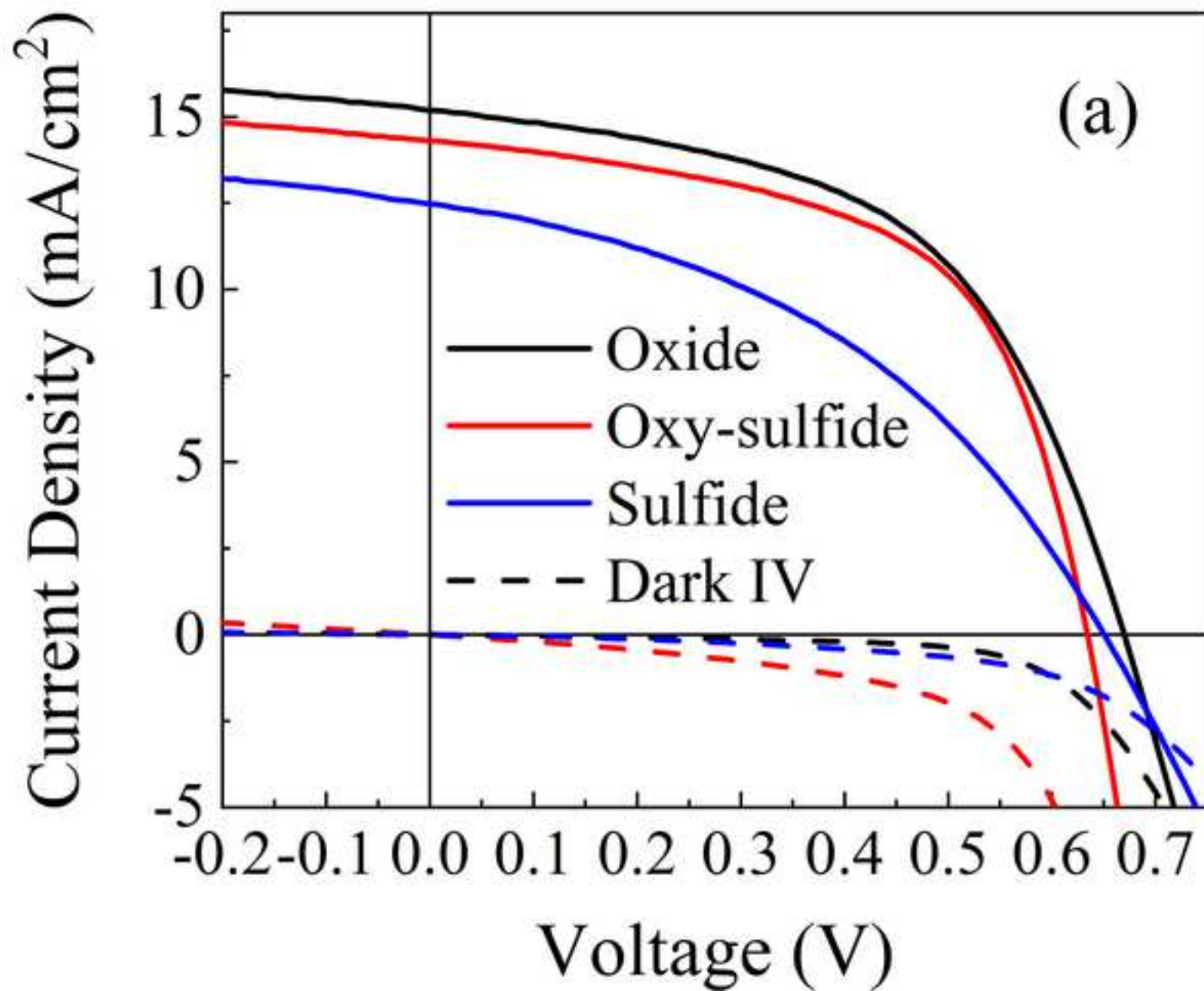


Figure 6b  
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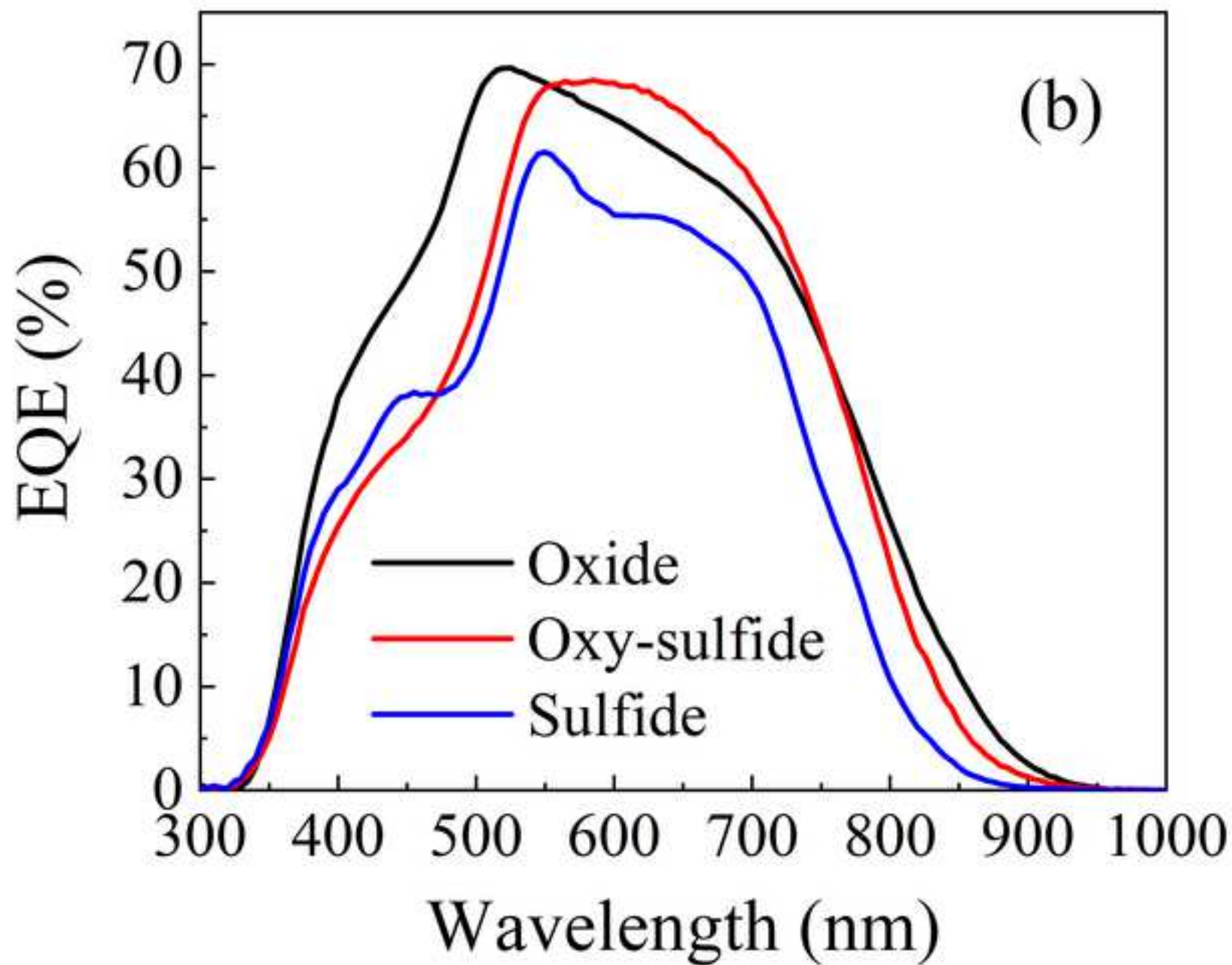
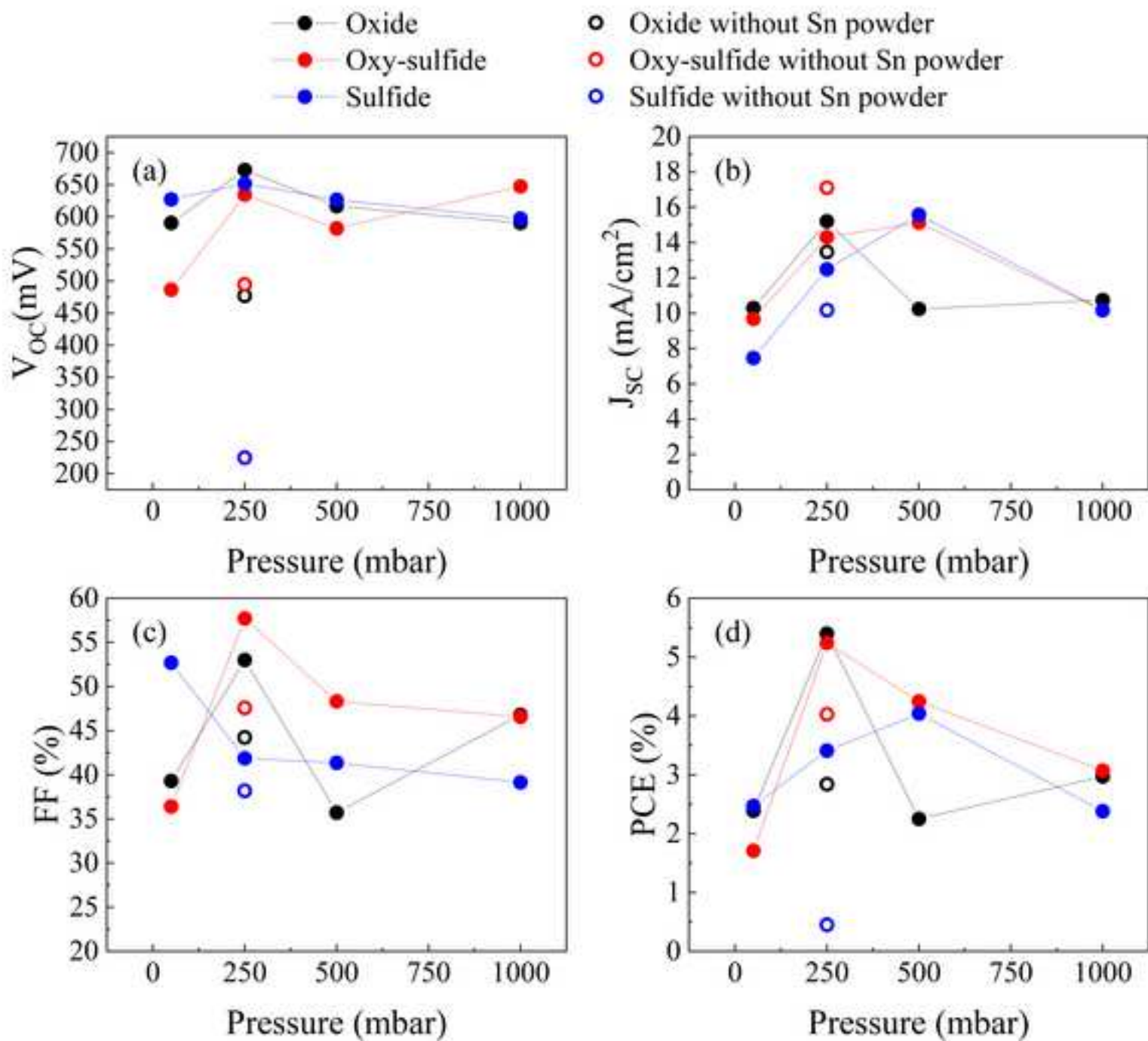


Figure 7  
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*CRediT authorship contribution statement*

*Mungunshagai Gansukh: Conceptualization, Investigation, Formal analysis, Methodology, Writing - original draft. Simón López Mariño: Investigation, Visualization, Validation, Writing - review & editing. Moises Espindola Rodriguez: Investigation, Writing - review & editing. Sara Lena Josefin Engberg: Investigation, Writing - review & editing. Filipe Mesquita Alves Martinho: Investigation, Writing - review & editing. Alireza Hajjafarassar: Investigation. Niels Christian Schjødt: Resources. Eugen Stamate: Resources. Ole Hansen: Resources, Writing - review & editing, Project administration. Jørgen Schou: Resources, Writing - review & editing, Project administration. Stela Canulescu: Writing - review & editing, Project administration, Supervision.*

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

# *Oxide route for production of $\text{Cu}_2\text{ZnSnS}_4$ solar cells by Pulsed Laser Deposition*

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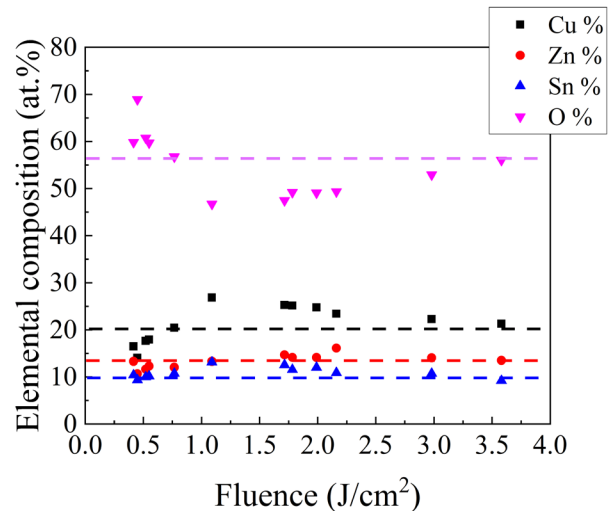
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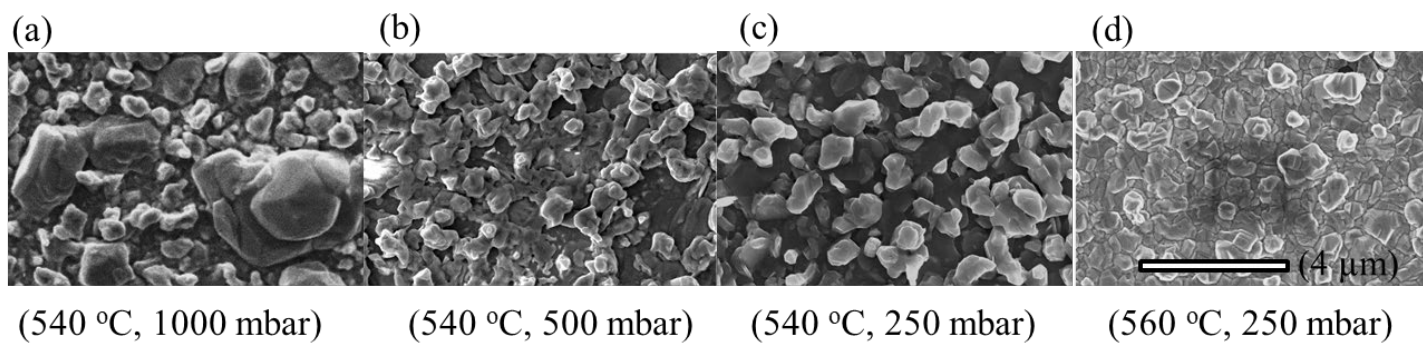
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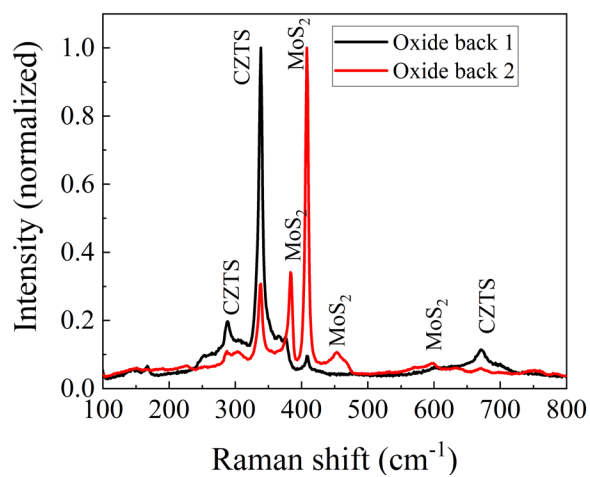
\*Corresponding author Stela Canulescu, email: [stec@fotonik.dtu.dk](mailto:stec@fotonik.dtu.dk)



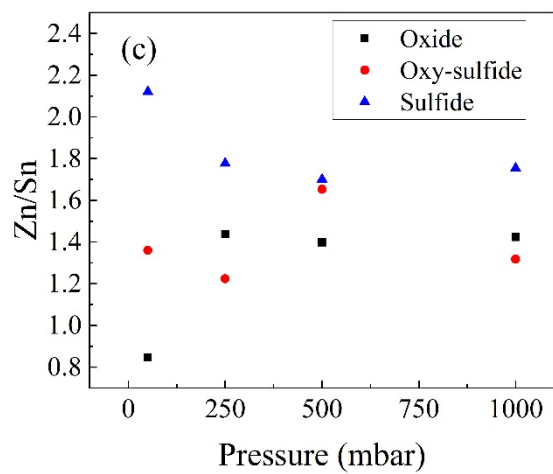
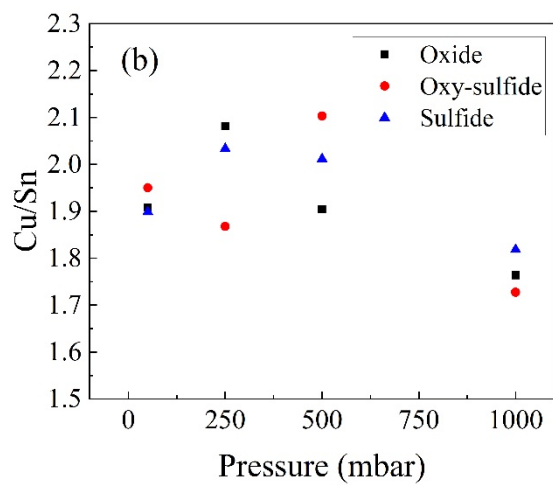
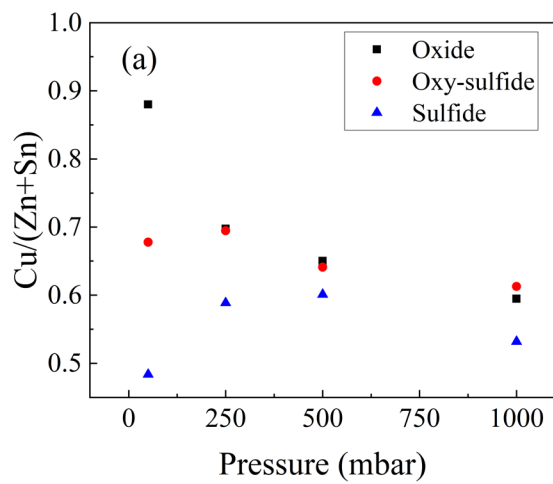
**Fig. S1.** Elemental composition (at%) of the oxide precursors as a function of the laser fluence, as measured by EDX. Dashed lines indicate the elemental composition of the PLD oxide target. All films were grown on Mo/SLG substrates.



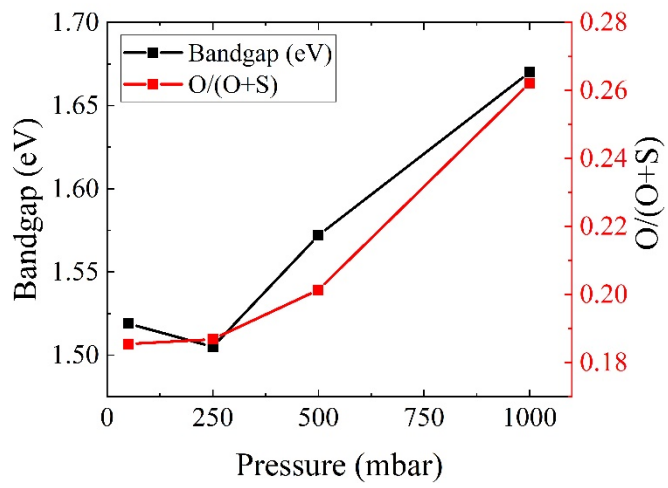
**Fig. S2.** The top view SEM images of oxide precursors sulfurized at various temperatures and N<sub>2</sub> pressures: (a) 540 °C, 1000 mbar, (b) 540 °C, 500 mbar, (c) 540 °C, 250 mbar and (d) 560 °C, 250 mbar. The scalebar applies to all images.



**Fig. S3.** Raman spectra measured on the back side of the CZTS cell after it has been peeled off from the substrate showing regions of CZTS (oxide back 1) and bulk MoS<sub>2</sub> only (oxide back 2). The CZTS cell is based on oxide precursor annealed at 580°C for 50 minutes.

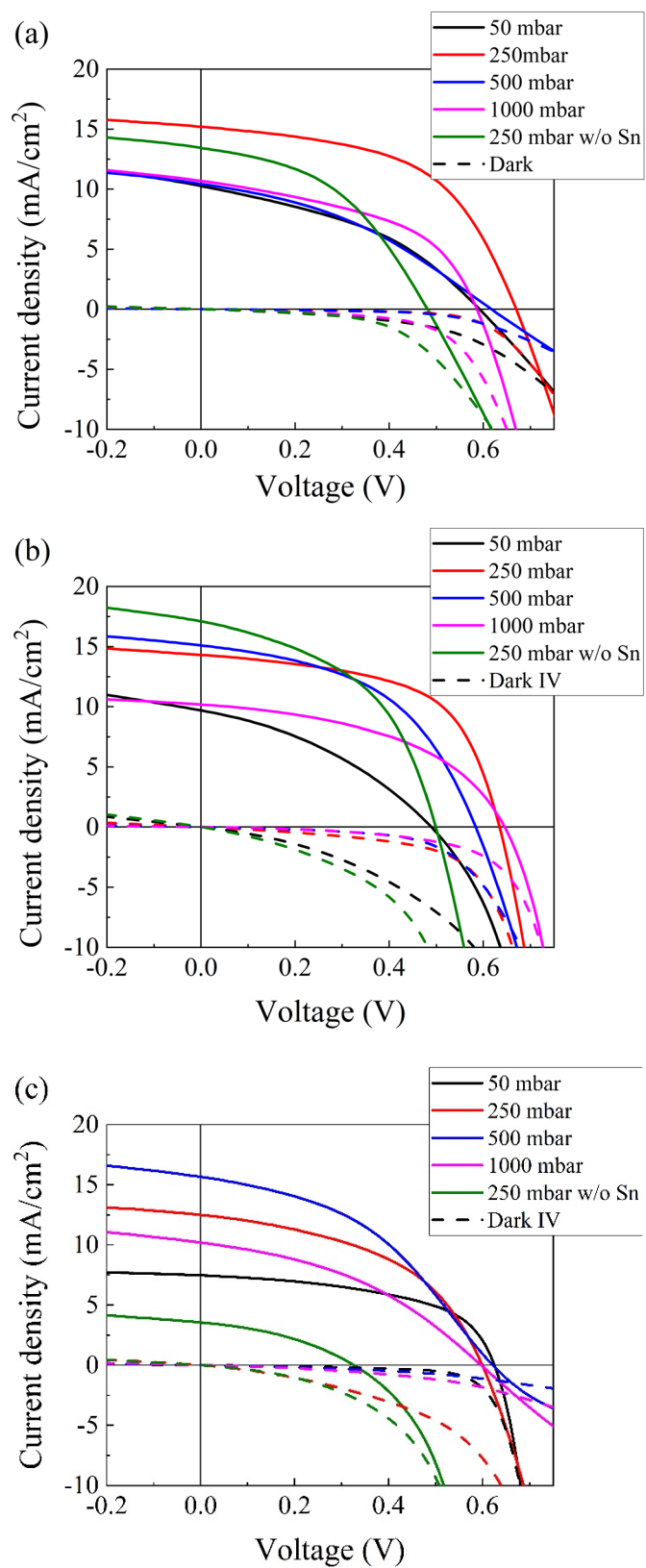


**Fig. S4.** EDX measurements of different precursors after sulfurization at different N<sub>2</sub> pressures.

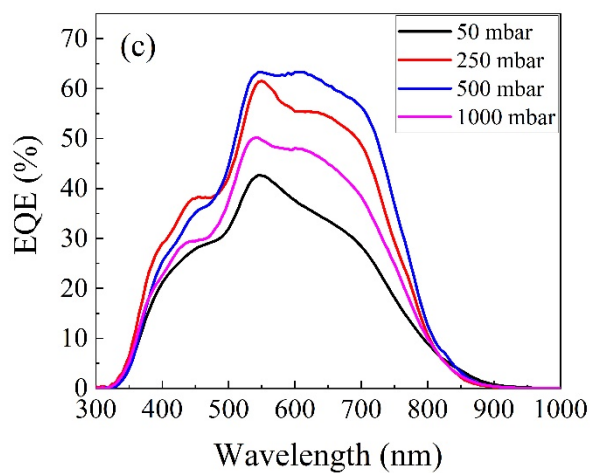
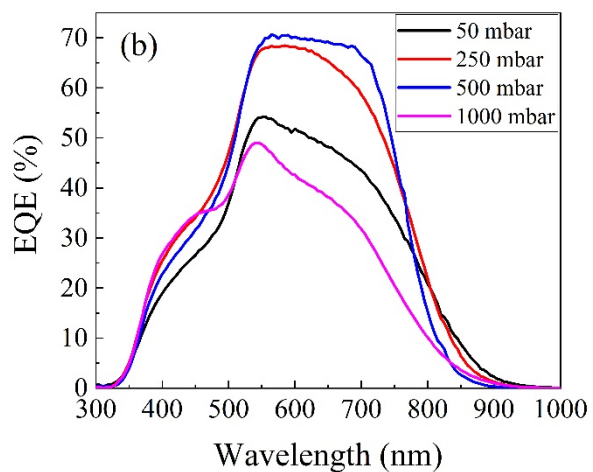
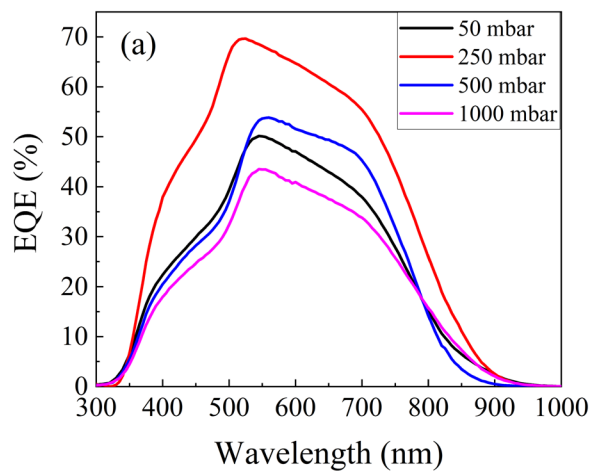


**Fig. S5.** Bandgap (left-axis) and O/(O+S) ratio (right-axis) of oxy-sulfide samples annealed at different pressures. The band gap and composition were determined by EQE and EDX, respectively.





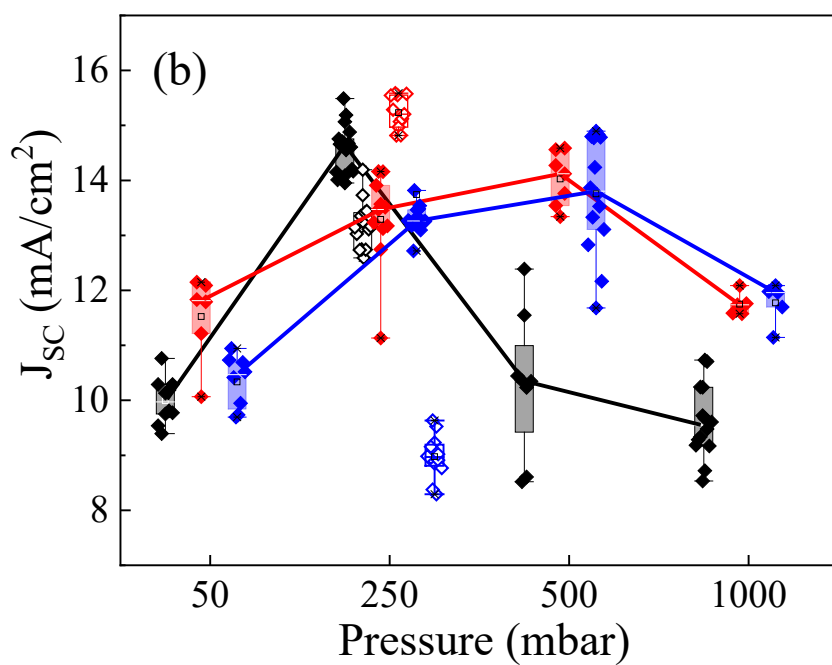
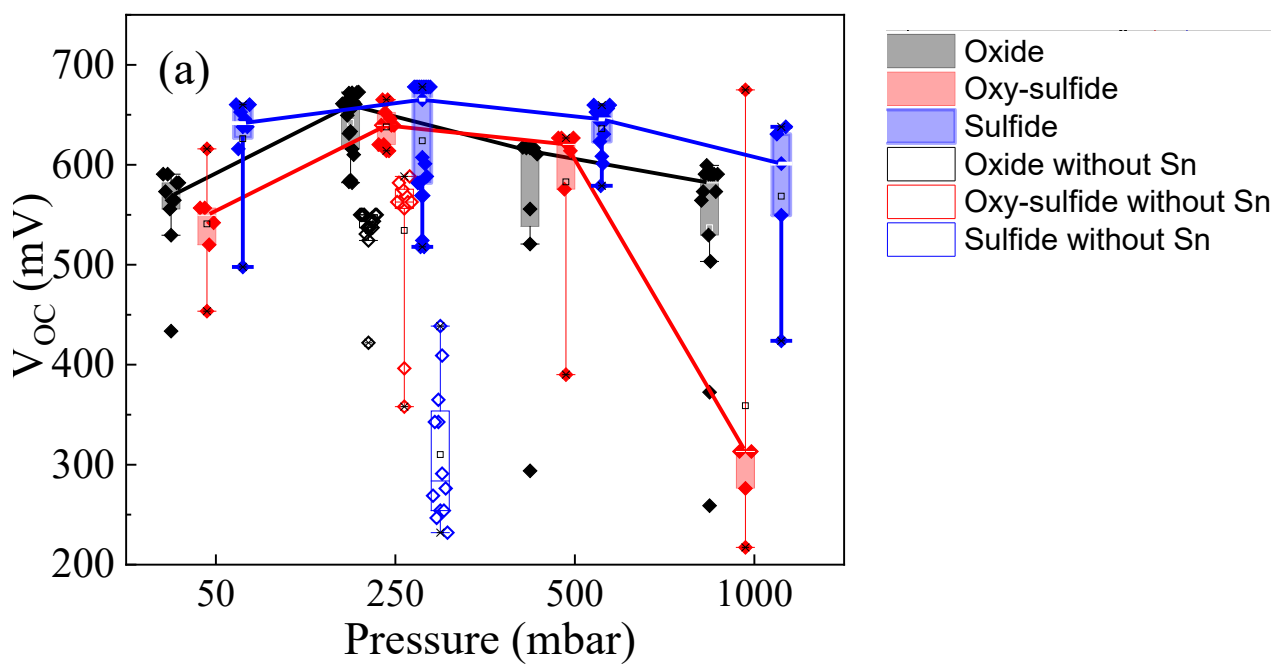
**Fig. S6.** J-V curves of the best cells based on (a) oxides, (b) oxy-sulfides and (c) sulfides.

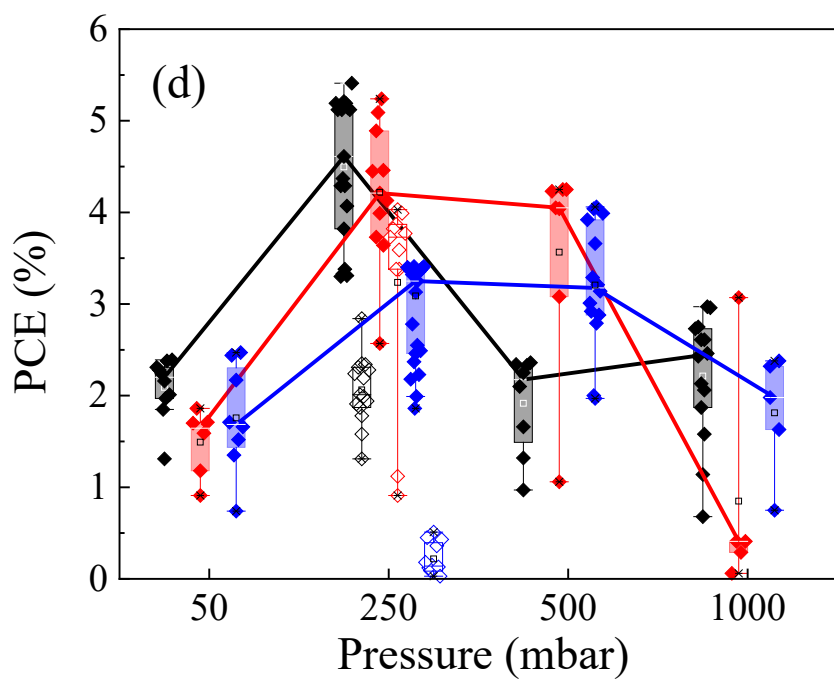
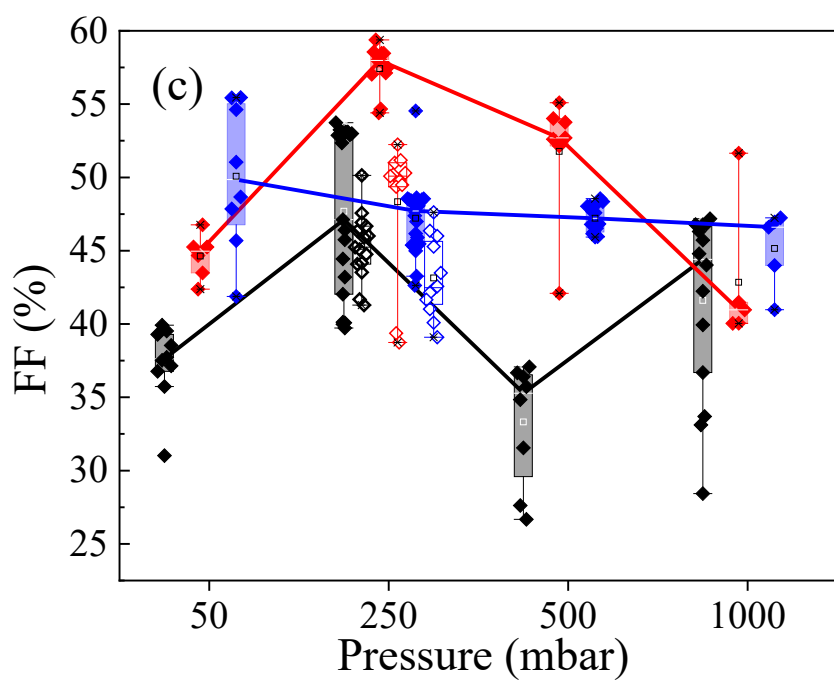


**Fig. S7.** EQE curves of solar cells made from (a) oxides, (b) oxy-sulfides and (c) sulfides.

Fig. S7 shows EQE measurements on solar cells made from precursors sulfurized at different  $N_2$  pressures. Fig. S6 (a), (b) and (c) correspond to cells made from oxide, oxy-sulfide and sulfide precursors, respectively. For the oxy-sulfide and sulfide samples, the EQE response reaches maximum

at 500 mbar (Fig. S6 (b), (c)). For oxide samples, the EQE reaches its maximum at 250 mbar (Fig. 6 (a),(b)) which resulted in their highest  $J_{SC}$ . Sulfide samples have fringes in their EQE response. This could indicate that they are more flat and uniform than oxide and oxy-sulfide samples.





**Fig. S8.** Box plot of device parameters: open circuit voltage ( $V_{OC}$ ) (a), short circuit current ( $J_{SC}$ ) (b), fill factor (FF) (c) and power conversion efficiency (PCE) of oxide, oxy-sulfide and sulfide samples at different annealing pressure (d). Samples annealed without Sn powder are shown empty boxes. Data points are shown in diamond in corresponding color. Median values are connected with lines.

**Table S1.** O/(O+S) ratio before and after annealing on different precursors measured by EDX at 250 mbar annealing

<b>Samples</b>	<b>O/(O+S) ratio before annealing</b>	<b>O/(O+S) ratio after annealing</b>
Oxide	0.99	0.18
Oxy-sulfide	0.29	0.19
Sulfide	0.15	0.17