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Total number of authors:
12

Publication date:
2021

Document Version
Peer reviewed version

[Link back to DTU Orbit](#)

Citation (APA):

Albrechtsen, M., Vosoughi Lahijani, B., Christiansen, R. E., Nguyen, V. T. H., Casses, L. N., Hansen, S. E., Kristensen, P. T., Stenger, N., Sigmund, O., Jansen, H., Mørk, J., & Stobbe, S. (2021). *Shot-filling effects in nanometer-scale electron-beam lithography*. Abstract from 47th Micro and Nano Engineering Conference 2021, Turin, Italy.

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Shot-filling effects in nanometer-scale electron-beam lithography

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Photonic nanocavities achieve tight temporal and spatial confinement of light through the quality factor, Q , and the mode volume, V , respectively. This results in local enhancements of the electric field, \mathbf{E} , which is central to a number of applications requiring enhanced light-matter interaction [1], such as nonlinearities [2] or efficient optical interconnects [3]. Previously, it was believed that the mode volume in dielectrics was bound by the diffraction limit [4], and therefore field enhancements were achieved by large quality factors [5]. With the recent discovery of dielectric bowtie cavities, however, mode volumes deep below the diffraction limit are possible in devices with nanometer-scale features [2,6]. Such features, in turn, pose challenges to the resolution of fabrication at the deep nanoscale.

Here we investigate the importance of precise pattern design and the effects of rasterization (shot-filling) in electron-beam lithography when pushing the resolution limit. We consider a novel nanocavity design obtained by inverse design using tolerance-constrained topology optimization [6] in which the local density of optical states (LDOS) is optimized at the very center of a silicon cavity to have $Q = 1100$, $V = 0.08 (\lambda/2n)^3$, and $\lambda = 1551$ nm.

To illustrate the importance of shot-filling for high-resolution electron-beam lithography, we first consider the test structure shown in Fig. 1. The contours between material boundaries are used to define a set of polygons as shown in Fig. 1a. Individual patterns are well separated to be isolated from long-range proximity effects [7]. Figures 1b and d show the fracturing of the polygon as well as the discretization into individual shots separated by a pitch, p , and exposed with a uniform dose density, D . This means that the impinging charge dose of each shot (in coulomb) is $q = p^2 D$. Electron-scattering through the material broadens the point-like exposures along with the other process steps, here development and etching, to yield an effective deposited dose density, D_{eff} , shown on the grayscale map in Figs. 1c and e [7-8]. The regions that receive an effective dose density greater than the dose to clear, D_0 , will be developed as indicated by the green contour. Figure 1c, shows visible line-edge roughness caused by the coarse discretization and poor dose uniformity, which, for a cavity, can cause substantial optical loss through scattering (reduction in Q) [5], while the finer discretization in Fig. 1e produces much smoother edges and a higher fidelity in the pattern transfer due to the finer discretization.

Figure 2 shows three nanocavities fabricated with the same process on the same chip where the current, and therefore pitch, is varied. Already when the pitch is increased from 1 nm to 3.5 nm, several of the small features cannot be resolved, and with $p = 6$ nm the central part becomes disconnected, thus charging up under SEM inspection. We will report on our latest progress towards realizing structures with extreme confinement of light.

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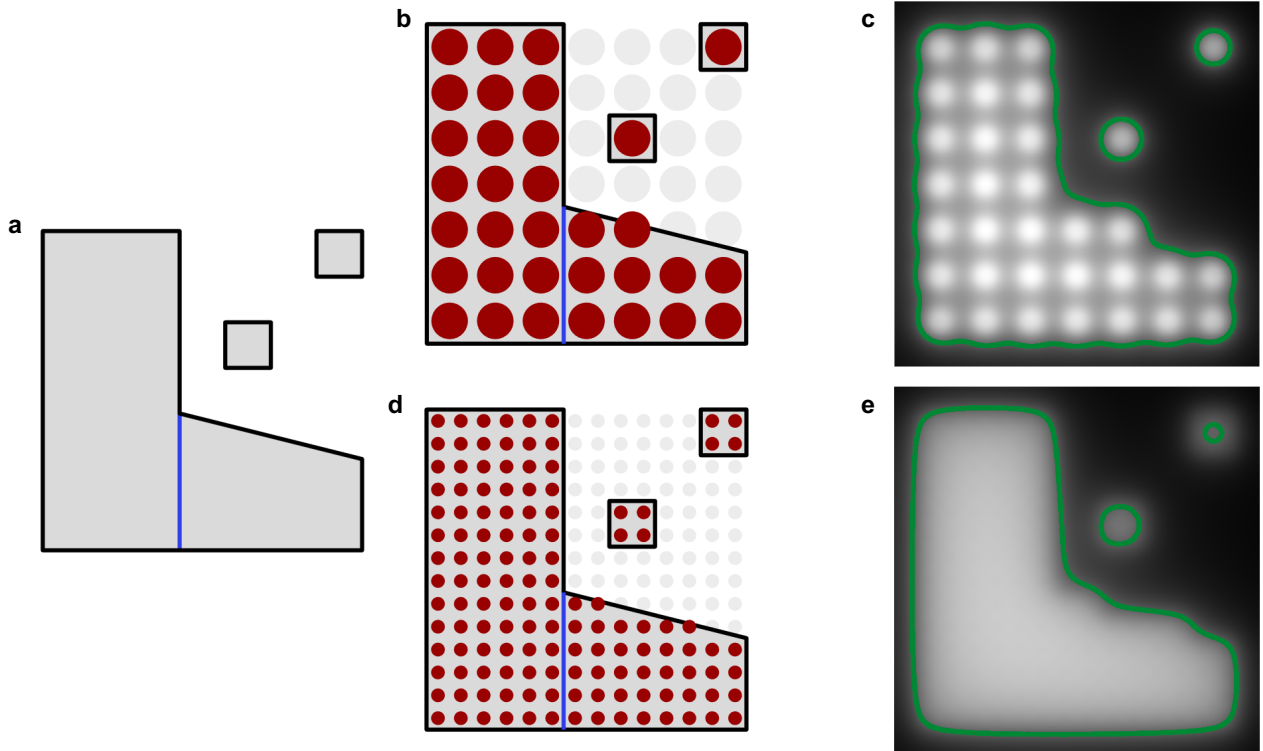


Figure 1. Schematic showing effects of shot-filling. **a**, Polygon mask with blue line representing a cut polygon due to fracturing. **b**, Shot-filling with a large pitch. **c**, Convolution between shots in **b** and a measured point-spread function [7]. **d**, Shot-filling with a smaller pitch, and **e**, convolution between shots in **d** and the point-spread function. The green outline shows the contour $D_{\text{eff}} \geq D_0$.

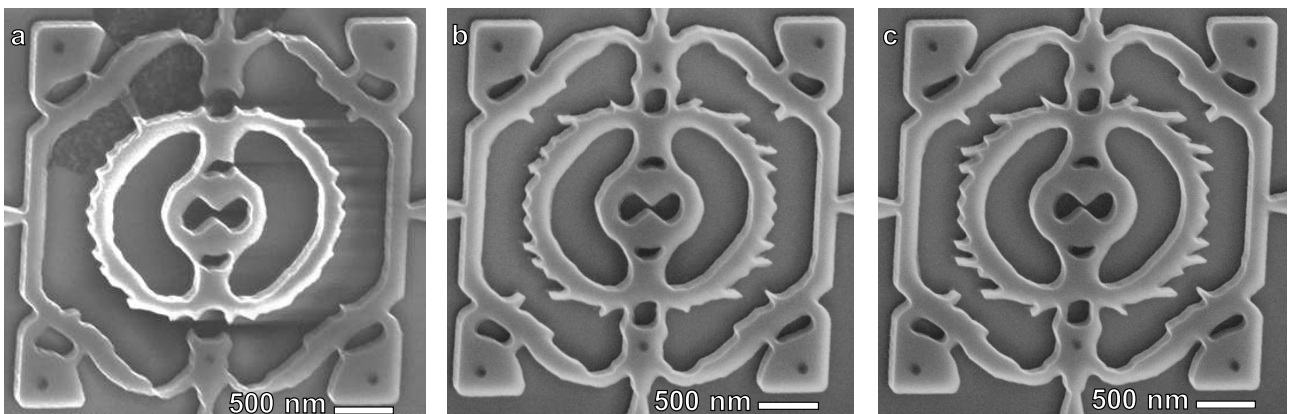


Figure 2. Topology optimized photonic nanocavity fabricated with different e-beam rasterization. The structures are fabricated with the same process except the current is varied and with it, the pitch. **a**, $p = 6$ nm and $I = 6$ nA. **b**, $p = 3.5$ nm and $I = 2$ nA. **c**, $p = 1$ nm and $I = 0.2$ nA. The pattern-transfer fidelity is significantly improved with a smaller pitch.