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Accretion Properties of MAXI J1813-095 during its Failed Outburst in 2018

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Abstract We present the results obtained from a detailed timing and spectral studies of a black hole candidate MAXI J1813-095 using *Swift*, *NICER*, and *NuSTAR* observations during its 2018 outburst. The timing behaviour of the source is mainly studied by using *NICER* light curves in a 0.5 – 10 keV range. We did not find any signature of quasi-periodic oscillations in the power density spectra of the source. We carry out spectral analysis with a combined disk blackbody & power law model, and physical two-component advective flow (TCAF) model. From the combined disk blackbody & power-law model, we extracted thermal and non-thermal fluxes, photon index, and inner disk temperature. We also find evidence for weak reflection in the spectra. We have tested physical TCAF model on a broadband spectrum from *NuSTAR* and *Swift*/XRT. The parameters like mass accretion rates, the size of the Compton clouds and the shock strength are extracted. Our result show that the source remained in the hard state during the entire outburst which indicates of a ‘failed’ outburst. We estimate the mass of the black hole as $7.4 \pm 1.5 M_{\odot}$ from the spectral study with the TCAF model. We use LAOR model for the Fe K α line emission. From this, the spin parameter of the black hole is estimated as $a^* > 0.76$. The inclination angle of the system is estimated to be in the range of 28° – 45° from reflection model. We estimate the source distance to be ~ 6 kpc.

Key words: X-Rays:binaries – stars individual: (MAXI J1813-095) – stars:black holes – accretion, accretion disks – shock waves – radiation:dynamics

1 INTRODUCTION

Transient black hole X-ray binaries (BHXRBs) occasionally show outbursts that last from weeks to months. During the outburst, the X-ray intensity of the source rises thousands of times as compared to that during the quiescent state. An outburst is believed to be triggered when the viscosity is suddenly enhanced at the pileup radius (Chakrabarti 1990, 1996; Chakrabarti, et al. 2019; Bhowmick et al. 2020).

A transient BHXRB is known to show characteristic evolution in the spectral and timing properties during these outbursts that are broadly classified as Type 1 and Type 2 outbursts (Debnath, et al. 2017). In case of Type 1 outbursts, BHXRBs show all the usual spectral states viz. hard, hard-intermediate, soft-intermediate and soft states due to which these outbursts are called as a full or complete outbursts. On the other hand, in case of Type 2 outbursts, which are also known as failed outbursts, only harder spectral states (hard and hard-intermediate) are observed (Del Santo et al. 2016; Tetarenko et al. 2016; García et al. 2019).

In general, the spectrum of a BHXRB can be modelled with a power law (PL) continuum model along with a thermal multicolour disk blackbody (DBB) component. In addition, an Fe $K\alpha$ emission line at around ~ 6.4 keV is observed (Remillard & McClintock 2006). It is believed that the DBB component originates from a standard geometrically thin accretion disk (Shakura & Sunyaev 1973) whereas the power-law component originates from a Compton cloud that consists of hot electrons (Sunyaev & Titarchuk 1980). The soft photons from the standard accretion disk are inverse Comptonized at the Compton cloud and produce a hard power-law tail. Several theoretical models have been developed in the literature to explain the nature of the Compton cloud (Zdziarski et al. 1993; Haardt & Maraschi 1993; Esin et al. 1997).

The Two-Component Advective Flow (TCAF) model is a generalized accretion flow solution where the transonic flow includes rotation, viscosity and radiative transfer. It can explain the observed spectral and timing properties of BHXRBs self-consistently (Chakrabarti & Titarchuk 1995; Chakrabarti 1997). In this model, the accretion flow has two components: high viscous Keplerian disk with high angular momentum, and low viscous sub-Keplerian halo which has low angular momentum. The Keplerian disk is submerged within the sub-Keplerian flow and moves slowly in the equatorial plane. The sub-Keplerian flow forms an axisymmetric shock at the centrifugal boundary. The post-shock region consists of hot electrons and known as CENBOL or CENTrifugal pressure supported BOUNDary Layer (Chakrabarti 1996). The CENBOL acts as a Compton cloud. The soft photons originate from the Keplerian disk and contribute to the multicolour blackbody component. A fraction of the soft photons are intercepted by the CENBOL, and get inverse-Comptonized and become hard photons that form the hard power-law tail. A fraction of the hard photons is reprocessed at the Keplerian disk and a ‘reflection hump’ is observed at higher energy. In TCAF paradigm, quasi-periodic oscillations (QPOs) which are observed in power density spectra (PDS) are produced by oscillation of the CENBOL (Molteni et al. 1996). The CENBOL is also the launch site of the jet. In recent years, the TCAF model has been used successfully used to study the spectral and timing properties of several black holes and active galactic nuclei (Mondal et al. 2016; Chatterjee et al. 2016; Shang et al. 2019; Nandi et al. 2019; Chatterjee et al. 2020; Banerjee et al. 2020).

Black Hole candidate (BHC) MAXI J1813-095 was discovered on 2018 February 19 (Kawase et al. 2018) with the *MAXI*/GSC. Follow up observations with the *Swift*/XRT localized the source at RA = $18^h 13^m 34.0^s$, Dec = $-09^\circ 31' 59''.0$ (Kennea et al. 2018). The GROND observation of the above location detected the optical counter part of the source (Rau 2018). The ATCA observation revealed a compact jet and classified the source as the radio-quiet BHXRB (Russell et al. 2018). From the multi-wavelength observations, Armas Padilla et al. (2019) suggested that the companion star could be a G5V star with a distance of > 3 kpc.

In this paper, we studied MAXI J1813-095 in broad energy bands using *Swift*/XRT, *NICER*, and *NuSTAR* observations performed during the outburst. The paper is organized in the following way. In Section2, we describe the observation and data analysis processes. In Section3, we present the timing and spectral analysis results. In Section4, we discuss our findings and finally, in Section5, we summarize our results.

2 OBSERVATION & DATA REDUCTION

NuSTAR

The transient black hole candidate MAXI J1813-095 was observed with *NuSTAR* at three epochs during the declining phase of the 2018 outburst (see Table 1). *NuSTAR* (Harrison et al. 2013) is the first hard X-ray focusing observatory launched by NASA. It consists of two identical focusing modules: FPMA and FPMB. These modules are sensitive to X-ray photons in the range of 3 – 79 keV. We reprocessed data from the *NuSTAR* observations with the help of *NuSTAR* data analysis software (*nustardas*, version 1.4.1¹). Cleaned event files were produced and calibrated using standard filtering criteria with the *nupipeline* task by using the latest calibration files². We chose a circular region of radii 120 arcsec centered at the source coordinates for the source and away from the source for the background products. The *nuproduct* task was used to extract source and background spectra. We re-binned the source spectra as 20 counts per bin with *grppha*³ task.

Swift

Swift observed MAXI J1813-095 at two epochs simultaneously with two *NuSTAR* observations. In total, *Swift* observed MAXI J1813-095 twelve times between 2018 February 20 and 2018 March 25. All the observations were carried out with the *Swift*/XRT in the energy range of 0.5 – 10 keV. *Swift*/XRT observations of MAXI J1813-095 were carried out in windowed-timing (WT) mode except the first observation which was in photon-counting (PC) mode. We extracted cleaned event files with the *FTOOLS* task *xrtpipeline*⁴. We choose a circular region of radius 30 arcsec for source and background products. Light curves, source and background spectra were extracted by using *XSELECT* v2.4⁵.

NICER

MAXI J1813-095 was also observed with *NICER* at several epochs during the 2018 X-ray outburst. *NICER* is an X-ray timing instrument (XTI; Gendreau et al. 2012) attached to the International Space Station in 2017 June. It is sensitive to soft X-ray photons in 0.2 – 12 keV range. The XTI consists of 56 X-ray concentrated optics, each attached to a silicon drift detector (Prigozhin et al. 2012). There are only 52 detector units active, providing a total effective area of 1900 cm² at 1.5 keV. An unprecedented timing and spectral sensitivities of ~ 100 ns (rms) and ~ 85 eV at 1 keV can also be achieved by *NICER*, respectively. For the study of outburst evolution of MAXI J1813-095, we used publicly available data from *NICER* monitoring between 2018 February 21 to February 27. The total effective exposure of these observations with observation ids 1200090101–1200090105 is about 5.5 ks. For analysis, the data were first reprocessed with ‘*nicerl2*’⁶ script in the presence of latest updated calibration files of version 20200722. Standard GTI was also generated using the ‘*nimaketime*’ task. The cleaned events obtained after the reprocessing were then used for extracting the light curve and spectrum in the *FTOOLS* *XSELECT* environment. For spectral analysis, ancillary response file and response matrix file of version 20200722 are considered in our analysis. The background corresponding to each observation id is simulated by using the *nibackgen3C50*⁷ tool (Remillard et al, in prep.).

¹ <https://heasarc.gsfc.nasa.gov/docs/nustar/analysis/>

² <http://heasarc.gsfc.nasa.gov/FTP/caldb/data/nustar/fpm/>

³ <https://heasarc.gsfc.nasa.gov/ftools/caldb/help/grppha.txt>

⁴ <https://www.swift.ac.uk/analysis/xrt/>

⁵ <https://www.swift.ac.uk/analysis/xrt/xselect.php>

⁶ https://heasarc.gsfc.nasa.gov/docs/nicer/analysis_threads/nicerl2/

⁷ https://heasarc.gsfc.nasa.gov/docs/nicer/tools/nicer_bkg_est_tools.html

Table 1: Log of *NICER*, *NuSTAR*, and *Swift* observations of the transient black hole candidate MAXI J1813-095.

ID	Date of Obs. (yyyy-mm-dd)	Obs. ID	Exp (ks)
<i>NICER</i>			
X1	2018-02-21	1200090101	0.6
X2	2018-02-22	1200090102	0.4
X3	2018-02-23	1200090103	2.4
X4	2018-02-26	1200090104	1.1
X5	2018-02-27	1200090105	1.3
<i>NuSTAR</i>			
N1	2018-02-28	80402303002	23.2
N2	2018-03-06	80402303004	20.5
N3	2018-03-25	80402303006	20.4
<i>Swift/XRT</i>			
S1	2018-03-06	00088654002	1.8
S2	2018-03-25	00088654004	1.9

3 RESULT

3.1 Timing Analysis

The BHC MAXI J1813-095 was first detected on 2018 February 19 while undergoing the recent X-ray outburst. The outburst lasted for about ~ 50 days. The evolution of the outburst is shown in Figure 1 using data from *Swift*/BAT monitoring, *NICER*, *Swift*/XRT observations. In the top panel of Figure 1, we show the outburst profile of the source with the *Swift*/BAT monitoring light curve in 15 – 50 keV energy range. It can be seen that the outburst peaked on 2018 February 22 (MJD 58171) with intensity ~ 95 mCrab in 15 – 50 keV range. The outburst was followed with *NICER* from 2018 February 21 (MJD 58170.86), when the source was at its peak with a source count rate of 157 ± 0.5 count s^{-1} in 0.5 – 10 keV energy range. The second panel of the figure shows the light curve of the source in 0.5 – 10, 0.5 – 2 keV, and 2 – 10 keV energy ranges, obtained from *NICER* observations. Third panel of the figure represents absorption corrected source flux in 0.5 – 2 keV, 2 – 10 keV, and 0.5 – 10 keV ranges, estimated from *Swift*/XRT data. From Figure 1 (second panel), it can be seen that the *NICER* observations started when the source was brightest (on 2018 February 21, MJD 58170.86). However, the *Swift*/XRT flux, as shown in the third panel, was maximum on 2018 February 23 (MJD 58172.93). It should be noted that the data presented in second and third panels are in the 0.5 – 10 keV range. Difference in the outburst peaking times in *NICER* and *Swift*/XRT data was due to the fact that the source was not observed with the *Swift*/XRT between MJD 58170.69 and MJD 58172.93 (between 2018 February 21 and 2018 February 23). The actual peak of the outburst might have been missed in the *Swift*/XRT observation. In the bottom panel of Figure 1, the hardness ratio (HR) (ratio between fluxes in 2 – 10 keV and 0.5 – 2 keV ranges) of the source during the outburst is shown by using *NICER* and *Swift*/XRT data. It can be seen from the figure that the source intensity gradually decreased from 2018 February 21 as the outburst entered the declining phase. A brief re-brightening was observed on 2018 March 25. Soon after that, the source entered to the quiescent state. To investigate the spectral evolution of the source during the outburst, we plotted the hardness-intensity diagram (HID) (source flux vs hardness ratio), obtained from the *Swift*/XRT and *NICER* observations in 0.5 – 10 keV energy range and showed in Fig. 2. The rising (increasing flux) and declining (decreasing flux) phase of the outburst can be traced in the HID (Fig. 2) through the *Swift*/XRT data points. It can be seen that the data points in the HID appear to lie in the branch corresponding to the hard state of the “Q-diagram” of black hole sources (Homan & Belloni 2005). The X-ray intensity varied during the outburst, though the HR remained approximately same, indicating no change of spectral states. Considering the evolution of 0.5 – 2 keV and 2 – 10 keV *Swift*/XRT light curves and the HR plot (Figure 1) and the HID (Figure 2), it is clear that the source remained in the hard spectral state during the entire outburst in 2018.

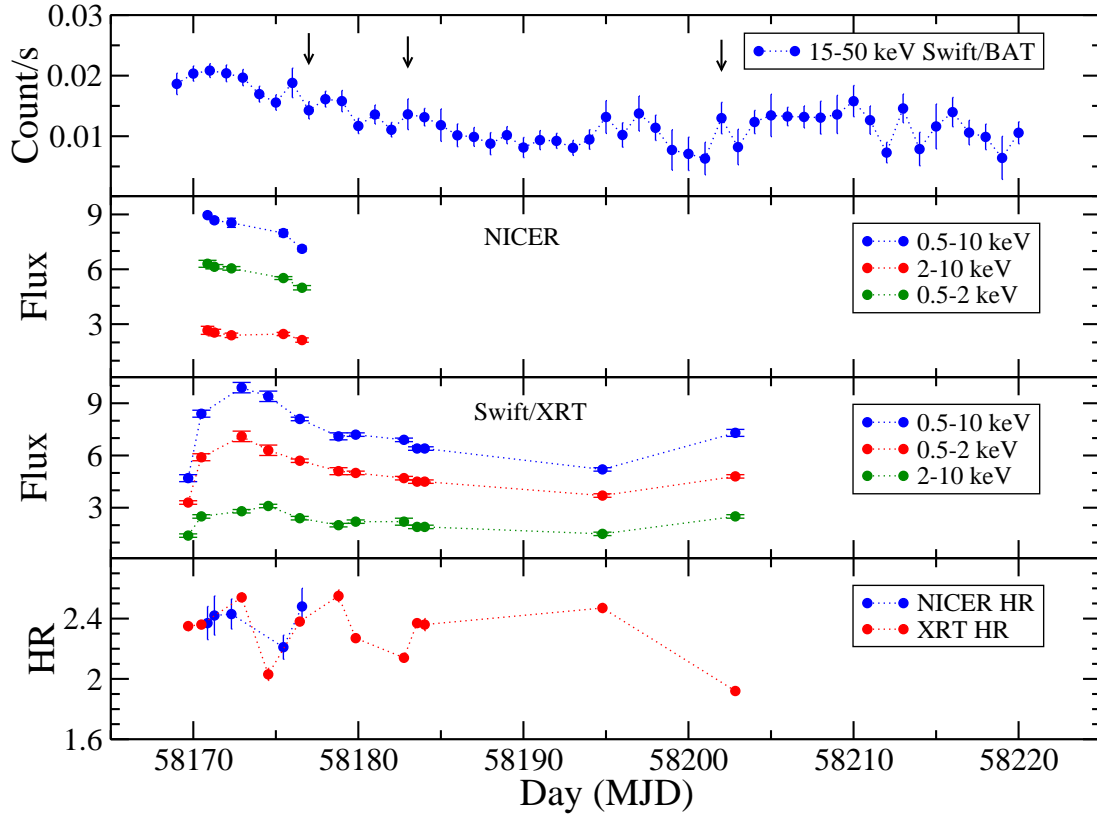


Fig. 1: The top panel shows the 15 – 50 keV *Swift*/BAT light curve of MAXI J1813-095 from 2018 February 16 (MJD 58165) to 2018 April 17 (MJD 58225). The arrows represent the epochs of the *NuSTAR* observation of the source. In the second panel, absorption corrected flux in 0.5 – 10 keV, 0.5 – 2 keV, and 2 – 10 keV energy ranges, obtained from *NICER* observations are shown. The third panel shows the variation of the 0.5 – 10 keV, 0.5 – 2 keV, and 2 – 10 keV *Swift*/XRT unabsorbed flux during the outburst. The fluxes are plotted in the unit of 10^{-10} ergs cm^{-2} s^{-1} . In the bottom panel, the hardness ratio (HR) i.e. ratio between fluxes in 2 – 10 keV and 0.5 – 2 keV ranges, obtained from *NICER* and XRT data, are shown.

We analysed the 0.01 s light curves in 0.5–10 keV range obtained from *NICER* observations. White-noise subtracted power density spectrum (PDS) were generated by applying fast Fourier transformation (FFT) technique on the light curves with the `FTOOLS` task `powspec norm=-2` for different intervals such as 2048, 4096 and 8192. In Figure 3, we show the PDSs generated from the 0.01 s light curves from the *NICER* observations on (a) 2018 February 21 (Obs ID: 1200090101), (b) 2018 February 23 (Obs ID: 1200090103) and (c) 2018 February 27 (1200090105). The 0.01 s binning time allowed us to search for presence/absence of QPOs up to 50 Hz in each PDS. However, we did not find any signature of presence of QPO in the PDS of any of the observations. All the PDSs showed weak red-noise with flat top noise up to 0.1 Hz. A strong rms is observed in all the PDSs with $\text{rms} \simeq 20 - 30\%$ in 0.1 – 50 Hz band. We also investigated the presence of QPOs in the PDS obtained from the *Swift*/XRT light curves, and obtained similar results. We attempted to search for the signature of high frequency QPOs by generating PDS from light curves with 0.004 s time bin from *NICER* observations. However, as in case of search for low frequency QPOs, there was no signature of presence of any high frequency QPOs in the PDS up to 1250 Hz.

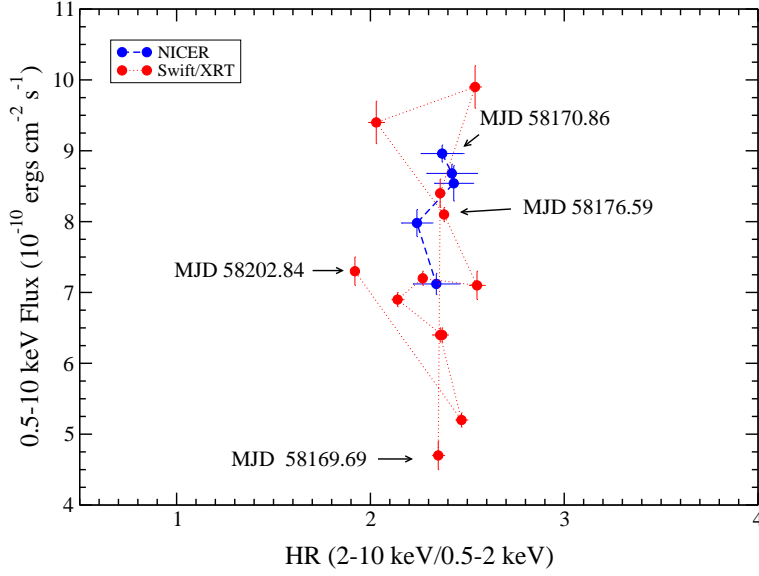


Fig. 2: Hardness intensity diagram (HID) is shown for 0.5–10 keV *Swift*/XRT and *NICER* observations. Red and blue points represent *Swift* and *NICER* observations, respectively. Hardness ratio is defined as the ratio between 2 – 10 keV flux and 0.5 – 2 keV flux. The 0.5 – 10 keV flux is in a unit of 10^{-10} ergs cm^{-2} s^{-1} .

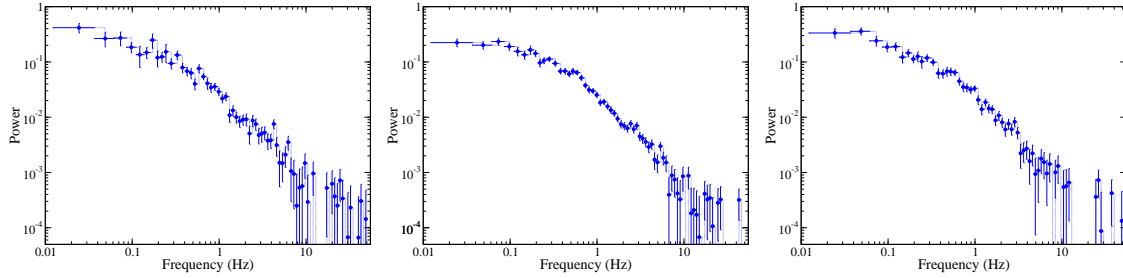


Fig. 3: The power density spectra (PDS) obtained from the *NICER* observations of MAXI J1813-095 on 2018 February 21 (Obs. ID : 1200090101, left panel), 2018 February 23 (Obs. ID : 1200090103, middle panel), and 2018 February 27 (Obs. ID : 1200090105, right panel). The PDS are generated from 0.01 s light curves in 0.5 – 10 keV energy range.

3.2 Spectral Analysis

We study the BHC MAXI J1813-095 during its 2018 outburst using data from *Swift*/XRT, *NICER*, and *NuSTAR* observations in the energy range of 0.5 – 78 keV. We carry out spectral analysis with HEASARC’s spectral analysis software package XSPEC v12.10⁸ (Arnaud 1996). For interstellar absorption, we used TBabs model with Wilms abundances (Wilms et al. 2000).

3.2.1 *Swift*

MAXI J1813-095 was observed with the *Swift* observatory at twelve epochs during the 2018 X-ray outburst. The source and background spectra, effective area and response files were generated as described

⁸ <https://heasarc.gsfc.nasa.gov/docs/xanadu/xspec/>

Table 2: Spectral fitting parameters obtained from the *NICER* Observations

ID	T_{in} (keV)	DBB Norm.	Γ	Flux	χ^2/dof
X1	0.57 ± 0.06	43.8 ± 2.1	1.53 ± 0.05	8.96 ± 0.12	552/580
X2	0.56 ± 0.05	56.1 ± 2.4	1.52 ± 0.06	8.68 ± 0.11	569/537
X3	0.54 ± 0.03	40.5 ± 2.0	1.54 ± 0.03	8.54 ± 0.15	797/750
X4	0.58 ± 0.09	16.1 ± 1.2	1.55 ± 0.04	8.22 ± 0.19	592/628
X5	0.61 ± 0.05	18.8 ± 1.2	1.52 ± 0.04	8.12 ± 0.15	672/665

N_H was fixed at $1.1 \times 10^{22} \text{ cm}^{-2}$. Errors are quoted with 90% confidence.

Flux is in unit of $10^{-10} \text{ ergs cm}^{-2} \text{ s}^{-1}$ and estimated in 0.5 – 10 keV energy range.

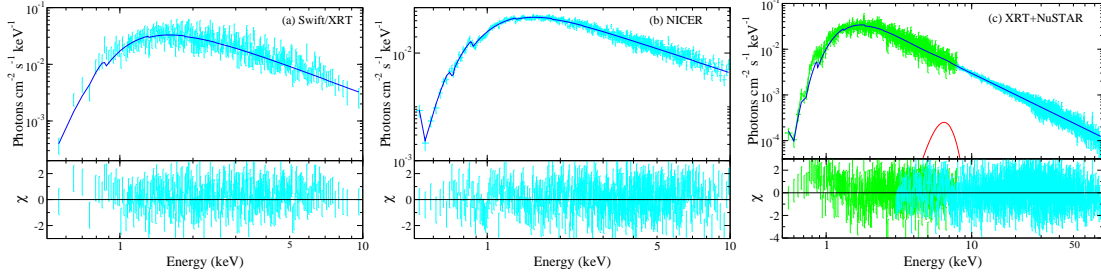


Fig. 4: Spectra of the BHC MAXI J1813-095 along with the best-fit model and residuals obtained from the (a) *Swift*/XRT observation on 2018 February 25 (Obs ID: 00010563004) in 0.5 – 10 keV range (left panel), (b) *NICER* observation on 2018 February 22 (Obs ID: 1200090102) in 0.5 – 10 keV range (middle panel), and (c) *Swift*/XRT and *NuSTAR* simultaneous observations on 2018 March 6 (*Swift* Obs ID: 00088654002; *NuSTAR* Obs ID: 80402303004) in 0.5 – 78 keV range (right panel), are shown. The 0.5 – 10 keV *Swift*/XRT (left panel), 0.5 – 10 keV *NICER* (middle panel), and 0.5 – 78 keV *Swift*/XRT+*NuSTAR* (right panel) spectra were fitted with `TBabs*powerlaw`, `TBabs*(diskbb+powerlaw)`, and `TBabs(diskbb+powerlaw+Gaussian)` model, respectively. The residuals obtained from the spectral fitting are shown in the bottom panels.

in the previous section, and used in the spectral fitting. The 0.5 – 10 keV XRT spectra were fitted well with an absorbed power law model. We fixed the hydrogen column density (N_H) at $1.1 \times 10^{22} \text{ cm}^{-2}$ (Armas Padilla et al. 2019). The power-law photon index (Γ) was found to vary between 1.54 and 1.68 during the outburst period. We also calculated the unabsorbed flux in 0.5 – 2 keV and 2 – 10 keV energy bands using ‘`cflux`’ command in *XSPEC*. In Figure 4a (left panel), we show a representative 0.5 – 10 keV *Swift*/XRT spectrum fitted with a `powerlaw` model, observed on 2018 February 25 (Obs ID: 00010563004).

3.2.2 *NICER*

NICER observed MAXI J1813-095 five times during the 2018 outburst. The 0.5 – 10.0 keV spectra were fitted with the absorbed power law (`powerlaw`) model along with the disk-blackbody (`diskbb`) component. Spectra from all the observations were well fitted with this model. The inner disk temperature (T_{in}) varied between 0.54 – 0.61 keV along with a approximately constant power-law photon index (Γ) ($\sim 1.52 - 1.55$). No signature of Fe K α line was observed in the *NICER* data. We show a representative 0.5 – 10 keV *NICER* spectrum in Figure 4b (middle panel), observed on 2019 February 22 (Obs ID: 1200090102). The `powerlaw + diskbb` model fitted spectral parameters are shown in Table 2.

3.2.3 *Swift* + *NuSTAR*

NuSTAR observed MAXI J1813-095 three times during the 2018 X-ray outburst. Among those, two observations were made simultaneously with the *Swift*/XRT. We attempted to carry out simultaneous spectral fitting of *Swift*/XRT and *NuSTAR* data with an absorbed power law model. However, fitting the broad-band spectra with the absorbed power law model did not provide us satisfactory fitting with $\chi^2 = 1470$ for 1122 dof for the *NuSTAR* observation on 2018 February 28 (N1 in Table 1). Signatures of a disk and Fe K α emission line were seen in the residuals. Adding a `diskbb` component with the model improves the fit with $\chi^2 = 1328$ for 1120 dof. We further added a Gaussian function for the Fe K α line, which significantly improves the fit with $\chi^2 = 1155$ for 1117 dof. The other two *NuSTAR* observations (N2 & N3 in Table 1) when fitted along with simultaneous *Swift*/XRT data (S1 & S2 in Table 1), also showed similar results. Therefore, the `TBabs*(diskbb+powerlaw+Gaussian)` model fits well the broad-band spectra of MAXI J1813-095 from *NuSTAR* and *Swift*/XRT observations. The power-law photon index (Γ) was found to be 1.56, 1.57 and 1.62 for N1, N2+S1 and N3+S2, respectively. The inner disk temperature (T_{in}) varied between 0.61 keV and 0.57 keV. It was found that during all three *NuSTAR* observations, the power-law flux dominated over the thermal flux. The fraction of the thermal flux was less than $\sim 10\%$ of total flux in 0.5 – 78 keV range, obtained from simultaneous fitting of *Swift*/XRT and *NuSTAR* data, and less than 1% of total flux in 3 – 78 keV energy range obtained from fitting of *NuSTAR* data (N1). The best-fit model parameters are given in Table 3.

We often observed a reflection hump at around $\sim 15 - 30$ keV in the hard state spectra (George & Fabian 1991; Matt et al. 1991). Often, the presence of reflection makes the spectra harder. Unusual hard spectra are observed in MAXI J1813-095 with low photon index. In order to probe the spectral nature and reflection continuum further, we explore the ‘reflection’ with convolution model for reflection `reflect` (Magdziarz & Zdziarski 1995). This model describes the reflection from relatively cold neutral material. We fixed heavy element abundances and iron abundances at Solar value (i.e. 1). We allowed the relative reflection (R_{refl}), photon index (Γ) and inclination angle of the system (as $\cos incl$) to vary. All three observations yield marginally improved fit compared to the `powerlaw` model fitting. The photon index Γ was constant at around 1.65. The R_{refl} was 0.15, 0.22 and 0.25 for N1, N2+S1, and N3+S2, respectively. The $Cos(incl)$ varied between 0.71 and 0.88, which transformed the inclination angle between 28° and 45° . The inner disk temperature (T_{in}) was observed to be 0.56, 0.48, and 0.40 keV for N1, N2+S1, and N3+S2, respectively.

Next, we used physical model TCAF as a local additive model in XSPEC (Debnath et al. 2014, 2015). Along with the TCAF, we used LAOR model (Laor 1991) to incorporate the iron K α emission line. The TCAF model has five input parameters: the mass of the black hole (M_{BH}) in Solar mass (M_\odot), the Keplerian disk accretion rate (\dot{m}_d) in Eddington rate (\dot{M}_{Edd}), the sub-Keplerian halo accretion rate (\dot{m}_h) in Eddington rate (\dot{M}_{Edd}), the shock location or the size of the Compton cloud (X_s) in Schwarzschild radius (r_s), and the shock compression ratio (R , ratio between post-shock matter density to pre-shock matter density). Along with these, we obtain normalization (N), which is a function of mass of the BH, the distance of the source and inclination angle of the system. As these three parameters are intrinsic to the system, the normalization parameter N should remain unchanged during the outburst (Jana et al. 2017, 2020a). The TCAF+LAOR model gave us a good fit for all three observations. The TCAF model fitted spectra are shown in Figure 5. We show the Fe K α line intensity in Figure 6.

While fitting the data with the TCAF model, we kept mass of the BH as a free parameter. We obtained M_{BH} as 7.38, 7.44, and 7.40 M_\odot from N1, N2+S1 and N3+S2, respectively. The Keplerian disk mass accretion rate \dot{m}_d varied between 0.07 \dot{M}_{Edd} and 0.05 \dot{M}_{Edd} . The sub-Keplerian halo accretion rate \dot{m}_h varied between 0.54 \dot{M}_{Edd} and 0.52 \dot{M}_{Edd} . The dominance of the sub-Keplerian flow indicates the hard spectral state of the source during the observation period. We also observed that the shock moved outward from 93 r_s to 113 r_s . Thus, the size of the Compton cloud increased as the outburst progressed. The shock was strong during all three observations with the shock compression ratio $R \sim 2.80$. During all three observations, the normalization was roughly constant with $N \sim 1.65$. The TCAF model fitted results are shown in Table 3. We used LAOR model along with the TCAF for a relativistic broad iron line. The broad Fe K α line is shown in Figure 6.

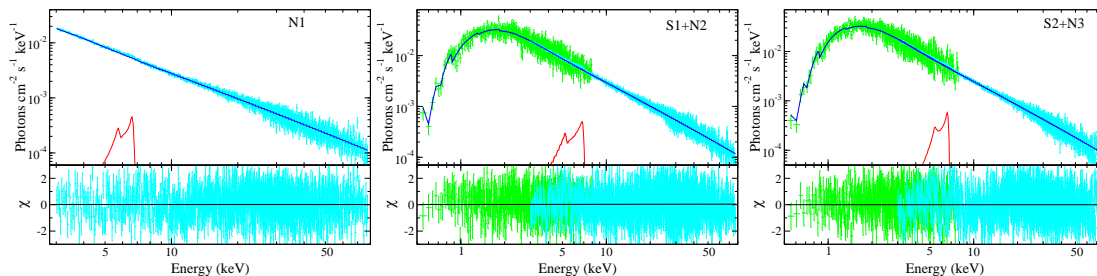


Fig. 5: The 3 – 78 keV (left panel) and 0.5 – 78 keV (middle and right panels) TCAF+LAOR model fitted spectra and residuals are shown for first *NuSTAR* (N1), *NuSTAR*+*Swift*/XRT (N2+S1 and N3+S2) observations, respectively.

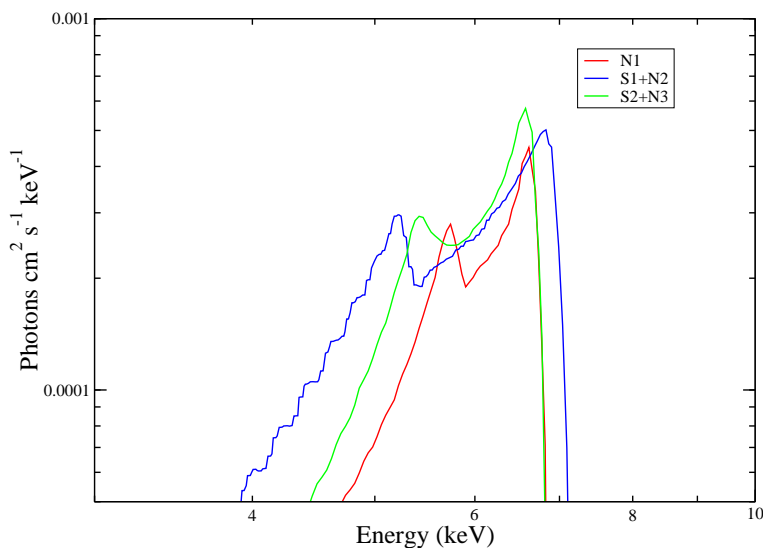


Fig. 6: The Fe $K\alpha$ line intensity is shown for three *NuSTAR* observations.

4 DISCUSSION

We studied MAXI J1813-095 during its 2018 outburst using the data from *Swift*/XRT, *NICER*, and *NuSTAR* observatories in the energy range of 0.5 – 78 keV. The *Swift*/XRT observed the source twelve times during the outburst. The 0.5 – 10 keV *Swift*/XRT data were fitted with an absorbed power law model. Disk component was not required while fitting the spectra with the absorbed power law model. We also did not find any evidence of Fe $K\alpha$ line in the 0.5 – 10 keV *Swift*/XRT data. *NICER* observed MAXI J1813-095 at five epochs during the outburst. On contrary to the 0.5 – 10 keV *Swift*/XRT spectra, the 0.5 – 10 keV *NICER* spectra required a disk component along with the power law continuum. Superior spectral resolution of *NICER* over the *Swift*/XRT detected an additional spectral component, which *Swift*/XRT could not detect.

Interestingly, when we studied the 3 – 78 keV *NuSTAR* (N1) spectra or the 0.5 – 78 keV *Swift*/XRT+*NuSTAR* (N2+S1 and N3+S2) spectra, a disk component, an Fe $K\alpha$ emission line and reflection components were required along with the power law continuum. This suggests that the 0.5 – 10 keV *Swift*/XRT or *NICER* spectra did not provide complete information on the source spectra. Moreover, the exposure time of each of the *NuSTAR* observation is long (on average ~ 20 ks), while the *NICER* and *Swift*/XRT observations are of short exposure time ($\sim 1 - 2$ ks, see Table 1). Thus, the long exposure of *NuSTAR* and its broad-band coverage helped to detect additional spectral features in the source.

Table 3: Best-fit spectral parameters obtained from *NuSTAR* and *Swift*/XRT observations

Model	Parameter	<i>NuSTAR</i>	<i>NuSTAR</i> + <i>Swift</i> /XRT	
Comp.		N1	N2+S1	N3+S2
diskbb	T_{in} (keV)	$0.62^{+0.07}_{-0.05}$	$0.61^{+0.04}_{-0.10}$	$0.57^{+0.05}_{-0.08}$
	norm	$92.6^{+8.4}_{-7.9}$	$103.6^{+12.9}_{-14.2}$	$121.0^{+15.5}_{-12.8}$
Powerlaw	Γ	$1.56^{+0.05}_{-0.04}$	$1.57^{+0.05}_{-0.05}$	$1.62^{+0.04}_{-0.08}$
	norm	$0.15^{+0.03}_{-0.03}$	$0.11^{+0.02}_{-0.03}$	$0.10^{+0.01}_{-0.01}$
Gaussian	LE (keV)	$6.20^{+0.25}_{-0.22}$	$6.47^{+0.27}_{-0.24}$	$6.23^{+0.19}_{-0.28}$
	σ (keV)	$0.77^{+0.06}_{-0.09}$	$0.97^{+0.10}_{-0.07}$	$0.86^{+0.06}_{-0.09}$
	norm*	$9.47^{+0.24}_{-0.29}$	$3.77^{+0.29}_{-0.22}$	$7.21^{+0.33}_{-0.21}$
	χ^2/dof	1155/1117	1610/1555	1699/1558
diskbb	T_{in} (keV)	$0.56^{+0.05}_{-0.08}$	$0.48^{+0.04}_{-0.06}$	$0.40^{+0.06}_{-0.10}$
	norm	$66.5^{+2.9}_{-4.4}$	$138.7^{+5.5}_{-7.9}$	$145.6^{+6.5}_{-8.8}$
Powerlaw	Γ	$1.64^{+0.04}_{-0.08}$	$1.66^{+0.03}_{-0.07}$	$1.65^{+0.05}_{-0.06}$
	norm	$0.12^{+0.01}_{-0.01}$	$0.13^{+0.02}_{-0.03}$	$0.13^{+0.02}_{-0.02}$
Reflect	R_{refl}	$0.15^{+0.02}_{-0.03}$	$0.22^{+0.03}_{-0.04}$	$0.25^{+0.02}_{-0.04}$
	cos(incl)	$0.88^{+0.02}_{-0.04}$	$0.71^{+0.05}_{-0.06}$	$0.85^{+0.03}_{-0.07}$
Gaussian	LE (keV)	$6.20^{+0.18}_{-0.22}$	$6.56^{+0.07}_{-0.20}$	$6.33^{+0.016}_{-0.23}$
	σ (keV)	$0.78^{+0.20}_{-0.13}$	$0.97^{+0.12}_{-0.14}$	$0.81^{+0.12}_{-0.15}$
	norm*	$2.51^{+0.25}_{-0.17}$	$2.06^{+0.26}_{-0.28}$	$1.28^{+0.20}_{-0.24}$
	χ^2/dof	1126/1115	1555/1553	1589/1556
TCAF	M_{BH} (M_{\odot})	$7.38^{+1.43}_{-1.46}$	$7.44^{+1.24}_{-1.56}$	$7.40^{+1.33}_{-1.33}$
	\dot{m}_d (\dot{M}_{Edd})	$0.07^{+0.01}_{-0.01}$	$0.05^{+0.01}_{-0.01}$	$0.06^{+0.01}_{-0.02}$
	\dot{m}_h (\dot{M}_{Edd})	$0.54^{+0.03}_{-0.04}$	$0.51^{+0.02}_{-0.05}$	$0.52^{+0.04}_{-0.06}$
	X_s (r_s)	93^{+9}_{-11}	111^{+10}_{-14}	113^{+9}_{-11}
	R	$2.80^{+0.15}_{-0.16}$	$2.82^{+0.10}_{-0.18}$	$2.79^{+0.12}_{-0.16}$
	N_{tcaf}	$1.62^{+0.08}_{-0.11}$	$1.65^{+0.07}_{-0.12}$	$1.67^{+0.09}_{-0.12}$
LAOR	LE (keV)	$6.45^{+0.22}_{-0.16}$	$6.59^{+0.16}_{-0.23}$	$6.43^{+0.16}_{-0.20}$
	index	$1.75^{+0.03}_{-0.04}$	$1.89^{+0.06}_{-0.07}$	$1.87^{+0.06}_{-0.09}$
	R_{in} (r_g)	$2.64^{+0.10}_{-0.06}$	$2.59^{+0.11}_{-0.08}$	$2.58^{+0.11}_{-0.08}$
	R_{out} (r_g)	$68.1^{+3.1}_{-5.3}$	$60.2^{+5.2}_{-6.5}$	$75.6^{+2.5}_{-3.6}$
	θ_{incl} (deg)	$35.08^{+1.42}_{-2.77}$	$36.26^{+1.48}_{-2.65}$	$31.88^{+1.65}_{-2.23}$
	norm*	$5.33^{+0.41}_{-0.37}$	$8.02^{+0.38}_{-0.45}$	$7.07^{+0.32}_{-0.46}$
	χ^2/dof	1129/1110	1583/1555	1595/1556
$F_{0.1-100}^a$	0.1 – 100 keV	$2.44^{+0.15}_{-0.11}$	$1.86^{+0.09}_{-0.12}$	$1.94^{+0.12}_{-0.10}$
f_{th}^b	0.5 – 78 keV	—	5.4%	10%
	3 – 78 keV	0.8%	0.7%	0.9%

* : in the unit of 10^{-4} ph cm $^{-2}$ s $^{-1}$.

^a : in the unit of 10^{-8} ergs s $^{-1}$ cm $^{-2}$.

^b Thermal fraction, defined as $F_d/(F_d + F_{PL})$. All errors are quoted with 90% confidence level.

4.1 Outburst Profile

The 2018 outburst of MAXI J1813-095 continued for ~ 50 days. The peak luminosity of the source was observed on 2018 February 23, with $L_{peak} = 4.25 \times 10^{36} (d/6)^2$ ergs s $^{-1}$ in the 0.5 – 10 keV energy band. However, one needs to calculate the luminosity in a broad energy range to extract a detailed information. We calculated bolometric luminosity of the source for three *NuSTAR* observations from the unabsorbed flux in 0.1 – 100 keV energy band. The bolometric luminosity of the source was estimated to be $L = 7.9 - 10.5 \times 10^{37} (d/6)^2$ ergs s $^{-1}$. Thus, $L/L_{Edd} \sim 0.06 - 0.07$, for a BH with mass 7.4 M_{\odot} . Since, the observed mass accretion rate is $\sim 0.6 \dot{M}_{Edd}$, the accretion efficiency is very low during the 2018 outburst.

During the entire outburst, the hard X-ray photons (2 – 10 keV range) dominate over the soft X-ray photons in 0.5 – 2 keV range (see Fig. 1). High HR was also observed during the outburst. We estimated

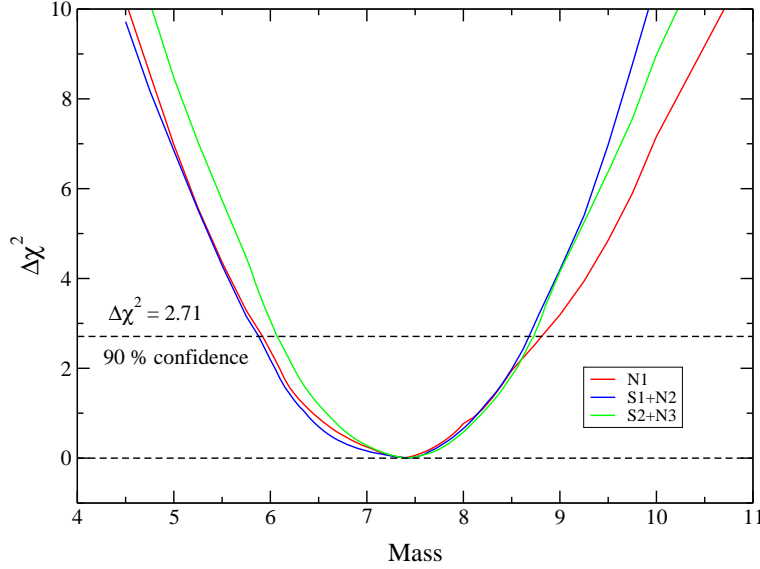


Fig. 7: The variation of $\Delta\chi^2$ is shown with the mass of the BH (M_{BH}) for three *NuSTAR* observations.

thermal flux (F_d) and non-thermal flux (F_{PL}) from the `diskbb` and `powerlaw` model components, respectively, from the combined *Swift*/*XRT* and *NuSTAR* simultaneous spectral fitting in 0.5 – 78 keV range. We find that the fraction of the thermal flux with respect to the non-thermal flux ($f_{th} = F_d/F_{PL}$) is less than 10% in the 0.5 – 78 keV range and less than 1% in the 3 – 78 keV energy range. The spectral analysis results (low Γ , high sub-Keplerian flow rate over the Keplerian flow rate, strong shock, etc.) indicate that the source was in the hard state during the *NuSTAR* observations. Thus, together with the spectral properties, the evolution of 0.5 – 2 keV and 2 – 10 keV fluxes, high HR, and HID, we infer that the source remained in the hard state during the entire outburst. Strong variabilities (> 20 – 30 % RMS) observed in the power density spectra also support this.

The 2018 outburst of MAXI J1813-095 can be considered as a ‘failed’ outburst as the source failed to make the state transition to softer spectral states. The observed HID of the source is similar to the HID of other sources during respective ‘failed’ outbursts, where the HR do not change despite the change in the X-ray intensity (Tetarenko et al. 2016). A detailed study of 132 outbursts, Tetarenko et al. (2016) reported that the mean outburst duration for the ‘failed’ outburst is about ~ 290 days. Although, many ‘failed’ outbursts were observed to be as short as the 2018 outburst of MAXI J1813-095. For example, the outburst duration of 1998 outburst of XTE J0421+560 (Belloni et al. 1999) and 2011 outburst of Swift J1357.2-0933 (Armas Padilla et al. 2013) are 49 days and 76 days, respectively. In general, the failed outbursts are ‘faint’ with peak luminosity (L_{peak}) $\lesssim 10^{36}$ ergs s^{-1} (e.g. the 2000 outburst of XTE J1118+480 (Chatterjee et al. 2019), the 2003 outburst of XTE J1550-564 (Stuner & Shrader 2005)), whereas the peak luminosity during complete outbursts, $L_{peak} \sim 10^{38}$ ergs s^{-1} e.g. the 2009 outburst of XTE J1752-223 (Reis et al. 2011), the 2017 outburst of MAXI J1535-571 (Stiele & Kong 2018), the 2019 outburst of MAXI J1348-630 (Jana et al. 2020b). The peak luminosity of MAXI J1813-095 during the present outburst is $L_{peak} \sim 10^{36}$ ergs s^{-1} , which is consistent with other ‘failed’ outbursts. Thus, MAXI J1813-095 joined the ever-increasing list of ‘failed’ outbursts (e.g. the 2008 outburst of H 1743-322 (Capitanio et al. 2009), the 2011 & 2012 outbursts of MAXI J1836-194 (Jana et al. 2016, 2020a), the 2017 outburst of Swift J1357.2-0933 (Mondal & Chakrabarti 2019); the 2017 outburst of GX 339-4 (García et al. 2019).)

4.2 Accretion Geometry

In general, an outburst is triggered when the viscosity is suddenly enhanced at the outer edge of the disk (Ebisawa et al. 1996). The accreting matter loses angular momentum when the viscosity rises and rushes towards the BH. The low viscous sub-Keplerian flow moves inward roughly in the free-fall time scale, whereas the Keplerian disk moves inward in the viscous time scale. If the viscosity is sufficiently high, the Keplerian disk moves closer to the black hole and cools the CENBOL and the source undergoes state transition (Giri & Chakrabarti 2012; Mondal et al. 2017). However, if the viscosity does not rise high enough, the Keplerian disk remains at a large distance from the black hole. Hence, the Keplerian disk cannot cool the CENBOL efficiently. As a result, the source does not enter to the softer spectral states. In the 2018 outburst of MAXI J1813-095, it appears that the viscosity did not become high enough, and the source did not enter in the softer spectral states. Although, the Keplerian disk accretion rate was low, the continuous supply of the sub-Keplerian matter leads to increase of high energy flux, as well as the total flux, which leads to higher HR when the flux was high. This is not observed in regular outburst. The source entered the declining phase of the outburst when the viscosity is turned off. The shock moved outward as the accretion rates were decreased and the source entered to the quiescent state.

We did not observe any QPO in the PDS of the source. It is understood that the oscillation of the CENBOL or the Compton cloud produces the QPOs (Molteni et al. 1996; Giri & Chakrabarti 2012). Sharp QPOs are produced when a strong shock oscillates and the resonance condition is satisfied (Molteni et al. 1996; Chakrabarti et al. 2015). The resonance condition is satisfied when the cooling time of the post-shock matter matches with the infall time. On the other hand, a weak QPO is produced due to the non-satisfaction of the Rankine-Hugoniot condition or oscillation of the shock-less barrier or weak shock oscillation (Ryu et al. 1997). A strong shock was formed during the 2018 outburst of MAXI J1813-095. However, due to the low Keplerian disk accretion rate, and high sub-Keplerian halo rate, the cooling was inefficient. Thus, it is plausible that non-satisfaction of the resonance condition is behind of non-observation of QPOs. This is already reported in several sources that non-satisfaction of resonance condition is the reason behind non-observation of QPOs (Chakrabarti et al. 2015; Jana et al. 2020a,b).

In the first two *NuSTAR* observations (N1 & N2), the source was observed in the decay phase, while the third observation (N3) was made in the brief re-brightening period. In the first two observations, we found that both accretion rates (\dot{m}_d & \dot{m}_h) decreased, though, in the third observation, the accretion rates marginally increased. The shock was found to move outward ($93 r_s$ to $113 r_s$), although the shock strength remained stable (~ 2.80).

In TCAF, the normalization is a function of mass of the BH, distance and inclination angle of the system, and is given by $N_{tcaf} \sim (r_g^2/4\pi d^2) \cos i$, where d is distance in 10 kpc and i is inclination angle. Thus ideally, one should find that the normalization is same for all the observations. However, there could be some fluctuations due to measurement errors. However, one could see a large deviation if jet is present (Jana et al. 2017; Jana 2018; Chatterjee et al. 2019). In our analysis, we find $N_{tcaf} \sim 1.62, 1.65$ & 1.67 for N1, N2 & N3, respectively. This indicates that either there is no jet or a compact jet exists with very low outflow rate. Indeed, Russell et al. (2018) observed a compact jet in the system. We calculated the mass outflow rate using Eqn.16 of Chakrabarti (1999). The ratio of mass outflow rate (\dot{M}_{out}) to mass inflow rate (accretion rate, $\dot{M}_{in} = \dot{m}_d + \dot{m}_h$) given by, $r_{\dot{m}} = \frac{\dot{M}_{out}}{\dot{M}_{in}} = \frac{\theta_{out}}{\theta_{in}} \frac{R}{4} \left(\frac{R^2}{R-1}\right)^{3/2} \exp\left(\frac{3}{2} - \frac{R^2}{R-1}\right)$, where, θ_{out} and θ_{in} are the solid angles subtended by the outflow and inflow, respectively. Using the TCAF model fitted R and assuming $\theta_{out} \sim \theta_{in}$, we found that $\dot{M}_{out} \sim 0.003\dot{M}_{in}$ during all three observations. Thus, the mass outflow rate is indeed very low and stable, hence, TCAF model normalization is constant.

The hard X-ray emission is reprocessed by the Keplerian accretion disk and contribute to the Fe K α emission line and reflection hump (Guilbert & Rees 1988; Lightman & White 1988; Fabian et al. 1989). In general, the reflection hump is observed around $\sim 15 - 30$ keV. We studied the reflection feature of the spectra using the convolution model `reflect`. We find that the reflection is weak with $R_{refl} =$

0.15, 0.22 and 0.25 in N1, N2, and N3, respectively. Low accretion rate and far away location of the Keplerian disk are responsible for the weak reflection.

4.3 Intrinsic properties of the system

The mass of the black hole is a free parameter in TCAF model. Thus, we can estimate the mass of the black hole from the spectral analysis with the TCAF model. The masses of several BHs are already estimated from the spectral analysis with the TCAF model (Molla et al. 2016; Chatterjee et al. 2016; Shang et al. 2019). The mass of MAXI J1813-095 was unknown; thus we kept the mass free during the spectral analysis. The mass of the black hole was obtained as 7.38, 7.44 & 7.40 M_{\odot} in N1, N2 & N3, respectively. We plot the variation of the mass with the $\Delta\chi^2$ in Fig. 7. Taking an average of three observations, we estimate the mass of the black hole as $7.41^{+1.47}_{-1.52} M_{\odot}$, with 90% confidence, or simply, $7.4 \pm 1.5 M_{\odot}$.

The Fe $K\alpha$ line is subjected to relativistic broadening if it is emitted from a region very close to the black hole (Fabian et al. 1989; Laor 1991). In this work, we used relativistic model `LAOR` to fit the *NuSTAR* data for broad iron $K\alpha$ emission line. In the process, we obtained the inner edge of the accretion flow (R_{in}). Equating R_{in} with the innermost stable orbit (R_{isco}), we can calculate the spin of the black hole. In this method, the spin of several black holes have been estimated (Miller et al. 2004; Park et al. 2004; Reis et al. 2008; Mondal et al. 2016). For BHC MAXI J1813-095, we obtained the inner edge of the accretion flow R_{in} as $2.64^{+0.10}_{-0.06} r_g$, $2.59^{+0.11}_{-0.08} r_g$, and $2.58^{+0.11}_{-0.07} r_g$, for N1, N2 and N3, respectively. This translates to the spin parameters (a^*) of the black hole as $0.74^{+0.02}_{-0.03}$, $0.75^{+0.02}_{-0.03}$, and $0.76^{+0.02}_{-0.03}$ for N1, N2 and N3, respectively. The accretion flow moves closer to the black hole in the soft state compared to the hard state. Since all the observations are taken in the hard state, R_{in} would have moved closer in the soft state. Hence, the estimated spin parameters (a^*) only gives us the minimum value. Thus, we conclude the spin parameters of MAXI J1813-095 as $a^* > 0.76$.

The strength of the reflection and the Fe line emission also depend on the inclination angle of the source. Thus, from the reflection and line emission, the inclination angle of the source can be constrained. We found the evidence for weak reflection in all three observations. From `reflect` model fitted parameter, $\cos(incl)$ varied between 0.71 and 0.88, which translates to θ_{incl} between 28.36° and 44.76° . From `LAOR` model fitting, the θ_{incl} is between 31.88° and 36.26° . Thus, the inclination angle of the source is $28^\circ - 45^\circ$. This low inclination angle naturally explains the low reflection of the source.

We also estimated the distance of the source from the unabsorbed flux and the Keplerian disk accretion rate. The source intrinsic luminosity, $L = \eta \dot{M} c^2 = 4\pi d^2 F$, where η , \dot{M} , c , d , and F are the accretion efficiency, mass accretion rate, light speed, source distance, and unabsorbed flux, respectively. The Eddington luminosity, $L_{Edd} = \eta \dot{M}_{Edd} c^2$, where \dot{M}_{Edd} is the Eddington mass accretion rate. The TCAF model fitted mass accretion rate, $\dot{m} = \dot{M} / \dot{M}_{Edd}$. From these three equations, we have $4\pi d^2 F = \dot{m} L_{Edd}$. From this equation, we estimated the distance from the three *NuSTAR* observations as 6.02 kpc, 5.83 kpc, and 6.25 kpc, for N1, N2, and N3, respectively. From this, the source distance is about ~ 6 kpc.

5 CONCLUSIONS

We studied the 2018 outburst of MAXI J1813-095 using the data obtained from the *Swift*/XRT, *NICER* and *NuSTAR* observations. Our key findings are the following.

1. MAXI J1813-095 remained in the hard state during the entire outburst. The source did not show state transition. This makes the outburst a ‘failed’ outburst.
2. We studied power density spectra obtained from the 0.5 – 10 keV *NICER* light curves. Strong variabilities were found with rms $\sim 20 - 30\%$. We did not find any signature of QPO.
3. The 0.5 – 78 keV *Swift*/XRT+*NuSTAR* spectra can be fitted well with the combined `diskbb` and `powerlaw` model. However, the fitting improved when we added a reflection component (modelled

with `reflect` in XSPEC). A presence of weak reflection was found in the spectra obtained from all three observations.

4. From the spectral analysis with the TCAF model, we extracted the accretion rates (\dot{m}_d & \dot{m}_h), size of the Compton cloud (i.e. the shock location, X_s), and the shock compression ratio (R). We observed that the accretion rates decreased and the shock moved outward in the decay phase as the outburst progressed.
5. We found that the mass outflow rate which is very low and constant during our observations.
6. We estimated the mass of MAXI J1813-095 as $7.4 \pm 1.5 M_\odot$. The distance of the source is estimated to be ~ 6 kpc.
7. We estimated the spin parameter of the BH as $a^* > 0.76$. We also found that the inclination of the source is likely to be between $28^\circ - 45^\circ$.

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