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Optimization of the Process Parameters for Controlling Residual Stress and Distortion in Friction Stir Welding

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Summary
In the present paper, numerical optimization of the process parameters, i.e. tool rotation speed and traverse speed, aiming minimization of the two conflicting objectives, i.e. the residual stresses and welding time, subjected to process-specific thermal constraints in friction stir welding, is investigated. The welding process is simulated in 2-dimensions with a sequentially coupled transient thermo-mechanical model using ANSYS. The numerical optimization problem is implemented in modeFRONTIER and solved using the Multi-Objective Genetic Algorithm (MOGA-II). An engineering-wise evaluation or ranking between alternatives of the trade-off solutions is discussed briefly.

Keywords
Friction stir welding, residual stress, thermal constraints, multi-objective optimization

Introduction
Friction Stir Welding (FSW) is an efficient solid-state, i.e. without melting, joining technique that is invented especially for aluminum alloys which are difficult to weld with traditional welding techniques [1]. Improved mechanical properties, reduced distortion and residual stresses, and environment friendliness are some of its advantages. The requirement for lighter and load resistant structures, especially in aerospace and automotive industries, emphasizes the need for investigating the important parameters to control the FSW process more efficiently [2-4].

Heat dissipation due to the friction and material deformation causes the material to soften and allows traversing of the tool along the joint line. Despite relatively low heat generation in FSW, the rigid clamping used in FSW causes higher reaction forces on the plates avoiding the shrinkage of the weld center region and as a result, generating longitudinal and transverse stresses. These residual stresses act as pre-stresses on the structures which is critical for the fatigue performance during the service [2,4].

Thermo-Mechanical Model
The friction stir welding process is simulated in 2-dimensions by using ANSYS Parametric Design Language (APDL). Transient thermal and mechanical models are coupled sequentially (Figure 1,2). The thermo-pseudo-mechanical (TPM) heat source [5,6] is applied, in which the temperature dependent yield stress is the driver for the heat generation, as a volume flux given by
where RPM is the tool rotation per minute, r is the radial position, thk is the thickness of the plate (3mm) and \( R_{\text{shoulder}} \) is the tool shoulder radius (10mm). The temperature dependent yield stress \( \sigma_{\text{yield}} \) is defined by

\[
\sigma_{\text{yield}}(T) = \sigma_{\text{yield,ref}} \left( T \right) \left( \frac{1 - \frac{T - T_{\text{ref}}}{T_{\text{melt}} - T_{\text{ref}}}}{1 - \frac{200 - T_{\text{ref}}}{T_{\text{melt}} - T_{\text{ref}}}} \right)
\]

where \( \sigma_{\text{yield,ref}} \) is the yield stress at the room temperature (200 MPa), \( T \) is the solution dependent temperature, \( T_{\text{ref}} \) is the reference room temperature (20°C) and \( T_{\text{melt}} \) is the solidus temperature (500°C). The mechanical model consists of an elasto-plastic material model using the yield stress given by equation (2). The behavior of the residual stresses obtained after the solution of the mechanical model is shown in Figure 3 for different tool traverse and rotational speeds. It can be clearly seen that the residual stresses increase as long as the traverse welding speed increases and they are less sensitive to the tool rotational speed.

**Figure 1.** Schematic view of the prescribed heat source  
**Figure 2.** Thermal and mechanical models  
**Figure 3.** Residual stresses at the middle of the plate

### Optimization Model

The optimization problem here is stated as the goal of finding the friction stir welding process parameters, i.e. tool rotation speed and traverse welding speed, which provide a set of trade-off solutions for the minimization of two conflicting objectives [7], i.e. residual stresses, which are measured at the middle of the plate along the transverse direction, and welding time. The design variable RPM (Revolution Per Minute) is defined as varying from 100 to 1000 rpm in 100 rpm increments and welding speed, the design variable for the traverse welding speed, is defined as 1mm/s to 10mm/s in 1 mm/s increments. The flow chart of the optimization procedure is shown in Figure 4. The initial population of the MOGA-II algorithm is chosen as Full Factorial Design with 4-levels (RPM: 100, 400, 700, 1000 rpm and welding speed: 1, 4, 7, 10mm/s) resulting in 16 designs. MOGA-Adaptive Evolution is chosen for running 20 total numbers of generations. The optimization problem is constrained by process-specific thermal constraints, which are given as the upper and the lower bounds on the peak temperatures. The lower bound of 420°C on the peak temperature represents the need for easy traversing of the tool, i.e. to minimize the tool loads, along the weld line by contributing thermal softening of the workpiece material. The upper bound of 490°C is defined in order to consider the tool life and the workpiece properties which are affected by hot weld conditions. The modeFRONTIER model is represented in Figure 5.
Results and Discussion

The solution of the optimization problem, which is defined in the previous section, is presented in both design and criterion space in the following figures. Some of the designs out of 320 total numbers of designs are overlapping due to the selection operator which lies in the nature of the genetic algorithm and ensures the survival of some designs without evolution. Figure 6 and 7 represent feasible and unfeasible designs with dark and fair colors, respectively. It can be clearly seen from Figure 7 that the feasible region, which can be called as the robust process parameter region in this case, is defined by RPM values in the region between 200 and 400 rpms.

The objective space that is constructed by minimization of the residual stresses and maximization of the welding speed is shown in Figure 8. Most of the designs lie close to the Pareto-front, which is shown in Figure 9, due to the low sensitivity of the RPM parameter defined in the underlying thermal model, i.e. the TPM model [5,6] for a given welding speed on the residual stresses.
The Pareto-front gives an idea of ranking the alternative trade-off designs depending on the available working conditions. If a manufacturer is able to use a standard milling machine instead of an advanced FSW machine and can afford using simple tool designs with low welding speed, he would probably not dare to go from 1 to 2 mm/s or 2 to 3 mm/s welding speed because the residual stresses yielded per unit increment in welding speed would cost higher comparing to those at higher welding speeds. The amount of sacrifice of the manufacturer highly depends on the welding speed while one can keep the rotation speed between 200 and 400 rpms.

**Conclusions**

In conclusion, a multi-objective optimization application in the friction stir welding process has been presented. Minimization of the residual stresses and maximization of the welding speed have been considered simultaneously using the tool rotation speed and the traverse welding speed as the design variables. In addition to the description of the process goals, process-specific thermal limitations, i.e. lower and upper bounds on the peak temperature, have been added to the optimization problem in order to take the tool loads and tool life issues into account. At the end of the optimization study, feasible and unfeasible solutions are discussed and the Pareto solutions are presented. The results show that a tool rotation speed of 200 to 400 rpm can be considered as robust working conditions for almost all possible welding speeds. Depending on the Pareto designs, ranking of the trade-off solution alternatives has been discussed in addition to looking to the optimization problem from a manufacturer point of view.

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