Directivity of basic linear arrays

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Published in:
IEEE Transactions on Antennas and Propagation

Publication date:
1970

Document Version
Publisher's PDF, also known as Version of record

Citation (APA):

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Fig. 4. Elevation pattern steering with cosine illumination five elements over screen. O: infinite plane, perfect conditions. △: 16A screen on agricultural soil.

where

\[ \tau_n = (2\pi d/\lambda)[\frac{1}{4} + (n - 1)] \sin \alpha_0 \]
\[ \alpha = \text{look angle} \]
\[ \alpha_0 = \text{steering angle} \]
\[ d = \text{element spacing; first element at } d/2 \text{ above ground plane} \]
\[ I''(n, \alpha) = \text{pattern of } n\text{th element above screen [eq. (2)]} \]

For a perfect ground of infinite extent,

\[ E(\alpha_0, \alpha) = \sum_{n=1}^{\infty} \cos \tau_{n0} \cos \tau_n \] \hspace{1cm} (11)

Figs. 3 and 4 show the results for several steering angles.

Note that the peak of the main beam points in the desired direction when the steering angle is greater than 10°, which is approximately one 3-dB beamwidth of the effective aperture (actual aperture and images), but does not do so below that angle. However, except for 0°, a case of academic interest only, this limitation applies also to perfect ground of infinite extent (Section IV).

IV. DISCUSSION OF LOW ANGLE STEERING LIMITATIONS

Consider for simplicity an infinite plane of perfect conductivity. The cosine illumination (9) can be expressed as the sum

\[ \frac{1}{2} \{ \exp \{ j[2(\pi/\lambda)h \sin \alpha_0] \} + \exp \{ -j[2(\pi/\lambda)h \sin \alpha_0] \} \} \]

This is equivalent to applying the sum of complex conjugate excitations to every element, one for steering to +\( \alpha_0 \), the other to −\( \alpha_0 \). For vertical polarization one can postulate the existence of image elements of the same excitation as the actual elements. The terms

\[ \exp \{ -j[2(\pi/\lambda)h \sin \alpha_0] \} \]
\[ \exp \{ +j[2(\pi/\lambda)h \sin \alpha_0] \} \]

of the images form precisely the right phase front for steering to +\( \alpha_0 \); similarly, the other conjugate sets form a phase front for steering to −\( \alpha_0 \). We thus have in effect two patterns, each corresponding to twice the aperture, steered in opposite direction with the restriction, of course, that only that part of the downward steered pattern exists which lies above the earth. At zero degrees, the two patterns coalesce into one, but at all other angles there are various degrees of interference. Within elevation angles of one 3-dB beamwidth, the interference is sufficiently strong to cause beam distortions, resulting in significant departures from the desired pointing direction. Increasing the aperture, therefore, results in an increase in the potential steering region.

The situation is more complicated in the case of imperfect ground, which includes the finite ground screen on any soil. Two rotating patterns can again be postulated but they are different from those in the preceding case and are complex (rather than real). The interference results in an undercut pattern below the pseudo-Brewster angle and essentially the same results as before above it. Consequently, to extend steering to lower angles requires both a more nearly perfect ground plane and a higher aperture. The practical way to improve the ground plane is to lengthen the screen.

V. CONCLUSIONS

The analysis demonstrated the utility of the sinusoidal illumination for elevation steering of the pattern of an array over a modest ground screen (16 wavelengths = 480 meters at 10 MHz). For a screen and an aperture of about 16 and 2 wavelengths, respectively, the effective steering region starts at about 10°. The steering region can be extended to lower angles by increasing both the screen and the aperture.

It is reasonable to expect that simple approximations to a sinusoidal illumination, for example a square wave (±1), can be used to steer the beam if the attendant high secondary lobes, due to harmonics are acceptable.

ACKNOWLEDGMENT

Very valuable contributions by S. N. Hunt of the Mitre Corporation are gratefully acknowledged.

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Directivity of Basic Linear Arrays

Abstract—For a linear uniform array of \( n \) elements, an expression is derived for the directivity as a function of the spacing and the phase constants. The cases of isotropic elements, collinear short
dipoles, and parallel short dipoles are included. The formula obtained is discussed in some detail and contour diagrams of the directivity as a function of the spacing and the phase constants in the above-mentioned cases are exhibited.

I. INTRODUCTION

Among the large variety of arrays of radiating elements, the simplest type is the uniform linear array. This array is completely specified by the spacing and the phase progression constants. In what follows, the directive properties of uniform arrays of isotropic sources, parallel dipoles, and collinear dipoles are considered as a function of the spacing and phase constants.

II. DERIVATION OF THE DIRECTIVITY

The directivity $D(\theta,\phi)$ of any array is defined by

$$D(\theta,\phi) = \frac{P(\theta,\phi)}{I} = \frac{1}{4\pi} \int P(\theta,\phi) \, d\Omega$$  \hspace{1cm} (1)$$

where $P(\theta,\phi)$ is the power pattern and $d\Omega$ an element of solid angle. For a linear uniform array with the elements located on the $z$ axis ($\theta = 0$) of a rectangular coordinate system, the power pattern is given by

$$P(\theta,\phi) = f(\theta,\phi) g^{n}(\theta)$$

where $f(\theta,\phi)$ is the radiation pattern of the individual element and $g(\theta)$ the array factor. When we denote the spacing between consecutive elements by $d$ and the phase progression constant by $\delta$, the array factor

$$g(\theta) = \begin{cases} \sin n(\gamma/2) & , \gamma = \delta + kd \cos \theta \\
\frac{1}{n} \sin (\gamma/2) & , \gamma = kd \end{cases}$$

where $n$ is the number of elements. Now

$$f(\theta,\phi) = \begin{cases} 1 & , \text{for isotropic elements} \\
\sin^{2} \theta & , \text{for collinear short dipoles} \\
1 - \sin^{2} \theta \cos^{2} \phi & , \text{for parallel short dipoles} \end{cases}$$

and it is easily shown that the integral (1) in the three cases may be written

$$I_{\text{iso}} = I_{1}, \quad I_{\text{col}} = I_{1} - I_{3}, \quad I_{\text{par}} = \frac{1}{2}(I_{1} + I_{2})$$

where

$$I_{1} = \frac{1}{4\pi} \int \left[ \frac{\sin n(\gamma/2)}{n \sin (\gamma/2)} \right]^{\theta} \, d\Omega$$

$$I_{2} = \frac{1}{4\pi} \int \cos^{2} \theta \left[ \frac{\sin n(\gamma/2)}{n \sin (\gamma/2)} \right]^{\theta} \, d\Omega.$$

By introducing $d\Omega = 2\pi \sin \theta d\theta$ and using the finite series [1]

$$\frac{\sin n(\gamma/2)}{n \sin (\gamma/2)} = \frac{1}{n} + \frac{2}{n^{2}} \sum_{m=1}^{n-1} (n - m) \cos m\delta$$  \hspace{1cm} (2)$$

the results

$$I_{1} = \frac{1}{n} + \frac{2}{n^{2}} \sum_{m=1}^{n-1} \frac{n - m}{mkd} \sin mkd \cos m\delta$$

By introducing $I_{2} = \int \cos^{2} \theta d\Omega$ and using the finite series [1]

$$\int \cos^{2} \theta d\Omega = \frac{1}{n} + \frac{2}{n^{2}} \sum_{m=1}^{n-1} (n - m) \cos m\delta$$

the results

$$I_{1} = \int \cos^{2} \theta d\Omega = \frac{1}{n} + \frac{2}{n^{2}} \sum_{m=1}^{n-1} \frac{n - m}{mkd} \sin mkd \cos m\delta$$

$$I_{2} = \frac{1}{n} + \frac{2}{n^{2}} \sum_{m=1}^{n-1} \frac{n - m}{mkd} \sin mkd \cos m\delta$$

By introducing $d\Omega = 2\pi \sin \theta d\theta$ and using the finite series [1]

$$\frac{\sin n(\gamma/2)}{n \sin (\gamma/2)} = \frac{1}{n} + \frac{2}{n^{2}} \sum_{m=1}^{n-1} (n - m) \cos m\delta$$  \hspace{1cm} (2)$$

the results

$$I_{1} = \frac{1}{n} + \frac{2}{n^{2}} \sum_{m=1}^{n-1} \frac{n - m}{mkd} \sin mkd \cos m\delta$$

$$I_{2} = \frac{1}{n} + \frac{2}{n^{2}} \sum_{m=1}^{n-1} \frac{n - m}{mkd} \sin mkd \cos m\delta$$

and

$$I_{2} = \frac{1}{3n} + \frac{2}{n^{2}} \sum_{m=1}^{n-1} \frac{(n - m)}{mkd} \left[ (1 - 2/mk\delta^{2}) \sin mkd + (2/mkd) \cos mkd \right] \cos m\delta$$

may be found by direct integration. The final result may be summarized as follows:

$$D(\theta,\phi) = f(\theta,\phi) g^{n}(\theta)$$

where $f'(\theta,\phi)$, $a_{0}$, $a_{1}$, and $a_{2}$ are given in Table I.

The above formula has been derived in [2] using the same method, but in a less general and slightly modified form. For $\delta = 0$ and $\delta = -kd$, (3) reduces to the formulas derived by Tai [3] by means of the mutual impedance concept.

III. DISCUSSION

In Figs. 1–3 are shown contour diagrams of the maximum directivity of arrays of 2, 3, and 6 elements as a function of the spacing constant $kd$ and the phase constant $\delta$. Further diagrams
are presented in [4]. The line $\delta = 0$ corresponds to broadside arrays and the line $\delta = -kd$ to ordinary endfire arrays, while the domain between these lines corresponds to arrays with other or additional maximum directions. In the area between $\delta = -kd$ and $\delta = -\pi$, the array is still endfire but the magnitude of the main lobe is reduced compared to the magnitude obtained when the condition for ordinary endfire radiation is fulfilled. For $n \geq 3$ the magnitude of the main lobe may become less than the largest sidelobe. The part of each diagram corresponding to such values of $\delta$ and $kd$ is left empty.

It is seen from (3) that for $kd = pr, p = 1,2,3,\ldots$, the maximum directivity is independent of $\delta$ and equal to the number of elements $n$. For a broadside array ($\delta = 0$), $D_{\infty} = n$ when $kd = pr$ while for an endfire array this value is obtained when $kd = pr/2$. From the contour diagrams (for $n = 3$ and 6) it appears that in addition a wavy contour $D_{\infty} = n$ exists and that the directivity surface exhibits saddle points on the lines $kd = pr$. Two absolute maxima are present in the figures. The broadside maximum is obtained when $kd$ is somewhat less than $2\pi$, and it is noted that the directivity decreases sharply beyond this maximum due to the appearance of grating lobes in the radiation pattern. The endfire maximum is obtained when $kd$ is somewhat less than $\pi$. This maximum is not obtained with an ordinary endfire array $\delta = -kd$ and it is in this context interesting to consider the Hansen-Woodyard condition for increased directivity of endfire arrays [5]. These authors found that an increased directivity could be obtained, if, for an ordinary endfire array, the phase constant was changed to

$$\delta = -kd - \pi/(n - 1)$$

where $n$ is the number of elements. The above expression may be depicted as a straight line in the present diagrams. It is seen that it yields larger directivity than the corresponding ordinary endfire condition, but also that even higher directivity may be obtained by a uniform endfire array.

The diagram for $n = 2$ (Fig. 1) is of special interest because the point $(kd, \delta) = (0, -\pi/2)$ is included. It is well known that the theoretical maximum directivity of an equispaced linear array is equal to $n^2$ [6], but this optimum value is in general not obtained for an array with uniform excitation. However, in the case of $n = 2$, the optimum array is also a uniform array and the optimum value $D_{\infty} = 4$ may be obtained from (3) for $kd \to 0$ and $\delta \to -180$. 

### TABLE I

<table>
<thead>
<tr>
<th></th>
<th>$f^2(\delta, \phi)$</th>
<th>$a_0$</th>
<th>$a_1$</th>
<th>$a_2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Isotropic elements</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>Collinear dipoles</td>
<td>$\sin^2 \theta$</td>
<td>$\frac{2}{(mkd)^2}$</td>
<td>$\frac{2}{mkd}$</td>
<td></td>
</tr>
<tr>
<td>Parallel dipoles</td>
<td>$1 - \sin^2 \theta \cos^2 \phi$</td>
<td>$\frac{1}{(mkd)^2}$</td>
<td>$\frac{1}{mkd}$</td>
<td></td>
</tr>
</tbody>
</table>

Fig. 2.

Fig. 3.
The tricky nature of this optimum is illustrated by the fact that it is obtained only when the constraint \( b = \frac{\pi}{2} - \pi \) is introduced, and the contour diagram illustrates the complicated structure of the directivity surface in the vicinity of this point.

The center diagrams in each figure apply for arrays of parallel dipoles. The structure of the diagrams is similar to that for isotropic elements, but some distortion results, especially in the upper parts of the diagrams. It should be noted that the position of the broadside and endfire maxima is almost unchanged when the isotropic elements are replaced by parallel dipoles.

The bottom diagrams apply for collinear dipoles. In this case, no endfire radiation is obtained and a marked distortion of the diagrams results. Very low directivities are obtained in the endfire parts of the diagrams. It should be noted that the position of the directivity surface in the vicinity of this point.

Fig. 1. Impedance-loaded dipole antenna with Cartesian, cylindrical, and spherical coordinates.

impedances \( Z_1 \) at points \( \pm z_1 \) along the antenna axis. For convenience, a slice generator driving mechanism is used. Then the current distribution on the antenna is obtained by solving the integral equation

\[
\int_{-\infty}^{\infty} dz' \tilde{I}(z', \omega) K_\alpha(z - z') - j \frac{4\pi}{k} \sum_{i=1}^{N} Z_i \delta(|z| - z_i) I(z) = -j \frac{4\pi}{k} \tilde{\Phi}_\omega(z) \delta(z)
\]

where

\[
K_\alpha(z - z') = (\partial^2 / \partial z^2 + k^2)(\exp[-jk[(z - z')^2 + a^2]]/[(z - z')^2 + a^2])^2
\]

and \( k = \omega/c \) is the propagation constant and \( \zeta \approx 120\pi \) ohms is the wave impedance of free space.

The solution to (1) may be effected by using a finite Fourier series representation for the current distribution. It is

\[
\tilde{I}(z, \omega) = -j \frac{4\pi}{k} \tilde{\Phi}_\omega(z) \frac{1}{k} \sum_{M=1}^{M} I_n \cos \left[ \frac{(2n + 1)\pi z}{2h} \right] + C \sin k(h - |z|).
\]

The constant \( C \) is chosen to expedite the solution and the expansion coefficients are obtained by solving a system of linear equations resulting from substituting (3) into (1) [1]. To obtain the current distribution on the antenna when driven by a pulsed voltage, \( \tilde{\Phi}_\omega(z) \) is taken as the Fourier transform of the voltage pulse and the resulting \( I(z, \omega) \) is interpreted as the Fourier transform of the current pulse. Hence the time history of the resulting current is obtained by taking the inverse Fourier transform of \( I(z, \omega) \), i.e.,

\[
I(z, t) = \frac{1}{(2\pi)^{1/2}} \int_{-\infty}^{\infty} d\omega \tilde{I}(z, \omega) \exp(j\omega t).
\]

If one requires \( I(z, t) \) to be real, then \( \tilde{I}(z, -\omega) = \tilde{I}^*(z, \omega) \). This yields

\[
I(z, t) = (2/\pi)^{1/2} \int_{0}^{\infty} d\omega \text{Re} \{ I(z, \omega) \exp(j\omega t) \}.
\]