Modulational instability and solitons in nonlocal media with competing nonlinearities

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We investigate analytically and numerically propagation and spatial localization of light in nonlocal media with competing nonlinearities. In particular, we discuss conditions for the modulational instability of plane waves and formation of spatial solitons. We show that the competing focusing and defocusing nonlinearities enable coexistence of dark or bright spatial solitons in the same medium by varying the intensity of the beam.

I. INTRODUCTION

Bright and dark spatial optical solitons, i.e., nondiffracting beams propagating in nonlinear media, are formed thanks to an interplay between diffraction, which tends to spread the beam and the self-induced nonlinear refractive index change of the medium, which focuses the beam. In the stationary regime these two processes are exactly in equilibrium, leading to beam self-trapping and its propagation as a soliton [1,2]. In typical nonlinear media the nonlinear response, i.e., the light-induced refractive index change, is a local function of the light intensity. Recently, there has been growing interest in the so-called nonlocal nonlinear media where the nonlinear response of the medium in a particular location depends on the light intensity in a certain neighborhood of this location [3]. In the most extreme case the degree of nonlocality can be so strong that the resulting nonlinear response is no longer a function of the beam intensity but, rather, its total power [4]. Nonlocal nonlinearities have been identified in a variety of physical systems where the nonlocal response is caused by either transport processes such as heat [5] or ballistic atomic transport [6] and diffusion [7] and charge separation [8] or long-range interactions as in dipolar Bose-Einstein condensates [9–11] or nematic liquid crystals [12–14]. It has also been demonstrated that parametric nonlinear wave interactions, such as second-harmonic generation, is in fact well described by a nonlocal nonlinearity, which has enabled accurate descriptions of quadratic solitons [15], modulational instability [16], and soliton pulse compression [17–19] in quadratic nonlinear materials.

The nonlocality has been shown to have a dramatic impact on formation and properties of solitons. In particular, it may arrest collapse of finite beams [3,20–22] and stabilize complex soliton structures such as vortex [23–25], multipeak, and rotating solitons [26–28]. In addition, the nonlocal character of the nonlinearity has been shown to affect the interaction of solitons by providing attractive force between even remote solitons [4,29–31]. This leads to the attraction of otherwise repelling solitons and the formation of bound states of bright and dark solitons [32–37]. The interplay between nonlocality and partial coherence has been studied in Ref. [38], but in contrast to nonlocality, partial coherence is not able to arrest collapse [39].
nonlocal medium simultaneously support bright and dark spatial solitons.

II. MODEL

We will consider propagation of waves (optical beams) with a slowly varying amplitude \(u(x,z)\) and corresponding intensity \(I = |u(x,z)|^2\) in nonlinear media with an intensity-dependent refractive index change \(\Delta n(x, I)\). Here \(x\) and \(z\) represent transverse and longitudinal coordinates, respectively. Such a situation corresponds, for instance, to propagation of beams in a planar waveguide where the spatial confinement along one of the transverse coordinates is provided by the waveguide structure. The evolution of this one-dimensional beam in a phenomenological model of a nonlinear medium is represented by the following nonlinear nonlinear Schrödinger (NLS) equation:

\[
\mathcal{i} \frac{\partial u}{\partial z} + \frac{\partial^2 u}{\partial x^2} + \Delta n u = 0. \tag{1}
\]

In the case of two competing nonlocal nonlinearities, the nonlinear refractive index change of the medium can be represented by the following phenomenological model:

\[
\Delta n(x, I) = \alpha_1 \Delta n_1(x, I) + \alpha_2 \Delta n_2(x, I) = \alpha_1 \int R_1(x - x') I(x', z) \, dx' + \alpha_2 \int R_2(x - x') I(x', z) \, dx', \tag{2}
\]

where \(\alpha_1\) and \(\alpha_2\) represent the strength and sign of the two nonlinear contributions, respectively. In what follows \(\alpha_1\) will be considered positive, corresponding to a self-focusing nonlinearity, while \(\alpha_2\) is negative, corresponding to a self-defocusing nonlinearity. The nonlocal response function \(R_{1,2}(x)\) defines the nonlocal character of the nonlinearity. Its width (compared to the spatial extent of the beam) determines the degree of nonlocality. In the limiting case of \(R_{1,2}(x) = \delta(x)\), Eq. (4) describes a local medium. On the other hand, in the case of a strong nonlocality, the nonlinearity becomes

\[
\Delta n(x, I) = P[(\alpha_1 R_1(x) + \alpha_2 R_2(x)], \tag{3}
\]

where \(P = \int I(x) \, dx\) denotes the power of the beam. In this regime, the medium behaves as a linear medium with a refractive index profile determined entirely by the nonlocal response functions. The particular form of the nonlocal response function is dictated by the physics of the process responsible for the nonlocality. In particular, \(R_i(x) = \sigma_i^{-1} \exp(-|x|/\sigma_i)\) describes the nonlinear response of the nematic liquid crystals in one transverse dimension [13,14]. Here \(\sigma_i (i=1,2)\) defines the width of the respective nonlocal response. It turns out that many properties of nonlinear nonlinear waves do not depend on the particular form of the nonlocal response [54].

Thus even a box-shaped response function may be used to provide valuable physical insight into the effect of nonlocality [33,55]. Without loss of generality, in this work we will use the so-called Gaussian nonlocal response function \(R_i(x) = 1/\sqrt{\pi \sigma_i} \exp(-|x|^2/\sigma_i^2)\).

III. MODULATIONAL INSTABILITY

Modulational instability (MI) is one of the most fundamental effects associated with wave propagation in nonlinear media. It signifies the exponential growth of a small perturbation of the plane-wave (PW) amplitude during propagation. The gain leads to the generation of side bands which break up the otherwise uniform wave front, and generates localized structures. The presence of modulational instability is closely connected with the existence of soliton solutions to the nonlocal NLS equation. MI may act as a precursor for the formation of bright solitons, whereas dark solitons require modulational stability of the constant intensity background.

The nonlocal NLS equation Eqs. (1) and (4) permits exact plane-wave solutions of the form

\[
u(x,z) = A_0 \exp \left[ i k_0 x - i \omega_0 z \right], \tag{4}
\]

where \(A_0, k_0,\) and \(\omega_0\) are linked through the nonlinear dispersion relation

\[
\omega_0 = k_0^2 - (\alpha_1 + \alpha_2) A_0^2. \tag{5}
\]

To investigate the stability of this plane-wave solution we carry out a linear stability analysis following our earlier work [54,56].

We consider a small complex perturbation \(a(x,z)\) to the PW solution,

\[
u(x,z) = [A_0 + a(x,z)] \exp \left[ i k_0 x - i \omega_0 z \right], \tag{6}
\]

where \(|a(x,z)| \ll 1\). Inserting this perturbed solution into the nonlocal NLS equation Eq. (1) and linearizing around the unperturbed solution [Eq. (6)] yields

\[
\frac{\partial a}{\partial z} + \frac{i k_0}{\omega_0} \frac{\partial a}{\partial x} + 2 i A_0^2 \int_{-\infty}^{\infty} R(x - x') \text{Re}[a(x')] \, dx' = 0. \tag{7}
\]

Equation Eq. (5) was used to derive this equation, and, for convenience, we have introduced the full response function of the nonlocal medium

\[
R(x) = \alpha_1 R_1(x) + \alpha_2 R_2(x). \tag{8}
\]

By decomposing the perturbation into real and imaginary parts and Fourier transforming the resulting system of coupled equations, we find that the perturbation evolves with propagation as \(a(x,z) \propto \exp(\lambda z)\) where the so-called growth rate \(\lambda\) is determined by the following relation:

\[
\lambda^2 = -k^2 A_0^2 \left[ \frac{1}{A_0^2 k^2 - 2 \tilde{R}(k)} \right]. \tag{9}
\]

where \(\tilde{R}(k)\) is a Fourier transform of the nonlocal response function. Therefore, plane-wave solutions are stable if perturbations at any wave number \(k\) do not grow with propagation. This is the case as long as \(\lambda\) is purely imaginary. Physically, modulational stability means that small-amplitude waves can propagate along with the background intense PW, although their propagation parameter \(\lambda\) depends on the PW intensity \(A_0^2\). Since \(k^2 A_0^2 > 0\), PW solutions are unstable if

\[
\frac{1}{A_0^2 k^2 - 2 \tilde{R}(k)} < 0. \tag{10}
\]
We note that if the response function is real and symmetric, which is the case in the majority of physically relevant situations, then so is its Fourier transform, i.e.,

$$R(\xi) = R^*(\xi) = R(-\xi) \Rightarrow \tilde{R}(k) = \tilde{R}^*(k) = \tilde{R}(-k).$$ (11)

Since each of the individual response functions, $R_j$, is normalized, $\tilde{R}(0) = \alpha_1 + \sigma_2$. If $\alpha_1 > |\sigma_2|$ we will, therefore, always have $2\tilde{R}(k) > k^2/\tilde{A}_0^2$, and, thus, $\sigma^2 > 0$, in a certain band symmetrically centered about the origin for sufficiently small $k$. Therefore, independent of the details in the behavior of the symmetric response function, we always have long-wave MI in this regime. When $|\sigma_2| > \sigma_1$, the stability properties depend on the exact behavior of the response function. If $\tilde{R}(k) \leq 0$ for all $k \in \mathbb{R}$, PW solutions are modulationally stable. On the other hand, if $\tilde{R}(k) > 0$ for $k \in I \subset \mathbb{R}$, PWs will be unstable if the intensity $\tilde{A}_0^2$ is sufficiently high.

In the case of Gaussian nonlinear response functions the Fourier transform of the full nonlinear response is

$$\tilde{R}(k) = \alpha_1 \exp \left[ -\frac{1}{4} k^2 \sigma_1^2 \right] + \sigma_2 \exp \left[ -\frac{1}{4} k^2 \sigma_2^2 \right].$$ (12)

As pointed out earlier, we always have long-wave MI if $\alpha_1 > |\sigma_2|$. Figure 1(a) shows the function $2\tilde{R}(k)$ together with $k^2/\tilde{A}_0^2$ for $A_0 = 1$ and $A_0 = 2$. The medium parameters are $\alpha_1 = 2$, $\sigma_2 = -1$, $\sigma_1 = 1$, and $\sigma_2 = 3$. As is evident, for sufficiently small wave numbers $2\tilde{R}(k) > k^2/\tilde{A}_0^2$, and we do have MI. The width of the $k$ band in which $2\tilde{R}(k) > k^2/\tilde{A}_0^2$ increases with increasing intensity.

The MI gain is defined as the positive real part of the eigenvalue $\lambda$,

$$g(k) = |\text{Re} \{\lambda\}| = kA_0 \text{Re} \left\{ \sqrt{2\tilde{R}(k)} - \frac{1}{2} k^2 \right\}. $$ (13)

Figure 1(b) shows the gain curves for $A_0 = 2$, $A_0 = 4$, and $A_0 = 6$. In addition to the increase in the MI bandwidth, the maximum gain also increases with increasing intensity.

The graph in Fig. 2(a) shows how the MI gain depends on $k$ and $\sigma_1$ for constant $\sigma_2 = 3$ and PW amplitude $A_0 = 1$. As $\sigma_1$ increases, the MI bandwidth shrinks and the maximum gain decreases. Thus, increasing the width of the self-focusing response tends to suppress MI. The dependence of the gain on $\sigma_2$ for constant $\sigma_1 = 1$ and PW amplitude $A_0 = 1$ is shown in Fig. 3(a).

As is evident, for $\sigma_2 > \sigma_1$, the stability properties are a pure consequence of the competition between local and nonlocal nonlinearities. In media with just one self-defocusing nonlinearity and PWs are stable) if and only if $\sigma_2 > \sigma_1$. If $\sigma_2 > \sigma_1$, low-intensity PWs are modulationally stable, whereas high-intensity PWs are unstable. So we may have MI even though the strength of the defocusing nonlinearity exceeds that of the focusing, $|\sigma_2| > \sigma_1$. These unique stability properties are a pure consequence of the competition between nonlocal nonlinearities. In media with just one self-defocusing (self-focusing) nonlocal nonlinearity, PWs would be stable (unstable), independently of the intensity.

This intensity-dependent stability is illustrated in Fig. 3. Figure 3(a) shows the function $2\tilde{R}(k)$ together with $k^2/\tilde{A}_0^2$ vs. $k$ for low ($A_0 = 0.5$) and high ($A_0 = 2$) intensities. We observe that for low intensity we have $k^2/\tilde{A}_0^2 > 2\tilde{R}(k)$ for all $k \in \mathbb{R}$, indicating stability. On the other hand, for high intensity $2\tilde{R}(k) > k^2/\tilde{A}_0^2$ in bounded $k$ bands and plane waves become unstable.

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**FIG. 1.** (Color online) (a) Plot of the functions $2\tilde{R}(k)$ and $k^2/\tilde{A}_0^2$ for $A_0 = 1$ and $A_0 = 2$. (b) MI gain curves for $A_0 = 2$, $A_0 = 4$, and $A_0 = 6$. The medium parameters are $\alpha_1 = 2$, $\sigma_2 = -1$, $\sigma_1 = 1$, and $\sigma_2 = 3$.

**FIG. 2.** (Color online) MI gain as function of $k$ and $\sigma_1$ (a) and $\sigma_2$ (b) for $\sigma_2 > \sigma_1$ and $A_0 = 1$. The medium parameters are $\alpha_1 = 2$, $\sigma_2 = -1$, and $\sigma_1 = 3$ (a) and $\sigma_1 = 1$ (b).

**FIG. 3.** (Color online) (a) Plot of the functions $2\tilde{R}(k)$ and $k^2/\tilde{A}_0^2$ when $|\sigma_2| > \sigma_1$, for $A_0 = 0.5$ and $A_0 = 2$; (b) the MI gain curves for $A_0 = 2$, $A_0 = 4$, and $A_0 = 6$. In (a) and (b) the medium parameters are $\alpha_1 = 1$, $\sigma_2 = -2$, $\sigma_1 = 1$, and $\sigma_2 = 3$. 

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observe that the maximum gain and bandwidth decreases with increasing $\alpha_1$. For sufficiently large $\alpha_1$, MI is completely suppressed. The dependence of the gain on $k$ and $\sigma_2$ for constant $\sigma_1 = 1$ and PW amplitude $A_0 = 1$ is shown in Fig. 5(b). For small $\sigma_2$, the plane wave is modulationally stable since $k^2/A_0^2 > 2\tilde{R}(k)$ for all $k \in \mathbb{R}$. When $\sigma_2$ reaches a certain threshold value MI appears, and the maximum gain as well as the MI bandwidth increases with increasing $\sigma_2$. For sufficiently large $\sigma_2$, the maximum gain reaches an almost constant value.

IV. DYNAMICS OF MODULATIONAL INSTABILITY AND SOLITON FORMATION

To demonstrate the stability (or instability) properties of nonlocal media with competing nonlinearities we numerically "propagate" plane-wave solutions using Eq. (1) employing a split-step Fourier beam propagation scheme. As initial condition we use a PW with imposed weak periodic perturbation

$$u(x,z) = A_0 \left[1 + \epsilon \cos(kx)\right],$$

where we assumed a relative value of the perturbation $\epsilon = 10^{-4}$.

The graphs in Fig. 6 show the evolution of the perturbed PW solution with wave number $k = 1$ and amplitude $A_0 = 1$ in case of $\sigma_1 = 1$ (a) and $\sigma_1 = 1.2$ (b). The other parameters are $\alpha_1 = 2$, $\alpha_2 = -1$, and $\sigma_2 = 3$, so we are in the regime where $\alpha_1 > |\alpha_2|$. In both figures we observe the development of MI of the PW. However, it is also evident that increasing the width of the self-focusing response $\sigma_1$ suppresses the instability by lowering the gain, as predicted by the linear stability analysis. The figures depict the normalized intensity $I(x,z)/\sup \{I(x,0) \mid x \in \mathbb{R}\}$. In the regime where $|\alpha_2| > \sigma_1$, the stability properties are predicted to be intensity dependent by the linear stability analysis. The propagation of perturbed PW solutions with perturbation wave number $k = 1$ and amplitude $A_0 = 0.85$ and $A_0 = 1.0$ is shown in Fig. 7(a) and Fig. 7(b), respectively. The medium parameters are $\alpha_1 = 1$, $\alpha_2 = -2$, $\sigma_1 = 1$, and $\sigma_2 = 3$. We observe that the low-amplitude wave is stable (note the scale on the color bar), whereas the perturbation grows with propagation when the amplitude is increased. The simulations are in agreement with the analytical results.

We mentioned earlier that bright solitons may exist when the PW solution is unstable, while the generation of dark solitons requires the absence of MI of the constant intensity.
FIG. 7. (Color online) (a) Evolution of the normalized intensity of the perturbed plane wave in the regime $|\sigma_2| > \sigma_1$ and $A_0 = 0.85$. (b) Stable propagation for $A_0 = 0.85$ and development of modulational instability for $A_0 = 1.0$. In both cases wave vector of the perturbation $k = 1$. The medium parameters are $\sigma_1 = 1$, $\sigma_2 = -2$, $\sigma_1 = 1$, and $\sigma_2 = 3$.

background. Since the stability properties of nonlocal media with $|\sigma_2| > \sigma_1$ intensity dependent, one should be able to generate both bright and dark solitons in the same medium just by changing the intensity of the background wave.

In order to generate bright solitons we use the wave $u(x, z = 0) = A_0 + \text{sech}(x)$ as the initial condition in Eq. (1). Figure 8 shows the propagation of waves with background amplitude $A_0 = 0.85$ and $A_0 = 1.0$. When the background intensity is too low [Fig. 8(a)] the MI does not occur, and, therefore, no bright solitons are generated. But when the intensity is increased and the background becomes unstable we observe, in Fig. 8(b), the formation of several bright solitons. The graphs in the bottom rows of this figure illustrate the corresponding input and output intensities and refractive index profiles.

In order to demonstrate generation of dark solitons in the same medium we will use the initial profile in the form $u(x, z = 0) = A_0 \tanh(x - x_0) \tanh(x + x_0)$. Such initial conditions used in a defocusing medium results in formation of two repelling dark solitons. Figures 9(a) and 9(b) show the propagation of the wave with $x_0 = 5$ and background amplitude $A_0 = 0.85$ and $A_0 = 0.75$, respectively. When the background intensity is higher than the threshold for MI the initial amplitude distribution experiences instability and breaks up leading to the formation of bright solitons [Fig. 9(a)]. On the other hand, for sufficiently low (i.e., below the MI threshold) background intensity, the MI is suppressed and we observe in Fig. 9(b) the formation of two dark solitons. Again, the graphs in the bottom row of this figure illustrate the corresponding input and output intensities and refractive index profiles.

As the stability properties of the plane wave depend crucially on the intensity one can envisage a situation when both bright and dark solitons may coexist on the same background.
background as long as its intensity is below the MI threshold. Indeed, such a situation is illustrated in Fig. 10, where we show the evolution of two dark solitons and one bright soliton. In this case, the solitons have been simultaneously excited using the initial conditions $u(x,0) = 1.911 \exp(-x^2/20.97^2) - 0.72 \tanh[0.66(x - 30)] \tanh[0.66(x + 30)]$. In fact, such initial amplitude distribution leads also to excitation of dispersive waves due to the mismatch between the initial conditions and the exact soliton profiles. In order to minimize this effect the numerical propagation was combined with application of numerical filtering which removed the excess of dispersive waves. This procedure resulted in refinement of the beam amplitude distribution so it represented more faithfully the actual soliton profiles. The refined amplitude was then used in the propagation shown in Fig. 10.

The unique medium properties observed in Figs. 8–10 are a result of the competing self-focusing and self-defocusing nonlocal Kerr nonlinearities and cannot be observed in nonlocal media with just one type of nonlinearity.

V. CONCLUSIONS

In conclusion, we analyzed conditions for the modulational instability of plane waves propagating in nonlocal nonlinear media with competing focusing and defocusing nonlinearities. We showed that presence of these nonlinear responses with different strengths and degrees of nonlocality dramatically affects the stability of plane waves. Unlike earlier works we considered here an arbitrary degree of nonlocality of both competing nonlinearities (finite $\sigma_1$ and $\sigma_2$) which allowed us to reveal that the MI, in fact, occurs only for a finite region of $\sigma_1$ values below a $\sigma_2$-dependent threshold, as seen in Fig. 5. This interesting physical effect could not be achieved with a fixed local focusing nonlinearity ($\sigma_1 = 0$) as in Refs. [42,44]. Moreover, we demonstrated that in the case when the strength of the defocusing nonlinearity is greater than that of the focusing, the stability critically depends on the wave intensity. For an intensity larger than the threshold value the plane wave is modulationally unstable and the medium supports formation of bright solitons. On the other hand, for an intensity lower than the threshold the plane wave is modulationally stable and the same medium supports formation of dark solitons. Our theoretical predictions have been confirmed by numerical simulations.

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